Bioshake: a Haskell EDSL for bioinformatics workflows (#35266)

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Bioshake: a Haskell EDSL for bioinformatics workflows

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Typical bioinformatics analysis comprise long running computational workflows. An important part of producing reproducible research is the management and execution of these computational workflows to allow robust execution and to minimise errors. Bioshake is an embedded domain specific language embedded in Haskell for specifying and executing computational workflows in bioinformatics that significantly reduces the possibility of errors occurring.

Unlike other workflow frameworks, Bioshake raises many properties to the type level to allow the correctness of a workflow to be statically checked during compilation, catching errors before any lengthy execution process. Bioshake builds on the Shake build tool to provide robust dependency tracking, parallel execution, reporting, and resumption capabilities. Finally, Bioshake abstracts execution so that jobs can either be executed directly or submitted to a cluster.

Bioshake is available at [http://github.com/papenfusslab/bioshake.](http://github.com/papenfusslab/bioshake)

Bioshake: a Haskell EDSL for bioinformatics workflows

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- ABSTRACT

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Typical bioinformatics analysis comprise long running computational workflows. An important part of producing reproducible research is the management and execution of these computational workflows to allow robust execution and to minimise errors. Bioshake is an embedded domain specific language embedded in Haskell for specifying and executing computational workflows in bioinformatics that significantly reduces the possibility of errors occurring. $\frac{1}{16}$ significantly reduces the possibility of errors occurring.
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analyses

1 BACKGROUND

 Bioinformatics workflows are typically composed of numerous programs and stages coupled ²⁵ together loosely using intermediate files. These workflows tend to be quite complex and require much computational time, hence a good workflow must be able to manage intermediate files, guarantee rentrability – the ability to re-enter a partially run workflow and continue from the latest point – and also provide methods to easily describe workflows.

 We present bioshake: a Haskell Embedded Domain Specific Language (EDSL) for bioinformat- ics workflows. The use of a language with strong types gives our framework several advantages over existing frameworks (Amstutz et al., [2016;](#page-10-0) Goodstadt, [2010;](#page-10-1) Leipzig, [2016;](#page-10-2) [OpenWDL 2012;](#page-10-3) Vivian et al., 2017 :

 1. The type system is strongly leveraged to prevent errors in the workflow construction during compilation. Errors such as mismatching file types, combining samples mapped against different references, or failing to sort a Sequence Alignment Map (SAM) file before a stage that requires sorting all result in a compile error rather than a runtime error. This catches errors significantly earlier, reducing debugging time. As bioinformatics workflows tend to have long runtimes, this is especially advantageous. To the best of our knowledge, this is the first bioinformatics workflow framework to use strong typing and type inference to prevent specification errors during compile time.

 2. Naming of outputs at various stages of a workflow are abstracted by bioshake. Output at a stage can be explicitly named if they are desired outputs. Thus, the burden of constructing names for temporary files is alleviated. This is similar in spirit to Sadedin et al. [\(2012\)](#page-10-4)

who also allow abstraction away from explicit filenames.

 3. Bioshake builds on top of Shake, an industrial strength build tool also implemented as an EDSL in Haskell. Bioshake thus inherits the reporting features, robust dependency

tracking, and resumption capabilities offered by the underlying Shake architecture.

 4. Unlike underlying shake that expects dependencies to be specified (i.e., in a DAG the arrows point from the target back towards the source(s)), bioshake allows forward specification of workflows (i.e., the arrows point forward). As bioinformatics workflows tend to be quite long and mostly linear, this eases the cognitive burden during workflow design and also improves readability.

 5. Non-linear workflows are constructed using typical Haskell constructs such as maps and folds. Combinators are available for the most common grouping of outputs together for a subsequent stage. However, as the main data type is recursively defined, outputs of a stage can always be referenced by subsequent stages without explicit non-linear constructs (i.e., the alignments used for variant calling are available for a subsequent variant annotation stage without explicitly introducing non-linearity).

