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A multi-generation risk assessment of Cry1F on the non-target soil organism *Folsomia candida* (Collembola) based on whole transcriptome profiling

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The *Bacillus thuringiensis* (*Bt*) toxin Cry1F has been used to develop insect-resistant genetically engineered (IRGE) crops, but its potential risk to the non-target soil invertebrates has not been evaluated well. Here, we conducted a laboratory-based multigeneration risk assessment of Cry1F for collembolan *Folsomia candida*, an important representative of soil arthropods, in terms of survival, reproduction, and differentially expressed genes (DEGs) identified from whole transcriptome profiles. Our results demonstrated that Cry1F was continuously ingested by collembolans over three consecutive generations, but it did not affect the survival or reproduction of *F. candida*. There were no significant differences in the global gene expression between *F. candida* fed diets with and without Cry1F, and no consistent co-expressed DEGs over three generations. In addition, Cry1F did not obviously alter the expression profiles of seven sensitive biological markers. Our composite data indicate that Cry1F had no long-term harmful effect on collembolan *F. candida*.

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- 2 candida (Collembola) based on whole transcriptome profiling
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ABSTRACT

| 6 | The Bacillus thuringiensis (Bt) toxin Cry1F has been used to develop insect-resistant genetically |
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| 7 | engineered (IRGE) crops, but its potential risk to the non-target soil invertebrates has not been |
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| 20 | terms of survival, reproduction, and differentially expressed genes (DEGs) identified from whole |
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| 22 | collembolans over three consecutive generations, but it did not affect the survival or reproduction |
| 23 | of F . candida. There were no significant differences in the global gene expression between F . |
| 24 | candida fed diets with and without Cry1F, and no consistent co-expressed DEGs over three |
| 25 | generations. In addition, Cry1F did not obviously alter the expression profiles of seven sensitive |
| 26 | biological markers. Our composite data indicate that Cry1F had no long-term harmful effect on |
| 27 | collembolan F. candida. |
| 28 | |
| 29 | Keywords: Environmental risk assessment, Cry1F, Collembolan, Consecutive generations, |
| 30 | Transcriptome analysis. |



INTRODUCTION

| 32 | Over the past 20 years, genetically engineered (GE) crops have been widely planted |
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| 33 | throughout the world. The most common of these are insect-resistant genetically engineered |
| 34 | (IRGE) crops, mainly including IRGE cotton, maize, tobacco and others (ISAAA, 2016). All the |
| 35 | current commercialized IRGE crops express cry or vip genes derived from the bacterium |
| 36 | Bacillus thuringiensis (Bt), and these genes encode insecticidal proteins targeting lepidopteran or |
| 37 | coleopteran insect pests (Liu et al., 2016). Although the planting of IRGE crops will greatly |
| 38 | reduce the use of broad-spectrum insecticides, the potential risks of IRGE crops to the |
| 39 | environment and human health must be assessed before they are commercialized. One of the |
| 40 | environmental risks associated with the planting of IRGE crops is their potential negative effect |
| 41 | on non-target organisms (NTOs) (Shelton, Zhao & Roush, 2002), which follows a tiered |
| 42 | approach from laboratory to field (EFSA, 2010; Li et al., 2014; Romeis et al., 2008). To date, |
| 43 | many laboratory and field tests have been conducted with many NTOs belonging to a range of |
| 14 | functional groups, including herbivores, predators, parasitoids, and detritivores (Gao et al., 2018 |
| 45 | Jia et al., 2016; Tian et al, 2014). |
| 46 | Because of their large numbers in soil (10 ⁴ -10 ⁵ m ⁻²) and substantial contribution to the |
| 17 | decomposition of plant residues, detritivore's collembolans are an important group of soil |
| 48 | arthropods (Hopkin, 1997). Moreover, collembolans are quite sensitive to many soil pollutants, |
| 19 | such as heavy metal ions, organic pollutants, and insecticides (Buch et al., 2016; Chen et al., |
| 50 | 2015; Zortéa et al., 2015). Collembolans are exposed to Bt toxins when IRGE crop residues |
| 51 | decompose (<i>Li et al., 2007; Valldor et al., 2015</i>), and negative effects of <i>Bt</i> toxins on |



collembolans would be detrimental to the entire agro-ecosystem. The parthenogenetic 52 collembolan Folsomia candida is a standard test organism that is often used for toxicological, 53 54 biosafety, and environmental assessment. Most previous risk assessments didn't find any harmful effects of various Bt toxins on collembolans: Sims & Martin (1997) found CryIA(b), 55 CryIA(c), CryIIA, and CryIIIA present in transgenic crop plants do not reduced survival or 56 57 reproduction of collembolan F. candida and Xenylla grisea; Yang et al. (2015, 2018) reported that Cry1C and Cry2A toxins were not toxic to F. candida; and Zhang et al. (2017a) found that 58 Cry1Ab/Cry2Aj-containing Bt maize pollen did not reduce F. candida fitness or induce any 59 sublethal effects. However, these laboratory risk assessments only examined one generation of F. 60 candida, i.e., they did not evaluate the potential risk to collembolan progeny. 61 The possibility that Bt plants might have long-term effects on the soil biota remains a major 62 63 concern (*Icoz & Stotzky*, 2008), but such "chronic toxicity" has rarely been studied (*Clark*, Phillips & Coats, 2005). In multi-generation laboratory tests, Bakonyi et al. (2011) and Szabó, 64 65 Seres & Bakonyi (2017) found that F. candida that were fed Bt maize leaves had some 66 alterations in life-history traits and reproduction. To our knowledge, these are the only studies that evaluated the long-term potential risk of Bt toxins to collembolans. Additional studies are 67 needed. 68 In assessing the effects of Bt toxins on collembolans, most previous studies have used 69 physiological indices (survival rates, reproductive rates, developmental duration, etc.) or 70 biological markers (enzyme activity, midgut bacterial diversity, etc.). These traditional methods 71 of risk assessment may not detect slight changes at the molecular level. RNA sequencing (RNA-72



