A six-microRNA signature can better predict overall survival of patients with esophagus adenocacinoma

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Background The MicroRNAs (miRNAs) have been validated as prognostic markers in many cancers. Here, we aimed at developing a miRNA-based signature for predicting the prognosis of esophagus adenocarcinoma (EAC).

Methods The RNA sequencing data set of EAC was downloaded from The Cancer Genome Atlas (TCGA). Eighty-four patients with EAC were classified into a training set and a test set randomly. Using univariate Cox regression analysis and the least absolute shrinkage and selection operator (LASSO), we identified prognostic factors and constructed a prognostic miRNA signature. The accuracy of the signature was evaluated by the Receiver operating curve (ROC).

Result In general, in the training set, 6 miRNAs (hsa-mir-425, hsa-let-7b, hsa-mir-23a, hsa-mir-3074, hsa-mir-424, hsa-mir-505) displayed good prognostic power as markers of overall survival for EAC patients. Relative to patients in the low-risk group, those assigned to the high-risk group according to their risk scores of the designed miRNA model displayed reduced overall survival. This 6-miRNA model was validated in test and entire set. The area under curve (AUC) for ROC at 3 years was 0.959, 0.840, and 0.868 in training, test, and entire set, respectively. Molecular functional analysis and pathway enrichment analysis indicated that the target mRNAs associated with 6-miRNA signature were closely related to several pathways involved in carcinogenesis, especially cell cycle.

Conclusion In summary, a novel 6-miRNA expression-based prognostic signature derived from the EAC data of TCGA was constructed and validated for predicting the prognosis of EAC.

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ABSTRACT

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Keywords: Esophagus adenocarcinoma, TCGA, Prognosis, Bioinformatics

INTRODUCTION

Globally, esophagus cancer was ranked seventh among the leading types of cancers and sixth among the leading causes of cancer mortality in 2018 according to the Global Cancer Observatory (GCO) (Fitzmaurice et al. 2018). Although the diagnosis and treatment strategies have been developed, this cancer remains a major problem due to insufficient information on its etiology, and the overall five-year survival rate for patients with esophageal cancer is 15% to 25% worldwide (Pennathur et al. 2013). Generally, two types of malignancies are diagnosed: adenocarcinoma (10%) and squamous cell carcinoma (90% of cases). The prevalence of esophagus adenocarcinoma (EAC) has rapidly increased over the past few decades (Thrift & Whiteman 2012). The prognosis of EAC is poor and its 5-year overall survival rate is 30% (Hirst et al. 2011). Due to the poor outcomes of EAC, it is important to reveal the mechanisms leading

to the occurrence and development of EAC. More biomarkers that can effectively predict the genesis, progress and prognosis of EAC need to be found urgently.

MicroRNAs (miRNAs) are small noncoding RNA transcripts that are made of estimated 22 nucleotides (Lujambio & Lowe 2012). The predominant function of miRNAs is to regulate protein translation by binding to target messenger RNAs (mRNAs), and ~~thereby regulate~~ mRNA translation ~~negatively~~ (Krol et al. 2010). They have recently been validated and applied in diagnosis and prognosis of a variety of tumors, including hepatocellular carcinoma (Parizadeh et al. 2019), prostate cancer (Moya et al. 2019) and breast cancer (Yerukala Sathipati & Ho 2018).

Many studies focused on miRNAs in patients with Barrett's Esophagus (Leidner et al. 2012; Li et al. 2018; Revilla-Nuin et al. 2013), a precursor lesion of EAC. Yet, the miRNA expression landscape in EAC is not clearly understood. Over the past few years, some studies reported the significant role of miRNAs in the molecular diagnosis and prognosis of EAC. A 4-miRNA expression profile score can provide a validated approach ~~of~~ predicting pathological complete response rates (pCR) to neoadjuvant treatment in EAC (Skinner et al. 2014). In addition, 3-miRNA (miR-99b and miR-199a_3p and _5p) signature is correlated with patient survival and occurrence of lymph node metastasis (Feber et al. 2011). However, these findings were based on a small number of patients.

The Cancer Genome Atlas (TCGA), a landmark cancer genomics program, is a reservoir of large-scale miRNA-sequencing datasets spanning 33 cancer types. In the present investigation, we constructed a prognostic risk score system on the basis of miRNA~~s dataset~~ from TCGA to predict the prognosis of EAC. Furthermore, pathway enrichment and gene oncology annotation analyses were performed to understand the probable cellular functions of mRNAs associated with this signature.

MATERIALS AND METHODS

RNA-Seq and clinicopathological data of EAC patients

From TCGA data portal (https://portal.gdc.cancer.gov/), RNA-seq data and associated clinical information were downloaded in January 2019. The annotation information was provided by GENCODE datasets (www.gencodegenes.org). Given that some miRNAs and mRNAs display little or no expression in some tissues or do not vary ~~sufficiently ,~~ only those with raw count value >20 in more than 80% of samples were retained for further analysis. Once normalization by edgeR was completed, this was followed by conversion of the expression patterns of miRNAs and mRNAs to log2 (normalized value +1) in preparation for the subsequent processing. Samples with a < 1-month censor time are removed, because they cannot be representative samples for analyzing prognostic factors. A total of 84 EAC subjects with the corresponding clinical data including age, gender, height, weight, race, alcohol history, Barrett’s disease history, tumor size, lymph node status, metastasis status, and TNM stage were collected in this study (Table 1). The EAC patients’ dataset contained 96 samples (84 EAC and 12 normal tissues) and 272 miRNAs.

