

Seasonal variations of the ichthyoplankton assemblage in the Yangtze Estuary and its relationship with environmental factors

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Seasonal variations of the ichthyoplankton assemblage and its relationship with the environment were analyzed based on four seasonal surveys during 2012. Historical data was collected to be compared with results from previous years in order to indicate the seasonal and inter-annual variation of the ichthyoplankton assemblage in the Yangtze Estuary and the adjacent waters. A total of 3,688 individuals belonging to 5 orders, 9 families, and 15 species were collected. No samples were collected in the winter cruise. In 2012, all samples were separated into four ecotypes, which is comparable with the historical data from previous years. The *Engraulis japonicus* was the most abundant species of all teleost fishes. The *E. japonicus* was captured in every season and contributed the most to the abundance of ichthyoplankton, which was greater than that of previous years. This result may be due to the periodic fluctuations of *E. japonicus* or from the displacement of spawning grounds offshore for environmental reasons. The diversity indices of the assemblage were significantly different among seasons, with the number and abundance of the species peaking in the spring, while richness, evenness and diversity indices peaked in the autumn. The species richness of the ichthyoplankton varied from 0.74-1.62, the Pielou evenness index varied from 0.10-0.49 and the Shannon-Wiener index varied from 0.19-1.04. The results of CCA analysis showed that the major factors affecting the ichthyoplankton assemblage differed throughout the seasons. Chl_a was the key factor affecting the ichthyoplankton in 2012. These seasonal and inter-annual variations likely resulted from migrations associated with fish spawning as well as the environment. Compared with data from previous studies, the relationship between the assemblage structure of ichthyoplankton and corresponding environmental variables have undergone a decline.

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4

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20

21 **Abstract**

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23 were analyzed based on four seasonal surveys during 2012. Historical data was collected to be
24 compared with results from previous years in order to indicate the seasonal and inter-annual
25 variation of the ichthyoplankton assemblage in the Yangtze Estuary and the adjacent waters. A
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27 samples were collected in the winter cruise. In 2012, all samples were separated into four
28 ecotypes, which is comparable with the historical data from previous years. The *Engraulis*
29 *japonicus* was the most abundant species of all teleost fishes. The *E. japonicus* was captured in
30 every season and contributed the most to the abundance of ichthyoplankton, which was greater
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32 from the displacement of spawning grounds offshore for environmental reasons. The diversity
33 indices of the assemblage were significantly different among seasons, with the number and
34 abundance of the species peaking in the spring, while richness, evenness and diversity indices
35 peaked in the autumn. The species richness of the ichthyoplankton varied from 0.74-1.62, the
36 Pielou evenness index varied from 0.10-0.49 and the Shannon-Wiener index varied from 0.19-
37 1.04. The results of CCA analysis showed that the major factors affecting the ichthyoplankton
38 assemblage differed throughout the seasons. Chla was the key factor affecting the
39 ichthyoplankton in 2012. These seasonal and inter-annual variations likely resulted from
40 migrations associated with fish spawning as well as the environment. Compared with data from
41 previous studies, the relationship between the assemblage structure of ichthyoplankton and
42 corresponding environmental variables have undergone a decline.

43

44

45 **Introduction**

46 The Yangtze Estuary is a transitional region between freshwater and the sea, which is in an
47 advantageous geographical location with a distinct ecological environment. Profiting from the
48 freshwater runoff of the Yangtze River, the Taiwan Warm Current, the East China Sea Coastal
49 Current, and the Yellow Sea Coast Current, the Yangtze River has become an excellent
50 spawning and nursing ground for an array of economic fish species and a crucial fishery ground
51 in China (Luo and Shen, 1994). However, the Yangtze River basin, especially the estuary area, is
52 characterized by a high level of industrialization and urbanization (Chai et al, 2009), exposing
53 the estuary to anthropogenic agents from the populated areas and industries upstream of the
54 estuary. The construction and operation of the Three Gorges Reservoir has resulted in short-term
55 and long-term impacts not only on the ecosystem of the Yangtze Estuary, but also in the
56 distribution and community structure of marine organisms (Xian et al, 1994). Due to the intensity
57 of trawling operations and environmental pollution, the structure of marine fishery resources was
58 characterized by a recession in economic fish species as well as the variety of species (Shan and
59 Jin, 2011). Therefore, it is necessary to determine the relationship between the ichthyoplankton
60 assemblage and the environmental variation.

61 The spatial and temporal variation of ichthyoplankton assemblages has been widely studied in
62 the field of marine ecology (such as Yang et al, 1990; Zhu et al, 2002; Zhong et al, 2007; Zhang
63 et al, 2015;2016.). With better insight into the state of the Yangtze Estuary, many domestic
64 scholars have studied the seasonal variation in the composition and biodiversity of species, as
65 well as the characteristics of the ichthyoplankton assemblage structure and its relationship with
66 environmental factors such as the depth, dissolved oxygen, temperature, and salinity in this
67 region. In the springs of 1999 and 2001, Liu et al (2008) reported the taxonomic identification of
68 a total of 11540 ichthyoplankton individuals in the Yangtze Estuary, which belonged to 11
69 orders, 18 families, and 32 species. Salinity, depth, dissolved oxygen, and total suspended
70 particulate matter were the major factors affecting the ichthyoplankton assemblages in the study
71 areas. Wei et al (2012) reported that a total of 93 ichthyoplankton samples were collected at 15

72 stations in Hangzhou Bay in the summers from 2004 to 2010. As a result, 233 eggs and 29825
73 larvae were obtained. The correlation was significant between ichthyoplankton logarithm density
74 and factors of hydrological conditions. The goal of this paper is to show the characteristics of
75 the ichthyoplankton assemblage by gathering data on species composition and biodiversity in the
76 Yangtze Estuary from four cruises that took place in 2012, as well as to reveal the relationship
77 between the spatial-temporal distribution patterns in the ichthyoplankton assemblage and
78 environmental factors. The results could provide a scientific basis for the management and
79 sustainable utilization of fishery resources in the Yangtze Estuary.

