

# 1 Comparison transcriptome analysis of panicle 2 development under heat stress in two rice (*Oryza* 3 *sativa* L.) cultivars differing in heat tolerance

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## 22 Abstract

23 Heat stress inhibits rice panicle development and reduces spikelet number per panicle.  
24 This study investigated the mechanism involved in heat-induced damage to panicle  
25 development and spikelet formation in rice cultivars differing in heat tolerance.  
26 Transcriptome data from developing panicles grown at 40°C –or 32°C were compared  
27 for two ~~different~~ rice cultivars: heat-tolerant Huanghuazhan and heat-susceptible IR36.  
28 Of the differentially expressed genes (DEGs), 4,070 heat stress-responsive genes were  
29 identified, including 1,688 heat-tolerance-cultivar-related genes (RHR), 707 heat-  
30 susceptible-cultivar-related genes (SHR), and 1,675 common heat stress-responsive  
31 genes. Gene ontology analysis showed that the DEGs in the RHR gene set ~~of genes~~  
32 were significantly enriched in 54 gene ontology terms, some of which improved heat  
33 tolerance, including those in the WRKY, HD-ZIP, ERF, and MADS transcription factor  
34 families. DEGs in the RHR group were enriched in 15 significant metabolic pathways  
35 and some DEGs were regulated to resist heat stress, including the plant hormone signal  
36 transduction pathway. The regulation of some DEGs in the SHR group was affected by

37 heat stress, including those in the starch and sucrose metabolism pathway. Our  
38 ~~T~~transcriptome analysis provides insight into ~~different~~ molecular mechanisms of heat  
39 stress tolerance ~~in during panicle develop~~ing ricement.

## 42 Introduction

43 Climate change ~~is~~ predicted to increase average global temperatures by 0.3-  
44 4.8°C by the end of the 21st century (Stocher *et al.* 2013). Unusually high temperatures  
45 occur frequently during the ~~summer-rice planting growing~~ season~~—~~ (Dwivedi *et al.*  
46 2015; Tao *et al.* 2013) and cause reductions in ~~rice~~-yields and quality in ~~certain-several~~  
47 rice production regions, including China, India, and Japan. The primary cause of ~~rice~~  
48 yield reduction in rice is a reduction in spikelet fertility due to high temperatures during  
49 the flowering period (Espe *et al.* 2017). Rice quality is also influenced by high  
50 temperatures~~s~~, which causes carbohydrate metabolism disorders (Yamakawa & Hakata  
51 2010). As climate change has intensified, extremely high temperatures above 40°C have  
52 become more frequent. Such high temperatures inhibit rice panicle development and  
53 reduce spikelet number by 5%-15%, ~~thereby—therefore~~ aggravating rice yield losses  
54 (Wang *et al.* 2017).

55 High temperatures adversely affect floral ~~organ~~-development by reducing  
56 antioxidant capacity, inhibiting nutrition accumulation, and degenerating tapetal cells  
57 (Prasad *et al.* 2017). A previous study showed that high temperature (39°C)  
58 downregulated certain genes related to tapetum function, pollen adhesion, and  
59 germination, including *OsINV4* and *OsMST8*, which influenced spikelet fertilization  
60 (Endo *et al.* 2009). In addition, sugar and endogenous hormone metabolism under high  
61 temperatures reportedly plays an important role in pollen formation (Islam *et al.* 2018;  
62 Min *et al.* 2014). At the rice ripening stage, high temperature induces early termination  
63 of grain filling (Kim *et al.* 2011). Grain chalkiness increases under a mean temperature  
64 greater than 32°C, resulting in the deterioration of eating and cooking quality, which  
65 are both closely linked to starch and sucrose metabolism (Zhong *et al.* 2010).  
66 Transcriptome analysis has shown that high temperatures influence the expression of  
67 genes involved in the inhibition of sucrose degradation and starch biosynthesis while  
68 promoting starch degradation and the synthesis of storage proteins (Yamakawa &  
69 Hakata 2010; Yamakawa *et al.* 2007)~~);—~~. Takehara *et al.* (2018) reported that  
70 upregulation of *OsSUS3*, which encodes sucrose synthase, improved high-temperature  
71 tolerance.

72 The panicle initiation stage is an important period for spikelet prolieferation.  
73 Dry matter accumulation is essential for panicle development; however, the pathway  
74 for carbohydrate accumulation during spikelet formation under heat stress remains

75 vague. The reduction in spikelet number that occurs under high temperature conditions  
76 has been associated with heat-induced phytohormone changes, especially enhanced  
77 cytokinin degradation (Wu *et al.* 2017; Wu *et al.* 2016). The number of spikelets per  
78 panicle is determined by spikelet differentiation and degeneration. Spikelet  
79 differentiation is correlated with dry matter accumulation and influenced by  
80 environmental factors (Liu *et al.* 2005). Ding *et al.* (2016) reported that hormone  
81 metabolism, stress response, carbohydrate metabolism and transport, and protein  
82 degradation were regulated to influence panicle initiation. Additionally, certain genes,  
83 such as MADS-box genes, are related to panicle initiation (Kang *et al.* 2013; Kobayashi  
84 *et al.* 2012). Quantitative trait loci ~~for~~ spikelet degeneration have been identified  
85 (Yamagishi *et al.* 2004), and the genes *SPI*, *ASPI*, *TUT1*, *PAA2*, and *OsALMT7* have  
86 been found to control spikelet degeneration (Bai *et al.* 2015; Heng *et al.* 2018; Li *et al.*  
87 2010).

