1	The Effects of Venting and Decompression on Yellow Tang (Zebrasoma flavescens) in the
2	Ornamental Aquarium Fish Trade
3	Emily S. Munday ¹ *, Brian N. Tissot ² , Jerry R. Heidel ³ , and Tim Miller-Morgan ^{3, 4}
4	*Corresponding author: Montana Tech of the University of Montana, 1300 W Park Street, Butte,
5	MT, 59701, USA. Email: emily.munday@gmail.com, Tel: 1 + 857-919-1899 Fax: 406-496-4696
6	¹ School of the Environment, Washington State University Vancouver, Vancouver, WA, 98686
7	and Montana Tech of the University of Montana, 1300 W Park Street, Butte, MT, 59701
8	² Humboldt State University Marine Laboratory, 570 Ewing St., Trinidad, CA 95570
9	³ College of Veterinary Medicine, Oregon State University, 700 SW 30th Street, Corvallis, OR,
10	97331, USA
11	⁴ Aquatic Animal Health Program, Oregon Sea Grant, Hatfield Marine Science Center, 2030 SE
12	Marine Science Drive, Newport, OR 97365
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	

23 Introduction

Each year, over 45 countries remove and export up to 30 million fish from coral reefs as part of 24 the ornamental marine aquarium trade (Bruckner 2005; Wood 2001). Although ~90% of 25 26 freshwater aquarium fish are successfully cultivated in aquaculture facilities, most tropical marine aquarium fish are wild-caught (Wood 2001). Collecting live fish for the aquarium trade 27 involves removing reef fish from SCUBA diving depths ($\sim 10 - 35$ m) to the surface, followed by 28 29 sequentially transporting them from the collection site to an export facility to an import facility to an aquarium fish retail store, and finally, to a hobbyist aquarium. Mortality may occur at any 30 point in this supply chain, impacting each participant in the industry, and negatively affecting 31 coral reefs through increased collection pressure to replace losses (Stevenson et al. 2011; Tissot 32 et al. 2010). 33

Aquarium fisheries that utilize destructive fishing practices have high mortality. In the 34 Philippines and Indonesia where cvanide is used to stun ornamental fish for ease of capture, >9035 % of fish suffer mortality and coral reefs are severely damaged (Hall and Bellwood 1995; 36 Hanawa et al. 1998; Rubec et al. 2001; Rubec and Cruz 2005; Jones and Hoegh-Guldberg 1999; 37 Jones and Steven, 1997). While fishers in Hawaii do not use cyanide to collect fish, and 38 immediate mortality is low (<1%) (Stevenson et al. 2011), it is possible that fishers' collection 39 methods result in delayed mortality. Because fish move rapidly through the supply chain, it is 40 41 possible that aquarium fishers are unaware of collection methods that result in mortality further along the supply chain. Economically, delayed mortality shifts the burden of fish death and 42 monetary loss from the collector to those further along the supply chain (e.g. the importer, or 43 hobbyist) while also increasing the demand for fish and exacerbating pressure on coral reef 44 ecosystems. Identifying methods that cause delayed mortality would reduce the overall mortality 45

of aquarium fish in the aquarium trade, and thus the number of fish removed from the reef tocompensate for these losses.

In order to identify industry methods that cause delayed mortality in aquarium fish, it is necessary to examine each link in the aquarium fish trade supply chain both independently and in succession. Here, we begin by examining the very first step involved in the supply chain: removing fish from depth to the surface. Mortality caused by removing live fish from coral reef depths to the surface is an important and controversial issue affecting this fishery, and ours is the first study to address this problem.

To ensure that fish survive the transition from depth to the surface, aquarium fishers must either 54 prevent or mitigate barotrauma. Fish experience barotrauma because when they are brought to 55 the surface the water pressure decreases, resulting in an increase in the volume of swim bladder 56 gases. This phenomenon is a result of Boyle's Law, in which decreasing pressure causes an 57 exponential increase in gas volume. Barotrauma signs in fish manifest both externally and 58 internally and include: positive buoyancy caused by overexpansion of the swim bladder; bulging 59 of the eyes, or exophthalmia; and protrusion of the intestine from the cloaca. While barotrauma 60 has not been studied in shallow-dwelling (15-18 m) reef fish caught for the aquarium trade, there 61 is ample research on the effects of depth changes on deeper dwelling (20-152 m) fish caught 62 commercially and recreationally for human food consumption. 63

Research on deeper dwelling food fishes has revealed that protrusion of the esophagus from the mouth is common (Parker et al. 2006; Pribyl 2010; Wilde 2009). In addition, internal signs of barotrauma such as swim bladder rupture, internal bleeding, compression of and damage to organs surrounding the swim bladder, stretching of optic nerves, emphysema of the heart

ventricle and epithelial surfaces, and gas emboli in the rete mirabile and kidney caused by gas
leakage from the swim bladder (Gotshall 1964; Bruesewitz et al. 1993; Parker et al. 2006;
Rogers et al. 2008; Pribyl 2010).

