

Optimizing *de novo* genome assembly from PCR-amplified metagenomes

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Background.

Metagenomics has transformed our understanding of microbial diversity across ecosystems, with recent advances enabling *de novo* assembly of genomes from metagenomes. These metagenome-assembled genomes are critical to provide ecological, evolutionary, and metabolic context for all the microbes and viruses yet to be cultivated. Metagenomes can now be generated from nanogram to subnanogram amounts of DNA. However, these libraries require several rounds of PCR amplification before sequencing, and recent data suggest these typically yield smaller and more fragmented assemblies than regular metagenomes.

Methods.

Here we evaluate *de novo* assembly methods of 169 PCR-amplified metagenomes, including 25 for which an unamplified counterpart is available, to optimize specific assembly approaches for PCR-amplified libraries. We first evaluated coverage bias by mapping reads from PCR-amplified metagenomes onto reference contigs obtained from unamplified metagenomes of the same samples. Then, we compared different assembly pipelines in terms of assembly size (number of bp in contigs ≥ 10 kb) and error rates to evaluate which are the best suited for PCR-amplified metagenomes.

Results.

Read mapping analyses revealed that the depth of coverage within individual genomes is

significantly more uneven in PCR-amplified datasets versus unamplified metagenomes, with regions of high depth of coverage enriched in short inserts. This enrichment scales with the number of PCR cycles performed, and is presumably due to preferential amplification of short inserts. Standard assembly pipelines are confounded by this type of coverage unevenness, so we evaluated other assembly options to mitigate these issues. We found that a pipeline combining read deduplication and an assembly algorithm originally designed to recover genomes from libraries generated after whole genome amplification (single-cell SPAdes) frequently improved assembly of contigs $\geq 10\text{kb}$ by 10 to 100-fold for low input metagenomes.

Conclusions.

PCR-amplified metagenomes have enabled scientists to explore communities traditionally challenging to describe, including some with extremely low biomass or from which DNA is particularly difficult to extract. Here we show that a modified assembly pipeline can lead to an improved de novo genome assembly from PCR-amplified datasets, and enables a better genome recovery from low input metagenomes.

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27 **Abstract**

28 **Background.**

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31 assembled genomes are critical to provide ecological, evolutionary, and metabolic context for all the
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34 before sequencing, and recent data suggest these typically yield smaller and more fragmented
35 assemblies than regular metagenomes.

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40 amplified libraries. We first evaluated coverage bias by mapping reads from PCR-amplified
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43 10kb) and error rates to evaluate which are the best suited for PCR-amplified metagenomes.

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48 high depth of coverage enriched in short inserts. This enrichment scales with the number of PCR cycles
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50 pipelines are confounded by this type of coverage unevenness, so we evaluated other assembly options
51 to mitigate these issues. We found that a strategy combining read deduplication and an assembly
52 algorithm originally designed to recover genomes from libraries generated after whole genome
53 amplification (single-cell SPAdes) frequently improved assembly of contigs \geq 10kb by 10 to 100-fold
54 for low input metagenomes.

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56 **Conclusions.**

57 PCR-amplified metagenomes have enabled scientists to explore communities traditionally
58 challenging to describe, including some with extremely low biomass or from which DNA is
59 particularly difficult to extract. Here we show that a modified assembly strategy can lead to an
60 improved *de novo* genome assembly from PCR-amplified datasets, and enables a better genome
61 recovery from low input metagenomes.

62 Introduction

63 Microbes and their associated viruses dominate all ecosystems on Earth and drive major
64 biogeochemical cycles [1,2]. The vast majority of this microbial and viral diversity has not yet been
65 cultivated [3,4], hence metagenomics, i.e. the sequencing of genomes directly from environmental
66 samples, has emerged as a key method to explore these communities [5,6]. Briefly, DNA is extracted
67 from an environmental sample, sometimes after selecting a subset of the community (e.g. the viruses),
68 and sequenced, typically as short sequencing “reads”. These reads are assembled into larger contigs,
69 interpreted as genome fragments, which provides the foundation to investigate functional, ecological,
70 and evolutionary patterns of the largely uncultivated microbial and viral diversity [7–16].

71 Problematically, as metagenomics is applied to a broader set of samples, some yield very little DNA
72 (e.g. a few nanograms), which poses a challenge for library construction [17]. Examples include low-
73 biomass environments like ice cores or clean rooms [18,19], tough-to-sample locations like
74 hydrothermal vents [11], and sampling procedures that target subsets of the community, e.g. virus
75 particles or labeled metabolically active microbes [20,21]. Sequencing libraries from these types of
76 samples require a DNA amplification step either before or after adapter ligation. In the former,
77 extracted DNA is subjected to whole genome amplification (WGA), typically as Multiple Displacement
78 Amplification (MDA)[22] or Sequence-Independent, Single-Primer Amplification (SISPA)[23]. The
79 resultant amplified product is then sufficient for a standard library preparation and sequencing.
80 However, strong amplification biases make these approaches unsuitable for quantitative estimations of
81 taxa or genes relative abundance [24,25]. Alternatively, tagmentation or adaptase protocols allow sub-
82 nanogram DNA inputs for adapter ligation, and then use PCR (typically ≥ 9 cycles) to amplify the
83 ligated DNA [17,26]. In contrast to whole genome amplification, these protocols yield metagenomes
84 (hereafter “PCR-amplified metagenomes”) for which read mapping enables a quantification of taxa
85 and/or genes, and are thus the methods of choice for low-input metagenomes. [17,25].

