

Automated pupillometry to detect command following in neurological patients: A proof-of-concept study

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Background: Levels of consciousness in patients with acute and chronic brain injury are notoriously underestimated. Paradigms based on electroencephalography (EEG) and functional magnetic resonance imaging (fMRI) may detect covert consciousness in clinically unresponsive patients but are subject to logistical challenges and the need for advanced statistical analysis.

Methods: To assess the feasibility of automated pupillometry for the detection of command following, we enrolled 20 healthy volunteers and 48 patients with a wide range of neurological disorders, including 7 patients in the intensive care unit (ICU), who were asked to engage in mental arithmetic.

Results: Fourteen of 20 (70%) healthy volunteers and 17 of 43 (39.5%) neurological patients, including 1 in the ICU, fulfilled prespecified criteria for command following by showing pupillary dilations during ≥ 4 of 5 arithmetic tasks. None of the 5 sedated and unconscious ICU patients passed this threshold.

Conclusions: Automated pupillometry combined with mental arithmetic appears to be a promising paradigm for the detection of covert consciousness in people with brain injury. We plan to build on this study by focusing on non-communicating ICU patients in whom the level of consciousness is unknown. If some of these patients show reproducible pupillary dilation during mental arithmetic, this would suggest that the present paradigm can reveal covert consciousness in unresponsive patients in whom standard investigations have failed to detect signs of consciousness.

25 **Abstract**

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41 investigations have failed to detect signs of consciousness.

42

43 Introduction

44 It can be difficult to assess if patients with acute brain injury are conscious by means of standard clinical
45 examinations alone because these patients must be sufficiently aroused and able to mobilize motor
46 function (Schnakers et al., 2009b,a; Laureys et al., 2010; Di et al., 2014; Kondziella et al., 2016). Thus,
47 standard neurological assessment often misclassifies unresponsive patients as being in a vegetative state
48 (VS, aka. unresponsive wakefulness syndrome, UWS) (Schnakers et al., 2009b). This has important
49 implications for prognosis and puts these patients at risk of unjustified withdrawal of life-sustaining
50 therapy (Demertzi et al., 2011; Turgeon et al., 2011; Ong, Dhand & Diringer, 2016; Harvey et al., 2018).

51
52 Our limited knowledge of disorders of consciousness contributes to this dilemma. It is still not widely
53 recognized that up to 15% patients are entirely unable to interact with their environment because of
54 complete motor paralysis, despite being minimally conscious (minimal conscious state, MCS) or even fully
55 conscious (Kondziella et al., 2016). This state of covert consciousness in completely paralyzed patients has
56 been termed cognitive motor dissociation (Schiff, 2015). Owen and co-workers were the first to document
57 cognitive motor dissociation in a landmark paper from 2006 (Owen et al., 2006). Herein, the authors
58 showed that a young traffic accident victim without any signs of consciousness at the bedside, thereby
59 fulfilling clinical criteria of VS/UWS, was able to follow commands simply by modulating her brain's
60 metabolic activity as measured by functional magnetic resonance imaging (fMRI) (Owen et al., 2006).
61 Thus, in the past 15 years consciousness paradigms based on fMRI and electroencephalography (EEG) that
62 circumvent the need for motor function have been developed (Monti et al., 2010; Cruse et al., 2011; King
63 et al., 2013; Sitt et al., 2014; Stender et al., 2014; Rohaut et al., 2017; Vanhauzenhuyse et al., 2018).
64 However, although these technologies may detect covert consciousness, fMRI- and EEG-based paradigms
65 are labor-intensive, expensive, logistically challenging and not readily available in the intensive care unit
66 (ICU) (Weijer et al., 2015; Kondziella et al., 2016). A cheap and fast, easy-to-interpret point-of-care test
67 for consciousness assessment at the bedside is clearly needed.

68
69 Portable infrared pupillometry is a new technology that may prove useful for the determination of covert
70 consciousness in the clinically unresponsive patient. The pupillary reflex is a polysynaptic brainstem reflex
71 under cortical modulation, i.e. cognitive processes such as decision making and mental arithmetic produce
72 pupillary dilation (Kahneman & Beatty, 1966; Kahneman, 1973; Loewenfeldt, 1999; Marquart & de Winter,
73 2015; Steinhauer, Condray & Pless, 2015; Quirins et al., 2018). Hence, pupillary responses following
74 mental arithmetic have been used to establish communication with patients with the locked-in syndrome
75 and to detect command-following in 1 patient in MCS (Stoll et al., 2013). However, these were patients
76 with chronic brain injury many months or years after the injury, and the technical equipment used was
77 complex, involving a fixed bedside camera and a computer screen for the display of visual instructions
78 (Stoll et al., 2013). Here, we wished to assess whether a convenient hand-held pupillometer and a simpler
79 paradigm with vocal instructions allow reliable measurements of pupillary dilation during mental
80 arithmetic as a sign of command following, and hence consciousness, in a wide range of neurological
81 patients admitted for in-hospital care.