88 However, the mechanism of panicle development under high temperature conditions is  
89 still unclear. In this study, RNA-Seq analysis was used to explore the mechanism of  
90 heat ~~damage tolerance to in~~ panicle development. Huanghuazhan (HHZ) is a heat-  
91 tolerant rice (*Oryza sativa* L.) ~~cultivar that is~~ widely grown in the middle and lower  
92 reaches of the Yangtze River in China (Cao *et al.* 2009; Zhou *et al.* 2012). ~~The inbred~~  
93 ~~indica cultivar~~ IR36 is a heat-susceptible cultivar (Fang *et al.* 2006); ~~and was it is a n~~  
94 ~~original parental line in the breeding pedigree~~ of HHZ. These two rice cultivars were  
95 used in the current study to ~~ascertain investigate~~ the transcriptome differences between  
96 ~~two temperature treatments, a heat tolerant rice cultivar and a heat susceptible cultivar~~  
97 ~~grown at which were~~ 40°C and 32°C ~~beginning~~ at the spikelet differentiation stage. The  
98 differentially expressed genes (DEGs) of young panicles in the two cultivars under the  
99 two temperature treatments were identified ~~and they were further analyzed~~ by Gene  
100 Ontology (GO) enrichment and Kyoto Encyclopedia of Genes and Genomes (KEGG)  
101 analysis to improve our understanding of the molecular mechanism of heat-induced  
102 inhibition of spikelet development and to provide an important ~~reference insight~~ for rice  
103 breeding.

104

## 105 **Materials and methods**

### 106 **Plant materials and heat stress treatments**

107 ~~We used the inbred indica rice (Oryza sativa L.) cultivars~~ Rice cultivars HHZ  
108 ~~(heat tolerant)~~ and IR36 ~~(heat susceptible)~~ were used in this study. Pre-germinated  
109 seeds were sown in seed trays filled with a ~~matrix consisting mixture~~ of vermiculite,  
110 charcoal, soil, and slow-release fertilizer. After 20 days, the seedlings were transplanted  
111 into pots ~~(with four seedlings per pot)~~. Each pot (24 cm length × 22.5 cm width × 21.5  
112 cm height) contained 10 kg air-dried paddy soil. The pot ~~sted rice plants~~ were kept under

113 natural environmental conditions.

114 The amount of fertilizer applied to each pot was based on ~~fertilizer used in a~~ field  
115 ~~rice production, which application rate of~~ 14 kg nitrogen per 666.7m<sup>2</sup>. Before  
116 transplanting into the pots, 3.5 g compound fertilizer (nitrogen: phosphorus: potassium  
117 = 15%:15%:15%) was applied to each pot. At the tillering stage, 0.6 g urea was  
118 supplemented in each pot. At panicle initiation, 0.6 g urea and 0.5 g potassium chloride  
119 were also applied in each pot. Pests, diseases, and weeds were intensively controlled.

120 Automatic growth chambers were used ~~to control the two~~for temperature  
121 treatments ~~used on the study~~. The high-temperature (40°C) and control temperature  
122 (32°C) treatments were imposed for eight hours each day from 9:30 to 17:30 h; ~~(the~~  
123 ~~temperature~~-setting details are shown in Table 1). The humidity in the chambers was  
124 maintained at 75-80%. Rice plants were grown under natural ambient conditions during  
125 all the growth stages before and after the ~~high temperature and control temperature~~  
126 treatments ~~were applied~~.

127 Plants were moved to the growth chambers on the approximate date of spikelet  
128 differentiation when the panicle length was approximately 0.2 cm (60-70 d after seed  
129 sowing). ~~Rice p~~Plants were exposed to the different temperature treatments for nine  
130 days ~~at spikelet differentiation stage (panicle length  $\approx$  2 mm) and then returned to~~  
131 ~~ambient conditions~~. Each treatment ~~included had~~ three replicates ~~(with 20 pots per/~~  
132 ~~replicate)~~. ~~Plants were moved to the growth chambers on the approximate date of~~  
133 ~~spikelet differentiation when the panicle length was approximately 0.2 cm (60-70 d~~  
134 ~~after seed sowing).~~

135

136

### 137 **Panicle and spikelet morphology**

138 Ten main tillers were sampled per replicate on day 9 of treatment to ~~monitor~~  
139 ~~investigate~~ young panicle development ~~treated~~ under 40°C and 32°C.

140 Spikelet differentiation or degeneration of the main tiller panicles was determined  
141 at the heading stage. The number of degenerated spikelets was calculated by counting  
142 the vestiges present on the panicles. The number of differentiated spikelets was the sum  
143 of the surviving and degenerated spikelets. The proportion of degenerated spikelets was  
144 ~~calculated as number of degenerated spikelets per number of total differentiated~~  
145 ~~spikelets  $\times$  100%~~then estimated.