80

81 **Materials and Methods**

82 **Data collection**

83 A total of 40 sampling stations were located at the Yangtze Estuary and its adjacent waters
84 ($30^{\circ}45'-32^{\circ}00'N$, $121^{\circ}00'-123^{\circ}20'E$) (Fig.1). Samples were collected using the trawl, guided by
85 the “Specification of Oceanographic Investigation” (GB12763-2007) in February, May, August,
86 and November of 2012. This gear has a horizontal opening of 0.8 m and a vertical opening of 2.8
87 m (mesh size of 0.5mm). The trawl was monitored horizontally with the vessel speed of
88 approximately 2 knots, lasting 10-min at each station. Samples taken from each trawl were
89 immediately preserved in a 5% formalin buffer for later sorting. Real-time data collected on the
90 environmental parameters of the water column included temperature (T), salinity (S), total
91 nitrogen (TN), total phosphorus (TP), pH, suspended matter (SPM), depth (D), dissolved oxygen
92 (DO), chemical oxygen demand (COD), and chlorophyll a (Chla). The collection of data was
93 under the guidance of the “Specification of Oceanographic Investigation” (GB12763-2007).
94 Field experiments were approved by Three Gorges Project Construction Commission of the State
95 Council, China (Project Numer:JJ2013011)

96

97 **Species identification**

98 At the laboratory, fish eggs and larvae were counted and sorted to the lowest possible

99 taxonomic level at each station according to the morphological characteristics found in the
 100 literature (Zhang et al, 1985; Cheng and Zheng, 1987; Wu et al, 2012;). These were classified
 101 into different ecotypes by their distinct ecological habits based on the descriptions in the
 102 literature (Yang et al, 1990; Luo and Shen, 1994). Numerous fish eggs and larvae that lacked
 103 clear morphological features could not be identified using this approach, so molecular
 104 identification was applied to prevent misidentification.

105

106 **Data analyses**

107 The abundance of ichthyoplankton was standardized and expressed as the total number of
 108 individual fish eggs and larvae per 10 min-trawling (ind/haul). The dominant species were
 109 determined using the Index of Relative Importance (IRI) developed by Zhu et al (2002):

$$110 \quad IRI = N * 100\% * F * 100\%$$

111 $N * 100\%$ and $F * 100\%$ are the relative abundance and frequency of occurrence, respectively.

112 The IRI of the dominant species should be greater than 100.

113 The Margalef's richness (D), Shannon-Wiener index (H' , \log_e), and Pielou's evenness (J') were
 114 calculated for each station. Related equations were as follows (Ludwig and Reynolds, 1988;
 115 Qian and Ma, 1994):

116

$$117 \quad D = (S - 1) / \ln N$$

$$118 \quad H' = - \sum_{i=1}^S P_i \ln P_i$$

$$119 \quad J' = H' / \ln S$$

120 Where “ S ” is the number of species, “ N ” is total individuals, and “ P_i ” is the proportion of fish
 121 species individuals to the total individuals.

122 The homoscedasticity was measured with the method Levene's test, after which a one-way
 123 ANOVA was performed to assess the difference in abundance, biomass, species richness, and
 124 biodiversity index among four cruises. When a significant difference was detected, the Duncan's

125 test was applied for multiple comparisons. Canonical correspondence analysis (CCA) was
126 applied to analyze the correlation between environmental factors and the distribution pattern of
127 ichthyoplankton assemblages. To eliminate the effects of a few dominant species, numerous
128 zeros in the species data, and a highly variable value in environmental data, all data matrix were
129 transformed by $\log(x+1)$.

130 All maps were drawn with Surfer 8.0 and statistical analyses were performed with PRIMER
131 5.0, SPSS 16.0 and CANOCO 4.5.

132

133 **Results**

134 **Species composition**

135 A total of 3688 individuals, including 689 fish eggs and 2999 larvae from 4 cruises, were
136 sorted. All samples belonged to 7 orders, 12 families, and 15 species including one unidentified
137 species (Table 1). The abundance and biomass of Engraulidae, were dominant in 2012.

138 According to the habitats and distribution characteristics of ichthyoplankton, 4 ecotypes were
139 included in this study (Table. 1):

140 Fresh water species included *P. engraulis*, which complete their entire life cycle in fresh water.
141 This species is distributed in fresh waters or oligo-salt waters adjacent to the inner sides of the
142 estuary and had the fewest individuals, accounting for 1.97% of the entire abundance in four
143 seasons.

144 Brackish water species, which use the estuary as a habitat but which complete the early
145 developmental stages in the waters close to the estuary, include catadromous species and
146 anadromous species. These species include *C. nasus*, *C. mystus*, *C. spinosus*, *H. sajori*, and one
147 species belonging to Takifugu, accounting for 11.30% of the total abundance.

148 Coastal species typically gather in shallow coastal waters for reproduction and development in
149 the spring and summer months and migrate to abyssal regions in winter. Four species were
150 included: *A. commersoni*, *L. polyactis*, *A. bleekeri*, and *M. monodactylus*, accounting for 4.55%
151 of the total abundance.

152 The marine species that migrate to the profundal zone (> 30 m) for feeding as they hit
153 adulthood then returns to estuary or coastal waters for spawning and breeding includes *E.*
154 *japonicus*, *S. japonicus*, *T. japonicus*, *S. acua*, and *L. litulon*, which were the greatest
155 contributors to the total abundance, accounting for 82.30%.

156 The greatest number of species were collected in the spring, including 3 brackish water
157 species, 4 coastal species, and 3 marine species. This was followed by autumn with 9 species
158 collected, including 4 brackish water species, 2 coastal species, and 3 marine species, The least
159 number of species were caught in the summer, including 1 fresh water species, 1 marine species,
160 3 brackish water species, and 3 coastal species. In the summer, ichthyoplankton assemblages
161 were dominated by brackish water and coastal species, while coastal species and brackish water
162 species were dominant in the spring and autumn months, respectively.