86 While the impact of PCR amplification, sequencing library choice, and sequencing platforms on
87 metagenome reads composition has been extensively studied (e.g. [17,25,27,28]), and specific
88 assemblers have been developed for unamplified and MDA-amplified metagenomes (e.g. [29,30]),
89 evaluation of *de novo* genome assembly from PCR-amplified metagenomes is needed. Here we
90 compared different approaches for *de novo* assembly of PCR-amplified metagenomes generated with
91 two library preparation kits commonly used on low input samples (Nextera XT and Accel-NGS 1S
92 Plus). We show that preferential amplification of short inserts can lead to uneven genome coverage and
93 sub-optimal assembly. We then highlight alternative sequence processing approaches that maximize *de*
94 *novo* genome assembly for PCR-amplified libraries, which will enable scientists to extract as much
95 information as possible from these datasets.

96

97 **Materials & Methods**

98 Origin of samples

99 Samples and libraries generated as part of 6 different projects were used in this study (Table S1).
100 Most of these samples yielded a low amount of DNA, mainly because they targeted a specific
101 community subset such as viruses, cyanobacteria, or metabolically active cells.

102 The data analyzed here included:

103 (i) A set of 20 samples from virus fractions along a natural permafrost thaw gradient (“Permafrost-
104 associated viruses” in Table S1). These were generated using a protocol optimized for recovery of soil
105 viruses [33] with minor amendments. Briefly, viruses were resuspended from triplicate soil samples
106 using a combination of chemical and physical dispersion, filtered through a 0.2 μm polyethersulfone
107 membrane filter, and viral DNA was extracted using DNeasy PowerSoil DNA extraction kit (Qiagen,
108 Hilden, Germany, product 12888).

109 (ii) A set of 14 samples from the viral fraction of Delaware Bay Estuary surface water (“Delaware
110 Bay viruses”). These surface water viral metagenomes were collected during different seasons from the
111 Delaware estuary and Chesapeake estuary using a Niskin bottle on board of the RV Hugh R Sharp.
112 Details of environmental conditions can be found at [http://dmoserv3.bco-dmo.org/jg/serv/BCO-DMO/](http://dmoserv3.bco-dmo.org/jg/serv/BCO-DMO/Coast_Bact_Growth/newACT_cruises_rs.html)
113 [Coast_Bact_Growth/newACT_cruises_rs.html](http://dmoserv3.bco-dmo.org/jg/dir/BCO-DMO/Coast_Bact_Growth/)0%7Bdir=dmoserv3.who.edu/jg/dir/BCO-DMO/
114 [Coast_Bact_Growth/](http://dmoserv3.bco-dmo.org/jg/info/BCO-DMO/Coast_Bact_Growth/new_ACT_cruises%7D),
115 [info=dmoserv3.bco-dmo.org/jg/info/BCO-DMO/Coast_Bact_Growth/](http://dmoserv3.bco-dmo.org/jg/info/BCO-DMO/Coast_Bact_Growth/new_ACT_cruises%7D)
116 [new_ACT_cruises%7D](http://dmoserv3.bco-dmo.org/jg/info/BCO-DMO/Coast_Bact_Growth/new_ACT_cruises%7D). Viral communities were concentrated from 0.2 μm filtrates following the
117 FeCl₃ flocculation method [34]. Briefly, 10 L of seawater was prefiltered through a 142 mm-diameter
118 glass fiber filter GA-55 (~0.6 μm -pore size, Cole-Parmer) and a 0.22 μm -pore-size Millipore
119 polycarbonate membrane filter (142mm, Millipore) to remove larger organisms and bacteria. One mL
120 of 10g/L FeCl₃ stock solution was added to the 10 L filtrate. After incubating with FeCl₃ for 1 hr, the
121 concentrated viral fraction was collected using a 0.8 μm -pore-size Millipore polycarbonate membrane
122 filter (Millipore). The concentrated viruses were resuspended using a resuspension buffer, dialyzed to
123 remove the resuspension buffer, and treated with DNase to remove free DNA. The viral DNA was
124 extracted using the phenol-chloroform-isoamyl alcohol method.