71 Prior research has also demonstrated that though external signs of barotrauma subside in the short-term, fish continue to exhibit internal injuries for extended periods. Pribyl (2010) found 72 that sublethal effects (not having caused death) from barotrauma such as rupture of the outer 73 layer of the swim bladder (tunica externa) persisted for at least one month after collection in 74 rockfish (genus Sebastes). In addition, Hannah and Matteson (2007) determined that barotrauma 75 could reduce post-release survival of fish through behavioral impairment. These findings indicate 76 that sublethal signs of barotrauma persist long after the initial trauma occurs. Knowing this, we 77 predict that fish collected for the live ornamental aquarium trade also suffer sublethal injuries 78 that remain undetected. If infections occur, these sublethal injuries could result in delayed 79 mortality of aquarium fish. 80

Because barotrauma can be potentially fatal to both shallower-dwelling aquarium fish and 81 deeper-dwelling food fish alike, fishers implement methods that either prevent or mitigate it. 82 Venting is a method that mitigates barotrauma and involves puncturing a fish swim bladder with 83 a hypodermic needle to allow expanded gases to escape, relieving positive buoyancy. 84 Decompression, in contrast, is a method that prevents barotrauma. Decompression involves 85 86 transporting fish from depth to the surface over a longer period of time, which allows expanding gases to be removed from the swim bladder, resulting in a fish that is not subjected to barotrauma 87 at all. Fishers implement one, or some combination of both of these methods in order to help fish 88 89 survive the pressure transition. While the use of venting and decompression on aquarium fish has been documented (Randall 1987; Pyle 1993; LeGore et al. 2005), ours is the first study to 90

evaluate the efficacy of each of these procedures in preventing mortality. While the effects of
venting and decompression on aquarium fish has not been documented, these methods have been
fairly well studied in deeper-dwelling food fishes.

94 In these deeper-dwelling fishes, decompression takes a long time – up to several days – which is a direct result of the depths these fish are removed from (Parker et al. 2006; Pribyl 2010). 95 Decompression is a time-consuming process because in order to prevent barotrauma, one must 96 97 allow adequate time for fish to naturally remove the expanding swim bladder. Likewise, in Hawaii, decompression can be prohibitively time-consuming for fishers to implement; even for 98 shallow-dwelling reef fish. The time-consuming nature of decompression deters fishers who 99 would rather remove fish quickly from depth so as to return to depth and collect more fish. 100 However, bringing fish up to the surface quickly without decompression stops results in 101 barotrauma. To mitigate barotrauma, fishers use venting. 102

Studies on deeper-dwelling food fishes do not definitively conclude that venting actually reduces 103 fish mortality. However, this is largely an artifact of the great differences in species and depths 104 that the studies examine (Gotshall 1964; Keniry et al. 1996; Collins et al. 1999; Kerr 2001; 105 Nguyen et al. 2009; Wilde 2009). In addition, differences in the length of time fish are observed 106 in captivity following removal from depth causes conflicting results (Keniry et al. 1996). This 107 suggests that longer-term holding will allow for specific conclusions about the collection 108 109 methods employed by fishers. With this in mind, we are careful to employ an experimental design that incorporates both short-term observations and long-term holding. 110

As previously stated, fishers often use some combination of decompression and venting. For
example, it is common practice for aquarium fishers to perform one or several decompression

stops, pausing in the water column at intermediate depths before removal to the surface (LeGore
et al. 2005; Stevenson et al. 2011). In Hawaii, fishers typically vent the fish following this
practice.

116 These methods of barotrauma prevention and mitigation not only affect fish health and mortality, but are also controversial among the animal rights community. In Hawaii, such groups have 117 repeatedly proposed legislation that would ban the harvest of marine species for the aquarium 118 119 trade based on animal cruelty claims (i.e. Lauer 2011; Talbot 2012; Wintner 2010, 2011). Groups opposed to venting claim that it inflicts stress and mortality on fish, while collectors maintain 120 that venting is necessary for fish survival. People who oppose venting have suggested that 121 decompression be used instead. While we may not solve the values conflicts driving in this 122 controversy, we do hope to inform pending management decisions related to aquarium fish 123 collection in Hawaii. 124

In our study, we seek to: (1) Determine short- and long-term mortality of reef fish caught for the
aquarium trade subjected to the barotrauma prevention and/or mitigation practices of
decompression and venting, respectively; (2) Examine sublethal effects of collection that could
result in delayed mortality.

129 Methods

130 Experimental Design

The Yellow Tang (*Z. flavescens*) was selected as the study animal because it is the most
commonly targeted aquarium species in West Hawaii, consistently composing nearly 80% of the
total catch of aquarium fish there (Cesar et al. 2002; Tissot and Hallacher 2003; Walsh et al.
2004; Williams et al. 2009). Therefore, understanding how collection practices affect Yellow

Tang health and survival is especially relevant to the West Hawaii aquarium fishery. In addition, 135 Acanthuridae, the family encompassing Yellow Tang and other surgeonfishes, is one of the most 136 137 common families targeted globally in the live aquarium trade (Rhyne et al., 2012). This work was performed under WSU IACUC protocol #04151-004. To examine short- and 138 long-term mortality of ornamental aquarium fish as it relates to collection practices, Yellow Tang 139 were subjected to different collection methods and subsequently held for 21 days (d) for 140 observation at an aquaculture facility in West Hawaii. Fish suffering mortality were examined 141 histologically to identify lesions that could have contributed to death. A subset of fish surviving 142 the holding period were also histologically examined. Serum cortisol concentration was 143 measured because it can serve as a proxy for stress in fish (Donaldson 1981). 144 A fully crossed factorial experimental design was used, with three decompression treatments, 145 coupled with or without venting in all possible combinations (k=6 treatments). Each treatment 146 was replicated three times, with n=20 fish in each treatment combination for a total of 360 147 individuals. A subset of fish (n=5) was sacrificed immediately following collection in each 148 treatment replicate for histopathology and to assess post-collection cortisol. Fish were collected 149 between 15-18 m depth, reflecting the range frequented by West Hawaii collectors (Stevenson et 150 al. 2011). In order to accurately reflect methods used by aquarium fishers, an experienced 151 aquarium fisher performed fish collection. Fish collection occurred on SCUBA using a barrier 152 net, as described by Stevenson et al. (2011). When the desired quantity of fish (n=40) was 153

154 caught, they were transferred to containers assigned to each ascent treatment. Following ascent

to the surface vessel, half (n=20) of the fish were vented treatment and half were not.

