

Plant host and drought shape the root-associated fungal microbiota in rice

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Abstract

Background and Aim. Water is an increasingly scarce resource while some crops, such as paddy rice, require large amounts of water to maintain grain production. A better understanding of rice drought adaptation and tolerance mechanisms could help to reduce this problem. There is evidence of a possible role of root-associated fungi in drought adaptation. Here, we analyzed the root fungal microbiota composition in rice and its relation ~~to~~ plant genotype and drought.

Methods. Fifteen rice genotypes (*Oryza sativa* ssp. indica) were grown in the field, under well-watered conditions or exposed to a drought period during flowering. The effect of genotype and treatment on the root fungal microbiota composition was analyzed by 18S ribosomal DNA **mass** sequencing. Grain yield was determined after plant maturation.

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38 **Results.** There was a host genotype effect on the fungal community composition. Drought
39 treatment altered the composition of the root-associated fungal community and increased fungal
40 biodiversity. The majority of OTUs identified belonged to the Pezizomycotina subphylum and
41 37 of these significantly correlated with a higher plant yield under drought, one of them being
42 assigned to *Arthrinium phaeospermum*.

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44 **Conclusion.** This study shows that both plant genotype and drought affect the root-associated
45 fungal community in rice, and that some fungi are correlated with improved drought tolerance.
46 This work opens new opportunities for basic research on the understanding of how the host
47 affects microbiota recruitment as well as the possible use of specific fungi to improve drought
48 tolerance in rice.

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50 Introduction

51 Climate change is one of the main driving forces that is changing the environment. The resulting
52 higher temperatures act to reinforce the effect of drought (Trenberth et al., 2014). Drought
53 periods are one of the main causes of grain yield losses in crops worldwide, especially in drought
54 sensitive crops such as rice (*Oryza sativa*), the second most produced and consumed crop in the
55 world. To ensure high productivity, rice requires well-watered conditions and almost half of the
56 fresh water used for crop production worldwide is consumed by rice (Barker et al., 2000). As
57 such, improving yield under drought is a major goal in rice breeding.

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58 The root system is in direct contact with the soil, from which the plant absorbs water, and
59 thus root traits are among the critical factors that can potentially ensure good yields under
60 drought stress. Besides the root system and the plant itself, the interaction between plant root and
61 symbiotic microorganisms forming the root microbiota is now considered a major factor in plant
62 performance. These microorganisms may allow the plant to buffer the environmental constraints

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63 (Vandenkoornhuyse et al., 2015) and mitigate or suppress soil borne diseases (Kwak et al.,
64 2018). Root colonizers include arbuscular mycorrhizal fungi (Glomeromycota) (Augé, 2001;
65 Smith & Read, 2008; Singh, 2011), non-mycorrhizal fungal endophytes from the Ascomycota
66 (such as the Pezizomycotina) and, to a lesser extent, the Basidiomycota. Root-associated fungi
67 have repeatedly been reported to play a role in plant tolerance to stresses (e.g. Selosse, Baudoin
68 & Vandenkoornhuyse, 2004; Rodriguez et al., 2009). Fungal endophytes have a broad host range

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85 and colonize the shoots, roots, and rhizomes of their hosts (Rodriguez et al., 2009). They can
 86 increase plant biomass (Ernst, Mendgen & Wiersel, 2003; Redman et al., 2011; Jogawat et al.,
 87 2013) and improve tolerance to biotic (Mejía et al., 2008; Maciá-Vicente et al., 2008; Chadha et
 88 al., 2015) and abiotic stresses (Hubbard, Germida & Vujanovic, 2014; Yang, Ma & Dai, 2014;
 89 Azad & Kaminskyj, 2015).

90 _____ The root fungal microbiota community is not static and changes with environmental
 91 factors. Pesticide application, for example, increases the richness of the AM fungal community
 92 composition in roots (Vandenkoornhuysen et al., 2003). In contrast, farming practices such as
 93 tillage and ploughing are known to decrease species richness of AM fungi in agricultural soils
 94 (e.g. Verbruggen & Kiers, 2010). Monocropping and conventional paddy cultivation also reduce
 95 the AMF diversity and colonization in rice, and favor the presence of fungal pathogens (Lumini
 96 et al., 2010; Esmaeili Taheri, Hamel & Gan, 2016). In traditionally flooded rice fields, root
 97 associated fungal species in the Pleosporales and Eurotiales were less abundant than in roots of
 98 plants grown in upland fields (Pili et al., 2015).

99 _____ Despite its reported role in plant fitness, the importance of plant colonizing fungal
 100 microbiota is underestimated, both in terms of diversity and functionality (Lê Van et al., 2017).