125 (iii) A set of 11 samples from the viral fraction of surface water at the San Pedro Ocean-time Series
126 site (33°33'N, 118°24'W), off the coast of Los Angeles (“SPOT viruses”). Surface water was collected
127 using a Niskin bottle rosette (5 m) or by bucket (0 m). Viral fraction (<0.22 μm) material was obtained
128 using a peristaltic pump to prefilter seawater through a 0.22 μm Sterivex filter cartridge (EMD
129 Millipore) then collection of 0.5 to 1 L of filtrate on a 25 mm 0.02 μm Whatman Anotop filter cartridge
130 (GE Life Sciences). DNA from the Anotop cartridge was extracted using the protocol “Extracting
131 nucleic acids from viruses on a filter” in ref. [35].

132 (iv) A set of 18 samples from North-American freshwater lakes (Lake Erie, Lake Michigan, and Lake
133 Superior) from which cyanobacteria were selectively sorted using fluorescence activated single-cell
134 sorting flow cytometry (“Freshwater cyanobacteria” in Table S1). For each sample, approximately
135 100,000 cells were sorted, and DNA was extracted using prepGEM (ZyGEM; Hamilton, New Zealand)
136 on the cells pellet after 1h centrifugation at 7,200g and subsequent removal of supernatant.

137 (v) A set of 34 samples from Lake Mendota surface water, for which mini-metagenomes were
138 generated by sorting individual gates using fluorescence activated single-cell sorting flow cytometry

138 (“Mendota communities”). Briefly, subsets of the total microbial cells were defined based on a
139 combination of fluorescence, forward scatter, and size scatter, to generate mini-metagenomes from
140 75,000 to 150,000 “similar” cells. DNA from these different cell pools was extracted using prepGEM
141 (ZyGEM; Hamilton, New Zealand) on the cells pellet after 1h centrifugation at 7,200g and subsequent
142 removal of supernatant..

143 (vi) A set of 20 samples from desert soil microbial communities, from which mini-metagenomes
144 were generated following incubation with a bio-orthogonal non-canonical amino acid (BONCAT, “Soil
145 BONCAT”, [21,36]). These samples were then sorted via fluorescence activated single-cell flow
146 cytometry to separate active from inactive microbial cells. DNA was extracted from 100,000 sorted
147 cells using prepGEM (ZyGEM; Hamilton, New Zealand) on the cells pellet after 1h centrifugation at
148 7200g and subsequent removal of supernatant.

149

150 Library construction and sequencing

151 Three library preparation methods were used here, including TruSeq DNA PCR-Free DNA Sample
152 Preparation Kit (Illumina, San Diego, CA, USA), Nextera XT DNA Sample Preparation Kit (Illumina,
153 San Diego, CA, USA), and Accel-NGS 1S Plus (Swift BioSciences, Ann Arbor, MI, USA). The only
154 samples which contained enough DNA to create a TruSeq DNA PCR-Free library were some samples
155 from the “Delaware Bay viruses” project, for which both Nextera XT and 1S Plus libraries were also
156 created (Table S1). For the two other virus projects (“Permafrost-associated viruses” and “SPOT
157 viruses”), both Nextera XT and 1S Plus libraries were created. Finally, Nextera XT libraries were
158 created for all other projects (“Freshwater cyanobacteria”, “Mendota communities”, “Soil BONCAT”,
159 Table S1). All libraries were prepared according to manufacturer’s instructions, and included as many
160 PCR cycles as necessary to obtain 200 pM of DNA for sequencing, with a maximum of 20 cycles for
161 viral metagenomes and 25 cycles for targeted microbial metagenomes. Finally, viral metagenomes were
162 sequenced on either Illumina HiSeq-2500 or Illumina HiSeq-2000, and targeted microbial
163 metagenomes with Illumina NextSeq HO, all with 2x151 reads (Table S1).

164

165 Reads contamination filtering and trimming

166 For all libraries, BBDuk adapter trimming (bbduk.sh <https://sourceforge.net/projects/bbmap/> v35.79,
167 parameters: ktrim=r, minlen=40, minlenfraction=0.6, mink=11, tbo, tpe, k=23, hdist=1, hdist2=1,
168 ftm=5) was used to remove known Illumina adapters. The reads were then processed using BBDuk
169 quality filtering and trimming (parameters: maq=8, maxns=1, minlen=40, minlenfraction=0.6, k=27,
170 hdist=1, trimq=12, qtrim=rl). At this stage reads ends were trimmed where quality values were less
171 than 12, and read pairs containing more than three 'N', or with quality scores (before trimming)
172 averaging less than 3 over the read, or length under 51bp after trimming, as well as reads matching
173 Illumina artifact, spike-ins or phiX were discarded. Remaining reads were mapped to a masked version
174 of human HG19 with BBMap (bbmap.sh v35.79, parameters: fast local minratio=0.84 maxindel=6
175 tipsearch=4 bw=18 bwr=0.18 usemodulo printunmappedcount idtag minhits=1), discarding all hits over
176 93% identity. Finally, for all Accel NGS 1S Plus libraries, the first 10 bases of forward and reverse
177 reads were discarded to avoid contamination by the low complexity adaptase tail, per manufacturer’s
178 instruction.

