

1 **Dose- and strain-dependent anti-obesity effects of *Lactobacillus sakei* in a diet-**
2 **induced obese murine model**

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39 ABSTRACT

40 **Background.** Overweight and abdominal obesity, in addition to medical conditions such as
41 high blood pressure, high blood sugar and triglyceride levels, are typical risk factors associated
42 with metabolic syndrome. Yet, considering the complexity of factors and underlying
43 mechanisms leading to these inflammatory conditions, a deeper understanding of this area is
44 still lacking. Some probiotics have a reputation of a relatively long history of safe use, and an
45 increasing number of studies are confirming benefits including anti-obesity effects when
46 administered in adequate amounts. Recent reports demonstrate that probiotic functions may
47 widely differ with reference to either intra-species or inter-species related data. Such
48 differences do not necessarily reflect or explain strain-specific functions of a probiotic, and thus
49 require further assessment at the intra-species level. Various anti-obesity clinical trials with
50 probiotics have shown discrepant results and require additional consolidated studies in order to
51 clarify the correct dose of application for reliable and constant efficacy over a long period.

52 **Methods.** Three different strains of *Lactobacillus sakei* were administered in a high fat diet-
53 induced obese murine model using three different doses, 1×10^{10} , 1×10^9 and 1×10^8 CFUs,
54 respectively, per day. Changes in body and organ weight were monitored, and serum chemistry
55 analysis was performed for monitoring obesity associated biomarkers.

56 **Results.** Only one strain of *L. sakei* (CJLS03) induced a dose-dependent anti-obesity effect, while
57 no correlation with either dose or body or adipose tissue weight loss could be detected for the
58 other two *L. sakei* strains (L338 and L446). The body weight reduction primarily correlated with
59 adipose tissue and obesity-associated serum biomarkers such as triglycerides and aspartate
60 transaminase.

61 **Discussion.** This study shows intraspecies diversity of *L. sakei* and suggests that anti-obesity
62 effects of probiotics may vary in a strain- and dose-specific manner.

67 INTRODUCTION

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116 Overweight and obesity result from abnormal adipose deposition and function and are
117 considered as major pathophysiological symptoms of metabolic syndrome (Olufadi & Byrne,
118 2008). Originating from insulin resistance, metabolic syndrome may be reflected by several
119 clinical manifestations such as atherosclerosis, hyperglycemia, dyslipidemia, hypertension,
120 reduced high-density lipoprotein cholesterol and type 2 diabetes mellitus (Furukawa et al.,
121 2017). Based on typical pathological symptoms, broadly defined as excessive fat mass in the
122 body (specifically the abdomen), the prevalence of obesity has rapidly increased during the last
123 two decades (Kobyliak et al., 2017). Also referred to as 'obesity pathogenesis', obesity is

124 considered as a disorder of the energy homeostasis system rather than the result of passive
125 weight accumulation (Schwartz et al., 2017). In spite of the recent intensive research input, a
126 deeper understanding of pathogenesis and the underlying mechanisms of obesity are still
127 lacking, while, in fact, the causality of obesity has been explained from different viewpoints and
128 disciplines of science such as genetics, endocrinology and psychology (Schwartz et al., 2017).

129 Following up on classical approaches, recent studies show that the microbiota can play
130 a key role in host obesity and metabolic syndrome (Gérard, 2016). Thereby, new clinical
131 diagnostic perspectives were opened on the influence of the gut microbiota on the status of
132 metabolic disorders. This potential has been highlighted in a review by Boulange et al. (2016), at
133 the same time underlining the complex etiology of these disorders. The current understanding
134 of the mechanisms linking the gut microbiota with metabolic syndrome still appears to be
135 "vague" (Chattopadhyay & Mathili, 2018). Indeed, numerous studies have reported on
136 qualitative and quantitative discrepancies in the microbiota of the gastrointestinal tract (GIT)
137 when comparing healthy subjects with people suffering from metabolic diseases (Turnbaugh et
138 al., 2006; Turnbaugh et al., 2008; Ley et al., 2005; Cani & Delzenne, 2009; Armougom et al.,
139 2009).