101 Plants cannot be regarded as standalone entities but rather as holobionts comprised of the plant
 102 and its associated microbiota where the microbial community provides additional functions to
 103 help the cope with environmental changes and stresses (Vandenkoornhuysen et al., 2015). In this
 104 conceptual framework, recruitment by the host of microorganisms when faced with constraints
 105 could explain microbiota heterogeneity on the same host in different developmental stage or
 106 under changing environmental conditions. If the host indeed exerts control on the recruitment of
 107 microorganisms, it is likely that genetic variation for this trait exists. Indeed, the phyllosphere
 108 bacterial community in *Arabidopsis thaliana* (Horton et al., 2014) and wild mustard (Wagner et
 109 al., 2016) but also the barley root bacterial microbiota (Bulgarelli et al., 2015) are to some extent
 110 host-dependent suggesting that plants indeed exert control on microbial community recruitment
 111 from the microorganisms present in the soil. For the present study, we therefore hypothesized
 112 that changes that occur within the fungal microbiota community composition when plants
 113 experience an environmental constraint are (partially) determined by the plant genotype. To
 114 address this hypothesis, we analyzed the effect of drought on changes in the root associated

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131 fungal microbiota of a range of different rice cultivars and whether these changes may play a role
132 in protecting rice against drought.

133

134 **Materials & Methods**

135 **Plant Materials**

136 Fifteen rice cultivars (*Oryza sativa* ssp. indica) from the International Rice Research Institute
137 (IRRI, Los Baños, Philippines) were used in our study. Ten out of the 15 cultivars were selected
138 to maximize the genetic variation using the SNP information available from a published study
139 (Zhao et al., 2011). The five additional cultivars were selected based on their drought tolerance
140 phenotype, and their information is available in IRGCIS database:
141 <http://www.irgcis.irri.org:81/grc/SearchData.htm> (Table S3).

142

143 **Field site and growing conditions**

144 All rice plants were grown at IRRI facilities from December 2012 to March 2013. The upland
145 field (used to grow rice under non-flooded conditions) was located at 14°08'50.4"N
146 121°15'52.1"E. There were 45 field blocks (three per cultivar) (0.8 x 2.5 meters) and each block
147 included 48 plants. The three replicates of each cultivar were analyzed separately. The minimum
148 distance between blocks was three meters. An additional 45 blocks were used for the drought
149 treatment, so in total there were 90 blocks. The soil was a mix of clay (36%), sand (22%) and silt
150 (41%). The plot design was randomized through the field site. Plants were grown in waterlogged
151 conditions until 50% of the plants reached the flowering stage. Then a drought treatment was
152 imposed on half of the replicates by withholding irrigation. After 12 days of drought, the stressed
153 plots reached -46 KPa of soil water potential, while the control plot was saturated with water
154 (100% of soil field capacity). There were no rain events during the stress imposition period.
155 Since the plots were maintained under upland conditions with higher sand and silt and during the
156 hotter tropical months of the Philippines, the targeted stress levels were reached in a relatively
157 short duration of 12 days. Then, three soil cores of 10 x 70 cm diameter x length were collected
158 from the center of the plots of the cultivars, pooled together (per block, so giving three replicate
159 samples per genotype) and stored in plastic bags at 4°C until further use. To remove all soil
160 particles and microorganisms non-adhering to the plant samples, roots isolated from the soil
161 cores were carefully washed with tap water, frozen in liquid N₂ and stored at -80°C until use.

Commented [MOU2]: Add drought tolerance information to Table S3. It would also be useful to include geographical information, kinship values, and any relevant phenotypic information (i.e., root biomass).

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Commented [MOU3]: Since the roots were not surface sterilized how can you confidently determine the data are all endophytes? Washing is not sufficient to remove all organisms adhered to the root surface.

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165 **DNA isolation and sequencing**

166 Each root sample was grinded to powder with a mortar and pestle using liquid N_2 and DNA was
167 extracted from 60–80 mg of plant material with the DNeasy Plant Mini Kit (Qiagen) following
168 the manufacturers protocol. From the extracted DNA, we amplified a fragment of the 18S SSU
169 rRNA gene using general fungal primers (NS22: 5'-AATTAAGCAGACAAATCACT-3' and
170 SSU0817: 5'-TTAGCATGGAATAATRRAATAGGA-3') (Borneman & Hartin, 2000) and the
171 following thermocycler conditions during the PCR: 94°C for 3 min; 35 cycles of 94°C for 45 s,
172 59°C for 45 s (–0.1°C/cycle), 72°C for 1 min; and 72°C for 10 min. Primers were modified to
173 allow the amplicon multiplexing for the sequence production process. Primer modifications and
174 PCR ~~conditions~~ followed Lê Van et al. (2017). To analyze the entire diversity of the fungal
175 community that is associated with roots, including Chytridiomycota, "~~zygomycetes~~", and
176 Glomeromycota (Sanders, Clapp & Wiemken, 1996), SSU rRNA gene primers have been ~~shown~~
177 to ~~successfully amplify~~ unknown fungal species or groups (Vandenkoornhuyse et al., 2002;
178 Quast et al., 2013; Lê Van et al., 2017).
179 ~~PCR~~ amplicons were purified with AMPure XP beads (Beckman Coulter). ~~A~~mplicon size
180 was ~~verified~~ with the Agilent High Sensitivity DNA kit (Agilent Technologies), and the
181 concentration measured using the Quant-ITMPicoGreen@dsDNA Assay kit (Invitrogen).
182 Finally, the purified 560 bp amplicons were ~~diluted to similar concentration~~, pooled, and
183 sequenced (454 GS FLX+ version Titanium, Roche), following the manufacturer's guidelines.
184 All the PCRs were performed twice and sequenced separately. These true replicates were used
185 within our trimming strategy.