Three decompression treatments were used: 1) ascent without decompression stops, 2) ascent 156 with one decompression stop, and 3) ascent with multiple decompression stops. The rate of 157 ascent between decompression stops was 0.25 m s⁻¹ for all treatments, the recommended 158 SCUBA ascent rate and the rate fishers ascend while transporting fish from depth to the surface. 159 Fish subjected to ascent without decompression were brought directly to the surface from depth. 160 Fish subjected to ascent with one decompression stop were brought up to half the maximum 161 depth for a 45 min decompression stop, and then brought to the surface. Fish subjected to 162 multiple decompression stops were brought up 3 m every 15 min and at 10 m (2 atm), these fish 163 were brought up 1.5 m every 15 min because the volumetric change resulting from the decrease 164 in pressure is especially great the last few meters of ascent. 165

As is typical in the fishery, venting was performed by the fisher on the fishing vessel using a 20 G hypodermic needle, replaced after approximately 50 fish. Each fish was held out of water for <3 s by the fisher while the needle was inserted through the body wall toward the swim bladder, caudal to the pectoral fin and ventral of the lateral line.

During transport, each replicate group was held separately in the collector's live well. During
collection and transit from collection site to port, the water in the live well was continuously
exchanged with fresh seawater.

173 Holding Period

Post-collection, fish were observed for 21 d at an aquaculture facility located at the Natural
Energy Laboratory Hawaii Authority in West Hawaii provided with natural surface seawater at
ambient temperatures. The experiment duration was chosen because after interviewing West
Hawaii fishers, it was determined that 21d represents a reasonable time period for a fish to be

transferred from the reef to a retailer or hobbyist in this particular supply chain. In addition,
swim bladder healing in rockfish has been observed after 21 d (Parker et al. 2006) and is
sufficient time to allow skin and muscle regeneration in fish (Roberts 2010). Therefore, fish
exhibiting lesions after 21 d may not have fully recovered in a supply chain environment and
could be categorized as having sublethal effects from collection.

Fish were held in 1 m diameter mesh floating cages within three 10,000 l pools, which served as replicate blocks, each containing all six treatments. Incoming seawater was filtered to 5μ m, and set to flow through each pool at a rate of 1 volume d⁻¹. Pools were exposed to natural sunlight, and temperatures was measured twice daily.

All fish were fed a natural algae diet (*Ulva fasciata*) rich in nutrients (primarily nitrogen)
absorbed from food fish outflow in the aquaculture facility. Aquaculture facilities use algae such
as *Ulva spp*. for biofiltration (Vandermeulen and Gordin 1990; Jiménez del Río et al. 1996). The
algae accumulates nutrients and can serve as a nutrient rich food source for herbivorous fish like
Yellow Tang.

Fish were monitored daily and mortality was recorded. Standard length (SL) (from snout to base of caudal fin) of each fish was measured. Following mortality, fish were placed in 10% neutral buffered formalin for histopathology; the operculum was removed and body cavity opened to facilitate proper formalin fixation of the internal tissues. Moribund fish were humanely euthanized using an overdose solution (> 250 mg 1^{-1}) of tricaine methanesulfonate (MS-222).

197 Histopathology

To determine the sublethal effects of collection methods, fish (n=5) were chosen randomly from each replicate treatment group immediately upon arrival to the holding facility (0 d) and at the

end of the holding period (21 d) for histopathology. Fish used for histopathology were
euthanized using an overdose solution of MS-222, placed on ice, and shipped within 48 h to
Oregon State University's (OSU) Veterinary Diagnostic Laboratory (VDL) for histologic
examination. Fish that died during the experiment were fixed in 10% neutral buffer formalin as
described above and examined.

Formalin-fixed fish were immersed for 24 h in Cal-Ex II (Fisher Scientific) to decalcify bone,
and serial cross sections were processed using standard histologic techniques, sectioned at 5 µm,
and stained with hematoxylin and eosin. Brown-Hopps Gram stain was used as necessary to
assess for the presence of bacteria. All slides were examined using a Nikon Eclipse 50i
microscope. Histologic examination focused upon gill, heart, kidney, liver, swim bladder, and
intestine.

211 Primary Stress Response

Because of the potential for cortisol concentrations to decrease when a stressor subsides, 212 blood samples were collected from fish immediately upon arrival to the holding facility. Fish 213 (n=2) were anesthetized from each treatment replicate group using MS-222 prior to drawing 0.3-214 1.0 ml blood from the heart using a 25G 2.54 cm needle and 3 ml syringe. Cardiac puncture was 215 necessary because the small size of the fish. Following blood sample collection, fish were 216 euthanized using an overdose solution of MS-222. To determine Yellow Tang ocean baseline 217 cortisol concentration, blood was collected from fish (n=4) underwater on SCUBA at capture 218 219 depth within 3 min of capture. Blood was injected into 3 ml vacutainer tubes with no additive (Becton-Dickinson), placed on ice, and centrifuged at 3,000 rpm for 10 min <1 h later. Serum 220 supernatant was transferred to a clean vacutainer tube with no additive, placed on ice, and frozen 221

<1 h later for ≤ 40 d in a non-frostless freezer, and transported overnight on dry ice to the OSU
Department of Fisheries and Wildlife for analysis.

224 Serum cortisol concentrations were determined using radioimmunoassay (RIA) as described by

Redding et al. (1984). Total binding, the ratio of the radiolabeled cortisol bound to the antibody

to the total amount of radiolabeled cortisol in the sample, was 40-50%. Samples showed

adequate parallelism, and $3.9-500.0 \text{ ng} \cdot \text{ml}^{-1}$ cortisol standards were used.