179

180 Comparison of different assembly pipelines

181 The different assembly pipelines tested here included combinations of two types of read correction,
182 two types of read selection or no read selection, and two types of assemblies (Table S3). The two
183 methods used for read correction were chosen to represent either a “strict” or “relaxed” read correction.
184 The “strict” correction used bfc (v. r181 [31]) to remove reads with unique kmers (parameters: “-1 -s
185 10g -k 21”), followed by seqtk (v. 1.2-r101-dirty <https://github.com/lh3/seqtk>) to remove reads for
186 which paired sequences was removed by bfc (parameters: “dropse”). The “relaxed” read correction
187 aimed at keeping as many reads as possible, and used tadpole.sh (v. 37.76 [https://jgi.doe.gov/data-and-](https://jgi.doe.gov/data-and-tools/bbtools/)
188 [tools/bbtools/](https://jgi.doe.gov/data-and-tools/bbtools/)) to correct sequencing errors by leveraging kmer frequency along each read (parameters
189 “mode=correct ecc=t prefilter=2”).

190 An additional read selection step was tested to check whether removing some of the reads associated
191 with regions of high coverage could help *de novo* genome assembly. The two approaches evaluated
192 here included read normalization with bbnorm.sh (v. 37.76 <https://jgi.doe.gov/data-and-tools/bbtools/>)
193 in which the kmer-based read depth is leveraged to identify high-depth reads and normalized these to a
194 defined depth (here 100x, parameters: “bits=32 min=2 target=100”), as well as a deduplication
195 approach with clumpify.sh (v37.76, <https://jgi.doe.gov/data-and-tools/bbtools/>), in which identical
196 reads are identified and only one copy retained (parameters: “dedupe subs=0 passes=2”). These
197 parameters identify reads as duplicated only if they are an exact match (i.e. no substitution allowed).
198 The ratio of duplicated reads was calculated by comparing the number of reads after deduplication to
199 the number of input reads for each library (Table S1).

200 Finally, two different modes of the SPAdes assembler (v. 3.11 [29,30]) were tested to assess whether
201 this could also influence assembly. Specifically, the two modes tested were metaSPAdes (option “--
202 meta”) and single-cellSPAdes (option “--sc”). In both cases, SPAdes was run with the error correction
203 step skipped (“--only-assembler”) and a fixed set of kmers (“-k 21,33,55,77,99,127”).

204 Assemblies were evaluated using a standard set of metrics computed with stats.sh from the bbtools
205 suite (<https://jgi.doe.gov/data-and-tools/bbtools/>) and a custom perl script. These included cumulative
206 size of all contigs, cumulative size of all contigs ≥ 10 kb, total number of contigs, minimal contig length
207 among contigs making up to 50% of assembly size (N50), minimal contig length among contigs
208 making up to 90% of assembly size (N90), and size of the largest contig (Table S3). Kolmogorov–
209 Smirnov test (from the R package stats [37]) and Cohen’s effect size (as implemented in the R package
210 effsize [38]) were used to compare distributions of cumulative size of all contigs ≥ 10 kb between
211 different pipelines.

212 Assembly errors were estimated for the 25 libraries for which an unamplified library was available
213 (Table S2) using QUAST [32]. All contigs ≥ 1 kb were included in this analysis, with contigs assembled
214 from the corresponding unamplified library with a standard metagenome assembly pipeline (“strict”
215 read correction, no read selection, and metaSPAdes assembly) used as a reference genome. QUAST
216 was run with the “--fast” option enabled, all other parameters left to default. QUAST provides counts
217 for three types of misassemblies: “relocation” in which two contiguous sections from a newly
218 assembled contig map to the same reference sequence but non-contiguously, “inversion” in which two
219 contiguous sections from a newly assembled contig map to the same reference sequence with one

220 fragment being reversed, and “translocation” in which two contiguous sections from a newly assembled
221 contig map to different contigs in the reference assembly. Because the assembly from unamplified
222 libraries are not true reference genomes, i.e. each contig is not an independent chromosome, we
223 ignored the misassemblies identified as “translocation”, as these could represent cases where both
224 assemblies are correct and produced distinct but overlapping contigs. Instead, the estimated rate of
225 misassemblies was calculated for each assembly as the sum of the number of “relocations” and
226 “inversions” provided by QUASt, divided by the total length of all contigs ≥ 1 kb.

