## 1 Testing the function of dromaeosaurid (Dinosauria,

## Theropoda) 'sickle claws' through musculoskeletal

# **modelling and optimization**

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## **Abstract**

 Dromaeosaurids were a clade of bird-like, carnivorous dinosaurs that are well known for their characteristic morphology of pedal digit II, which bore an enlarged, sickle-shaped claw and permitted an extreme range of flexion—extension. Proposed functions for the claw often revolve around predation, but the exact manner of use varies widely. Musculoskeletal modelling provides an avenue to quantitatively investigate the biomechanics of this enigmatic system, and thereby test different behavioural hypotheses. Here, a musculoskeletal model of the hindlimb and pes of *Deinonychus* was developed, and mathematical optimization was used to assess the factors that maximize production of force at the claw tip. Optimization revealed that more crouched hindlimb postures (i.e., more flexed knees and ankles) and larger flexor muscle volumes consistently increased claw forces, although the optimal degree of digit flexion or extension depended on assumptions of muscle activity and fibre operating range. Interestingly, the magnitude of force capable of being produced at the claw tip was relatively small, arguing against it being used for transmitting a large proportion of body weight into a substrate. Collectively, the results best support a grasping function for digit II (e.g., restraint of small-bodied prey), although other behaviours involving flexed hindlimbs cannot be excluded.

## **Introduction**

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- 63 Dromaeosaurids were a long-lived, geographically widespread and highly diverse clade of small-
- 64 to medium-sized theropod dinosaurs that were very closely related to birds (Norell & Makovicky
- 65 2004; Turner et al. 2012; Turner et al. 2007). One of the most striking anatomical features of this
- group is a highly modified pedal digit II (second toe), the likes of which are not observed in any
- 67 living species. Specifically, the articular facets of the first and second phalanges enabled extreme
- digit hyperextension, in addition to strong digit flexion, and the ungual (claw) was larger and
- 69 more strongly curved than those of the other pedal digits (Fig. 1A; Norell & Makovicky 1997;
- Norell & Makovicky 2004; Ostrom 1969; Senter 2009; Turner et al. 2012). This morphology is
- 71 also present in troodontids, which are often recognized as the sister group to dromaeosaurids
- 72 (Turner et al. 2012), although see Godefroit et al. (2013) for a different interpretation. In
- 73 phylogenetically derived dromaeosaurids (eudromaeosaurs) such as *Deinonychus*, *Velociraptor*
- and *Utahraptor*, the ungual of digit II becomes further enlarged and sickle-shaped, in association
- 75 with relative shortening of the metatarsus.

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- Owing to its unique morphology and mobility, the function of pedal digit II has featured
- 78 prevalently in discussions of dromaeosaurid palaeobiology. A wide variety of hypotheses have
- 79 been proposed for the how digit II was used in life, which include:
- 1. Used in combination with kicking behaviour of one hindlimb (while balancing on the other
- limb) to cut, slash or disembowel prey (Fig. 1B; Adams 1987; Ostrom 1969; Ostrom 1990);
- the prey animal may be smaller or larger than the predator.
- 83 2. After leaping onto the flanks of a prey animal (which is larger than the predator), the claws are
- used to pierce and grip the hide of the prey, allowing the predator to hold onto struggling prey
- and position itself appropriately for delivering bites (Fig. 1C; Manning et al. 2009; Manning et
- al. 2006). The efficacy of this technique is supported by analogy with the curved manual claws
- of felids (Bryant et al. 1996) and was also demonstrated using a life-sized physical model by
- Manning et al. (2006), although Fowler et al. (2011) raised concerns over the latter study's
- 89 model accuracy.
- 90 3. After leaping onto the flanks of a prey animal (which again is larger than the predator), the
- 91 predator uses its body weight to drive the claws into and down the sides of the prey to inflict

**Comment:** Can we have a reference for this statement please?

- large wounds (Fig. 1D; Henderson 2012). A variant of this is where the predator actively 92 93 employs a kicking and slashing action whilst on the prey's flanks (Paul 1988).
- 4. The claw is used to pierce or slash specific parts of the prey, targeting vital areas such as the 94 blood vessels or trachea in the neck (Fig. 1E; Carpenter 1998). This is often inferred to be the 95 case in the famous 'fighting dinosaurs' specimen of a Velociraptor preserved alongside a 96
- Protoceratops (Barsbold 2016; Carpenter 1998; Kielan-Jaworowska & Barsbold 1972). 97
- 98 5. The claws are used to help pin down and restrain prey of size equal to or smaller than the 99 predator, allowing it to be attacked and dismembered by the mouth and forelimbs (Fig. 1F; Fowler et al. 2011). The morphology of the claws and feet in many dromaeosaurids is 100 consonant with a gripping or grasping function (Fowler et al. 2011). 101
- 6. Used in defence, such as by kicking as often used by various extant birds (Fig. 1G; Colbert & 102 103 Russell 1969; Senter 2009), although whether this occurred during inter- or intraspecific 104 interactions has not been specified.
- 7. Used in a digging action to extract prey from nests or burrows, possibly involving a 'hook and 105 pull' motion of a strongly flexed digit (Fig. 1H; Colbert & Russell 1969; Senter 2009; 106 107 Simpson et al. 2010).