82

83 **Methods**

84 *Objectives*

85 We aimed to evaluate a paradigm for the assessment of consciousness and command following in patients
86 with neurological disorders admitted to in-patient hospital care. To this end, we assessed pupillary dilation
87 following mental arithmetic in neurological patients in the ICU and neurological ward, as well as in healthy
88 volunteers. Sedated unconscious patients served as negative controls. We hypothesized that most (but
89 not necessarily all) healthy volunteers and conscious neurological patients would be able to cooperate
90 and show pupillary dilation during mental arithmetic, whereas unconscious and sedated patients would
91 not.

92 *Study population*

93 We collected a convenience sample of 48 neurological patients admitted to the neuro-ICU and
94 neurological wards at Rigshospitalet, Copenhagen University Hospital, including unsedated ICU patients
95 with spontaneous eye opening in MCS minus (i.e. evidence of visual pursuit; n=1, pupillary dilation
96 measured twice at 7 days interval) or better (conscious state; n=1). Five unconscious and deeply
97 sedated/comatose patients in the ICU were recruited for negative control (Richmond Agitation-Sedation
98 Scale score -4, i.e. deep sedation without response to voice, but possibly movement to physical
99 stimulation). Levels of consciousness were estimated following standard neurological bedside
100 examination by a board-certified neurologist experienced in neurocritical care and according to
101 established criteria (Giacino et al., 2018). Twenty healthy volunteers served as positive controls.

102 *Automated pupillometry and mental arithmetic paradigm*

103 The integrity of the pupillary light reflex of both eyes was checked using the NPi[®]-200 Pupillometer
104 (NeuroOptics, Laguna Hills, CA 92653 USA). We documented the neurological pupil index (NPi), which is a
105 proprietary pupillometry sum score from 0-5, with ≥ 3 indicating physiological limits (including a maximal
106 difference between the 2 eyes of < 0.7) (Chen et al., 2011; Larson & Behrends, 2015; Peinkhofer et al.,
107 2018), pupillary diameters before and after light exposure, percentage change of pupillary diameters, and
108 pupillary constriction and dilation velocities. Patients with non-physiological values were excluded. Then,
109 we used the PLR[®]-3000 pupillometer (NeuroOptics, Laguna Hills, CA 92653 U.S.A) to track pupillary size of
110 the right eye over time (approximately 3-5 minutes in total), while asking the participants to engage in
111 mental arithmetic. During the examination, the examiner held the pupillometer in one hand and covered
112 the opposite eye with the hand to avoid that changes in ambient light intensities would influence pupil
113 size in different subjects. The set-up was identical for healthy volunteers and patients, except that patients
114 sometimes were examined in the supine position (**Figure 1**). Each participant was asked to calculate a
115 series of 5 arithmetic problems of moderate difficulty (21 x 22, 33 x 32, 55 x 54, 43x 44, 81 x 82; approx.
116 30 seconds each) with rest periods (30 seconds) in-between. A subgroup of patients was given arithmetic
117 problems of lesser difficulty (4 x 46, 8 x 32, 3 x 67, 6 x 37, 7 x 43; approx. 15 seconds each, with 15 seconds
118 rest periods). We carefully explained all participants that pupillary dilation is induced solely by the efforts
119 associated with mental arithmetic, and that it was irrelevant for our study if their calculations were correct

120 or not. Hence, participants were instructed not to reveal the results of their mental arithmetic but to pay
121 attention to the task and make an honest effort.

122 *Outcome measures*

123 Outcome measures included pupillary diameters during periods of mental arithmetic (intervention) and
124 relaxation (rest periods).

125 *Statistical analysis*

126 Data were analysed using R (R 3.4.1, R Development Core Team [2008], Vienna, Austria) by a blinded
127 investigator. Pupillary measurements were visually assessed for quality control in a run chart. Pupillary
128 diameter changes in each of the five mental arithmetic tasks (intervention) were assessed by comparing
129 the period of intervention with the periods of relaxation (rest periods) before and after. Successful
130 pupillary dilation during intervention was defined as a significantly larger median pupillary size during
131 mental arithmetic compared to the immediate rest periods prior and after (p -value < 0.01; Wilcoxon
132 signed-rank test, followed by Bonferroni correction). We deemed command following to be successful
133 when a participant showed pupillary dilation in at least 4 of the 5 mental arithmetic tasks (80%).

134 *Ethics*

135 The Ethics Committee of the Capital Region of Denmark approved the study (journal-nr.:H-18045266).
136 Written consent was obtained from all participants or their next-of-kin (unconscious or minimally
137 conscious ICU patients). Data were anonymized and handled according to the European Union's Data
138 Protection Law. The pupillometry device used in the present study (NPi[®]-200 Pupillometer (NeuroOptics,
139 Laguna Hills, CA 92653 USA) was on loan from the manufacturer; however, neither the manufacturer, nor
140 the vendor were involved in the design or conduct of the study, data analysis or writing of the manuscript,
141 and the authors did not receive any other monetary or non-monetary benefits.

