Age, growth, mortality, and recruitment of thin sharpbelly *Toxabramis swinhonis* Günther, 1873 in three shallow lakes along the middle and lower reaches of the Yangtze River basin, China

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Despite being the most dominant and widespread small fish species in the lakes along the middle and lower reaches of the Yangtze River basin, *Toxabramis swinhonis* has been paid little attention by fisheries scientists and little is known about its population characteristics. For this reason, we estimated age, growth, mortality and recruitment of this species based on three shallow lakes, Biandantang Lake, Shengjin Lake and Kuilei Lake (BDT, SJH, and KLH, respectively) in this region. A total of 13585 (8818 in BDT, 2207 in SJH and 2560 in KLH) individuals were collected during monthly sampling from July 2016 to September 2017. The results revealed that the age structures of *T. swinhonis* consisted of four age groups (0⁺-3⁺), with 0⁺-1⁺ year old fish comprising more than 98% of the samples. Allometric growth patterns were displayed by fish from all sampling sites and the von Bertalanffy growth functions (VBGM) estimated were $L_t = 173.25 \left(1 - e^{-1.20(t+1.09)}\right)$: BDT; $L_t = 162.75 \left(1 - e^{-1.20(t+1.08)}\right)$: SJH; and $L_t = 215.25 \left(1 - e^{-1.20(t+1.12)}\right)$: KLH, respectively. The rates of total mortality ($Z$), natural mortality ($M$) and fishing mortality ($F$) at BDT, SJH and KLH were computed as 5.82, 1.89 and 3.93 year⁻¹; 5.50, 1.87 and 3.63 year⁻¹; and 4.55, 1.75 and 2.80 year⁻¹, respectively. Meanwhile, growth performance indices ($\gamma$) were 0.68 (in BDT), 0.66 (in SJH) and 0.62 (in KLH), which indicated that *T. swinhonis* were overfished slightly in all study areas. Area-specific recruitment patterns were similar to each other, displaying evidence of batch spawning, with major peaks in April and August, accounting for 92.21% (BDT), 88.21% (SJH) and 88.73% (KLH) of total recruitment, respectively. These results showed that brief generation-time, fast growth rate, relatively high natural mortality rate and strong reproductive capacity ($r$-strategies) are reasons why this species
became the most dominant species in many lakes of China.
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ABSTRACT

Despite being the most dominant and widespread small fish species in the lakes along the middle and lower reaches of the Yangtze River basin, *Toxabramis swinhonis* has been paid little attention by fisheries scientists and little is known about its population characteristics. For this reason, we estimated age, growth, mortality and recruitment of this species based on three shallow lakes, Biandantang Lake, Shengjin Lake and Kuilei Lake (BDT, SJH, and KLH, respectively) in this region. A total of 13585 (8818 in BDT, 2207 in SJH and 2560 in KLH) individuals were collected during monthly sampling from July 2016 to September 2017. The results revealed that the age structures of *T. swinhonis* consisted of four age groups (0+–3+), with 0+-1+ year old fish comprising more than 98% of the samples. Allometric growth patterns were displayed by fish from all sampling sites and the von Bertalanffy growth functions (VBGM) estimated were $L_t = 173.25 \left(1 - e^{-1.20(t+1.09)}\right)$: BDT; $L_t = 162.75 \left(1 - e^{-1.20(t+1.08)}\right)$: SJH; and $L_t = 215.25 \left(1 - e^{-1.20(t+1.12)}\right)$: KLH, respectively. The rates of total mortality ($Z$), natural mortality ($M$) and fishing mortality ($F$) at BDT, SJH and KLH were computed as 5.82, 5.50 and 4.55 year$^{-1}$; 1.89, 1.87 and 1.75 year$^{-1}$; 3.93, 3.63 and 2.80 year$^{-1}$, respectively. Meanwhile, growth performance indices ($\phi$) were 0.68 (in BDT), 0.66 (in SJH) and 0.62 (in KLH), which indicated that *T. swinhonis* were overfished slightly in all study areas. Area-specific recruitment patterns were similar to each other, displaying evidence of batch spawning, with major peaks in April and August, accounting for 92.21% (BDT), 88.21% (SJH) and 88.73% (KLH) of total recruitment, respectively. These results showed that brief generation-time, fast growth rate, relatively high natural mortality rate and strong reproductive capacity ($r$-strategies) are reasons why this species
became the most dominant species in many lakes of China.

INTRODUCTION

Many lakes (about 4000) distributed along the middle and lower reaches of the Yangtze River basin (China) account for approximately 1/3 of the total area of lakes in China (Liu & He, 1992; Li, 2004). These lakes are typically shallow (no thermal stratification) with numerous submerged aquatic macrophytes and generally have higher fisheries productivity (Li et al., 2010; Ye et al., 2014). However, due to intensive removal of piscivores and overfishing by commercial fisheries in the past decades, short-lived small fish such as Hyporhamphus intermedius (Cantor, 1842), Hemiculter leucisculus (Basilewsky, 1855) Coilia ectenes taihuensis (Yen et Lin, 1976), Cultrichthys erythropterus (Basilewsky, 1855), Pseudobrama simoni (Bleeker, 1864) and Toxabramis swinhonis Günther, 1873 gradually become dominant species in these lakes and account for about 2/3 of total species (40-70 species in one lake) (Li et al., 1988; Cao et al., 1991; Liu et al., 2005; Mao et al., 2011; Ye et al., 2014). T. swinhonis was the most dominant of these species, accounting for more than 85% of total individuals caught in some lakes (Ge et al., 2009) and showing an increase trend in abundance (Li et al., 2018), which elevated our concerns on the sustainability of fisheries resources in these water bodies.