Since the data came from the TCGA database, no further approval was required from the Ethics Committee.

Construction and validation of the miRNA risk score

Eighty-four patients were stratified to 2 categories in a random manner: training set = 42, test set = 42. Training set was analyzed to build a miRNA model that ~~further~~ confirmed in test and entire ~~set~~.

In the training set, we screen out miRNAs with a significant p value less than 0.1 by using univariate survival analysis based on Cox proportional hazards of each miRNA. The least absolute shrinkage and selection operator (LASSO) is a generalized linear regression algorithm capable of variable selection and regularization simultaneously (Gao et al. 2010). We determined the lambda by using the cross-validation routine cv.glmnet with an n-fold equal to 10. LASSO was performed to reduce above selected prognostic miRNAs further and to construct the risk score system.

For determination of survival risks, a prognostic model was created on the basis of miRNA data as follows:

$$Risk\ score = \sum_{i=1}^{n} \beta i * gene\, i$$

β stands for the coefficient of the miRNA, and gene refers to miRNA expression value.

Using the median score in training set as the cutoff, we stratified the subjects to low-risk and high-risk groups. The Kaplan–Meier (KM) and log-rank methods were applied to compare the survival rate between the groups by using the R “survival” package. The time-dependent receiver-operating characteristic (ROC) curve was plotted by using the R “timeROC” package to evaluate specificity and sensitivity of the miRNA expression-based prognostic signature. Thereafter, this signature was validated in test set and entire set. ROC and KM curves were also carried out to the validate accuracy and feasibility of the miRNA model. Then stratified analysis based on clinical parameters was performed in the entire set.

All ROC and KM curves were plotted with R (version 3.5.2), and P <0.05 represented statistical significance.

Gene set enrichment analysis

Subjects were stratified to two groups (high and low) based on the risk score of the 6-miRNA signature. We used gene set enrichment analysis (GSEA, http://software.broadinstitute.org/gsea) (Subramanian et al. 2005) to figure out potential functional annotations in the two groups. The BioCarta dataset (c2.cp.biocarta.v6.2.symbols.gmt) served as the reference gene set. False discovery rate (FDR) < 0.05, enrichment score (ES) > 0.5 were set as the significance threshold.

Functional enrichment analysis

Using the miRNA target prediction tool starBase (http://starbase.sysu.edu.cn/index.php), the target genes of the 6-miRNA signature were predicted based on 5 datasets, including TargetScan, PITA, miRmap, microT, and miRanda. Metascape is a free online platform having a large-scale set of functional annotation tools to understand biological ~~mechanism~~ behind a large pool of genes (http://metascape.org/gp/index.html#/main/step1). We used Metascape to analyze functional enrichment of Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway and Gene Ontology (GO) based on the prognostic target genes of miRNAs and visualized by R "gglot2" package.

~~RESULT~~

The predictive 6-miRNA signature for the training set

The overall design and workflow of this study was presented in Figs. 1. According to the results of the univariate Cox regression analyses, 64 miRNAs associated with survival data were selected for patients with EAC (Table S1). The lambda value was set by using the lambda.min, which is the value of lambda giving minimum mean cross-validated error, and then 6 miRNAs with nonzero coefficients were defined (Figs. S1). Based on the LASSO Cox regression models, a risk score was determined for each subject according to 6-miRNA status: Risk score = (-0.6089×hsa-let-7b) + (-0.1974×hsa-mir-23a) + (0.3369×hsa-mir-3074) + (0.0294×hsa-mir-424) + (0.2421×hsa-mir-425) + (0.2435×hsa-mir-505).

In the training set, the patients with EAC were divided into a high-risk group and a low-risk group. The risk scores of patients were ranked, and the dotplot was developed for the survival status of each patient. Compared with the mortality of patients in the high-risk group, those in the low-risk group was much lower (figs. 2A, 2C). Moreover, based on a heatmap of the 6-miRNA profile, the levels of hsa-mir-3074, hsa-mir-424, hsa-mir-425 and hsa-mir-505 were lower in the low-risk group than those of the high-risk group. The level of hsa-let-7b and hsa-mir-23a were higher in the low-risk group than those of the high-risk group (figs. 2D). The KM curve indicated that the survival time of patients in the high-risk group was shorter than those in the low-risk group (figs. 2E). We described the predictive value of the 6-miRNA signature by using a time-dependent ROC curve. The AUC at 1, 2, and 3 years of the signature was 0.860, 0.962, 0.959 respectively (figs. 2B).