186

187 **Sequence data trimming and clustering**

188 After demultiplexing, sequences were filtered to remove ~~reads~~ containing homopolymers longer
189 than 6 nucleotides, undetermined nucleotides, anomalous length and differences (one or more) in
190 the primer. Quality trimming and filtering of amplicons, OTU identification, and taxonomic
191 assignments were carried out with a combination of amplicon data analysis tools and in-house
192 Python scripts as described in Lê Van et al. 2017. In more detail, the sequences which passed all
193 the filters were clustered using DNAClust (Ghods, Liu & Pop, 2011). Operational Taxonomic
194 Units (OTUs) were generated out of a minimum of two 100% identical sequences that appeared

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independently in the different replicates. After these steps, filtering of chimeric sequences was performed using the 'chimeric.uchime' tool within Mothur (v1.31.0, Schloss et al., 2009). The trimming and clustering pipeline used was the same as used in previous studies (e.g. Ben Maamar et al., 2015; Lê Van et al., 2017). The affiliation statistics to identify OTUs were run using the PHYMYCO-DB database (Mahé et al., 2012). A contingency table was produced to perform all the diversity and statistical analyses. All sequences were uploaded in the European Nucleotide Archive with the accession number PRJEB22764.

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Commented [MOU5]: It would be useful to make the QC'ed data, fasta file containing a representative sequence for each OTU, the taxonomy file, and OTU matrix also available through a repo like Dryad or Figshare.

The effect of *Arthrinium phaeospermum* on rice growth

In order to assess the effect of one of the fungi associated with yield under drought in the present study, the endophytic fungus *Arthrinium phaeospermum* was used in a pot experiment to study its effect on rice performance. As the original *A. phaeospermum* strain from the field could not be isolated at the time that the experiment was done, eight strains of the species that were available from the CBS-KNAW Fungal Biodiversity Centre (Utrecht, The Netherlands) were tested (Table S4). As host, the cultivar IR36 (indica rice) was selected, because this cultivar had a higher *A. phaeospermum* presence in our field experiment. The seed husk was removed and seeds were sterilized with 2% sodium hypochlorite (v/v) and rinsed several times in sterile distilled water. Seeds were directly sown in small 0.3 liter (L) pots filled with sterilized sand. Plants were watered regularly with modified half-Hoagland nutrient solution and grown during seven days in a climate cell at 28°C/25°C and a 12 h photoperiod at 75% relative humidity and a light intensity of 570 $\mu\text{moles m}^{-2} \text{s}^{-1}$. The fungal cultures were grown in Potato Dextrose Agar (PDA) with rifampicin (50 $\mu\text{g/ml}$). After the fifth day, the upper part of the soil from the pot close to the plant root was inoculated with a 10 mL diameter agar disc with mycelia, then covered with a bit of soil and grown for another two days when the drought treatment was started, which consisted of water withholding for six days. In order to avoid plants wilting and dying too soon, plants received a fixed amount of water every day, as to keep the stress high but not to lose all plant available soil water. After the drought period, all plants were collected and fresh and dry weight were quantified.

Commented [MOU6]: State how many replicates were used for experiment and number of total samples.

Commented [MOU7]: 'Could not' suggests you tried and failed to isolate cultures from roots. If you did not attempt to culture, change wording to 'was not isolated'.

Commented [MOU8]: 2% seems high. Other studies use 0.5%.

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Commented [MOU10]: Did you confirm that the fungi were able to colonize the roots during this time period??

Statistical analysis

244 All the statistical analyses were performed using R (R core team, 2013). From the contingency
 245 matrix, OTU richness (number of species), abundance (number of individual OTUs), evenness
 246 and diversity index (Shannon H' index) estimators were calculated using the VEGAN (Oksanen
 247 et al., 2015) and BIODIVERSITYR (Kindt & Coe, 2005) packages. Statistical differences in
 248 these measures were analyzed using ANOVA, with the treatments (control and drought) as
 249 factors using the CAR package (Fox & Weisberg, 2011). To test for a field position effect on the
 250 microbial community results, a Mantel Test was performed using the VEGAN package. Each
 251 root sample was assigned a field position value (based on two coordinates) and the geographical
 252 Euclidean distances were calculated. These distances were subsequently compared with the
 253 ecological distances (Bray-Curtis method) calculated for the fungal community to analyze if
 254 there is a correlation between the field position and the fungal community distance.

255 Fungal community differences between the different treatments were studied using non-
 256 metric multidimensional scaling (NMDS) analysis, after removing rare OTUs (OTUs with < 10
 257 sequences) using the Bray-Curtis statistic to quantify the compositional dissimilarity
 258 (Kulczynski, 1928). To test whether significant differences exist between fungal communities
 259 from control and drought treatments a permutational multivariate analysis of variance
 260 (PERMANOVA) was run with the "adonis" function using the Bray-Curtis dissimilarity matrix
 261 (VEGAN Package).

