Quantifying the effect of intervertebral cartilage on neutral posture in the necks of sauropod dinosaurs

Michael Taylor

Attempts to reconstruct the neutral neck posture of sauropod dinosaurs, or indeed any tetrapod, are doomed to failure when based only on the geometry of the bony cervical vertebrae. The thickness of the articular cartilage between the centra of adjacent vertebrae affects posture. It extends (raises) the neck by an amount roughly proportional to the thickness of the cartilage. It is possible to quantify the angle of extension at an intervertebral joint: it is roughly equal, in radians, to the cartilage thickness divided by the height of the zygapophyseal facets over the centre of rotation. Applying this formula to published measurements of well-known sauropod specimens suggests that if the thickness of cartilage were equal to 4.5%, 10% or 18% of centrum length, the neutral pose of the *Apatosaurus louisae* holotype CM 3018 would be extended by an average of 5.5, 11.8 or 21.2 degrees, respectively, at each intervertebral joint. For the *Diplodocus carnegii* holotype CM 84, the corresponding angles of additional extension are even greater: 8.4, 18.6 or 33.3 degrees. The cartilaginous neutral postures (CNPs) calculated for 10% cartilage – the most reasonable estimate – appear outlandish. But it must be remembered that these would not have been the habitual life postures, because tetrapods habitually extend the base of their neck and flex the anterior part, yielding the distinctive S-curve most easily seen in birds.

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2 # neutral posture in the necks of sauropod dinosaurs

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6 ## Abstract

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9 The thickness of the articular cartilage between the centra of adjacent vertebrae affects posture. It
10 extends (raises) the neck by an amount roughly proportional to the thickness of the cartilage. It is
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12 radians, to the cartilage thickness divided by the height of the zygapophyseal facets over the
13 centre of rotation. Applying this formula to published measurements of well-known sauropod
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Introduction

The habitual posture of the necks of sauropod dinosaurs has been controversial ever since their body shape has been understood. Both elevated and more horizontal postures have been depicted, sometimes even in the same images – for example, Knight's classic 1897 painting of *Apatosaurus* and *Diplodocus* (Figure 1). See the introduction to Taylor and Wedel (2013) for a more comprehensive historical overview.

Stevens and Parrish (1999) used a computer program of their own devising, named DinoMorph, to model the intervertebral articulations in the necks of two well-known sauropods, *Apatosaurus* and *Diplodocus*. They found that when the vertebrae were best aligned — with the centra in articulation and the zygapophyseal facets maximally overlapped — the necks were held in roughly horizontal positions; Stevens and Parrish (1999) concluded without further discussion that this was the habitual posture in life – an assumption which they subsequently asserted was supported by observation of extant tetrapods (Stevens and Parrish 2005). In fact, as discussed below, tetrapods do not habitually hold their necks in neutral pose; nevertheless, determining neutral pose is an important step towards understanding habitual pose.

The study of Stevens and Parrish (1999) has been influential, but can and should be further refined. Taylor and Wedel (2013) demonstrated the important role of a neglected element, the intervertebral cartilage that separates the centra of adjacent vertebrae. We noted in that paper that including the cartilage in models affects the neutral posture recovered, causing the neck to be raised more than when only bone is taken into account; but we failed to quantify the additional extension of the neck. The present paper remedies this deficiency.

The neutral pose determined by Stevens and Parrish from bones alone is termed osteological neutral pose (ONP). I use the term cartilaginous neutral pose (CNP) for the pose found when intervertebral cartilage is included. Each specimen has a true CNP, determined by the actual arrangement of cartilage on its vertebrae. But because we are dealing here with extinct animals known only from fossils, we must make assumptions about the cartilage that existed in life, and so can derive only provisional CNPs.

Note that zygapophyseal cartilage has no or negligible effect on the angle of extension between vertebrae. This is partly because this cartilage is so thin compared with that between consecutive centra, but primarily because of the orientation of the zygapophyseal facets. If they faced anteriorly and posteriorly, then inserting cartilage between them would push the dorsal part of the vertebral articulation apart, and so deflect the neutral pose downwards. But because the facets face dorsomedially and ventrolaterally, the addition of cartilage between them does not affect their relative anteroposterior position.

Methods

Formula for additional extension

The upper part of Figure 2 shows two adjacent vertebrae in osteological neutral pose (ONP): the condyle (anterior ball) of one vertebra is nestled in the cotyle (posterior cup) of the other, and its prezygapophyseal facets are maximally overlapped with the postzygapophyseal facets of the other.