140 The International Scientific Association for Probiotics and Prebiotics, after a grammatic
141 correction, has condoned the FAO/WHO consensus definition of probiotics as "live
142 microorganisms that, when administered in adequate amounts, confer a health benefit on the
143 host" (Hill et al., 2014). There is general agreement that probiotics support the balance of the
144 host gut microbiota, and scientific evidence is steadily accumulating regarding the positive

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165 impact of probiotics on human health such as improvement of immune disorders, inflammatory
 166 bowel disease, type 2 diabetes and atherosclerosis (Amar et al., 2011; Kim et al., 2016; Ritze et
 167 al., 2014; Schroeder et al., 2018; Vemuri, Gundamaraju & Eri, 2017). In spite of increasing
 168 evidences of beneficial effects, information is still sparse on the way in which gut microbiota
 169 communicates with distant sites in the host, and also on the mechanisms underlying their
 170 influence on host physiology with regard to (e.g.) the respiratory system, the skin, brain, heart
 171 and host metabolism (Reid et al., 2017). The best recognized mechanisms among the studied
 172 probiotics appear to be related to colonization resistance, acid and short-chain fatty acid (SCFA)
 173 production, regulation of intestinal transit, normalization of perturbed microbiota, increasing
 174 turnover of enterocytes, and competitive exclusion of pathogens (Hill et al., 2014). Using a high-
 175 calorie induced obesity BALB/c mouse model a single strain of *Lactobacillus casei* IMV B-7280,
 176 and a combination of *Bifidobacterium animalis* VKL, *B. animalis* VKB and *L. casei* IMV B-7280
 177 were shown to be effective in reducing weight gain and cholesterol levels, in the restoration of
 178 liver morphology and in modulating the gut microbiome in a beneficial manner (Bubnov et al.,
 179 2017). However, key issues such as strain-specificity and characterization of dose-dependent
 180 effects still remain to be solved. For this purpose, the further development of both *in vitro* and
 181 *in vivo* models appears to be strongly justified. Evidence-based recommendations for probiotics
 182 presently suggest a dose of 10⁹ CFU/day or higher (WGO, 2017). A former study involving
 183 volunteers demonstrated a dose of 10¹¹ CFU/day (of probiotic strains *Bifidobacterium animalis*
 184 subsp. *lactis* BB-12 and *Lactobacillus paracasei* subsp. *paracasei* CRL-341) to be effective (Larsen
 185 et al., 2006). For the clinical success of anti-obesity treatment, selection of an optimal dose and
 186 an optimal administration time frame of probiotics are considered to be essential for inducing
 187 beneficial changes, both in gut microbiome diversity and in the metabolism of obese humans
 188 (Bubnov et al., 2017).

189 Various modes of probiotic action were elucidated by using *in vitro* studies (including
 190 development of dedicated *in vitro* models) while efficacy was investigated by both *in vivo*
 191 (preclinical) studies (Park et al., 2016; Wang et al., 2015) and clinical trials (Kadooka et al., 2010;
 192 Woodard et al., 2009). These therapeutic benefits were all related to anti-obesity effects of
 193 probiotics (Kadooka et al., 2010; Park et al., 2016; Wang et al., 2015; Woodard et al., 2009). Yet,