| 13 | seq), which is useful for detecting genes that are differentially expressed in response to |
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| 74 | environmental stresses, can provide a whole transcriptome profile of NTOs to check stress- |
| 75 | responsive changes in gene expression and to evaluate the potential risk of IRGE crops. By |
| 76 | comparative transcriptome analysis, $Xu\ et\ al.\ (2017)$ found that the expression of $> 2,000$ genes |
| 77 | of larvae of the fish Coryphaena hippurus was significantly altered after exposure to Deepwater |
| 78 | Horizon oil. Based on RNA-Seq data, Zhang et al. (2017b) annotated 32,268 unigenes of the |
| 79 | Chinese green mussel (<i>Perna viridis</i>) and identified 9,048 differentially expressed genes (DEGs) |
| 30 | between exposed and non-exposed groups to cadmium, suggesting a sensitive response of the |
| 31 | mussel transcriptome to cadmium. |
| 32 | The cry1F has been transformed to maize, cotton, and tobacco, and previous studies have |
| 33 | found no harmful effects of Cry1F on some tested non-target organisms (Kim et al., 2012; Tian |
| 34 | et al., 2014). However, its risk to collembolans has not been well evaluated except for several |
| 35 | field tests on the collembolan community (Higgins et al., 2009; Marques et al., 2018). In this |
| 36 | study, we performed a dietary exposure experiment (DEE) with F. candida that was fed an |
| 37 | artificial diet containing or not containing purified Cry1F protein for three consecutive |
| 88 | generations. We compared the effects of these two diets on the survival, reproduction, and |
| 39 | transcriptome changes of F. candida for each generation. To our knowledge, this is the first |
| 90 | multi-generation risk assessment of Cry1F on F. candida, and also the first attempt to use RNA- |
| 1 | Seq to evaluate the impact of a <i>Bt</i> toxin on the gene expression of <i>F. candida</i> . |

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MATERIALS AND METHODS



Test organism.

The Danish strain of the parthenogenetic *Folsomia candida* Willem, 1902, was originally obtained from Aarhus University, Denmark, and has been cultured in our laboratory for over 10 years. *F. candida* is usually fed granulated dried baker's yeast (Hebei Mauri Foods Co., Ltd., Zhangjiakou, China) and was reared in the Petri dishes (90 mm x 18 mm) containing a 5-7 mm layer of a solidified mixture of plaster of Paris and activated charcoal (9:1 wt/wt, dissolved in distilled water, with about 270 ml of water/500 g of mixed powder) (Fig. 1A-C). The baker's yeast was placed on the surface of the plaster and was renewed weekly to reduce the growth of other fungi. Distilled water was added to the base as needed such that free water was present in the plaster pores but did not form a film on the plaster surface. These dishes with *F. candida* were kept in an artificial climate chamber in total darkness ($20 \pm 1^{\circ}$ C, 80% relative humidity).

Dietary exposure experiment (DEE).

The artificial diet containing Cry1F (BT diet) was prepared as follows: 2 mg of purified Cry1F protein (Envirologix Inc., Portland, Maine, USA) and 4 g of baker's yeast granules were dissolved in 10 ml of distilled water; the preparation was fully mixed and then transferred into a plastic container. The final concentration of Cry1F protein in the BT diet was 500 μg/g, much higher than EC₅₀ values of Cry1F against lepidopteran pests *Chilo suppressalis* (6 μg/g) and larvae of *Bombyx mori* (136 ng/g) (*Jiao et al., 2016*). After 27 h of lyophilization, the BT diet was ground into powder and stored at -70°C. As the control, baker's yeast granules without Cry1F (CT diet) were prepared in the same way.



