

Thermal conditions and age structure determine the spawning regularities and condition of Baltic herring (*Clupea harengus membras*) in the NE of the Baltic Sea

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Baltic herring (Clupea harengus membras) is a total spawner with a group-synchronous ovarian organization. Age polymodality in total spawners is considered an important factor in assuring that a strong population is sustainable under an intensive harvesting regime and different climatic conditions. In the present study, we investigated the seasonal and inter-annual variation in spawner age structure and the effect of preceding winter thermal conditions on the start of the herring spawning and larvae retention period. Herring spawning season in the Gulf of Riga starts up to six weeks later after colder winters compared to milder winters. Significantly older individuals dominated at the beginning of the spawning season, and thus herring mean age gradually decreased towards the end of the spawning season from 1999-2015. On an annual scale, this pattern was obvious after cold winters, while after mild winters the pattern did not continue, indicating a more homogenous maturation cycle and spawning period, despite the age and size of the herring population in mild winters. Further, herring condition factor was studied in relation to age and spawning season following different winter thermal conditions. Young, 2- and 3year old first-spawning herring experienced significantly lower conditions after cold winters compared to older ages, indicating an age-dependent effect of preceding winter on herring maturation cycle, condition and spawning time.

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Abstract

Baltic herring (Clupea harengus membras) is a total spawner with a group-synchronous ovarian organization. Age polymodality in total spawners is considered an important factor in assuring that a strong population is sustainable under an intensive harvesting regime and different climatic conditions. In the present study, we investigated the seasonal and inter-annual variation in spawner age structure and the effect of preceding winter thermal conditions on the start of the herring spawning and larvae retention period. Herring spawning season in the Gulf of Riga starts up to six weeks later after colder winters compared to milder winters. Significantly older individuals dominated at the beginning of the spawning season, and thus herring mean age gradually decreased towards the end of the spawning season from 1999-2015. On an annual scale, this pattern was obvious after cold winters, while after mild winters the pattern did not continue, indicating a more homogenous maturation cycle and spawning period, despite the age and size of the herring population in mild winters. Further, herring condition factor was studied in relation to age and spawning season following different winter thermal conditions. Young, 2- and 3- year old firstspawning herring experienced significantly lower conditions after cold winters compared to older ages, indicating an age-dependent effect of preceding winter on herring maturation cycle, condition and spawning time.

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Introduction

35 Age structure of spawning populations, spawning seasonality, and migration to spawning grounds 36 have been widely studied in relation to fishing regulations for population conservation and climate 37 variability (Lambert, 1987; Sims et al., 2004; Sissener and Bjørndal, 2005; Margonski et al., 2010). A fish population that is sustainable in the long run contains a wide diversity of age classes within 38 39 the limits of species-specific life span (Planque et al., 2010; Brunel and Piet, 2013). For Baltic 40 spring spawning herring (Clupea harengus membras), there have been no studies focusing on the age structure of spawning ves, although such material has been collected with high precision 41 42 for decades for routine stock assessment (ICES, 2018). Predictions of such spawning waves 43 provide resource managers grounds to plan commercial fishing to conserve certain age classes 44 (Sissener and Bjørndal, 2005). Age-specific spawning waves would appear to be the result of differential maturation with older, returning individuals spawning first, and younger, first time 45 46 spawning groups progressively later (Rannak, 1971; Ojaveer et al., 2004; Raid et al., 2010). Still, fish spawning regularities vary between years and are hardly predictable based exclusively on age 47 48 structure (Sims et al., 2004; Sissener and Bjørndal, 2005). Fish tend to have certain adaptation 49 plasticity in the timing of life cycle events such as reproduction and in the length of spawning 50 seasons as a response to environmental cues (e.g. photoperiod and temperature, Geffen, 2009). 51 Spawning timing is a result of differences in maturation as a result of age- and size-group -specific 52 energy allocations which determine individual condition (Lambert and Messieh, 1989; Slotte et al., 2000). However, such patterns may be modified by annually varying hydro-climatic conditions 53 54 interacting with feeding conditions in overwintering areas, a mechanism that remains poorly studied (Kjesbu, 1994). Thus, the spawning regularities of age classes dominating in a mature 55 component of the fish stock occur in "spawning waves" (Lambert, 1990). Such a spawning pattern 56 57 is believed to be an effective tactic to secure higher survival probability for the first-feeding larval 58 stage because they are distributing their hatching over a longer period of time (Secor, 2000). To analyze and understand su a mechanism accepts complex studies that include detailed 59 60 observation data of spawning populations and the surrounding environment – material that does 61 not exist for most commercially harvested fish populations (Secor, 2015). Based on long-term 62 observations it has been demonstrated that in years when the spawning season was of longer 63 duration, more abundant year-classes of herring were produced (Dragesund, 1970; Ojaveer et al., 64 2011). The habit of herring spawning over a longer period leading to a succession of larval cohorts



