Effects of root phenotypic changes on the deep rooting of *Populus euphratica* seedlings under drought stresses

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Background. Deep roots are critical for the survival of *Populus euphratica* seedlings on the floodplains of arid regions where they easily suffer drought stress. Drought typically suppresses root growth, but *P. euphratica* seedlings can adjust phenotypically in terms of root-shoot allocation and root architecture and morphology, thus promoting deep rooting . However, the root phenotypic changes undertaken by *P. euphratica* seedlings as a deep rooting strategy under drought conditions remain unknown. **Methods.** We quantified deep rooting capacity by the relative root depth (RRD), which represents the ratio of taproot length to plant biomass and is controlled by root mass fraction (RMF), taproot mass fraction (TRMF), and specific taproot length (STRL). We recorded phenotypic changes in one-year-old *P. euphratica* seedlings under control, moderate and severe drought stress treatments and assessed the effects of RMF, TRMF, and STRL on RRD. **Results.** Drought significantly decreased absolute root depth but substantially increased RRD via exerting positive effects on TRMF, RMF, and STRL. Under moderate drought, TRMF contributed 55%, RMF 27% and STRL 18% to RRD variation. Under severe drought, the contribution of RMF to RRD variation increased to 37%, which was similar to the 41% for TRMF. The contribution of STRL slightly increased to 22%. **Conclusion.** These results suggest that the adjustments in root architecture and root-shoot allocation were predominantly responsible for deep rooting in *P. euphratica* seedlings under drought conditions, while morphological changes played a minor role. Moreover, *P. euphratica* seedlings rely mostly on adjusting their root architecture to maintain root depth under moderate drought conditions, whereas root-shoot allocation responds more strongly under severe drought conditions, to the point where it plays a role as important as root architecture does on deep rooting.

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2 **rooting of *Populus euphratica* seedlings under**

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13

14 **ABSTRACT**

15 **Background.** Deep roots are critical for the survival of *Populus euphratica* seedlings on the

16 floodplains of arid regions where they easily suffer drought stress. Drought typically suppresses

17 root growth, but *P. euphratica* seedlings can adjust phenotypically in terms of root-shoot allocation

18 and root architecture and morphology, thus promoting deep rooting. However, the root phenotypic

19 changes undertaken by *P. euphratica* seedlings as a deep rooting strategy under drought conditions

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21 **Methods.** We quantified deep rooting capacity by the relative root depth (RRD), which represents

22 the ratio of taproot length to plant biomass and is controlled by root mass fraction (RMF), taproot

23 mass fraction (TRMF), and specific taproot length (STRL). We recorded phenotypic changes in

24 one-year-old *P. euphratica* seedlings under control, moderate and severe drought stress treatments

25 and assessed the effects of RMF, TRMF, and STRL on RRD.

26 **Results.** Drought significantly decreased absolute root depth but substantially increased RRD via

27 exerting positive effects on TRMF, RMF, and STRL. Under moderate drought, TRMF contributed

28 55%, RMF 27% and STRL 18% to RRD variation. Under severe drought, the contribution of RMF

29 to RRD variation increased to 37%, which was similar to the 41% for TRMF. The contribution of

30 STRL slightly increased to 22%.

31 **Conclusion.** These results suggest that the adjustments in root architecture and root-shoot

32 allocation were predominantly responsible for deep rooting in *P. euphratica* seedlings under

33 drought conditions, while morphological changes played a minor role. Moreover, *P. euphratica*

34 seedlings rely mostly on adjusting their root architecture to maintain root depth under moderate

35 drought conditions, whereas root-shoot allocation responds more strongly under severe drought

36 conditions, to the point where it plays a role as important as root architecture does on deep rooting.

37

INTRODUCTION

The root system is the main plant organ acquireing below-ground resources. To adapt to the inherent heterogeneity of soil resources, plants can adjust root phenotype on different integrated levels (Chapin, 1991; Nicotra et al., 2010; Poorter et al., 2012): they can change their relative investment of biomass in shoots and roots on an individual-level, they can adjust root system architecture on an organ-level, or alter root morphology on a module-level (Fig. 1). Most likely, plants adjust their roots on all three levels. However, different environmental stresses may result in different degrees of root phenotypic adjustments, resulting in the different magnitude in functional contributions for plants under environmental stress. For instance, Freschet, Swart & Cornelissen (2015) found that root mass fraction (RMF; the proportion of total plant mass allocated to roots) responded more strongly than specific root length (SRL; root length for a given unit of plant mass) to nutrient deficiency, thus suggesting that with nutrient stress, the increased allocation to roots seems more important than the root morphological change for the plant to achieve an increase in root length. Nevertheless, root architectural change is a basic way to improve fine-root function efficacy (Lynch, 1995; McCormack et al., 2017). Increasing the density and length of the distal roots can increase root absorption area (Kong et al., 2014). Additionally, different root patterns can influence plant uptake efficiency in heterogeneous resource environments (Lynch, 2005) and can affect the ability of root systems to capture relatively immobile versus mobile soil resources (Fitter, 1987). However, few studies have focused on the relative functional importance of the different phenotypic changes in stressed plants, especially the relative role of root architectural changes (Weemstra et al., 2016; except Kramer-walter & Laughlin, 2017; Freschet et al., 2018).

Populus euphratica Oliv. (Salicaceae) is a dioecious riparian tree species found discontinuously within the continental-arid climate region of Central Asia (Browicz, 1977; Wang, 1996), which forms monospecific stands along continental rivers. This poplar is an obligate phreatophyte with a root system that continuously contacts the groundwater or the soil water-saturated zone (Zhu et al., 2009), meaning that its growth and survival depends highly on locating

65 and acquiring groundwater (Gries et al., 2003). However, its seedlings cannot reach groundwater

66 during the early stages, meaning that under the harsh environments in arid regions, *P. euphratica*

67 can only propagate generatively in the freshly deposited floodplain soils from May to August (Cao

68 et al., 2012). Even so, the optimal soil conditions in such floodplains for seedling germination and

69 growth only exist for a short time, as the surface soil post-flood rapidly becomes increasingly dry

70 and salty due to evaporation. The rapid onset of winter also shortens the growth period for the

71 seedlings. As a result, *P. euphratica* seedlings must establish a deep root system during the early

72 stage, as only seedlings with deep roots can secure water uptake during the dry period and survive

73 the following year (Zerbe & Thevs, 2011). According to Thevs et al. (2008), Wiehle et al. (2009),

74 and our previous field investigations, *P. euphratica* seedlings established in floodplains possess

75 deep root systems very asymmetrical in size to the shoots. Therefore, deep rooting is considered a

76 key process for survival and establishment of early *P. euphratica* seedlings in an inconsistent

77 riparian environment (Hukin et al., 2005; Wang et al., 2015).