142

143 **Results**

144 We examined 70 participants, 2 of which were excluded because of a NPi below 3, suggesting abnormal
145 physiological pupillary function. Hence, we enrolled 68 participants: 20 healthy controls, 41 neurological
146 patients on the ward and 7 patients in the neuro-ICU. (One patient in the neuro-ICU was measured twice,
147 resulting in 69 assessments in total). Diagnoses reflected a wide spectrum of neurological disorders,
148 including cerebrovascular, neuromuscular, epilepsy, trauma, neuroinfections, and multiple sclerosis.
149 Baseline pupillary function was normal in all participants and did not differ between healthy controls
150 (mean NPi score 4.3 ± 0.39) and neurological patients (4.3 ± 0.32 ; $p=0.812$). **Table 1** shows demographic
151 data.

152 Pupillary dilation was seen in 65 of 100 (65%) measurements in healthy controls; in 58 of 100 (58%),
153 respectively, 72 of 105 (68.6%, simpler tasks) measurements in neurological patients on the ward; and in
154 7 of 15 (46.67%) measurements in unsedated ICU patients. By contrast, larger pupillary diameters were

155 noted during 7 out of 25 (28%) measurements in comatose/sedated ICU patients (negative control group),
156 consistent with chance occurrence.

157 Fourteen of 20 (70%) healthy volunteers fulfilled the prespecified criteria for successful command
158 following, whereas this was the case for only 16 of 41 (39%) neurological patients on the ward, 1
159 (conscious) of 2 unsedated patients in the ICU, and 0 of 5 comatose/sedated patients in the ICU. Healthy
160 controls had higher rates of command following than neurological patients (risk ratio 1.81, 95% CI 1.13-
161 2.99; z-statistic 2.48; p=0.013; excluding sedated ICU patients). Reducing the degree of difficulty of the
162 mental arithmetic task did not change the proportion of neurological patients passing criteria for
163 command following (8/20 patients, 40% vs. 8/21 patients, 38%).

164 **Table 2** provides details. Examples from healthy controls and neurological patients are given in **Figure 2**
165 and **Figure 3**. Anonymized raw data are available in the *online supplemental files (DatasetS1, DatasetS2,*
166 *DatasetS3)*.

167

168 Discussion

169 Cognitive and emotional processes evoke pupillary dilation in both humans and non-human primates,
170 reflecting vigilance, arousal and attention (Laeng, Sirois & Gredebäck, 2012; Schneider M. et al., 2016;
171 Becket Ebitz & Moore, 2017; McGarrigle et al., 2017; Foroughi, Sibley & Coyne, 2017). Hence, pupillary
172 diameters may serve as an index of brain activity and mental efforts (or lack hereof) (Quirins et al., 2018).
173 Here, we employed mental arithmetic as a paradigm for patients and healthy volunteers to control and
174 maximize pupil dilation to signal command following. We found that a short session of mental arithmetic
175 with simple verbal instructions, without prior training, revealed command following as detected by a
176 handheld automated pupillometry device in 70% of healthy volunteers and 40% of conscious neurological
177 patients.

178

179 Seventy % command following in healthy people may seem low, but this figure is very consistent with
180 what has been reported with active EEG- and fMRI-based paradigms. For instance, in one study, 9 of 12
181 (75%) healthy controls produced EEG data that could be classified significantly above chance (Cruse et al.,
182 2011); in another study, 12 of 16 healthy subjects had EEG responses to motor imagery [75.0% (95% CI:
183 47.6–92.7%)] (Edlow et al., 2017). Similarly, 11 of 16 healthy volunteers [68.8% (95% CI: 41.3–89.0%)]
184 demonstrated responses within supplementary motor areas and premotor cortices when examined by a
185 motor imagery fMRI paradigm (Edlow et al., 2017). Again, 7 of 10 (70%) healthy subjects were able to
186 demonstrate covert command following in another motor imagery fMRI study (Bodien, Giacino & Edlow,
187 2017). Thus, many healthy people cannot cooperate in active paradigms. Of note, however, mental
188 arithmetic seems to generate the most robust activation in the majority of healthy subjects for both EEG
189 and fMRI (Harrison et al., 2017). Obviously, in the present study we used relatively difficult multiplication
190 tasks, and easier ones such as serial 7's (Steinhauer, Condray & Pless, 2015) might have resulted in a
191 greater fraction of participants being able to comply with our paradigm. Indeed, a few participants who
192 were unable to comply stated that they gave up because they felt stressed by the calculations (although

193 reducing the level of abstraction from 2x2-differed to 1x2-differed calculations did not improve
194 compliance). It is interesting in this regard that a recent report showed that it is possible to probe for
195 consciousness using pupillometry also without interfering with participants' stream of consciousness by
196 questioning them, albeit using a much more complex 'local global' auditory paradigm (Quirins et al., 2018).