146 Spikelet morphology was observed under a stereomicroscope (Olympus SZX7,  
147 Olympus Corporation, Tokyo, Japan) and glume length (mm) and glume width (mm)  
148 were measured at 0.63x and 2.5x using Image Pro-Plus 5.1(Olympus SZX7, Olympus  
149 Corporation, Tokyo, Japan).

150

### 151 **RNA extraction, transcriptome sequencing, and mapping**

152 After nine days at 40 °C or 32 °C treatment, young panicles of 20 main tillers were  
153 collected from each replicate at 12:00-13:00, and immediately frozen in liquid nitrogen.  
154 In quick succession, TRIzol reagent (Invitrogen, Carlsbad, CA, USA) was used to  
155 extract total RNA from the young panicles according to the manufacturer's instructions.  
156 A TruSeq RNA Sample Preparation Kit (Illumina Inc., CA, USA) was used to generate  
157 12 sequencing libraries according to the manufacturer's instructions. The sequencing  
158 libraries were then sequenced on a HiSeq platform (Illumina, Inc., CA, USA). High  
159 quality sequence reads were obtained after filtering from raw data and were then  
160 compared to the 9311 reference genome (*Oryza\_indica*.ASM465v1.dna.toplevel.fa)  
161 obtained from <http://www.ensembl.org/>. The raw RNA sequence data were submitted  
162 to the NCBI Sequence Read Archive with accession number PRJNA508820.

### 163

### 164 **Gene expression level and differential expression analysis**

165 We used HTSeq (0.9.1) to statistically compare the read count values of each gene  
166 as the original expression of the gene, and fragments per kilobase of transcript per  
167 million mapped reads (FPKM) was used to standardize the expression. Next, we used  
168 DESeq (1.30.0) to analyze differential expression of genes with the following screening  
169 conditions: an expression difference of  $|\log_2\text{foldChange}| > 1$  and a significant *P-value*  
170  $< 0.05$ .

### 171

### 172 **GO and KEGG enrichment analysis of DEGs**

173 For GO enrichment analysis of DEGs, we used the Singular Enrichment Analysis  
174 tool in AgriGO (<http://bioinfo.cau.edu.cn/agriGO/analysis.php>) with default  
175 parameters, and a *P-value*  $\leq -0.05$  to indicate significant enrichment. KEGG  
176 enrichment analysis of DEGs was performed using KOBAS software with default  
177 parameters and *P-value*  $\leq -0.05$  indicating significant pathway enrichment.

### 178

### 179 **Verification of RNA-Seq by quantitative real-time PCR (qRT-PCR)**

180 First-strand cDNA was synthesized using ReverTra Ace qPCR RT Master Mix  
181 with gDNA Remover (Toyobo, Osaka, Japan) according to the manufacturer's  
182 instructions. The qRT-PCR analyses were performed using an Applied Biosystems 7500  
183 Real-Time PCR system with Power SYBR Green PCR Master Mix (Applied  
184 Biosystems, Carlsbad, CA, USA). The primers used for qRT-PCR are listed in  
185 Supplementary Table S1. The *OsUBQ* gene was used as an internal control. Relative  
186 gene expression levels were determined from the equation  $2^{-\Delta\Delta CT}$  (Czechowski *et al.*  
187 2004), where  $\Delta\Delta CT$  represents  $\Delta CT$  (target gene of interest)  $-\Delta CT$  (control gene).

### 188

### 189 **Statistical analyses**

190 Microsoft Excel 2016 (Microsoft Inc., Redmond, WA, USA) was employed for data

191 collection. The panicle and spikelet morphological data collected for the 40°C and 32°C  
192 treatments (mean of three replicates) were statistically analyzed by Student's t-test  
193 ( $P < 0.05$ ). Graphs were created using Origin 9.1 (~~Ver. 9.1~~, OriginLab, Northampton,  
194 MA, USA).

## 196 Results

### 197 Spikelet development at high temperature

198 A preliminary experiment showed a significant difference in panicle development  
199 measured as spike differentiation after nine days of high-temperature treatment. The  
200 current results reported here are consistent with ~~these~~ preliminary findings. High  
201 temperature for nine days during spikelet differentiation inhibited young panicle growth;  
202 the 40°C treatment reduced young panicle length by 37.4% in the HHZ cultivar and  
203 84.9% in the IR36 cultivar over the 32°C control treatment (Fig. 1a,b). In addition, the  
204 heat treatment reduced the dry weight of young panicles by 35.8% and 95.1%,  
205 respectively (Fig. 1c), significantly reduced spikelet survival by 22.3% and 53.6% (Fig.  
206 2a), reduced the number of differentiated spikelets by 9.6% for HHZ and 33.2% for  
207 IR36 (Fig. 2b), and increased the proportion of degenerated spikelets by 32.3% and  
208 67.4%, respectively (Fig. 2c). After nine days of high-temperature and control  
209 temperature treatment, approximately 15-20 days were needed for panicles to complete  
210 growth. The heat treatment reduced glume length by 10.3% for HHZ and by 16.0% for  
211 IR36 (Fig. 3b), and reduced glume width by 12.0% and 8.0%, respectively (Fig. 3c).  
212 The reductions in spikelet number and size led to reductions in panicle weight and rice  
213 yield (TableS2); the heat-susceptible IR36 ~~experienced~~experienced a greater reduction  
214 than heat-tolerant HHZ, suggesting that high temperature had ~~a weaker~~less effects on  
215 young panicle development in the heat-tolerant cultivar.