228 Statistical Methods

229 Statistical analyses were performed using the Minitab 15 Statistical Software program. To meet

assumptions of normality and homogeneity of variance, data were transformed to square root

231 (fish SL) or log (cortisol). A one-way t-test was used to compare mean cortisol concentrations of

each treatment group with the ocean baseline parameter. A two-way ANOVA was used to

compare mean cortisol concentrations, with decompression treatment and venting as fixed

factors and replicate block as a random factor. Tukey's multiple comparisons test was used to

235 determine significant differences between levels within each factor.

236 **Results**

237 Mortality

Sizes of Yellow Tang in this study ranged from 5.0-10.0 cm SL with a mean value of 7.2 cm (SE=0.05 cm). Mortality occurred <24 h post-collection in fish subjected to ascent without decompression stops or venting, with a mean mortality of 6.2% (*SE*=0.6%). No mortality occurred in the other experimental treatments.

The incidence of mortality was consistent with observations of the frequency and severity of external barotrauma signs. These included high frequency of positive buoyancy, bloating, prolapse of the intestine from the cloaca (Figure 1), and exophthalmia in fish subjected to ascent without decompression stops. Venting relieved positive buoyancy and vented fish became neutrally or negatively buoyant (Figure 1).

247 Histopathology

Histopathology of gill, heart, kidney, liver, swim bladder, and intestine failed to detect significant inflammation, necrosis, or gas embolism associated with barotrauma or venting in any treatment, in both the short- and long-term. A venting wound was detected in a fish subjected to ascent with many decompression stops and venting sampled immediately after collection. However, this lesion consisted only of locally extensive necrosis of body wall musculature and a localized influx of neutrophils surrounding the needle track and not significant widespread infection (Figure 2).

255 Primary Stress Response

The mean ocean baseline cortisol concentration was 8.9 $ng \cdot ml^{-1}$ (SE= 4.96 $ng \cdot ml^{-1}$) and in some 256 cases was at or below the detection limit for the assay (3.9 ng•ml⁻¹). All treatment groups were 257 significantly elevated above the baseline cortisol concentration (all p < 0.05). Decompression 258 treatment significantly affected cortisol concentration (Two-way ANOVA: F=4.26; df=2,10; 259 p=0.03). Ascent without decompression stops resulted in a significantly higher mean cortisol 260 concentration ($M=58.8 \text{ ng} \cdot \text{ml}^{-1}$, $SE=8.7 \text{ ng} \cdot \text{ml}^{-1}$) than ascent with many 15 min decompression 261 stops ($M=35.5 \text{ ng} \cdot \text{ml}^{-1}$, $SE=5.3 \text{ ng} \cdot \text{ml}^{-1}$), with neither treatment being significantly different 262 from ascent with one 45 min decompression stop ($M=35.2 \text{ ng} \cdot \text{ml}^{-1}$, $SE=4.3 \text{ ng} \cdot \text{ml}^{-1}$) (Figure 3). 263

Ascent without decompression stops produced the highest observed cortisol concentration (101.49 ng•ml⁻¹), whereas the highest observed cortisol concentrations in fish subjected to one and many decompression stops were 59.09 and 68.03 ng•ml⁻¹, respectively. While venting resulted in higher mean cortisol concentration (M=47.7 ng•ml⁻¹, SE=6.9 ng•ml⁻¹) than the no venting treatment (M=38.2 ng•ml⁻¹, SE=4.3 ng•ml⁻¹), this difference was not statistically significant. In addition, there was no significant interaction between decompression treatment and venting.

271 Discussion

With the objective of informing management on collection practices in the aquarium trade, our 272 study focused on the short- and long-term mortality of reef fish subjected to decompression and 273 venting as barotrauma prevention and mitigation practices, respectively. Overall, we found that 274 venting prevented immediate mortality in fish subjected to ascent without decompression stops. 275 Furthermore, we found only one case of a venting needle track, and the inflammation was 276 277 localized. There was no evidence of significant widespread tissue inflammation caused by venting, or lesions linked to barotrauma immediately after collection, or following the long-term 278 21 d holding period. Finally, ascent to the surface significantly elevated serum cortisol above 279 baseline concentrations in fish at depth. Ascent without decompression stops resulted in 280 significantly higher serum cortisol concentrations than ascent with many stops. Venting did not 281 282 significantly affect cortisol concentrations, nor were there any significant interactions between decompression and venting. In the following sections, we explain our results, suggest future 283 research recommendations, and discuss implications for fishery management. 284

285 Mortality

We found that the methods commonly used in this fishery (ascent without decompression stops, 286 or ascent with one decompression stop, followed by venting) resulted in no immediate or delayed 287 288 mortality. Ascent without decompression stops followed by venting resulted in no mortality, while fish subjected to ascent without decompression stops and no venting was the only 289 treatment group to suffer mortality. Venting alleviated positive buoyancy in fish following ascent 290 291 with no decompression stops and in this way mitigated barotrauma sufficiently to prevent shortterm mortality. Neutral buoyancy allowed fish to control body position and avoid colliding with 292 the transport container during transport from reef to harbor. This is in contrast to fish subjected 293 to ascent without decompression or venting, which exhibited positive buoyancy and were at risk 294 of acquiring secondary transport-related injuries. 295

Additional factors that may influence post-collection mortality, but are outside the scope of this 296 study, include collection depth, body size, and species. We examined fish collected from 15-18 297 m depths, which is typical for the West Hawaii Yellow Tang fishery, though fishers do exceed 298 this range (i.e. ≥ 27 m) when targeting other species (Stevenson et al. 2011). At deeper depths, 299 300 the effects of decompression and venting may differ, and it is known that fish mortality and occurrence of barotrauma increases with capture depth (Collins et al. 1999; St John and Seyers 301 302 2005; Hannah et al. 2008; Jarvis and Lowe, 2008; Campbell et al. 2010). Interviews with West Hawaii fishers indicate that fish collected from >25 m require more decompression time and 303 venting while at depth, or several venting applications during ascent. Fishers have also 304 mentioned that larger fish exhibit more severe external barotrauma symptoms than smaller fish 305 of the same species, which is similar to findings in studies on deeper-dwelling food fishes 306 (Hannah et al. 2008; St John and Seyers 2005). Just as different deeper-dwelling food fish 307 species exhibit different responses to ascent rate (Hannah and Matteson 2007; Jarvis and Lowe 308