78   *Populus euphratica* seedlings are easily subjected to drought stress in semi-arid regions,

79 (Thevs et al., 2008; Stella et al., 2010), which decreases biomass accumulation and limits root

80 development into the deep soil. Accordingly, they take some phenotypic adjustments in order to

81 deal with drought stress, such as increased root biomass allocation (Wang et al., 2015), root

82 architecture adjustment and root morphology regulation (i.e., increased SRL; Lü et al., 2015). Each

83 of these changes was believed to cause increased seedling root depth. However, as previously

84 outlined, different levels of phenotypic changes may have different functional contributions, and

85 thus the knowledge is still incipient regarding the exact effects of root phenotypic changes on the

86 root depth of *P. euphratica* seedlings under drought stress. Further research on this issue would

87 aid in our understanding of the drought adaptive strategy of *P. euphratica* and other similar deep-

88 rooted plants in the arid regions.

89   To understand the changes to plant root depth in response to environmental conditions, it is

90 important to distinguishing between absolute and relative plant dimensions because water deficit

91 may strongly influences the overall plant size in a negative manner (Fig. 1), which often obscures

92   the underlying deep-rooting process (Schenk & Jackson, 2002). Therefore, we adopted the

93   absolute root depth to total plant biomass ratio to quantify relative root depth (RRD), i.e., deep-

94   rooting capacity, which follows the method of Ryser & Lambers (1995) who used root length per

95   total plant biomass to express the relative length of fine roots, i.e., root uptake capacity. Here, we

96   define deep-rooting capacity as the capacity of the plant use all available resources to achieve an

97   increase in root depth via all possible ways. As so, deep-rooting capacity is regarded as an overall

98   capacity that could be depicted by several facets of drought responses (this definition is similar to

99   that of root nutrient acquisition capacity used by Freschet et al. (2018)). Thus, RRD

100   mathematically follows from the increases in RMF and/or the proportion of root system mass

101   invested in taproot (i.e., taproot mass fraction (TRMF)) and/or the taproot length achieved per unit

102   taproot mass (i.e., specific taproot length (STRL)) (see Eq. 1).

103   The present study aimed to answer the following questions: (1) How do *P. euphratica*

104   seedling roots change phenotypically on the individual-, organ- and modular- levels under drought

105   stress? (2) Which level of root phenotypic adjustment (root-shoot allocation, root architecture, or

106   morphology) plays a leading role in facilitating the deep rooting of the drought-stressed seedlings?

107   and (3) Does the relative contributions of these different root phenotypic adjustments on deep

108   rooting vary with drought intensity?

MATERIALS & METHODS

Experimental method

Nursery phase

This study was conducted at the State Forest Farm located in Ejin Banner, Inner Mongolia, China. All seeds were randomly collected from a mature natural forest dominated by *P. euphratica* (41°57 51.3″ N, 101°05 06.0″ E) along the Ejin River. Planted pots were 20 L in volume, 40 cm in depth, and filled with 16 kg (dry weight) of substrate – a 4/6 (v/v) mixture of peat and sand. A slow-release fertilizer (4 g L^{-1} Osmocote 16:9:12 NPK and trace elements, product code: 8840) was pre-mixed within the substrate. From May to June 2016, *P. euphratica* seeds were sown and germinated in 90 plastic pots placed in a greenhouse. After a month of growth with normal management, three seedlings per pot, averaging 2.0 ± 0.5 cm in height and bearing four to six leaves, were selected and transferred to the open-air nursery. After two weeks of acclimation, only a single healthy seedling per pot, averaging 7.0 ± 1.5 cm in height and 0.9 ± 0.2 mm in ground diameter, was kept. This nursery phase took about 50 days.

Experimental treatments

This experiment was conducted over a period of 60 days from July to September 2016, during which the average of daily minimum and maximum temperatures were 21.7 °C and 34.3 °C respectively. The daily maximum temperature ranged from 25 °C to 44 °C. The experiment was conducted in a completely randomized design, including three water treatments: 70–80% of field capacity [(optimal water content (OW)], 50–60% of field capacity [moderate drought stress (MD)], and 30–40% of field capacity [(severe drought stress (SD)]. Each treatment was replicated 30 times, and each replication consisted of s a seedling planted in an independent pot.

The seedlings were randomly assigned to one of the three treatments to avoid the variance in individual growth. Pot body was completely hidden into ground to avoid pot warming and just exposed surface soil to the arid climate, by which the soil in pot could have the relative real underground temperature and moisture in vertical gradient. Because the evapotranspiration rate in Ejin is high during summer days, soil water content was supplemented every day using the weight

137   method to keep it at a certain range. After water supplementation, the pots were rearranged

138   randomly to neutralize the influence of potential environmental heterogeneity. Plastic film was

139   used to cover the nursery to avoid rainfall. Owing to the death of five seedlings subject to the SD

140   treatment, and to the destruction of two seedlings from OW treatment and two seedlings from MD

141   treatment during destructive sampling and root measurements, only 81 seedlings were successfully

142   measured and accessed to analyses.

143   *Growth measurements and destructive sampling*

144   Intact root systems were cleaned of soil with a gentle water jet while a sieve was used to collect

145   any root fragments detached from the system during this process. The cleaned root systems were

146   then floated on water in a transparent tray and imaged using an Epson Expression Perfection V850

147   Pro Scanner with 800 dpi resolution (Fig. 2). Adobe Photoshop CS6 software was used to reduce

148   image noise and black margins. The image analysis software (Win-RhIZO 2013a Pro Instruments

149   Inc., Québec, Canada) was used to analyze images and to estimate the length of total, lateral, and

150   distal roots, the average diameter of the total roots, the number of lateral roots, as well as the

151   external path length (p_e), magnitude (μ), and altitude (a) (Table 1). The taproot was then detached

152   from the root system with scissors, and its length and dry mass were determined. Lateral roots

153   were defined as the root segments connected to the taproot with a root order > 3, so as to guarantee

154   that such roots perform conducting and foraging functions. Three intact lateral root branch

155   segments with over three levels of root order were selected randomly and scanned to estimate the

156   average length and diameter of the distal roots. Using a scalpel, all distal fine roots were dissected

157   and was determined with its dry mass. If it was impossible to meet these criteria, the whole root

158   system was measured. The dry weights of the taproot, sampled distal roots, and the other root parts

159   were obtained by air drying plant matter in an oven at 72 °C for 60 h. Total root biomass, specific

160   root length, biomass-related variables, and two topological indices were calculated using these dry

161   weight values (Table 1).