65 is a bet-hedging tactic which enhances the probability to match larvae in space and time with a highly variable prey field (Lambert and Ware, 1984). Thus, a higher number of spawning 66 67 waves/larval cohorts over time should secure a more abundant year-class. 68 Baltic herring is one of the main targets of commercial fishery in the Baltic Sea, contributing to, 69 most recently, an average of 42% in total landings of commercial species (Raid et al., 2015). Total 70 landings of herring in the Baltic Sea have stabilized during recent years to around 200-250 Kt after 71 being under 200 Kt in 2004-2005 (Raid et al., 2015). Baltic herring spawn in coastal areas, where high food and oxygen concentrations create a good environment for successful hatching and 72 73 ontogeny of larvae (Raid 1985; Arula et al. 2012, 2015, 2016). After spawning in late spring and 74 early summer, adults migrate to deeper waters to feed and improve their fitness before winter (Ojaveer et al., 2003). The coastal areas (especially Pärnu Bay) in the NE of the Gulf of Riga 75 76 (GoR) serve as a suitable spawning environment for the GoR herring population, with the adjacent 77 coastal areas being the most intensely utilized spawning and nursery grounds (Ojaveer et al., 2011). The GoR herring spawns from mid-May to mid-July at temperatures from 9 to 16 °C, mainly on 78 79 demersal vegetation, gravel, etc. The depth of the main spawning grounds varies from 2 to 5 m. 80 Spawning of the GoR herring is temporally separated from its neighboring population—the opensea herring of the NE Baltic, belonging to the Central Baltic herring (stock. CBH population 81 82 spawns earlier around the Saaremaa and Hiiumaa islands (from the middle of April to the middle 83 of June) and at a lower temperature (5–13 °C) than the GoR herring. However, some overlapping 84 occurs because part of the Central Baltic herring (CBH) population spawns in the eastern areas of the gulf, including Pärnu Bay (Ojaveer, 1988). 85 Since the late 1980s, winter (January–March) air temperatures in the part of the GoR have 86 become twice as warm as conditions from the late 1940s to the late 1980s (-14.4 and -7.3 °C, 87 88 respectively) (Ojaveer et al., 2011; Arula et al., 2014a). Cold winters, dominated by easterly winds, 89 are unfavorable for Baltic herring feeding and gonadal maturation. Cold temperatures affect the accumulation of energy before herring reproduction because herring do not feed at temperatures 90 below Qiaveer et al., 2011 and references therein). The maturation and timing of copepod 91 92 production peak, the major food source of herring larvae, is also hindered by winter temperatures 93 (Arula et al., 2014b). These circumstances motivated us to compare data collected in the GoR spring herring spawning season from April to July and larval herring retention from May to August 94 in 1947-1986 and 1999-2015 and to specifically ask: (i) has the start of spawning season shifted 95



significantly earlier in parallel with warming since the late 1980s; (ii) is the start of spawning season determined by the preceding winter air temperatures and population age structure; (iii) does variation in thermal conditions and spawning time result in different individual conditions between first- and repeat spawners?

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Materials & Methods

102 Structure of spawning schools

103 Individual herrings considered in analyses were regularly collected from commercial trap-net landings stationed on herring spawning grounds or in adjacent areas during the fishing period from 104 April to June (corresponding to calendar weeks 15-25) from 1999-2015 (Table 1). Total length, 105 106 total weight, age and maturity stage were determined from 12,148 individuals in total (including 107 recruitment, age 1 abundance). Gender and maturation stage were determined using methods developed by the Workshop on Sexual Maturity Staging of Herring and Sprat Working Group 108 (ICES 2011). The scale includes six maturity stages: (I) immature; (II) maturing; (III) spawning; 109 (IV) spent; (V) regeneration; and (VI) abnormal. The samples analyzed in the present study 110 111 contained individuals in the pre-spawning and spawning stages (hereafter: spawning) that are 112 ready to spawn. 113 The start of herring spawning season was calculated based on data collected in herring trap-net 114 landings with a weekly accuracy. The start of herring spawning season (hereafter: start of spawning) was determined by the appearance of individuals in the spawning stage found in spring 115 116 commercial catches. Because Baltic herring spawning season starts shortly after the ice break in 117 Pärnu Bay and herring do not spawn under ice, any spawning earlier than observed in the present study could not occur. National regulations determine the annual allowable catch (quotas) for 118 spawning herring trapnet landings, and therefore competition between herring fishermen exists 119 120 during trapnet catch season. Herring fishermen landings are restricted with total allowable quotas 121 given each year, based on herring spawning stock biomass (SSB). Therefore, fishermen targeting 122 herring spawning schools start shortly after the ice break and always before abundant herring 123 spawning schools migrate to Pärnu Bay. Trapnets are regularly inspected by fishermen in that period and, due to regular fisheries monitoring obligatory fish research, communication regarding 124 125 presence/absence of spawning herring in trapnets is available for researchers.



In order to compare the start of spawning season in Pärnu Bay before and after 1990, the number of years that were available in each decade were used: 1947-49, 1953-1955, 1964-66, 1974-76, and 1984-86 (for details see Ojaveer et al., 2007). Since the late 1980s, the WAT increased substantially compared to former periods and this has markedly changed the SSB, recruitment and larval herring dynamics in the GoR (Ojaveer et al., 2011; Arula et al., 2014a). As we aimed to analyse whether the start of spawning season was significantly different before and after the climate driven regime shift in the late 1980s, and because a limited selection of years prior to the 1990s are covered by data (which may not represent "the average" conditions for each decade), "WAT" and "YEAR" were included as covariates. Therefore, prior to comparing the start of spawning season before and after the 1990s, the homogeneity of slopes for categorical variables for both periods were controlled and compared to achieve adjusted mean cw for the start of the spawning season. Analysis of covariance (ANCOVA) was applied to test the main and interactive effects of categorical variables (WAT and YEAR) on a continuous dependent variable (start of spawning, cw), controlling for the effects of selected continuous variables, which co-vary with the dependent.

