Quantifying the effect of intervertebral cartilage on neutral posture in the necks of sauropod dinosaurs

Attempts to reconstruct the neutral neck posture of sauropod dinosaurs, or indeed any tetrapod, are doomed to failure when based only on the geometry of the bony cervical vertebrae. The thickness of the articular cartilage between the centra of adjacent vertebrae affects posture. It extends (raises) the neck by an amount roughly proportional to the thickness of the cartilage. It is possible to quantify the angle of extension at an intervertebral joint: it is roughly equal, in radians, to the cartilage thickness divided by the height of the zygapophyseal facets over the centre of rotation. Applying this formula to published measurements of well-known sauropod specimens suggests that if the thickness of cartilage were equal to 4.5%, 10% or 18% of centrum length, the neutral pose of the *Apatosaurus louisae* holotype CM 3018, would be extended by an average of 5.5, 11.8 or 21.2 degrees, respectively, at each intervertebral joint. For the *Diplodocus carnegii* holotype CM 84, the corresponding angles of additional extension are even greater: 8.4, 18.6 or 33.3 degrees. The neutral postures calculated for 10% cartilage – the most reasonable estimate – appear outlandish, but it must be remembered that these would not have been the habitual life postures, because animals habitually extend the base of their neck and flex the anterior part, yielding the distinctive S-curve most easily seen in birds.

1 # Quantifying the effect of intervertebral cartilage on

2 # neutral posture in the necks of sauropod dinosaurs

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6 **Abstract**

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8 tetrapod, are doomed to failure when based only on the geometry of the bony cervical vertebrae.
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13 centre of rotation. Applying this formula to published measurements of well-known sauropod
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17 *carnegii* holotype CM 84, the corresponding angles of additional extension are even greater: 8.4,
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19 estimate – appear outlandish, but it must be remembered that these would not have been the
20 habitual life postures, because animals habitually extend the base of their neck and flex the
21 anterior part, yielding the distinctive S-curve most easily seen in birds.

22 **Keywords:** Sauropod, Dinosaur, Cervical vertebra, Neck, Cartilage, Posture

Introduction

The habitual posture of the necks of sauropod dinosaurs has been controversial ever since their body shape has been understood: see the introduction to Taylor and Wedel (2013) for a historical overview.

Stevens and Parrish (1999) used a computer program of their own devising, named DinoMorph, to model the intervertebral articulations in the necks of two well-known sauropods, *Diplodocus* and *Apatosaurus*. They found that when the vertebrae were best aligned — with the centra in articulation and the zygapophyseal facets maximally overlapped — the necks were held in roughly horizontal positions; Stevens and Parrish (1999) concluded without further justification that this was the habitual posture in life. Although, as discussed below, animals do not habitually hold their necks in neutral pose, determining neutral pose is an important step towards understanding habitual pose.

The study of Stevens and Parrish (1999) has been influential, but suffers from a number of defects. Taylor and Wedel (2013) demonstrated the important role of a neglected element, the intervertebral cartilage that separates the centra of adjacent vertebrae. We showed in that paper that including the cartilage in models affects the "neutral" posture recovered, causing the neck to be raised more than when only bone is taken into account; but, stupidly, we failed to quantify the additional extension of the neck. I will now remedy this deficiency.

Methods

Formula for additional extension

The upper part of Figure 1 shows two adjacent vertebrae in osteological neutral pose (ONP): the condyle (anterior ball) of one vertebra is nestled in the cotyle (posterior cup) of the other, and its prezygapophyseal facets are maximally overlapped with the postzygapophyseal facets of the other.

The lower part of the figure shows the effect of including intervertebral cartilage of thickness t (here depicted as being one tenth as thick as the length of the bony centrum). The cartilage itself is shown in black. For simplicity, it is depicted as though all attached to the condyle of the more posterior (grey) vertebra; in fact it would have been roughly half and half on this condyle and on the cotyle of the more anterior (yellow) vertebra.