Besides, despite not a fishery target, T. Swinhonis is still very valuable in terms of ecology and fisheries management as it is one of the main bycatch species in China’s freshwater fishery, an important potential food resource for the carnivorous aquatic organisms (Dai et al., 2015; Ye et al., 2014), and plays a key role in the energy flows of their living hydro-ecosystems,
linking zooplankton (as *T. swinhonis* mainly feeds upon copepods, cladocerans and insect larvae) to higher trophic levels (*Zhang, 2005*). Meanwhile, many fisheries scientists pointed out that there existed a close relationship between population expansion and particular features of biology and ecology (*Peter, 1980; Wang et al., 2013; Guo et al., 2016*). Thus, it is the time that much more attentions should be paid on the biology and ecology of this outbreak species. Published information on the biology and ecology of *T. swinhonis* is scarce. *Li et al. (1988)* defined this species as a small coarse fish and speculated on population control methods based on two reservoirs in northern China. *Xie et al. (2001a and 2001b)* investigated the relationship between diet and morphology of this species and also examined the association of submerged macrophytes in Liangzi Lake, China to density and biomass of this species. *Ye et al. (2014)* studied the growth and mortality of this species using length-based approaches in Niushan Lake, China.

In order to better understand the biology and ecology of this species and explain why it has become the most dominant fish species in many freshwater lakes of China, we conducted the present study to: (a) provide novel and comprehensive population characteristics of *T. swinhonis*, especially age structure (first report in the study regions), (b) identify demographic characteristics consistent with rapid population expansion, and (c) provide potential strategies for fishery management on *T. swinhonis*.

**MATERIALS AND METHODS**

**Study sites**
The present study was performed in three shallow lakes (no thermal stratification), Biandantang Lake (BDT) (114°43′E, 30°15′N), Shengjin Lake (SJH) (117°03′E, 30°23′N) and Kuilei Lake (KLH) (120°51′E, 31°24′N), along the middle and lower reaches of the Yangtze River basin, China. These lakes are scattered longitudinally in this region. BDT, SJH and KLH are upstream, midstream and downstream, respectively (Fig. 1).

Data collection and fish sampling

Toxabramis swinhonis were collected monthly from July 2016 to September 2017 using multi-mesh gillnets (1.5 × 30 m) (Appelberg et al., 1995). In order to obtain sufficient samples, sampling time in each lake was at least three days monthly. In addition, larval and juvenile T. swinhonis were caught by fishermen using electrofishing gear to ensure a representative and unbiased assessment of their biological characteristics. Total lengths (L) were measured to nearest 0.01 mm with a vernier calliper and body weights (W) were recorded to the nearest 0.01 g with a precision balance in the field. Sex was determined macroscopically. Scales were removed randomly from the middle portion of the lateral body of 30 individuals per month from each site and stored in small envelopes labelled with sampling site and morphometric data (Narejo et al., 2009; Zhang & Li, 2002). Lake surface temperatures (LST) were recorded every four hours throughout the study period using HOBO Temp/Light data loggers (start time 8 am) (Da, 2015; Dalton et al., 2016). All the procedures described here were approved by the ethics committee of the Institute of Hydrobiology, Chinese Academy of Sciences (Y216011101).
Length distribution and length-weight relationship

Kolmogorov-Smirnov tests (K-S tests) were used to evaluate the similarity of length-frequency distribution between different sampling sites and normality of data. Differences in mean L of *T. swinhonis* among sites were evaluated using Kruskal-Wallis test with Tukey’s post-hoc when the error or data wasn’t a normal distribution. The relationship between length and weight was calculated for each site using power regression equation:

\[ W = aL^b\varepsilon, \varepsilon \sim N (0, \sigma^2), \]

where \( a \) is the intercept of the regression or shape coefficient and \( b \) is the allometric or slope parameter (*Ricker, 1975*). The optimal regression parameters were gained by minimizing the residuals errors using the ordinary least square (OLS) method (*O’Brien, 2012*). Student’s *t*-test was utilized to test whether the slope of regression was significantly different from 3, indicating the growth pattern of fish: isometric \( (b = 3, \text{no change of density and shape as one fish grows}) \), positive allometric \( (b > 3, \text{the fish becomes relatively stouter or deeper-bodies as it becomes longer}) \) or negative allometric \( (b < 3, \text{fish becomes slimmer as it grows}) \) (*Ye et al., 2007*). Generally, the allometric coefficient \( (b) \) is within the range from 2 to 4 for most fish species (*Koutrakis & Tsikliras, 2003*), and thus this thumb rule can be used to corroborate the validation of the length and weight relationships of *T. swinhonis* in our study. All statistical analyses were performed using R software version 3.3.2 (R Core Team, 2017) with significance level \( (\alpha) \) equal to 0.05.