142 Herring larvae

Herring larvae were obtained at nine stations with a depth ranging from 3 to 10 m from early May to late August, depending on larval occurrence, covering the same area where spawning herring samples were collected. Hauls were performed with the Hensen larval fish net (mouth opening d = 80 cm, mesh size = 500 μ m, and codend = 300 μ m) from the near-surface layer (0–1 m) horizontally by 10-minute hauls at a speed of approx. 2 knots and the volume of water filtered

during each haul (measured with flowmeter Hydro-Bios "Digital Flowmeter 483110").

Data for the onset and the end of the larval herring occurrences were calculated according to methodology described in Arula et al., 2014a. These were calculated from the cumulative sums of weekly abundances, with the points reaching 10% and 90% from the annual sum, respectively, from 1999-2015 (for details see Arula et al., 2014a). The length of the larval herring occurrence period was defined as the number of weeks from the onset to the end of the season (for details see Arula et al., 2014a). The end of herring spawning season was determined as the previous calendar week of the last abundant presence of recently hatched yolk-sac (Sl 6-7 mm) herring larvae. Since



water temperatures had increased above 17 C, embryonal development (fertilization of eggs to 156 157 hatching the volk-sac larvae) took approximately one week (Ojaveer 1981). 158 159 Environmental data Water temperatures of herring spawning grounds have been quoted as the main characteristic 160 161 determining successful development of viable embryos and survival of larvae at hatch (Ojaveer, 1981). Data from weekly measurements during larval fish surveys were obtained to characterize 162 163 suitable temperature conditions for embryonic development and survival. The percentage of hatched normal larvae of spring spawning herring in the GoR depended on water temperature. 164 According to E. Ojaveer (1981), the optimal water temperature of spawning grounds that assure 165 normal embryonal development is between 7 and 17 C for the Gulf of Riga herring. In 166 167 temperatuures above 17 C, embryonal mortality of spring spawning herring was 35 % and increased at higher temperatures. 168 The sum of monthly mean air temperature at Pärnu weather station (hereafter – WAT, see Fig. 1) 169 170 in the period of January–March was used as background information. In the shallow Gulf of Riga, 171 the effect of winter severity on thermal regime of the vertical water column is very variable. In the severe winters (presented in our study as 75% percentiles) the whole sea surface is covered by ice, 172 173 while in mild winters (presented in our study as 25% percentiles) the ice cover occur only on shallow Bays with the rest of the sea remaining ice-free, allowing to conclude that favorable water 174 175 column for herring maturation and their prey overwintering is remarkably broader in mild winters and narrow during severe winters (Jaagus 2006). WAT was used as a proxy value to estimate the 176 177 potential shift in spawning timing due to starvation due to overwintering, and the effect on individual conditions after severe winters. This has been shown to cause considerable 178 179 overwintering losses and size-selective mortality among young-of-the-year small pelagic species 180 as well as larger predators, like striped bass in northern seas (Martino and Houde, 2012; Anderson and Sharf, 2013). Many studies demonstrate that decreased food availability in winter cause fish 181 to starve and exhaust their energy reserves (Hurst, 2007 and references therein). At the same time, 182 183 at extremely cold temperatures, acute thermal stress can disrupt osmoregulatory function and 184 become the primary cause of death (McCollum et al., 2003). To test the effect of WAT on the start of spawning season and changes in age structure, a 185 186 polynomial regression model was implemented in software PAST (Hammer et al., 2001),



considering the best-observed pattern of the model fit. First, the linear model was applied, and if a 187 curve model performed significantly better than a simple linear model by taking into account the 188 189 additional parameters used for smoothing, curve fit regression (polynomial fit) was applied. The 190 maximum number of degrees of freedom was restricted to 3 (k=4) to avoid overfitting. The lowest Akaike information criterion (AIC) was obtained with one common trend. The assumptions for 191 192 normality (Shapiro-Wilk or Kolmogorov-Smirnov), constant variance, and independent residuals were tested before applying polynomial regression. Regression models included: the start of 193 194 herring spawning season and mean age of spawners (dependent variables), and WAT and calendar 195 week (independent variables). A non-parametric Mann-Kendall trend test was used to detect the presence of long-term trends in 196 197 annual-scale variables: (a) spawning time and (b) larval onset. The non-parametric Mann-Kendall 198 test was chosen because of the non-linear appearance of most of the trends. Before application of trend test, autocorrelation (AC) was controlled in the response variables. Since i s not detected, 199 200 removing AC from the time-series from 1999-2005 was not necessary.

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- 202 Data aggregation
- 203 Age and condition of fish may affect spawning timing, therefore, we hypothesized that older
- 204 herrings, having a higher condition factor (K) value, spawn earlier. We hypothesized that despite
- age, herring K in spawning season is affected by the previous WAT and the effect differs between
- young and old herrings. We analyzed herring K by age: young herring that are first-time spawners
- 207 (ages 2-3) were compared to old herring that were repeated spawners (ages 4-10+) to determine if
- 208 there is a significant difference amongst and between young- and old herring K after the warmest
- and the coldest winters.
- 210 Finally, we tested whether K affects the herring spawning season. To do this, we split the spawning
- season into early and late periods (early (prior cw 20) and late (after cw 21)), and compared herring
- 212 K accordingly.
- 213 To study the effect of preceding WAT, we aggregated data accordingly: coldest and warmest
- 214 winters. For such comparison, we used 25% and 75% percentiles of winter air temperatures from
- 215 the years 1999-2015 and selected the years accordingly.