162

163   **How to represent root phenotypic adjustments and deep-rooting capacity**

164   As in many other studies, root-shoot allocation was quantified as the fraction of plant biomass

165   invested in the roots (RMF; see Table 1 for definition), and taproot morphological change could

166   be expressed as specific taproot length (STRL; see Table 1 for definition). These two aspects of

167   root phenotypic change both have independent effects in the adjustment of root length (i.e., root

168   depth in this study) (Fig. 1).

169   As it is difficult to fully measure root architecture, previous studies commonly used topology

170   to describe the altered branching patterns indicative of a facet of the root architectural change

171   (Fitter, 1987; Harper, Jones & Sackville, 1991; Lynch, 1995). Here, we not only used the

172   topological index (Fitter, 1987; Glimskär, 2000) and the dichotomous branching index

173   (Šmilauerová & Šmilauer, 2002), both commonly applied to characterize root topology (i.e., TI

174   and DBI, respectively; see Table 1 for definitions), but also used the taproot mass fraction (TRMF;

175   see Table 1 for definition) to characterize root architectural change.

176   It is logical to characterize root architecture as the biomass proportion of a certain functional

177   root module in relation to the whole root system (e.g. TRMF) because the root branching pattern

178   just refers to the coordinated growing relation among different functional root modules, and this

179   relation could be represented with biomass proportion as a mass proxy. In particular, an extreme

180   herringbone-like branching pattern has been found to be primarily confined to the main axis (Fitter

181   et al., 1991) – that is, possessing the largest proportion of taproot biomass. Therefore, considering

182   taproot as a key root module functioning in deep rooting, in this study TRMF was specially used

183   to trace the facet of root architectural change that contribute to deep rooting. In addition, the

184   correlation between TRMF and the commonly used TI and DBI has been examined to determine

185   the availability of TRMF to representing root architecture (R^2=0.643 and 0.698 respectively, both

186   P< 0.001); Fig. S1), and the results indicated that TRMF could be feasibly used in this study.

187   *Populus euphratica* has an obvious taproot that determines root system depth, and thus

188   absolute root depth can be reflected by taproot length. Accordingly, relative root depth (RRD; see

189   Table 1) was calculated as the absolute taproot length to taproot biomass ratio. Finally, RRD can

190   be factored into RMF, TRMF, and STRL, as follows:

191

$$RRD = RMF \times TRMF \times STRL \qquad \text{(Eq. 1)}$$

193

194 **Data analyses**

195 Differences observed in biomass allocation as well as in root architecture and morphology among

196 the three drought treatments were tested using one-way analysis of variance (ANOVA, Welch's F

197 test). After that, variations of statistical significance were further subjected to *post hoc* pairwise

198 analysis by applying *t*-tests with Bonferroni corrections, or Games-Howell tests if the homogeneity

199 of variances was not assumed, considering $P<0.05$ as significant. The dependence between TRMF

200 and TI or TRMF and DBI was determined by Pearson's correlation analysis. Statistical analyses

201 were performed in SPSS (version 19, SPSS Inc., Chicago, IL, USA).

202 We calculated the relative contributions of the variance in RMF, TRMF, and STRL to RRD,

203 referencing the variance partitioning method of Rees et al. (2010) and Freschet et al. (2015). Given

204 that RRD $= RMF \times TRMF \times STRL$, our calculation can be expressed as:

$$rrd = rmf + trmf + strl \qquad \text{(Eq. 2)}$$

206 , where the lowercase acronyms indicate $\log_e$-transformed variables (e.g., $rrd = \ln(RRD)$). Thus,

207 the variance decomposition of rrd, for instance, can be expressed as follows:

208 $Var(rrd) = Var(rmf) + Cov(rmf,trmf) + Cov(rmf, strl) + Var(trmf) + Cov(rmf, trmf) + Cov$

209 $(trmf, strl) + Var(strl) + Cov(rmf, strl) + Cov(trmf, strl)$ \qquad (Eq. 3)

210 Following Eq. 3, as a sample, the contribution of variation in trmf to the variation in rrd can be

211 written as:

$$Cont(trmf) = [Var(trmf) + Cov(rmf, trmf) + Cov(trmf, strl)]/Var(rrd) \qquad \text{(Eq. 4)}$$

213 , where Var is the variance and Cov is the covariance. This variance partitioning was only

214 performed when substantial variation (i.e., $\geq 15\%$) in rrd was observed across treatments, so as to

215 avoid meaningless results.

216

217 **RESULTS**

218 **Drought-induced phenotypic changes in biomass, root morphology, and root architecture**

219 Drought stress inhibited *P. euphratica* seedlings' growth in both biomass and root morphology

220 (Table. 2). Drought conditions caused a dramatic decrease in the biomass of different plant parts,

221 total root length, and the average length of taproot, lateral roots, and distal roots. However, when

222 examining responses to differing drought intensities, taproot length and taproot biomass did not

223 display a consistent declining trend with increasing drought intensity, and there was no difference

224 in taproot length and taproot biomass between MD and SD treatments (Table 2). Moreover, the

225 diameter of different root classifications had different responses to drought (Table 2). Root

226 diameter (calculated with total roots) did not change significantly under drought conditions, but

227 distal root diameter increased and lateral root diameter decreased significantly (Table 2). Taproot

228 diameter decreased with drought, but not significantly. Furthermore, while the SRL (calculated

229 with total roots) did not changed by drought, the SRL of the distal roots (i.e., SDRL) – the root

230 components more actively involved in water uptake – showed a significant decrease in SD in

231 relation to OW (Table 2).

232 *Populus euphratica* seedlings showed a high plasticity in root branching patterns under

233 different drought stresses. DBI and TI measures the degree to which a root is perfectly herringbone

234 (DBI or TI equal to 1) or dichotomous branching (DBI equal to 0, or TI close to 0.5). Values of

235 DBI and TI differed significantly among the three drought treatments, with the highest values in

236 the SD and the lowest in the OW (Table 2). The ranges of value presented by the total samples

237 were 0.650 to 0.844 for TI and 0.032 to 0.392 for DBI. Lateral root branching density, a simple

238 but direct trait reflecting root branching, showed a markedly decreased trend from OW to SD

239 treatments (Table 2).

240

241 **Different levels of root phenotypic variables related to deep rooting changed differently**

242 **under drought**

243 Drought had a significant positive effect on RRD, but this increase was not proportional to the

244 differences in RRD between SD and MD, it was almost three times greater than the difference in

245   RRD between MD and OW (Table 3). Regarding the indices representing different levels of root

246   phenotypic changes, RMF and TRMF increased markedly with increasing drought severity, while

247   STRL did not significantly increase under drought conditions. In addition, the TRMF under SD

248   was not significantly different from that under MD, but RMF markedly increased under SD in

249   relation to that under MD (Table 3).