Based on the Organisation for Economic Cooperation and Development (OECD) test protocol 115 (OECD, 2016), we designed a multi-generation DEE on F. candida (Fig. 1D). During the 116 experiment, all F. candida were cultured in the same artificial climate chamber with the culture 117 conditions mentioned above. They were synchronized to the same stage (newborn juveniles) at 118 the start of each generation in the experiment. 119 120 First, we transferred 80-100 randomly selected F. candida adults (about 4-6 weeks old) to a new Petri dish. After 72 h of oviposition, all adults were removed. The eggs began to hatch 10 121 days later. After 24 h of hatching, we selected synchronized newborn juveniles. They were 122 transferred to a new Petri dish and were fed with pure baker's yeast for 10 days. Next, all 123 juveniles were separated into two sets: the BT set were fed BT diet, and the CT set were fed CT 124 diet. Each set was done with individually- and group- reared collembolans. 125 In the first-generation DEE, 60 juveniles were transferred to 60 small plastic Petri dishes (55 126 mm x 14 mm, plaster height: 5 mm), respectively, as the individually-reared collembolans. 30 127 ones were fed BT diet, and 30 ones were fed CT diet. The rest juveniles were evenly distributed 128 into six plastic Petri dishes (85 mm x 16 mm, plaster height: 5 mm), with 60 to 80 collembolans 129 per dish. Three of them were fed BT diet and the other three were fed CT diet. All diets were 130 renewed every 2 days to avoid Cry1F protein degradation and the growth of other fungi. 131 The first generation was assessed after 25 days of DEE. For the individually-reared 132 collembolans, the numbers of adults and juveniles in each treatment were counted to calculate 133 the survival and reproduction rates. For the collembolans fed in groups, about 20 individuals per 134 replicate were randomly collected for ELISA (enzyme-linked immunosorbent assay, see section 135



ELISA below), and 25-35 individuals per replicate were collected for RNA-Seq (see section RNA extraction, sequencing and unigene annotation below).

The remaining collembolans fed in groups of CT and BT sets were used for synchronization of the second generation. To maintain the same experimental conditions, the CT and BT sets were synchronized independently, and their newborn juveniles were fed CT diet or BT diet directly after birth. After 10 days, the second-generation DEE started. It was done in the same manner as the first-generation DEE except that there were 40 replicates per set for individually-reared collembolans and two replicates per set for group-reared collembolans. After 25 days of DEE, survival and reproduction were evaluated using the individually-reared collembolans, and ELISA and RNA-Seq were performed suing the group-reared collembolans as described for the first generation. The remaining collembolans that were fed in groups in the second generation were used to start the third generation. The procedure for the third-generation DEE was identical to that for the second except that there were three rather than two replicates per set for the group-reared collembolans.

Survival and reproduction.

The individually reared collembolans were used to evaluate survival and reproduction of *F*. *candida* at the end of each generation. Several replicates were excluded from analysis: 1) disappeared adult collembolans (5 in BT treatment and 5 in CT treatment over three generations), which are assumed to have escaped because no corpse left; 2) eggs didn't hatch due to overgrown fungi (6 in BT treatment and 5 in CT treatment over three generations).



With the aid of a stereoscopic microscope (Nikon, SMZ-10), the number of living adults was determined as a measure of survival, and the number of juveniles produced was determined as a measure of reproduction. Due to the wide variation in the reproduction data (7-41 juveniles per individually-reared collembolan), the data were log-transformed prior to the statistical analysis. Student's t-test was used to analyze the differences between the two treatments in each generation. In addition, the data for reproduction of all BT and CT sets over three generations were subjected to a two-way ANOVA, followed by the LSD (least significant difference) test, with diet treatment, generation and their interaction as fixed factors. All of these statistical analyses were performed with IBM SPSS Statistics 24 (version R24.0.0.0). Differences were considered significant at p < 0.05.

ELISA.

The concentrations of Cry1F in the diets and in *F. candida* fed in groups were measured by ELISA for each replicate in each generation. The QuantiPlate Kit for Cry1F (Envirologix Inc., Portland, Maine, USA) was used to detected Cry1F in a 2- to 4-mg sample of the fresh diet in each replicate, in a 2- to 4-mg sample of the diet in each replicate after 2 days of feeding, and in 20 individuals of *F. candida* (2-5 mg) per replicate. All samples were fully ground with an electric grinding rod and then extracted with PBST extraction buffer (phosphate-buffered saline with Tween-20, pH 7.4). ELISA was performed according to the manufacturer's instructions. A two-way ANOVA was used to compare Cry1F concentrations of three BT sets over three generations by using the software IBM SPSS Statistics 24 (version R24.0.0.0). Differences were



178 considered significant at p < 0.05.

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RNA extraction, sequencing and unigene annotation.

| 181 | The miRNeasy® Mini Kit (Qiagen Biotech, Germany) was used to extract the total RNA from |
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| 182 | 25-35 individuals per replicate of the collembolans that were fed in groups for each generation. |
| 183 | RNA concentration and integrity were evaluated with Aglient 2100 Bioanalyzer (Agilent, USA). |
| 184 | cDNA library construction, Illumina sequencing, and de novo assembly of RNA samples were |
| 185 | carried out by Hangzhou 1gene Technology Co., Ltd. All cDNA libraries were constructed using |
| 186 | the NEBNext®Ultra™ RNA Library Prep Kit for Illumina® (NEB, USA), following the protocol |
| 187 | described by the manufacturer. The libraries were sequenced with 150-bp paired-end reads on an |
| 188 | Illumina Hiseq 4000 platform (San Diego, CA, USA). After sequencing, the raw reads (about 6 |
| 189 | GB of data for each replicate) were filtered to remove adaptor sequences, duplication sequences, |
| 190 | and low-quality sequences. The clean reads were de novo assembled into unigenes by using |
| 191 | Trinity and SOAPdenovo-Trans. Six public databases were used to annotate unigenes with |
| 192 | BLASTx or BLASTn (E-value < 10 ⁻⁵), including NCBI Nr and Nt databases |
| 193 | (http://www.ncbi.nlm.nih.gov/), SwissProt (http://www.expasy.ch/sprot/), KEGG |
| 194 | (http://www.genome.jp/kegg/), COG (http://www.ncbi.nlm.nih.gov/COG/), and GO |
| 195 | (http://www.geneontology.org/). |

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Differential gene expression and biological marker expression.