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217 Fulton condition



- Fulton's condition factor (K, Eq. 1), which assumes that the total weight of a fish is proportional to the cube of its length, was used to measure each individual fish's health (Nash et al., 2006):
- 220 $K = 100*TW/TL^{3}(1)$
- 221 where TW is total body wet weight in grams and TL is total length in cm; the factor 100 is used to
- bring K close to a value of one.
- 223 To test any differences in median values of K between young and old individuals and/or after mild
- and cold winters, one-way analyses of variance (ANOVA) were used. First, data were analyzed
- for normality of distribution (Wilkinson-Shapiro tests) and equal variance. If the assumptions for
- 226 Equal Variance were met (p>0.05), a Kruskal-Wallis One Way Analysis of Variance on Ranks
- Test was run. If the equal variance test failed (p<0.05) a One Way ANOVA on ranks Tukey test
- was run, which is more conservative than the Student-Neuman-Keuls Test, i.e., it is less likely to
- 229 infer that a given difference is statistically different. If the assumptions for normal distribution
- 230 were not met, Dunn's method in Kruskal-Wallis One Way Analysis of Variance on Ranks test was
- applied for pairwise comparisons using SigmaStat 12 software (Systat Software, San Jose, CA).
- 232 For the statistical tests, alpha was set at < 0.05.
- 233 The numerical values of the SSB of the GoR herring population were taken from publicly
- 234 accessible stock assessment reports based on catch statistics and regular biological analyses for the
- 235 determination of age, length, weight, maturity and other relevant parameters (ICES, 2018).



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Results

- 238 Spawning season
- 239 The start of spawning season showed interannual variability, occurring between calendar week 15
- and 20, corresponding with early April and mid-May, respectively (Fig. 2). There was no temporal
- 241 trend in the start of spawning seasons from 1999-2015 (MannKendall's tau=0.89, p=0.37).
- 242 Spawning season lasted from six to twelve weeks and its duration was insignificantly affected by
- 243 the start of spawning season. The onset—herring larvae varied between calendar week 18 and 22,
- 244 corresponding to early May and early June, respectively. Similar to the start of spawning season,
- 245 there was no temporal trend in larval herring onset from 1999-2015 (MannKendall's tau=0.48,
- p=0.63). Earlier larval onset corresponded to an earlier start to spawning season (polynomial
- 247 regression; N=17, AIC=14.60, F=6.886, *adj.* R²=0.68, p<0.001). In the years of earlier spawning.
- 248 the time lag between the start of spawning season and larval onset was significantly longer,



- 249 attributable to the longer duration of embryonal development until hatching as a response to colder
- 250 temperatures (polynomial regression; N=17, AIC=12.57, F=4.66, *adj.* R²=0.40, p<0.05).
- 251 The time period when water temperatures of spawning grounds increased to over 17 °C and
- resulted in high levels of embryonic mortality varied from cw 21 to 27. In most years, temperatures
- 253 became critical for embryonal development in the middle of the spawning season, with an
- exception in 2015 when 17 °C temperatures were observed several weeks after herring spawning.
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- 257 The effect of winter air temperature (W)
- We did not observe a significant difference in the start of spawning season prior to and after the
- late 1980s when using WAT and YEAR as covariates (ANCOVA; $F_{(1:29)}$ =2.101, p>0.05). While
- WAT contributed significantly in the model (ANCOVA; $F_{(1;29)}$ =7.106, p<0.05), the YEAR effect
- remained insignificant (ANCOVA; $F(_{1:29})=1.450$, p>0.05).
- 262 WAT affected the start of spawning season from 1999-2015 (linear regression; start of spawning
- 263 = 16.128 (0.227 * WAT), N=6, AIC=44.13, F=25.123, adj. R²=0.83, p<0.001, Fig. 3). The
- spawning season following cold winters began in mid-May (cw 20), which is six weeks later than
- the start of spawning season following the warmest winter.
- Herring SSB and the number of old herrings (abundance of age group 4+) varied markedly in an
- 267 annual scale. The lowest SSB was observed in 2006 and the highest in 2014 (69.9 and 119.6 x 10³
- tons, respectively) from 1999-2015 (Fig. 4). Number of old herrings varied between 13.0 and 27.3
- 269 x 10⁶ individuals. Neither GoR herring SSB nor the number of old herrings from 1999-2015
- significantly explained the start or duration of herring spawning season (polynomial regression;
- 271 N=17, p>0.05).
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- 273 Herring age structure during the spawning season
- 274 The changes in the mean age of herring displayed a seasonal pattern with the oldest, repeat
- spawners dominating at the start of spawning season, and young, first time spawning individuals
- 276 prevailing towards the end of the season (polynomial regression; mean age = 2.402 + (0.214)
- 277 *calendar week) (0.00698 *calendar week N=11, AIC=6.02, F=146.89, adj. R²=0.95,
- 278 p<0.001, Fig. 5).