250

251   **Relative contributions of different root phenotypic changes to deep rooting**

252   These results indicated that drought stress had a positive effect on RRD mainly via significant

253   positive effects on TRMF and RMF. Generally, TRMF and RMF contributed to over 75% of the

254   variation in RRD, while STRL contributed to only 20% (Fig. 3). Moreover, TRMF always had the

255   largest relative contribution to the variation of RRD both under MD (55%) and under SD (41%)

256   in relation to that under OW (Fig. 3). Furthermore, the relative contributions of RMF, TRMF, and

257   STRL to RRD variation changed with drought intensity (Fig. 3). TRMF contributed 55% of RRD

258   variation between MD and OW, which was larger than the 27% contributed by RMF and 18% by

259   STRL. However, under severe drought stress, RMF contributed to 37% of the variation in RRD,

260   nearly equal with 41% for TRMF. The contribution of STRL on RRD variation also increased

261   slightly to 22%. In addition, the variation of RRD from MD to SD treatments was even more

262   attributable to RMF changes (41%) than to TRMF changes (36%) (Fig. 3).

263

264   **DISCUSSION**

265   **Root adaptive responses to drought stress in terms of allocation, morphology, and**

266   **architecture**

267   One of the basic ways for plants to adapt to a shortage of below-ground resources is to maximize

268   fine root area (e.g., decreased root diameter (RD) and increased SRL under drought stress) (Fitter,

269   1985; Cortina et al., 2008; Olmo, Lopez-Iglesias & Villar, 2014). However, in the present study,

270   the RD and SRL of whole roots exhibited no significant change, but the RD of distal roots

271   increased and SDRL decreased under drought conditions (Table 2). Commonly, roots with a

272   smaller RD and higher SRL is advantageous under drought stress, as the smaller RD conveys

273   higher resistance to root embolism (Alameda & Villar, 2012) through the smaller xylem vessel

274   diameter (Fitter, 1987), and a higher SRL probably is meant to commit limited carbohydrate supply

275   for extensional growth (Trubat, Cortin & Vilagrosa, 2006). The unusual results (increased RD of

276   distal root and decreased SDRL under drought) in this study were likely due to the smaller SRL,

277   which is positively correlated with root life span and respiration rate (McCormack et al., 2012),

278   allowing *P. euphratica* seedlings to save considerable energy in dry soil through a low root

279   turnover rate in distal roots. Moreover, thicker fine roots would be able to penetrate into the more

280   compacted soils imposed by soil drying (Bengough et al., 2005). In addition, the thicker distal

281   roots of *P. euphratica* seedlings show higher drought resistance to preserve their vitality in the

282   topsoil of arid-region floodplains where soil water availability is widely fluctuating with the

283   regime of flood and dry seasons (Leon et al., 2011).

284   Plants mostly elongate root internode lengths to adapt to drought environments (Nicotra &

285   Westoby, 2002) as this also extends the volume of root exploring (Fitter & Stickland, 1992).

286   However, in our results, average root length did not significantly change and lateral root length

287   clearly decreased under drought stress (Table 2). Ecologically, floodplain soil possesses a high

288   infiltration rate, which is due to sandy soil layer (several meters in depth) deposited under the 10–

289   50 cm deep surface clay soil layer formed by floodwater sedimentation (Thevs et al., 2008). Thus,

290   rooting downward into deep soil is more critical for drought-stressed *P. euphratica* seedlings than

291   rooting in other directions and shortening most of their lateral roots can avoid inefficient

292   investments in the horizontal exploration of soil zone (Padilla & Pugnaire, 2007; Bauerle et al.,

293   2008).

294   Root architecture plays a major role in determining root resource-uptake efficiency (Fitter,

295   1987; Lynch, 2005). This multidimensional root feature is generally described by measuring root

296   topology (Harper et al., 1991) or branching density/intensity (Kong et al., 2014). In the present

297   study, the lateral root branching density was reduced under drought conditions (Table 2). From a

298   functional point of view, the sparse lateral root branching of *P. euphratica* seedlings seems to

299   conserve the high metabolic cost of root construction and maintenance, which can commonly

300   exceed 50% of daily photosynthesis (Lambers, Atkin & Millenaar, 2002). Besides, the sparse

301   lateral root branching may reduce the competition for water among the roots of an individual plant

302   (Fitter et al., 1991; Taub & Goldberg, 1996), which effectively increases the uptake efficiency per

303   unit of lateral root length (Postma, Dathe & Lynch, 2014).

304   Our results indicated that *P. euphratica* seedlings tended to create a herringbone-like

305   branching pattern under drought conditions, as revealed by the increased TI and DBI values under

306   increased drought treatments (Table 2). This finding is in line with most model-based and

307   empirical studies conducted on plants under drought conditions (Fitter, 1991; Taub & Goldberg,

308   1996). Herringbone-like root systems possess higher exploration efficiency (Ho et al., 2005; Paula

309   & Pausas, 2011), thereby allowing for *P. euphratica* seedlings to reach water-rich deep soils

310   quickly. Additionally, it should be noticed that although our experiment exists an obvious vertical

311   gradient of soil moisture in pot, stimulating more actual ground water condition and different soil

312   texture layers would be better for determining deep-rooting strategy of *P. euphratica* seedlings in

313   further studies.

314

315   **Changes to absolute and relative root depth under drought stress**

316   The four-month-old *P. euphratica* seedlings grown in our experiment ultimately presented total

317   biomasses ranging from about 0.2–0.5 g (Table 2), and, surprisingly, they developed taproots with

318   approximately 26 to 35 cm long (Table 2). This indicates that, despite their low biomass

319   accumulation rate, *P. euphratica* seedlings have a great capability to root deeply at their early

320   stages. The miniscule biomass accumulation by the first-year seedlings might be due to their

321   extremely small and light seeds (0.1–0.2 g per thousand seeds). A similar ontogeny was also found

322   in other studies concerning first-year riparian seedlings. An experiment under drought conditions

323   conducted by Wang et al. (2015) showed that *P. euphratica* seedlings sown in April had about 1 g

324   dry mass and over 22 cm taproot length by the end of July. Likewise, a study of riparian tree

325   seedlings (family Salicaceae) conducted by Stella et al. (2010) demonstrated that three-month-old

326   cottonwood seedlings (*P. fremontii*) had 0.3 g dry weight with roots over 20 cm in depth, and that

327   three-month-old *Salix exigua* and *S. gooddingii* seedlings developed root depths exceeding 25 cm

328   and 40 cm, respectively, despite exhibiting dry weights of only 0.22 g and 0.4 g, respectively.