163 *E. japonicus*, *C. mystus*, *A. commersoni*, *A. bleekeri*, and *C. spinosus* were widespread species,
164 which were captured in all four seasons. Conversely, 7 species (54.55% of the total species) were
165 captured only in single season; *S. japonicus*, *L. polyactis* and *M. monodactylus* were only
166 collected in the spring, *P. engraulis* was only collected in the summer, and *S. acua*, *H. sajori*, *T.*
167 *japonicus* were only captured in the autumn. The distribution pattern of ichthyoplankton
168 assemblages varied with seasonal changes due to the ecological habits of the species as well as
169 their tendencies toward differing seasonal compositions. .

170 The Index of Relative Importance (IRI) was used to discuss the dominant species. Species
171 with an index greater than 1000 and a range from 100 to 1000 were considered a dominant
172 species and common species, respectively. These factors combined indicated the important
173 species. A clear variation occurred in the composition of the dominant species in every season
174 (Table 2). *E. japonicus* and *C. nasus* were the dominant species which contributed the most
175 (98.63%) to the total abundance, followed by *E. japonicus* which occupied 93.80% in the spring,
176 as indicated in Table 2. *E. japonicus* occupied the greatest proportion of the abundance in the
177 spring and autumn. The characteristics and composition of the dominant species and the
178 variation in the degree of dominance showed a distinct difference during three investigations,

179 which indicated the seasonal variations in the ichthyoplankton assemblage structure.

180

181 **Spatial and temporal variation**

182 The spatial distribution of the ichthyoplankton abundance in the Yangtze Estuary in 2012
183 showed significant seasonal variation (Fig 2), with the highest abundance in the spring and the
184 lowest in the autumn.

185 A total of 2604 individuals were captured in the spring, including 317 fish eggs and 2287
186 larvae. Larvae were widespread, with the exception of the river channel and the northern
187 locations of the investigation areas. The most widely distributed species was *E. japonicus*,
188 followed by *A. bleekeri*, *C. mystus*, *C. spinosus*, and *L. polyactis*. In total, 366 individuals were
189 recorded in the summer, including 120 fish eggs and 246 larvae, which were primarily
190 distributed in the river channel and the southern and eastern portions of the study areas. *E.*
191 *japonicus* had the greatest number of larvae, followed by *P. engraulis* and *C. mystus*, which
192 shared a similar distribution range. Only 76 larvae were collected in the autumn, and no fish eggs;
193 these were mainly distributed in the river channel and the southern portion of the study areas,
194 with the *C. nasus* as the majority, followed by *E. japonicus*, *A. bleekeri*, *A. commersoni*, and *H.*
195 *sajori*.

196

197 **Biodiversity**

198 The statistical result of Levene's test ($df_1=2$, $df_2=9$, $sig=0.165>0.05$) indicated that the
199 difference of homoscedasticity of the index is not significant. We then used the One-way
200 ANOVA test for further analysis. The One-way ANOVA test revealed that a significant
201 difference occurred among three diversity indexes during four seasons (df of inter-season=2, df
202 of intra-season=9, $F=4.601$, $P=0.0095<0.01$).

203 According to the results, autumn presented the highest diversity indexes while spring
204 presented with the lowest (Table 3). Furthermore, according to the result of multiple
205 comparisons, all the diversity indexes had a significant difference between spring and autumn

206 ($P < 0.01$). Furthermore, H' and J' between spring and summer as well as J' between summer
207 and winter also occurred with a significant difference. However, no significant difference was
208 detected for D between spring and summer ($F = 3.24$, $P = 0.10 > 0.05$) as well as for D ($F = 4.30$,
209 $P = 0.08 > 0.05$) and H' ($F = 2.96$, $P = 0.07 > 0.05$) between summer and autumn.

210

211 CCA analysis

212 The relationships between the environmental factors and the species were clarified in the CCA
213 ordination diagram using the data from 15 species and the set of 10 environmental factors. The
214 first axis (eigenvalues=0.497) and the second axis (eigenvalues=0.290) of the CCA plot
215 explained 14.4% of “species data” variation and 65.6% of variation in “species-environment
216 relation”. The species-environment correlation coefficients of these two axes were 0.832 and
217 0.621, respectively. The Monte-Carlo test (Table 4) indicated that Chla was the key
218 environmental factor affecting ichthyoplankton assemblages ($P < 0.05$). As shown in the diagram,
219 the first axis was strongly correlated with Chla, SPM, TP, COD, DO, and the remaining
220 environmental factors displayed a higher correlation with axis 2 than axis 1. SPM, Chla, TP, and
221 temperature exerted a positive effect on the first axis. In addition, depth showed a positive
222 correlation and TN showed a negative correlation with the second axis, respectively. The CCA
223 ordination plot of sampling stations (Fig 3) revealed that in the spring, stations were located in an
224 area with a significantly higher level of dissolved oxygen, TN, pH, and salinity. The location of
225 sampling stations in the summer were relatively scattered and mainly characterized by higher
226 levels of TN, TP, Chla, SPM, temperature, and dissolved oxygen values. As for the investigation
227 areas in the autumn, sampling stations were mainly distributed in areas with higher pH and
228 salinity values.

229 As shown in the CCA ordination plot of ichthyoplankton species (Fig 4), the correlation
230 between environmental factors and the distribution of different species was inconsistent. *E.*
231 *japonicus* showed a strong relationship with dissolved oxygen and was less affected by the
232 remaining factors, while *S. japonicus* was mainly affected by TP, which indicated that the

233 distribution pattern of different species belonging to the same ecotype may be affected by
234 different environmental factors. *L. polyactis* and *A. commersoni* also revealed a significant
235 positive correlation with dissolved oxygen and were mainly distributed in the region of higher
236 dissolved oxygen content. *C. spinosus* showed a distinct distribution pattern positively associated
237 with the higher value of Chla, SPM, and temperature. The distribution pattern of *C. nasus* was
238 positively correlated with deeper and higher concentration of nutrients areas.