279 The correlation between the progression of herring average age and calendar week during 280 spawning period by each year from 1999-2015 varied in a broad scale (Pearson correlation 281 coefficient: R= -0.04 to -0.84). The strength of correlation between the average age of spawner and cw was determined by preceding WAT (polynomial regression; N = 16, AIC=394.55, F=6.86, 282 adj. R²=0.36, P<0.05). The strength of correlation improved remarkably in the years with the 283 coldest winters and it was relatively moderate in years with warm winters, indicating the 284 importance of preceding environmental conditions in shaping changes in herring age structure 285 during spawning. 286

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- 288 Variation in herring individual condition factor (K)
- 289 The largest difference in condition factor occurred between young herring after cold winters and
- old herring after warm winters (Kruskal–Walls One-way ANOVA; F(1;46)=9.98, p<0.01, Fig. 6).
- 291 Also, young herring were in better condition after warm winters than after cold winters (Kruskal–
- Wallis One-way ANOVA; $F(_{1;46})=5.69$, p<0.05), while old herring had similar K despite winter
- 293 air temperature (Kruskal–Wallis One-way ANOVA; F(1;48)=1.38, p>0.05).
- Despite the timing of the spawning season, within age groups the condition was similar (Kruskal–
- Wallis One-way ANOVA; p>0.05; Fig. 7). Only old herring spawning in late in the season had a
- 296 higher condition, compared to early spawning young herring (Tukey Test One-way ANOVA;
- 297 Q=3.43, p<0.01).

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Discussion

In the present study, we aimed to investigate GoR spring herring spawning seasonality and changes in age composition throughout the spawning season from 1999-2015, as well as to analyze the effect of preceding WAT and K on spawning seasonality. We found that preceding WAT influenced herring spawning and larval onset events. The spawning season began up to six weeks earlier after the mildest winter compared to the coldest winters. There were no significant differences in the start of spawning season before after the climatic regime shift beginning in the late 1980s in the Baltic Sea. The K amongst young or old individuals was similar despite spawning time, while the WAT had a significant effect by age group. Young herring displayed poorer K compared to old herring after cold winters, indicating that first-time spawners are more sensitive and influenced by preceding winter conditions.



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339 340 WAT preceding terring spawning migration influenced the start of spawning season and larval onset. The start of spawning season began up to six weeks earlier after the mildest winters compared to the coldest winters. The mechanism governing such a pattern might be that of the shallow gulf, where herring and its prey's overwintering habitat is situated in the areas where the longest temporal extent of ice cover occurs. WAT determines water thermal regimes in winter and controls the success of herring reproduction by mainly limiting habitat conditions of gulf herring in winter (Ojaveer 1988). The area and distribution of the thermophilic organism are affected, especially of those that inhabit the NW region of the Baltic Sea. We chose WAT because cold winters have been observed to limit the volume of water in shallow gulfs in which wintering, feeding and preparation for reproduction (maturation) of herring occurs (Ojaveer and Kaleis 2010). In the Estonian coast winter severity is variable, and in severe winters (presented in our study as the 75% percentile) the whole sea surface is covered by ice, while in mild winters (presented in our study as the 25% percentile) the ice cover exists only on shallow bays, and the rest of the sea is ice-free (Jaagus 2006). This allows us conclude that the favorable water column for overwintering herring and their prey is markedly wider in mild winters and limited in severe wi ; resulting in improbable good overwintering conditions for faster maturation. Such a high variability allows one to assume that WAT should be a sensitive indicator of extensively variable local climate conditions. Changes in spawning location and timing could result in early life stage dispersal to inadequate habitats for the continuation of the life cycle (Secor, 2015). Alternatively, consistent spawning locations and timing could interact with changing thermal environments and result in larvae not arriving at suitable habitats for the continuation of the life cycle (Shoji et al., 2011). In the present study, we observed considerable inter-annual variation without a long-term pattern at the start of spawning season. The start of spawning season varied by six weeks and was strongly linked to the preceding WAT, with more severe winters resulting in delayed spawning and hatching of larvae. I in turn, has been shown to be critical for match-mismatch with suitable prey and growth of fish larvae (Houde, 1989; Arula 2014a,b, 2016). Such changes in prey and predator overlap might affect the survival of larvae (Llopiz et al., 2014), and a shift in spawning may result in a change in the productivity of spawning stock biomass. Genner et al. (2010) found a correlation between changes in the timing of larval occurrence of several spring spawning fish species and temperature in the English Channel: spawning occurred earlier with increasing temperatures for spring



341 spawners. Despite significant effects of WAT on herring spawning in the NE of the GoR, we did not observe a significant trend from 1999-2015 or significant difference in the start of spawning 342 343 before and after the 1990s, indicating high plasticity of gulf herring spawning. 344 Changes in adult and early life stage behavior can affect connectivity, recruitment, and population biomass (Secor, 2015). Adults of many species make large migrations to specific spawning 345 346 grounds during the same season year after year, presumably targeting the most favorable spawning and nursery grounds to increase the chances of larval survival (Ciannelli et al., 2015; Secor, 2015). 347 Therefore, it is believed that population biomass variability could be a response of spawning 348 behavior to ensure success of survival in early life stages (Hjort, 1914; Houde, 2008; Peck et al., 349 2012). The beginning of fearvae distribution season is determined by the timing of spawning. 350 as shown in the present study. Lambert (1987, 1990) found that spawning duration of herring is 351 352 dependent on the number of spawning waves, which is dependent on the number of year classes 353 present in spawning stock. We did not find either GoR herring SSB or the abundance of old repeat 354 spawners to correlate with the start of spawning nor the duration of spawning season. In contrast, for Icelandic summer-spawning herring, it was shown that spawning occurs on average seven days 355 356 later when SSB is greater than the long-term average, which might be the result of higher food competition and therefore slower ovary growth (Óskarsson and Taggart, 2009). It could be 357 358 expected that if spawning season starts later, it also ends later than in conditions when earlier spawning occurs. However, there was no such consistent pattern in our study, indicating that Baltic 359 360 herring spawning duration is mainly modified by the age structure of spawners -- temperatures during the spawning season and a later start to spawning in the season will not have any effect on 361 362 the duration or ending date. Also, regardless of the start of spawning season, herring embryos had similar chances of exposure to unfavorable conditions in terms of water temperature exceeding 363 364 their thermal tolerance (Ojaveer, 1981). 365 Gonad maturation is thermally regulated and is proposed to drive the spawning and larval appearance of marine fish (Lange and Greve, 1997). Several studies have demonstrated how 366 gonadal maturation rates improve in warmer environments. For example, in Lake Geneva, the 367 368 precise time of roach (*Rutilus rutilus*) spawning during late spring is related to the cumulative sum 369 of degree-days since the previous October when gonad development begins (Gillet and Quetin, 2006). Former studies in the Baltic Sea demonstrated a climate triggered regime shift, which 370 371 resulted in reorganization in the food web as well as warmer winters since the late 1980s