The predictive power of 6-miRNA signature in test set and entire set

The 6-miRNA signature was applied to the test set and the entire set for evaluation of its prognostic value. The distribution of risk scores, the expression values of 6 miRNAs and the survival status of patients ranked according to the risk scores were presented in test set (Figs. 3A, 3C, and 3E) and entire set (Figs. 3B, 3D, and 3F). In test set and entire set, patients with the low-risk scores exhibited better overall survival than those with the high-risk scores based on the KM

curve (Figs. 3G and 3H). The 3-year AUC of the 6-miRNA based signature was 0.840 and 0.868, respectively, for the test set and the entire set (Figs. 3I and 3J).

To assess the independent prognostic value of the 6-miRNA signature, various clinicopathological factors were subjected to univariate Cox regression and multivariate Cox regression. The result indicated that the 6-miRNA signature was an independent prognostic factor after adjustment for other clinicopathological factors (HR=2.95, CI 1.43-6.07, p= 0.00338, Table 2) . When stratified by clinical factors (age, gender, caucasian, height, weight, alcohol consumption history, Barrett's disease, TNM stage), a nearly universal result was obtained for all subgroups (Figs. 4), showing that high risk score was strongly associated with poor prognosis and vice versa. Regardless of height, weight, TNM stage, alcohol consumption history and Barrett's disease, the 6-miRNA signature is significantly effective. Therefore, the present results suggest that the 6-miRNA signature can predict the clinical prognosis of EAC.

Functional analysis of the 6-miRNA signature

BioCarta pathway enrichment was conducted through GSEA in high-risk group in the entire set. It revealed that high-risk patients were associated with some pathways, including "proteasome pathway", "MCM pathway", "G2 pathway" and "~~cellcycle~~ pathway" (Figs. 5A, 5B, 5C, and 5D). Through a miRNA prediction tool, starBase, 179 target mRNAs for hsa-let-7b, 147 for hsa-mir-23a, 382 for hsa-mir-424, 37 for hsa-mir-425 and 11 for hsa-mir-505 were obtained. Unfortunately, no target gene for hsa-mir-3074 was predicted. We conducted functional enrichment of these target genes by GO and KEGG categories. Cellular component, molecular function and biological process of these target genes based on p-values were showed (Figs. 5E, 5F, and 5G). The top 20 KEGG pathways of these target genes were plotted (Figs. 5H). Among these pathways, MAPK signaling pathway, hippo signaling pathway, foxo signaling pathway and TGF-beta signaling pathway were reported to be related to metastasis of cancer (Blum et al. 2019; Janse van Rensburg & Yang 2016; Kim et al. 2018; Sun et al. 2018). Some other pathways are also known to be associated with cancers, such as pathways in cancer, microRNAs in cancer, cell cycle, autophagy.

DISCUSSION

Although great progress has been made in the field of the pathogenesis and clinical treatment of EAC, the overall morbidity and mortality for EAC have not improved significantly, which can be attributed to the lack of reliable biomarkers and genetic signatures for proper individualized treatment. Therefore, it is urgent to build the molecular signature of EAC to improve the survival rate and tailor effective personalized treatment. A large number of studies reported that miRNAs can play a key role in the diagnosis of tumors, the prediction of chemotherapy efficacy, and the biomarker of cancer risk (Mari et al. 2018). The miRNAs have been reported to predict Barrett's disease development to EAC, diagnosis, prognosis, and treatment effect in EAC (Maru et al. 2009; Nguyen et al. 2010; Wang et al. 2016; Zhang et al. 2013). Data mining of TCGA is an

effective way to identify genetic alterations related to clinical outcomes and screen novel therapeutic targets. In the last decade, miRNAs have attracted increasing attention in cancer research. However, very few studies have assessed the prognostic value of miRNA signature for patients with EAC on the basis of TCGA data. In this study we used univariate Cox regression analyses to identify 64 miRNAs, among which 6 miRNAs are selected to construct the risk score system for EAC prognosis through LASSO.

Through our analysis, we suggested that hsa-let-7b and hsa-mir-23a may enhance the survival rate of EAC patients, while hsa-mir-3074, hsa-mir-424, hsa-mir-425 and hsa-mir-505 may reduce the survival rate of EAC patients. Previous research has identified hsa-let-7b as a prognostic marker in NSCLC (Hosseini et al. 2018). Importantly, hsa-let-7b has been reported to inhibits cell proliferation, migration, and invasion in various malignant tumor by targeting different proteins (He et al. 2018; Xu et al. 2014; Yu et al. 2015). It was reported that hsa-mir-23a played various roles in the initiation, progression, diagnosis, prognosis, and treatment of tumors (Wang et al. 2018). Meanwhile, hsa-mir-23a was associated with differentiation and carcinogenic process of esophageal squamous cell cancer (Zhu et al. 2013).

Few studies have been published on the function of hsa-mir-3074 in carcinogenesis, it deserves further investigating. Hsa-mir-424 was recognized to play a dual role in various cancers. In colorectal cancer, hsa-mir-424 was identified as a tumor suppressor by suppressing cancer cell growth and enhancing apoptosis (Fang et al. 2018). In addition, hsa-mir-424 was upregulated and correlated with poor survival in esophageal squamous cell carcinoma, it can promote cell proliferation by multilayered regulation of cell cycle (Wen et al. 2018).