262 To study the correlation between plant performance and the associated fungal
 263 community, a Variation Partitioning analysis (VPA) was performed in VEGAN using the
 264 "varpart" function. The VPA model allows to include many factors as variables to study if they
 265 can explain the fungal community composition. In the model the OTU relative abundance data
 266 (without the rare OTUs) were included as response variable and 'yield' (described by the grain in
 267 grams per square meter) and the rice 'host' (described by the Kinship values from the rice
 268 genomic map) as explanatory variables. As a way to calculate the relative response between
 269 treatments, the 'yield robustness' was calculated by the phenotypic plasticity index (PI)
 270 (Valladares, Sanchez-Gomez & Zavala, 2006) defined as $(\text{yield}_{\text{control}} - \text{yield}_{\text{drought}}) / \text{yield}_{\text{control}}$
 271 (calculated for each cultivar). This index was included as an explanatory variable together with
 272 the 'host' factor in a new VPA model to study how yield robustness under drought is correlated
 273 with the fungal community. We also ran a Spearman correlation analysis with the rcorr function

Commented [MOU11]: Fig. S1 also shows a Mantel correlogram, but that is not mentioned here.

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Commented [MOU12]: The results section states that the factor scores from the NMDS were used as the input for the permanova, yet this states that the B-C dissimilarity matrix was used. Please clarify.

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Commented [MOU13]: You can include from 2-4 variables in VPA.

Commented [MOU14]: So the matrix was transformed to relative abundance vs. the raw count data?

Commented [MOU15]: Can you provide additional information on how these kinship values were generated? Can they also be added to Table S3.

280 in the HMISC package, between the independent OTUs and yield under control and drought
281 treatments; the OTUs positively correlated with plant yield with a $P < 0.004$ were selected for
282 further phylogenetic analyzes, as results with P -values below this threshold were not significant
283 (the P -value cutoff was a result of the correction for multiple testing).

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284
285 When exploring changes in fungal communities from OTU patterns of plants fungal microbiota
286 exposed to drought conditions, the use qualitative and discrete quantification methods are useful
287 to limit the possibility that changes in community composition (OTUs) be blurred by differences
288 in OTU abundance (Lozupone & Knight, 2008; Amend, Seifert & Bruns, 2010; Magurran,
289 2013). Hence, we also estimated the OTU occurrence (presence/absence) in the different
290 treatments for the OTUs positively correlated with yield.

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291
292 To study if yield is linked to phylogenetic relatedness of the root-fungal microbiota, the
293 phylogenetic signal was calculated using the Blomberg's K statistic, which compares the
294 observed signal in a trait to the signal under a Brownian motion model of trait evolution on a
295 phylogeny (Blomberg, Garland & Ives, 2003) with the PICANTE package (Kembel et al., 2010).
296 The OTU relative abundance matrix was used as a trait, where the mean and standard error was
297 calculated for each OTU. The original Ascomycota tree generated by Maximum Likelihood
298 Estimation was pruned by the yield correlated OTUs. The pruned tree together with the OTUs
299 abundance data was used to calculate the phylogenetic signal.

300
301 Pruned trees (i.e., where OTUs with less than 10 sequences had been removed) were separately
302 calculated for the main phyla, Ascomycota and Basidiomycota. Sequences were aligned using
303 MAFFT v.7.123b (Kato & Standley, 2013) and then trimmed with Gblocks v.0.91b
304 (Castresana, 2000). Phylogenetic trees were generated by Maximum Likelihood (ML) using
305 RAxML v.8.00 (Stamatakis, 2014), with the General Time Reversible (GTR) model of
306 nucleotide substitution under the Gamma model of rate heterogeneity and 1000 bootstrap
307 replicates. For a subset of OTUs correlated with yield, a Neighbor Joining (NJ) tree was
308 generated from a pairwise distances matrix of sequences using the SEQINR (Charif & Lobry,
309 2007) and APE (Paradis, Claude & Strimmer, 2004) R packages. All trees were edited using
310 iTOL (<http://itol.embl.de>, (Letunic & Bork, 2011).

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Commented [MOU16]: Please deposit alignment in repository.

Commented [MOU17]: Bootstrap values are not shown on the trees.

317

318 To analyze the effect of *Arthrinium phaeospermum* on plant productivity in our pot experiment,
319 a linear model analysis was performed using the STATS package. The response (plant biomass,
320 water content, root to shoot ratio) and the predictors (treatment 'fungus' and treatment 'drought')
321 were included in a fitted linear model that was then used to run an ANOVA analysis.

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323 Results

324

325 Root - fungal microbiota in rice

326 As the samples were selected from a large field experiment, we performed a Mantel Test to
327 check for the presence of field position effects. This analysis showed that there was no effect of
328 field position on the fungal community composition for both treatments (Fig. S1). We analyzed a
329 total of 444,757 fungal sequences of 560 bp forming 902 different OTUs (Fig. 1). The
330 sequencing depth was sufficient to describe the root fungal microbiota (Fig. S2). Given the
331 fragment length and phylogenetic information it contains, the level of resolution of taxonomic
332 identification of OTUs was at the species level within the fungal phyla with the exception of the
333 Ascomycota with a resolution at the species or genus level. Despite the use of a fungal 18S
334 rRNA database, PHYMYCO-DB, most of the OTUs did not have relatives at species level (i.e.
335 unknown species). Among the 902 OTUs detected, only two belonged to the Glomeromycota
336 (i.e. AM fungi). The biggest OTU richness by far was observed for the Ascomycota phylum (784
337 OTUs), followed by the Basidiomycota (32 OTUs) (Fig. S3). The remaining OTUs belonged to
338 the Chytridiomycota (9 OTUs), Zygomycota (3 OTUs) and an unclassified phylum (72 OTUs).
339 After filtering out the rare OTUs (here defined as OTUs with less than 10 sequences in all
340 analyzed samples), the fungal γ -diversity measure, S, was 862 and the Shannon diversity index,
341 H', was 3.5. The γ -diversity in the different treatments was similar, and the majority of OTUs are
342 present under control and drought (Fig. S4).