227

228 Coverage bias analysis

229 Quality-checked reads were mapped to reference assemblies to estimate contigs coverage and assess
230 potential coverage biases along these contigs. For libraries for which an unamplified metagenome was
231 available (i.e. the 11 samples from the “Delaware Bay viruses” project, Table S2), contigs from a
232 standard metagenome assembly of the unamplified library were used as reference. For every other
233 PCR-amplified library, contigs obtained through the “best” assembly pipeline, i.e. relaxed read
234 correction with `tadpole.sh` (<https://jgi.doe.gov/data-and-tools/bbtools/>), read deduplication with
235 `clumpify.sh` (<https://jgi.doe.gov/data-and-tools/bbtools/>), and assembly with SPAdes single-cell (error
236 correction turned off, k-mers of 21, 33, 55, 77, 99, 127 [30]) were used as reference. The mapping was
237 computed using BBMap (`bbmap.sh` <https://jgi.doe.gov/data-and-tools/bbtools/>) with random
238 assignment of ambiguously mapped reads (parameters: “`mappedonly=t interleaved=t`
239 `ambiguous=random`”).

240 For contig coverage comparison to unamplified libraries (Fig. S1A), individual contig coverage was
241 normalized by the library size (i.e. total number of bp in library). For estimation of coverage bias
242 associated with high and low depth of coverage regions along individual contigs, bam files were parsed
243 using a custom perl script to (i) identify unique mapping events, i.e. combinations of unique mapping
244 start coordinate and insert size, and (ii) calculate for each unique mapping the number of different reads
245 providing this exact mapping, the corresponding GC% of the insert, and the size of the insert. This was
246 performed on all contigs ≥ 10 kb if these totaled ≥ 50 kb, or on all contigs ≥ 2 kb otherwise. For 3
247 libraries (BYXNC, BYXNG, and COHNO), no contigs ≥ 2 kb were generated, and the coverage bias
248 was thus not estimated (Table S1).

249 To quantify the insert size bias, high and low depth regions were first defined for each contig as
250 follows: inserts with a read depth $\geq 70\%$ the maximum read depth of the contig were considered as
251 high depth, while inserts with a read depth $\leq 30\%$ of the contig maximum read depth were considered
252 as low depth. For each library, the distribution of insert size for each of these two types of inserts was
253 gathered, and these were compared using the non-parametric Kolmogorov–Smirnov test (from the R
254 package `stats` [37]). Cohen’s effect size (as implemented in the R package `effsize` [38]) was also used to
255 assess the magnitude of the difference between the means of the two distributions.

256 All graphical representations were generated with R [37] using the following packages: `ggplot2` [39],
257 `dplyr` [40], and `RColorBrewer` [41].

258

259 Data availability

260 Reads for the different metagenomes are available on <https://genome.jgi.doe.gov/portal/>, using the
261 links listed in Table S1. Results from the different assembly pipelines are available for each library at
262 <http://portal.nerdc.gov/dna/microbial/prokpubs/BenchmarksPCRMetagenomes/>.

263

264 **Results & Discussion**

265 Coverage biases and assembly pipelines were evaluated across 169 PCR-amplified metagenomes
266 (Table S1). These included 87 viromes, i.e. virus-particle-enriched metagenomes, and 82 targeted
267 microbial metagenomes, i.e. generated after flow cytometry cell sorting and representing only a small
268 subset of the microbial community. Paired PCR-amplified metagenomes generated with the two
269 common library preparation kits (Nextera XT and 1S Plus) were available and could be directly
270 compared for 42 samples (Table S1). In addition, unamplified (TruSeq) libraries were available for 11
271 samples and used as a reference “standard metagenome” for these samples (Table S2).

272

273 **Insert length bias of PCR amplification leads to uneven coverage along genomes**

274 Contrary to protocols including an amplification of the DNA pool prior to library construction (e.g.
275 MDA, SISPA), the read composition of a PCR-amplified metagenome should accurately reflect the
276 original community composition. This has been previously demonstrated [17], and could be verified
277 here by observing the coverage of reference contigs (obtained from unamplified metagenomes) in
278 PCR-amplified metagenomes. Overall, nearly all contigs assembled from unamplified metagenomes
279 were detected in PCR-amplified datasets (>90% of contigs with $\geq 5x$ average coverage depth, Table
280 S2), and there was a strong correlation between unamplified and PCR-amplified coverage for shared
281 contigs (average Pearson correlation $r^2=0.77$ for Nextera XT and 1S Plus library methods, Table S2,
282 Fig. S1).

283 In contrast, PCR-amplified metagenomes displayed a relatively high percentage of duplicated reads
284 compared to unamplified datasets (~ 25-85%, Fig. S1B), which contribute to an uneven depth of
285 coverage along individual contigs (Fig. 1A). This unevenness can be measured through the coefficient
286 of variation of coverage depth (standard deviation divided by average coverage, for each contig) which
287 was relatively low for unamplified metagenomes (34% on average) but higher in all PCR-amplified
288 libraries (58% average, 20-357% range, Table S1). Regions with high depth of coverage were not
289 linked to any systematic GC bias but were enriched for short inserts (Fig. S2). As for the ratio of
290 duplicated reads, the difference in insert size between high and low depth regions tended to increase
291 with the number of PCR cycles performed (Fig. 1B). This suggests that some of the uneven coverage
292 along genomes is due to over-amplification of short inserts, which make up a larger proportion of the
293 read pool with each additional PCR cycle.