It is worth noting that the different proposed behaviours involve both markedly different degrees 109 110 of digit flexion-extension and different whole-limb postures. In contrast to the uncertainty surrounding how digit II was used, however, it is widely agreed that when not in use (e.g., 111 112 locomotion) it was held in a retracted (extended) state, with the claw held well off the ground as illustrated in Fig. 1A. This is supported by numerous didactyl and narrow-gauge bipedal fossil 113 trackways of Mesozoic age, for which dromaeosaurids or troodontids are the only reasonable 114

115 makers (e.g., Li et al. 2008; Lockley et al. 2016; Xing et al. 2018; Xing et al. 2015), and is consistent with osteological range of motion (e.g., Colbert & Russell 1969; Currie & Peng 1993; 116

- Ostrom 1969; Paul 1988; Senter 2009) and articulated fossil specimens (e.g., Csiki et al. 2010;
- Norell & Makovicky 1997; Xu et al. 2003). 118

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120 One aspect of digit II function in dromaeosaurids that has received little attention is the musculoskeletal mechanism (or mechanisms) that underpinned claw use. In particular, the 121 extreme range of motion that digit II was apparently capable of implies substantial changes in 122

Comment: I'm actually skeptical about this. Footprints of the black seriema show that the large ungual touches the ground (Gaston et al. 2003). I am curious if anyone looked for a claw tip mark in the various didactyl footprints. it's one of those things that is more accepted with a shrug because it sounds fairly logical rather than really tested. I agree that the D-II phalanges show the ability for hyperextension, but I don't think it is necessarily for raising the ungual completely off the ground while walking. The size of the ungual alone would mean that, if the claw tip touched the ground, the phalanges would have to be partly

length of the musculotendon units (MTUs) that actuated the claw across the range of flexion-123 124 extension. This may have had important consequences for force production capacity, as the 125 amount of force that a muscle can actively develop depends on how stretched or contracted its constituent fibres are Turner et al. 2007(Fig. 2; McMahon 1984; Millard et al. 2013; Zajac 1989). 126 127 In addition to this, force can also be passively produced, both through stretch of the muscle fibres 128 and connective tissues and stretch of the in-series tendon. Thus, the force-length relationships of 129 muscle and tendon may constrain the kinds of digit and whole-limb postures at which maximal 130 claw force could have been attained, and in turn influence the manner in which the claw was used 131 in life. 132 133 Computational modelling of the musculoskeletal system provides a robust and quantitative means 134 of addressing this question, and in turn provides an avenue to investigating the function of digit II 135 in dromaeosaurids. Previously, musculoskeletal models have shed insight on diverse 136 palaeobiological topics, including muscle leverage (e.g., Bates et al. 2012; Bates & Schachner 2012; Hutchinson et al. 2008; Hutchinson et al. 2007; Maidment et al. 2014), bite forces (e.g., 137 138 Bates & Falkingham 2012; Bates & Falkingham 2018; Lautenschlager et al. 2016), posture and 139 locomotion (e.g., Bates et al. 2012; Bishop et al. 2018; Hutchinson et al. 2005; Nagano et al. 140 2005; Sellers et al. 2017). The present study developed a musculoskeletal model of the 141 dromaeosaurid hindlimb to examine how the force-length relationships of muscle and tendon 142 may influence claw force production. Framing the question as an optimization problem, the aim 143 was to determine the combination of factors (including digit and limb posture) that maximize claw force, with the view to testing the aforementioned hypotheses of how digit II was used in 144 life. In addition to addressing the specific question of claw use in dromaeosaurids, this study also 145 146 provides a methodological framework that may be adapted and used to address other 147 palaeobiological questions in the future. 148 149 150 151 152

154	<u>Methods</u>
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156	Approach of the current study
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158	Before the specific methodological details are presented in full, the key premises and
159	assumptions of the current study are outlined here.
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161	<u>Optimization</u>
162	The highly modified osteology of pedal digit II, in concert with the inference that it was not used
163	in locomotion (Li et al. 2008; Lockley et al. 2016; Xing et al. 2018; Xing et al. 2015), suggests
164	that it was used for a very specific purpose in life. Musculotendon anatomy was therefore
165	probably quite highly adapted for that single purpose, in contrast to other muscles in the hindlimb
166	which would be required to execute many different activities (see Hutchinson et al. 2015). As
167	such, the question of dromaeosaurid digit II function can be approached via the methods of
168	mathematical optimization. That is, the goal is to relate how claw force varies with
169	musculotendon anatomy, digit flexion and whole-limb posture, to identify the circumstances in
170	which the force produced at the claw tip is maximized.
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172	<u>Statics</u>
173	Common to each of the proposed hypotheses for dromaeosaurid digit II use (Fig. 1B-H) is an
174	implicit assumption that the force applied by the claw to the substrate (e.g., prey) is of greater
175	importance than the speed at which the claw tip moves with respect to more proximal limb
176	segments (e.g., metatarsus). As a consequence, all simulations in the present study were static
177	only; this assumption also helped to make the system more tractable for analysis and serves as a
178	useful starting point that may be built upon in the future.
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180	<u>Musculature</u>
181	During claw use, regardless of the source of the force being applied through the claw (e.g.,
182	intrinsic flexor musculature of digit II, more proximal muscles in the hindlimb, body weight, limb
183	inertia), the muscle that flexed the claw itself would have to be capable of matching or exceeding
184	these forces. Otherwise, upon encountering reaction forces from the substrate, the claw (and

possibly also more proximal parts of digit II) would undergo relative extension, diminishing its 185 186 effectiveness. It is likely that multiple muscles (both flexor and extensor) would have actuated 187 digit II in life. The extant phylogenetic bracket (Witmer 1995) of dromaeosaurids, crocodilians 188 and birds, possess multiple digital flexors and multiple digital extensors in the hindlimb (Allen et 189 al. 2015; Baumel et al. 1993; Cong 1998; George & Berger 1966). However, aside from the 190 flexor digitorum longus and extensor digitorum longus, the homology of these muscles among 191 archosaurs remains unclear (Carrano & Hutchinson 2002; Hutchinson 2002). Dromaeosaurids 192 were probably capable of controlling flexion-extension of digit II independent of the other digits, 193 as suggested by previously proposed behavioural hypotheses and their inferred ability to retract 194 the digit when it was not in use. Hence, any muscles that actuated digit II would have been 195 separate from those actuating other digits; as the flexor digitorum longus and extensor digitorum 196 longus are the only muscles to attach to the ungual in extant archosaurs, dromaeosaurids may 197 therefore be inferred to have had at the very least separate digit II derivatives of the flexor and 198 extensor digitorum longus. These two muscles would have been the two most important muscles 199 in digit II: if they were not strong enough to actuate the claw appropriately, then the digit's 200 function would be diminished. As such, in the current study only a single flexor and extensor 201 muscle were modelled, attaching to their respective tubercle on the ungual; these are responsible 202 for actuating the entire digit. This simplifying assumption again helps make the system more 203 tractable and can be built upon in future analyses.