2008; Pribyl 2010), aquarium fish species reportedly react differently to ascent rate and venting. 309 These differences are likely caused by variation in body shape, tissue durability, and swim 310 311 bladder volume between species. Methods used by fishers reflect these species differences, with practices such as performing venting on more delicate, soft-bodied fish like angelfish 312 (Pomacanthidae) underwater to prevent swim bladder expansion. Examining differences among 313 aquarium fish species of varying sizes and investigating the variety of techniques employed by 314 fishers during collection would provide further insight into the prevalence and effectiveness of 315 aquarium fish barotrauma prevention and mitigation methods. 316

317 Histopathology

Histopathology did not detect significant widespread inflammation, organ damage or 318 infection caused by venting. Only one case of a needle wound was found that consisted of 319 localized necrosis and inflammation, with no visible evidence of infection. It is possible that 320 histologic sectioning of tissues missed similar lesions in other fish, but this was minimized by 321 focusing the sampling at the site consistently used by fishers for venting. However, the objective 322 of histopathology in our study was to determine if widespread inflammation or tissue damage 323 was present in fish indicating significant injury, which was not found. If such injuries were 324 present, they would have been detected in multiple sections of the tissues surrounding the 325 venting wound. 326

Wound healing with no evidence of ongoing necrosis or inflammation, as seen in these fish, indicates that the venting procedure does not pose a significant threat to fish survival postcollection, nor does it cause significant sublethal effects. However, we caution that the fish in our study were held in an aquaculture facility for 21 d without the additional handling and transport

stressors they would normally experience in the supply chain, thus potentially promoting
recovery from injuries inflicted during collection. It is possible that additional stressors of the
supply chain diminish the efficacy of venting in promoting long-term fish survival.

334 Because aquarium fish exhibited external signs of barotrauma similar to those observed in deeper-dwelling food fishes, we expected internal barotrauma signs to be similar as well. 335 However, we did not detect lesions resulting from barotrauma, even in fish subjected to ascent 336 337 without decompression. Externally visible signs of barotrauma did occur, however. Positively buoyant fish were bloated and had intestinal prolapse at the cloaca. Although not examined in 338 this study, it is likely that organ displacement by the swim bladder occurred in these fish, an 339 internal barotrauma sign observed in deeper-dwelling food fishes (Rogers et al. 2008). 340 Determining if organ displacement occurs, and if venting relieves this issue in aquarium fish 341 would further our understanding of the mechanisms with which venting reduces mortality in fish 342 subjected to ascent without decompression. 343

344 Primary Stress Response

Our results indicate that all collection methods produced elevated cortisol concentrations above 345 the ocean baseline level. Though we did not perform stress treatments on Yellow Tang to 346 determine a cortisol level that corresponds to a stressed state, Soares et al. (2011) did so with a 347 closely related acanthurid (Ctenochaetus striatus). While cortisol concentrations vary between 348 species (Barton and Iwama 1991), stressed (45-65 ng•ml⁻¹) and non-stressed (10-25 ng•ml⁻¹) 349 350 cortisol concentrations in C. striatus suggest that venting increased stress in fish subjected to ascent without decompression though this was not statistically significant. Despite this increase, 351 we emphasize that venting did mitigate positive buoyancy and ultimately prevented mortality. It 352

appears that venting is a short-term stressor, but prevents mortality in fish subjected to ascentwithout decompression stops.

Future studies should investigate if cortisol levels subside, or remain elevated in the rest of the 355 356 supply chain. Handling in and transport between export, import, and retail facilities may exacerbate collection-induced stress. Because chronic stress results in immune system 357 suppression (Barton and Iwama 1991; Barton 2002), fish experiencing chronic stress are more 358 359 susceptible to infection, disease, and delayed mortality. Because hobbyists whose aquarium fish die often replace these fish, delayed mortality is a great driver of aquarium fish demand (Tissot 360 et al. 2010). It is likely that stress plays a role in this mortality, and future studies should examine 361 stress as it relates to handling in and transport between each link in the supply chain beyond 362 collection. 363

364 Implications for Management

While our work adds to scientific knowledge regarding collection practices of aquarium fish in 365 Hawaii, it is also relevant to the global trade. Yellow Tang and other surgeonfish (family 366 Acanthuridae), are one of the most common families targeted globally in the live aquarium trade 367 (Rhyne et al., 2012). Our results also improve our understanding of the effects of venting. 368 369 Previous studies show conflicting results regarding the effects of venting on fish mortality (Gotshall 1964; Keniry et al. 1996; Nguyen et al. 2009; Wilde 2009). Our results indicate that 370 when performed properly, venting does not cause mortality or inflict significant sublethal 371 372 injuries, though we caution that our inference is limited to a single species.

Though animal rights groups in Hawaii criticize venting, we did not find that it caused mortality
or sublethal injuries in Yellow Tang. Banning venting may increase mortality rates if fishers

implemented ascent without decompression. While opponents of venting have suggested that
slow decompression be used instead, the time required to properly decompress these fish is
economically prohibitive and impractical for fishers to implement.

In conclusion, we determined that the methods commonly used by aquarium fishers in Hawaii do not cause mortality in Yellow Tang. However, all collection methods produced elevated cortisol concentrations in fish, and this warrants more investigation. Further handling in and transport between links in the supply chain could cause chronically elevated cortisol concentrations in fish, exacerbating stress and minor injuries inflicted during collection.