The impact of hsa-mir-425 and hsa-mir-505 on the other cancers seems to differ from its effect on EAC based on our bioinformatics analysis. A recent study indicated that hsa-mir-425 inhibited lung adenocarcinoma cell and promoted cell apoptosis (Liu et al. 2018). Hsa-mir-425 can also inhibit cell proliferation of renal cell carcinoma by targeting E2F6 (Cai et al. 2018). Meanwhile, several articles have reported that hsa-mir-505 suppresses cell proliferation and invasion by targeting certain mRNAs in endometrial carcinoma and gastric cancer (Chen et al. 2016; Tian et al. 2018). However, overexpression of hsa-mir-425 and hsa-mir-505 was a poor prognostic factor in this study, and they may play a role as oncogenes of EAC.

Functional annotations in high-risk patients with EAC revealed that MCM pathway, G2 pathway and cell cycle pathway was enriched significantly. There are 10 proteins in the family of minichromosome maintenance complex (MCM), named MCM 1-10 (Nowinska & Dziegiel 2010). It has been reported that MCM2-7 play an important role as the eukaryotic replicative helicase due to its unwinding DNA and traveling with the fork (Bochman & Schwacha 2008; Labib et al. 2000), along with the cyclin dependent kinases (CDKs) as master regulators of the cell cycle and the initiator proteins of DNA replication, such as the Origin Recognition Complex (ORC), Cdc6/18 (Chen et al. 2007; Diffley et al. 1994). There is evidence that high expression of

MCM4 and MCM7 were associated with lymph node metastasis and shorter survival in EAC (Choy et al. 2016). Based on the result of GSEA, molecular function of GO, and KEGG, 6-miRNA signature may be involved in regulation of cell cycle and DNA replication.

This study has certain limitations. First, the initial screening univariate Cox regression analyses included only 272 miRNAs after elimination of very low ~~expression of~~ miRNAs, whereas more than 4000 human miRNAs have been discovered at present (Chou et al. 2018). Although the 6-miRNA signature can predict prognosis of EAC well, other miRNAs which have good predictive ability for prognosis may have been missed. Second, due to the patient limitation of TCGA, there are only 84 EAC patients, and fewer number of patients were included in subgroup analyses. Third, there were no external validation cohorts in this study which can convincingly validate the miRNA signature. Therefore, further studies will be needed to validate these findings using larger numbers of patients, and to explore potential molecular functions of the six separate miRNAs in EAC.

CONCLUSIONS

In summary, we constructed a novel 6-miRNA-expression-based risk model based on TCGA dataset which displayed the potential to be an independent prognostic factor for patients with EAC. In addition, the miRNA signature can help improve our understanding of clinical decision-making as potential biomarkers and targets for patients with EAC.

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Table 1(on next page)

Clinical characteristics of EAC patients

Table 1:

Clinical characteristics of EAC patients

Variables	Case, n (%)
Sample number	84
Age	
<60	29(34.52)
≥60	55(65.48)
Gender	
Male	72(85.71)
Female	12(14.29)
Height	
<175	41(48.81)
≥175	38(45.24)
NA	5(5.95)
Weight	
<85	46(54.76)
≥85	37(44.05)
NA	1(1.19)
Race	
Asian	1(1.19)
White	66(78.57)
NA	17(20.24)
Event	
Alive	46(54.76)
Dead	38(45.24)
Alcohol history	
No	27(32.14)
Yes	56(66.67)
NA	1(1.19)
Barrett's disease	
No	52(61.91)
Yes	26(30.95)
NA	6(7.14)
Tumor size	
1	21(25.00)
2	14(16.67)
3	45(53.57)
4	1(1.19)
NA	3(3.57)
Lymph node status	
0	21(25.00)

1	47(55.95)
2	6(7.15)
3	5(5.95)
NA	5(5.95)
~~Metastsis~~	
0	57(67.86)
1	11(13.09)
NA	16(19.05)
Stage	
I	12(14.29)
II	24(28.57)
III	33(39.29)
IV	11(13.09)
NA	4(4.76)

NA, non available.

Table 2(on next page)

Univariate and multivariate COX regression analyses of the six-microRNA signature and clinicopathologic factors in the entire set.

Table 2:
Univariate and multivariate COX regression analyses of the six-microRNA signature and clinicopathologic factors in the entire set.

Variables	Univariate analysis			Multivariate analysis		
	HR	95% CI	Pvalue	HR	95% CI	Pvalue
miRNA risk score	3.41	1.70-6.84	0.001*	2.95	1.43-6.07	0.003*
Age (≥60 vs <60)	0.89	0.44-1.81	0.752			
Gender (male vs female)	0.68	0.20-2.31	0.539			
Height (≥175 vs <175cm)	0.80	0.39-1.62	0.535			
Weight (≥85 vs <85kg)	1.07	0.53-2.15	0.844			
Alcohol consumption (yes vs no)	0.46	0.23-0.92	0.029*	0.67	0.32-1.40	0.287
Barrett's disease (yes vs no)	1.16	0.56-2.37	0.691			
Stage (III+IV vs I+II)	2.30	1.08-4.91	0.031*	1.95	0.88-4.29	0.098

HR, Hazard Ratio.
95% CI, 95%confidence interval.
*p<0.05, statistically significant.