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#### Digit II retraction

As noted above, dromaeosaurids are inferred to have held digit II in a retracted state when it was not in use. The mechanism by which this was achieved may have an important influence on musculotendon behaviour when the claw was in use, and so is deserved of careful consideration. There are at least four possible ways in which digit II was retracted above the ground:

 Snap ligaments that spanned the metatarsophalangeal and interphalangeal joints, which were engaged when the digit was retracted beyond a certain point (effected by active contraction of extensor musculature), upon which the digit would be passively held in place (Manning et al. 2006). This is analogous to the retractable claws of felids (Bryant et al. 1996; Gonyea & Ashworth 1975). 2. In a similar fashion to the digital flexor tendons of many extant neognath birds, the extensor musculature of digit II possessed a 'tendon locking mechanism' (Einoder & Richardson 2006; Einoder & Richardson 2007; Quinn & Baumel 1990) that involved a ratchet-like interaction between the tendon(s) and the surrounding sheath(s). This is functionally similar to snap ligaments, in that active muscle contraction engages the mechanism, upon which the digit is passively held in a retracted state. (See also Manning et al. (2009), who considered the possibility of a tendon locking mechanism in the *flexor* tendons that may have assisted with prey apprehension.)

- 3. The extensor musculature was constantly active, producing force that continually held the digit in a retracted state. When the musculature ceased firing, the digit would flex under its own weight (and possibly due to passive elasticity in stretched flexor musculature) back to an unretracted state.
- 4. The normal resting lengths of the flexor and extensor muscles were such that the digit was elevated above the ground when the muscles were inactive. That is, the weight of the digit (causing the digit to flex downward) was counteracted by passive elasticity in the extensor muscle and tendon (causing the digit to extend upward). This is functionally analogous to 'tenodesis grasp' in humans, where passive flexing of the digits occurs when the wrist undergoes extension (Mateo et al. 2013).

Each of the above mechanisms is speculative to some degree. There is no osteological evidence of snap ligaments in the pedes of non-avian theropods, nor are they known in the pedes of extant crocodilians or birds. Osteological evidence is also lacking for a tendon locking mechanism, and among extant archosaurs it is only known among neognath birds. Hence, inferring the existence of mechanisms 1 or 2 in dromaeosaurids is highly speculative; at best, level II' in the scheme of Witmer (1995). Mechanisms 3 and 4 are less speculative in that they do not require any specialized anatomical adaptations; of the two, mechanism 4 is the less energetically demanding, being entirely passive in nature. It is therefore deemed that mechanism 4 provides the most plausible strategy to maintaining digit II in a retracted state when not in use; this forms the basis for a constraint implemented in the optimizations (below).

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#### Musculoskeletal modelling

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248 A three-dimensional (3-D) musculoskeletal model of the hindlimb and pes of *Deinonychus* antirrhopus was used as the basis of the current study (Fig. 3). Deinonychus, which means 249 250 'terrible claw', was a relatively large (up to 3 m and 170 kg; Turner et al. 2007) dromaeosaurid 251 that lived in the Early Cretaceous of North America, and has been frequently studied with respect 252 to dromaeosaurid paleobiology (e.g., Fowler et al. 2011; Gignac et al. 2010; Gishlick 2001; 253 Ostrom 1969; Ostrom 1994). The geometry of the pes skeleton was acquired through X-ray 254 computed tomographic scanning (Toshiba Aquilion 64, 135 kV peak tube voltage, 250 mAs 255 exposure, 750 ms exposure time, 0.5 mm slice thickness, 0.625 mm pixel resolution) of a 256 complete articulated pes, specimen MOR 747 (Museum of the Rockies, Bozeman, USA). The 257 resulting scans were segmented using Mimics 17.0 (Materialize NV, Leuven, Belgium) to 258 produce surface meshes, which were then refined in 3-matic 9.0 (Materialize NV, Leuven, 259 Belgium) and ReMESH 2.1 (Attene & Falcidieno 2006). More proximal limb bones were sculpted digitally using Rhinoceros 4.0 (McNeel, Seattle, USA) based on comparison to the 260 261 literature (e.g., Ostrom 1969; Ostrom 1976), and were scaled appropriately with respect to the 262 pes. 263 264 The musculoskeletal model was constructed in NMSBuilder (Martelli et al. 2011; Valente et al. 2014) for use in OpenSim 3.3 (Delp et al. 2007). All joints were assigned a single degree of 265 266 freedom only, with the rotation axis fixed with respect to the 'parent' body in all cases. As the proximal limb bone geometries were sculpted, the knee and ankle joint axes were a priori set as 267 parallel to the global y-axis (mediolateral axis). The axes of the first and second interphalangeal 268 269 (IP) joints of digit II were determined in 3-matic, by fitting a cylinder to the outer margins of the 270 ginglymoid parts of the articular surfaces of the proximal bone involved, with the axis of the 271 cylinder taken to be the axis of rotation. Due to the strong asymmetry of the metatarsophalangeal 272 (MTP) joint of digit II, motion at this joint was modelled with a helical axis, with coupled

rotation about and translation along the axis. The location, orientation and amount of translation

van den Bogert 1997; see also Spoor & Veldpaus 1980) for MATLAB 8.0 (MathWorks, Natick,

USA), using three landmarks located on phalanx II-1 at pre-determined positions of maximum

per unit rotation of the helical axis was determined with the KineMat toolbox (Reinschmidt &

**Comment:** Add ref: Ostrom description of Deinonychus

flexion and extension with respect to metatarsal II. Subsequent visual inspection of the helical motion in OpenSim indicated that the resulting movement was satisfactory, keeping the distance between opposing articular surfaces approximately constant across the area of articulation. The ranges of motion assigned to the MTP and IP joints were based on preserved articular surface geometry, and included the range hypothesized by previous studies (e.g., Ostrom 1969; Senter 2009). So as to simplify the system for analysis, the motions of the two IP joints were programmatically coupled to that of the MTP joint in the model. That is, the angles of the two IP joints were functions of the MTP joint angle, such that only a single degree of freedom (MTP joint angle) was required to describe all three joints that effected digit flexion or extension. The coupling of motions between joints was linear, and was defined by the points of maximum flexion and extension for each joint:

 $\theta_{\text{Pl}} = 1.04211 \times \theta_{\text{MTP}} + 39.75756, \tag{1}$ 

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$$\theta_{\text{p2}} = 0.69474 \times \theta_{\text{MTP}} - 3.49496, \tag{2}$$

where  $\theta_{IP1}$ ,  $\theta_{IP2}$  and  $\theta_{MTP}$  are the first IP, second IP and MTP joint angles, respectively, measured in degrees. Ultimately, this meant that the digit was capable of 125° of motion (-65° flexion to 60° extension; Fig. 3D).