Commented [MOU18]: However, the Mantel correlogram shows that there is a significant autocorrelation for plants found with 10 meters of one another. Please rephrase this sentence.

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Commented [MOU19]: A table with read counts and richness per cultivar/treatment would be extremely useful!! How did you take read count information into account for your analyses?

Commented [MOU20]: What was the sampling depth per sample? Did those curves reach an asymptote?

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Commented [MOU21]: How do you know this? Please make the alignment available as Supplementary Material.

Commented [MOU22]: What % identity to ref taxa are you considering 'species level'?

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343

344 The OTU richness and diversity per taxonomic group differ between the control and drought
345 treatment (Fig. 2). The diversity and OTU richness for the main groups (Ascomycota and
346 Basidiomycota) were higher under drought, whereas the unclassified phylum showed the
347 opposite pattern. Using α -diversity, there were small differences in fungal microbiota OTU

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richness under control and drought, both with non-normalized as well as with normalized data: $S_{\text{control}} = 124$, $S_{\text{drought}} = 132$. An uneven distribution of OTUs in the rice fungal microbiota community structure was observed (J_{evenness} index ~ 0.5). This observation matches with the Shannon diversity index (H'), which was higher under drought for all the rice cultivars (Fig. 3), due to an increased OTU richness and the presence of less dominant species. This was confirmed by two-way ANOVA analysis ($P=9.7 \times 10^{-13}$). Interestingly, the magnitude of the change in diversity between control and drought was rice cultivar-dependent (Fig. 3), suggesting an effect of the host-plant on fungal biodiversity and changes therein. Community compositions differed significantly between treatments (Fig. 4). A phylogenetic analysis of all frequent OTUs (without the rare OTUs) was performed for the main phyla: Ascomycota and Basidiomycota (Fig. S4). OTUs within the Sordariomycetes (Pezizomycotina) and an unclassified group (closely related to Sordariomycetes) dominated (Fig. S3).

To test the statistical significance of host genotype and treatment visualized with the NMDS analysis, a PERMANOVA analysis was performed on the NMDS scores. The NMDS analysis was based on the dissimilarity matrix (Bray-Curtis), but using the rank orders rather than absolute distances for the PERMANOVA gave us less biases link to data transformation.

The analysis supports that there is a strong effect of the treatment (control vs. drought) ($R^2=0.37$; $P=0.001$) (Fig. 4). In conclusion, the data show that rice genotype and drought have a qualitative and quantitative impact on the fungal community associated with the roots.

Host and treatment effect on root fungal microbiota

To further underpin the effect of drought on the fungal community composition we used Variation Partitioning analysis (VPA). This analysis compares the root associated microbial community with factors or a group of factors and tests if any of them is correlated with the microbial community structure. In a first VPA model the factors 'treatment' (control/drought), 'host' (genotype Kinship values) and 'yield' were included. Both the 'treatment' effect and the combination 'yield' and 'treatment' significantly explained the variation in fungal community composition (i.e. response matrix) ($P=0.001$; coefficient of determination, R^2 , of 0.22 and 0.38, respectively) (Fig. S5a). We observed a similar result using the PERMANOVA analysis. The 'host' effect was very small ($R^2=0.01$), also confirming the PERMANOVA analysis. In a second

Commented [MOU24]: Add F statistic and df information.

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Commented [MOU25]: Host plant effects or the interaction of host-microbe.

Commented [MOU26]: It would be useful to use color to denote the host genotype and shapes could denote the treatment. Right now the color is only for attractiveness and is not informative.

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Commented [MOU27]: It appears from the tree that taxa classified as Sordariomycetes do not form a monophyletic clade?

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Commented [MOU28]: This is unclear. Are you saying that the results were not the same when you used the distance matrix as input for the Permanova? How did you conclude these differences were due to the transformation? It would be useful to show results using Bray-Curtis distance matrix rather than NMDS scores.

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Commented [MOU29]: Did you test the host X treatment interaction using Permanova?

395 VPA analysis, we included ‘yield robustness’ along with the factor ‘host’ and the abundance of
396 the OTUs for the different treatments (control and drought) and demonstrated a significant ‘host’
397 effect on the fungal community under drought ($P=0.002$; coefficient of determination $R^2=0.13$)
398 while ‘yield robustness’ gave no significant effect (Fig S5b). Data with ‘yield robustness’ and
399 OTU abundance under control also shows a significant 5% of explanation by the ‘host’ ($P=0.05$)
400 but not by ‘yield robustness’ (not shown).