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(Mollmann et al., 2008; Arula et al., 2012). Warmer winters favored survival of young herring that were recruited to the herring population and increased the relative number of first-time spawners in the population (Ojaveer et al., 2011) and accelerated the maturation cycle of young and old herring. Our results show that the differences in K between young and old herring were the greatest after severe winters. Young herring displayed significantly higher K after mild winters compared to severe winters, while WAT did not affect K of repeated spawning older herrings. These results might also explain why the timing of spawning is age-dependent and does not depend on spawning stock size. Our findings, related to fish K after exposure to acute cold stress in severe winters, support conclusions implicating nutritional deficiency as the proximate cause of decreased K, rather than density-dependency before winter since herring SSB did not correlate with spawning time or duration. Exposure to winter conditions, both mild and severe, resulted in a decrease in K during the spawning season, most likely attributable to decreased feeding rates at cold temperatures. The gover mechanism lives in low temperatures that are unfavorable for herring feeding (longer fasting periods because herring do not feed at temperatures below 2 °C), and there is a reduced rate in accumulation of energy prior to the reproduction and development of its gametes (Ojaveer, 1988). Winter mortality is size-selective, with lasting influences on cohort demographics (Martino and Houde, 2012). Small fish are generally more vulnerable because of higher relative energetic demands coupled with a reduced capacity for lipid storage (Post and Evans, 1989). On the other hand, in severe winters, an entire cohort is expected to have high mortality rates, regardless of body size (McCollum et al., 2003; Michaletz, 2010). Therefore, it is plausible that densitydependent processes will have a greater impact on overwinter survival during mild or moderate winters. While we did not measure the density-dependency in terms of growth rates and feeding conditions of herring before winter, we observed that young herring had the poorest K after cold winters, implying that despite feeding conditions prior to winter, WAT determines spawner K and has a more pronounced effect for younger individuals. Since young herring in our study denote first-time spawners that began maturation most recently, these results are in contrast to McCollum et al. (2003), by indicating that severe winters will not have equal effect for an entire population and across body sizes (as a proxy of age). Age of fish is an important and main determinant, regulating the condition and timing of spawning, and can likely reflect overwintering mortality rates that vary among ages.



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It has been demonstrated that the annual maturation process of herring is size-specific rather than age-specific (Lambert, 1987; Ware and Tanasichuk, 1989) and herring tend to school according to size (see references in Lambert (1987)) rather than age. These aggregations are more exaggerated during spawning due to additional behavioral cues associated with the actual spawning act. It is possible that herring of a large year class tend to "entrain" individuals of adjacent year classes. Thus, fish above and below the modal length of the dominant year class would tend to aggregate. This effect is possibly diminished below a certain population density. We show that, despite previous evaluations. Baltic herring spawning shows a certain temporal pattern in terms of consecutive age groups that migrate to spawn. We found that old, repeat spawners dominate at the beginning of spawning season while young, first time spawning individuals followed older herring cohorts and spawn later in the season. Some studies show that young, first time spawning herrings in the North Sea arrive on spawning grounds before repeat spawners (Lambert, 1990). However, we did not observe such a pattern in the GoR herring. It has been suggested that exceptions are caused by different age groups inhabiting separate geographical regions prior to spawning migration (Lambert, 1987). Since the distinct Baltic herring populations (GoR and CBH), have different thermal preferences but the same spawning grounds in the NE GoR, mild WAT results in a more even spawning period, but forces distinct populations with different adaptations to utilize the same spawning season after the coldest winters. The potential effect of the population structure of spawning schools of herring on the timing of spawning, structure, and the survival of the annual larval component in the area deserves further investigation.

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Conclusions

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1. The start of herring spawning season varied over months, starting significantly earlier after mild winters, and can be predicted with high uracy from the preceding winter air temperatures.

429 430 2. With progression of spawning season, successively younger individuals replaced the older spawners, and this pattern varied between years and was modified by preceding WAT.

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3. Although climate driven regime shifts in the Baltic Sea resulted in generally milder winters, there was no significant differences in the start of spawning season before and after this, indicating the great adaptation of Baltic herring for local climate variability.