Growth
Growth of a fish can be described as an increase in either length or weight. Several mathematical equations have been created to model fish growth. One of these, the von Bertalanffy growth model (VBGM) is widely used to model growth of fishes. Thus, we used the VBGM to describe the growth of *T. swinhonis* in the current study. The typical VBGM is represented by (Von Bertalanffy, 1938):

\[ L_t = L_\infty (1 - e^{-K(t-t_0)}) \]

where \( L_t \) is the expected or average L at time (or age) \( t \), \( L_\infty \) is the mean asymptotic L, \( K \) is the rate at which \( L_\infty \) is approached, and \( t_0 \) is the hypothetical age at which fish L equals zero. While VBGM parameters can be estimated by length (weight)-age approach, the length-based method is most extensively adopted, especially in the tropical and subtropical regions (Sparre & Venema, 1998). In this study, length-frequency datasets with a constant class size (5 mm) were used to obtain the optimal growth parameters (corresponding to the maximum of the goodness of fit index, \( R_n \)) using the FiSAT II software (Gayanilo et al., 2005) and the module of ELEFAN I (Pauly, 1986). The theoretical age (\( t_0 \)) was determined by the empirical equation of Pauly (1983):

\[ \log_{10}(-t_0) = -0.392 - 0.275 \log_{10}(L_\infty) - 1.038 \log_{10}(K). \]

In order to compare the growth performance of different geographical populations, the growth performance index (GPI) \( \phi' \) (phi-prime) was calculated using the following formula (Pauly & Munro, 1984):

\[ \phi' = \log_{10}(K) + 2 \log_{10}(L_\infty). \]

Additionally, in order to verify the accuracy of length-based analysis, the potential or expected longevity (\( t_{\max} \)) of *T. swinhonis* was calculated based on Pauly’s (1983) empirical equation:
\[ t_{\text{max}} = \frac{3}{K}, \]

where \( t_{\text{max}} \) is the approximate maximum age of *T. swinhonis* at each study site, and \( K \) is the growth constant in von Bertalanffy growth function.

### Age estimate

Scales of *T. swinhonis* were immersed in 10% NaOH solution for three hours, cleaned with running water and examined under a dissecting microscope to determine age (Steinmetz & Müller, 1991). Four to six scales were examined from each fish, and age was eventually defined as the most frequently occurring age from the scales.

### Mortality coefficients and fisheries status

To obtain the total mortality rates (\( Z \)), the length-converted catch curves were applied to the pooled length frequency datasets using the estimated growth parameters. This process was done using the FiSAT II package and mortality menu (Gayanilo et al., 2005).

The natural mortality rates (\( M \)) were obtained from Pauly’s (1980) empirical equation:

\[
\log_{10} M = -0.0066 - 0.279 \log_{10} L_{\infty} + 0.6543 \log_{10} K + 0.4634 \log_{10} T,
\]

where \( T \) is the average annual LST (°C) (in the present study, along the direction of increase of longitude, \( T = 17.5, 16.6 \) and \( 17.1 \) °C, respectively), a proxy for the habitat temperature (Pauly, 1980). The validity of estimates of \( M \) can be judged by the \( M/K \) ratio as this ratio has been demonstrated to be within the range of 1.12-2.50 for most species around the world (Beverton & Holt, 1957).
Fishing mortality \((F)\) was estimated from the equation \(F = Z - M\) (Gulland, 1965).

We estimated exploitation rate \((E)\) using the equation of Elliott (1983):

\[
E = \frac{F}{Z}.
\]

**Recruitment time and pattern**

Timing and patterns of recruitment to \(T.\ swinhonis\) stocks were determined by backward projection of the length-frequency datasets onto the time axis of a time-series of samples as described in FiSAT II (Moreau & Cuende, 1991). This routine can provide the relative spawning time and the number of recruitment pulses (recruitment pattern) per year.

**RESULTS**

**Length-frequency distribution and age structure**

A total of 13585 individual \(T.\ swinhonis\) were measured during 15 months of field sampling. The length-frequency distributions of \(T.\ swinhonis\) are shown in Fig. 2. Lengths of \(T.\ swinhonis\) ranged from 53.32 to 165.16 mm L (mostly between 60-140 mm) in BDT, from 51.39 to 154.35 mm (mostly between 80-140 mm) in SJH and from 61.94 to 198.85 mm (mostly between 100-180 mm) in KLH. Results from K-S tests indicated that length frequency distribution data were non-normal in three lakes (for all three K-S tests, \(D = 1, P \approx 0 (\leq 2.2 \times 10^{-16}), E = 1\)). Individual comparisons of these distributions indicated statistical differences between lakes (for BDT and SJH, \(D = 0.43, P \approx 0 (\leq 2.2 \times 10^{-16}), E = 0.43\); for BDT and KLH, \(D = 0.75, P \approx 0 (\leq 2.2 \times 10^{-16}), E = 0.75\); and for SJH and KLH, \(D = 0.56, P \approx 0 (\leq 2.2 \times 10^{-16}), E = 0.56\)). Kruskal-Wallis test (}
\[ \chi^2 = 5186.90, \ df = 2, \ P \approx 0 \ (< 2.2 \times 10^{-16}), \ E = 0.28 \] revealed that there was a statistical difference in mean L among different sampling sites and represented a trend of L increasing with the increasing longitude (Fig. 3).