Figure 1(on next page)

Flow chart of data preparation, processing, analysis and validation in this study

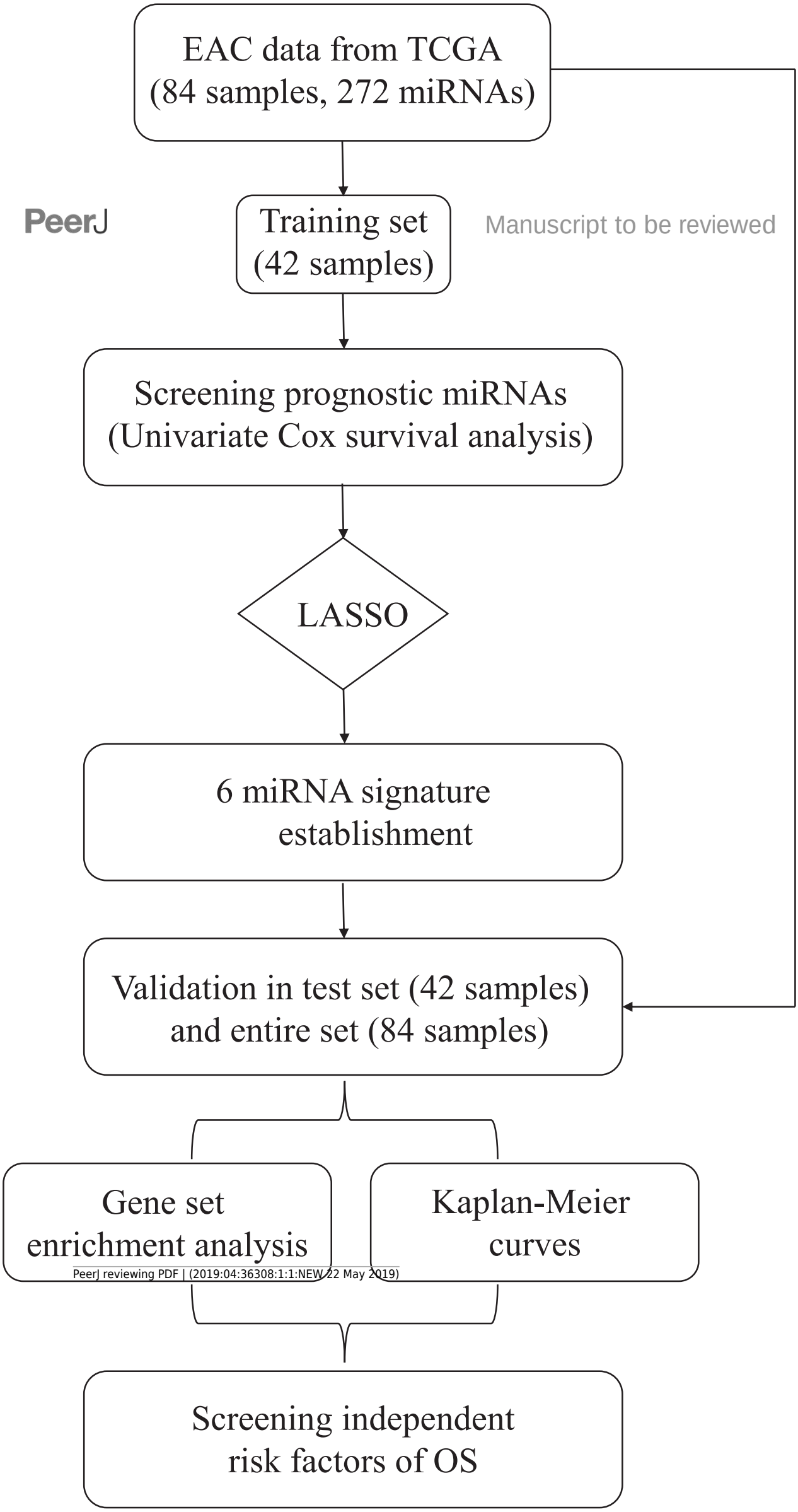
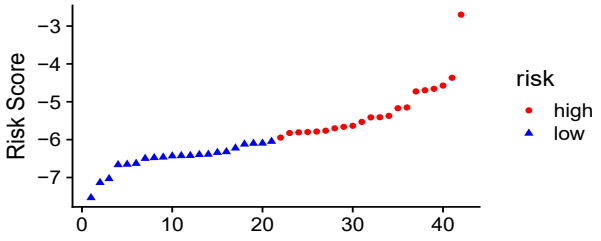


Figure 2(on next page)

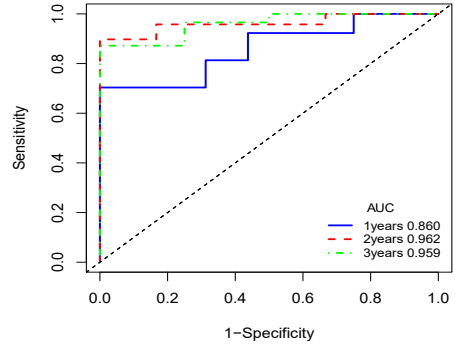
The 6-miRNA signature predicted the OS of EAC patients in the train set.