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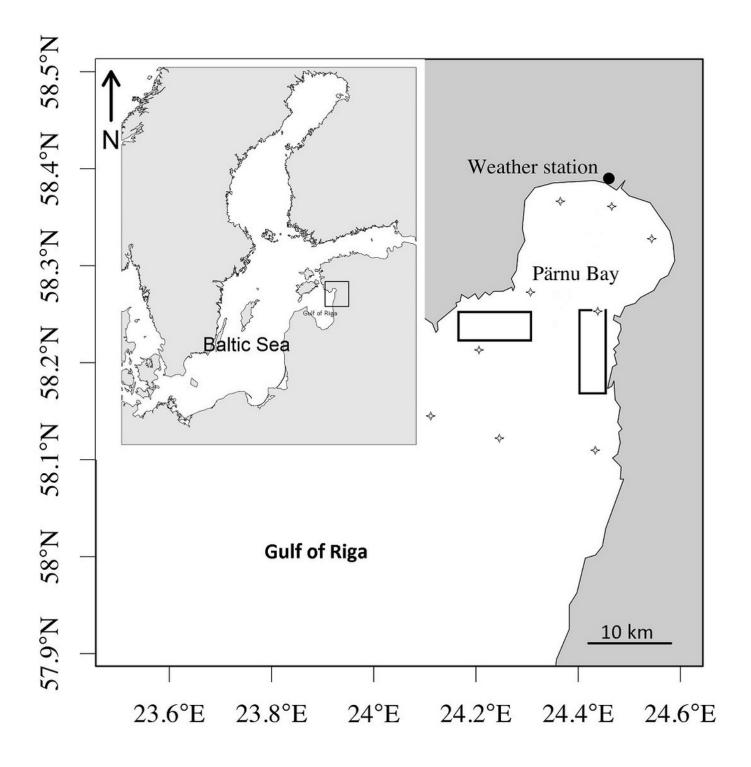
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Rectangles showing the area of herring commercial catches and data sampling.

Rectangles showing the area of herring commercial catches and data sampling to characterize herring spawning. Stars denote stations of larval herring collection and water temperature measurements.



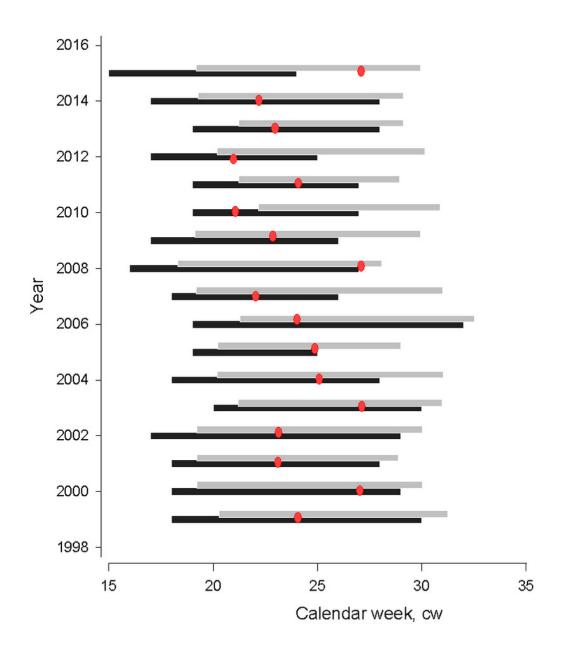




Gulf of Riga herring spawning (black bar) and larvae (grey bar) distribution seasons.

Gulf of Riga herring spawning (black bar) and larvae (grey bar) distribution seasons in 1999-2015. Red dots denote the time when water temperatures exceed 17 ^{°C}C, which is considered critical for normal embryonic development (Ojaveer 1981).





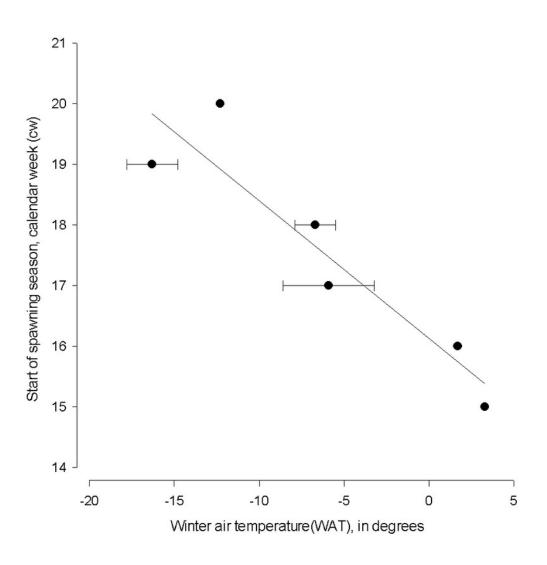


The linear regression between the start of herring spawning season and sum of mean monthly winter air temperature (WAT).



The linear regression between the start of herring spawning season and sum of mean monthly winter air temperature (WAT) in 1999-2015. Error bars = 1 s.e.