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the anti-obesity efficacy of probiotics has not been fully elucidated in spite of various clinical trials, and scientific evidence for a “minimal dose effect level” remains relatively sparse (Tanentsapf, Heitmann & Adegboye, 2011; Raoult, 2009; Mekkes et al., 2013). The concept of a minimal effective dose is complicated due to the large (and diverse) number of microbial and host-related factors (Salminen et al., 1998), and will also depend on the kind of key criteria and the “end-points” selected. The dose of intolerance is generally considered to be high, thus, allowing a relatively broad “therapeutic window” (Collins, Thornton & Sullivan, 1998), it may be difficult to find a suitably low effective dose above the minimal level. Yet, precisely defining an effective dose has remained an arbitrary issue, and thus the pragmatic suggestion by an FAO/WHO Working Group (FAO/WHO, 2002) that “the suggested serving size must deliver the effective dose of probiotics related to the health claim”. Convincingly delivering this kind of evidence has remained difficult until this day, in particular for commercial distribution of (food or pharmaceutical) strains claimed to be probiotics. In an early report Perdigón, Alvarez & de Ruiz Holgado (1991) suggested a dose related impact of *Lactobacillus casei* on the secretory immune response and protective capacity in intestinal infections. A placebo-controlled study designed to evaluate the therapeutic value of four different non-antibiotic preparations (including *Saccharomyces boulardii*, and heat-killed microbial strains) indicated a non-significant dose dependency for either prophylaxis or treatment of traveller's diarrhoea (Kollaritsch et al., 1989; Kollaritsch et al., 1993). Yet, substantial evidence supports the principle of dose-dependency of probiotics to modulate systemic and mucosal immune function, improve intestinal barrier function, alter gut microbiota, and exert metabolic effects on the host, also in a strain-dependent manner (Alemka et al., 2010; Madsen, 2012). Everard et al. (2011) reported a dose-dependent immunomodulation of human DCs by the probiotic *Lactobacillus rhamnosus* Lcr35, leading, at high doses, to the semi-maturation of the cells and to a strong pro-inflammatory effect. Against this background, the present study was designed with the challenge of involving a hitherto rarely reported species (*Lactobacillus sakei*) and its potential for alleviation of obesity [in a diet-induced obese (DIO) mouse model]. In addition, there was the prospect of gaining additional insights in intra-species (strain-specific) functional diversity by using established biomarkers.

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244 In this study we administered three different ten-fold dose levels of three different L.
245 sakei strains separately to a DIO C57BL/6 murine model and monitored body weight during the
246 full experimental period. Organ weights and serum biomarkers were monitored to elucidate the
247 dose-dependent anti-obesity effect of three different L. sakei strains.

249 MATERIALS AND METHODS

250 Animal studies

251 The animal study was approved by the Ethical Committee of KPC Ltd. in Korea (P150067). Five-
252 week-old, specific pathogen free (SPF) male C57BL/6 mice were supplied from Orient Bio, Korea.
253 Either a high-fat diet (Research Diets D12492) (HFD), or low-fat diet (Purina Laboratory Rodent
254 Diet 38057) (LFD) (negative control) and autoclaved tap water were provided *ad libitum*, while
255 the animals were housed at 23 °C, 55 ± 10 % humidity, in a 12 h light/dark cycle. The NIH
256 guidelines were followed by providing sufficient cage surface area based on the weight of the
257 mice. In total 120 mice were separated into 12 different groups (5 animals per cage and two
258 cages per group) with each group receiving a different treatment. Study design is given in Table
259 1 and details on the diets in Table 2.

261 // Insert Table 1 //

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264 The experiment comprised one week of adaptation followed by six weeks of obesity
265 induction using a HFD while the LFD group was maintained on LFD feeding. A total number of
266 110 mice received the test substances, with exception of those with the upper and lower body
267 weights after the six-week period of obesity induction. All treatments were by oral gavage and
268 were performed twice a day, at the same daytime (10:00 and 17:00), for seven weeks. Each
269 group was treated with either the microbial culture suspended in PBS, orlistat suspended in PBS,
270 as chemical control, or only PBS as negative control. Orlistat was provided as Xenical (with 120
271 mg/g of orlistat as active pharmaceutical ingredient, and microcrystalline cellulose, sodium
272 starch glycolate, sodium lauryl sulfate, povidone, and talc as inactive ingredients). The contents

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of the Xenical capsules were added to PBS, as explained in Table 1. As orlistat is insoluble in water, it was suspended by vortexing and sonication and then orally administered to the animals. For oral administration each microbial strain was washed twice with PBS and the supernatant discarded after centrifugation. The microbial pellet was resuspended in PBS to suit the dose for administration. On the last day of the experiment, the mice were sacrificed by dislocation of the cervical vertebra. The organs, i.e., liver, femoral muscle, brown adipose tissue, epididymal adipose tissue, subcutaneous adipose tissue and mesenteric adipose tissue were collected, weighed, and stored at -80 °C. Each perfused liver was embedded in paraffin and sectioned (4 µm) on a microtome. Hematoxylin and eosin (H&E) staining was performed on each high dose *L. sakei* group and assessed by light microscopy (Olympus MVX10 microscope, equipped with a DC71 camera, Center Valley, PA. Olympus, Japan).