239 Species such as *H. sajori (hesa)*, *A. bleekeri (Albl)*, *M. monodactylus (Mimo)*, and *S. acua*
240 (*syca*) had a positive correlation with pH and salinity but *A. bleekeri* had lower demand for pH
241 and salinity than the other three species.

242

243 Discussion

244 The ichthyoplankton assemblages in estuaries are complex both in species composition and
245 distribution. Studies show that the organization of ichthyoplankton in estuarine systems is
246 influenced by the interactive effects of a multitude of biotic and abiotic processes. Biological
247 factors include the location, timing and manner of spawning, larval life history, larval behavior,
248 rates of predation, and feeding (Leis 1991; Azeiteiro 2006). Physical factors include salinity
249 (Whitfield 1999), temperature (Blaxter 1992), turbidity (Islam et al. 2006), dissolved oxygen
250 (Rakocinski et al. 1996), depth (Wantiez et al. 1996), river flow (Faria et al. 2006), sediment
251 characteristics, and hydrographic events such as currents, winds, eddies, upwelling, and
252 stratification of the water column (Gray 1993). The present study was based on surveys during
253 four seasons in 2012. Our aim was to provide detailed characterizations of the ichthyoplankton
254 assemblage in 2012 and to evaluate the influence of environmental factors on the spatial
255 distribution and intra-annual variations of ichthyoplankton assemblages associated with the
256 Yangtze Estuary.

257 Species composition and seasonal variation

258 In the last decades, many scholars have reported the community structure and biodiversity of
259 ichthyoplankton assemblages and their relationship with environmental factors. The study of

260 Yang et al (1990), was carried out from 1985 to 1986 with 10 cruises in Yangtze Estuary,
261 collecting 94 species. Another study based on four cruises in 2007 collected 45 species (Liu and
262 Xian, 2009), and shared the same investigation area with this study. Zhang et al (2015; 2016)
263 studied the ichthyoplankton assemblages in spring (1999-2007) and autumn (1998-2009). In
264 spring, forty-two ichthyoplankton belonging to 23 families were collected. Engraulidae was the
265 most abundant family, including six species and comprising 67.91% of the total catch (Zhang et
266 al, 2015), while in autumn a total of 969 ichthyoplankton, constituting 33 species from 19
267 families and 10 orders, were collected during the seven sampling autumns in the Yangtze
268 Estuary. This sample included 226 fish eggs and 743 larvae and juveniles (Zhang et al, 2016).
269 Species composition of ichthyoplankton assemblages in spring showed a descending trend with
270 20 species in 1999 (Zhu et al, 2002), 31 in 2001(Zhang et al, 2015), 12 in 2004 (Zhang et al,
271 2015), 17 in 2007 (Zhang et al, 2015) and only 10 in 2012 (the present study). The comparison
272 of these studies reveals that species composition of ichthyoplankton assemblages have been
273 suffering a decline in numbers (Fig 5). Composition and numbers of fresh waters species in this
274 study were less than the investigation results of 2007 (Liu and Xian, 2009; Zhang et al, 2015);
275 only *P. engrauli* was collected. This phenomenon indicated that the composition and numbers of
276 fresh water species have been experiencing a significant decline due to the impact of
277 anthropogenic activity and a change in the natural environment. Zhong et al (2007) has presented
278 that salinity in the Yangtze Estuary and its adjacent waters was significantly influenced by
279 surface runoff, which may result in the decline of fresh water species.

280 The abundance of ichthyoplankton assemblage in this study was highly concentrated on the
281 dominant species, *E. japonicus*, which was the commonly identified member of the
282 ichthyoplankton assemblages (Harrison and Whitfield, 1990; Whitfield, 1999). *E. japonicus*
283 resources have declined dramatically based on the comparison in May of 1999, 2001, 2004, and
284 2007 (Zhang et al, 2015), and May and June of 2008 (Shan and Jin, 2011). Nevertheless, this
285 study showed that the quantity of *E. japonicus* resources in every season of 2012 was increasing,
286 which was not consistent with the previous results. Watanabe (2007) reported that *E. japonicus*

287 resources had relatively steady fluctuations in quantity as a result of climate and environment
288 changes, which were mainly caused by water circulation and fluctuations in temperature.
289 Although Watanabe did not point out the duration of the fluctuation, this conclusion was also
290 supported by the investigation results in this study.

291 Based on the results of this study and the comparison with the results of other scholars, the
292 community structure of ichthyoplankton assemblages in the Yangtze Estuary have fluctuated
293 greatly over a short period of time .This phenomenon is related to the use of coastal water in
294 different months by dominant species for breeding and feeding (Shan and Jin, 2011).However,
295 the sensitivity of the different species to different disruptive factors such as fishing and
296 environmental changes, was also species-dependent and related to ecological niche and habits
297 variations among species.

298

299 **Biodiversity and its spatial-temporal variation**

300 In this study, the biodiversity indexes in each season showed significant difference, but all the
301 indexes were relatively low (Table 3). The investigation in 2007 (Zhang et al, 2015, 2016)
302 collected 52 fish eggs and 638 larvae in the spring, 3973 fish eggs and 1342 larvae in the
303 summer, and 6 fish eggs and 450 larvae in the autumn, which were significantly greater than the
304 results in this study in terms of quantity and species composition. Significant differences existed
305 in the spatial and temporal variation of ichthyoplankton assemblages and biodiversity in the
306 Yangtze Estuary from 2007 to 2012. Furthermore, the composition of dominant species in
307 different seasons presented clear variation between 2007 and 2012. In 2007, *A. bleekeri*, *C.*
308 *mystus*, and *E. japonicus* were the dominant species in the spring, *E. japonicus*, *C. mystus*, and *S.*
309 *elongata* were the dominant species in the summer, and *H. prognathous*, *E. japonicus* and *C.*
310 *stigmatias* were the dominant species in the autumn. As for 2012: *E. japonicus* was the dominant
311 species in the spring, *E. japonicus* and *C. nasus* were the dominant species in the summer, and *A.*
312 *bleekeri* was the dominant species in the autumn.