, in essence,

Bioshake in essence is an EDSL for specifying workflows that compiles down to an exe- cution engine (shake). In this respect, it is similar to other specification languages such as $_{61}$ Common Workflow Language (CWL) (Amstutz et al., [2016\)](#page-10-0) and Workflow Description Lan- guage (WDL) [\(OpenWDL 2012\)](#page-10-3), but executes on top of shake. Table [1](#page-6-0) provides a high level feature overview of Bioshake when compared to several other workflow specification language, workflow EDSLs, and execution engines. We will further elaborate on the unique features of Bioshake:

 Strong type-checking The use of a language with strong types gives our framework several advantages over existing frameworks (Amstutz et al., [2016;](#page-10-0) Goodstadt, [2010;](#page-10-1) Leipzig, [2016;](#page-10-2) [OpenWDL 2012;](#page-10-3) Sadedin et al., [2012;](#page-10-4) Vivian et al., [2017\)](#page-11-0). Our framework leverages Haskell's strong type-checker to prevent many errors that can arise in the specification of a workflow. As an example, file formats are statically checked by the type system to prevent specification of workflows with incompatible intermediate file formats. Furthermore, tags are implemented through Haskell type-classes to allow metadata tagging, allowing various properties of files – such as whether a bed file is sorted – to be statically checked. Thus, a misspecified workflow will simply fail to compile, catching these bugs well before the lengthy execution. This feature is not present in other bioinformatics workflow frameworks such as those reviewed by Leipzig [\(2016\)](#page-10-2).

 Intrinsic and extrinsic building Our framework builds upon the Shake EDSL (Mitchell, [2012\)](#page-10-5), which is a make-like build tool. Similarly to make, dependencies in shake are specified in an extrinsic manner (called internal/external by Leipzig, [2016\)](#page-10-2), that is a build rule will define its input dependencies based on the output file path. Our EDSL compiles down to shake rules, but allows the specification of workflows in an intrinsic fashion, whereby the processing chain is explicitly stated and hence no filename based dependency graph needs to be specified. However, Shake ⁸² as bioshake compiles to shake, both extrinsic and intrinsic rules can be mixed, allowing a choice **made** ⁸³ to be make to maximise workflow specification clarity. For example, small "side" processing like generation of indices can be specified extrinsically, removing the need for an explicit index step in the workflow specification.

 Furthermore, the use of explicit sequencing for defining workflows allows abstraction away from the filename level: intermediate files can be automatically named and managed by bioshake, removing the burden of naming the intermediate files, with only desired outputs requiring explicit naming.

Example 1 The following is an example of a workflow expressed in the bioshake EDSL:

align 7→ *fixMates* 7→ *sort* 7→ *markDups* 7→ *call* 7→ *out* ["*output*.*vcf* "] Fix quotes

 From this example it is clear what the stages are, and the names of the files flowing between stages is implicit and managed by Bioshake. The exception is the explicitly named output, which is the output of the whole workflow. Note that non-linearity is handled by constructors that

This paragraph seems to just reiterate bullet point 1 from the previous page. Consolidate or make bullet

points briefer?
Bioshake Shake
made

Beautiful!

Toil has identical behavior. What tools require naming of all intermediate files?

Table 1. High level feature comparison of Bioshake with other execution engines (Toil, Cromwell), specification languages (WDL, CWL), and EDSLs (Ruffus). Dashes indicate that feature is not applicable.

94 accept the extra inputs, but workflows can always recurse backwards along \mapsto to retrieve prior ⁹⁵ build products (e.g., to fetch Binary Alignment Map (BAM) files used to generate a set of variant

⁹⁶ calls), reducing the need for non-linearity.

97 Extends a robust build system Finally, the Bioshake EDSL compiles to Shake (Mitchell, [2012\)](#page-10-5), an industrial strength build tool also implemented as an EDSL in Haskell. Bioshake thus inherits the reporting features, robust dependency tracking, and resumption capabilities offered by the underlying Shake framework. Though Bioshake is not the first EDSL for bioinformatics workflows (Goodstadt, [2010;](#page-10-1) Leipzig, [2016\)](#page-10-2), to the best of our knowledge it is the first EDSL in Haskell and the first to use a deep type embedding to prevent invalid workflow specifications.