Age structure of the *T. swinhonis* specimens collected from the three lakes, as determined from scales, were simple and consisted of four age groups ranging from 0\(^{-}\)-3\(^{+}\) years (Fig. 4), similar to results obtained using Pauly’s (1983) empirical equation for maximum age \( t_{\text{max}} = 3/K \), all sites were 2.5. The dominant age group was 0\(^{-}\)-1\(^{+}\) year old fish at all three sites (98%) (Table 1). These data suggest that *T. swinhonis* is a short-lived fish species. A chi-square test found significant differences among the age structures at the three sampling sites \( \chi^2 = 103.82, \ df = 6, \ P = 4.00 \times 10^{-20}, \ E = 0.18 \).

**The length-weight relationships and growth pattern**

L and W relationships for each site (sexes combined) were (Fig. 5):

- **BDT:** \( W = 0.000008 \ L^{2.906}, \ R^2 = 0.87, \ n = 8818 \);
- **SJH:** \( W = 0.00002 \ L^{2.734}, \ R^2 = 0.87, \ n = 2207 \);
- **KLH:** \( W = 0.0000001 \ L^{3.775}, \ R^2 = 0.89, \ n = 2560 \).

There were significant differences obtained from the statistical comparisons of total length and weight relationships among different sites (for BDT and SJH, \( F = 58960, \ df_1 = 1, \ df_2 = 8816, \ P \approx 0 \ (< 2.2 \times 10^{-16}), \ E = 0.87 \); for BDT and KLH, \( F = 15800, \ df_1 = 1, \ df_2 = 2205, \ P \approx 0 \ (< 2.2 \times 10^{-16}), \ E = 0.87 \); and for SJH and KLH, \( F = 19870, \ df_1 = 1, \ df_2 = 2558, \ P \approx 0 \ (< 2.2 \times 10^{-16}), \ E = 0.89 \). All slope coefficients \( (b \ values) \) were significantly different from the value of 3 for all
three lakes (for BDT, $t = -7.84$, $df = 8816$, $P = 5.15 \times 10^{-15}$, $E = 0.08$; for SJH, $t = -12.22$, $df = 2205$, $P = 2.74 \times 10^{-33}$, $E = 0.26$; and for KLH, $t = 28.93$, $df = 2558$, $P = 1.74 \times 10^{-159}$, $E = 0.57$), indicating an allometric growth pattern of *T. swinhonis* for all sampling populations.

**Growth parameters**

Since the age structure of *T. swinhonis* was simple, we estimated growth parameters using the methods of Powell and Wetherall, which are contained in the ELEFAN I module of the FiSAT II program. The results were BDT: $L_\infty = 173.25$ mm, $K = 1.20$, $t_0 = -1.09$, $R_n = 0.21$; SJH: $L_\infty = 162.75$ mm, $K = 1.20$, $t_0 = -1.08$, $R_n = 0.29$; KLH: $L_\infty = 215.25$ mm, $K = 1.20$, $t_0 = -1.12$, $R_n = 0.24$. The growth equations obtained above showed that *T. swinhonis* grew rapidly during the first year of its life history, obtaining an average $L$ of approximately 159.14, 149.34 and 198.34 mm with the increase of longitude during the first year, respectively, for BDT, SJH and KLH (more than 90% of $L_\infty$ at all sites). Annual growth in $L$ decreased sharply after this period and became relatively constant thereafter (Fig. 6). In addition, the growth performance index ($\phi$) of *T. swinhonis* in BDT, SJH and KLH were 4.56, 4.52 and 4.75, respectively.

**Mortality and exploitation rates**

The total rates of mortality ($Z$) obtained through the length-converted catch curves were 5.82 year$^{-1}$ (with 95% confidence interval of $Z$: 3.29-8.36 year$^{-1}$) for BDT, 5.50 year$^{-1}$ (with 95% confidence interval of $Z$: 3.31-7.70 year$^{-1}$) for SJH and 4.55 year$^{-1}$ (with 95% confidence interval of $Z$: 3.86-5.23 year$^{-1}$) for KLH (Fig. 7). The parameters of natural mortality rate ($M$) estimated
by Pauly’s (1980) empirical equation were 1.89 year\(^{-1}\) (BDT), 1.87 year\(^{-1}\) (SJH) and 1.75 year\(^{-1}\) (KLH), respectively. For BDT, SJH, and KLH, the values of \(M/K\) ratio were 1.58, 1.56 and 1.46, and the fishing mortality rates \((F)\) were 3.93, 3.63 and 2.80 year\(^{-1}\), respectively. The exploitation rates \((E)\) for three sampling populations of \(T.\) swinhonis were calculated as 0.68 (BDT), 0.66 (SJH) and 0.62 (KLH) year\(^{-1}\), respectively, which indicated that the stocks in the present study areas have been overfished slightly.