As noted above, only a single flexor and a single extensor muscle were modelled, which are inferred to be derivatives of the flexor digitorum longus and extensor digitorum longus, respectively. These muscles exhibit disparate origins among extant archosaurs: in crocodylians they both originate from the distal femur, whereas in birds they originate from the proximal tibia (Allen et al. 2015; Baumel et al. 1993; Cong 1998; George & Berger 1966). As such, two geometric variants of both muscles' MTU actuators were used: a 'short' version that originated from the proximal tibia, and a 'long' version that originated from the distal femur (Fig. 3C). The 3-D course of the MTUs were constrained to follow anatomically realistic paths across the model's entire range of motion, using a combination of via points and cylindrical or toroidal wrapping surfaces (Fig. 3A; (Delp et al. 1990; Garner & Pandy 2000)); the courses of the short and long MTUs were identical from the distal tibia onwards towards the ungual.

Insofar as the MTUs were concerned, the musculoskeletal model was used only to provide information on geometrical relationships, namely, how MTU lengths and moment arms varied

308 with respect to joint angle. The actual modelling of MTU activation-contraction dynamics was 309 undertaken in MATLAB, using the formulation of De Groote et al. (2016). This formulation is a Hill-type model (see Fig. 2A) that uses an implicit representation of activation—contraction 310 dynamics with tendon force as a state variable, and which is computationally robust and 311 312 conducive to efficient numerical optimization (e.g., by enabling algorithmic differentiation). The 313 musculoskeletal model was also used to calculate the moment about the MTP joint due to the 314 weight of the digit at a given MTP angle (used in the constraints described below). Mass properties of each digit segment were defined by first modelling the soft tissues with basic 315 geometries (elliptical frusta or cylinders) in Rhinoceros, and then assigning a bulk density to each 316 segment (1,000 kg/m<sup>3</sup> for phalanges II-1 and II-2; 1,500 kg/m<sup>3</sup> for the ungual). 317

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## 320 Optimization

thus:

For simplicity, all optimization simulations in the present analysis were static only. The
musculoskeletal model was used to identify the circumstances in which the force produced at the
claw tip is maximized. The optimization problem was solved for each MTP angle and was posed

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327 Given 
$$F_{\text{flex}} = f\left(\ell_{\text{O, flex}}, L_{\text{S, flex}}, \alpha_{\text{O, flex}}, F_{\text{max, flex}}, a_{\text{flex}}, l_{\text{flex}}\right)$$
(3)

$$F_{\text{ext}} = f\left(\ell_{\text{O,ext}}, L_{\text{S,ext}}, \alpha_{\text{O,ext}}, F_{\text{max,ext}}, a_{\text{ext}}, l_{\text{ext}}\right)$$
(4)

$$l_{\text{flex}} = f\left(\theta_{\text{MTP}}, \text{posture}\right) \tag{5}$$

$$l_{\text{ext}} = f\left(\theta_{\text{MTP}}, \text{posture}\right) \tag{6}$$

$$r_{\text{flex},\text{MTP}} = f\left(\theta_{\text{MTP}}\right) \tag{7}$$

$$r_{\text{ext MTP}} = f\left(\theta_{\text{MTP}}\right) \tag{8}$$

$$r_{\text{flex. IP2}} = f\left(\theta_{\text{MTP}}\right) \tag{9}$$

$$r_{\text{ext, IP2}} = f\left(\theta_{\text{MTP}}\right) \tag{10}$$

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336	Optimize $\max (F_{\text{claw}})$	$= \frac{F_{\text{flex}}^{a=1} \cdot r_{\text{flex, IP2}} + F_{\text{ext}}^{a=0} \cdot r_{\text{ext, IP2}}}{r_{\text{claw}}}$	(11)
337 338	Subject to	$\ell_{\mathrm{flex},\theta_{\mathrm{MTP}}=-65^{\circ}}^{*,a=1} \geq 0.5$	(12)
330			(12)
339		$\ell_{\rm flex}^{*,a=1} \leq 1.5$	(13)
340		$\ell_{\text{ext}, \theta_{\text{MTP}} = -65^{\circ}}^{*, a = 1} \leq 1.5$	(14)
341		$\ell_{\text{ext, } \ell_{\text{MTP}} = 60^{\circ}}^{*, a = 1} \ge 0.5$	(15)
342	$F_{ ext{flex}}^{a=0} \cdot r_{ ext{flex}}^{ heta_{ ext{MTP}} =  ext{thres}}$	$^{ ext{h}} + F_{ ext{ext}}^{a=0} \cdot r_{ ext{ext}}^{ heta_{ ext{MTP}} =  ext{thresh}} \geq M_{ ext{weight}}^{ heta_{ ext{MTP}} =  ext{thresh}}$	(16)
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344	Equations 3 and 4 denote the models of m	nuscle activation-contraction dynamics; they rela	ate
345	MTU force ( <i>F</i> ) to optimum fibre length ( <i>l</i>	$C_0$ ), tendon resting or slack length $(L_s)$ , pennation	angle
346	at optimum fibre length $(\alpha_0)$ , maximum isometric force $(F_{\text{max}})$ , activation $(a)$ and MTU length $(l)$ ,		
347	with the subscripts of 'flex' or 'ext' referring to flexor and extensor MTUs, respectively.		
348	Equations 5–10 describe the geometric aspects of the system as represented by the		
349	musculoskeletal model. Equations 5 and	6 describe how MTU length varies as a function	of
350	MTP angle ( $\theta_{\text{MTP}}$ ) and whole-limb posture, whilst equations 7–10 describe how MTU moment		
351	arms about a given joint (MTP or second	IP) vary as a function of MTP angle.	
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353	Equation 11 is the objective function which	ch is to be maximized, and describes moment ba	lance
354	about the second IP joint. The flexor MTU	J is maximally active $(a = 1)$ , whereas the exten	sor
355	MTU is quiescent ( $a = 0$ ) and only can exert force passively, and $r_{\text{claw}}$ is the perpendicular		ſ
356	distance from the axis of rotation of the II	22 joint to the claw tip, which was determined in	ı
357	Rhinoceros to be 76 mm.		
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359	Equations 12-16 denote constraints that n	nust be satisfied. Equations 12-15 stipulate that	the
360	normalized fibre lengths of both flexor an	d extensor MTUs must remain within reasonable	e
361	operating ranges at maximal activation, le	st they are capable of producing little force (Fig	. 2B).