197

198 To assess the feasibility of mental arithmetic and pupillometry in the clinical setting, we pragmatically
199 enrolled a large variety of patients with neurological disorders. Not surprisingly, the rate of command
200 following was substantially lower - around 40% - either because of mild cognitive dysfunction related to
201 the underlying neurological condition, the mental stress associated with being admitted to hospital, the
202 higher median age, a lower level of education or a combination of these factors, although we did not
203 examine this specifically. It is likely that allowing training sessions until patients feel they can cooperate
204 might have yielded a higher success rate. Although we enrolled only two unsedated ICU patients, one of
205 them successfully participated in our paradigm, which corroborates the feasibility of our approach. Also,
206 as expected, none of the sedated ICU patients met our prespecified criteria of command following despite
207 spontaneous fluctuations in pupillary diameters.

208 Our paradigm has a few limitations that should be discussed. First, one should be aware that, in principle,
209 pupillary dilations can occur simply as a sign of arousal rather than evidence for mathematical calculations
210 and command following. However, to reduce the likelihood for false positive results we employed 5 serial
211 arithmetic tasks requiring 4 correct dilations (80%) as evidence for command following. Second,
212 physiological pupillary unrest is greater in darkness, in particular with sleepiness (Wilhelm et al., 2001),
213 and covering the opposite eye of the participants while performing pupillometry may have induced
214 greater fluctuations of pupillary diameters. Also, in darkness the pupils will usually be relatively large,
215 which limits the range of pupillary movement (dilation) that might follow a command. Adding a dim light
216 into the pupillometer would constrict the pupil into a range where dilations might be clearer. However,
217 as stated earlier, we covered the opposite eye to reduce the influence of different ambient light intensities
218 and it does not seem to have hampered our abilities to detect command following. Third, participants
219 received mental arithmetic tasks not by standardized recorded instructions but by oral instructions
220 delivered by the experimenter; however, we believe that the convenience of oral instructions at the
221 bedside by far outweighs the very slight bias that may possibly arise due to minor deviations in
222 intonations, etc. Of note, statistical evaluations of pupillary data were assessed by a blinded investigator,
223 which strengthens the robustness of our paradigm. Fourth, it should be remembered that medications
224 such as opioids influence pupillary function (and, obviously, consciousness levels). Finally, we did not use
225 an algorithm to remove noise from artifacts caused by head movements, blinks, blinking, and partial lid
226 closures (Larson & Behrends, 2015; Neice et al., 2017; Behrends et al., 2018). However, the purpose of
227 our paradigm was not to study pupillary function per se but to identify evidence for covert consciousness
228 using a very simple bedside technique, and we therefore considered algorithms to remove noise
229 unnecessary (and detrimental to the simplicity of the paradigm). Importantly, artifacts from eye or head
230 movements may be more frequent in patients in the MCS than in patients with the VS/UWS and might
231 deserve assessment as consciousness markers in their own right, which should be evaluated by future
232 research.

233

234 **Conclusions**

235 Here we have shown that a fast and easy paradigm based on automated pupillometry and mental
236 arithmetic is able to detect command following in healthy volunteers and conscious patients with
237 neurological disorders admitted to in-hospital care. As a next step, we plan to focus on unsexated non-
238 communicating ICU patients in whom the level of consciousness is unknown. Patients who show reliable
239 pupillary dilation following mental arithmetic are likely to be conscious, whereas absence of pupillary
240 dilation is a poor predictor of lack of consciousness. We suggest that our paradigm can be helpful to
241 identify consciousness in non-communicating patients with acute brain injury for whom traditional
242 bedside examination and laboratory investigations have failed to detect signs of covert consciousness.
243 Compared to EEG and fMRI, pupillometry for the detection of command following, and ultimately covert
244 consciousness, would offer several advantages in the clinical setting, including quick and convenient
245 assessment at the bedside, simple analysis and low costs.

246

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368

369 **Table 1**

370 This tables shows demographic data, including neurological diagnoses. “Stroke” includes hemorrhagic and
371 ischemic stroke, “neuromuscular” includes Guillain-Barré syndrome, chronic inflammatory demyelinating
372 polyradiculopathy, Isaacs’ syndrome, Pompe’s disease and multifocal motor neuropathy, “epilepsy”
373 denotes epilepsy with or without structural cause on magnetic resonance imaging. CS = conscious, ICU =
374 intensive care unit, IQR = interquartile range, MCS = minimally conscious state, N = number of subjects,
375 SAH = subarachnoid hemorrhage, TBI = traumatic brain injury. * All mental arithmetic tasks involved 2x2-
376 ciffered calculations (e.g. 33 x 32), except for 1x2-ciffered calculations (e.g. 8 x 32) in neurological patients
377 indicated with (*). ** This unsedated ICU patient in MCS with a pontine hemorrhagic stroke was examined
378 twice at 7 days interval but failed to show command following during mental arithmetic in both sessions.
379 *** Other diagnoses, not listed above, include relapsing remitting multiple sclerosis, unspecified sensory
380 disturbances, brain abscess, anoxic-ischemic encephalopathy and hemangioblastoma