The lower part of the figure shows the effect of including intervertebral cartilage of thickness t (here depicted as being one tenth as thick as the length of the bony centrum). The cartilage itself is shown in black. For simplicity, it is depicted as though all attached to the condyle of the more posterior (grey) vertebra; in fact it would have been roughly half and half on this condyle and on the cotyle of the more anterior (yellow) vertebra.

In order to accommodate the intervertebral cartilage, the cotyle of the anterior vertebra has to be shifted forward by a distance equal to the thickness of the cartilage, as shown in the lower part of Figure 2. But in this new "neutral pose", the zygapophyseal facets remain maximally overlapped, so the effect is to rotate the anterior vertebra anti-clockwise about the centre of the zygapophyses, which is at height h above the midline of the condyle. The red lines are drawn between the centre of rotation and the anteriormost point of the bony condyle and the cartilage extension (or, equivalently, the deepest part of the cotyles of both the yellow and blue vertebrae). The rotation between the blue and yellow vertebrae is equal to the angle θ between the red lines.

Because the thickness of cartilage is a small proportion of centrum length, this angle is small. Therefore a line drawn from the anteriormost point of the bony centrum to that of the cartilage (short line in Figure 3) forms a triangle with the red lines that is close to a right-angled triangle. Consider the angle θ: its opposite is the short line of length t and its hypotenuse is one of the long lines of length h. Therefore $sin(\theta) = t/h$. But for small angles, $sin(\theta) \approx \theta$ (measured in radians).

Therefore, **the angle of extension due to cartilage at an intervertebral joint, in radians, is approximately equal to the thickness of the cartilage divided by the height of the zygapophyses above half height of the joint between centra.**

$\theta = t/h$

This formula is independent of the unit of linear measurement: inches, millimetres or pixels in a digitised photograph are all equally valid so long as the same unit is used for cartilage thickness and zygapophyseal height.

Since π radians is equal to 180° (half a circle), an angle in radians can be converted to degrees by multiplying by $180/\pi$. Therefore, the angle of extension in degrees is $t/h \times 180/\pi$.

This calculation is only approximate: the triangle is not a true right-triangle, and $sin(\theta)$ is only approximately equal to θ. However, these minor sources of inaccuracy are dwarfed by other sources of error when working with sauropods: distortions in the measured vertebrae, estimations in measurement where the vertebrae are incomplete, and uncertainty about cartilage thickness. In this context, the $\theta = t/h$ approximation is quite precise enough.

Cartilage thickness assumptions

Taylor and Wedel (2013:7–8) recently estimated the thickness of intervertebral cartilage, from vertebral spacing, between adjacent vertebrae in two sauropod genera. We found that cartilage

98   thickness between cervical vertebrae of an adult *Sauroposeidon* individual was about 4.5% of
99   centrum length; that between anterior dorsal vertebrae of a subadult *Apatosaurus* individual CM
100 3390 it was about 20% of centrum length; and that between mid-to-posterior dorsal vertebrae of a
101 second, juvenile, *Apatosaurus* individual CM 11339 it was about 15% of centrum length.
102 Assuming similar absolute thickness of cartilage in the neck of adult *Apatosaurus* as in
103 *Sauroposeidon* (about 52 mm), we estimated that cartilage thickness would be about 9.8% the
104 length of the shorter *Apatosaurus* vertebrae. Similarly, assuming similar absolute thickness of
105 cartilage in adult *Apatosaurus* necks as in subadult anterior torsos, we estimated cartilage
106 thickness in adult *Apatosaurus* might have been about 11%, a value fairly consistent with that
107 derived from *Sauroposeidon* measurements.

108   These cartilage thickness proportions are provisional – we are very aware that our sample is
109 tiny, and encourage other sauropod workers to CT-scan articulated sequences of vertebrae when
110 possible. However, since they are the only existing estimates, I calculated the effect of inserting
111 intervertebral cartilage into the neck of *Apatosaurus* using three possible thicknesses: the 4.5% of
112 the adult *Sauroposeidon* neck, the 10% that was estimated in two ways as most likely for the
113 adult *Apatosaurus* neck, and 18%, the average of the 20% and 15% found for the two non-adult
114 *Apatosaurus* torso sequences. Since *Diplodocus* is closely related to *Apatosaurus*, and was also
115 discussed by Stevens and Parrish (1999), I also calculated the effect of adding cartilage to its
116 neck in the same proportions as for *Apatosaurus*.