313 Most of the marine fish's spawning season occurred in the spring and summer, which brings

314 the abundance of ichthyoplankton assemblages to their maximum point in this period (Young
315 and Potter, 2003; Sabatés et al, 2007). In this study, the abundance and the number of species
316 were highest in the spring, which was consistent with the above conclusion. However, due to the
317 high concentration of *E. japonicus* in the spring, accounting for 93.80% of the total abundance,
318 the diversity indexes in the spring was less than summer and autumn.

319 The seasonal variation of biodiversity in the estuary is mainly dependent upon the selection of
320 reproductive areas by grown fish (Hernández et al, 2003) as well as the influence of seasonal
321 variation on the water environment in the spawning area on spawning behavior (Lam, 1983).
322 With the increasing intensity of fishing, a variety of fish reached sexual maturity earlier, which
323 led to the spawning period occurring earlier than before. This effect caused the peak abundance
324 value of ichthyoplankton to occur earlier than before, which may be one of the reasons for
325 seasonal variation in ichthyoplankton abundance in the Yangtze Estuary. The environment in the
326 Yangtze Estuary is complicated and changeable with rapid, drastic fluctuations (Luo and Shen,
327 1994), which prevents the fish from adapting to environmental changes in time, hence the
328 assemblage biodiversity of ichthyoplankton was relatively low.

329 **Relationship between the distribution of ichthyoplankton assemblages and environmental** 330 **factors**

331 Distribution pattern of ichthyoplankton assemblages in the estuary were affected by both
332 abiotic factors and environmental factors (Zhu et al, 2002). In general, salinity was the major
333 factor which determined the structural changes of plankton communities in the estuaries
334 (Wooldridge, 1999). Due to the specific geographical conditions and the inflow of fresh water
335 into the estuary, salinity showed a clear gradient corresponding to the direction of the runoff.
336 Ichthyoplankton assemblages altered according to the variation of salinity content. CCA
337 ordination results indicated that the key factor affecting the assemblage structure of
338 ichthyoplankton was not salinity but Chla, results that conflicted with studies from Kushlan
339 (1976), Thiel et al (1995), and Fraser (1997). The salinity condition in the Yangtze Estuary was
340 significantly influenced by the surface runoff which could result in the decline of fresh water

341 species (Zhong et al, 2007). In the present work, only one fresh water species was collected and
342 other species are not sensitive to the variation of salinity. This may be the reason that salinity is
343 not the key factor. Due to the fluctuation of the water environment and species composition in
344 different season, the influence of environmental factors was not consistent among different
345 seasons and years. Harris et al (1999) presented that DO was the leading indicator accounting for
346 the variation of the community structure and abundance of ichthyoplankton assemblages, which
347 was also correspond with conclusions made by other scholars (Castillo-Rivera et al, 2002). In
348 this study, DO was also confirmed as the dominant factor affecting the assemblage structure in
349 the Yangtze Estuary. Temperature, nutrient content, COD, pH also made significant
350 contributions to the assemblage structures. The CCA ordination only explained 14.4% and 65.6%
351 of the variation in species and environment, respectively, thus, more biotic factors and
352 environmental factors need to be collected in later investigations to understand the
353 environmental-biological relationships.

354

355 **Conclusion**

356 Across the four surveys conducted in 2012, 3688 individuals of 15 species were collected. We
357 found that significant seasonal differences occurred in the species number and abundance of
358 ichthyoplankton assemblages in the Yangtze Estuary with low biodiversity. Chla was the key
359 environmental factor affecting the assemblage structure of ichthyoplankton in 2012, which was
360 different than the results of previous research.

361 With the rapid development of industrialization, urbanization, and marine fishery,
362 ichthyoplankton resources are declining significantly, which may strengthen the trend toward the
363 simplification of fishery resources in the Yangtze Estuary. Protection of fishery resources and
364 continuous tracking and monitoring are imperative in the Yangtze Estuary.

365

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Table 1 (on next page)

Presence (+) of species in ichthyoplankton samples in the present study

1 Table 1 Presence (+) of species in ichthyoplankton samples in the present study

Species	Code	Ecotype	Month			
			Feb	May.	Aug.	Nov.
Engraulidae						
<i>Engraulis japonicus</i>	<i>Enja</i>	marine		+	+	+
<i>Anchoviella commersoni</i>	<i>Anco</i>	coastal		+	+	+
<i>Coilia nasus</i>	<i>Cona</i>	brackish water			+	+
<i>Coilia mystus</i>	<i>Comy</i>	brackish water		+	+	+
Cyprinidae						
<i>Pseudolaubuca engraulis</i>	<i>Psen</i>	fresh water			+	
Sciaenidae						
<i>Larimichthys polyactis</i>	<i>Lapo</i>	coastal		+		
Scombridae						
<i>Scomber japonicus</i>	<i>Seja</i>	marine		+		
Trichiuridae						
<i>Trichiurus japonicus</i>	<i>Trja</i>	marine				+
Atherinidae						
<i>Allanetta bleekeri</i>	<i>Aibl</i>	coastal		+	+	+
Scorpaenidae						
<i>Minous monodactylus</i>	<i>Mimo</i>	coastal		+	+	
Triglidae						
<i>Chelidonichthys spinosus</i>	<i>Trfa</i>	brackish water		+	+	+
Hemiramphidae						
<i>Hemiramphus sajori</i>	<i>Hesa</i>	brackish water				+
Syngnathidae						
<i>Syngnathus acua</i>	<i>Syac</i>	marine				+
Lophiidae						
<i>Lophius litulon</i>	<i>Loli</i>	marine		+		
Tetraodontidae						
<i>Takifugu sp.</i>	<i>Tasp</i>	brackish water		+		