The default range assigned here was  $0.5 \le \ell^* \le 1.5$  (Rankin et al. 2016; but see below). Equation

16 describes the tenodesis-like mechanism that was identified above as probably responsible for

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holding digit II clear of the ground, and stipulates that the musculoskeletal anatomy that optimizes claw function must also be compatible with holding digit II off the ground. It states that, at some threshold angle ( $\theta_{\text{MTP}}$  = thresh), the sum of the moments of the flexor and extensor MTUs about the MTP joint (produced only through their passive components, i.e., a = 0), equals or exceeds the moment due to weight of the digit ( $M_{\text{weight}}$ ). If this is true, then the digit will be able to be held aloft at that threshold angle, or at even higher extension angles.

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A total of six design variables were optimized: fibre length, tendon slack length and pennation angle, for both flexor and extensor MTUs. During each iteration, the maximum isometric force of the MTUs was recalculated accordingly as

$$F_{\text{max}} = \frac{V \cdot \sigma \cdot \cos(\alpha_o)}{\ell_o},\tag{17}$$

where V is muscle belly volume and  $\sigma$  is maximum isometric stress, for which a value of 300,000 375 N/m² was initially used (e.g., Bates & Falkingham 2012; Hutchinson 2004a; Sellers et al. 2013). 376 Muscle volume was initially set at 25 cm<sup>3</sup> for the flexor and 12.5 cm<sup>3</sup> for the extensor, as a 377 subjective guess based on the dimensions of the musculoskeletal model. The permissible values 378 379 for the design variables during the optimization were constrained between lower and upper 380 bounds that were defined on the basis of the geometry of the musculoskeletal model (Table 1). 381 The lower bound for tendon slack length was set as the minimum length achievable by the 382 respective MTU distal to the ankle across all postures (Fig. 3D). The upper bound for tendon 383 slack length was set at 90% of the minimum length achievable by the MTU across all postures, 384 and varied depending on the MTU length variant used.

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386 The optimization was implemented in a set of custom MATLAB scripts that used CasADi 3.4.5 387 (Andersson et al. 2019), a suite of tools for nonlinear optimization and algorithmic 388 differentiation. The relationships between MTU moment arms or lengths and joint angles were 389 derived from the musculoskeletal model and fed directly into the optimization framework, 390 obviating the need to interface with OpenSim, which speeds up computation (e.g., by facilitating 391 algorithmic differentiation) and avoids discontinuities in the optimization problem. All other 392 aspects of the system were implemented directly into the scripts. The optimization used the open-393 source solver IPOPT 3.12.3 (Wächter & Biegler 2006), accessed via the CasADi interface.

#### Sensitivity analysis

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396 Optimizations were run for a variety of different combinations, to both test different limb 397 postures and assess sensitivity to unknowns in the musculoskeletal model. Three whole-limb 398 postures were tested (Fig. 3B, Table 1), spanning extended through to crouched limb 399 configurations; each of these postures necessitated a different value for the threshold MTP angle 400 in the constraint described by equation 16 (Table 1). Two different lengths of both flexor and 401 extensor MTUs were tested, on account of uncertainty in muscle origin (see above; Fig. 3C). 402 Lastly, two additional variants in flexor and extensor muscle belly volume were tested for (± 25% 403 of initial value). A total of 108 different combinations of variants were subject to optimization, 404 which took approximately 8.5 hours to solve using a computer with a 2.4 GHz processor. In 405 addition, the sensitivity of optimization results was tested for with regards to four further model 406 assumptions. Firstly, normalized fibre lengths of flexor and extensor MTUs were constrained to operate within the more restricted (optimal) range of  $0.75 \le \ell^* \le 1.25$  (affecting equations 12– 407 15). Secondly, when digit II was not in use, it was now presumed to be held in a retracted state 408 409 through active contraction of the extensor MTU (a = 0.5, affecting equation 16). Thirdly, muscle strength was increased by doubling maximum isometric stress to 600,000 N/m<sup>2</sup> (affecting 410 equation 17). Lastly, the upper and lower bounds for pennation angle of both flexor and extensor 411 412 muscles were restricted to 20–35°, comparable to the range observed in the digital flexor and extensor muscles of extant archosaurs (Allen et al. 2010; Allen et al. 2015; Hutchinson et al. 413 414 2015; Lamas et al. 2014; Paxton et al. 2010; Smith et al. 2006).

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## 417 **Results**

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## Initial system configuration

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Using the initial set of assumptions about the system, the maximal claw tip force that is possible at each MTP angle is shown in Fig. 4, for all 108 combinations of whole-limb posture, muscle bulk and muscle length. Each of the 108 curves in Fig. 4A is not a profile of force *versus* MTP angle for a given combination of MTU parameters; rather, each curve is the maximal envelope of

all force-angle curves for a given combination, as shown in Fig. 4B. That is, in Fig. 4B there are 126 curves, representing the force-angle profile that results from the optimal combination of MTU parameters identified for each degree of MTP flexion-extension (-65° to 60°); the maximal envelope of these 126 curves contributes one curve to Fig. 4A. Across the 108 combinations tested, claw force is maximized at MTP angles of between -15 to 50°, with a global maximum of 18.9 N achieved at an angle of 20°, corresponding to modest digit extension. The results are parsed by whole-limb posture in Fig. 4A, by muscle volume in Fig. 4C,D, and by muscle length in Fig. 4E,F. The magnitude of claw force is markedly variable depending on limb posture, muscle bulk and muscle length, and likewise the MTP angle at which maximum claw force is achieved also varies considerably with respect to these factors. Nevertheless, two clear trends are that more crouched postures (Fig. 4A) and a larger flexor muscle (Fig. 4C) consistently produce higher claw forces. 