In order to accommodate the intervertebral cartilage, the cotyle of the anterior vertebra has to be shifted forward by a distance equal to the thickness of the cartilage, as shown in the lower part of Figure 1. But in this new "neutral pose", the zygapophyseal facets remain maximally overlapped, so the effect is to rotate the anterior vertebra anti-clockwise about the centre of the zygapophyses, which is at height h above the midline of the condyle. The red lines are drawn between the centre of rotation and the front of the bony condyle and the cartilage extension (or, equivalently, the deepest part of the cotyles of both the yellow and blue vertebrae). The rotation between the blue and yellow vertebrae is equal to the angle θ between the red lines.

Because the thickness of cartilage is a small proportion of centrum length, this angle is small. Therefore a line drawn from the anteriormost point of the bony centrum to that of the cartilage (short line in Figure 2) forms a triangle with the red lines that is close to a right-angled triangle. Consider the angle θ: its opposite is the short line of length t and its hypotenuse is one of the long lines of length h. Therefore $sin(\theta) = t/h$. But for small angles, $sin(\theta) \approx \theta$ (measured in radians).

Therefore, **the angle of extension due to cartilage at an intervertebral joint, in radians, is approximately equal to the thickness of the cartilage divided by the height of the zygapophyses above half height of the joint between centra.**

This formula is independent of the unit of linear measurement: inches, millimetres or pixels in a digitised photograph are all equally valid so long as the same unit is used for cartilage thickness and zygapophyseal height.

Since π radians is equal to 180° (half a circle), an angle in radians can be converted to degrees by multiplying by $180/\pi$. Therefore, the angle of extension in degrees is $t/h \times 180/\pi$.

Results

74   We recently measured the thickness of intervertebral cartilage between adjacent vertebrae in
75 two sauropod genera (Taylor and Wedel 2013). We found that cartilage thickness between
76 cervical vertebrae of an adult *Sauroposeidon* individual was about 4.5% of centrum length (p7);
77 that between anterior dorsal vertebrae of a subadult *Apatosaurus* individual CM 3390 it was
78 about 20% of centrum length; and that between mid-to-posterior dorsal vertebrae of a second,
79 juvenile, *Apatosaurus* individual CM 11339 it was about 15% of centrum length. Assuming
80 similar absolute thickness of cartilage in the neck of adult *Apatosaurus* as in *Sauroposeidon*
81 (about 52 mm), we estimated that cartilage thickness would be about 9.8% the length of the
82 shorter *Apatosaurus* vertebrae (p7). Similarly, assuming similar absolute thickness of cartilage in
83 adult *Apatosaurus* necks as in subadult anterior torsos, we estimated cartilage thickness in adult
84 *Apatosaurus* might have been about 11% (p8), a value fairly consistent with that derived from
85 *Sauroposeidon* measurements.

86   These cartilage thickness proportions are provisional – we are very aware that our sample is
87 tiny, and encourage other sauropod workers to CT-scan articulated sequences of vertebrae when
88 possible. However, since they are the only existing estimates, I decided to calculate the effect of
89 inserting intervertebral cartilage into the neck of *Apatosaurus* using three possible thicknesses:
90 the 4.5% of the adult *Sauroposeidon* neck, the 10% that was estimated in two ways as most likely
91 for the adult *Apatosaurus* neck, and 18%, the average of the 20% and 15% found for the two non-
92 adult *Apatosaurus* torso sequences. Since *Diplodocus* is closely related to *Apatosaurus*, and was
93 also discussed by Stevens and Parrish (1999), I also calculated the effect of adding cartilage to its
94 neck in the same proportions as for *Apatosaurus*.

95   I used the same well-known specimens as Stevens and Parrish (1999): *Apatosaurus* CM 3018,
96 the holotype of *A. louisae*; and *Diplodocus* CM 84, the holotype of *D. carnegii*. Both specimens
97 reside in the Carnegie Museum of Natural History, Pittsburgh, Pennsylvania, USA. They are
98 well-preserved for sauropods, having nearly complete cervical sequences, although the more
99 posterior vertebrae of CM 3018 are badly damaged and all the vertebrae suffer from some
100 distortion.