401

402 **Effect of fungal endophytes on rice fitness**

403 To address the link between the fungal community and plant fitness under drought, each
404 independent OTU was correlated with seed yield (control and drought separately) as a proxy for
405 drought tolerance. We found 37 OTUs that were positively correlated with yield in both
406 treatments ($R>0.30$; $P<0.004$), of which 13 were occurring more under control and 22 more
407 under drought conditions – which therefore are candidates to have a positive effect on drought
408 tolerance – while of two the presence did not change between the treatments (Fig. 5). Thirteen
409 out of the 37 OTUs were assigned to the Pezizomycotina while the other 24 OTUs could not be
410 classified, although they are closely related to the Pezizomycotina sub-phylum.

411

412 The effect of specific taxa groups on rice yield was calculated from the phylogenetic signal for
413 yield robustness in comparison with the OTU abundance showing that there was phylogenetic
414 conservation for yield ($K=6.6$, $P=0.01$) implying that phylogenetically related OTUs are more
415 associated with similar yields than random OTUs. This relatedness is solely due to the data under
416 drought ($K=8.7$; $P=0.03$).

417

418 One of the OTUs identified at the species level, *Arthrinium phaeospermum*, was among the ones
419 contributing significantly to plant yield ($R=0.08$; $P=0.01$) and yield robustness ($R=0.15$; $P=0.01$)
420 in the VPA analysis. We found other Sordariomycetes (e.g. *Chaetomium sp.*), Saccharomycetes
421 and Dothideomycetes ~~that~~ also were ~~associated with increased~~ plant yield. Interestingly, this
422 OTU, *Arthrinium phaeospermum*, belongs to the Pezizomycotina subphylum, which is a group
423 that includes the majority of beneficial fungal endophytes, and the species has been described to
424 promote plant growth (Khan et al., 2008). Therefore, we decided to study it in further detail and
425 used a pot experiment to study its effect on rice. Since we did not have access to sufficient field-

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collected material for isolation of the corresponding field strain, we ordered 6 different *A. phaeospermum* strains from CBS and tested their effect on rice growth under control and drought conditions. The *A. phaeospermum* strains tested did not have a significant positive effect on the plant shoot biomass under control nor drought conditions (Table S1). We did see an interaction between the factors 'fungus' and 'drought' for the majority of variables measured (Table S1). Indeed, the majority of the fungal strains reduced root biomass under drought (Fig. S6) and affected the root to shoot ratio significantly in the case of strains 2, 4, 7 and 8 (Table S2).

Discussion

Endospheric fungal microbiota detection

There is an increased understanding of the complexity of the root fungal microbiota which is not solely limited to Glomeromycota forming AM association, but also includes other fungi belonging to the Zygomycota, Ascomycota and Basidiomycota (e.g. Vandenkoornhuyse et al., 2002; Lê Van et al., 2017). In the present study we report for the first time the analysis of the whole fungal microbiome associated with the roots of rice. The largest group of OTUs we detected was the Ascomycota phylum (784 OTUs), followed by the Basidiomycota (32 OTUs) (Fig. S4). The Ascomycota and Basidiomycota are also dominant in the roots of other plant species such as maize (Kuramae et al., 2013), wheat (Vujanovic, Mavragani & Hamel, 2012), poplar (Shakya et al., 2013) and *Agrostis stolonifera* (Lê Van et al., 2017), and they are known to include "dark septate endophytes" (DSEs), which are facultative plant symbionts (Rodriguez et al., 2009).

In this study, the diversity values ($H' = 3.5$; $S = 862$) are of the same order of magnitude as in other crops. We found a lower H' and different community structure than in chickpea for which a H' of about 4.7 and S of about 800 have been reported (Bazghaleh et al., 2015) but a higher H' and S than in arctic plants for which an H' of 2.8 and S of 60 have been reported (Zhang & Yao, 2015). For other monocots such as wheat: $H' \sim 1.8$; $S \sim 18$, and maize: $H' \sim 0.9$; $S \sim 9$ (Bokati, Herrera & Poudel, 2016) the values are also quite a bit lower than our values, although for the latter the fungal community analysis was done in a very different way. Thus, the rice genotypes used in the present study appeared to recruit a rather high number of fungal species. The high

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Commented [MOU30]: Not a valid taxonomic name. Could change to 'zygomycete' or 'early diverging'.

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OTU richness found in our study when compared with other studies could be an effect of the primer choice, analytical methods, and also could be related to the fact that rice is growing in a very different and specific environment in comparison to the other plant species (i.e. in the tropics in a water saturated agroecosystem).