#### Optimal MTU parameters

For each of the 108 combinations tested, the resulting optimal values for the six design variables at each MTP angle are shown in Fig. 5, parsed by posture (see also Figs S1-4). The optimal fibre length ( $\ell_0$ ) and tendon slack length ( $L_s$ ) of the flexor muscle vary largely in tandem with each other across much of the range of MTP angles, as a consequence of the optimal pennation angle ( $\alpha_0$ ) being 0° (i.e., parallel fibred) across this range, particularly for the 'long flexor' combinations (Fig. S3C). Low or zero pennation, whilst it decreases the magnitude of maximum muscle force (equation 17), enables the muscle fibres to be longer, allowing them to operate closer to the peak in their force–length curve across a larger range of MTU length change. The results here therefore directly stem from the large range of flexion–extension that digit II is capable of. The manner of variation in the optimal values of  $\ell_0$ ,  $L_s$  and  $\alpha_0$  for the extensor muscle is less straightforward, which is possibly due to it having to satisfy more requirements in a passive state (activation = 0). Notably, extensor  $L_s$  tended toward the lower bounds for allowable values over much of the range of MTP angles, which may be related to the requirement for passive tendon stretch contributing toward holding digit II off the ground; a lower  $L_s$  produces more passive force for the same absolute amount of stretch. As with claw force, the optimal

values of the MTU parameters can vary considerably: for a given MTP angle, they can vary depending on limb posture, muscle volume and muscle length, and for a given combination of limb posture, muscle volume and muscle length, they can vary depending on the MTP angle. In addition to posture having a pronounced effect on the optimal MTU values (Fig. 5), muscle length also has a distinct influence on the optimal values retrieved (Figs S3,4), with flexor length affecting flexor MTU parameters, and *vice versa* for the extensor, but little 'cross muscle' effects.

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#### Sensitivity analysis

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Compared to the results for the initial system configuration (Fig. 4), constraining normalized fibre lengths to a more restricted operating range produced a very different pattern for optimal claw force versus MTP angle (Fig. 6A). In addition to reducing the magnitude of maximal claw force (-32.1  $\pm$  3.5%; mean  $\pm$  s.d.), this resulted in the maximum force being consistently achieved at a considerably flexed digit posture (MTP angle of -37 to -35°). When the extensor muscle was assumed to be actively contracting to hold digit II off the ground when not in use, this also led to a markedly different pattern in the force-angle curves (Fig. 6B), with maximum claw force achieved at modestly flexed through to extended digit postures (MTP angle of -20 to 15°). Additionally, the magnitude of maximum claw force was higher ( $30.4 \pm 6.6\%$ ) compared to the initial results. Doubling muscle strength had very little effect on the results, beyond roughly doubling the magnitude of claw force (90.1  $\pm$  2.9% increase), which is not surprising (Fig. 6C). Lastly, restricting the bounds on allowable values for pennation angle during the optimization had little qualitative effect on the results, although claw force magnitudes were slightly reduced (- $11.4 \pm 5.1\%$ ; Fig. 6D). Mimicking the result noted above for the initial system configuration, the optimal value for flexor muscle pennation angle tended towards the lower bounds of allowable values across much of the range of MTP angles, especially for more crouched postures (Fig. S5). Also paralleling the results for the initial configuration, more crouched postures consistently led to higher claw forces being achieved, especially for more flexed digit postures (Fig. 6).

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## Discussion

This study used musculoskeletal modelling, framed within the context of mathematical optimization, to investigate the factors that maximize pedal digit II claw force in dromaeosaurids, using *Deinonychus* as a case study. The results in turn can help test between the various hypotheses proposed for how the digit and its claw were used in life. Under the initial set of assumptions about the system, claw force was maximized in a crouched whole-limb posture (posture 3), with a modest (20°) level of digit extension (Fig. 4A). However, different assumptions about the behaviour of the MTUs involved sometimes led to claw force being maximized at more flexed digit postures (Fig. 6).

#### MTU parameters and behaviour

 Echoing the sentiments of previous studies (e.g., Bates & Falkingham 2018; Bates et al. 2010; Hutchinson 2004b), the results of this study demonstrate the important influence that MTU parameters can have on the biomechanical performance of a system. For a given MTP joint angle, the optimal values for the MTU parameters sometimes varied considerably, depending on the assumed combination of limb posture, muscle bulk and muscle length (Figs 5, S1-4). Moreover, for a given combination, the optimal MTU parameters often varied depending on the MTP angle under consideration (Figs 5, S1-4). The ability to better constrain the range of plausible values for each MTU parameter will help refine the results obtained here, which remains a key challenge in palaeobiological inquiry.

Yet, rather than taking a nihilistic view, musculoskeletal modelling provides a unique perspective to addressing these challenges. It can identify those aspects of the system to which the results are most sensitive, and therefore where future research effort should be directed in order to refine understanding further. For instance, muscle bulk (Figs S1,2) and length (Figs 3,4) both exert marked influence on the optimal value for MTU parameters and in turn claw force production; better constraining muscle sizes and origins will help address this. Perhaps the single most influential aspect identified here was the allowable operating range of the muscle fibres:

regardless of every other variant in the system, a more constrained operating range  $(0.75 \le \ell^* \le 1.25)$  resulted in claw force being maximized in a very consistent manner, at moderate levels of digit flexion (compare Fig. 6A with Fig. 4A). Underpinning the approach of the present study is the notion that the system under consideration was highly adapted for a single purpose in life. It is therefore conceivable that muscle fibre lengths were so highly optimized that the fibres were indeed able to operate close to the peak in their force–length curve across the whole range of digit II motion. Little is known about the normal operating ranges of muscle fibres in non-mammalian species during *in vivo* activity, but future experimental study of analogous extant animal systems (e.g., pedal flexor muscles in birds of prey during prey grasping (Sustaita 2008)) can help validate or refine assumptions made here.