### 217 Transcriptome analysis

218 Under the 32°C control temperature, a total of 44.2 million and 48.9 million raw  
219 reads were obtained from HHZ (referred to as HHZ\_32) and IR36 (referred to as  
220 IR36\_32), respectively. Under the 40°C treatment, a total of 45.5 million raw reads  
221 were obtained from both HHZ (HHZ\_40) and IR36 (IR36\_40) (Table 2 and Table S3).  
222 More than 99.0% clean reads were obtained for downstream analyses. The results of  
223 RNA sequence mapping indicated that 85.8-88.0% of the clean reads could be mapped  
224 ~~onto the reference genome and most of them were uniquely mapped. On average, 84.8%~~  
225 ~~of the clean reads from HHZ\_32 and 83.0% of the clean reads from HHZ\_40 were~~  
226 ~~uniquely mapped onto the reference genome, and for IR36, 83.1% of the clean reads~~  
227 ~~from IR36\_32 and 83.4% of the clean reads from IR36\_40 were uniquely mapped onto~~  
228 ~~the reference genome~~ (Table S42).

229

## 230 Identification of DEGs

231 To compare the differences between the two cultivars at 40°C and 32°C, we used  
232 four comparison groups: HHZ\_32 vs HHZ\_40, IR36\_32 vs IR36\_40, IR36\_40 vs  
233 HHZ\_40, and IR36\_32 vs HHZ\_32. DEGs for the four groups were restricted to those  
234 with a  $|\log_2\text{fold change}| > 1$  and a  $P\text{-value} < 0.05$ . With these criteria, 3342, 2469, 2949,  
235 and 2461 DEGs were detected for HHZ\_32 vs HHZ\_40, IR36\_32 vs IR36\_40, IR36\_40  
236 vs HHZ\_40, and IR36\_32 vs HHZ\_32, respectively (Fig.4). Significantly different gene  
237 expression was observed both between cultivars and between treatments. For HHZ,  
238 1,794 genes were upregulated and 1548 genes were downregulated in the 40°C  
239 treatment compared with the 32°C treatment (Fig.4a). Furthermore, 1140 genes were  
240 upregulated and 1,329 genes were downregulated in IR36 under the 40°C treatment  
241 compared with the 32 °C treatment (Fig.4b). For comparisons within treatments, 1,408  
242 genes were upregulated and 1,541 were downregulated in the IR36\_40 vs HHZ\_40 and  
243 893 genes were upregulated and 1,751 genes were downregulated in the IR36\_32 vs  
244 HHZ\_32 group (Fig.4c and d).

245

## 246 Classification of DEGs

247 In all four groups, a total of 5533 unique DEGs were identified, and these DEGs  
248 could be divided into 15 disjointed subgroups (Fig. 5). Among the 15 subgroups, eight  
249 from the IR36\_32 vs HHZ\_32 group were excluded from the analysis because they  
250 were not influenced by high temperature. In addition, 1,157, 603, 524, and 402 DEGs  
251 were specifically-unique identified in HHZ\_32 vs HHZ\_40, IR36\_32 vs IR36\_40,  
252 IR36\_40 vs HHZ\_40, and IR36\_32 vs HHZ\_32, respectively. The DEGs in groups  
253 responsive to high temperature could be further classified into three categories: heat-  
254 tolerance-cultivar-related genes (RHR, 1,689-688 genes), heat-susceptible-cultivar-  
255 related genes (SHR, 707 genes), and common heat stress-response genes (CHR, 1675  
256 genes) (Table 3 and Table S5). The DEGs in the RHR category benefited from heat  
257 resistance, while the DEGs in the SHR category presented the specific heat injury in  
258 the heat-susceptible cultivar.

259

## 260 Analysis of GO annotation

261 The purpose of GO enrichment analysis is to obtain GO functional terms with  
262 significant enrichment of DEGs, thus revealing the possible functions of the DEGs. Of  
263 all DEGs, 2,307 (69.0%), 1,680 (68.0%), 1,832 (62.1%), and 1,472 (59.8%) DEGs were  
264 enriched in GO terms in HHZ\_32 vs HHZ\_40, IR36\_32 vs IR36\_40, IR36\_40 vs  
265 HHZ\_40, and IR36\_32 vs HHZ\_32, respectively. There were 75, 11, 13, and 31  
266 significant GO terms observed in HHZ\_32 vs HHZ\_40, IR36\_32 vs IR36\_40, IR36\_40  
267 vs HHZ\_40, and IR36\_32 vs HHZ\_32, respectively (Fig. 6). The maximum number of

268 DEGs was observed for the heterocycle biosynthetic process in the IR36\_40 vs  
269 HHZ\_40 group. In IR36\_32 vs IR36\_40 and HHZ\_32 vs HHZ\_40, the DEGs were  
270 enriched in response to stimulus, in response to temperature stimulus, and in response  
271 to heat in the biological process category. Within the cellular component category, the  
272 DEGs were commonly enriched in chromatin, DNA packaging complex, and  
273 nucleosome in the IR36\_32 vs IR36\_40 and HHZ\_32 vs HHZ\_40 groups. However,  
274 there were no common GO terms in the category of molecular function in the IR36\_32  
275 vs IR36\_40 and HHZ\_32 vs HHZ\_40 groups.