101   For *Apatosaurus* CM 3018, the results are as shown in Table 1. Figure 3 shows the effect of
102 this additional extension compared to a horizontal neck: if osteological neutral pose were
103 horizontal, then the neutral pose when taking into account intervertebral cartilage whose
104 thickness is 10% of centrum length would be as depicted. I term this the "cartilaginous neutral
105 pose" or CNP. (In fact, Stevens and Parrish (1999) found ONP to be somewhat below horizontal,
106 but since their exact angles of flexion were not published, it is not possible to determine how
107 their favoured pose would appear when modified by the addition of cartilage.)

108   For *Diplodocus* CM 84, the results are as shown in Table 2. Figure 4 shows the effect of this
109 additional extension compared to a *Diplodocus* neck, as Figure 3 does for *Apatosaurus*; the same
110 caveats apply.

Discussion

The additional angles of extension calculated here are greater for *Diplodocus* than for *Apatosaurus* – on average, about 55% greater. This is for two reasons. First, the additional angle of extension is directly proportional to cartilage thickness, which I calculated as proportional to centrum length, and the centra are longer in *Diplodocus*; and second, the angle is also inversely proportional to the height of the zygapophyseal facets above the centre of rotation between adjacent centra, which is shorter in *Diplodocus*.

There is no denying that the cartilaginous neutral poses (CNPs) described here for *Apatosaurus* and *Diplodocus* appear outlandish. Using the largest of the candidate cartilage thicknesses, 18% of centrum length, the neutral pose for *Diplodocus* has C3 oriented at 434° to the horizontal (Table 2, last column) – that is, the neck would be extended all the way around through 360° and a further 74°. This alone seems to be enough to discount the possibility that the 18% estimate of cartilage thickness is correct – not unreasonably, since this was measured from the dorsal sequences of sub-adult and juvenile specimens. However, the 10% cartilage thickness that seems the best estimate also yields surprising neutral postures (Figures 3 and 4). It is tempting for this reason to prefer the 4.5% cartilage thickness, which results in C3 of *Diplodocus* extending only 108° – although note that even this is well past vertical. However, it seems unlikely (based on our small sample of CT scans) that half-meter-long *Apatosaurus* cervicals can have been separated by as little as 23 mm of cartilage. At present, 10% of centrum length is our best estimate of cartilage thickness.

Although the CNP calculated and illustrated in this paper is a more realistic neutral pose than the ONP of Stevens and Parrish (1999), I must emphasised that I do *not* suggest this was the habitual pose in life. As noted by Vidal et al. (1986) and Taylor et al. (2009), live animals do not habitually hold their necks in neutral pose. Instead, when awake and alert, they extend (raise) the base of the neck and flex (lower) the anterior part. The result is that the middle part of the cervical column is much more vertical in most animals that would be apparent from the fleshy envelope (Wedel and Taylor 2014). Indeed, in many mammals that we hardly even think of having a neck, the vertebral column bends backwards beyond the vertical: this is seen for example in cats, rabbits, mice, guinea pigs and chickens (Vidal et al. 1986: figs. 2–5, 7, 8). Accordingly, we would expect that the life poses of sauropods had the base of the neck extended yet further than the angles here shown as neutral; but that the anterior part of their necks would have been curved forwards and downwards. It seems possible that in both diplodocids analysed here, part of the neck habitually curved backwards beyond the vertical in an "S" shape, as in many extant birds.

The effect of intervertebral cartilage on neck flexibility, as opposed to its effect on neutral posture, remains to be determined. Taylor and Wedel (2013:15) showed that in turkeys, zygapophyseal surfaces are extended by cartilage, and it is likely that this is true of all animals. Larger zygapophyseal facets translate to more flexibility, as a greater displacement from the neutral pose can occur before the facets become disarticulated. But this is only a relatively small effect (increasing flexibility by about 11% in turkeys) and relates to zygapophyseal rather than intervertebral cartilage.