#### Implications for digit II and claw use

Regardless of MTU input parameters and assumptions about MTU behaviour, it was consistently found that more crouched whole-limb postures lead to higher claw forces being produced. This favours behavioural hypotheses that involve more flexed limb angles (such as grasping or restraining small prey at close quarters; Fig. 1F), and detracts from hypotheses involving an outstretched or strongly extended limb (such as slash kicking at a distance; Fig. 1B,G). It is also consonant with the 'fighting dinosaurs' specimen, which purportedly shows life behaviours frozen in time (Barsbold 2016; Kielan-Jaworowska & Barsbold 1972); the left hindlimb of the *Velociraptor* is striking the putative prey (*Protoceratops*) in a non-extended leg posture, closest to posture 2 that was tested here (cf. Fig. 1E). The hypothesis of prey restraint in particular receives further support if muscle fibres were highly optimized to operate closer to the peak in their force–length curve (see above); here, claw force is maximized at flexed digit II postures, conducive to grasping and holding small prey.

An additional result obtained here is an estimate of the magnitude of the maximum capable claw force. In the initial set of assumptions of the system, the global maximum in claw force across the 108 combinations tested was 18.9 N (Fig. 4A). This is a relatively small quantity, less than a quarter of the flexor muscle force used to produce it in that particular combination (82.0 N). It is

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also quite small (< 5%) in relation to the estimated body weight of the animal the model is based upon (scaling by model femur length to the data of Turner et al. (2007), weight is estimated at 490 N). This is in stark contrast to the forces applied in the physical model of Manning et al. (2006), which equated to 2.5 times body weight. These comparisons do not change substantially under other variations in model assumptions (Fig. 6). That claw force is relatively low is not surprising, because the claw's large size produces a large ratio of out-lever to in-lever distances; in the model, the distance from the claw tip to the second IP joint is 3.2–4.2 times the moment arm of the flexor muscle about the joint. In life, the claw would have been sheathed in a keratinous covering, extending the tip even further from the second IP joint and further reducing the force produced at the tip. The low forces achievable at the tip suggest that the claw was probably not used to support or transmit a large proportion of the animal's body weight into prey or other substrates (cf. Fig. 1C,D). Rather, larger forces would be achievable away from the claw tip nearer the base (smaller out-lever arm), which would help improve the grasping of objects comparable in size to, or smaller than, the radius of curvature of the claw.

#### Caveats

As in all modelling efforts, simplifying assumptions were made to facilitate more tractable analysis of the system. Possibly the most important is that only two muscles were modelled, yet it is likely that digit II in dromaeosaurids was actuated by multiple flexor and extensor muscles, as in extant archosaurs (Allen et al. 2015; Baumel et al. 1993; Cong 1998; George & Berger 1966). Moreover, the actions of multi-joint muscles, such as those modeled here, can be modulated by the actions of other muscles that actuate other parts of the limb (Kuo 2001); this would be particularly pertinent for the 'long' variants of the muscles modeled here, which crossed both knee and ankle joints. The movements of the IP and MTP joints were coupled in the present study, but they may have been capable of some independent movement in life, requiring additional muscles to actuate them. Another important caveat is that the model was analysed as a static system only, yet dynamic effects may be important in life. These include muscle forcevelocity effects, tendon recoil and viscous effects from soft tissues that the claw is engaging. Additionally, dynamic effects due to limb segment inertia and movement (especially that of the

proximal limb) could be quite important during high-velocity movements, at which point the static assumption used here may become untenable. These assumptions can be tested and built upon in the future, further refining interpretations of digit II function.

#### Other theropods

 It is possible that the function of digit II and its claw may have varied among different dromaeosaurids. The characteristic morphology of digit II was present in phylogenetically basal (and much smaller) taxa that possessed markedly more elongate and gracile limbs than *Deinonychus* (Turner et al. 2007). This may have resulted in a smaller relative change in length in the MTUs that actuated the digit, potentially influencing force production capabilities. At least one dromaeosaurid (*Adasaurus*) has an apomorphically reduced claw, yet it retains the characteristic articular surface morphologies of the penultimate phalanges (Turner et al. 2012). Outside dromaeosaurids, the digit II claw of the troodontid *Borogovia* has reduced curvature, comparable to the relatively straight claws of the other pedal digits, with apparently reduced interphalangeal joint mobility (Osmólska 1987). Although beyond the scope of this study, modelling of other taxa can help assess if digit II was functionally conserved across dromaeosaurids and troodontids. More broadly, other clades of carnivorous non-avian theropod also developed hypertrophied, strongly curved claws, such as megaraptorans (Hocknull et al. 2009) and spinosaurids (Charig & Milner 1986), although in these cases it is the claw of manual digit I. Musculoskeletal modelling of the forelimb of these taxa can address the function of their

**Comment:** be specific - I do not know what you mean . Do you mean the ginglymoid articulatlion? ginglymoidy and / or strong extension?

Comment: This needs a citation

**Comment:** based on what? Troodon & the Kaiparowits troodontid have ginglymoid articulations on all phalanges within D-II, and in other digits only on the articulation with the ungual. The ginglymoid joints restrict lateral motion, but increase relative strength.

Comment: Has this actually been demonstrated? Baryonyx has a larger than typical D-I ungual of the manus, yes, but I am not aware that it is especially more curved, than, say Allosaurus (well known mani)

#### Conclusion

Musculoskeletal modelling, posed within an optimization framework, has provided new insight on the function of pedal digit II and its claw in dromaeosaurids, arguably one of the most enigmatic anatomies among non-avian theropod dinosaurs. Across a variety of soft tissue and

claws, and may in turn shed light on why hypertrophied claws evolved on the manus in these

groups, but on the pes in dromaeosaurids and troodontids.

postural combinations and assumptions, it was found that more crouched hindlimb postures consistently increased claw force production. Combined with the relatively small magnitude of claw force and considerations of lever mechanics, this lends support to the hypothesis that digit II was employed in the grasping and restraint of small-bodied prey. Other behaviours that involve a semi-crouched hindlimb posture are also plausible, such as stabbing or cutting prey at close quarters. In contrast, hypotheses involving strongly extended limbs or the transmission of a large proportion of body weight into prey are not well supported here. These findings should nevertheless be viewed with circumspect caution, as variation in muscle parameters or assumptions about muscle behaviour can exert an important influence on model results. Importantly, modelling has identified which aspects of dromaeosaurid functional anatomy require further investigation to refine the interpretations made herein.