329   Clearly, the tiny but deep-rooting seedling phenotype seems common in riparian tree species

330   growing in arid regions.

331   Changes in plant root depth under drought stress are controlled by two processes (Fig. 1)

332   (Sultan, 2000). On the one hand, drought stress weakens photosynthesis, dwindles the accumulated

333   biomass and body size, and thereby shortening the root depth. On the other hand, the root depth is

334   influenced by different drought-induced root phenotypic responses. In this study, the absolute root

335   depth of *P. euphratica* seedlings decreased significantly (Table 2) while the RRD increased

336   significantly under drought conditions (Table 3), indicating that the limitation in growth caused by

337   drought had an overwhelming effect on root depth, but which was compensated by enhancing

338   deep-rooting capacity of *P. euphratica* seedlings under drought.

339

340   **Relative contributions of root phenotypic changes to the increase of relative root depth**

341   Our results indicated that changes to root architecture and root-shoot allocation in *P. euphratica*

342   seedlings dominated for achieving deep rooting under drought conditions, while the role of

343   morphological changes was minor (Fig. 3). This supports the perspective of Freschet et al. (2015)

344   that root-shoot allocation was more important than root morphological changes for plant

345   adaptations to changing environmental conditions. Besides, STRL in this study did not

346   significantly increase under drought stress (table 3) and maintained relatively slight contributions

347   to deep rooting regardless of drought intensity (Fig. 3), although taproot stretching seems to be an

348   efficient way to increase root depth. This imply that phenotypic changes in module-level

349   (morphology) seems not much important for plants to respond belowground resources, given that

350   the role of SRL of fine roots (absorptive roots) are also marginal for plant phenotypic adaption to

351   nutrient limitation (Kramer-walter & Laughlin, 2017). The potential negative effects of increased

352   STRL on taproot function may account for this result. Because SRL is determined negatively by

353 the root diameter and root tissue mass density (Nicotra & Westoby, 2002), increased STRL means

354 decreased taproot diameter or tissue density. This negatively affects taproot conduction,

355 anchorage, and penetration, which are all essential functions for drought-suffered seedlings.

356   The relative contributions of changes in root-shoot allocation and root architecture were

357 altered obviously under different drought intensities (Fig. 3). Under MD, root architectural changes

358 played a decisive role (contribution over 50%) for increasing RRD. However, under SD, the root-

359 shoot allocation response was stronger than that under MD (Table 3), and its relative contribution

360 to deep rooting became nearly as important as that of root architectural changes (Fig. 3). This is

361 likely because increased root-shoot allocation would have decreased photosynthetic capacity and

362 accumulation of photosynthates (Muller et al., 2011), while root architecture changes seems more

363 carbon economical under drought conditions, by which plant would root deeply only at the cost of

364 weakening exploration capacity to the horizontal and surface soil (Thevs et al., 2008). Moreover,

365 the variation of RRD from SD to MD is about three times that of the variation from OW to MD

366 (Table 3), which indicates that keeping deep root is more important when drought becomes severe.

367 Therefore, adjustment in root architecture seems to be insufficient for *P. euphratica* seedlings to

368 root deeply under severe drought conditions. From a functional view, the seedlings possibly cannot

369 easily acquire the adequate amount of water to maintain metabolic processes with increased

370 drought stress. Accordingly, the seedlings would rely more on allocating biomass to roots, which

371 have an advantage in minimizing water loss of shoot transpiration and in enhancing deep rooting

372 potential (Brunner et al., 2015). In addition, our study revealed a practical implication for breeding

373 *P. euphratica* seedlings. To promote the survival of container seedlings transplanted in the field,

374 it is a good idea to breed the seedlings under moderate drought stress before transplantation, in

375 order to promote deeper and steeper root systems while minimally affecting their sizes.

376 It has been widely reported for many plants, including *P. euphratica* seedlings (Bogeat-Triboulot

377 et al., 2007), that root-shoot allocation responds significantly only to severe environmental stresses

378 (Poorter et al., 2012). A general explanation is that plants maintain their above-ground growth for

379 as long as possible under moderate soil environmental stresses to keep aboveground

380   competitiveness (Padilla et al., 2009; Poorter et al., 2012), but how plants tackle moderate

381   belowground resources stress to maintain shoot growth is still unclear. Our results indicate that *P.*

382   *euphratica* seedlings are able to adapt to moderate drought stress mainly via phenotypic

383   adjustments within their root systems. Here, we could raise an assumption that before root-shoot

384   allocation strongly responds to severe drought (Poorter et al., 2012), change in root architecture

385   mainly shoulder the absent role of root-shoot allocation for drought-suffered plant. This hypothesis

386   is based on a premise that plant phenotypic changes at the organ-level are more carbon economical

387   than that at the individual-level, while the latter should be more water economical than the former

388   for drought adaptation. To confirm this hypothesis, more functionally-different species need to be

389   further studied.

390

CONCLUSIONS

392   (1) *Populus euphratica* seedlings showed a conservative resource-use strategy in response to

393   drought stress, evidenced by thicker and shorter distal roots with lower SRL, sparser lateral

394   root branching, and herringbone-like root architecture under drought.

395   (2) The absolute root depth of *P. euphratica* seedlings was strongly constrained by drought, but

396   this negative effect was alleviated by changes in root-shoot allocation, root architecture, and

397   taproot morphology, resulting in a significant increase in relative root depth.

398   (3) Root architectural changes and root-shoot allocation dominated in order to achieve deep

399   rooting under drought conditions, while the role of taproot morphological changes was

400   relatively minor. Interestingly, their relative contributions to deep rooting varied with drought

401   intensity. Under moderate drought conditions, root architectural changes exerted a

402   predominant effect on increased relative root depth, but under severe drought, root-shoot

403   allocation and root architecture played equally important roles.

404 **ACKNOWLEDGEMENTS**

409

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Figure 1

Schematic representation of partitioning the total drought effect into environmental limitation of growth and different levels of root phenotypic responses

(A.) A scheme to increasing a certain root length through three levels of root phenotypic changes respectively. To achieve an increase of certain root length, plant can phenotypically adjust at three levels respectively, but most commonly, plant roots adjust at all three levels when they respond to drought. (B.) Drought exerts considerable influence in metabolism, causing an overall limitation of growth. (C.) Real growth situation is a syndrome from environmental limitation and multiple facets of integrated phenotypic responses

Environmental stress (e.g. drought)

Ideal growth situation

B.

Overwhelming drought effects
on biomass accumulation

Only·change·in·root-shoot·biomass·allocation·(individual-level)

Only·change·in·root·architecture·(organ-level)

Only·change·in·root·morphology·(module-level)

A.