As noted by Taylor and Wedel (2013:15), Cobley et al. (2013) found that ostrich necks with their soft tissue in place are *less* flexible than bones alone indicate. However, we know that human necks are much more flexible in life than the bones alone would suggest, since the flat articular surfaces of human cervical centra taken alone would indicate an almost entirely

156   inflexible neck. The different effect on neck flexibility of intervetebral cartilage across different
157   taxa would be a fruitful area for further study.

158   **Acknowledgments**

159   [None yet; I will acknowledge the editor and reviewers in the revision.]

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181 **Tables**

Cv#	Centrum length (mm)	Zyg height (mm)	Cartilage (mm) 4.5%	10%	18%	Angle (degrees) 4.5%	10%	18%	Cumulative angle (degrees) 4.5%	10%	18%
1	45		2	5	8						
2	190		9	19	34						
3	280	130	13	28	50	6	12	22	70	155	279
4	370	150	17	37	67	6	14	25	64	143	257
5	443	160	20	44	80	7	16	29	58	129	231
6	440	171	20	44	79	7	15	26	51	113	203
7	450	155	20	45	81	8	17	30	44	98	176
8	485	206	22	49	87	6	13	24	37	81	146
9	510	285	23	51	92	5	10	18	30	68	122
10	530	273	24	53	95	5	11	20	26	57	103
11	550	308	25	55	99	5	10	18	21	46	83
12	490	261	22	49	88	5	11	19	16	36	65
13	480	290	22	48	86	4	9	17	11	25	46
14	411	274	19	41	74	4	9	15	7	16	29
15	372	292	17	37	67	3	7	13	3	7	13
Average			18.3	40.3	72.5	5.5	11.8	21.2			

Table 1. Centrum length, zygapophyseal height, possible cartilage thicknesses and corresponding additional angles of extension in the neck of the *Apatosaurus louisae* holotype CM 3018. Centrum lengths are taken from Gilmore (1936:196) except for C5, C14 and C15, which are omitted from Gilmore's table and were instead measured from his illustration (Gilmore 1936:plate XXIV). Zygapophyseal height was measured from the midline of the centrum to the midpoint of the postzygapophysis on plate XXIV. Cartilage thicknesses were calculated as percentages of the centrum lengths, using three different percentages as described in the text. Additional angles of extension were calculated using the formula in the Methods section. Cumulative angles measure the total additional extension from ONP, beginning with small extensions at the shoulder and increasing anteriorly. The full spreadsheet from which this table was exported, including formulae, is Supplementary File 1.

Cv#	Centrum length (mm)	Zyg height (mm)	Cartilage (mm) 4.5%	10%	18%	Angle (degrees) 4.5%	10%	18%	Cumulative angle (degrees) 4.5%	10%	18%
1											
2	165		7	17	30						
3	243	64	11	24	44	10	22	39	108	241	434
4	289	59	13	29	52	13	28	50	99	219	395
5	372	108	17	37	67	9	20	35	86	192	345
6	442	132	20	44	80	9	19	34	77	172	309
7	485	108	22	49	87	12	26	46	69	153	275
8	512	161	23	51	92	8	18	33	57	127	229
9	525	161	24	53	95	8	19	34	49	109	196
10	595	209	27	60	107	7	16	29	41	90	162
11	605	202	27	61	109	8	17	31	33	74	133
12	627	233	28	63	113	7	15	28	25	57	102
13	688	239	31	69	124	7	17	30	18	41	74
14	642	271	29	64	116	6	14	24	11	25	44
15	595	309	27	60	107	5	11	20	5	11	20
Average			21.9	48.6	87.4	8.4	18.6	33.3			

Table 2. Centrum length, zygapophyseal height, possible cartilage thicknesses and corresponding additional angles of extension in the neck of the *Diplodocus carnegii* holotype CM 84. Centrum lengths are taken from Hatcher (1901:38). Zygapophyseal height was measured from the midline of the centrum to the midpoint of the postzygapophysis on Hatcher (1901:plate III). Cartilage thicknesses, angles and cumulative angles are as for Table 1. The full spreadsheet from which this table was exported, including formulae, is Supplementary File 2.