467

468 **Drought affects the endophytic fungal microbiota**

469 It has been reported that the soil fungal community composition changes under drought resulting
470 in a decreased α -diversity (Hawkes et al., 2011; Cregger et al., 2012; Seema B. Sharma &
471 Thivakaran A. Gobi, 2016; Zhang et al., 2016). As far as we know, the consequence of drought
472 on the root associated fungal microbiota has not been investigated before. In the present study we
473 clearly demonstrate that the rice endospheric fungal microbiota composition changes under
474 drought stress (Fig. 4) and results in an increased richness of fungal OTUs for all the 15 rice
475 cultivars tested (Fig. 3). Increased fungal richness could be interpreted as an active recruitment
476 of additional fungi by the rice root to face the environmental stress although we cannot exclude
477 that this is the result of the reverse process: fungi actively colonize the root compartment to
478 escape from the drought effect. Nevertheless, a higher fungal diversity could represent a better
479 pool for subordinate species (less abundant ones), which may have a large influence on certain
480 ecosystems and can potentially improve plant productivity under drought conditions (Mariotte et
481 al., 2015). The increase in fungal species richness may result in the enrichment in additional
482 functions enabling to mitigate the consequences of drought on host-plants. This could include
483 other studies where it was explored how drought influences plant-microbe interactions, showing
484 that fungi have an important effect on plant fitness under drought conditions (Lau & Lennon,
485 2012; Kaisermann et al., 2015; Classen et al., 2015). In sorghum it has been shown that when
486 water levels are extreme (drought or flooding), roots are colonized by fewer AM fungal species,
487 however at the same time the abundance of these species increases probably because they are
488 more adapted to the new conditions. In those experiments, plant biomass was not affected by the
489 water regime, but phosphate uptake was increased as a result of a change in the root colonization
490 of plants under non-flooded conditions (Deepika & Kothamasi, 2015).

491 Similar to the present study, Glomeromycota species richness and abundance increased
492 under drought within a diverse panel of plants including wild and cultivated species (Tchabi et
493 al., 2008). Strikingly, in the present study, we only observed two OTUs representing

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501 Glomeromycota within the fungal microbial community and they were not affected by drought.
502 Although we know that the fungal microbiota is not only composed of Glomeromycota (e.g.
503 (Vandenkoornhuyse et al., 2002), in our experiment rice is unexpectedly poor in AM fungal
504 colonizers in comparison to other Poaceae. For example, in a study on *Agrostis stolonifera* and
505 using the same methodological approach as in the present study, the Glomeromycota represented
506 10% of the root fungal microbiota (Lê Van et al., 2017). As already commented in the
507 introduction, monocropping and conventional paddy cultivation reduce the AMF diversity and
508 colonization in rice, which likely explains the low Glomeromycota representation in the present
509 study.

510 The majority of the OTUs that increased in frequency under drought in our study belong to the
511 Pezizomycotina subphylum, the most abundant subphylum in the Class II fungal endophytes.
512 They are well-known for their role in plant performance, boosting plant growth and buffering the
513 effect of environmental stresses and protecting their host against pathogens (Maciá-Vicente et
514 al., 2009; Jogawat et al., 2013; Azad & Kaminskyj, 2015).

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516 **Host genotype affects the fungal microbiome response to drought**

517 We showed with the VPA analysis that the host genotype affects the structure of the root
518 associated fungal community, also in response to drought ('host' effect: $R^2=0.13$; $P=0.01$) (Fig.
519 S5). Previous studies using *Arabidopsis thaliana* and barley also show a host-genotype effect on
520 the root associated microbiome (Lundberg et al., 2012; Bulgarelli et al., 2015). However, in
521 maize and *Microthlaspi spp.* the root endophyte community composition did not seem to depend
522 on the host genotype, but was largely determined by the geographical distribution where these
523 cultivars grew (Peiffer et al., 2013; Glynou et al., 2016). Using a GWAS approach for the
524 phyllosphere microbiome composition of *Arabidopsis thaliana*, it was shown that the fungal and
525 bacterial community on leaves is determined at least in part by plant loci, in this case by loci
526 responsible for defense and cell wall integrity (Horton et al., 2014). Recently, a new study has
527 shown that drought induces changes in the root bacterial endophytic community in rice, and also
528 that these changes are different in the root compartments (Santos-Medellín et al., 2017).

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530 The results of the present study clearly show that changes occur within the fungal microbiota
531 community composition when plants experience an environmental constraint (Fig. 4). The

535 increased root fungal endophytic diversity could be the result of migration of soil fungi to the
536 roots to survive the drought conditions. However, the significant genotype effect on the fungal
537 community structure under drought (Fig. S5) strongly suggests that active recruitment by the
538 plant host of fungal species also occurs. Potentially, this enrichment of plant-microbiota can
539 buffer the effects of the drought stress (Vandenkoornhuyse et al., 2015). A host-plant preference
540 has also been shown in studies analyzing AM fungal communities (Martínez-García & Pugnaire,
541 2011; Torrecillas, Alguacil & Roldán, 2012), even considering co-occurring plant species within
542 the Poaceae (Vandenkoornhuyse et al., 2003). This observation was later explained by the ability
543 of plants to filter the colonizer by a carbon embargo toward less beneficial AM fungi (Kiers et
544 al., 2011; Duhamel & Vandenkoornhuyse, 2013). We are currently further exploring the role of
545 the plant-host in the recruitment of root-associated fungal microbiota using plant genetics
546 approaches.

547

548 **Root fungal microbiota and rice grain yield**

549 OTUs that are closely related to each other showed similar correlation values with rice grain
550 yield as there is a strong phylogenetic signal between all yield correlated OTUs ($K=6.6$; $P=0.01$).
551 Intriguingly, these OTUs are more abundant under drought (Fig. 5), suggesting that they may
552 play a role in the tolerance of rice to drought. In an earlier study, inoculation of rice with fungal
553 Type II endophytes such as *Fusarium culmorum* and *Curvularia protuberata* resulted in a higher
554 growth rate and yield and a reduced water consumption. Moreover, the rice plants grown under
555 drought stress were more intensively colonized by these fungi in comparison to control plants
556 (Redman et al., 2011). In the present study we identified 37 different OTUs that belong, or are
557 closely related, to the Pezizomycotina which all positively correlated with yield in plants that
558 were exposed to drought (Fig. 5). This might be due to one particular fungal OTU or
559 alternatively might be the consequence of a complex synergistic effect of different OTUs.