Sauropod specimens

118   I used the same well-known specimens as Stevens and Parrish (1999): CM 3018, the holotype
119 of *Apatosaurus louisae*; and CM 84, the holotype of *Diplodocus carnegii*. Both specimens reside
120 in the Carnegie Museum of Natural History, Pittsburgh, Pennsylvania, USA. They are well-
121 preserved for sauropods, having nearly complete cervical sequences, although the more posterior
122 vertebrae of CM 3018 are badly damaged and all the vertebrae suffer from some distortion. All
123 calculations are based only on centrum length, zygapophyseal height (measured from published
124 illustrations) and hypothetical cartilage thicknesses.

Results

126 For *Apatosaurus* CM 3018, the results are as shown in Table 1: additional extension across all
127 13 analysed intervertebral joints sums to 70°, 155° or 279° for 4.5%, 10% and 18% cartilage
128 thickness. Figure 4 shows the effect of the additional extension caused by 10% cartilage
129 compared to a horizontal neck: if osteological neutral pose were horizontal, then the neutral pose
130 when taking into account intervertebral cartilage whose thickness is 10% of centrum length
131 would be as depicted. I term this the 10% cartilaginous neutral pose or 10% CNP. (In fact,
132 Stevens and Parrish (1999) found ONP in both *Apatosaurus* and *Diplodocus* to be somewhat
133 below horizontal, but since their exact angles of flexion were not published, it is not possible to
134 determine how their favoured pose would appear when modified by the addition of cartilage.)

135 For *Diplodocus* CM 84, the results are as shown in Table 2: additional extension across all 13
136 analysed intervertebral joints sums to 108°, 241° or 434° for 4.5%, 10% and 18% cartilage
137 thickness. Figure 5 shows the effect of the additional extension caused by 10% cartilage
138 compared to a horizontal *Diplodocus* neck, as Figure 4 does for *Apatosaurus*; the same caveats
139 apply.

Discussion

140

141   The additional angles of extension calculated here are greater for *Diplodocus* than for
142 *Apatosaurus* – on average, about 55% greater. This is for two reasons. First, the additional angle
143 of extension is directly proportional to cartilage thickness, which I calculated as proportional to
144 centrum length, and the centra are longer in *Diplodocus*; and second, the angle is also inversely
145 proportional to the height of the zygapophyseal facets above the centre of rotation between
146 adjacent centra, and this is lower in *Diplodocus*.

147   There is no denying that the cartilaginous neutral poses (CNPs) described here for
148 *Apatosaurus* and *Diplodocus* appear outlandish. Using the largest of the candidate cartilage
149 thicknesses, 18% of centrum length, the neutral pose for *Diplodocus* has C3 oriented at 434° to
150 the horizontal (Table 2, last column) – that is, the neck would be extended all the way around
151 through 360° and a further 74°. This alone seems to be enough to discount the possibility that the
152 18% estimate of cartilage thickness is correct – not unreasonably, since this was measured from
153 the dorsal sequences of sub-adult and juvenile specimens. However, the 10% cartilage thickness
154 that seems the best estimate also yields surprising neutral postures (Figures 4 and 5). It is
155 tempting for this reason to prefer the 4.5% cartilage thickness, which results in C3 of *Diplodocus*
156 extending only 108° – although note that even this is well past vertical. However, it seems
157 unlikely (based on our small sample of CT scans) that half-meter-long *Apatosaurus* cervicals can
158 have been separated by as little as 23 mm of cartilage. At present, 10% of centrum length is our
159 best estimate of cartilage thickness.

160   The CNP for other dinosaurs may be even more extreme than for sauropods. Samman (2013)
161 articulated the cervical series of *Tyrannosaurus* (using a composite of two specimens, FMNH PR
162 2081 and TCMI 2001.90.1). She found that the centra alone articulate naturally into an 'S'-curve,
163 due to their keystoned shapes in lateral view; but that when the zygapophyses are also articulated,
164 the ONP was strongly extended into a posture that would surely not have been adopted in life.
165 Inserting articular cartilage between the centra would raise this posture yet further.