276 We further identified GO term categories for DEGs in the RHR, SHR, and CHR  
277 categories (Fig. 7 and Table S6). Among the 1,689 DEGs in RHR, 54 significant GO  
278 terms were detected. However, no significant GO terms were observed among the ~~485~~  
279 ~~707~~ DEGs in SHR. In CHR, 30 significant GO terms were detected. In the CHR group,  
280 eight significant GO terms were in the biological process category, including response  
281 to stimulus, response to temperature stimulus, and response to heat; 17 GO terms were  
282 in the cellular component category, and two significant GO terms were in the molecular  
283 function category. In the RHR group, 30, 14 and 10 significant GO terms were in the  
284 biological process, cellular component, and molecular function categories, respectively.  
285 The most significant GO terms, in decreasing order, were RNA biosynthetic process,  
286 nucleus, and DNA binding. In the molecular function category, 50 DEGs were  
287 specifically assigned to DNA-binding transcription factor activity, which may play an  
288 important role in heat stress tolerance.

289 The 50 DEGs of DNA-binding transcription factor activity could be divided into  
290 ~~1142~~ transcription factor (TF) families (Fig. 8), including HSF (1), WRKY (6), MADS  
291 (12), HD-ZIP (7), GATA (3), ERF (12), ABAI (1), b-ZIP (4), ARR-B (2), E2F (1), and  
292 NF-YA (1). Expression of the genes *BGIOSGA006348* of HSF, *BGIOSGA010835* of  
293 ABAI, *BGIOSGA010142* of HAP, and *BGIOSGA000303* and *BGIOSGA000304* of  
294 ARR-B were significantly upregulated. In addition, five genes in WRKY, eight genes  
295 in MADS, two genes in HD-ZIP, two genes in GATA, six genes in ERF, and two genes  
296 in b-ZIP were also upregulated (Table S7). These results suggest that, these 30 TF genes  
297 may play important roles in heat stress resistance.

298

### 299 **Analysis of KEGG pathway enrichment**

300 In the KEGG analysis, 1158 DEGs were classified into 225, 191, 239, and 211  
301 functional pathways in HHZ\_32 vs HHZ\_40; 838 DEGs in IR36\_32 vs IR36\_40; 732  
302 DEGs in IR36\_40 vs HHZ\_40; and 539 DEGs in IR36\_32 vs HHZ\_32, respectively. A  
303 total of 79 pathways were significant ( $P < 0.05$ ) (Fig. 9). Among these pathways, the  
304 phenylpropanoid biosynthesis pathway was common in HHZ\_32 vs HHZ\_40, IR36\_32  
305 vs IR36\_40, and IR36\_40 vs HHZ\_40, which suggests that heat stress impaired  
306 phenylpropanoid biosynthesis.



307 Based on further analysis of the three categories with different heat-stress  
308 responses, 146 DEGs in RHR were involved in 15 overrepresented pathways, including  
309 purine metabolism, pyrimidine metabolism, and amino sugar and nucleotide sugar  
310 metabolism; 45 DEGs in SHR were involved in 11 overrepresented pathways, including  
311 arginine biosynthesis, starch and sucrose metabolism, and polyketide sugar unit  
312 biosynthesis; and 184 DEGs in CHR were involved in 29 overrepresented pathways  
313 (Fig. 10 and Table S8).

314 A previous study showed that plant hormones are important for panicle  
315 development. Among the 15 KEGG pathways in RHR, 21 DEGs were involved in plant  
316 hormone signal transduction, of which 14 DEGs were upregulated in HHZ; three DEGs  
317 were involved in cytochrome P450 metabolism, which plays a role in brassinosteroid  
318 (BR) biosynthesis, and two were upregulated (Table 4).

319 In SHR and CHR, there were three common pathways: the starch and sucrose  
320 metabolism pathway, the NOD-like receptor signaling pathway, and the estrogen  
321 signaling pathway. Carbohydrate accumulation was essential for panicle development.  
322 In the KEGG analysis, seven DEGs involved in starch and sucrose metabolism were  
323 observed in SHR and 18 DEGs involved in starch and sucrose metabolism were  
324 observed in CHR. In SHR, the genes in HHZ were not different between HHZ\_40 and  
325 HHZ\_32. However, genes (*BGIOSGA010570*, *BGIOSGA026140*) encoding sucrose  
326 synthase (EC 2.4.1.13), genes (*BGIOSGA026976*, *BGIOSGA009181*,  
327 *BGIOSGA030796*) encoding trehalose-6-phosphate synthase (EC 2.4.1.15), and a gene  
328 (*BGIOSGA000509*) encoding trehalose-6-phosphate phosphatase (EC 3.1.3.12) were  
329 significantly down-regulated in IR36\_40 compared with IR36\_32. However, the gene  
330 (*BGIOSGA031385*) encoding beta-amylase (EC 3.2.1.2) was significantly upregulated  
331 in IR36\_40 compared with IR36\_32 (Table 5).