The expression levels of all unigenes were calculated by using the FPKM (fragments per kb



199 per million fragments) method: FPKM = $(10^6C)/(NL/10^3)$. The data of three or two replicates for each set in every generation were averaged, and whole transcriptome gene expression of all CT 200 and BT sets were compared. DEGs were determined by the condition of FC (fold change, |log2 201 ratio of FPKM (BT vs. CT)) ≥ 1 and FDR (false discovery rate) ≤ 0.05 . 202 We carefully checked the expression of seven biological markers whose changes in expression 203 level are often used to indicate that the test organism is experiencing stress. These genes encode 204 the following proteins: the antioxidant-related enzymes catalase (CAT) (Niu et al., 2017; Yousef, 205 Abdelfattah & Augustyniak, 2017) and superoxide dismutase (SOD) (Yang et al., 2015; Yousef, 206 Abdelfattah & Augustyniak, 2017); the detoxification-related enzymes glutathione S-transferase 207 (GST) (Niu et al., 2017; Oliveira et al., 2015), carboxylesterase (CES) (Niu et al., 2017; Yang et 208 al., 2015), and glutathione reductase (GR) (Yang et al., 2015); a metallothionein-like motif 209 containing protein (MTC) (Nakamori & Kaneko, 2013), which is unique in F. candida and which 210 is very sensitive to different heavy metal ions; and the heat shock protein 70 (HSP70) (Liu et al., 211 212 2010), which is sensitive to insecticides, drought, and other environmental stresses. The expression profiles of unigenes annotated to these biological markers were examined, and their 213 expression levels were compared among different samples. 214 The software R (version 3.4.0) was used for the heatmaps (R package 'pheatmap' 215 (https://cran.r-project.org/web/packages/pheatmap/index.html)) and the hierarchical clustering 216 ('dist' and 'helust' orders, distance method as 'euclidean' and cluster method as 'complete') of 217 all DEGs and biological markers over three generations (expression data of DEGs were 218 transformed by using the common logarithm of the counts plus 1). 219



RESULTS

| Crv1F | concentrations | in the | diet and | F | candida |
|-------|----------------|--------|----------|----|----------|
| Crvir | concentrations | m me | aiet ana | Г. | canaiaa. |

ELISA measures showed that no Cry1F toxin was detected in the pure yeast diet or in the F. candida that were fed the CT diet. The average concentration (mean \pm SE) of Cry1F was 415 \pm 15 μ g/g in the fresh BT diet and 358 \pm 57 μ g/g in the BT diet after 2 days of feeding, indicating that Cry1F was continually present in the experiment. The average concentrations of Cry1F in collembolans that were fed the BT diet were 3.5 ± 1.3 , 2.7 ± 1.4 , and 5.2 ± 1.0 μ g/g at the end of the first, second, and third generation, respectively; these concentrations were not significantly different based on a two-way ANOVA (p = 0.429), and suggest that the Cry1F was continuously ingested by collembolans.

Survival and reproduction of *F. candida*.

All collembolans in both CT and BT sets survived and reached the adult stage for all three generation, which suggests Cry1F has no any negative effect on collembolan survival. Over the three generations, the mean (\pm SE) number of juveniles produced per individually fed collembolan was 22.57 ± 0.635 in the CT set and 20.70 ± 0.590 in the BT set. According to Student's *t*-test for each generation, the number of juveniles produced per collembolan did not significantly differ between CT and BT sets for any of the three generations (p = 0.912, 0.114, 0.071 for generations 1, 2 and 3, respectively) (Fig. 2). Two-way ANOVA (with diet treatment,