Environmental limitation

Integrated change in different levels of root phenotype

C.

Adaptive phenotypic response

Real growth situation

Syndrome: the interaction of
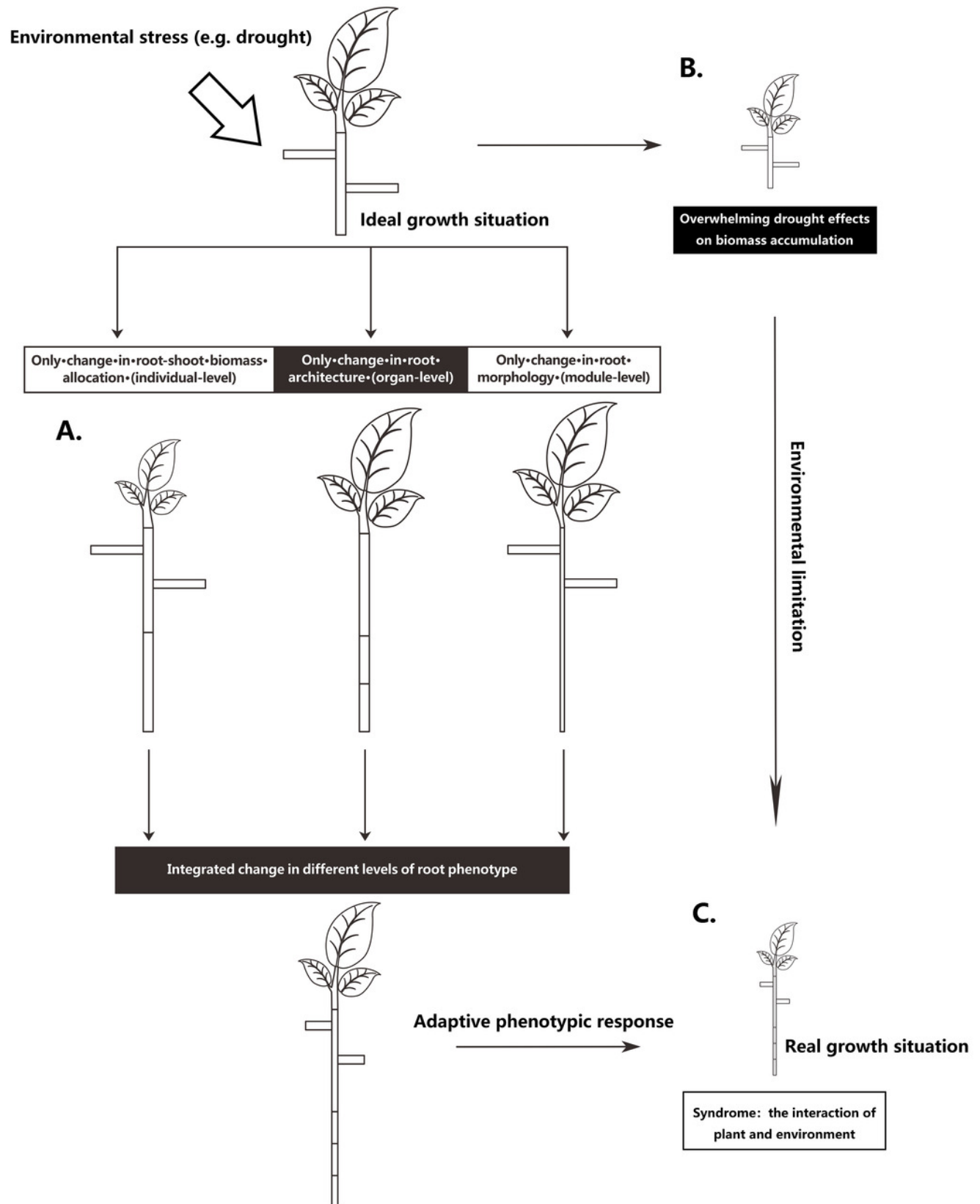plant and environment

Figure 2

Representative scan images used in the study to illustrate changes in root system of *P. euphratica* seedlings with drought treatments

The representative root images of *P. euphratica* seedlings show the relatively denser branch, deeper root depth and more herringbone-like branching pattern of root with drought increasing. (A) & (B) show the root images from the seedlings under OW, in which their TI=0.697, DBI=0.056, and TI=0.650, DBI= 0.032, respectively. (C) & (D) from the seedlings under OW, in which their TI=0.702, DBI=0.074, and TI=0.702, DBI=0.086, respectively. (E) & (F) from seedlings under SD in which their TI=0.754, DBI=0.185, and TI=0.773, DBI=0.258, respectively. **Note:** OW: optimal water content; MD: moderate drought stress; SD: severe drought stress.DBI is the dichotomous branching index and TI is the topology index, and both of them are used to quantify root architectural changes (for detailed definition see table 1). A DBI of 0 is characteristic of a perfectly dichotomous branching structure and similarly, a TI close to 0.5 means a dichotomous-like branching structure. Contrastly, both a DBI of 1 and a TI of 1 represents a perfectly herringbone branching structure.