Serum triglycerides (TG), glucose (GLU), total cholesterol (TC), high-density lipoprotein (HDL), low-density lipoprotein (LDL), and aspartate transaminase [AST; a marker of liver toxic injuries of hepatocytes (Aulbach and Amuzie. 2017)], were measured using an automated biochemical analyser BS-200 (Mindray, China) in Pohang Technopark, Pohang (South Korea).

Microorganisms

Lactobacillus sakei strain CJS03 was isolated from kimchi, while *L. sakei* strains CJB38 and CJB46 originated from human fecal samples. These strains were selected among 9 different strains (comprising 4 *Lactobacillus brevis*, 3 *L. sakei*, 1 *Lactobacillus plantarum* and 1 *Bifidobacterium longum*) on the basis of the lowest weight gain in a preliminary study using a DIO mouse model (data shown in Fig. S1).

The 3 *L. sakei* strains were grown daily in MRS broth (Difco Laboratories INC., Franklin Lakes, NJ, USA) for feeding during the seven-week period of intervention. Strains were grown for 8 h to reach their late log phase and were collected by centrifugation (3546 g, 5 min, 5 °C) (Centrifuge: Hanil Science Industry, Korea) and washed two times with PBS. Each strain was prepared in an approximate number of 1×10^{10} CFU/ml using a mathematical equation derived from a pre-optimised standard curve (Fig. S2) using optical density by SPECTROstar Nano (BMG Labtech, Durham, USA). A stock suspension of 1×10^{10} CFU/mL (high-dose, H) was prepared of

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each strain, then diluted ten-fold to 1×10^9 (medium-dose, M) and 1×10^8 CFU/mL (low-dose, L), respectively, and finally suspended in 300 μ l of PBS to be administered to each mouse by oral gavage.

Experimental determinants were statistically calculated using ANOVA and Dunnett's multiple comparison test to distinguish the level of significance based on probability of 0.05 (*), 0.01 (**) and 0.001 (***).

RESULTS

HFD feeding resulted in a strong increase in body mass as compared to those animals receiving LFD administration (Fig. 1A) over the 48-day feeding period. Moreover, elevated levels of serum biomarkers such as TG, TC, GLU, LDL and AST were detected in the HFD group (Fig. 2), concomitantly with quantitative increases in epididymal, mesenteric and subcutaneous adipose tissues (Fig. 3). Orlistat therapy did not cause any mentionable side-effects in the treated animals. No animals in any of the groups died during the study period.

Three different doses (10^8 - 10^{10}) of the three *L. sakei* strains (CJB38, CJB46 and CJLS03) were orally administered to high fat *PIO* C57BL/6 mice for 7 weeks, and body weight and food consumption were measured daily. During the test period, 3 strains were found to exhibit reduced weight gain compared to the HFD group (Fig. 1 B, C, D), with strain CJLS03 showing, dose-dependently, the strongest effect of the 3 strains. LFD, Orlistat, the full CJB46 group, and medium and high dose of the CJLS03 groups showed significantly lower weight increase compared to the HFD group (Fig. 1 E; Fig. S3). The weight loss of CJB38 or CJB46 was not dependent of the dose while only strain CJLS03 showed a dose-dependent weight reduction effect, and with the highest efficacy of all groups for CJLS03 H (Fig. 1 E). The onset time of weight loss showed significance compared to the HFD at days 4, 21, 21 and 7 for the Orlistat, CJB38, CJB46 and CJLS03 groups, respectively (Table S1). The daily dietary intake was significantly higher in the LFD, Orlistat and CJLS03 M groups compared to the HFD group (Fig. 1 F).