103 2 IMPLEMENTATION

```
104 2.1 Core data types
```
105 Bioshake is build using a tagless-final style (Carette et al., 2009) around the following datatype:

```
data a \mapsto bwhere
      (\mapsto) :: a \to b \to a \mapsto binfixl 1 \mapsto
```
¹⁰⁶ This datatype represents the conjunction of two stages *a* and *b*. As we are compiling to shake ¹⁰⁷ rules, the *Buildable* class represents a way to build thing of type *a* by producing shake actions:

> class *Buildable a* where *build* $\therefore a \rightarrow Action()$

¹⁰⁸ Finally, as we are ultimately building files on disk, we use a typeclass to represent types that can ¹⁰⁹ be mapped to filenames:

> class *Pathable a* where *paths* :: $a \rightarrow$ [*FilePath*]

Repetition of bullet point #3

- ¹¹⁰ 2.2 Defining stages
- ¹¹¹ A stage for example *align*ing and *sort*ing is a type in this representation. Such a type is
- ¹¹² an instance of *Pathable* as outputs from the stage are files, and also *Buildable* as the stage is
- ¹¹³ associated with some shake actions required to build the outputs. We give a simple example of
- ¹¹⁴ declaring a stage that sorts bam files.
- ¹¹⁵ Example 2 Consider the stage of sorting a bed file using samtools. We first define a datatype to ¹¹⁶ represent the sorting stage and to carry all configuration options needed to perform the sort:

data Sort = *Sort*

Unnecessary indent? 117 This datatype must be an instance of *Pathable* to define the filenames output from the stage. ¹¹⁸ Naming can take place according to several schemes, but here we will opt to use hashes to name ¹¹⁹ output files. This ensure the filename is unique and relatively short.

```
instance Pathable a \Rightarrow Pathable (a \rightarrow Sort)where
                                                                      paths (a \mapsto \_) = \text{let}inputs = paths a
                                                                                                      in
                                                                                                     [hash inputs ++2.sort,bed"]Unnecessary indent? 117 This data type must be an instance of Pathable to define the filenam<br>
<sup>118</sup> Naming can take place according to several schemes, but here we will only output files. This ensure the filename is uni
```
- 120 In the above, *hash* :: *Binary a* \Rightarrow *a* \rightarrow *String* is a cryptographic hash function such as shal with
- ¹²¹ base32 encoding. Many choices are appropriate here.
- ¹²² Finally, we describe how to sort files by making *Sort* an instance of *Buildable*:

```
instance (Pathable a, IsBam a) \Rightarrow Buildable (a \mapsto Sort)
  where
     build p@(a \mapsto \_) = \text{let}[input] = paths a
                                 [out] = paths p
                              in
                              cmd "samtools sort" [input] ["−o", out]
Fix quotes
```
¹²³ Note here that *IsBam* is a precondition for the instance: the sort stage is only applicable to BAM $_{124}$ files. Likewise, the output of the sort is also a BAM file, so we declare that too:

 $\text{instance } \textit{IsBam} \text{ (}a \mapsto \textit{Sort}\text{)}$

¹²⁵ The tag *IsBam* itself can be declared as the empty typeclass *class IsBam a*. See section [2.4](#page-8-0) for a ¹²⁶ discussion of tags and their utility.

¹²⁷ 2.3 Compiling to shake rules

 The workflows as specified by the core data types are compiled to shake rules, with shake executing the build process. The distinction between *Buildable* and *Compilable* types are that the former generate shake *Action*s and the latter shake *Rules*. The *Compiler* therefore extends the *Rules* monad, augmenting it with some additional state:

type *Compiler* = *StateT* (*S*.*Set* [*FilePath*]) *Rules*

¹³² The state here captures rules we have already compiled. As the same stages may be applied in

¹³³ several concurrent workflows (i.e., the same preprocessing may be applied but different subsequent