A

C

E

Optimal water content

Moderate drought stress

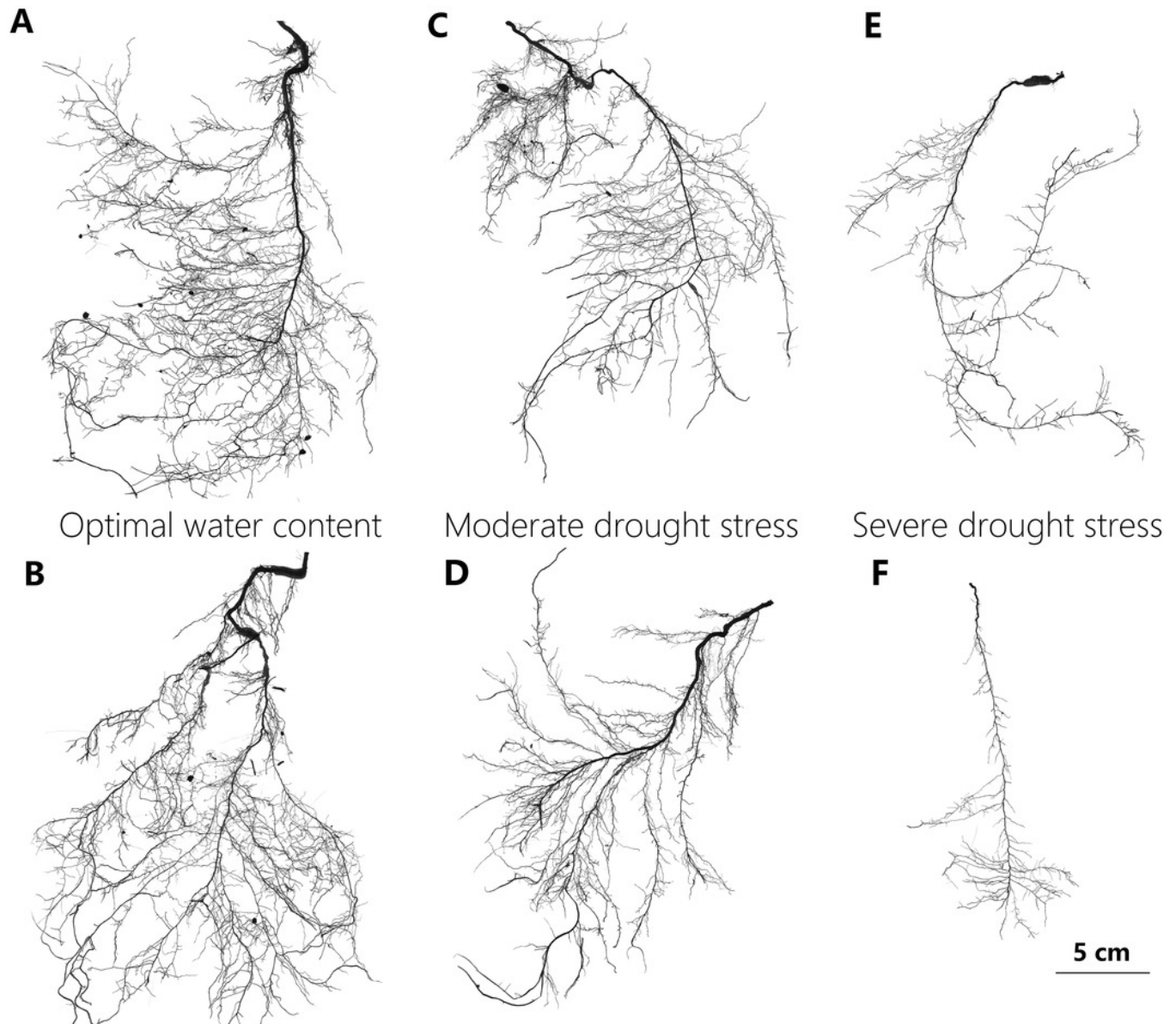Severe drought stress

B

D

F

5 cm

Figure 3

Relative contributions of root-shoot allocation (RMF, light grey bars), morphology (STRL, dark grey bars) and architecture (TRMF, black bars) variables to the variation in relative root depth (RRD).

From left to right, the first bar represents total contributions to the variation in RRD between OW and MD, the second bar represents that between OW and SD, and the third bar represents that between MD and SD. OW: optimal water content (control); MD: moderate drought stress; SD: severe drought stress.
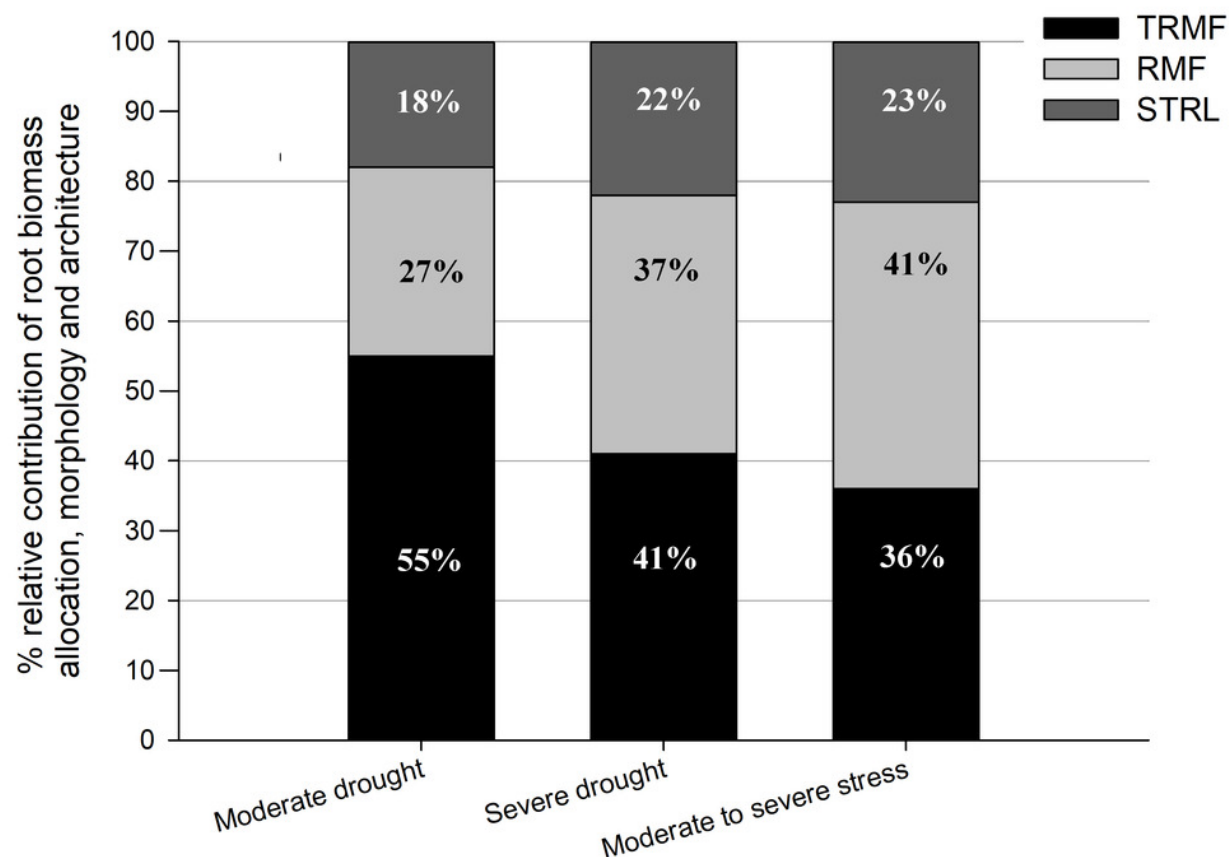
Table 1(on next page)

Calculated root variables and its abbreviations, units, description and functional role

1 **Table 1 Calculated root variables and its abbreviations, units, description and functional role**