(A, C) The 6-miRNA based risk score and survival status of EAC patients. (B) Receiver operating characteristic (ROC) analyzes the sensitivity and specificity of the survival time by risk score based on the 6-miRNA signature. (D) Expression heatmap of the 6 miRNAs corresponding to each sample which ranks in order of risk score. (E) Kaplan-Meier analysis for OS using the 6-miRNA signature.

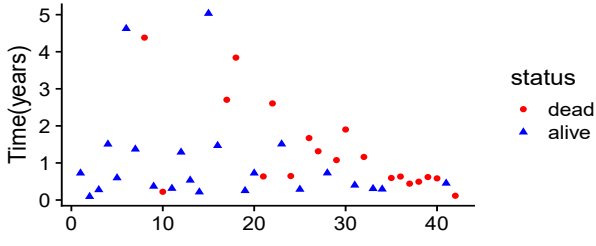
A


Risk Score
−3
−4
−5
−6
−7
0
10
20
30
40
risk
high
low

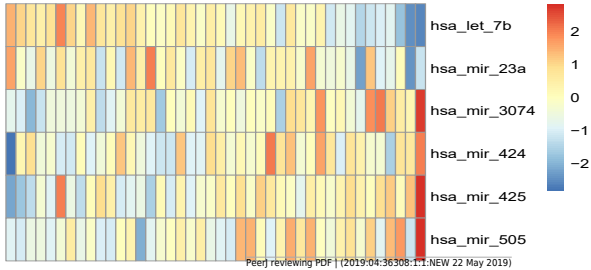
B


Sensitivity
0.0
0.2
0.4
0.6
0.8
1.0
1−Specificity
AUC
1years 0.860
2years 0.962
3years 0.959

C


Time(years)
0
1
2
3
4
5
0
10
20
30
40
status
dead
alive

D


hsa_let_7b
hsa_mir_23a
hsa_mir_3074
hsa_mir_424
hsa_mir_425
hsa_mir_505
2
1
0
−1
−2

E

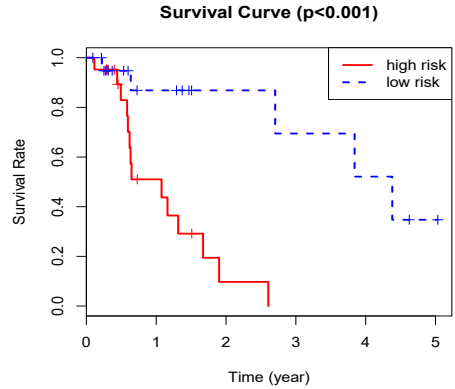

Survival Curve (p<0.001)
high risk
low risk
Survival Rate
0.0
0.2
0.4
0.6
0.8
1.0
0
1
2
3
4
5
Time (year)

Figure 3(on next page)

The 6-miRNA signature predicted the OS of EAC patients in test and entire set.

The miRNA signature risk score distribution and heatmap of the miRNA expression profiles in test set (A, C, and E) and entire set (B, D, and F). survival curves of high- and low- risk samples in test set (G) and entire set (H). Time dependent ROC curve for accuracy of the predicting risk score system in test set (I) and entire set (J) .