2

3

Table 2 (on next page)

Composition of dominant ichthyoplankton species in different seasons

1

Table 2 Composition of dominant ichthyoplankton species in different seasons

Dominant species	Spring		Summer		Autumn	
	IRI	Percentage of quantity (%)	IRI	Percentage of quantity (%)	IRI	Percentage of quantity (%)
<i>Engraulis japonicus</i>	5120.99	90.2	1532.11	74.61	19.74	3.95
<i>Coilia mystus</i>	158.99	3.6	40.37	10.01	3.29	1.32
<i>Allanetta bleekeri</i>	11.27	1.13	1.15	0.46	52.63	5.26
<i>Chelidonichthys spinosus</i>	4.22	0.85	1.15	0.46	3.29	1.32
<i>Anchoviella commersoni</i>	2.11	0.42	1.15	0.46	26.32	5.26
<i>Larimichthys polyactis</i>	1.52	0.61				
<i>Scomber japonicus</i>	0.12	0.025				
<i>Minous monodactylus</i>	0.12	0.025				
<i>Lophius litulon</i>	0.12	0.025				
<i>Takifugu sp.</i>	0.12	0.025				
<i>Coilia nasus</i>			2.30	2.02	19.74	3.95
<i>Pseudolaubuca engraulis</i>			300.25	12.00		
<i>Hemirhamphus sajori</i>					39.47	5.26
<i>Syngnathus acua</i>					13.16	2.63
<i>Trichiurus japonicus</i>					3.29	1.32

2

Table 3 (on next page)

Diversity index of ichthyoplankton in different seasons

$P < 0.01$, Numbers with different superscript are significantly different with each other.

1 Table 3 Diversity index of ichthyoplankton in different seasons

	Spring	Summer	Autumn	Winter
Species richness (D)	0.79±0.05 ^A	0.74±0.08 ^A	1.62±0.11 ^B	0.00±0.00 ^C
Pielou evenness index (J')	0.10±0.06 ^A	0.38±0.08 ^B	0.49±0.05 ^B	0.00±0.00 ^C
Shannon-Wiener index (H')	0.19±0.05 ^A	0.61±0.09 ^B	1.04±0.10 ^C	0.00±0.00 ^D

2 Note: $P < 0.01$, Numbers with different superscript are significantly different with each other.

Table 4 (on next page)

Conditional effects and correlations of environmental variables with the CCA

1 Table 4 Conditional effects and correlations of environmental variables with the CCA axes

Environmental factors	Lambda A	P	Axis 1	Axis 2
Chla	0.23	0.046	0.7541	0.1330
pH	0.16	0.148	-0.0108	0.0124
DO	0.13	0.334	-0.0649	-0.0482
D	0.1	0.414	0.0208	0.1146
TP	0.11	0.396	0.4464	0.2961
COD	0.09	0.610	0.1636	-0.0699
SPM	0.08	0.514	0.6435	0.1287
TN	0.14	0.298	0.0251	-0.1837
T	0.08	0.64	0.1195	0.0236
S	0.08	0.682	-0.0912	0.1521