| 240 | generation, and their interaction as fixed factors, Table 1) showed that the mean number of |
|-----|---|
| 241 | juveniles produced per collembolan was significantly affected by generation ($p = 0.001$) but not |
| 242 | by diet treatment ($p = 0.068$) or the interaction between diet treatment and generation ($p =$ |
| 243 | 0.410). |
| 244 | |
| 245 | Transcriptome sequencing and annotation. |
| 246 | A total of 316,693,647 raw reads for 16 samples were generated, and deposited in the NCBI |
| 247 | Sequence Read Archive (SRP132745). After data filtering and <i>de novo</i> assembly, 284,174,422 |
| 248 | clean reads were assembled into 93,976 unigenes. The total length of these unigenes was |
| 249 | 155,829,628 bp. The mean length of the unigenes was 1,658 bp, and N50 length was 3,292 bp. |
| 250 | The sequence data of each type of sample were unbiased, and enough reads were obtained to |
| 251 | perform gene expression analyses. The quantity and quality of the RNA sequencing data are |
| 252 | shown in Table 2. |
| 253 | Of the total number of unigenes, 55,390 (58.94%), 17,230 (18.33%), 47,734 (50.79%), 43,284 |
| 254 | (46.06%), 31,016 (33.00%), and 18,603 (19.80%) were annotated in NCBI Nr, NCBI Nt, |
| 255 | SwissProt, KEGG, COG, and GO databases, respectively. Overall, 57,758 (61.46%) unigenes |
| 256 | were annotated to known protein/nucleotide sequences. |
| 257 | |
| 258 | Differentially expressed genes (DEGs) over three generations. |
| 259 | 463 DEGs (0.49% of all unigenes) between CT and BT sets were identified for all three |
| 260 | generations (Fig. 3A-C), including 211, 19, and 244 DEGs for the first, second, and third |



generation, respectively (Fig. 3D). There was no consistent tendency of either up-regulation or down-regulation of DEGs, and there was no consistent co-expression of DEGs over the three generations (Fig. 3E), and only 6 DEGs (5 up-regulated and 1 down-regulated) were identified in both the first and third generations. 5 contra-regulated DEGs were detected in the second and third generations. The functions of these 11 DEGs are unknown.

The heatmap analysis of DEGs over three generations showed similar expression patterns of

the CT and BT sets in each generation (Fig. 4A), and the hierarchical clustering of all samples

demonstrated CT and BT samples of the same generation were always clustered together, instead

Biological marker expression profile.

of the CT nor the BT sets of different generations (Fig. 4B).

A total of 855 unigenes for the seven biomarkers (CAT, GST, SOD, GR, CES, MTC, and HSP70) were annotated, but only five unigenes were differentially expressed between CT and BT sets, i.e., were up- or down-regulated by more than 2-fold in the BT set (Table 3): one unigene of CAT (CL2174.Contig5_All) was up-regulated in the third generation; three unigenes of CES (Unigene7933_All, CL5034.Contig2_All, and CL6365.Contig1_All) encoding carboxylesterase type B were up- or down-regulated in the first or the third generations; one unigene of HSP70 (Unigene15285_All) was greatly down-regulated, i.e., there was a 5-fold decrease in expression, in the first generation. However, the expression of the five DEGs was significantly different in BT vs. CT sets in only a specific generation, and none of those changes are consistent in all three generations (Table 3). Furthermore, the gene expression hierarchical



clustering of the seven markers (Fig. 5) clearly showed that the CT and BT sets from the same generation always clustered together, which confirms that Cry1F treatment did not affect the functions related to the seven biological markers.

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DISCUSSION

Multi-generation laboratory tests are useful for detecting the chronic toxicity of pollutants on collembolans (Campiche et al., 2007; van Gestel et al., 2017). Most previous assessments of Bt toxins or crops on collembolans involved the assessment of short-term exposure (one generation) rather than chronic toxicity (Yang et al., 2015, 2018; Zhang et al., 2017a). With the long-term cultivation of Bt crops, however, toxicity may be undetectable in the first generation of collembolans but may increase in subsequent generations because of continuous exposure to Bt toxins. Therefore, it is necessary to test the effects of Bt toxins on non-target organisms with multi-generation toxicological assessments. Two previous laboratory-based multi-generation risk assessments of Bt toxins on collembolans (Bakonyi et al., 2011; Szabó, Seres & Bakonyi, 2017) found that feeding on leaves of Bt maize (MON 810) affected egg production, growth rate, and food preference in F. candida. In addition, Yuan et al. (2011) found that feeding on Bt rice decrease collembolan catalase activity. However, these results are difficult to explain (except to note that Bt and non-Bt plant tissues may differ in properties other than the presence or absence of Bt toxin). The current study used a diet that was identical except for the presence or absence



the multi-generation assessment. 302 In addition to compare F. candida survival and reproduction, the current study also considered 303 the effects of Bt toxin on gene expression. Microarray-based detection methods have been widely 304 used to evaluate the molecular effect of pollutants on test organisms (Baillon et al., 2016; 305 Roelofs et al., 2009; Yuan et al., 2014). Compared with microarray, RNA-Seq is more 306 comprehensive and is better able to detect potential risk, since it does not rely on a pre-designed 307 complement sequence detection probe; it enables the identification of genetic variants; and it can 308 quantify and profile overall gene expression, including rare and novel transcripts (Montgomery et 309 al., 2010; Sultan et al., 2008; Wang, Gerstein & Snyder, 2009). If the pollutants are harmful to 310 F. candida, they should create a constant stress and result in co-expression of DEGs at different 311 312 exposure times (*Qiao et al.*, 2015), but this was not the case in the current study. The expression pattern of DEGs was more similar between CT and BT sets in the same generation than between 313 the same diet treatment of different generations (Fig. 4), suggesting that the detected DEGs may 314 315 be random events perhaps caused by uncontrolled conditions in the experiment rather than by Cry1F. Moreover, the statistical analysis showed that F. candida reproduction significantly 316 differed among generations but not between the diet treatments (Table 1), which further 317 confirmed that Cry1F had no constant or cumulative effect on F. candida. Although we 318 synchronized F. candida to stage before every generation and although we strictly controlled the 319 culture conditions, there were probably some uncontrolled differences in season, diet quality, or 320 other factors between generations. 321

of Bt toxin. That F. candida is parthenogenetic facilitated the synchronization of generations and