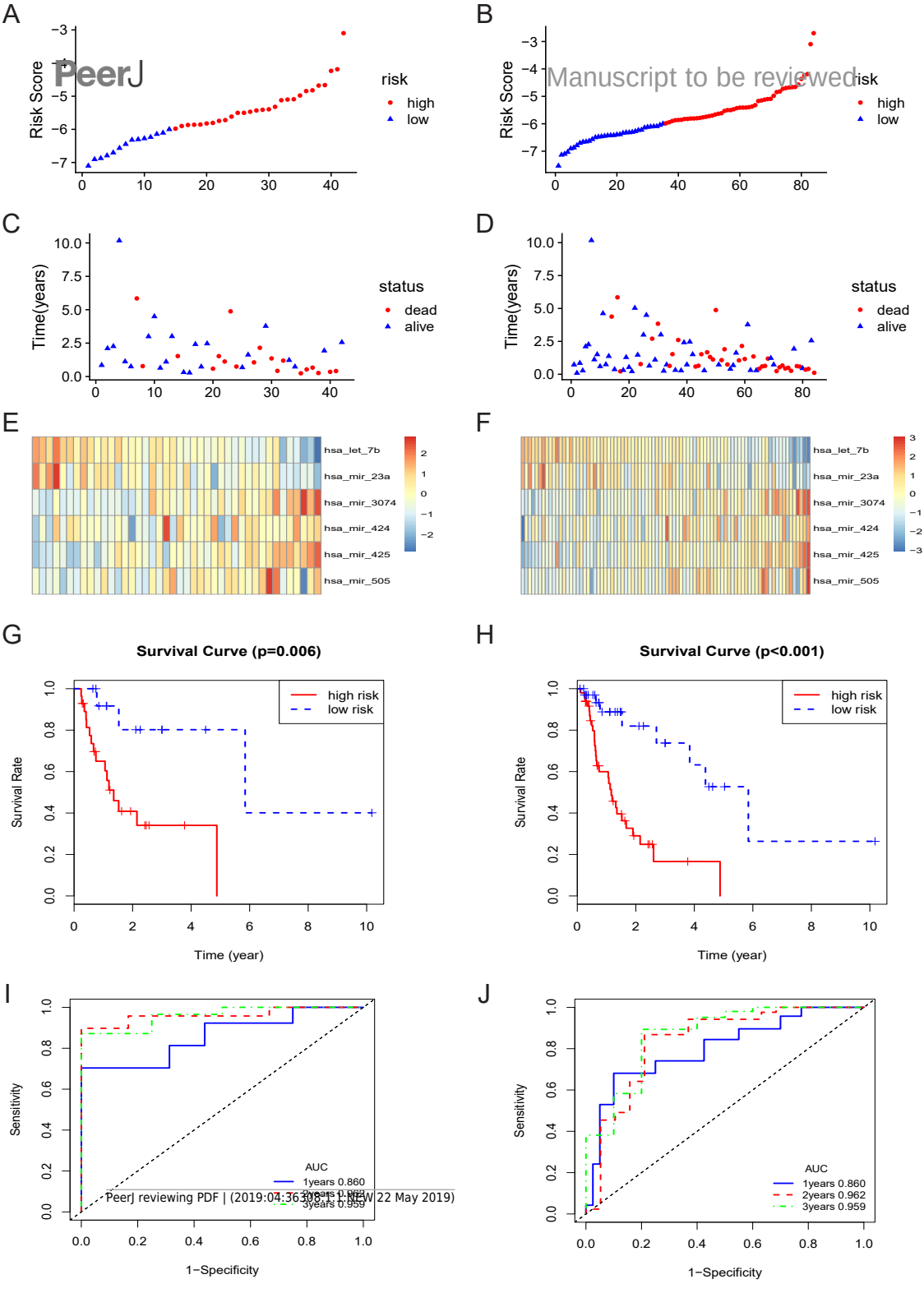


Figure 4(on next page)

Stratified analysis of overall survival in the entire set

Kaplan-Meier analysis for OS in subgroups stratified by gender(A, B), age (C, D), height (E, F), weight (G, H), alcohol consumption (I, J), Barrett's esophagitis (K, L), TNM stage (M, N), caucasian (O).

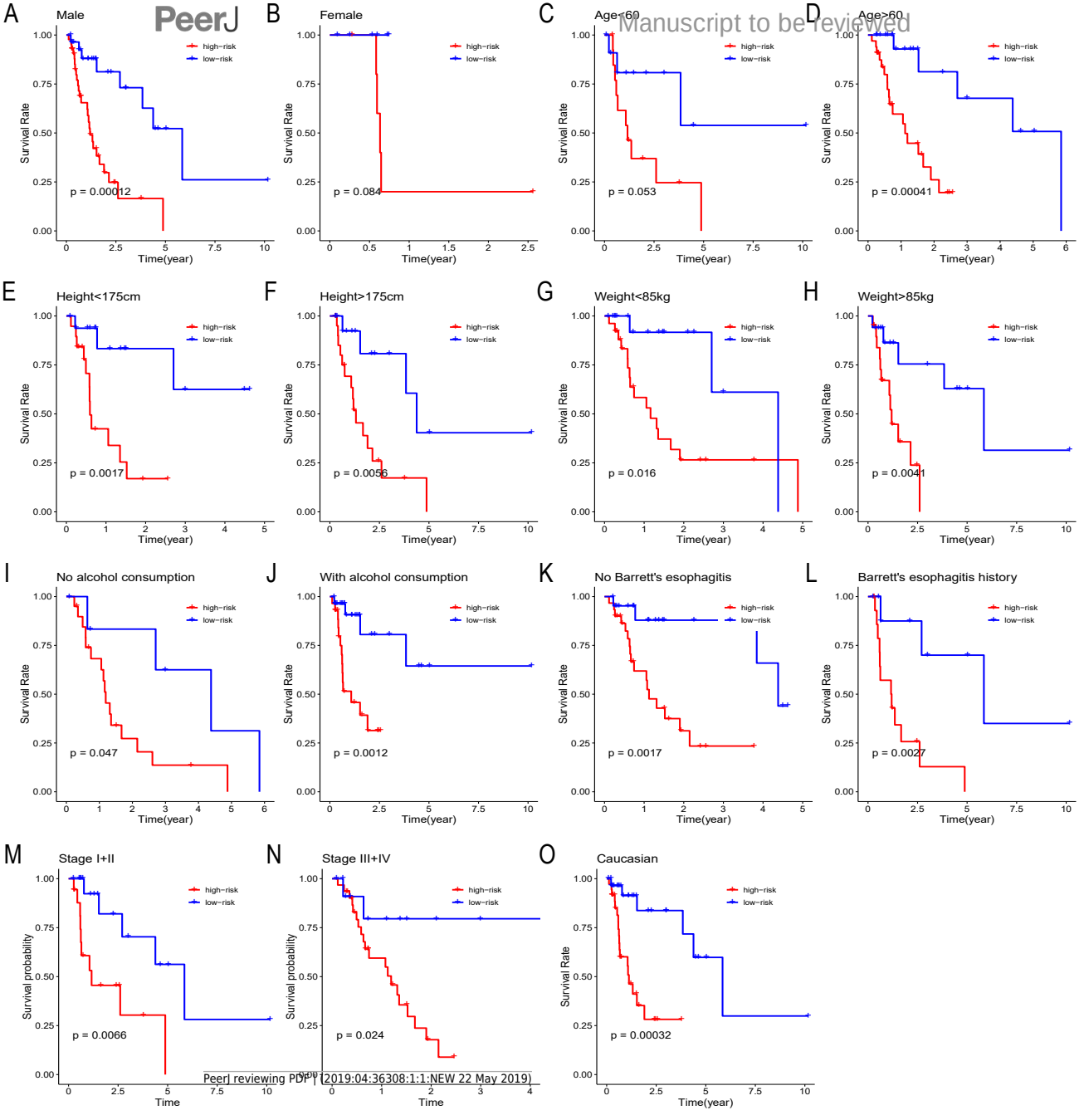
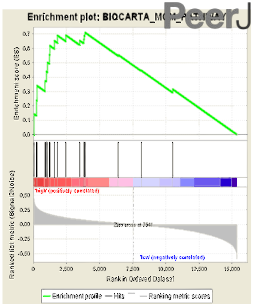