Root variables (Abbrev.) units	Description	Functional role
Relative root depth (RRD) cm taproot [g plant]$^{-1}$	Taproot length per total plant dry mass	Capacity for deep rooting.
Root mass fraction (RMF) g root [g plant]$^{-1}$	The proportion of total plant mass allocated to roots	Total plant resources allocated to root functions (e.g. absorption, transport, foraging, anchoring) (Markesteijn & Poorter, 2009)
Taproot mass fraction (TRMF) g taproot [g root]$^{-1}$	The proportion of total root mass allocated to taproot	Total root resources allocated to the taproot functions.(e.g., anchoring, foraging, transport)
Specific taproot length (STRL) cm taproot[g taproot]$^{-1}$	Taproot length per amount of taproot biomass invested	The efficiency of taproot resources used to deep rooting.
Lateral root branching density (LRBD) number lateral root[cm taproot]$^{-1}$	Lateral roots branching number per unit of taproot length	Potential capacity of exploration and exploitation to horizontal soil resources
Topology Index (TI)	$TI = \log_{10}(a)/\log_{10}(\mu)$; altitude a is number of links in the longest path from base to tips; magnitude μ is number of external links or the number of root tips (Glimskär, 2000)	TI vary from 1 close to 0.5, and DBI vary between 0 and 1, both with large values indicative of a more herringbone-like root system that are thought to be more efficient at intercepting mobile resources , such as water, by extensive soil exploration, contrasted with dichotomous-like systems that are better at acquiring immobile resources, such as phosphorus by intensive soil exploration (Fitter, 1987)
Dichotomous branching index (DBI)	$DBI=[p_e-\min(p_e)]/[\max(p_e)-\min(p_e)]$; p_e is the sum of the number of links in all paths from each external link to the base link; $\max(p_e)$ and $\min(p_e)$ respectively is the theoretical external path length for a system of given magnitude that has a completely herringbone and dichotomous topology, for detailed calculation see Šmilauerová & Šmilauer (2002)	

2

Table 2(on next page)

Mean values of biomass variables, root branching variables and root morphological variables of *P. euphratica* seedlings at 110 days under drought treatments

Notes: Differences in variables among treatments were tested using factorial analysis of variance (ANOVA). Values are means± standard error (OW: n=25; MD: n=28; SD: n=28). In each row, means followed by different letters are significantly different (P<0.05). OW, MD and SD refers to optimal water content (control), moderate drought stress and severe drought stress separately. SRL=Specific Root Length; SRDL=Specific Distal Root Length; TI=Topology Index; DBI=Dichotomous Branching Index.

1 **Table 2 Mean values of biomass variables, root branching variables and root morphological**

2 **variables of *P. euphratica* seedlings at 110 days under drought treatments**

	Variables	OW (control)	MD	SD
Biomass	Total plant biomass (g)	0.46±0.02 [a]	0.35±0.02 [b]	0.22±0.02 [c]
	Above-ground biomass (g)	0.30±0.02 [a]	0.23±0.02 [b]	0.13±0.01 [c]
	Below-ground biomass (g)	0.16±0.01 [a]	0.12±0.01 [b]	0.09±0.01 [c]
	Taproot biomass (g)	0.043±0.003 [a]	0.032±0.002 [b]	0.030±0.002 [b]
Morphology	Total root length (cm)	662±37 [a]	516±44 [b]	393±41 [c]
	Average root length (cm)	0.376±0.048 [a]	0.367±0.038 [a]	0.236±0.027 [a]
	Root diameter (mm)	0.348±0.007 [a]	0.357±0.006 [a]	0.359±0.009 [a]
	SRL (cm/g)	4766±290 [a]	4846±357 [a]	5141±343 [a]
	Average distal root length (cm)	0.319±0.122 [a]	0.279±0.191 [b]	0.156±0.057 [c]
	Distal root diameter (mm)	0.278±0.006 [c]	0.302±0.007 [b]	0.337±0.007 [a]
	SDRL(cm/g)	10032±425 [a]	9487±661 [ab]	7843±361 [b]
	Average lateral root length (cm)	5.113±0.324 [a]	4.680±0.333 [ab]	3.765±0.298 [c]
	Lateral root diameter (mm)	0.881±0.035 [a]	0.757±0.034 [b]	0.653±0.029 [c]
	Taproot length (cm)	35.3±1.1 [a]	27.3±0.8 [b]	26.2±0.7 [b]
	Taproot diameter (mm)	1.60±0.091 [a]	1.48±0.093 [a]	1.35±0.082 [a]
Architecture	TI	0.713±0.007 [b]	0.731±0.008 [ab]	0.757±0.010 [a]
	DBI	0.101±0.010 [b]	0.153±0.016 [ab]	0.216±0.020 [a]
	Lateral root branching density (n/cm)	1.21±0.09 [a]	1.03±0.05 [b]	0.85±0.04 [c]

3 Notes: Differences in variables among treatments were tested using factorial analysis of variance

4 (ANOVA). Values are means± standard error (OW: n=25; MD: n=28; SD: n=28). In each row,

5 means followed by different letters are significantly different (P<0.05). OW, MD and SD refers to

6 optimal water content (control), moderate drought stress and severe drought stress separately.

7 SRL=Specific Root Length; SRDL=Specific Distal Root Length; TI=Topology Index;

8 DBI=Dichotomous Branching Index.

Table 3(on next page)

Representative indices of different root phenotypic changes and deep-rooting capacity of *P. euphratica* seedlings under drought treatments

Notes: Differences in Indices among treatments were tested using factorial analysis of variance (ANOVA). Values are means± standard error (OW: n=25; MD: n=28; SD: n=28). Within a row, means followed by different letters are significantly different (P<0.05). OW, MD and SD refers to optimal water content (control), moderate drought stress and severe drought stress respectively. RMF=Root Mass Fraction; TRMF=Taproot Mass Fraction; STRL=Specific Taproot Length; RRD=Relative Root Depth.

1 **Table 3 Representative indices of different root phenotypic changes and deep-rooting**

2 **capacity of *P. euphratica* seedlings under drought treatments**

Indices	OW (control)	MD	SD
RMF	0.338±0.009 [b]	0.353±0.013 [b]	0.418±0.013 [a]
TRMF	0.304±0.018 [b]	0.333±0.026 [ab]	0.393±0.030 [a]
STRL (cm/g)	889±37 [a]	919±45 [a]	1020±67 [a]
RRD (cm/g)	90.8±7.26 [b]	108.0±11.0 [ab]	166.0±15.9 [a]

3 Notes: Differences in Indices among treatments were tested using factorial analysis of variance

4 (ANOVA). Values are means± standard error (OW: n=25; MD: n=28; SD: n=28). Within a row,

5 means followed by different letters are significantly different (P<0.05). OW, MD and SD refer to

6 optimal water content (control), moderate drought stress and severe drought stress respectively.

7 RMF=Root Mass Fraction; TRMF=Taproot Mass Fraction; STRL=Specific Taproot Length;

8 RRD=Relative Root Depth.