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Because it is rapid, simple, and sensitive, the biological marker assay is commonly used for the monitoring of environmental contaminants. Yousef, Abdelfattah & Augustyniak (2017) found fertilizer industry pollutants greatly affected the activities of SOD and CAT in the grasshopper Aiolopus thalassinus. By assessing GST responses, Oliveira et al. (2015) assayed the risk of F. candida exposure to carbamazepine. Nakamori & Kaneko (2013) measured the effect of Cd exposure on F. candida by assessing the gene expression of MTC, which is a biomarker related to heavy metal detoxification. Most previous studies only determined the gene expression differences of one or several biomarkers by RT-qPCR. In our study, RNA-Seq provided a whole transcriptome profile, and we were therefore able to screen a large variety of important biomarker genes in F. candida, including some novel response genes. In summary, we established a laboratory-based multi-generation risk assessment of Cry1F for F. candida, and found Cry1F did not affect the survival or reproduction of F. candida over three consecutive generations, and did not alter their global gene expression levels or the expression profiles of seven sensitive biological markers.

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Figure 1: Culture of Folsomia candida and the flow chart of the experiment. (A) F. candida 479 reared in a Petri dish with baker's yeast as food. (B) A living individual of F. candida. (C) A 480 cluster of F. candida eggs. (D) The flow chart of the dietary exposure experiment over three 481 consecutive generations. The CT set of collembolans was fed yeast powder without Cry1F, and 482 the BT set was fed yeast powder with Cry1F. In each generation, both CT and BT sets were fed 483 and evaluated individually (with 30 or 40 replicates) or in groups of 60 to 80 individuals (with 484 two or three replicates). 485

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- Figure 2: The reproduction (number of juveniles produced per individually fed collembolan) of 487
- three generations of F. candida as affected by addition of Cry1F to an artificial diet. The 488
- collembolans were individually fed pure yeast powder (CT set) or yeast powder + Cry1F protein 489
- (BT set). Values are means \pm SE (n = 24-38). Across all generations, independent Student's t-test 490
- between CT and BT sets for each generation indicate no significant difference, with p = 0.912, 491
- 0.114, 0.071 for the 1st, 2nd and 3rd generations, respectively (significant difference p < 0.05). 492

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- **Figure 3:** DEGs in *F. candida* fed diets with and without Cry1F over three generations. (A-C) 494
- Volcano plots of DEGs for the three generations. DEGs: FC (|log2 ratio| of FPKM (BT vs. CT)) 495
- \geq 1, FDR \leq 0.05. (D) Numbers of up-regulated and down-regulated DEGs. (E) Venn diagrams of 496
- DEGs in three generations. DEGs = differentially expressed genes; FC = fold change; FPKM = 497
- fragments per kb per million fragments; FDR = false discovery rate. 498

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Figure 4: Heatmap and hierarchical clustering dendrogram of DEGs. (A) Heatmap of DEGs. Gene clusters were subjected to Gene Ontology analysis. Columns represent samples. The scale bar indicates log-transformed (common logarithm of the counts plus 1) gene expression values, with high expression depicted in red and low expression in green. (B) Hierarchical clustering dendrogram of six samples over three generation. Log-transformed data of DEGs were used for analysis. The distance method was set as 'euclidean' and the cluster method was set as 'complete'.

Figure 5: Heatmap of seven biomarkers. Gene clusters from hierarchical classification were subjected to Gene Ontology analysis. Columns represent samples. The scale bar indicates log-transformed gene expression values, with high expression depicted in red and low expression in green.



Table 1(on next page)

A two-way ANOVA of reproduction^a with diet treatment, generation, and their interaction as fixed factors, followed by LSD test.



- 1 **Table 1.** A two-way ANOVA of reproduction^a with diet treatment, generation, and their
- 2 interaction as fixed factors, followed by LSD test.

| source | SS | df | MS | F | <i>p</i> -value |
|-----------------------------|---------|-----|-------|-------|-----------------|
| diet treatment | 0.052 | 1 | 0.052 | 3.363 | 0.068 |
| generation | 0.227 | 2 | 0.114 | 7.414 | 0.001* |
| diet treatment × generation | 0.027 | 2 | 0.014 | 0.896 | 0.410 |
| error | 2.956 | 193 | 0.015 | | |
| total | 348.507 | 199 | | | |

- 3 a The number of juveniles produced per collembolan in the individual feeding test was calculated
- 4 as reproduction value.
- 5 * p < 0.05.



Table 2(on next page)

Summary of *F. candida* transcriptome sequencing and assembly for CT and BT sets over three generations.