Figure 5(on next page)

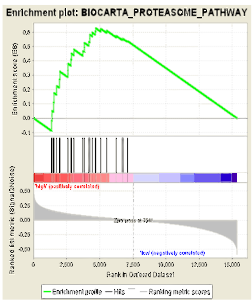
Gene enrichment analysis, GO, and KEGG pathways of mRNA associated with the 6-miRNA signature.

(A, B, C, D) Gene enrichment analysis in high-risk patients. The cellular component (E), molecular function (F) and biological process (G) of GO of the target genes. (H) The bar chart of significantly KEGG pathways of the target genes.

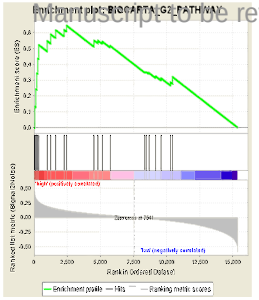
A



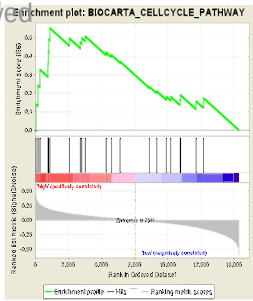
B



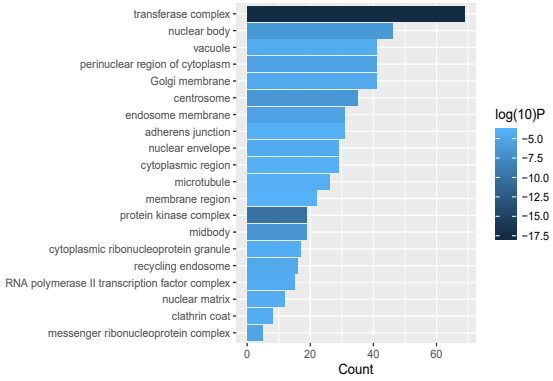
C



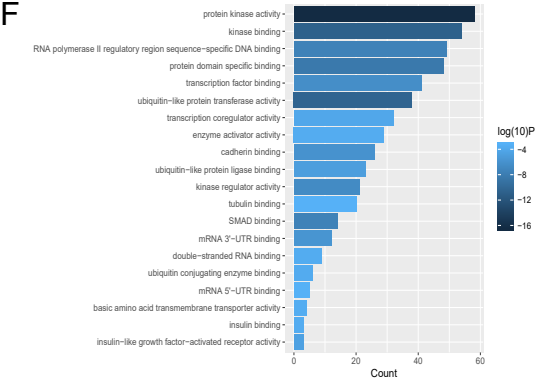
D



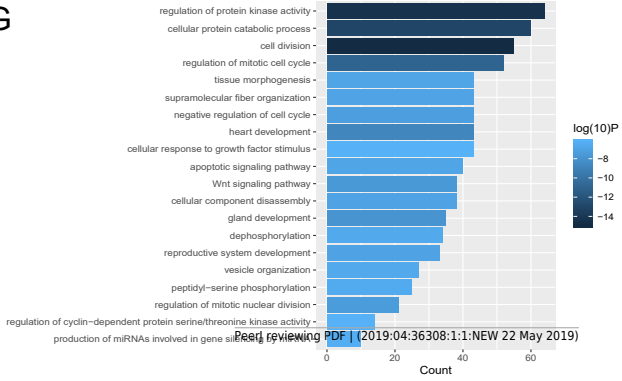
E



F



G



H