### 332 333 **qRT-PCR verification**

334 To confirm the accuracy of the RNA-Seq results, ten representative DEGs each in  
335 HHZ\_32 vs HHZ\_40 (a) and IR36\_32 vs IR36\_40 (b), as well as five DEGs each in  
336 IR36\_40 vs HHZ\_40 (c) and IR36\_32 vs HHZ\_32 (d) were chosen to determine relative  
337 expression. Among the ten DEGs in HHZ\_32 vs HHZ\_40, five DEGs were in RHR:  
338 *BGIOSGA022020* is related to BR synthesis, *BGIOSGA006348* encodes a heat shock  
339 factor (Hsf), *BGIOSGA017088* is involved in the ETH TF family, *BGIOSGA006285*  
340 participates in ethylene responsive regulation, and *BGIOSGA024710* is an auxin-  
341 responsive gene involved in plant hormone transduction. Among the ten DEGs in  
342 IR36\_32 vs IR36\_40, five were in SHR and encoded cytokinin oxidase/dehydrogenase  
343 (*BGIOSGA005140*), sucrose synthase (*BGIOSGA026140*), trehalose-6-phosphate  
344 synthase (*BGIOSGA026976*), trehalose-6-phosphate phosphatase (*BGIOSGA000509*),  
345 and catalase (*BGIOSGA007252*). Four DEGs were in CHR for HHZ\_32 vs HHZ\_40

346 and IR36\_32 vs IR36\_40, and two common genes, namely, *BGIOSGA032653* and  
347 *BGIOSGA015767*, were validated. *BGIOSGA032653* is involved in phenylpropanoid  
348 biosynthesis and *BGIOSGA015767* encodes a heat shock protein (HSP). The qRT-PCR  
349 results for these DEGs were all consistent with the RNA-Seq data (Fig.11).

## 351 Discussion

352 Rice plants exposed to high temperature growing conditions during spikelet  
353 differentiation inhibited panicle initiation and reduced the spikelet number per panicle  
354 (Fig.1 and Fig-2). Previous studies have shown that the genes *SPI*, *ASPI*, *TUT1*, *PAA2*,  
355 and *OsALMT7* are closely related to branch and spikelet development in rice, but in the  
356 current study, we observed no significant difference in expression of these genes  
357 between the 40°C treatment and the 32°C control treatment in either rice cultivars. This  
358 indicates that these genes ~~did~~ might not respond to the high temperature treatment in  
359 young panicles.

360 In general, the upregulation of HSPs contributes to the heat stress response in  
361 plants (Guan *et al.* 2010; Jagadish *et al.* 2010; Jung *et al.* 2013). Moon *et al.* (2014)  
362 reported that heterologous overexpression of *OsHSP1* (*BGIOSGA015767*, encoding a  
363 heat shock protein) increased heat tolerance in Arabidopsis. However, in the current  
364 study, *BGIOSGA15767* expression was upregulated in both HHZ (log2 fold-change  
365 (HHZ\_40/HHZ\_32) = 5.7, *P*-value=0) and IR36 (log2 fold-change  
366 (HHZIR\_40/HHZIR\_32) = 5.0, *P*-value=0), and there was no difference in the GO  
367 terms between cultivars for HSP. GO enrichment analysis revealed that the DEGs were  
368 commonly enriched in response to heat, stress and temperature stimuli in the biological  
369 process category (Fig.7). These results demonstrate that high temperature growing  
370 conditions directly damage young panicle development.

371 An important factor determining heat tolerance is antioxidant capacity (Lan *et al.*  
372 2016). Buer *et al.* (2010) reported that flavonoids can positively regulate reactive  
373 oxygen species, which can affect the transport of plant hormones and influence pollen  
374 development. The flavonoid synthesis pathway was overrepresented in the IR36\_32 vs  
375 IR36\_40 group. Specifically, five genes involved in flavonoid synthesis  
376 were downregulated at 40°C, which might indicate a reduction in the antioxidant  
377 capacity of IR36 under heat stress. In addition, 14 DEGs in the IR36\_32 vs IR36\_40  
378 group were enriched in the peroxisome pathway. Among these, 10 DEGs were  
379 significantly downregulated and four DEGs were significantly upregulated. However,  
380 the peroxisome pathway was not significant in the KEGG analysis of HHZ\_32 vs  
381 HHZ\_40 (Fig. 8). *BGIOSGA007252* and *BGIOSGA011520*, which encoded catalase  
382 (EC:1.11.1.6), were significantly downregulated at 40°C compared with 32°C in IR36,  
383 while no expression differences were observed in HHZ\_32 vs HHZ\_40. This suggested

384 that high temperature had ~~s~~-greater negative effects on the antioxidant capacity of IR36  
385 than HHZ, which provides primary explanation for the greater heat injury observed in  
386 the young IR36 panicles than in HHZ, which provides a primary explanation for the  
387 greater heat injury observed in the young IR36 than in the HHZ panicles.