182 **Figures**
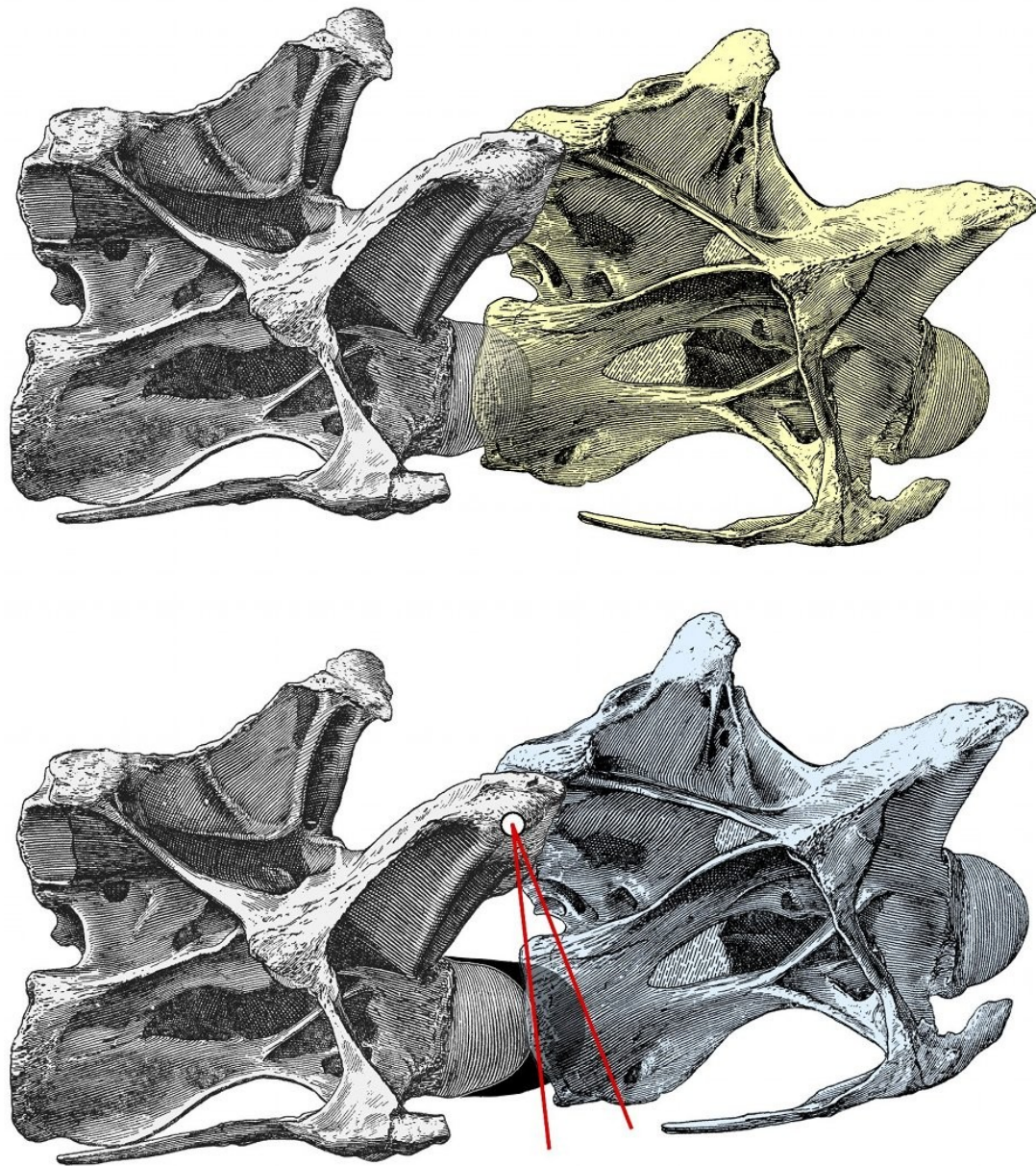
Figure 1. Increased angle of elevation at an intervertebral joint when cartilage is included. Posterior cervical vertebrae 13 and 14 of *Diplodocus carnegii* holotype CM 84, from Hatcher (1901:plate III), in right lateral view. Top: C13 (yellow) in osteological neutral posture, with the condyle of C14 embedded in the cotyle of C13 and with zygapophyseal facets maximally overlapped. Bottom: intervertebral cartilage (black) added, and C13 (blue) rotated upwards to accommodate it. Since the zygapophyses remain maximally overlapped, a line between the centre of their facets forms the axis of rotation (white dot); red lines join the centre of rotation to the most anterior point of the bony condyle and of the intervertebral cartilage. By similarity, the angle between the yellow and blue vertebrae is equal to that between the red lines.