294

295 ***De novo* genome assembly can be improved using tailored read curation and assembly pipeline**

296 Uneven coverage can hamper assembly because standard metagenome assembly pipelines expect a
297 uniform coverage along each genome, and leverage this signal to solve repeats and ambiguities [29].
298 We thus looked at three data processing steps that could be customized for PCR-amplified libraries.
299 First, standard metagenome assemblies typically use a strict read correction and remove reads with low
300 depth which are potentially erroneous [31]. Even if these low-depth reads are correct, they represent

301 low abundance sequences that would likely not assemble well anyway, and removing them reduces the
302 time and resources (CPU and memory) required for the assembly. In the case of PCR-amplified
303 libraries however, these low-depth reads might be important to retain, in order to correctly assemble
304 even high-depth contigs (Fig. 1A). Second, read selection tools have been developed to either remove
305 duplicated reads, or computationally normalize libraries, i.e. cap at a defined maximum depth. These
306 tools have been primarily designed for MDA datasets, the majority of which deriving from single cell
307 amplification, however these could be helpful as well for PCR-amplified metagenomes. Finally, some
308 assemblers offer customized options for metagenomes and for single-cell (MDA) libraries, and we
309 tested whether single-cell options might perform better on these PCR-amplified metagenomes.

310 Over the 12 combinations tested, a pipeline including “relaxed” read correction, read deduplication,
311 and single-cell assembly parameters provided the largest assemblies, although the level of improvement
312 varied (Fig. 2A, Table S3). While the cumulative length of contigs $\geq 1\text{kb}$ only moderately increased
313 compared to a standard assembly (median: 1.17x, Fig. S3A), the cumulative length of contigs $\geq 10\text{kb}$
314 showed a much larger improvement (median: 3.6x, range: 0.95–3,806x, ks-test p-value: 1e-07, cohen’s
315 effect size: 0.66, Fig. S3B). Since large contigs tend to be more relevant for downstream applications,
316 such as genome binning and annotation, systemically applying this alternative assembly strategy on
317 PCR-amplified metagenomes maximizes the information recovered from these datasets. Overall, when
318 considering contigs $\geq 10\text{kb}$, the alternative strategy provided the largest assembly for 130 samples, and
319 was within 80% of the largest assembly for another 17 samples (Fig. S3C), suggesting it would be a
320 suitable default choice for any PCR-amplified metagenome.

321 The level of assembly improvement observed was in part linked to the number of PCR cycles
322 performed for each metagenome (Fig. 2B, Table S3). Specifically, samples that required 9 to 12 PCR
323 cycles typically assembled well with the standard metagenome pipeline, with 8Mb in contigs $\geq 10\text{kb}$ on
324 average, which was improved with the alternative assembly to an average of 26Mb (cohen’s effect size:
325 0.68). Samples that required 14 to 18 PCR cycles were improved further as standard assemblies yielded
326 an average of 2Mb in contigs $\geq 10\text{kb}$ per metagenome as compared to 15Mb from alternative
327 assemblies (cohen’s effect size: 0.9). Lastly, the assembly of samples that required 20 to 25 PCR cycles
328 remained limited with either approach, though still slightly improved from 562kb to 2Mb in contigs \geq
329 10kb for the standard versus alternative approaches (cohen’s effect size: 0.68).

330 Finally, we analyzed the samples for which both unamplified and PCR-amplified metagenomes were
331 available to evaluate the error rate in assemblies obtained from the alternative strategy (Table S1).
332 Specifically, we used QUASt [32] to identify “relocation”, i.e. cases in which contiguous regions of a
333 newly assembled contig are non-contiguous in the reference assembly, and “inversion”, i.e. cases in
334 which the orientation of contiguous regions differs between the new assembly and reference contigs.
335 This suggested that the alternative assembly strategy generated more erroneous contigs than a standard
336 assembly pipeline (cohen’s effect size: 0.7, Fig. 2C, Fig. S3D). For these metagenomes, the amount of
337 additional errors (median: 2x) remains much lower than the additional number and size of long contigs
338 (median: 24x, Table S3), so the alternative assembly strategy still seems relevant for most applications,
339 yet this higher error rate must be considered when analyzing these datasets.

340

341 **Conclusions**

342 The ability to prepare and sequence libraries from samples containing nanograms or less of DNA has
343 been a tremendous advance for the fields of metagenomics and microbial ecology, and many biological
344 insights have already been derived from these data. Here we highlight how a PCR amplification bias
345 for shorter inserts can hamper standard *de novo* genome assembly for viral and microbial low-input
346 metagenomes, and propose an alternative assembly strategy able to reduce its impact. This will aid
347 scientists in maximizing genomic context from low input metagenomes, and should help improve
348 understanding of challenging ecosystems and targeted subsets of microbial and viral communities.