381 **Table 2**

382 This table depicts the rate of successful command following by mental arithmetic in healthy volunteers,
383 conscious neurological patients on the ward, minimally or fully conscious patients in the ICU, and
384 comatose/sedated ICU patients. Successful command following was defined by ≥ 4 significant pupillary
385 dilations during 5 mental arithmetic tasks. CS = conscious, ICU = intensive care unit, MCS = minimally
386 conscious state, N = number of subjects. * All mental arithmetic tasks involved 2x2-ciffered calculations
387 (e.g. 33 x 32), except for 1x2-ciffered calculations (e.g. 8 x 32) in neurological patients indicated with (*).
388 ** This unsedated ICU patient in MCS with a pontine hemorrhagic stroke was examined twice at 7 days
389 interval but failed to show command following during mental arithmetic in both sessions

390

391

392 **Figure 1**

393 We used the PLR[®]-3000 pupillometer (NeuroOptics, Laguna Hills, CA 92653 U.S.A), an automated handheld
394 device (**B**), to track pupillary size, while asking patients and healthy volunteers (one shown here;
395 permission obtained) to perform mental arithmetic. The examiner holds the pupillometer in one hand and
396 covers the other eye with the hand (**A**). The set-up is identical for healthy volunteers and patients, except
397 that patients may be better examined in the supine position.

398 **Figure 2**

399 This figure shows results from participants with successful command following, detected by automated
400 pupillometry, during a mental arithmetic paradigm: two patients admitted to the neurological ward with
401 a diagnosis of multifocal motor neuropathy (**A and B**), respectively, Guillain-Barré syndrome (**C and D**), a
402 healthy participant (**E and F**), and a conscious 34-year old male with the pharyngeal-cervical-brachial
403 variant of Guillain-Barré syndrome admitted to the ICU (**G and H**). Minor artifacts due to blinking or eye
404 movements are seen in A-G, but not G-H (probably because of facial and oculomotor nerve palsies). Color
405 code: Periods with mental arithmetic are shown in green, rest periods in yellow. Numbers on the x-axis
406 ("0-100-200-300") denote time in seconds. Pupillary sizes during mental arithmetic were significantly
407 larger (p -value < 0.0001) than during rest periods, consistent with pupillary dilation, in all five tasks for
408 each of the four participants. # = p -value < 0.0001 ; ✓ = pupillary dilation; n = number of measurements;
409 m = median pupillary size, mm = millimeter

410 **Figure 3**

411 This figure depicts results from a healthy volunteer with unsuccessful command following (**A and B**) and
412 a 62-year old male in the ICU with subarachnoid hemorrhage and deep sedation (Richmond Agitation-
413 Sedation Scale score of -4) who served as a negative control (**C and D**). Minor artifacts due to blinking or
414 eye movements are seen in A and B, but not C and D (because of sedation-induced impairment of the
415 blink reflex). In the healthy volunteer, pupillary dilation was noted in only three out of five mental
416 arithmetic tasks, which did not meet our prespecified criteria for successful command following (≥ 4
417 pupillary dilations, 80%). Minor random fluctuations in the pupillary diameter are seen in the unconscious
418 sedated ICU patient. # = p -value < 0.0001 ; ✓ = pupillary dilation; ÷ = absence of pupillary dilation; n =
419 number of measurements; m = median pupillary size, mm = millimeter

420

Figure 1

Pupillary dilation during mental arithmetic assessed by automated pupillometry

We used the PLR®-3000 pupillometer (NeuroOptics, Laguna Hills, CA 92653 U.S.A), an automated handheld device, to track pupillary size, while asking patients and healthy volunteers (one shown here; permission obtained) to perform mental arithmetic. The examiner holds the pupillometer in one hand and covers the other eye with the hand. The set-up is identical for healthy volunteers and patients, except that patients may be better examined in the supine position.