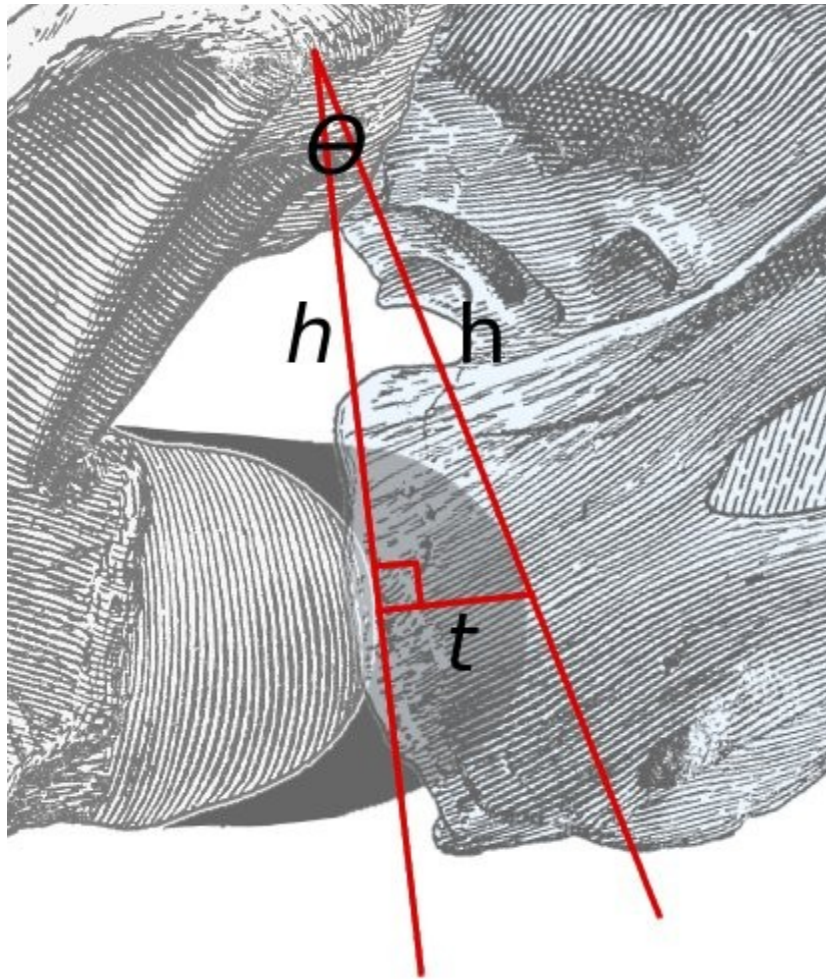
Figure 2. Close-up of area of rotation in Figure 1. The two long lines, each of length h, connect the middle of the zygapophyseal facets to the front of the condyle of the posterior vertebra and the the cotyle of the anterior one. The short line of length t is projected at a right angle to the left line, and more or less connects the points on the condyle and cotyle. The angle between the two long lines is θ.
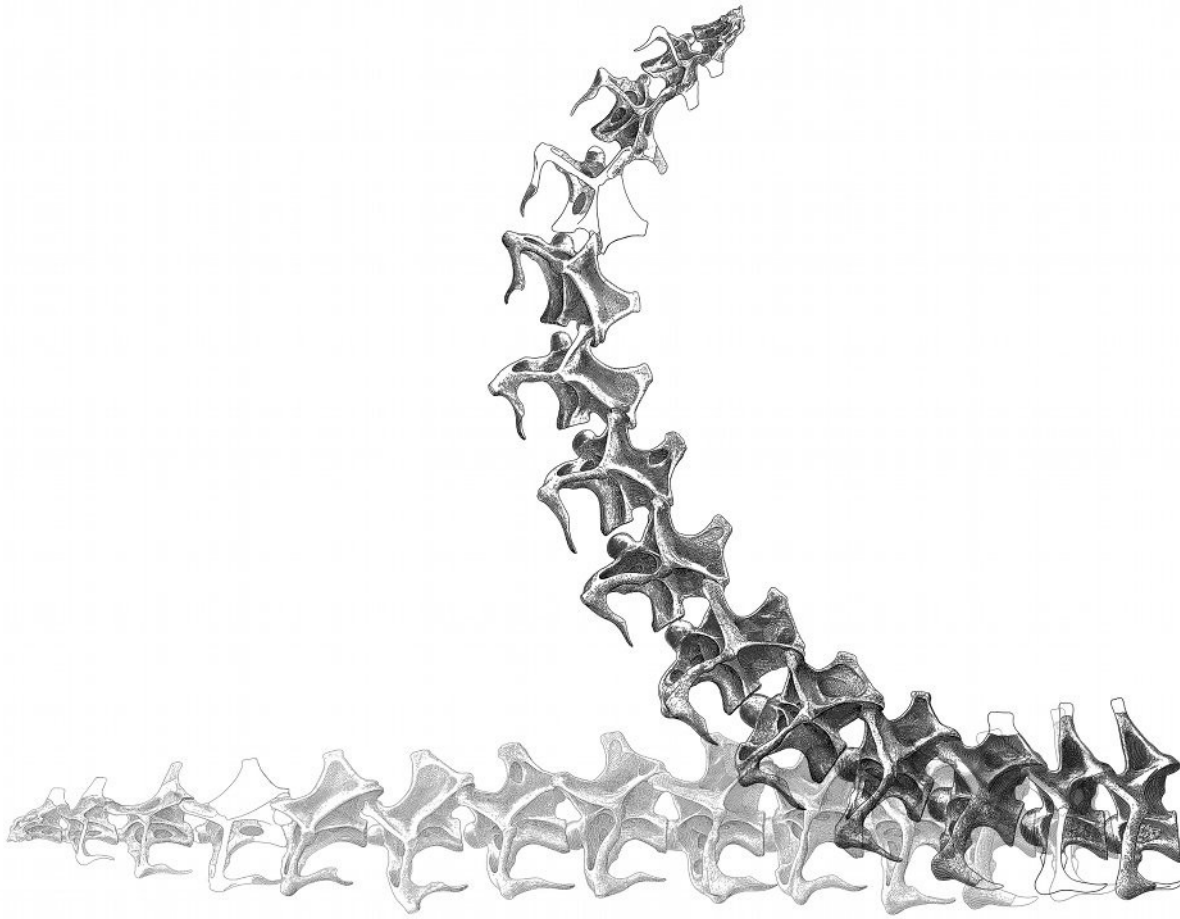
Figure 3. Effect of adding cartilage to the neutral pose of the neck of *Apatosaurus louisae* CM 3018. Images of vertebra from Gilmore (1936:plate XXIV). At the bottom, the vertebrae are composed in a horizontal posture. Superimposed, the same vertebrae are shown inclined by the additional extension angles indicated in Table 1. If the slightly sub-horizontal osteological neutral pose of Stevens and Parrish (1999) is correct, then the cartilaginous neutral pose would be correspondingly slightly lower than depicted here, but still much closer to the elevated posture than to horizontal. (Note that the posture shown here would *not* have been the habitual posture in life: see discussion.)

Figure 4. Effect of adding cartilage to the neutral pose of the neck of *Diplodocus carnegii* CM 84. Images of vertebra from Hatcher (1901:plate III). At the bottom, the vertebrae are composed in a horizontal posture. Superimposed, the same vertebrae are shown inclined by the additional extension angles indicated in Table 2.