Serum biochemical analysis showed an overall increase in the lipid profile (TC, TG, HDL, LDL), liver (AST) and the GLU level of the HFD group compared to the LFD group, demonstrating

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421 that a HFD intake may impact various biomarkers associated with pathophysiological symptoms
 422 of obesity (Fig. 2). Compared to the HFD group, the serum TG level decreased in all test groups
 423 (Fig. 2 A) while the LDL level was significantly reduced in all test groups except CJB46 H (Fig. 2 E).
 424 Significant reduction of TC was only detected in LFD, Orlistat and in the groups treated with
 425 higher doses (M and H) of *L. sakei* CJB38 H, CJB46 M, CJB46 H, CJLS03 M and CJLS03 H (Fig 2 C).
 426 In particular, the CJLS03 group, shown to be superior regarding weight gain inhibition, appears
 427 to be effective in a dose-dependent manner (Fig. 2 A, B, C). HDL levels were not significantly
 428 different from the HFD group in all the test groups, however, all *L. sakei*-treated groups except
 429 CJB46 L, CJLS03 M and CJLS03 H showed significant increase when the ratio of HDL to total
 430 cholesterol level was calculated; this is reflected in Fig. 2D. Serum AST values (indicating liver
 431 function) were found to be approximately 1.7 times higher for the HFD compared to the LFD
 432 group (Fig. 2 F), while the Orlistat group showed no significant change in AST level compared to
 433 the HFD group. All nine groups receiving the *L. sakei* strains showed a trend towards reduced
 434 AST levels but with only the high dose of CJLS03 (CJLS03 H) differing significantly when
 435 compared to the HFD group (Fig. 2 F). CJLS03 showed the highest overall effectivity and a dose-
 436 dependent anti-obesity function; at the same time, it induced a dose-dependent improvement
 437 of serum obesity-associated biomarkers and liver function. Liver H&E staining optically
 438 demonstrated normal histology in LFD mice with minor lipid accumulation. Comparing the visual
 439 differences, the HFD-fed mice showed extensive fat accumulation and moderate vacuulations
 440 around the portal triad. In the groups treated with the higher dose of *L. sakei* CJB38 H, CJB46 H
 441 and CJLS03 H inhibition of lipid accumulation was visually evident, and was comparable to that
 442 of the LFD group (Fig. S4).

443 Compared to HFD the LFD group showed significantly lower weights of epididymal,
 444 mesenteric, subcutaneous and brown adipose tissues while insignificant organ weight
 445 differences were measured in liver and femoral muscles (Fig 3). Every dose of all three strains of
 446 *L. sakei* and the orlistat treatment resulted in significantly lower subcutaneous adipose tissue
 447 weight while only CJLS03 H showed significant reduction of visceral adipose tissue including
 448 epididymal and mesenteric adipose tissue, when compared to the HFD group (Fig. 3 A, B, C).
 449 CJLS03 M treatment significantly reduced epididymal adipose tissue weight when compared to

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484 the HFD group (Fig 3 a). These results suggest that the three different *L. sakei* strains inhibited
485 the accumulation of subcutaneous adipose tissue but that the CJS03 group responded by dose-
486 dependent reduction of visceral adipose tissues including the epididymal and mesenteric
487 adipose tissues (Fig. 3 A, B). Orlistat and *L. sakei* treatment did not result in significant weight
488 differences regarding brown adipose tissue, liver and femoral muscle (Fig. 3 D, E, F).

490 // Insert Figures 1-3 //

491

492 DISCUSSION

493 The impact of a HFD on various biomarkers associated with pathophysiological symptoms of
494 obesity is well established and supported in current literature (Chandler et al., 2017; Lee, 2013;
495 Ludwig et al., 2018; Siri-Tarino et al., 2010). The body mass increase resulting from HFD feeding
496 (as compared to a LFD) in this study (Fig. 1) was also accompanied by significant increases in
497 serum biomarkers such as TG, TC, GLU, LDL and AST (Fig. 2) and also increases in epididymal,
498 mesenteric and subcutaneous adipose tissues (Fig. 3).