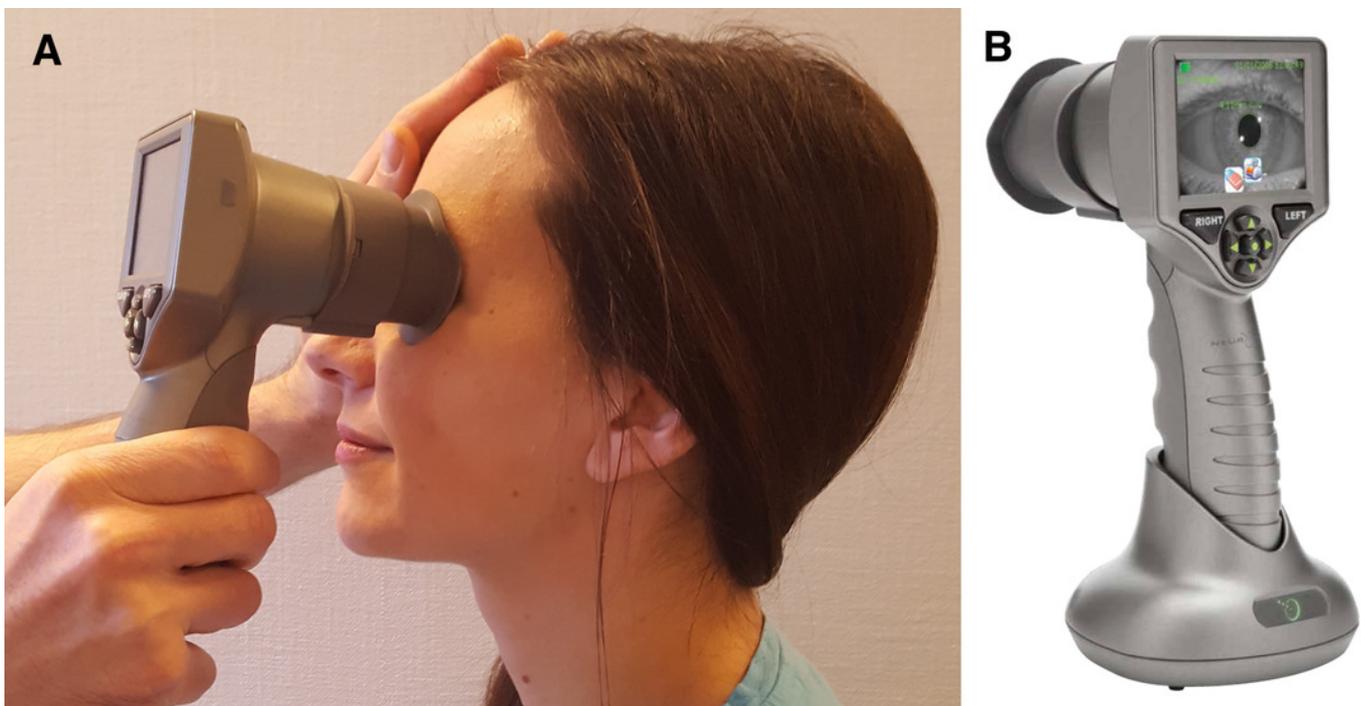


Figure 2

Pupillometry data from four patients with successful command following

This figure shows results from participants with successful command following, detected by automated pupillometry, during a mental arithmetic paradigm: two patients admitted to the neurological ward with a diagnosis of multifocal motor neuropathy (**A**), respectively, Guillain-Barré syndrome (**B**), a healthy participant (**C**), and a conscious 34-year old male with the pharyngeal-cervical-brachial variant of Guillain-Barré syndrome admitted to the ICU (**D**).

Minor artifacts due to blinking or eye movements are seen in A-C, but not D (probably because of facial and oculomotor nerve palsies). Periods with mental arithmetic are shown in green, rest periods in red. Pupillary sizes during mental arithmetic were significantly larger (p-value < 0.0001) than during rest periods, consistent with pupillary dilation, in all five tasks for each of the four participants. # = p-value < 0.0001; ✓ = pupillary dilation; n = number of measurements; m = median pupillary size, mm = millimeter