Recruitment time and pattern

Recruitment time and pattern of \(T.\) swinhonis were similar at all sampling sites. The recruitment pattern was continuous and showed two major peaks throughout the year (Fig. 8), which indicated batch spawning by \(T.\) swinhonis. Recruitment occurred from March to September with peaks in April and August. These two peaks accounted for 92.21% (BDT), 88.21% (SJH) and 88.73% (KLH) of total recruitment, respectively.

DISCUSSION

Size and distribution of length

Knowledge about size structure would be used for understanding age, growth, recruitment and dynamics of the population, which varies with the size structure of the population (Mirzaei et al., 2014). Generally, the size structure of one fish species can be represented by the length-frequency distribution (Taiwo, 2010). In the present study, the \(L\) of \(T.\) swinhonis in BDT ranged from 53.32 to 165.16 mm (the majority in 60-140 mm), in SJH from 51.39 to 154.35 mm (the
majority in 80-140 mm) and in KLH from 61.94 to 198.85 mm (the majority in 100-180 mm),
and represented an increasing trend in L with increasing longitude. The Kolmogorov-Smirnov
tests indicated that length frequencies for *T. swinhonis* were non-normally distributed at all sites
and that there were statistical differences between all sites. Besides, the results obtained from the
present study were much larger than the findings of all previous works (Table 2). The disparity
observed in the size of *T. swinhonis* in different studies might be caused by one or more of the
following elements: (1) differences of habitat (such as the temperature, density of food and food
availability); (2) sample size differences; (3) differences in gear selectivities between studies;
and (4) differences in the genetic diversity of different geographical populations.

### Length-weight relationship and growth pattern

The length-weight (L-W) relationship of a fish species always been considered as fundamental to
further study, such as fish stock assessment (*Afrooz et al., 2014*). Generally, this relationship is
used by freshwater ecologists and fisheries managers for (1) predicting the weight (length) for a
given length (weight); (2) describing the fitness condition or morphology of one fish species; (3)
estimating growth, age structure and many other aspects of a species’ population dynamics; and
(4) mutual transformation between length and weight for many stock assessment models (*Cren, 1951; Ye et al., 2007*). Though some fisheries scientists thought it has little value (*Hilborn &
Walters, 1992*), *Froese (2006)* pointed out that a comprehensive analysis of this relationship of a
large number of geographic populations can provide important insights into the biology and
ecology of that species.
As previously mentioned, the values of $b$ (slope coefficient) in the L-W relationships in the present study were 2.91 (in BDT), 2.73 (in SJH) and 3.78 (in KLH), respectively. Fish at all three sampling sites displayed allometric growth instead of isometric growth. The results in BDT and SJH were similar with the previous studies in this region. Zhang & Li (2002) reported that the value of allometric parameter was 3.07 in Biandantang Lake, China. Ye et al. (2007) pointed out that this value was 2.85 and presented an isometric growth pattern in Niushan Lake, China. Wang et al. (2012) reported that it was 3.22 in Tian-e-zhou Oxbow, China. The similarity of coefficients between the present study and the previous studies mentioned above may be due to the spatial similarity of the sampling sites in these studies.

However, the slope coefficient ($b$) estimated by power regression in KLH was 3.78, which was the highest value in all study areas. The observed difference in the value may be attributed to the difference of habitat (especially the observed temperature), the sample size used, diseases and genetic diversity (Wootton, 1998; Ye et al., 2007).

**Age structure and growth parameters**

Generally, information about age structure and growth parameter of a fish population would be used for fish stock assessment (Hollyman, 2017; Wells et al., 2013), which allows fisheries scientists and managers to understand the dynamics of fish stock and how fish populations respond to the environmental changes. More specifically, it can be an indicator of fish population dynamics and help managers make more informed choices for fish conservation and fisheries management.
In view of this, we first presented the age structure of *T. swinhonis* in the middle and lower reaches of the Yangtze River basin based on scale readings and Pauly’s empirical equation. These results showed that the age structure of *T. swinhonis* was very simple and dominant ages were 0\(^+-1^+\) year old fish (more than 98\% at all sites in the present study) which corresponded well with the previous work in the two reservoirs of northern China (*Li et al.*, 1988). The short lifespan of *T. swinhonis* is very similar with *Pseudorasbora parva* (Temminck *et* Schlegel, 1842) and *H. leucisculus* (two other small dominant species in this region), whose lifespan are no more than 5 and 6 years, respectively (*Gozlan et al.*, 2010; *Wang et al.*, 2013). These two species are two of the most successful invasive species around the world and have been treated as an international pest fish (*Gozlan et al.*, 2010; *Patimar et al.*, 2008). The simple age structure of these two species was usually considered as one of the major reasons the species became dominant within a very short time in many new regions (*Gozlan et al.*, 2010; *Wang et al.*, 2013). This reason also seems likely for *T. swinhonis* in the lakes along the middle and lower reaches of the Yangtze River basin.