¹³⁴ processing defined) the set of rules already compiled must be maintained. When compiling a ¹³⁵ rule, the state is checked to ensure the rule is new, and skipped otherwise. The rule compiler

¹³⁶ evaluates the state transformer, initialising the state to the empty set:

 $completeRules :: Complier() \rightarrow Rules()$ *compileRules p* = *evalStateT p mempty*

¹³⁷ A compilable typeclass abstracts over types that can be compiled:

class *Compilable a* where *compile* $\therefore a \rightarrow$ *Compiler* ()

138 $a \mapsto b$ is *Compilable* if the input and output paths are defined, the subsequent stage *a* is

 139 *Compilable*, and $a \mapsto b$ is *Buildable*. Compilation in this case defines a rule to build the output

¹⁴⁰ paths with established dependencies on the input paths using the *build* function. These rules are ¹⁴¹ only compiled if they do not already exist:

instance (*Pathable a, Pathable* $(a \mapsto b)$ *, Compilable a, Buildable* $(a \mapsto b)$) \Rightarrow *Compilable* $(a \mapsto b)$ where *compile pipe* $(a \mapsto b) = do$ *let outs* = *paths pipe* $set \leftarrow get$ *when* (*outs* '*S*.*notMember*' *set*) \$ *do lift* $\text{\$} \text{outs} \& \text{\%} \text{\&} \rightarrow \text{do}$ *need* (*paths a*) *build pipe put* (*outs* '*S*.*insert*' *set*) *compile a*

¹⁴² 2.4 Tags

 Bioshake uses tags to ensure type errors will be raised if stages are incompatible. We have already seen in example [2](#page-7-0) the use of IsBam to ensure the input file format of Sort is compatible. By convention, Bioshake uses the file extension prefixed by Is as tags for filetype, e.g.,: IsBam, IsSam, IsVCF.

 Other types of metadata are used such as if a file is sorted (Sorted) or if duplicate reads have been removed (DeDuped) or marked (DupsMarked). These tags allow input requirements of sorting or deduplication to be captured when defining stages. Properties, where appropriate, can also automatically propagate down the workflow; for example, once a file is DeDuped all subsequent outputs carry the DeDuped tag:

instance *Deduped* $a \Rightarrow$ *Deduped* $(a \mapsto b)$

¹⁵² Finally, the tags discussed so far have been empty type classes, however tags can easily carry ¹⁵³ more information. For example, bioshake uses a Referenced tag to represent the association of a ¹⁵⁴ reference genome. This tag is defined as

```
class Referenced
  where
     getRef :: FilePath
instance Referenced a \Rightarrow Referenced (a \mapsto b)
```
¹⁵⁵ This tag allows stages to extract the path to the reference genome and automatically propagates ¹⁵⁶ down the workflow allowing identification of the reference at any stage.

¹⁵⁷ 2.5 EDAM ontology

 EDAM (Ison et al., [2013\)](#page-10-7) is an ontology containing terms and concepts that are prevalent in the field of bioinformatics. As it is a formal ontology, the terms are organised into a hierarchical tree structure, with each term containing reference to parent terms. EDAM can be used with the flat tagging structure introduced in the previous section through the use of template Haskell to establish the tree.

¹⁶³ Bioshake provides the EDAM ontology in the EDAM module. This module provides EDAM ¹⁶⁴ terms identified by their short name, along with some template Haskell for associating EDAM

Should terms like `IsBam` be italicized as done previously? Or changed to code notation?

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- terms to types. For example, the FASTQ-illumina term [\(http://edamontology.org/format_1931\)](http://edamontology.org/format_1931)
- is represented by the tag FastqIllumina and a type can be tagged using the *is* template Haskell function, for example:

import *Bioshake*.*EDAM* $data MyType = MyType$ \$(*is* ′′*MyType* ′′*FastqIllumina*)

168 Output of stages (e.g., types of $a \mapsto MyType$) can equally be tagged using the *isP* template Haskell function:

\$(*isP* ′′*MyType* ′′*FastqIllumina*)

- These template Haskell functions declare the given type to be instances of all parents of the
- EDAM term, allowing tag matching at any level in the hierarchy. These EDAM types can be used similarly to tags as described in section [2.4.](#page-8-0)
- 2.6 Abstracting the execution platform

 In example [2,](#page-7-0) the shake function *cmd* is directly used to execute samtools and perform the build, however it is useful to abstract away from *cmd* directly to allow the command to be executed instead on (say) a cluster, cloud service, or remote machine. Bioshake achieves this flexibility by using free monad transformers to provide a function *run* – the equivalent of *cmd* – but where the actual execution may take place via submitting a script to a cluster queue, for example.