383 Acknowledgements

384 We thank fishers in West Hawaii, especially Tyron Terrazzono, Paul Masterjohn, and Scott

Brien for their time, cooperation, and support. Thanks to Todd Stevenson for project guidance;

386 Syd Kraul for use of his aquaculture facility; Meghan Dailer for her hospitality and

encouragement; Tony Spitzack, Cori Kane, and Molly Bøgeberg for project assistance; Dr. Jim

Beets and Caitlin Kryss of The University of Hawaii at Hilo's Marine Science Department, Dr.

- Bill Walsh, Laura Livnat, and Kara Osada of the DAR for logistical support and project
- 390 guidance; Dr. Bob Jordan and the Kona Veterinary Service for supplies; Ian McComas for
- centrifuge use, guidance, and his time; Dr. Carl Schreck and Julia Unrein at the OSU Department
- of Wildlife and Fisheries Laboratory for cortisol analysis and guidance with sampling protocol;
- 393 Dr. Cheryl Schultz and many others for manuscript edits and suggestions.

References

395	Barton BA. 2002. Stress in fishes: A diversity of responses with particular reference to changes
396	in circulating corticosteroids. Integrative and Comparative Biology 42:517-525.
397	Barton BA, Iwama GK. 1991. Physiological changes in fish from stress in aquaculture with
398	emphasis on the response and effects of corticosteroids. Annual Review of Fish Diseases
399	1:3-26.
400	Bruckner AW. 2005. The importance of the marine ornamental reef fish trade in the wider
401	Caribbean. Revista de Biologia Tropica 53:127–38.
402	Bruesewitz RE, Coble DW, Copes F. 1993. Effects of deflating the expanded swim bladder on
403	survival of burbot. North American Journal of Fisheries Management 13:346-348.
404	Campbell MD, Patino R, Tolan J, Strauss R, Diamond SL. 2010. Sublethal effects of catch-and-
405	release fishing: measuring capture stress, fish impairment, and predation risk using a
406	condition index. ICES Journal of Marine Science 67:513-521.
407	Capitini CA, Tissot BN, Carroll MS, Walsh WJ, Peck S. 2004. Competing Perspectives in
408	Resource Protection: The Case of Marine Protected Areas in West Hawai'i. Society and
409	Natural Resources 17:763-778.
410	Cesar H, van Beukering P, Pintz S, Dierking J. 2002. Economic Valuation of the Coral Reefs of
411	Hawaii. Pacific Science 58(2):231-242.
412	Collins MR, McGover JC, Sedberry GR, Meister HS, Pardieck R. 1999. Swim bladder deflation
413	in black sea bass and vermillion snapper: potential for increasing postrelease survival.
414	North American Journal of Fisheries Management 19:828-832.
415	Donaldson EM. 1981. The pituitary-interrenal axis as an indicator of stress in fish. In: Pickering
416	AD ed. Stress in Fish. New York: Academic Press Inc., 11-47.
417	Gotshall DW. 1964. Increasing tagged rockfish (Genus Sebastodes) survival by deflating the
418	swim bladder. California Fish and Game 50:253-260.

- Hall KC, Bellwood DR. 1995. Histological effects of cyanide, stress and starvation on the
 intestinal mucosa of Pomacentrus coelestis, a marine aquarium fish species. *Journal of Fish Biology* 47:438-454.
- Hanawa M, Harris L, Graham M, Farrell AP, Bendell-Young LI. 1998. Effects of cyanide
 exposure on Dascyllus aruanus, a tropical marine fish species: lethality, anesthesia and
 physiological effects. *Aquarium Sciences and Conservation* 2:21-34.
- Hannah RW, Matteson KM. 2007. Behavior of nine Pacific rockfish after hook-and-line capture,
 recompression, and release. *Transactions of the American Fisheries Society* 136:24-33.
- Hannah RW, Parker SJ, Matteson KM. 2008. Escaping the surface: the effect of capture depth on
 submergence success of surface-released pacific rockfish. *North American Journal of Fisheries Management* 28:694-700.
- Jarvis ET, Lowe CG. 2008. The effects of barotrauma on the catch-and-release survival of
 southern California nearshore and shelf rockfish (Scorpaenidae, Sebastes spp.). *Canadian Journal of Fisheries and Aquatic Sciences 65*:1286-1296.
- Jiménez del Río M, Ramazanov Z, García-Reina G. 1996. Ulva rigida (Ulvales, Chlorophyta)
 tank culture as biofilters for dissolved inorganic nitrogen from fishpond effluents. *Hydrobiologia* 326/327:61-66.
- Jones RJ, Steven AL. 1997. Effects of cyanide on corals in relation to cyanide fishing on reefs.
 Journal of Marine and Freshwater Research 48:517-522.
- Jones RJ, Hoegh-Guldberg O. 1999. Effects of cyanide on coral photosynthesis: implications for
 identifying the cause of coral bleaching and assessing the environmental effects of
 cyanide fishing. *Marine Ecological Progress Series* 177:83-91.
- Keniry MJ, Brofka WA, Horns WH, Mardsen JE. 1996. Effects of decompression and
 puncturing the gas bladder on survival of tagged yellow perch. *North American Journal of Fisheries Management* 16:201-206.
- Kerr SJ. 2001. A review of "fizzing"- a technique for swim bladder deflation. Fish and Wildlife
 Branch, Ontario Ministry of Natural Resources, Peterborough, Ontario. *Available at*