In terms of growth, the results of VBGMs from the present study indicated that there were some differences in the growth curves of *T. swinhonis* in different lakes and these differences are mainly reflected in the average asymptotic total length \(L_\infty\) as the growth constants \(K\) were the same (1.20 year\(^{-1}\)) in all the three lakes. Moreover, the growth parameters \(L_\infty, K\) and \(\phi^i\) obtained by the current study were much larger than the values reported by *Ye et al.* (2014) in Niushan Lake, China \(L_\infty = 145\) mm, \(K = 0.66\) year\(^{-1}\), \(\phi^i = 4.14\) (Table 3). Many studies have documented differences in growth of a given species in different geographical areas (*SternPirlot*...
& Wolff, 2006; Vakily, 1992), and the differences might be due to the heterogeneity of habitat and diversity of genetics (Parra et al., 2009; Pauly, 1986; Wootton, 1998).

### Mortality and fisheries status

Mortality rates are a measure of describing the rate at which fish disappear from a population and are critical parameters in formulating sustainable fishing regulations (Ogle, 2016). In view of this, we estimated the total mortality rates (Z), natural mortality rates (M) and fishing mortality rates (F) of T. swinhonis in the three shallow lakes along the middle and lower reaches of the Yangtze River basin. We present the first evaluation of exploitation rate (E).

Catch curve analysis showed that the values of Z for T. swinhonis were 5.82 (in BDT), 5.50 (in SJH) and 4.55 (in KLH) year\(^{-1}\), indicating a decreasing trend with increasing longitude. We noted that the values of Z estimated in the present study were much bigger than Ye et al. (2014) in Niushan lake, China (Z = 2.92 year\(^{-1}\)). The differences of functions of these lakes and the fishing effort may explain these differences (Table 4).

Values for M were similar between lakes: BDT = 1.89, SJH = 1.87 and KLH = 1.75. These values were slightly higher than the findings of Ye et al. (2014) in Niushan Lake, China (M = 1.35). Meanwhile, the values of M/K ratio in our study were 1.58 (in BDT), 1.56 (in SJH) and 1.46 (in KLH), within the interval of 1.12-2.50 for most fish (Pauly, 1980), indicating our estimate of M is reasonable. However, Pauly (1980) also postulated that M should be in the range of 0.2-0.3, indicating T. swinhonis in the region of the Yangtze River basin was characterized with relatively high M, not uncommon for short-lived species.
The fishing mortality rate \( (F) \) in BDT, SJH and KLH were 3.93, 3.63 and 2.80 year\(^{-1}\), values that were much higher than those found by Ye et al. (2014) in Niushan Lake, China \( (F = 1.57) \).

High values of \( F \) at all sites were likely related to the morphological characteristics (barbs on the dorsal fin of \( T. \) swinhonis made them more susceptible to capture by multi-gillnets than other species). Certainly, the difference between the present study and previous work conducted by Ye et al. (2014) may be explained by the gear employed. We used the float multi-gillnets in the present study which targeted the preferred water layer (pelagic) of \( T. \) swinhonis while sink multi-gillnets was used by Ye et al. (2014) in Niushan Lake, China targeted the bottom.

Based on estimates of \( F \) and \( Z \), the exploitation rates \( (E) \) in BDT, SJH and KLH were 0.68, 0.66 and 0.62, respectively. According to the rule of thumb (when \( E \) was more than 0.5, the fish stock was undergoing overfishing) proposed by Gulland (1965), we found that the stocks in the present study areas had been overfished slightly, which should be considered by fisheries managers in the future.

**Recruitment time and pattern**

Recruitment is a very important period in a fish’s life history and can be expressed as the number of fish that reach a certain age or length, which can give us an insight into the future viability of a fish population or predict the possible harvest from the population (Ogle, 2016).

The spawning time and pattern of \( T. \) swinhonis in the present study was identical at all sites and annual recruitment consisted of two seasonal pulses (batch spawning) which occurred between months of March to September. Long recruitment time and strong reproductive ability
(LS Liu, 2017, unpublished data) may be the main reasons why the species became dominant in these lakes. Despite close agreement with annual changes of gonadosomatic index (GSI) and distributions of egg size (LS Liu, 2017, unpublished data), the results in the present study are not consistent with Ye et al. (2014), who found the annual recruitment only consisted of one plus (single spawning) which occurred between April and August. This is an important topic for future research.

**CONCLUSIONS**

This study is the first attempt to elucidate the mechanism of population outbreaks of *T. swinhonis* in the lakes along the middle and lower reaches of the Yangtze River basin based on novel and comprehensive biological data. Current results showed that, like many other freshwater fishes, brief generation-time, fast growth rate, relatively high natural mortality rates and strong reproductive capacity (*r*-strategies) may be the main causes that this fish became the most dominant fish species in many lakes of China. Thus, to control the population of *T. swinhonis*, to catch it moderately before its spawning season or release predator fish discreetly is proposed (Ye et al., 2007). Certainly, more field investigations should be conducted on this species in the future, especially on the reproductive biology and population dynamics of this species.