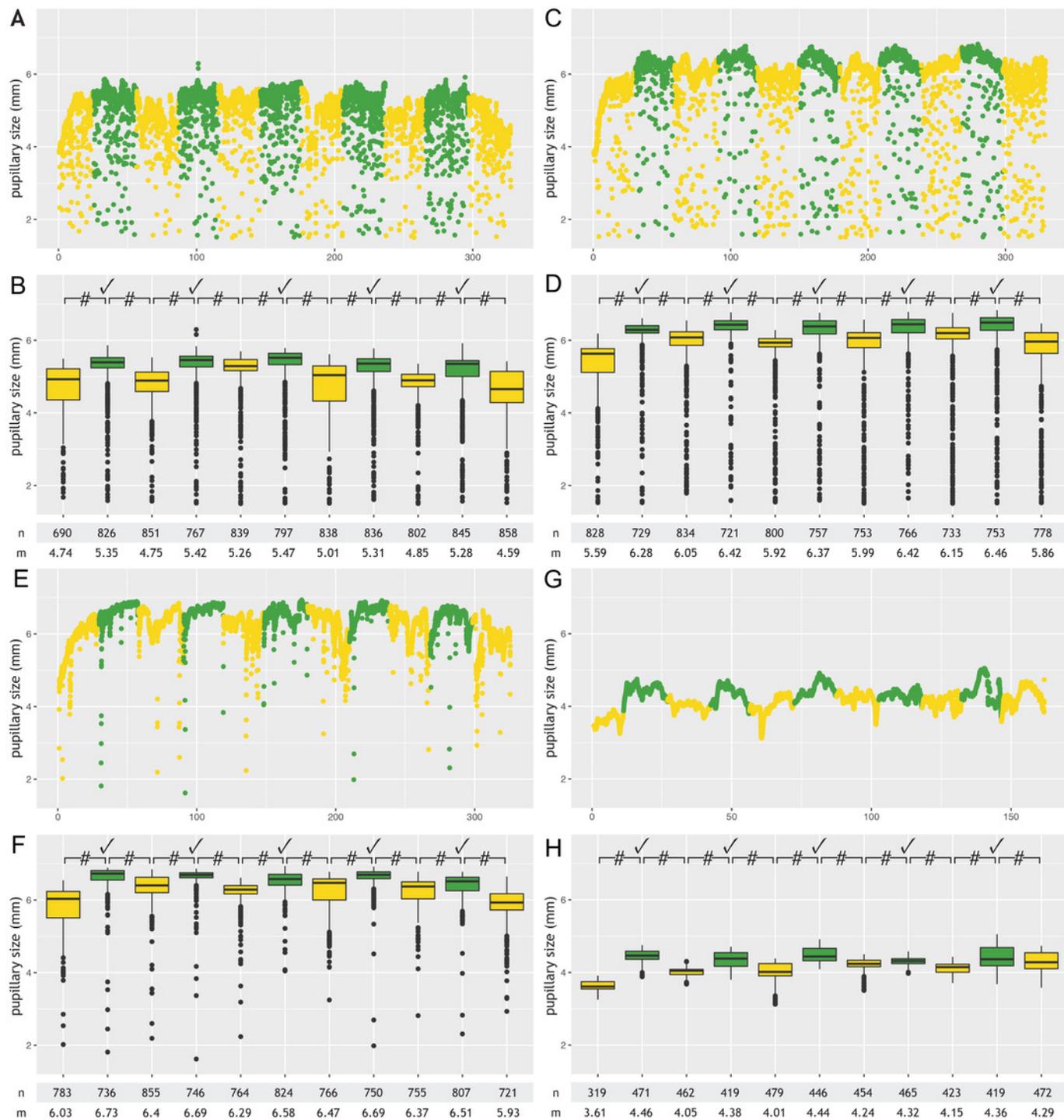


Figure 3

Pupillometry data from two patients without command following

This figure depicts results from a healthy volunteer with unsuccessful command following (**A**) and a 62-year old male in the ICU with subarachnoid hemorrhage and deep sedation (Richmond Agitation-Sedation Scale score of -4) who served as a negative control (**B**). Minor artifacts due to blinking or eye movements are seen in A, but not B (because of sedation-induced impairment of the blink reflex). In the healthy volunteer, pupillary dilation was noted in only three out of five mental arithmetic tasks, which did not meet our prespecified criteria for successful command following (≥ 4 pupillary dilations, 80%). Minor random fluctuations in the pupillary diameter are seen in the unconscious sedated ICU patient. # = p-value < 0.0001; ✓ = pupillary dilation; ÷ = absence of pupillary dilation; n = number of measurements; m = median pupillary size, mm = millimeter