560

561 Among these fungi, one OTU, *Arthrinium phaeospermum*, was found to correlate with plant
562 yield and could be identified at the species level. The presence of *Arthrinium* species is often
563 associated with plants from the Poaceae family, suggesting a certain level of host specificity
564 (Yuan et al., 2011). To confirm the role of *A. phaeospermum*, different strains of this species
565 were used in a pot experiment. Under control conditions no significant effect of the inoculation

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571 was observed on plant shoot biomass, while root biomass was decreased by some of the strains
572 under drought (Table S2). Root biomass investment (root to shoot ratio) under drought was
573 lower for plants inoculated with some of the strains (Table S2; $P < 0.05$).

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574 _____ These results seem counter-intuitive because in the community analysis A.
575 phaeospermum was correlated with yield, especially under drought as shown by the VPA
576 analysis. The most likely explanation for this is that we did not use the A. phaeospermum strain

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577 that caused the effect in the field because we used publicly available strains. Also, in the pot
578 experiment biomass was analyzed instead of yield. To further examine this discrepancy it will be
579 necessary to isolate the corresponding strain from the field and/or plant material analyzed. Other
580 possible explanations rely on the fact that the yield effect it is not directly due to A.

Commented [MOU38]: Alternatively, this is due to the fact that the OTU you identified in your experiment is not in fact, A. phaeospermum, but instead a closely related species.

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581 phaeospermum but to another microorganism(s) that was not measured in our study (e.g.,
582 bacteria) which was correlated with the presence of A. phaeospermum. Other explanations for
583 the discrepancy may be that drought induced resistance is the result of a synergistic/antagonistic
584 effect between A. phaeospermum and other microorganisms (Larimer, Bever & Clay, 2010;
585 Aguilar-Trigueros & Rillig, 2016), while we studied the effect of a single fungal isolate.
586 Likewise, a perturbation of the root microbial community induced by the inoculation may have
587 blurred any positive effects.

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588 _____ A higher root:shoot ratio and a longer root length are often characteristics for rice
589 cultivars that are more drought tolerant, as they are good indicators for a higher water uptake
590 capacity (Comas et al., 2013; Paez-Garcia, 2015). We did not record the root length in the pot
591 experiment, so it could be that some of the fungal strains may have had an impact on root length
592 rather than on root biomass. Furthermore, the effect of drought on the root to shoot ratio depends
593 on the plant growth stage, and is most evident in older plants (Silva, Kane & Beeson, 2012).
594 Therefore, in the relatively young plants that were used in the present study we may have missed
595 the effect that the fungi may have on root architectural changes in older plants. These
596 possibilities should be considered for future studies with the same research questions.

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Commented [MOU40]: Unclear. Are you saying that plant growth stage is easier to detect in older plants? If so, the 'and' should be changed to 'which'.

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598 Conclusions

599
600 Our study illustrates that the root associated fungal community in rice is altered under drought
601 conditions, resulting in a higher species diversity. It also shows the presence of specific OTUs

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614 (belonging to the Pezizomycotina) ~~is~~ correlated with yield, and ~~the relative abundance of these~~
615 ~~OTUs~~ increases under drought. Finally, we show that ~~under drought conditions rice~~ genotype has
616 a ~~significant~~ effect on the fungal community composition.

617 _____ Roots are an interesting ~~pool~~ to search for beneficial-plant growth promoting fungi
618 (Fonseca-García et al., 2016; Angel et al., 2016). With sufficient knowledge, we can potentially
619 compose ‘functional OTU clusters’, specifically tailored for a crop plant species, that may have a
620 positive impact on plant performance. ~~This microbiota could then be applied~~ in the field to boost
621 plant productivity under periods of stress. ~~However~~, only a ~~maximum of 1.0 % of soil~~
622 ~~microorganisms can be cultured under standard conditions~~. ~~Thus, studying the roles of~~
623 ~~microbiota~~ in biological and ecological soil processes ~~remains~~ a challenge (Rehman, Sayeed,
624 Mohd Akhtar & Siti Nor Akmar Abdullah, 2016), ~~especially for~~ possible application in
625 agriculture. Nonetheless, ~~metagenomics and metabarcoding~~ studies can yield valuable
626 information that could help us to exploit microbial communities and further investigate how
627 microbial ‘clusters’ are working together to improve plant fitness under stressful environments.

628 629 **Acknowledgements**

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632 Human and Environmental Genomics platform (<https://geh.univ-rennes1.fr/>) and S. Michon-
633 Coudouel for technical support in the library preparation and sequencing, and J.G. Maciá-
634 Vicente for providing R scripts for some of the statistical analyzes and his support with some of
635 the phylogenetic analyzes.

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Commented [MOU44]: Are you referring to your study (which is not metagenomics) or future studies?

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