349

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352 providing samples and accompanying data used in this work.

353

354 **Figure & Supplementary Material legends**

355 **Figure 1. Coverage bias within individual contigs for unamplified and PCR-amplified libraries.**

356 A. Example of coverage bias along a single contig from sample 1064195 (contig
357 1064195_contig_573). Reads from libraries ASXXB, BWNCO, and BWWYG (Table S2) were mapped
358 to the same contig, and read depth along sliding windows of 100bp is displayed for each library on the
359 y-axis. Windows on the edges of the contig (within 200bp of the 5' or 3' end) were excluded as read
360 depth is not as reliable in these end regions. B. Illustration of the insert size bias associated with high
361 depth of coverage regions in PCR-amplified libraries. For each library, the number of PCR cycles
362 performed for the library is indicated on the x-axis, while the Kolmogorov–Smirnov distance between
363 the insert size distribution of low- versus high-depth regions is indicated on the y-axis. The magnitude
364 of the difference between the means of the two distributions was also estimated using Cohen's effect
365 size (d) and is indicated by the dot color. For clarity, only libraries for which the mean insert size was
366 lower in high depth regions are included in the plot, and the 22 libraries which showed the opposite
367 trend are not plotted (Table S1). KS: Kolmogorov–Smirnov

368

369 **Figure 2. Optimized pipeline for assembly of PCR-amplified metagenomes.** A. Distribution of the

370 cumulative size of long (≥ 10 kb) contigs (y-axis) obtained across all PCR-amplified libraries from
371 different assembly pipelines (x-axis). Assembly pipelines are indicated along the x-axis (see Table S3).

372 B. Cumulative size of long (≥ 10 kb) contigs obtained with a standard (green) or optimized (purple)
373 assembly pipeline for different ranges of library PCR amplifications (x-axis). Coloring of the assembly

374 pipelines is identical as in panel A. C. Estimated error rate (y-axis) from different assembly pipelines
375 (x-axis) across all PCR-amplified libraries. These assembly errors were estimated for the 25 libraries

376 for which an unamplified reference assembly was available (Table S2). Coloring of the assembly
377 pipelines is identical as in panels A and B. Dedup.: Deduplication, Meta: metaSPAdes, SC: single-cell

378 SPAdes.

379

380 **Table S1. Description of samples and libraries analyzed.** The first tab lists information about
381 individual samples including the list of all libraries generated for each sample, and the second tab
382 includes information about each library.

383

384 **Table S2. Samples including both unamplified and PCR-amplified libraries.** List of the 25 PCR-
385 amplified for which an unamplified dataset was available, alongside specific metrics that could be
386 calculated using the unamplified dataset as reference, i.e. correlation of average depth of coverage of
387 contigs, and percentage of contigs from the unamplified assembly detected in the PCR-amplified
388 library. A contig was considered as detected if ≥ 1 read(s) from the PCR-amplified library mapped to it.

389

390 **Table S3. Results from the different assembly pipelines tested.** The first tab lists the different steps
391 and tools tested. The second tab includes the results of *de novo* genome assembly with the different
392 pipelines for each PCR-amplified library. For the 25 PCR-amplified libraries for which an unamplified
393 reference was available, this second tab also includes estimates of assembly errors for each assembly
394 pipeline obtained with QUAST.

395

396 **Figure S1. PCR-amplified metagenomes are quantitative but include a significant amount of**
397 **duplicated reads.** A. Comparison of depth of coverage between unamplified (TruSeq, x-axis) and
398 PCR-amplified (Nextera XT or Accel-NGS 1S Plus, y-axis) libraries. The average depth of coverage
399 was computed for each contig as the average read depth normalized by the total size of the library. The
400 1:1 equivalence is indicated with a black line, while a linear best fit is shown in blue. For clarity, only
401 1,000 contigs randomly selected from each sample are plotted. Contigs with no reads mapped in the
402 PCR-amplified library were not included. To be able to directly compare the two plots, only samples
403 for which both a Nextera XT and 1S Plus libraries were available are included (Table S1). The
404 subpanels show the correlation coefficient (Pearson and Spearman) of a sample-by-sample correlation
405 between depth of coverage in unamplified and PCR-amplified libraries, either for all contigs or only for
406 contigs ≥ 10 kb with a depth of coverage ≥ 10 x. B. Percentage of duplicated reads (y-axis) as a function
407 of the number of PCR cycles performed during library creation (x-axis). Underlying data are available
408 in Table S1.