499 The anti-obesity influence of administered probiotics is a heavily debated issue, yet, an
500 indisputable fact is that the host gut microbiota is exercising a leverage over energy efficiency
501 and adipose tissue accumulation (Kobyliak et al., 2017; Greiner and Bäckhed, 2011; Delzenne et
502 al., 2011). At the same time, probiotics have been reported to impact the host microbiota in a
503 positive way (Hemarajata and Versalovic, 2013) and to beneficially influence gut homeostasis
504 and reduce the symptoms of gastrointestinal diseases (Bron et al., 2017). The beneficial effect of
505 probiotics on the levels of alanine aminotransferase, AST, TC, HDL, tumor necrosis factor (TNF)-
506 α and also on insulin resistance [assessed in a homeostasis model (HOMA-IR)] have been
507 reported earlier (Ma et al., 2013). In a study using C57BL/6J mice *Lactobacillus rhamnosus* GG
508 (LGG) showed a protective effect against nonalcoholic fatty liver disease (NAFLD) induced by a
509 high-fructose diet (Ritze et al., 2014). This potential is supported by meta-analysis of data from
510 randomized controlled trials in patients with NAFLD, showing probiotic therapy to result in a
511 significant decrease of NAFLD (Ma et al., 2013; Al-muzafar and Amin, 2017). Moreover,

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537 probiotic therapy has been shown to be typically associated with a reduction in liver
 538 aminotransferase levels (Aller et al., 2011; Buss et al., 2014; Shavakhi et al., 2013). The
 539 significant reduction of liver AST levels by *L. sakei* CJS03 H in our study suggests its possible
 540 therapeutic potential for alleviation of NAFLD. The potential advantages of probiotics as
 541 complementary treatment for metabolic disorders and as therapy for NAFLD are increasingly
 542 recognized (Le Barz et al., 2015; Ma et al., 2017). Moreover, the modulatory effect of probiotics
 543 on the gut microbiota suggests their potential as a “promising and innovative add-on
 544 therapeutic tool” for the treatment of NAFLD (Paolella et al., 2014). In our study, inhibition of
 545 hepatic lipid accumulation in HFD animals was revealed by Liver H&E staining and was
 546 particularly obvious for the groups treated with orlistat and CJS03 H which also compared well
 547 with the normal histological features of the LFD group (Fig. S4).

548 The function of orlistat in assisting weight loss is well established and has been
 549 supported by Cochrane meta-analysis of various randomized controlled trials (Drew, Dixon &
 550 Dixon, 2007). Obesity control may be by several mechanisms, one of which being that orlistat
 551 prevents fat hydrolysis by acting as a gastric and pancreatic lipase inhibitor (Heck, Yanovski &
 552 Calis, 2012; Yanovski & Yanovski, 2014). It has been successfully used as anti-obesity control in
 553 animal experiments involving high fat DIO rats (Karimi et al., 2015) and DIO C57BL/6 mice
 554 (Chung et al., 2016). The latter studies also included clinical trials, and the authors (Chung et al.,
 555 2016) claimed orlistat to be the most popular anti-obesity pharmaceutical drug, both in animal
 556 (DIO C57BL/6 mice) experiments and clinical trials. The DIO C57BL/6 mouse is now widely
 557 accepted as an *in vivo* model of choice. It has been reported to closely reflect human metabolic
 558 disorders such as obesity, hyperinsulinemia, hyperglycemia and hypertension (Collins et al.,
 559 2004). Especially the metabolic abnormalities of DIO C57BL/6 after HFD feeding are considered
 560 reported to closely resemble those of human obesity development patterns (Speakman et al.,
 561 2007), and also regarding properties such as adipocyte hyperplasia, fat deposition in the
 562 mesentery and increased fat mass (Inui, 2003).