166   Although the 10% CNP calculated and illustrated in this paper is a more defensible neutral
167 pose than the ONP of Stevens and Parrish (1999), I must emphasised that I do *not* suggest this
168 was the habitual pose in life. As noted by Vidal et al. (1986) and Taylor et al. (2009), live
169 tetrapods do not habitually hold their necks in neutral pose. Instead, when awake and alert, they
170 extend (raise) the base of the neck and flex (lower) the anterior part. The result is that the middle
171 part of the cervical column is habitually held much more vertically in most tetrapods that would
172 be apparent from the fleshy envelope (Wedel and Taylor 2014). Indeed, in many mammals that
173 we hardly even think of having a neck, the vertebral column bends backwards beyond the
174 vertical: this is seen for example in rabbits, mice and guinea pigs as well as cats and chickens
175 (Vidal et al. 1986: figs. 2–5, 7, 8). Accordingly, we would expect that the life poses of sauropods
176 had the base of the neck extended yet further than the angles here shown as neutral; but that the
177 anterior part of their necks would have been curved forwards and downwards. It seems possible
178 that in both diplodocids analysed here, part of the neck habitually curved backwards beyond the
179 vertical in an "S" shape, as in many extant birds.

180   Similarly, *Tyrannosaurus* must have habitually held its neck in a pose differing greatly from its
181 neutral posture. In particular, much of its neck must have been flexed downwards most of the
182 time, perhaps extending only when tearing meat from a carcass.

183   The effect of intervertebral cartilage on neck flexibility, as opposed to its effect on neutral
184 posture, remains to be determined. Taylor and Wedel (2013:15) showed that in turkeys,

185 zygapophyseal surfaces are extended by cartilage, and it is likely that this is true of all tetrapods.
186 Larger zygapophyseal facets translate to more flexibility, as a greater displacement from the
187 neutral pose can occur before the facets become disarticulated. But this is only a relatively small
188 effect (increasing flexibility by about 11% in our turkey specimen) and relates to zygapophyseal
189 rather than intervertebral cartilage.

190   As noted by Taylor and Wedel (2013:15), Cobley et al. (2013) found that ostrich necks with
191 their soft tissue in place are *less* flexible than bones alone indicate. However, we know that
192 human necks are much more flexible in life than the bones alone would suggest, since the flat
193 articular surfaces of human cervical centra taken alone would indicate an almost entirely
194 inflexible neck. The different effect on neck flexibility of intervertebral cartilage across different
195 taxa would be a fruitful area for further study.

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232 **Figure captions**

Figure 1. Charles R. Knight's famous 1897 painting of sauropods, which were then considered amphibious. In the foreground, *Apatosaurus* ("*Brontosaurus*" of his usage) wades in a lake, its neck erect. In the background, *Diplodocus* wanders on the shore, its neck held low and horizontal. These differences in posture may not represent different perceptions of the habitual behaviour of these different taxa, merely the postures these individuals happened to adopt at a particular moment.

Figure 2. Increased angle of elevation at an intervertebral joint when cartilage is included. Posterior cervical vertebrae 13 and 14 of *Diplodocus carnegii* holotype CM 84, from Hatcher (1901:plate III), in right lateral view. Top: C13 (yellow) in osteological neutral posture, with the condyle of C14 embedded in its cotyle and with zygapophyseal facets maximally overlapped. Bottom: intervertebral cartilage (black) added, and C13 (blue) rotated upwards to accommodate it. (For simplicity, the cartilage is depicted as though all attached to the condyle of the posterior vertebra in the present figure and in Figure 3; in fact it would have been roughly half and half on this condyle and on the cotyle of the more anterior vertebra.) Since the zygapophyses remain maximally overlapped, a line between the centre of their facets forms the axis of rotation (white dot); red lines join the centre of rotation to the most anterior point of the bony condyle and of the intervertebral cartilage. By similarity, the angle between the yellow and blue vertebrae is equal to that between the red lines.

Figure 3. Close-up of area of rotation in Figure 2. The two long lines, each of length h, connect the middle of the zygapophyseal facets to the anteriormost point of the condyle of the posterior vertebra and the cotyle of the anterior one. The short line of length t is projected at a right angle to the left line, and more or less connects the points on the condyle and cotyle. The angle between the two long lines is θ.

Figure 4. Effect of adding cartilage to the neutral pose of the neck of *Apatosaurus louisae* CM 3018. Images of vertebrae from Gilmore (1936:plate XXIV). At the bottom, the vertebrae are composed in a horizontal posture. Superimposed, the same vertebrae are shown inclined by the additional extension angles indicated in Table 1. If the slightly sub-horizontal osteological neutral pose of Stevens and Parrish (1999) is correct, then the cartilaginous neutral pose would be correspondingly slightly lower than depicted here, but still much closer to the elevated posture than to horizontal. (Note that the posture shown here would *not* have been the habitual posture in life: see discussion.)