- 1 **Table 2.** Summary of *F. candida* transcriptome sequencing and assembly for CT and BT sets
- 2 over three generations.

| atatiatia | | CT | | | BT | |
|----------------|------------|------------|------------|------------|------------|------------|
| statistic | 1st | 2nd | 3rd | 1st | 2nd | 3rd |
| raw reads | 55,491,201 | 52,314,588 | 51,127,722 | 55,080,974 | 49,522,385 | 53,156,777 |
| clean reads | 51,147,377 | 43,971,149 | 47,089,957 | 50,778,618 | 42,728,374 | 48,458,947 |
| Q20 (%) | 98.21 | 98.46 | 99.00 | 98.33 | 98.36 | 98.80 |
| GC content (%) | 40.63 | 42.93 | 42.96 | 41.97 | 43.63 | 43.84 |

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Table 3(on next page)

Summary of annotated unigenes and DEGs a of seven biomarkers of F. candida over three generations.



- **Table 3.** Summary of annotated unigenes and DEGs^a of seven biomarkers of *F. candida* over
- 2 three generations.

| biomarker | number of | nomo of unicono | 1st | | 2nd | | 3rd | |
|-----------|-----------|--------------------|--------|--------|--------|-------|--------|--------|
| Diomarker | unigenes | name of unigene | FC^b | FDR | FC | FDR | FC | FDR |
| CAT | 52 | CL2174.Contig5_All | 0.443 | 1.000 | 1.859 | 1.000 | 2.857 | 0.042* |
| GST | 191 | - | - | - | - | - | - | - |
| SOD | 42 | - | - | - | - | - | - | - |
| GR | 6 | - | - | - | - | - | - | - |
| | | Unigene7933_All | 1.664 | 0.042* | -2.469 | 1.000 | 0.225 | 1.000 |
| CES | 408 | CL5034.Contig2_All | -1.968 | 0.023* | 0.366 | 1.000 | -0.410 | 1.000 |
| | | CL6365.Contig1_All | 0.794 | 1.000 | -0.104 | 1.000 | 2.054 | 0.000* |
| MTC | 38 | - | - | - | - | - | - | - |
| HSP70 | 118 | Unigene15285_All | -5.226 | 0.043* | -2.191 | 1.000 | -2.341 | 1.000 |
| SUM | 855 | - | | | | | | |

⁴ *a* DEGs: $|FC| \ge 1$, FDR ≤ 0.05 .

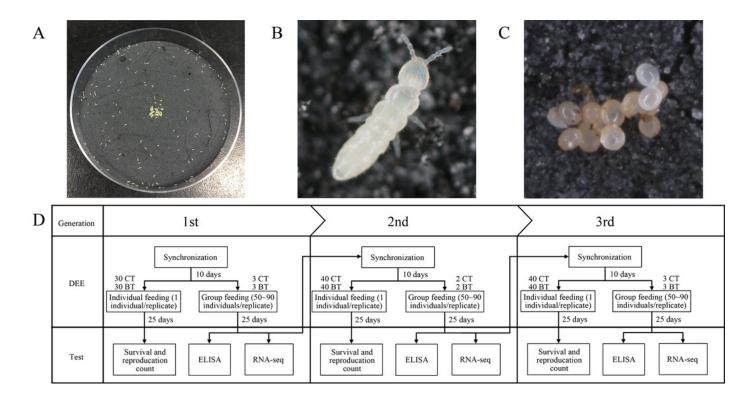
- 7 DEGs = differentially expressed genes; FC = fold change; FDR = false discovery rate; FPKM =
- 8 fragments per kb per million fragments; CAT = catalase; GST = glutathione S-transferase; SOD =
- 9 superoxide dismutase; GR = glutathione reductase; CES = carboxylesterase; MTC =
- metallothionein-like motif containing protein; HSP70 = heat shock protein 70.

⁵ b FC: log2 ratio of FPKM (BT vs. CT).

^{6 *} FDR \leq 0.05.

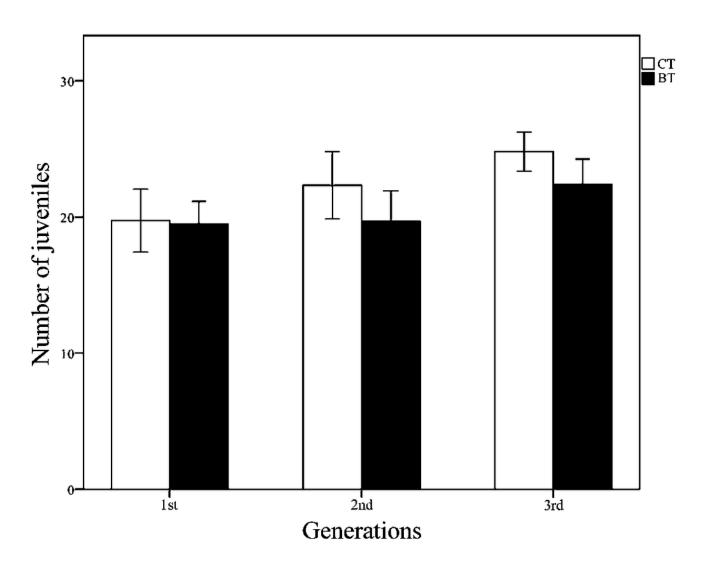
Culture of Folsomia candida and the flow chart of the experiment.