2

Figure 1

Location of survey stations of ichthyoplankton in Yangtze estuary

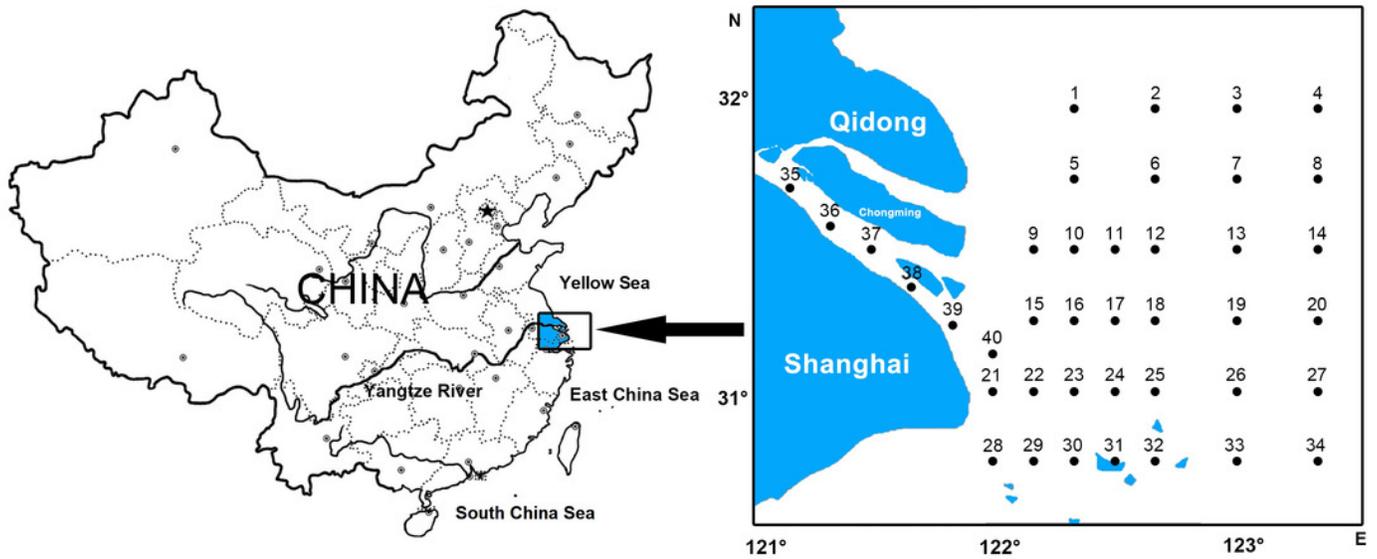


Figure 2

Distribution of ichthyoplankton abundance in the present study

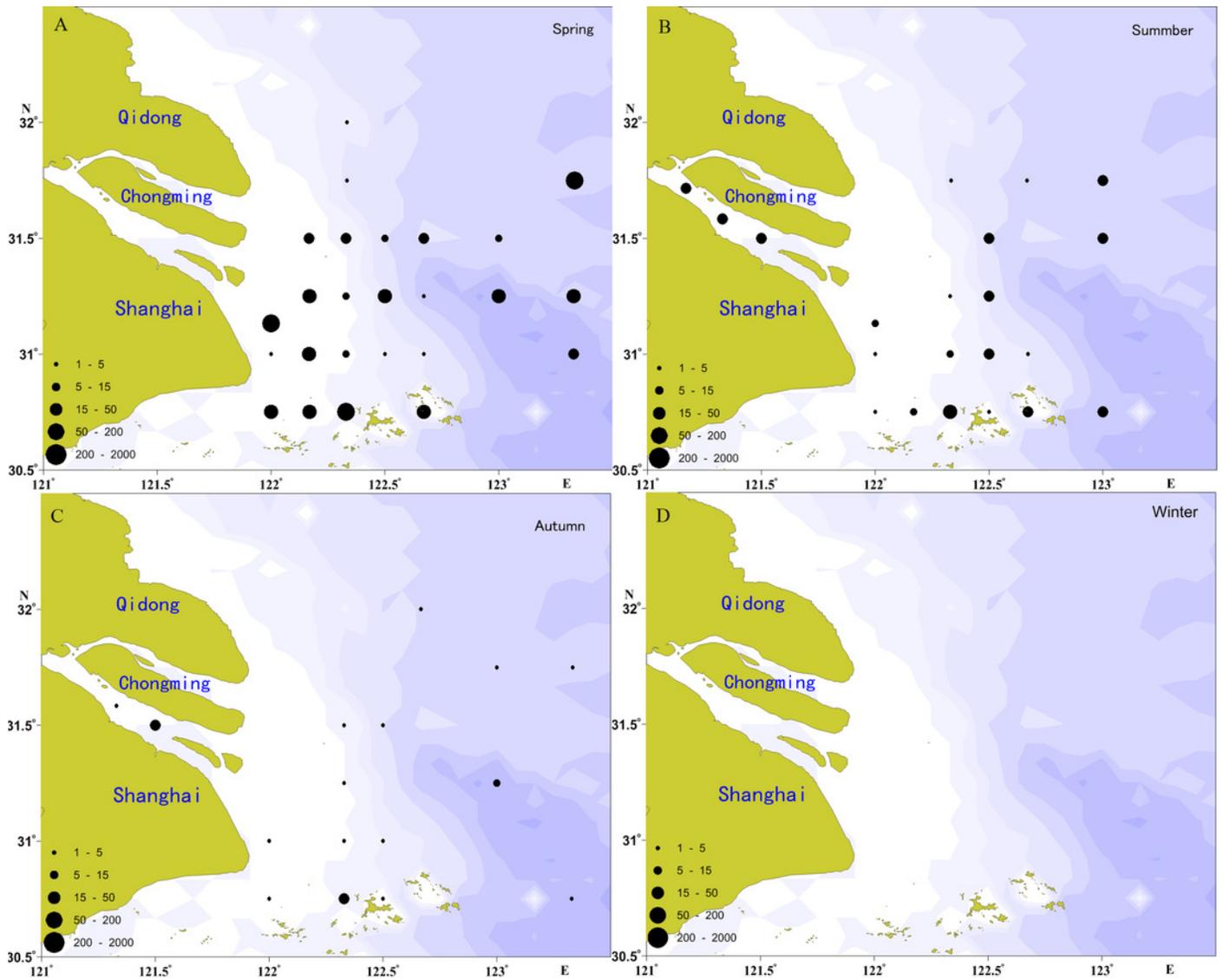


Figure 3

CCA biplot of sampling stations

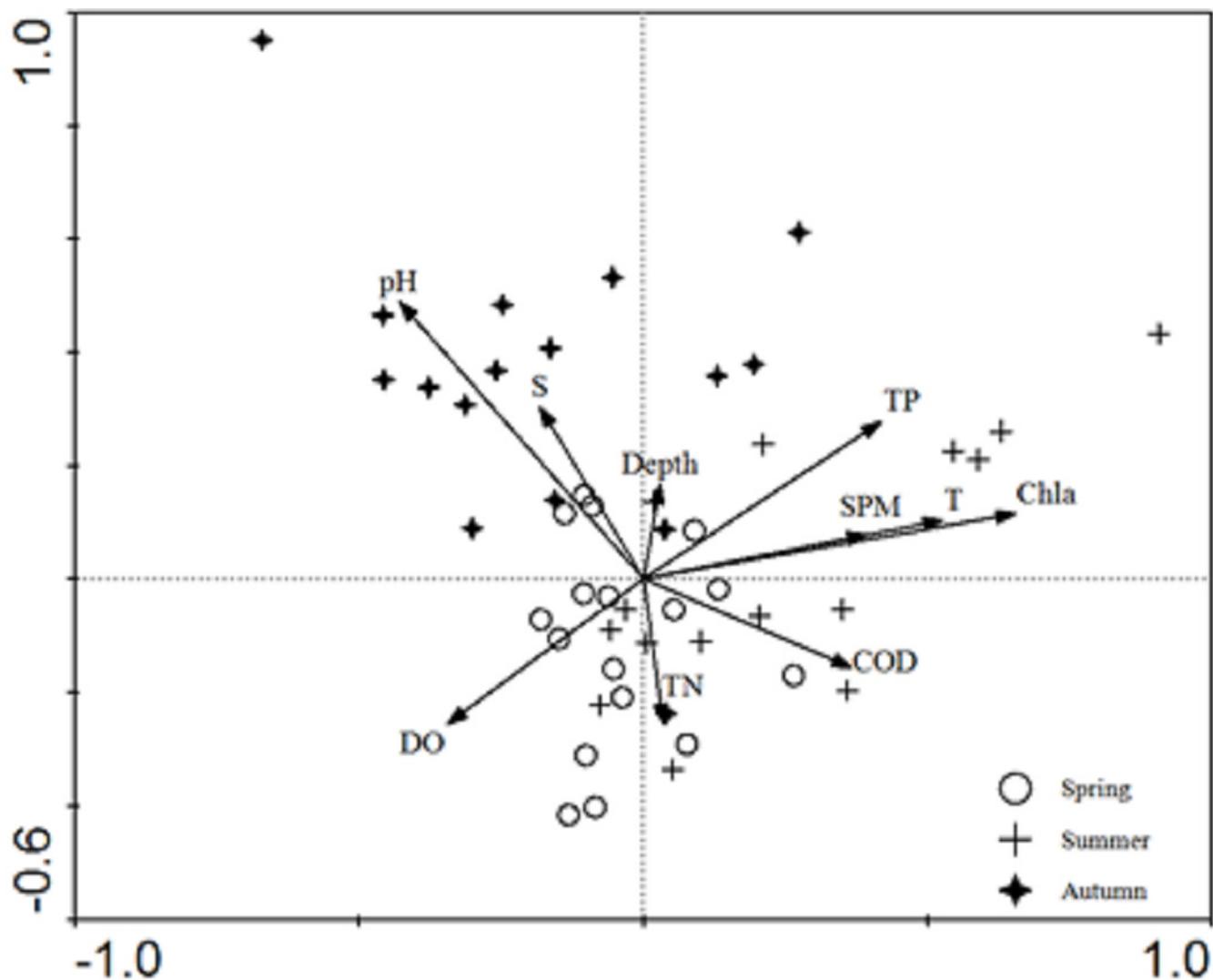