 To this end, the datatype for stages in bioshake are augmented by a free parameter to carry $_{180}$ implementation specific default configuration – e.g., cluster job submission resources. In the running example of sorting a bed file, the augmented datatype is data *Sort c* = *Sort c*.

2.7 Reducing boilerplate

 Much of the code necessary for defining a new stage can be automatically written using template Haskell. This allows very succinct definitions of stages increasing clarity of code and reducing boilerplate. Bioshake has template Haskell functions for generating instances of Pathable and Buildable, and for managing the tags.

 Example 3 Template Haskell can simplify example [2](#page-7-0) considerably. First we have the augmented type definitions:

$$
\mathbf{data\,Sort\,c\,}=\mathit{Sort\,c}
$$

 The instances for Pathable and the various tags can be generated with the template Haskell splice

\$(*makeTypes* ′′*Sort* [′′*IsBam*, ′′*Sorted*] [])

 This splice generates a Pathable instance using the hashed path names, and also declares the output to be instances of IsBam and Sorted. The first tag in the list of output tags determines the file extension. The second empty list allows the definition of transient tags; that is the tags that if present on the input paths will hold for the output files after the stage. Finally, given a generic definition of the build

buildSort t $\[\ (paths \rightarrow [input]) \] [out] =$ *run* "*samtools sort*" [*input*] ["-@", *show t*] ["-o", *out*]

the Buildable instances can be generated with the splice

\$(*makeThreaded* ′′*Sort* [′′*IsBam*] ′*buildSortBam*)

This splice takes the type, a list of required tags for the input, and the build function. Here, the

- build function is passed the number of threads to use, the Sort object, the input object and a
- list of output paths.

3 RESULTS AND DISCUSSION

Shake²⁰² Shake 203

 We have presented a framework for describing and executing bioinformatics workflows. The framework is an EDSL in Haskell and built on shake. This allows us to leverage the robustness of shake, and also the power of Haskell's type system to prevent many types of errors in workflow construction. This is of great benefit for bioinformatics workflows, as they tend to be long running and thus catching errors during compile reduces the debugging time significantly.

 Though this library is built around Shake as the execution engine, the core value lies in the unique abstraction and use of types to capture metadata. It is feasible to compile a specification to a different backend instead of Shake, such as Toil (Vivian et al., [2017\)](#page-11-0) or Cromwell [\(Cromwell](#page-10-8) $209\quad 2015$) via CWL (Amstutz et al., 2016) or WDL [\(OpenWDL 2012\)](#page-10-3). This would allow leveraging of the cloud and containerisation facilities of Toil and Cromwell. The abstraction used may also be useful in other domains where long data-transformation stages are applied, such as data mining on large datasets.

 Though many errors are currently caught by the type system, there are still classes of errors that are not. Notably, the Pathable class instance maps stages to lists of files with unknown length. Thus, the number of files expected to be exchanged between two stages may differ, causing a runtime error. This could in principle be caught by using lists of typed length, however this would increase the complexity for users. Bioshake attempts to strike a balance between usability and type safe guarantees.

4 CONCLUSIONS

 We have presented a unique EDSL in Haskell for specifying bioinformatics workflows. The Haskell type checker is used extensively to prevent specification errors, allowing many errors to be caught during compilation rather than runtime. To our knowledge, this is the first bioinformatics workflow framework in Haskell, as well as the first formalisation of bioinformatics workflows and their attributes in a type system from the Hindley–Milner family.

225 ACKNOWLEDGEMENTS

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