(A) *F. candida* reared in a Petri dish with baker's yeast as food. (B) A living individual of *F. candida*. (C) A cluster of *F. candida* eggs. (D) The flow chart of the dietary exposure experiment over three consecutive generations. The CT set of collembolans was fed yeast powder without Cry1F, and the BT set was fed yeast powder with Cry1F. In each generation, both CT and BT sets were fed and evaluated individually (with 30 or 40 replicates) or in groups of 60 to 80 individuals (with two or three replicates).



The reproduction (number of juveniles produced per individually fed collembolan) of three generations of *F. candida* as affected by addition of Cry1F to an artificial diet.

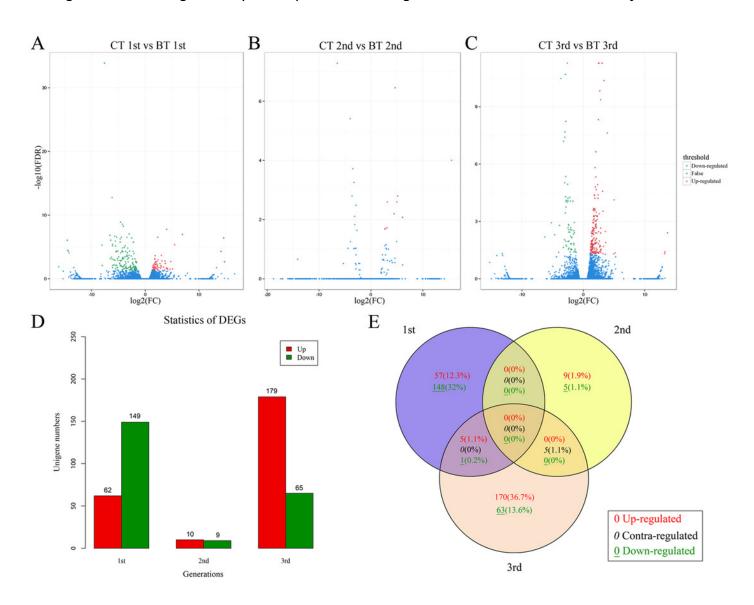
The collembolans were individually fed pure yeast powder (CT set) or yeast powder + Cry1F protein (BT set). Values are means \pm SE (n = 24-38). Across all generations, independent Student's t-test between CT and BT sets for each generation indicate no significant difference, with p = 0.912, 0.114, 0.071 for the 1st, 2nd and 3rd generations, respectively (significant difference p < 0.05).





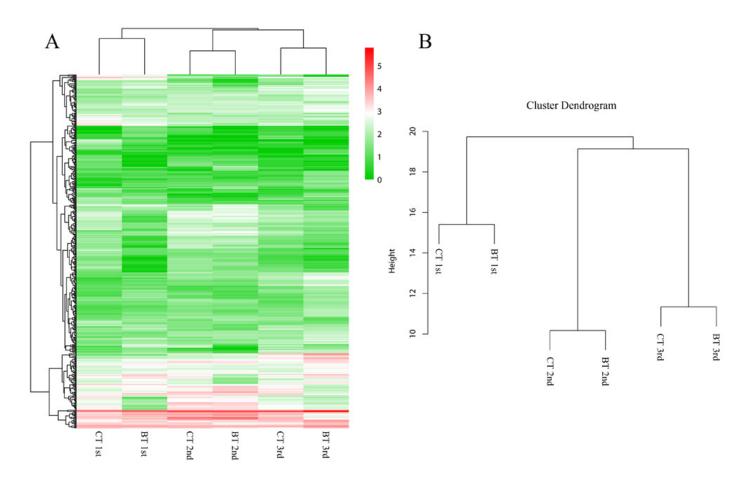
DEGs in F. candida fed diets with and without Cry1F over three generations.

(A-C) Volcano plots of DEGs for the three generations. DEGs: FC ($|log2\ ratio|$ of FPKM (BT vs. CT)) \geq 1, FDR \leq 0.05. (D) Numbers of up-regulated and down-regulated DEGs. (E) Venn diagrams of DEGs in three generations. DEGs = differentially expressed genes; FC = fold change; FPKM = fragments per kb per million fragments; FDR = false discovery rate.



Heatmap and hierarchical clustering dendrogram of DEGs.

(A) Heatmap of DEGs. Gene clusters were subjected to Gene Ontology analysis. Columns represent samples. The scale bar indicates log-transformed (common logarithm of the counts plus 1) gene expression values, with high expression depicted in red and low expression in green. (B) Hierarchical clustering d endrogram of six samples over three generation. Log-transformed data of DEGs were used for analysis. The distance method was set as 'euclidean' and the cluster method was set as 'complete'.





Heatmap of seven biomarkers.

Gene clusters from hierarchical classification were subjected to Gene Ontology analysis.

Columns represent samples. The scale bar indicates log-transformed gene expression values, with high expression depicted in red and low expression in green.