**ACKNOWLEDGMENTS**

We are grateful to Ms. Jin Yuan, Mr. Mantang Xiong, Mr. Zhan Mai, Mr. Lisheng Liu and Mr. Xinnian Chen for their assistance in the field sampling. We would also like to give our sincere
appreciations to two anonymous referees for their valuable comments and suggestions, leading
the huge improvement of the earlier manuscript of this paper.

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Figure 1

Map of the study area and locations of the three sampling sites for *T. swinhonis* (in the middle and lower reaches of Yangtze River basin, China). BDT, SJH and KLH are the abbreviations of Biandantang Lake, Shengjin Lake and Kuilei Lake.
Figure 2

Annual total length frequency distribution of *T. swinhonis* in (A) BDT, (B) SJH and (C) KLH.
Figure 3

Boxplot (horizontal line within box: median; boundaries of the box: first and third quartiles; bars: lower and upper inner fences; circles: outliers) about Kruskal-Wallis test in the total length (L) of *T. swinhonis* at different sampling sites.
Figure 4

The scales of *T. swinhonis* from BDT Lake with (a), (b), (c) and (d) corresponded with $0^+$, $1^+$, $2^+$ and $3^+$ years, respectively. Blue dots represented the annuli.
Figure 5

Total length-weight relationships of *T. swinhonis* in (a) Biandantang Lake, (b) Shengjin Lake and (c) Kuilei Lake.
Figure 6

The von Bertalanffy growth curves of *T. swinhonis* in (a) Biandantang Lake, (b) Shengjin Lake and (c) Kuilei Lake as superimposed on the length-frequency histograms. The different solid lines (blue) corresponded with different cohorts.
Figure 7

Length-converted catch curves used to estimate total mortality ($Z$) of *T. swinhonis* collected from (a) BDT, (b) SJH and (c) KLH along the middle and lower reaches of the Yangtze River basin, China.
Figure 8

Annual relative recruitment patterns of *T. swinhonis* in (a) BDT, (b) SJH and (c) KLH.
Table 1 (on next page)

Mean observed length (mm)-at-age for *T. swinhonis* fish from Biandantang Lake, Shengjin Lake, and Kuilei Lake.
<table>
<thead>
<tr>
<th>Age</th>
<th>Biandantang Lake</th>
<th>Shengjin Lake</th>
<th>Kuilei Lake</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean ± S.E.</td>
<td>n</td>
<td>Mean ± S.E.</td>
</tr>
<tr>
<td></td>
<td>(mm)</td>
<td>Percentage</td>
<td>(mm)</td>
</tr>
<tr>
<td>1</td>
<td>102.99 ± 1.01</td>
<td>307</td>
<td>74.15</td>
</tr>
<tr>
<td>2</td>
<td>111.05 ± 1.28</td>
<td>99</td>
<td>23.92</td>
</tr>
<tr>
<td>3</td>
<td>116.91 ± 7.16</td>
<td>6</td>
<td>1.45</td>
</tr>
<tr>
<td>4</td>
<td>131.91 ± 13.68</td>
<td>2</td>
<td>0.48</td>
</tr>
</tbody>
</table>