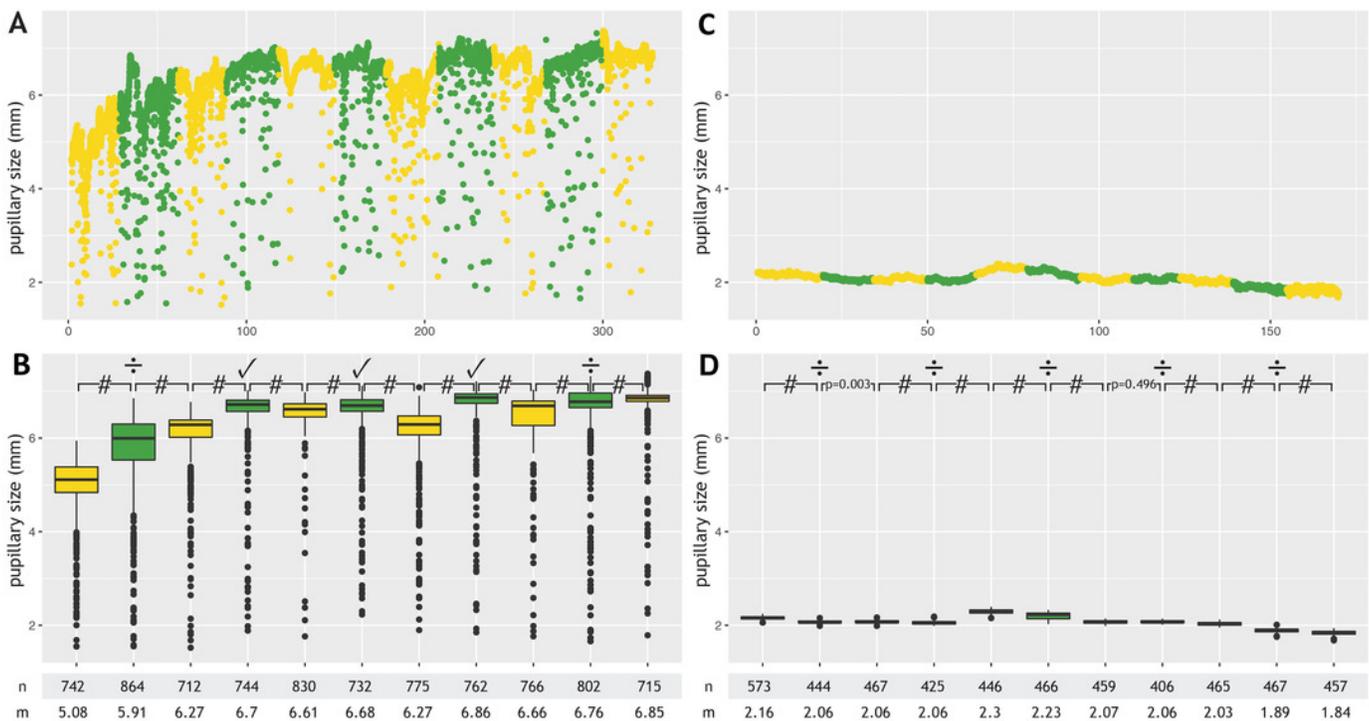


Table 1 (on next page)

Demographic data

This table shows demographic data, including neurological diagnoses. "Stroke" includes hemorrhagic and ischemic stroke, "neuromuscular" includes Guillain-Barré syndrome, chronic inflammatory demyelinating polyradiculopathy, Isaacs' syndrome, Pompe's disease and multifocal motor neuropathy, "epilepsy" denotes epilepsy with or without structural cause on magnetic resonance imaging. CS = conscious, ICU = intensive care unit, IQR = interquartile range, MCS = minimally conscious state, N = number of subjects, SAH = subarachnoid hemorrhage, TBI = traumatic brain injury. * All mental arithmetic tasks involved 2x2-difficult calculations (e.g. 33 x 32), except for 1x2-difficult calculations (e.g. 8 x 32) in neurological patients indicated with (*). ** This unsedated ICU patient in MCS with a pontine hemorrhagic stroke was examined twice at 7 days interval but failed to show command following during mental arithmetic in both sessions. *** Other diagnoses, not listed above, include relapsing remitting multiple sclerosis, unspecified sensory disturbances, brain abscess, anoxic-ischemic encephalopathy and hemangioblastoma

	Healthy volunteers	Neurological patients	Neurological patients *	ICU patients, MCS or CS	ICU patients, coma
N	20	20	21	2	5
Female	10 (50%)	9 (45%)	8 (38%)	2 (66%)	2 (40%)
Age in years, median (IQR)	34.5 (29-47)	60.5 (51-68)	50 (41-70)	34 (34-34)	62 (55-64)
Stroke	-	2	2	1 **	0
SAH	-	0	1	0	2
TBI	-	0	0	0	2
Epilepsy	-	5	2	0	0
Neuromuscular	-	9	10	1	0
Other ***	-	4	6	0	1

1

Table 2 (on next page)

Command following by mental arithmetic

This table depicts the rate of successful command following by mental arithmetic in healthy volunteers, conscious neurological patients on the ward, minimally or fully conscious patients in the ICU, and comatose/sedated ICU patients. Successful command following was defined by ≥ 4 significant pupillary dilations during 5 mental arithmetic tasks. CS = conscious, ICU = intensive care unit, MCS = minimally conscious state, N = number of subjects. * All mental arithmetic tasks involved 2x2-differed calculations (e.g. 33 x 32), except for 1x2-differed calculations (e.g. 8 x 32) in neurological patients indicated with (*). ** This unsedated ICU patient in MCS with a pontine hemorrhagic stroke was examined twice at 7 days interval but failed to show command following during mental arithmetic in both sessions

	Healthy volunteers	Neurological patients	Neurological patients *	ICU patients, MCS or CS	ICU patients, coma/sedation
N	20	20	21	2	5
0 significant	2	3	1	1**	1
1 significant	2	1	1	0	2
2 significant	0	2	1	1**	1
3 significant	2	6	10	0	1
4 significant	13	5	4	0	0
5 significant	1	3	4	1	0
Successful	70% (n=14/20)	40% (n=8/20)	38% (n=8/21)	33% (n=1/3)	0% (n=0/5)

1