563 Probiotic administration increasingly enjoys consideration as a promising approach for
 564 beneficially modulating the host microbiota (Jia, Zhao & Nicholson, 2008; Steer et al., 2000).
 565 Numerous reports confirmed the beneficial effects of specific probiotic strains against diarrhoea

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and inflammatory bowel diseases (Ahmadi, Alizadeh-Navaei & Rezai 2015; Gionchetti et al., 2000; Ouwehand, Salminen & Isolauri, 2002). Recently, anti-obesity effects of probiotics were also reported and confirmed in clinical trials (Kadooka et al., 2010; Woodard et al., 2009; Minami et al., 2015; 2018; Borgeraas et al., 2017) and animal models (Kim et al., 2016; Alard et al., 2016; Wang et al., 2015; Ji et al., 2012). Kadooka et al. (2010) investigated the anti-obesity effect of the probiotic *Lactobacillus gasseri* SBT2055 by conducting a double-blind, randomised, placebo-controlled intervention trial with 87 overweight and obese subjects for 12 weeks. The data confirmed that the abdominal visceral and subcutaneous fat area, weight, BMI, as well as waist and hip measures were significantly reduced in the group consuming the probiotic. In another study (Woodard et al., 2009) 44 morbid obese patients were operated for weight loss by surgery (gastric bypass surgery) and were randomly divided in a probiotic administered group and a control group. A significantly higher weight loss was recorded in the group receiving the probiotic (described as "Puritan's Pride", containing a mixture of 2.4 billion live cells of *Lactobacillus* spp.). Park et al. (2013) reported a significant weight reduction of a C57BL/6 mice model after *Lactobacillus curvatus* HY7601 and *L. plantarum* KY1032 consumption, however, faecal microbiota modulation of major groups such as *Firmicutes* and *Bacteroidetes* was not monitored.

One of the major hurdles for an accurate clinical trial is to understand the effective dose of a probiotic at a strain-specific level. Selecting the correct dose of a probiotic for a specific purpose such as the alleviation of diarrhoea was suggested in various studies, yet, there is a general lack of scientific proof of a concept to define the functional dose of a probiotic (Kollaritsch et al., 1993; Kollaritsch et al., 1989; Islam, 2016). Chen et al. (2015) used a range of 5 different tenfold doses of *Lactobacillus acidophilus* in a colitis-induced animal model and reported 10^6 CFU/10 g of the animal weight as the most effective application level for modulating the bacterial profile in the distal colon. In our study we have monitored dose-related effects of three different strains of *L. sakei* and found only one strain, CJLS03, to show a dose-dependent anti-obesity effect while the anti-obesity impact of the other two strains was lower and dose-independent (Fig. S3). At dose levels from 1×10^8 to 1×10^{10} CFU/mL administration of strain CJLS03 resulted in a dose-related (progressive) reduction in the levels of TC, TG, AST, mesenteric adipose tissue

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and epididymal adipose tissue (Fig. S3). Adipose tissues were reduced relative to weight gain, and TG and TC showed the most significant reduction in the *L. sakei*-treated groups compared to the HFD control group. Another *L. sakei* strain (OK67) isolated from kimchi was reported to ameliorate HFD-induced blood glucose intolerance and obesity in mice; mechanisms for this effect have been suggested to be by inhibition of gut microbial lipopolysaccharide production and the inducing of colon tight junction protein expression (Lim et al., 2016).

Our study has confirmed the relevance of a strain-specific approach when selecting functional strains suitable for (costly and time-consuming) clinical studies. The importance of this issue has been emphasized in recent papers with regard to pre-clinical physiological studies on putative probiotic strains of lactic acid bacteria and *Bifidobacterium*. These studies involved features such as adhesion potential, antibiotic resistance and survival under simulated conditions of the upper GIT, in addition to the modulation of the gut microbiome (Bubnov et al., 2018).