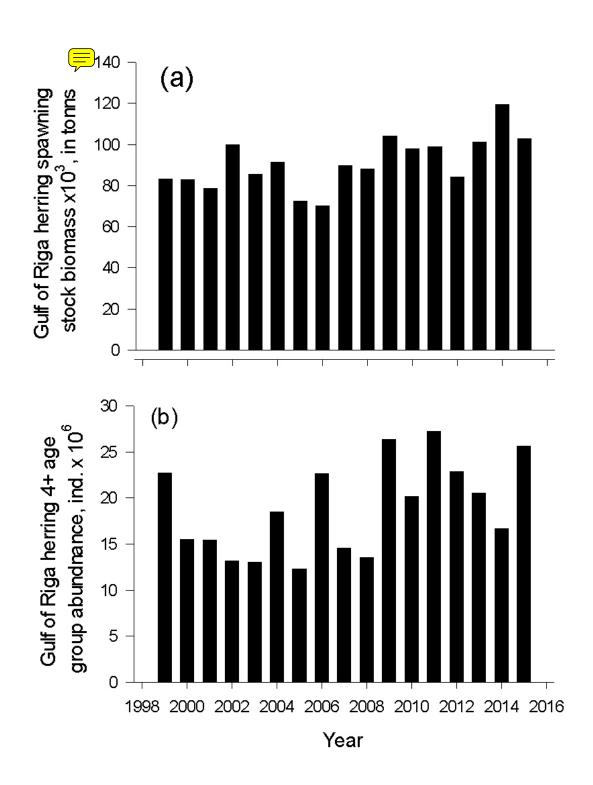






The temporal dynamics of the Gulf of Riga herring spawning stock biomass, SSB (a) and age 4-10+ individuals abundance (b) in spawning stock in 1999-2015 (ICES, 2018).

The temporal dynamics of the Gulf of Riga herring spawning stock biomass, SSB (a) and age 4-10+ individuals abundance (b) in spawning stock in 1999-2015 (ICES, 2018).

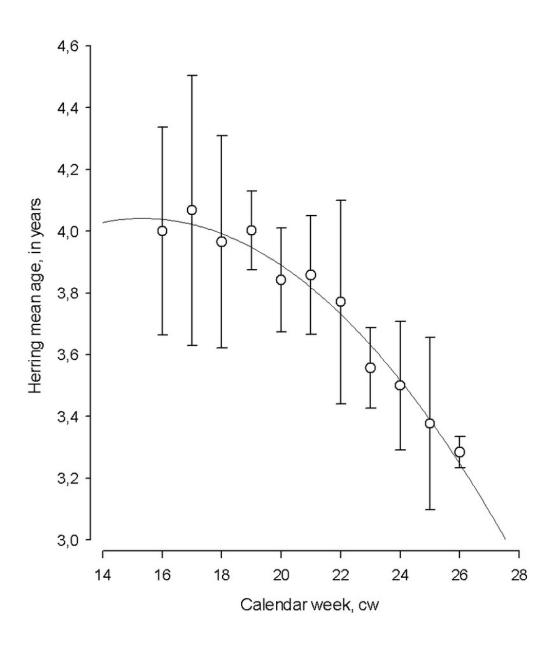




The temporal dynamics of Baltic spring spawning herring mean age during the spawning season.

The temporal dynamics of Baltic spring spawning herring mean age during the spawning season. Each data point in particular calendar week (cw) denote average age for 1999-2015. Error bars = 1 s.e.



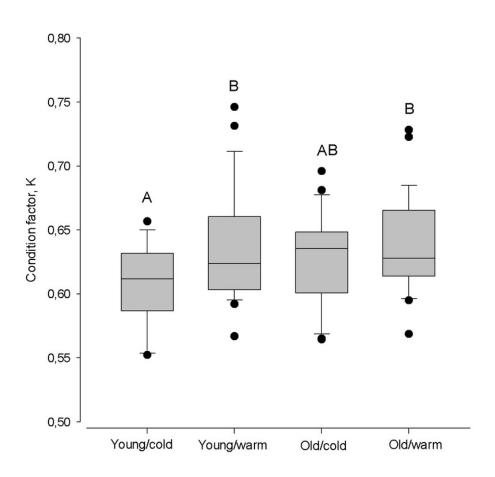




Box plots of the Fulton condition factor (K) of young (2-3 year) and old (4-10+ year) herring after cold and warm winter.

Figure 6. Box plots of the Fulton condition factor (K) of young (2-3 year) and old (4-10+ year) herring after cold and warm winter (25% and 75% percentiles of winter air temperatures over the years in 1999-2015). Vertical boxes denote quartiles, the line inside the box median, whiskers 10th and 90th percentiles, and dots show extreme values. Different letters indicate p<0.05.







Box plots of the Fulton condition factor (K) of young (2-3 year) and old herring (4-10+ year) in early (prior cw 20) and late (after cw 21) spawning season.

Box plots of the Fulton condition factor (K) of young (2-3 year) and old (4-10+ year) herring after cold and warm winter (25% and 75% percentiles of winter air temperatures over the years in 1999-2015). Vertical boxes denote quartiles, the line inside the box median, whiskers 10th and 90th percentiles, and dots show extreme values. Different letters indicate p < 0.05.



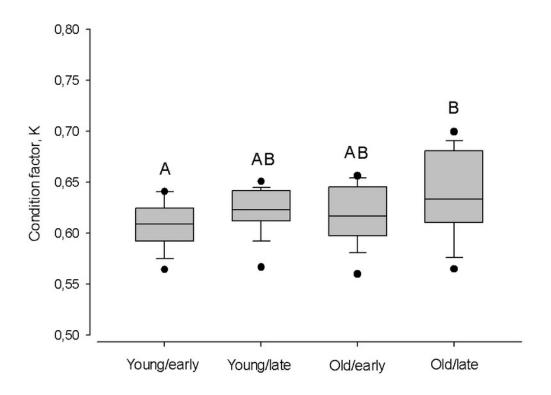




Table 1(on next page)

Table 1. Number of matured individual lected from trap-net catches and analyzed in present study.

Table 1. Number of matured individuals collected from trap-net catches and analyzed in present study.

Year	99	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15
Number of individuals analysed	794	777	642	706	587	533	439	585	654	617	669	998	647	862	994	741	786

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