409

410 **Figure S2. Insert size and GC content distribution for all vs high-depth regions.** A & B.
411 Distribution of insert size for all regions (green) or only regions with high depth of coverage (orange)
412 across PCR-amplified libraries. In panel A, all insert sizes were centered around 500bp to enable a
413 more direct comparison between libraries. Panel B shows the same data without this transformation
414 (i.e. raw insert size). C & D. Distribution of GC % for all regions (green) or only regions with high
415 depth of coverage (orange). For panel C, each library GC% was centered around 50%, while panel D
416 shows the same data without this transformation.

417

418 **Figure S3. Assembly size and estimated error rates for different assembly pipelines.** Comparison
419 of the output of different assembly pipelines applied to PCR-amplified libraries. Panels A & B show the
420 cumulative length of all contigs (A) or contigs ≥ 10 kb (B) across assembly pipelines (x-axis). Panel C

421 displays the cumulative length of contigs $\geq 10\text{kb}$ relative to the largest value for each library, i.e. as a
422 percentage of the “best” assembly for this library (“best” being defined as the largest cumulative length
423 of contigs $\geq 10\text{kb}$). Panel D displays the distribution of estimated error rates across the different
424 assembly pipelines, for the 25 libraries for which error rates could be estimated (Table S2 & S3).
425 Norm.: Normalization, Dedup.: Deduplication, Meta: metaSPAdes, SC: single-cell SPAdes.

426

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Figure 1

Coverage bias within individual contigs for unamplified and PCR-amplified libraries.

A. Example of coverage bias along a single contig from sample 1064195 (contig 1064195_contig_573). Reads from libraries ASXXB, BWNCO, and BWWYG (Table S2) were mapped to the same contig, and read depth along sliding windows of 100bp is displayed for each library on the y-axis. Windows on the edges of the contig (within 200bp of the 5' or 3' end) were excluded as read depth is not as reliable in these end regions. B. Illustration of the insert size bias associated with high depth of coverage regions in PCR-amplified libraries. For each library, the number of PCR cycles performed for the library is indicated on the x-axis, while the Kolmogorov–Smirnov distance between the insert size distribution of low-versus high-depth regions is indicated on the y-axis. The magnitude of the difference between the means of the two distributions was also estimated using Cohen’s effect size (d) and is indicated by the dot color. For clarity, only libraries for which the mean insert size was lower in high depth regions are included in the plot, and the 22 libraries which showed the opposite trend are not plotted (Table S1). KS: Kolmogorov–Smirnov

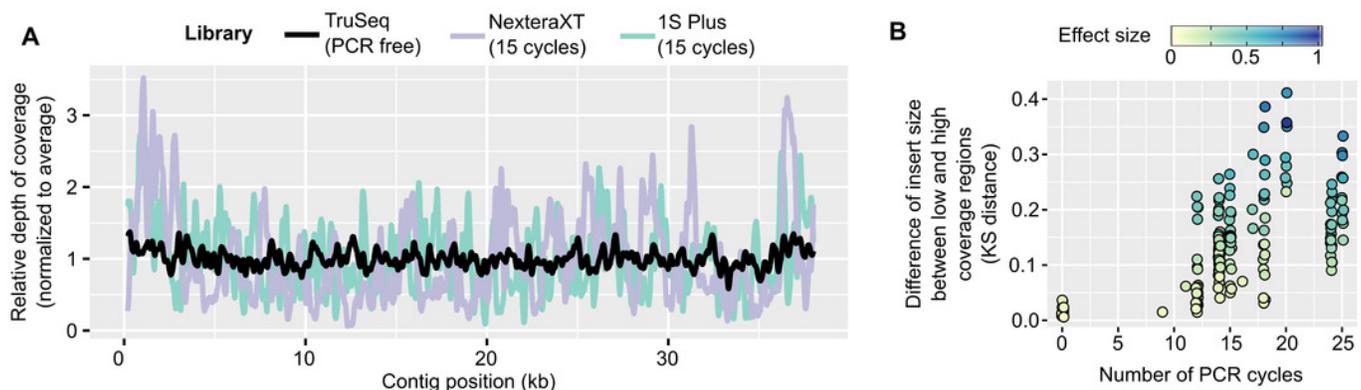


Figure 2

Optimized pipeline for assembly of PCR-amplified metagenomes.

A. Distribution of the cumulative size of long (≥ 10 kb) contigs (y-axis) obtained across all PCR-amplified libraries from different assembly pipelines (x-axis). Assembly pipelines are indicated along the x-axis (see Table S3). B. Cumulative size of long (≥ 10 kb) contigs obtained with a standard (green) or optimized (purple) assembly pipeline for different ranges of library PCR amplifications (x-axis). Coloring of the assembly pipelines is identical as in panel A. C. Estimated error rate (y-axis) from different assembly pipelines (x-axis) across all PCR-amplified libraries. These assembly errors were estimated for the 25 libraries for which an unamplified reference assembly was available (Table S2). Coloring of the assembly pipelines is identical as in panels A and B. Dedup.: Deduplication, Meta: metaSPAdes, SC: single-cell SPAdes.