CONCLUSIONS

This *in vivo* investigation showed that beneficial effects of putative probiotics are both strain-specific and dose-related. For only one (CJLS03) out of three *L. sakei* strains an anti-obesity effect could be detected, which, at the same time, was found to be dose-dependent. The highest of three doses (1×10^{10} CFU/day) of CJLS03 gave the most favourable (significant) biomarker-related effects with regard to cholesterol and triglyceride reduction, when compared to the HFD control.

ADDITIONAL INFORMATION AND DECLARATIONS

Funding

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679 (NRF) No. 2016M2A9A5923160 and 2018M3A9F3021964 (Ministry of Science, ICT & Future
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687

688 **Competing Interests**

689 YJ, SP, WHH have received research grants, via Handong Global University, from CJ CheilJedang
690 Corp., Republic of Korea. YC, DJ, BK are employed by CJ CheilJedang Corp., Republic of Korea.

691

692 **Author Contributions**

- 693 • Yosep Ji, Young Mee Chung and Soyoung Park were equally involved in designing and
694 conducting the experiments and are jointly first co-authors.
- 695 • Yosep Ji, Young Mee Chung and Soyoung Park analysed the data, prepared the figures
696 and tables and drafted the first version of the paper.
- 697 • Dahye Jeong, Bongjoon Kim, Wilhelm H. Holzapfel and Yosep Ji conceived the
698 experiments, contributed reagents/materials/analysis tools, and reviewed drafts of the
699 paper together with Soyoung Park.

700 **Animal Ethics**

701 The animal study was approved by the Ethical Committee of KPC Ltd. in Korea (P150067) in full
702 compliance with ethical standards as specified by Korean law.

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704 **Supplemental Information**

705 Supplemental information for this article can be found online at.....

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Captions for Figures

Figure 1 (A) Body weight after 48 days, (B, C, D) and increase over the 48-day period; (E) body weight gain after 48 days, and (F) daily feed consumption of each group. **LFD**, low-fat diet; **HFD**, high-fat diet; **CJB38**, **CJB46** and **CJLS03** denote the three *L. sakei* strains; the three dose levels of each strain administered together with the HFD were 1×10^{10} CFU/mL (high-dose, H), 1×10^9 (medium-dose, M) and 1×10^8 CFU/mL (low-dose, L). The values for each index are expressed as the mean \pm SD (n = 10). Asterisks denote the level of significance compared to HFD as *: p<0.05, **: p<0.01 and ***: p<0.001.

Figure 2 Serum biomarkers of each experimental group showing (A) triglycerides, (B) glucose, (C) total cholesterol, (D) high density lipoprotein (HDL), (E) low density lipoprotein (LDL) and (F) aspartate transaminase (AST). **LFD**, low-fat diet; **HFD**, high-fat diet; **CJB38**, **CJB46** and **CJLS03**

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1000 denote the three *L. sakei* strains; the three dose levels of each strain administered together with
 1001 the HFD were 1 X 10¹⁰ CFU/mL (high-dose, H), 1 X 10⁹ (medium-dose, M) and 1 X 10⁸ CFU/mL
 1002 (low-dose, L). The values for each index are expressed as the mean +/- SD (n = 10). Asterisks
 1003 denote the level of significance compared to HFD as *: p<0.05, **: p<0.01 and ***: p<0.001.
 1004
 1005 **Figure 3** Organ weights of each experimental group showing (A) epididymal adipose tissue, (B)
 1006 mesenteric adipose tissue, (C) subcutaneous adipose tissue, (D) brown adipose tissue, (E) liver
 1007 and (F) femoral muscle. LFD, low-fat diet; HFD, high-fat diet; CJB38, CJB46 and CJLS03 denote
 1008 the three *L. sakei* strains; the three dose levels of each strain administered together with the
 1009 HFD were 1 X 10¹⁰ CFU/mL (high-dose, H), 1 X 10⁹ (medium-dose, M) and 1 X 10⁸ CFU/mL (low-
 1010 dose, L). The values for each index are expressed as the mean +/- SD (n = 10). Asterisks denote
 1011 the level of significance compared to HFD as *: p<0.05, **: p<0.01 and ***: p<0.001.

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