Figure 4

CCA biplot of ichthyoplankton species

The italic characters indicate the abbreviate name of the species as shown in Table 1

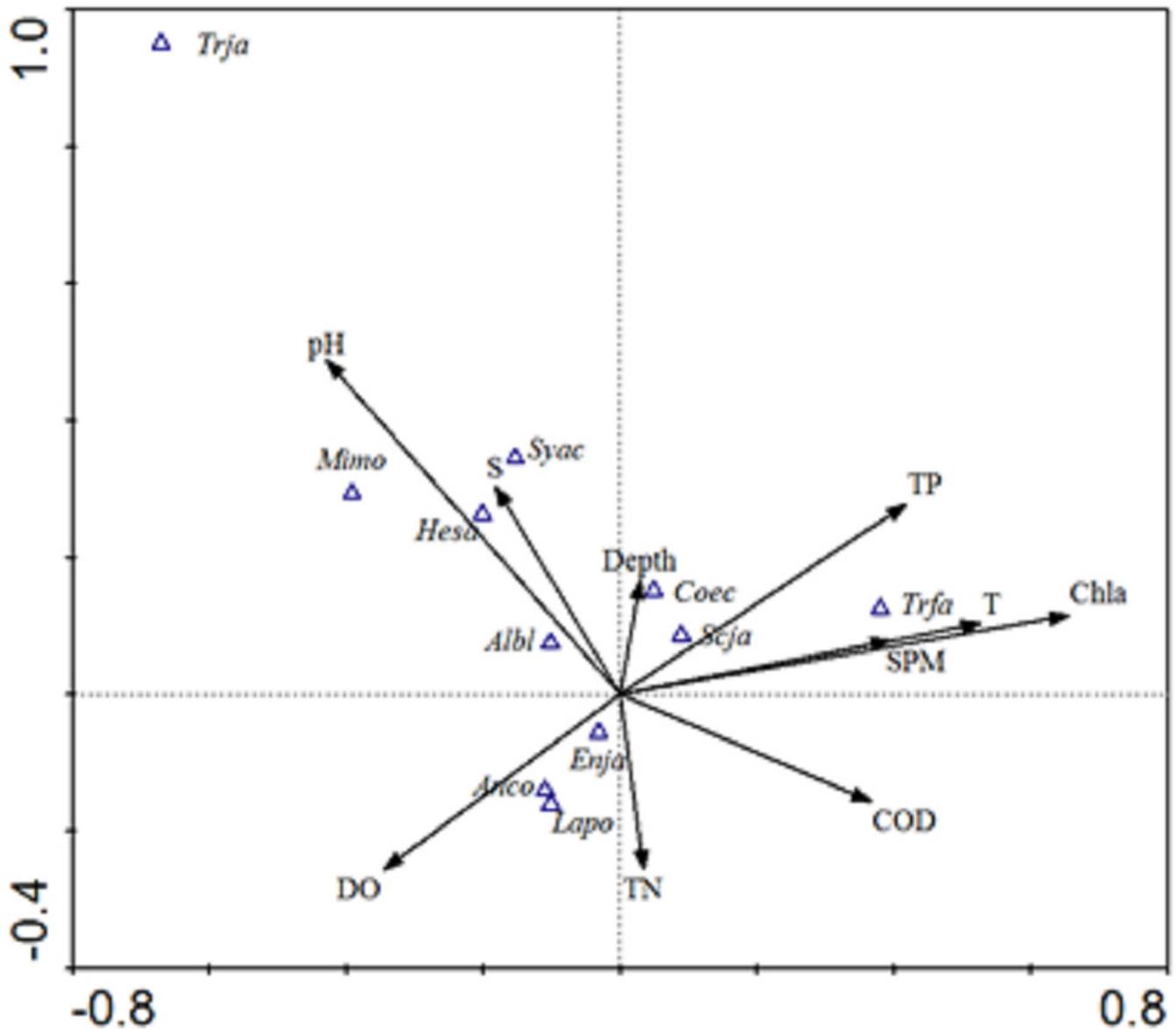


Figure 5

Spring long-term variation of the ichthyoplankton in Yangtze Estuary

The data for 1999-2011 was referenced from Zhang et al 2015 and 2016.