388 Regulation of endogenous hormones has important effects on the development of  
389 young panicles. Wu *et al.* (2017) reported that a lower spikelet number under high  
390 temperature growing conditions was associated with cytokinin degradation. In the  
391 current study, *BGIOSGA001314*, which encodes a cytokinin-activity enzyme, did not  
392 differ between the 40°C and 32°C treatments in HHZ ( $\log_2(\text{HHZ}_{40}/\text{HHZ}_{32}) = -0.41$ )  
393 or IR36 ( $\log_2(\text{IR36}_{40}/\text{IR36}_{32}) = -0.38$ ). However, ~~F~~the gene *BGIOSGA005140*,  
394 which encodes cytokinin oxidase/dehydrogenase, was significantly upregulated in the  
395 IR36<sub>32</sub> vs IR36<sub>40</sub> group ( $\log_2$  fold change=1.67, *P*-value=0.004), but was not  
396 different in the HHZ<sub>32</sub> vs HHZ<sub>40</sub> group ( $\log_2$  fold change=0.86, *P*-value=0.088).  
397 These results are consistent with those of Wu *et al.* (2016) and suggested that spikelet  
398 formation is associated with cytokinin degradation, and that more degradation occurred  
399 at the high temperature in the heat-susceptible cultivar than in the heat-tolerant cultivar.

400 The DEGs in RHR were enriched in 54 GO terms (Fig.6a). GO term analysis  
401 revealed biological processes promoting resistance to heat stress in the heat-tolerant  
402 cultivar HHZ. Downregulation of *BGIOSGA022020* in the heterocycle biosynthetic  
403 process induces GRAS protein reduction, which promotes BR synthesis to enhance heat  
404 tolerance (Vriet *et al.* 2012). In the molecular function category for RHR, 50 DEGs  
405 were involved in DNA-binding transcription factor activity. *BGIOSGA006348* encoded  
406 an HSF TF and was upregulated in the HHZ<sub>32</sub> vs HHZ<sub>40</sub> group, but there was no  
407 difference in the IR36<sub>32</sub> vs IR36<sub>40</sub> group. Wang *et al.* (2009) reported that higher  
408 expression of heat shock TFs contributed to high temperature tolerance. WRKY genes  
409 encode TFs that play important roles in abiotic stress responses (Chen *et al.* 2010),  
410 especially to abscisic acid (ABA) (Zhen *et al.* 2005). In this study, five DEGs were  
411 WRKY TFs, namely, *BGIOSGA003134*, *BGIOSGA029574*, *BGIOSGA005924*,  
412 *BGIOSGA024948*, and *BGIOSGA033505*, which might promote young panicle  
413 development associated with sucrose consumption mediated by ABA under high  
414 temperature (Feng *et al.* 2018). However, few studies have reported the relationship  
415 between the WRKY family and heat resistance, which should be further studied.  
416 *BGIOSGA029574* is a general stress-response gene, which has putative functions in  
417 distinct cellular processes, such as transcription regulation, stress response, and sugar  
418 metabolism under Fe-excess-induced, dark-induced and drought-induced stress  
419 (Ricachenevsky *et al.* 2010). Of the 10 DEGs in the ETH family, five genes were  
420 downregulated and the down-regulation of *BGIOSGA017088* reduced the ABA content  
421 and promoted gibberellin (GA) signal transduction, which is beneficial for rice plant  
422 growth (Yaish *et al.* 2010). Upregulation of *BGIOSGA006285*, *BGIOSGA010867*,

423 *BGOSGA030019*, *BGOSGA005915*, and *BGOSGA012535* plays an important role in  
424 ethylene response regulation. Cao *et al.* (2006) reported that the upregulation of  
425 *BGOSGA005915* enhanced tolerance to salt, cold, drought, and wounding and the  
426 current study revealed that ~~the~~ this gene might also contributed to the improvement of  
427 high-temperature stress resistance. *BGOSGA000303* and *BGOSGA000304* are genes  
428 in the cytokinin receptor family and upregulation of these two genes promotes cytokinin  
429 activation (Ito & Kurata 2006). The MADs box gene is related to flower development  
430 (Kobayashi *et al.* 2012) and the upregulation of the MAD genes in RHR indicated that  
431 the MAD family might enhance heat stress tolerance. The HZ-ZIP TF family might  
432 have a similar function.

433 In the RHR category, the DEGs enriched in the KEGG pathways appear beneficial  
434 for heat-stress tolerance, including plant hormone signal transduction and BR  
435 biosynthesis. Twenty-one DEGs were involved in plant hormone signal transduction,  
436 of which 14 DEGs were upregulated, including the auxin-responsive genes,  
437 *BGOSGA024710*, *BGOSGA001585*, *BGOSGA019301* and *BGOSGA037837*, which  
438 facilitate rice plant growth (Hagen & Guilfoyle 2002). In BR biosynthesis,  
439 *BGOSGA002945*, which encodes D2/CYP90D2 that catalyzes the steps from 6-  
440 deoxoteasterone to 3-dehydro-6-deoxoteasterone and from teasterone to 3-  
441 dehydroteasterone, was upregulated to promote BR synthesis in the latter pathway  
442 (Hong *et al.* 2003), and *BGOSGA001585* was downregulated to promote BR activity  
443 (Sakamoto *et al.* 2011). These 16 genes might contribute to young panicle development  
444 under high temperature. However, *BGOSGA014915*, which participates in BR  
445 synthesis, was downregulated in RHR. Previous reports have found that BRs can  
446 modulate plant metabolic responses to environmental abiotic stresses (Vriet *et al.* 2012;  
447 Wang *et al.* 2018), but how BR metabolism modulates spikelet development under high  
448 temperature needs a further study.