- 446 *http://www.rockymountainanglers.com/images/Studies%20Reports%20PDFs/ReviewOfF*447 *izzingTechniques.pdf.* (accessed 5 September 2014).
- Lauer NC. 2011, October 5. Supporters drown out opponents in testimony. *West Hawaii Today*.
 Available at http://kona.westhawaiitoday.com/sections/news/local-news/fish-collecting- ban-reso-passes-council.html. (accessed 5 September 2014).
- LeGore RS, Hardin MP, and Ter-Ghazaryan D. 2005. Organization and operation of the marine
 ornamental fish and invertebrate export fishery in Puerto Rico. *Revista de Biologia Tropica* 53:145-153.
- Nguyen V, Gravel M, Mapleston M, Hanson KC, and Cooke SJ. 2009. The post-release behavior
 and fate of tournament-caught smallmouth bass after 'fizzing' to alleviate distended swim
 bladders. *Fisheries Research* 96:313-318.
- Parker SJ, McElderry HI, Rankin PS, and Hannah RW. 2006. Buoyancy regulation in two
 species of nearshore rockfish. *Transactions of the American Fisheries Society* 135:12131223.
- Pribyl AL. 2010. A Macroscopic to Microscopic Study of the Effects of Barotrauma and the
 Potential for Long-term Survival in Pacific Rockfish. D. Phil. Thesis, Oregon State
 University.
- 463 Pyle R. 1993. Marine aquarium fish. Pacific Islands Forum Fisheries Agency, Honiara, Solomon
 464 Islands. *Available at*
- 465 *http://www.spc.int/DigitalLibrary/Doc/FAME/FFA/Reports/FFA_1992_055.pdf.* (accesed
 466 5 September 2014).
- 467 Randall JE. 1987. Collecting reef fish for aquaria. In Salvat B, ed. *Human Impacts on Coral*468 *Reefs: Facts and Recommendations*. French Polynesia: Antenne Museum E.P.H.E., 29469 39.
- 470 Redding JM, Schreck CB, Birks E, Ewing RD. 1984. Cortisol and its effects on plasma thyroid
 471 hormone and electrolyte concentrations in fresh water and during seawater acclimation in

- 472 yearling coho salmon, Oncorhynchus kisutch. *General and Comparative Endocrinology*473 56:146-155.
- Rhyne AL, Tlusty MF, Schofield PJ, Kaufman L, Morris JA, Bruckner AW. 2012. Revealing the
 appetite and volume of the marine aquarium fish trade: the volume and biodiversity of
- 476 fish imported into the United States. *PLoS One* 7(5):e35808. doi
- 477 10.1371/journal.pone.0035808.
- 478 Roberts HE. 2010. Surgery and wound management in fish. In: Roberts HE, ed. *Fundamentals of*479 *Ornamental Fish Health*. Ames, Iowa: Wiley-Blackwell, 185-196.
- Rogers BL, Lowe CG, Fernandez-Juricich E, Frank LR. 2008. Utilizing magnetic resonance
 imaging (MRI) to assess the effects of angling-induced barotrauma on rockfish
- 482 (Sebastes). *Canadian Journal of Fisheries and Aquatic Sciences* 65:1245-1249.
- Rubec PJ, Cruz FP. 2005. Monitoring the chain of custody to reduce delayed mortality of netcaught fish in the aquarium trade. *SPC Live Reef Fish Information Bulletin* 13:13-23.
- Rubec PJ, Cruz FJ, Pratt V, Oellers R, McCullough B, Lallo F. 2001. Cyanide-free net caught
 fish for the marine aquarium trade. *Aquarium Sciences and Conservation* 3:37–51.
- 487 Soares MC, Oliveira RF, Ros AFH, Grutter AS, Bshary R. 2011. Tactile stimulation lowers
 488 stress in fish. *Nature Communications* 2: 534. doi: 10.1038/ncomms1547.
- 489 Stevenson TC, Tissot BN, Dierking J. 2011. Fisher behavior influences catch productivity and
 490 selectivity in West Hawaii's aquarium fishery. *ICES Journal of Marine Science*491 68(5):813-822.
- 492 Stevenson TC, Tissot BN. 2013. Evaluating marine protected areas for managing marine
 493 resource conflict in Hawaii. *Marine Policy* 39:215-223.
- 494 St John J, Seyers CJ. 2005. Mortality of the demersal dhufish, Glaucosoma hebraicum
 495 (Richardson 1845) following catch and release: The influence of capture depth, venting
 496 and hook type. *Fisheries Research* 76:106-116.

497	Talbot R. 2012, January 19. Senate Bills Call for Total Ban on Hawaiian Fishery: Open Season
498	on Marinelife Collectors. Coral: The Reef and Marine Aquarium Magazine Newsletter.
499	Available at http://www.coralmagazine-us.com/content/senate-bills-call-complete-ban-
500	sale-hawaiian-aquatic-life. (accessed 5 September 2014).
501	Tissot BN. 2005. Integral marine ecology: community-based fishery management in Hawaii.
502	World Futures: General Evolution Research Group 61:79-95.
503	Tissot BN, Hallacher LE. 2003. Effects of aquarium collectors on coral reef fishes in Kona,
504	Hawaii. Conservation Biology 17:1759-1768.
505	Tissot BN, Best BA, Borneman EH, Bruckner AW, Cooper CH, D'Agnes H, Fitzgerald TP,
506	Leland A, Lieberman S, Amos AM, Sumaila R, Telecky TM, McGilvray F, Plankis BJ,
507	Rhyne AL, Roberts GG, Starkhouse B, Stevenson TC. 2010. How U.S. Ocean Policy and
508	Market Power Can Reform the Coral Reef Wildlife Trade. <i>Marine Policy</i> 34:1385–1388.
509	Vandermeulen H, Gordin H. 1990. Ammonium uptake using Ulva (Chlorophyta) in intensive
510	fishpond systems: mass culture and treatment of effluent. Journal of Applied Phycology
511	2:363-374.
512	Walsh WJ, Cotton SP, Dierking J, Williams ID. 2004. Status of Hawaii's Coastal Fisheries in the
513	New Millennium (The commercial marine aquarium fishery in Hawai'i 1976–2003). In:
514	Friedlander AM, ed. Hawaii Chapter, Honolulu: American Fisheries Society, 132-159.
515	Williams ID, Walsh WJ, Claisse JT, Tissot BN, Stamoulis KA. 2009. Impacts of a Hawaiian
516	marine protected area network on the abundance and fishery sustainability of the Yellow
517	Tang (Zebrasoma flavescens). Biological Conservation 142:1066-1073.
518	Wilde GR. 2009. Does venting promote survival of released fish? Fisheries 34(1):20-34.
519	Wintner R. 2010, August 12. "Maui County Council Ordinance Curbs Reef Extraction for
520	Aquarium Trade." The Huffington Post. Available at
521	http://www.huffingtonpost.com/robert-wintner/maui-county-counci-
522	ordina_b_674889.html. (accessed 5 September 2014).