1 NA: not available; n: the number of specimen; S.E.: standard error.
Table 2 (on next page)

Summary of parameters of length-weight relationships of *T. swinhonis*. 
<table>
<thead>
<tr>
<th>Study area (source)</th>
<th>Total length (cm)</th>
<th>parameters</th>
<th>n</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Minimum</td>
<td>Maximum</td>
<td>a</td>
</tr>
<tr>
<td>Poyang Lake, China (<em>Changjiang ichthyography</em>, 1974)*</td>
<td>5.3</td>
<td>11.1</td>
<td>NA</td>
</tr>
<tr>
<td>Dongting Lake, China (<em>The fishes of Hunan</em>, 1980)*</td>
<td>8.7</td>
<td>12.3</td>
<td>NA</td>
</tr>
<tr>
<td>Liangzi Lake, China (<em>The fishes of Hubei</em>, 1986)*</td>
<td>5.3</td>
<td>10.5</td>
<td>NA</td>
</tr>
<tr>
<td>Bashan and Zhangze reservoir, China (Li et al., 1988)*</td>
<td>NA</td>
<td>13.4</td>
<td>NA</td>
</tr>
<tr>
<td>Hunan, Hubei, Hebei and Sichuan China (<em>FAUNA SINICA</em>, 1998)*</td>
<td>7.2</td>
<td>11.8</td>
<td>NA</td>
</tr>
<tr>
<td>Biandantang Lake, China (Zhang et al., 2005)*</td>
<td>3.2</td>
<td>9.5</td>
<td>0.0098</td>
</tr>
<tr>
<td>Niushan Lake, China (Feng et al., 2006)*</td>
<td>2.4</td>
<td>9.5</td>
<td>NA</td>
</tr>
<tr>
<td>Niushan Lake, China (Ye et al., 2007)*</td>
<td>5.3</td>
<td>12.1</td>
<td>0.0061</td>
</tr>
<tr>
<td>The estuary of the Yangtze River, China (Ge et al., 2009)*</td>
<td>0.4</td>
<td>2.5</td>
<td>NA</td>
</tr>
<tr>
<td>Niushan Lake, China (Zhang et al., 2012)*</td>
<td>8.4</td>
<td>9.4</td>
<td>NA</td>
</tr>
<tr>
<td>Tian-e-zhou Oxbow, China (Wang et al., 2012)*</td>
<td>5.0</td>
<td>9.3</td>
<td>0.0060</td>
</tr>
<tr>
<td>Niushan Lake, China (Ye et al., 2014)</td>
<td>4.2</td>
<td>12.8</td>
<td>NA</td>
</tr>
<tr>
<td>Location</td>
<td>Parameter 1</td>
<td>Parameter 2</td>
<td>r²</td>
</tr>
<tr>
<td>--------------------------------</td>
<td>-------------</td>
<td>-------------</td>
<td>--------</td>
</tr>
<tr>
<td>Biandantang Lake, China</td>
<td>5.3</td>
<td>16.5</td>
<td>0.0061</td>
</tr>
<tr>
<td>Shengjin Lake, China</td>
<td>5.1</td>
<td>15.4</td>
<td>0.0106</td>
</tr>
<tr>
<td>Kuilei Lake, China</td>
<td>6.2</td>
<td>19.9</td>
<td>0.0007</td>
</tr>
</tbody>
</table>

n: the number of specimen; a: intercept; b: regression slope; r²: coefficient of determination; NA: not available.

*New records of maximum total length in FishBase.*

*Studies with very narrow total length range.*

*Small number of specimens.*

*Standard length was being used in the study.*

*Materials out of FishBase.*
Table 3 (on next page)

Summary of population characteristics of *T. swinhonis* in different geographical areas.
<table>
<thead>
<tr>
<th>Study area</th>
<th>Aging structure</th>
<th>$L_{\infty}$ (mm)</th>
<th>$K$ (year$^{-1}$)</th>
<th>$t_0$ (year)</th>
<th>$\phi$</th>
<th>Age range (year)</th>
<th>$R_n$</th>
<th>n</th>
<th>First author, year</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bashan reservoir, China$^1$</td>
<td>Scales</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>1-6</td>
<td>NA</td>
<td>134</td>
<td>Li (1988)</td>
</tr>
<tr>
<td>Zhangze reservoir, China$^1$</td>
<td>NA</td>
<td>145.00</td>
<td>0.66</td>
<td>0.30</td>
<td>4.14</td>
<td>NA</td>
<td>0.38</td>
<td>2132</td>
<td>Ye (2014)</td>
</tr>
<tr>
<td>Niushan Lake, China</td>
<td>Scales</td>
<td>173.25</td>
<td>1.20</td>
<td>– 1.09</td>
<td>4.56</td>
<td>1-4</td>
<td>0.21</td>
<td>8818</td>
<td>The present study</td>
</tr>
<tr>
<td>Biandantang Lake, China</td>
<td>Scales</td>
<td>162.75</td>
<td>1.20</td>
<td>– 1.08</td>
<td>4.52</td>
<td>1-3</td>
<td>0.29</td>
<td>2207</td>
<td>The present study</td>
</tr>
<tr>
<td>Kuilei Lake, China</td>
<td>Scales</td>
<td>215.25</td>
<td>1.20</td>
<td>– 1.12</td>
<td>4.75</td>
<td>1-3</td>
<td>0.24</td>
<td>2560</td>
<td>The present study</td>
</tr>
</tbody>
</table>

$^1$ NA: not available; $R_n$: the goodness of fit index; $^a$Small number of specimens.
**Table 4** (on next page)

Estimated mortality rates of *T. swinhonis* derived from different areas.
<table>
<thead>
<tr>
<th>Study areas (year)</th>
<th>$Z$ (year$^{-1}$)</th>
<th>$M$ (year$^{-1}$)</th>
<th>$F$ (year$^{-1}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biandantang Lake, China (the present study)$^\text{ad}$</td>
<td>5.82</td>
<td>1.89</td>
<td>3.93</td>
</tr>
<tr>
<td>Shengjin Lake, China (the present study)$^\text{ad}$</td>
<td>5.50</td>
<td>1.87</td>
<td>3.63</td>
</tr>
<tr>
<td>Kuilei Lake, China (the present study)$^\text{b}$</td>
<td>4.55</td>
<td>1.75</td>
<td>2.80</td>
</tr>
<tr>
<td>Niushan Lake, China (2014)$^\text{c}$</td>
<td>2.92</td>
<td>1.35</td>
<td>1.57</td>
</tr>
</tbody>
</table>

1 $^\text{a}$National Wetland Park; $^\text{b}$Wellhead protection zone; $^\text{c}$Aquaculture base; $^\text{d}$Having fishman.