449 Carbohydrate accumulation is essential for panicle initiation (Tian *et al.* 2016).  
450 KEGG analysis showed that the phenylpropanoid biosynthesis pathway was commonly  
451 overrepresented in HHZ\_32 vs HHZ\_40, IR36\_32 vs IR36\_40, and IR36\_40 vs  
452 HHZ\_40. The phenylpropanoid biosynthesis pathway is involved in lignin synthesis,  
453 which suggests that high temperature inhibits lignin synthesis; however,  
454 phenylpropanoid biosynthesis was not associated with the heat tolerance in ~~the~~  
455 differentour resistant cultivars (Fig. 9).

456 In the SHR category, seven DEGs were enriched in the starch and sucrose  
457 metabolism pathway (Fig. 910b). This pathway was also highly represented in CHR  
458 (Fig. 9e10c). Such genes are involved in the downregulation of genes encoding beta-  
459 fructofuranosidase, fructokinase, beta-glucosidase, trehalose-6-phosphate phosphatase,  
460 alpha-trehalase, and others. Sucrose degrades into uridine 5'-diphosphoglucose and  
461 fructose, which are major forms of carbon that are utilized as energy supplements. A

462 reduction in the activities of enzymes involved in sucrose hydrolysis inhibits sucrose  
463 utilization, which impairs panicle development. Trehalose-6-phosphate synthase,  
464 trehalose-6-phosphate phosphatase, and alpha-trehalase are involved in trehalose  
465 synthesis. Trehalose plays an important role in abiotic stress resistance, and trehalose-  
466 6-phosphate, an intermediate product of trehalose synthesis participates in sucrose  
467 signal transduction (Lunn *et al.* 2006; Ruan 2014). Nunes *et al.* (2013) reported that  
468 trehalose-6-phosphate served as a sugar signal that could induce the expression of genes  
469 associated with the alleviation of abiotic stress injury. In this study, some DEGs in CHR  
470 were also upregulated to promote trehalose-6-phosphate synthesis, and the upregulation  
471 of *BGIOSGA026976*, *BGIOSGA009181*, and *BGIOSGA030796* promoted trehalose-6-  
472 phosphate synthesis in SHR. The gene encoding trehalose-6-phosphate phosphatase,  
473 *BGIOSGA000509*, was significantly downregulated in IR36 at 40°C compared with  
474 32°C, which might have reduced trehalose content and in turn disrupted the  
475 carbohydrate distribution. ~~The~~ Our results suggest that trehalose-6-phosphate  
476 metabolism was disordered under the high temperature growing condition and that the  
477 heat-susceptible cultivar experienced greater inhibition than the heat-tolerant cultivar.

478 ~~In SHR, seven DEGs were associated with starch and sucrose metabolism.~~ Among  
479 these seven DEGs, the genes encoding sucrose synthesis, namely, *BGIOSGA010570*  
480 and *BGIOSGA026140*, were significantly downregulated in the IR36\_32 vs IR36\_40  
481 group, while no difference in expression was observed in the HHZ\_32 vs HHZ\_40  
482 group. Impairment of sucrose synthase activity reportedly reduced resistance to  
483 environmental stress, and *OsSUS3* inhibition reduced the heat tolerance of rice at the  
484 grain filling stage (Hirose *et al.* 2008; Takehara *et al.* 2018). The results of the present  
485 study suggested that sucrose impairment in the heat-susceptible cultivar aggravated  
486 spikelet reduction.

487 There is a close relationship between endogenous hormones and carbohydrate  
488 accumulation, which may suggest that the regulation of endogenous hormones in heat-  
489 tolerant varieties promoted the utilization of carbohydrates. Molecular marker-assisted  
490 selection can be carried out according to DEGs associated with hormone metabolism  
491 in the study of RHR.

492

## 493 **Conclusions**

494 In summary, heat stress-responsive DEGs in young panicles were identified by a  
495 transcriptome analysis of a heat-tolerant rice cultivar and a heat-susceptible rice cultivar  
496 grown at high temperature (40°C) and control temperature (32°C). Statistical analysis  
497 of a total of 5533 DEGs revealed three categories of genes (RHR, SHR, and CHR)  
498 containing a total of 4070 DEGs. We highlighted differential expression of a group of  
499 DNA-binding TF that was significantly enriched in the RHR category and differential

500 expression of genes involved in the starch and sucrose metabolism pathway that were  
501 overrepresented in the SHR category. Overall, the up-regulation of DEGs related to  
502 plant hormones and signal transduction were specifically beneficial for young panicle  
503 development grown at high temperature. Heat-tolerant cultivars increase endogenous  
504 hormones and maintain a stable carbohydrate metabolism pathway under high  
505 temperatures. ~~In addition~~ However, certain metabolic pathways, including starch and  
506 sucrose metabolism, were much more specifically damaged in heat susceptible cultivars  
507 under high temperatures, thus aggravating the inhibition of panicle development. The  
508 identification of DEGs in this study improves our understanding of the molecular  
509 mechanisms of heat resistance in young panicles; ~~heat-tolerant cultivars increase~~  
510 ~~endogenous hormones and maintain a stable carbohydrate metabolism pathway under~~  
511 ~~high temperature growing conditions.~~

512

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