Figure 5. Effect of adding cartilage to the neutral pose of the neck of *Diplodocus carnegii* CM 84. Images of vertebrae from Hatcher (1901:plate III). At the bottom, the vertebrae are composed in a horizontal posture. Superimposed, the same vertebrae are shown inclined by the additional extension angles indicated in Table 2.

Table 1(on next page)

Centrum length, zygapophyseal height, possible cartilage thicknesses and corresponding additional angles of extension in the neck of the *Apatosaurus louisae* holotype CM 3018.

Centrum length, zygapophyseal height, possible cartilage thicknesses and corresponding additional angles of extension in the neck of the *Apatosaurus louisae* holotype CM 3018. Centrum lengths are taken from Gilmore (1936:196) except for C5, C14 and C15, which are omitted from Gilmore's table and were instead measured from his illustration (Gilmore 1936:plate XXIV). Zygapophyseal height was measured from the midline of the centrum to the midpoint of the postzygapophysis on plate XXIV. Cartilage thicknesses were calculated as percentages of the centrum lengths, using three different percentages as described in the text. Additional angles of extension were calculated using the formula in the Methods section. Cumulative angles measure the total additional extension from ONP, beginning with small extensions at the shoulder and increasing anteriorly. The full spreadsheet from which this table was exported, including formulae, is Supplementary File 1.

Cv#	Centrum length (mm)	Zyg height (mm)	Cartilage (mm)			Angle (degrees)			Cumulative angle (degrees)		
			4.5%	10%	18%	4.5%	10%	18%	4.5%	10%	18%
1	45		2	5	8						
2	190		9	19	34						
3	280	130	13	28	50	6	12	22	70	155	279
4	370	150	17	37	67	6	14	25	64	143	257
5	443	160	20	44	80	7	16	29	58	129	231
6	440	171	20	44	79	7	15	26	51	113	203
7	450	155	20	45	81	8	17	30	44	98	176
8	485	206	22	49	87	6	13	24	37	81	146
9	510	285	23	51	92	5	10	18	30	68	122
10	530	273	24	53	95	5	11	20	26	57	103
11	550	308	25	55	99	5	10	18	21	46	83
12	490	261	22	49	88	5	11	19	16	36	65
13	480	290	22	48	86	4	9	17	11	25	46
14	411	274	19	41	74	4	9	15	7	16	29
15	372	292	17	37	67	3	7	13	3	7	13
		Average	18.3	40.3	72.5	5.5	11.8	21.2			

Table 1. Centrum length, zygapophyseal height, possible cartilage thicknesses and corresponding additional angles of extension in the neck of the *Apatosaurus louisae* holotype CM 3018. Centrum lengths are taken from Gilmore (1936:196) except for C5, C14 and C15, which are omitted from Gilmore's table and were instead measured from his illustration (Gilmore 1936:plate XXIV). Zygapophyseal height was measured from the midline of the centrum to the midpoint of the postzygapophysis on plate XXIV. Cartilage thicknesses were calculated as percentages of the centrum lengths, using three different percentages as described in the text. Additional angles of extension were calculated using the formula in the Methods section. Cumulative angles measure the total additional extension from ONP, beginning with small extensions at the shoulder and increasing anteriorly. The full spreadsheet from which this table was exported, including formulae, is Supplementary File 1.

Table 2(on next page)

Centrum length, zygapophyseal height, possible cartilage thicknesses and corresponding additional angles of extension in the neck of the *Diplodocus carnegii* holotype CM 84.

Centrum length, zygapophyseal height, possible cartilage thicknesses and corresponding additional angles of extension in the neck of the *Diplodocus carnegii* holotype CM 84. Centrum lengths are taken from Hatcher (1901:38). Zygapophyseal height was measured from the midline of the centrum to the midpoint of the postzygapophysis on Hatcher (1901:plate III). Cartilage thicknesses, angles and cumulative angles are as for Table 1. The full spreadsheet from which this table was exported, including formulae, is Supplementary File 2.