Comment: So I disagreee here, and I think this is primarily because of the way "small bodied prey" is used in this manuscript. I am biased, but I think the definitions we offer in Fowler et al. (2009, 2011) are most useful as they are based on the relative size of the foot of predatory birds. The image in your fig 1F is what I would classify as "large" (can be restrained under the body weight of the raptor, but cannot be contained within the feet).

**Comment:** This is unclear - under our RPR behavior model, bodyweight is used to hold down prey. The bodyweight of the raptor is transferred on to its prey through the whole foot, including the metatarsus (if the MT is flexed, as seen in some extant raptors - see Fowler et al., 2009, and Goslow, 1972)

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## Data accessibility

Copies of the computed tomographic scan data are held by the Museum of the Rockies and the Queensland Museum, Brisbane. All OpenSim model files and MATLAB code used in the present study are included in the Supplementary Material.

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## Figure captions

Figure 1. The famed 'sickle claw' of pedal digit II in dromaeosaurids and its hypothesized uses. (A) Schematic illustration of the left pes of *Deinonychus* (after (Ostrom 1969)), which also illustrates the widespread inference that digit II was retracted off the ground when the claw was not in use. (B–H) Various hypotheses previously proposed for how the claw was used in life. (B) Kicking or slashing of prey. (C) Used for gripping onto the flanks of struggling prey. (D) Using body weight to drive the claws down the side of the prey's flank. (E) Piercing or slashing specific vital areas of the prey. (F) Pinning down and immobilizing prey to be dispatched by the mouth and forelimbs. (G) Intra- or interspecific defence. (H) Digging out prey from nests or burrows. The schematics in B–H are based as closely as possible on the original descriptions (and sometimes illustrations) of the hypothesized behaviours in the literature; see main text for citations. Also note that integument (e.g., feathers) is omitted for clarity.

 Figure 2. Mathematical representation of muscle architecture and its force–length–velocity relationships. (A) At the organ level, muscle is typically represented with a Hill-type computational model, which comprises a contractile element (CE) in parallel with a passive elastic element (PEE), which are in turn in series with a second elastic element (SEE) representing the tendon; see Zajac (1989) and Millard et al. (2013) for more detail. This model factors in the architectural parameters of optimum fibre length ( $\ell_0$ ), pennation angle at optimum fibre length ( $\alpha_0$ ) and tendon slack length ( $\ell_s$ ). (B–D) The relationships between normalized force ( $F^*$ , equal to force divided by maximum isometric force), normalized fibre length ( $\ell^*$ , equal to fibre length divided by  $\ell_0$ ) or normalized tendon length ( $\ell^*$ , equal to tendon length divided by  $\ell_0$ ) and normalized fibre velocity ( $\ell^*$ , equal to fibre velocity divided by maximum contraction velocity). (B) Force–length relationships of the active (red) and passive (blue) muscle components. (C) Force–length relationship of tendon. (D) Force–velocity relationship of the active muscle component; in the current study this is ignored as all analyses are static only. The

curves in B–D are based on the formulation of De Groote et al. (2016).

**Figure 3.** Musculoskeletal model of the right hindlimb of *Deinonychus* used in the study. (A) The full model in medial (left) and anterior (right) view, showing the wrapping surfaces (blue) used to help constrain MTU paths (red). (B) Three whole-limb postures were tested for, spanning from extended (1) to crouched (3) configurations. (C) Two different lengths of each MTU were tested for, short MTUs originating from the proximal tibia (left) and long MTUs originating from the distal femur (right). (D) The motion of the MTP joint (and the two IP joints that were programmatically coupled to it) ranged from -65° of flexion through to 60° of extension. Arrows show the points at which MTU length distal to the ankle was measured, used in the calculation of bounds to tendon slack length (see **Table 1**). For scale, the femur in the model is 291 mm long.

**Figure 4.** Optimization results for the initial system configuration, showing claw force ( $F_{\text{claw}}$ ) plotted against metatarsophalangeal joint angle ( $\theta_{\text{MTP}}$ ). (A) Results for the 108 combinations of posture, muscle volume and muscle length, parsed by posture. (B) Results for claw force for one of the 108 combinations include 126 force—angle curves calculated for the optimal musculotendon parameters identified for each degree of the range of  $\theta_{\text{MTP}}$ ; the maximal envelope of these curves (dotted line) contributes one curve to panel A (arrow). (C–F) Results for the 108 combinations parsed by flexor muscle volume (C), extensor muscle volume (D), flexor muscle length (E) and extensor muscle length (F). In A, C–F black dashed lines denote minimum, maximum and mean curves across all combinations, and red crosses denote maxima for each curve.

**Figure 5.** Optimal values of musculotendon parameters for the initial system configuration, plotted against metatarsophalangeal joint angle ( $\theta_{MTP}$ ) and parsed by posture. (A, B) Optimal fibre length. (C, D)Tendon slack length. (E, F) Pennation angle. Panels A, C and E are for the flexor muscle; panels B, D and F are for the extensor muscle. Black dashed lines denote minimum, maximum and mean curves across all combinations.

**Figure 6.** Optimization results for the four sensitivity analyses, showing claw force ( $F_{\text{claw}}$ ) plotted against metatarsophalangeal joint angle ( $\theta_{\text{MTP}}$ ). (A) More constrained operating range for muscle fibres. (B) Extensor muscle actively contracting in holding digit II off the ground. (C) Muscle strength is doubled. (D) More restrictive bounds on the allowable range of pennation angles in the optimization. All results are parsed by posture. Black dashed lines denote minimum, maximum and mean curves across all combinations, and red crosses denote maxima for each curve.