523	Wintner R. 2011, January 27. "The Second Foot Falls on the Aquarium Trade in Maui County."
524	The Huffington Post. Available at http://www.huffingtonpost.com/robert-wintner/a-
525	splash-heard-round-theb_812604.html. (accessed 5 September 2014).
526	Wood E, 2001. Collection of Coral Reef Fish for Aquaria: Global Trade, Conservation Issues,
527	and Management Strategies. Marine Conservation Society, UK, 80pp. Available at
528	http://www.eldis.org/go/home&id=11010&type=Document#.VAqh_Kgqils. (accessed 5
529	September 2014).
530	
531	
532	
533	
534	
535	
536	
537	
538	
539	
540	
541	
542	
543	
544	

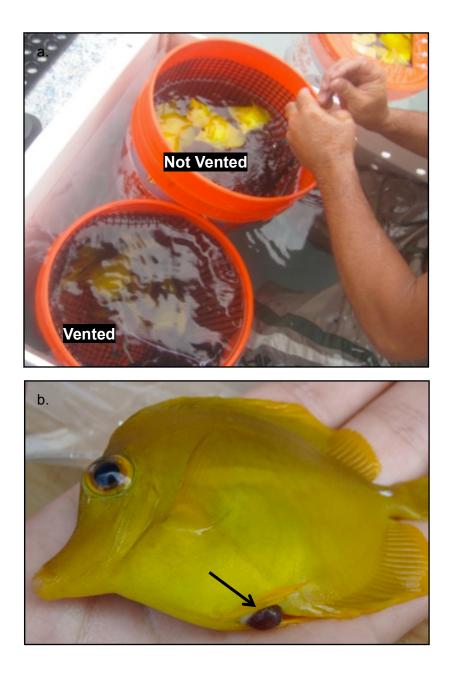


Figure 1: Barotrauma signs observed in Yellow Tang following collection: (a) positive buoyancy before venting and neutral to negative buoyancy following venting (b) intestinal protrusion from the cloaca.

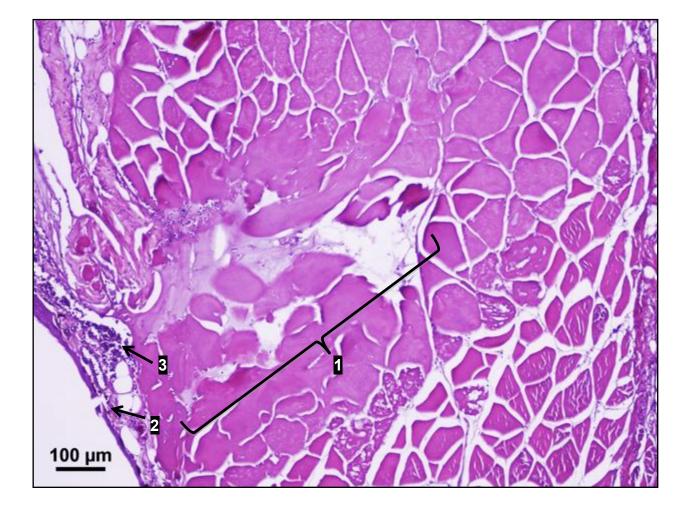


Figure 2: Histological section of needle track in a Yellow Tang subjected to venting showing muscle cell necrosis, edema, and neutrophilic inflammation, at 10x magnification. (1) Needle track, (2) needle entry through coelomic cavity, (3) neutrophilic inflammatory response.

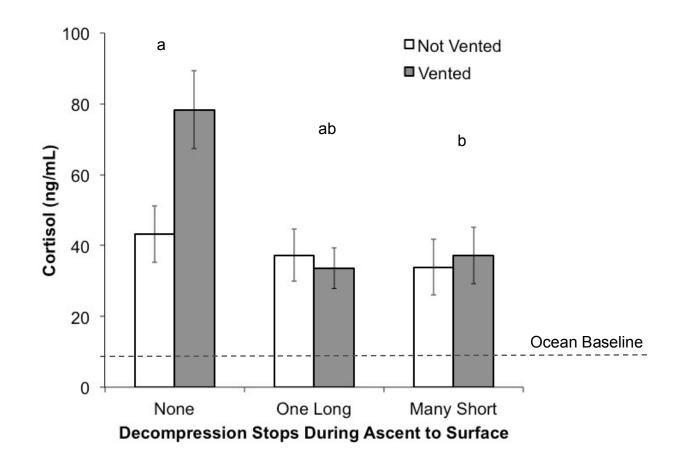


Figure 3: Cortisol concentration (mean +/-SE) by each treatment. Letter groups represent Tukey's multiple range test results comparing means between decompression treatments. All treatment groups were significantly elevated above the ocean baseline concentration of 8.9 ng•ml⁻¹.