Cv#	Centrum length (mm)	Zyg height (mm)	Cartilage (mm)			Angle (degrees)			Cumulative angle (degrees)		
			4.5%	10%	18%	4.5%	10%	18%	4.5%	10%	18%
1											
2	165		7	17	30						
3	243	64	11	24	44	10	22	39	108	241	434
4	289	59	13	29	52	13	28	50	99	219	395
5	372	108	17	37	67	9	20	35	86	192	345
6	442	132	20	44	80	9	19	34	77	172	309
7	485	108	22	49	87	12	26	46	69	153	275
8	512	161	23	51	92	8	18	33	57	127	229
9	525	161	24	53	95	8	19	34	49	109	196
10	595	209	27	60	107	7	16	29	41	90	162
11	605	202	27	61	109	8	17	31	33	74	133
12	627	233	28	63	113	7	15	28	25	57	102
13	688	239	31	69	124	7	17	30	18	41	74
14	642	271	29	64	116	6	14	24	11	25	44
15	595	309	27	60	107	5	11	20	5	11	20
	Average		21.9	48.6	87.4	8.4	18.6	33.3			

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1

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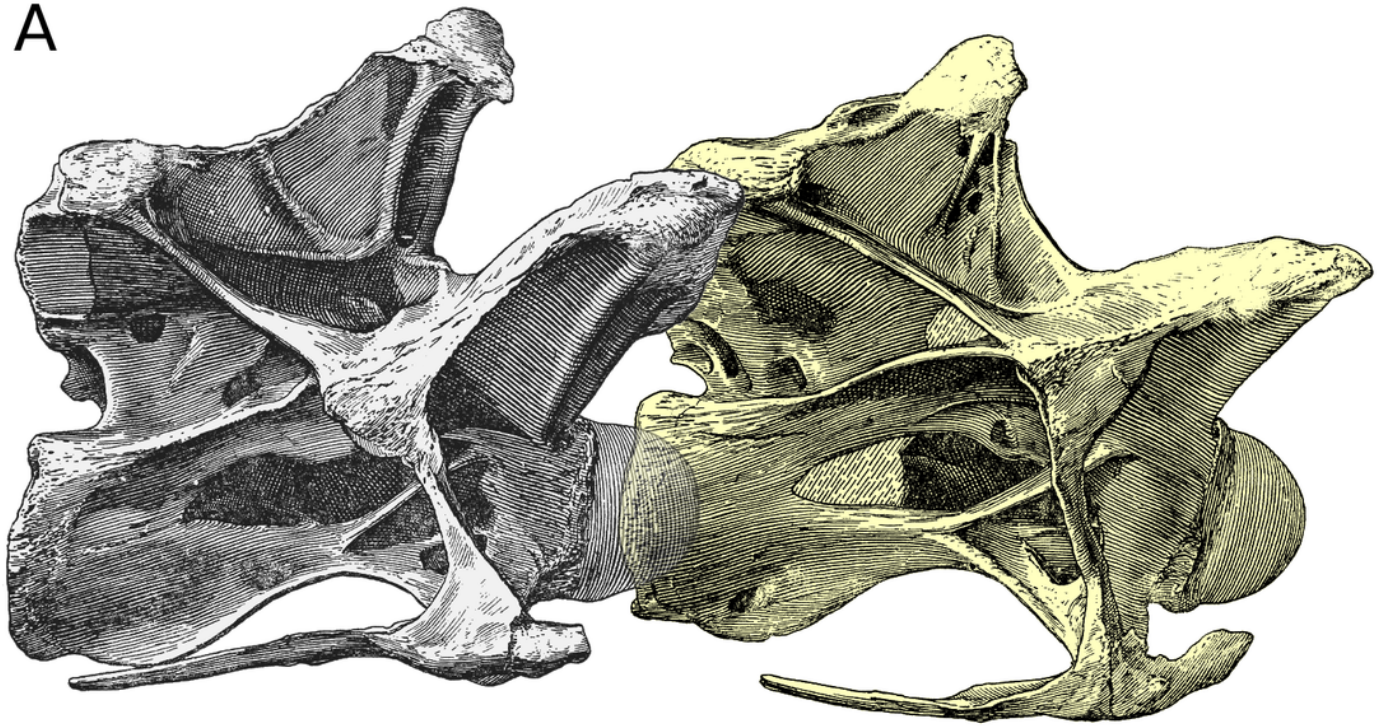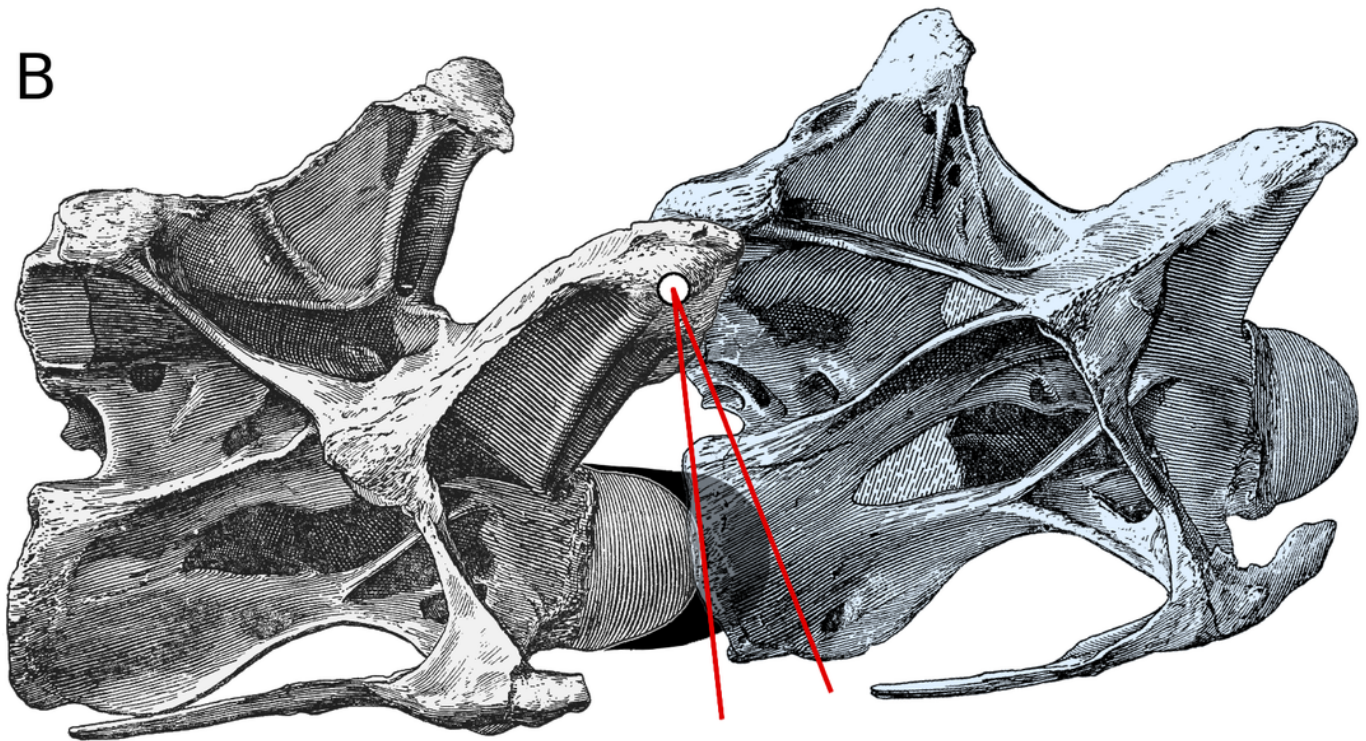
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Figure 2. Increased angle of elevation at an intervertebral joint when cartilage is included. Posterior cervical vertebrae 13 and 14 of *Diplodocus carnegii* holotype CM 84, from Hatcher (1901:plate III), in right lateral view.

A, C13 (yellow) in osteological neutral posture, with the condyle of C14 embedded in its cotyle and with zygapophyseal facets maximally overlapped. B, intervertebral cartilage (black) added, and C13 (blue) rotated upwards to accommodate it. (For simplicity, the cartilage is depicted as though all attached to the condyle of the posterior vertebra in the present figure and in Figure 3; in fact it would have been roughly half and half on this condyle and on the cotyle of the more anterior vertebra.) Since the zygapophyses remain maximally overlapped, a line between the centre of their facets forms the axis of rotation (white dot); red lines join the centre of rotation to the most anterior point of the bony condyle and of the intervertebral cartilage. By similarity, the angle between the yellow and blue vertebrae is equal to that between the red lines.
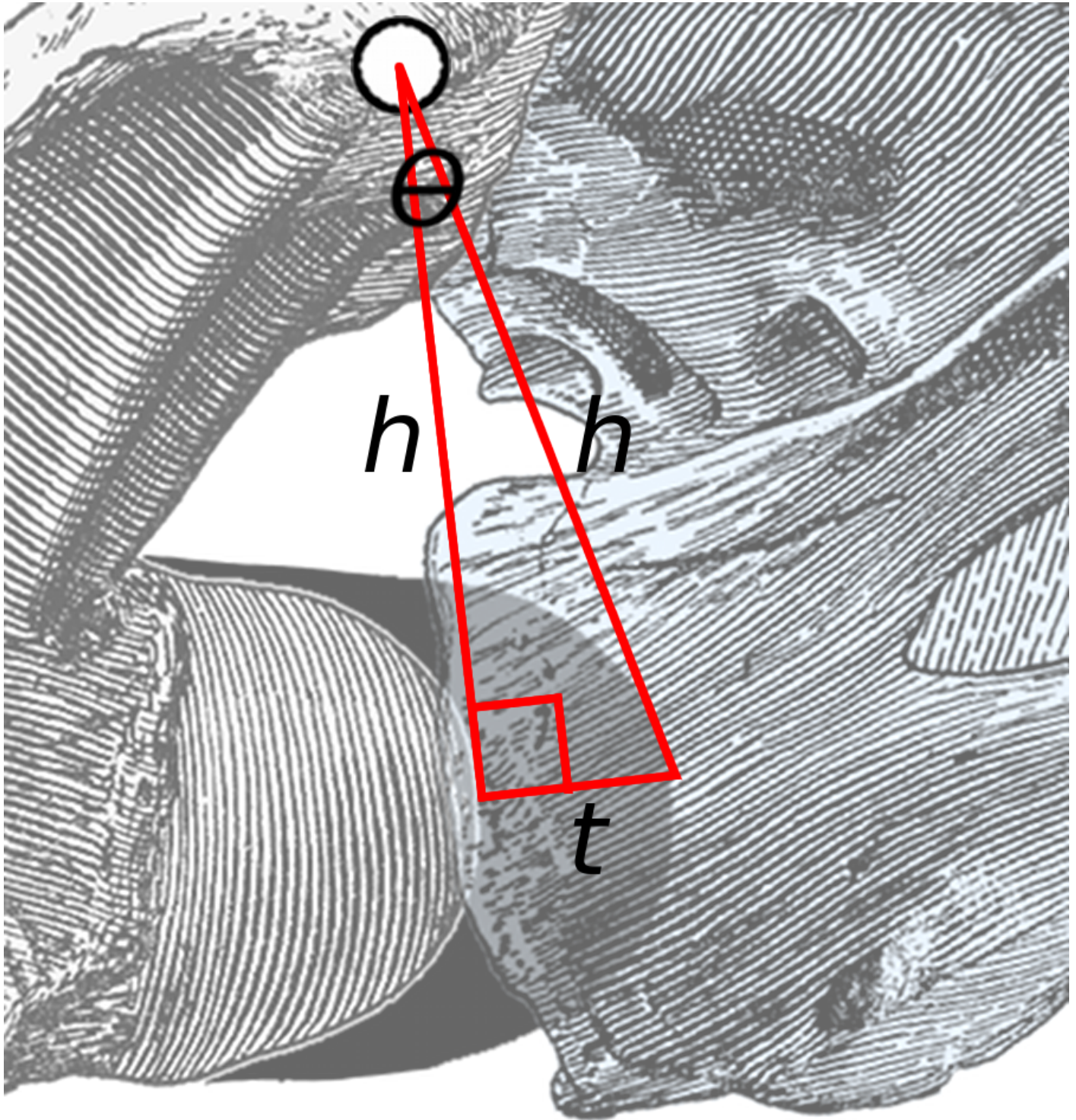
3

Close-up of area of rotation in Figure 2.

Close-up of area of rotation in Figure 2. The two long lines, each of length h, connect the middle of the zygapophyseal facets to the anteriormost point of the condyle of the posterior vertebra and the cotyle of the anterior one. The short line of length t is projected at a right angle to the left line, and more or less connects the points on the condyle and cotyle. The angle between the two long lines is θ.

4

Effect of adding cartilage to the neutral pose of the neck of *Apatosaurus louisae* CM 3018.

Effect of adding cartilage to the neutral pose of the neck of *Apatosaurus louisae* CM 3018. Images of vertebrae from Gilmore (1936:plate XXIV). At the bottom, the vertebrae are composed in a horizontal posture. Superimposed, the same vertebrae are shown inclined by the additional extension angles indicated in Table 1. If the slightly sub-horizontal osteological neutral pose of Stevens and Parrish (1999) is correct, then the cartilaginous neutral pose would be correspondingly slightly lower than depicted here, but still much closer to the elevated posture than to horizontal. (Note that the posture shown here would *not* have been the habitual posture in life: see discussion.)

5

Effect of adding cartilage to the neutral pose of the neck of *Diplodocus carnegii* CM 84.

Effect of adding cartilage to the neutral pose of the neck of *Diplodocus carnegii* CM 84. Images of vertebrae from Hatcher (1901:plate III). At the bottom, the vertebrae are composed in a horizontal posture. Superimposed, the same vertebrae are shown inclined by the additional extension angles indicated in Table 2.