

Seasonal variations of the ichthyoplankton assemblage in the Yangtze Estuary and its relationship with environmental factors

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Seasonal variations of the ichthyoplankton, as well as the relationship between the assemblage and the environmental factors, were analyzed based on four seasonal surveys during 2012. And historical data was also collected to be compared with the results of other years in order to indicate the seasonal and inter-annual variation of the ichthyoplankton assemblage in the Yangtze Estuary and its adjacent waters. A total of 3,688 individuals, belonging to 5 orders, 9 families and 15 species, were collected. No samples were collected in the winter cruise. Then, all samples were separated into four ecotypes in 2012, which is the same as other years. The *Engraulis japonicus* was the most abundant species of all teleost fishes. The *E. japonicus* was captured in every season, contributed most to total ichthyoplankton abundance and also much bigger than the abundance of other years. This result may be caused by the periodic fluctuations of *E. japonicus* or spawning ground was moving to the offshores because of the environmental reflection. The diversity indexes of the assemblage were significantly different among seasons, with the number of the species and the abundant peaked in the spring, while richness index, evenness index and diversity index was peaked in the autumn. The Species richness of the ichthyoplankton varied from 0.74-1.62, the Pielou evenness index varied from 0.10-0.49 and the Shannon-Wiener index varied from 0.19-1.04. The results of CCA analysis showed that the major factors affecting the ichthyoplankton assemblage in different seasons were not the same. Chla factor was the key factor affecting the ichthyoplankton in 2012. These seasonal and inter-annual variations likely resulted from both migrations associated with fish spawning and the environment. Compared with previous literatures, the relationship between the assemblage structure of ichthyoplankton and environmental variables have undergone a decline change.

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21 **Abstract**

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23 and the environmental factors, were analyzed based on four seasonal surveys during 2012. And
24 historical data was also collected to be compared with the results of other years in order to
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26 Yangtze Estuary and its adjacent waters. A total of 3,688 individuals, belonging to 5 orders, 9
27 families and 15 species, were collected. No samples were collected in the winter cruise. Then, all
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30 captured in every season, contributed most to total ichthyoplankton abundance and also much
31 bigger than the abundance of other years. This result may be caused by the periodic fluctuations
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33 reflection. The diversity indexes of the assemblage were significantly different among seasons,
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35 evenness index and diversity index was peaked in the autumn. The Species richness of the
36 ichthyoplankton varied from 0.74-1.62, the Pielou evenness index varied from 0.10-0.49 and the
37 Shannon-Wiener index varied from 0.19-1.04. The results of CCA analysis showed that the
38 major factors affecting the ichthyoplankton assemblage in different seasons were not the same.
39 Chla factor was the key factor affecting the ichthyoplankton in 2012. These seasonal and inter-
40 annual variations likely resulted from both migrations associated with fish spawning and the
41 environment. Compared with previous literatures, the relationship between the assemblage
42 structure of ichthyoplankton and environmental variables have undergone a decline change.

43

44

45 **Introduction**

46 As the transition region of seawater and fresh water, the Yangtze Estuary has an advantaged
47 geographical location and distinct ecological environment. Profited from freshwater runoff of
48 Yangtze River, as well as Taiwan Warm Current, East China Sea Coastal Current and Yellow
49 Sea Coast Current, the Yangtze River have become an excellent spawning and nursing ground of
50 diversiform economic fish species and a crucial fishery ground in China (Luo and Shen, 1994).
51 However, the Yangtze River basin, especially the estuary area, is featured by the high
52 industrialization and urbanization (Chai et al, 2009), which means the estuary are exposed to the
53 anthropogenic inputs from the populated areas in upstream and industries located near the
54 estuary. The construction and operation of Three Gorges Reservoir have resulted in the short-
55 term and long-term impacts not only on the ecosystem in Yangtze Estuary, but also the
56 distribution and community structure of marine organism (Xian et al, 1994). Due to the heavy
57 intensity of trawl operations and environmental pollution, the structure of marine fishery
58 resources was prominently characterized by the recession of economic species, and the variation
59 in fishing species (Shan and Jin, 2011). Thus it's necessary to reveal the relationship between the
60 ichthyoplanton assemblage and the environmental variation.

61 The spatial and temporal variation of ichthyoplankton assemblages has always been widely
62 studied in the field of marine ecology(such as Yang et al, 1990; Zhu et al, 2002; Zhong et al,
63 2007; Zhang et al, 2015;2016.). With the increasing insight of the status of Yangtze Estuary,
64 many domestic scholars have studied seasonal variation of species composition and biodiversity,
65 as well as the characteristics of ichthyoplankton assemblage structure and its relationship with
66 environmental factors such as depth, dissolved oxygen, temperature and salinity in this region.
67 Liu et al (2008) reported a total of 11540 ichthyoplankton individuals were taxonomically
68 identified, belonging to 11 orders, 18 families and 32 species in spring of 1999 and 2001 in
69 Yangtze Estuary and salinity, depth, dissolved oxygen, and total suspended particulate matter
70 were the major factors affecting the ichthyoplankton assemblages in the study areas. Wei et al
71 (2012) reported that a total of 93 ichthyoplankton samples were collected at 15 stations in

72 Hangzhou Bay In summer from 2004 to 2010. As results, 233 eggs and 29 825 larvae were
73 obtained. And the correlation was significant between ichthyoplankton logarithm density and
74 factors of hydrological conditions. Based on the investigation data of ichthyoplankton and
75 environmental conditions from four cruises in 2012, this paper aimed to show the characters of
76 ichthyoplankton assemblage in Yangtze Estuary by gathering the data of species composition
77 and biodiversity and to reveal the relationship between the spatial-temporal distribution patterns
78 in the ichthyoplankton assemblage and environmental factors, which could provide scientific
79 basis for the management and sustainable utilization of fishery resources in the Yangtze Estuary.

80

81 **Materials and Methods**

82 **Data collection**

83 A total of 40 stations located at the Yangtze Estuary and its adjacent waters (30°45′-32°00′N,
84 121°00′-123°20′E) (Fig.1) and the samples were collected by using the trawl, guiding with “
85 Specification of Oceanographic Investigation” (GB12763-2007) during February, May, August
86 and November in 2012. This gear has a horizontal opening of 0.8m and a vertical opening of
87 2.8m (mesh size of 0.5mm). The trawl was monitored horizontally with the vessel speed of
88 approximately 2 knots, lasting 10-min in each station. Samples from each trawl were
89 immediately preserved in 5% formalin buffer for later sorting. Real-time data on the
90 environmental parameters of water column including temperature (T), salinity (S), total nitrogen
91 (TN), total phosphorus (TP), pH, suspended matter (SPM), depth (D), dissolved oxygen (DO),
92 chemical oxygen demand (COD), and chlorophyll a (Chla) was measured. Collections of all data
93 were under the guidance of “Specification of Oceanographic Investigation” (GB12763-2007).

94

95 **Species identification**

96 At the laboratory, fish eggs and larvae were counted and sorted to the lowest possible
97 taxonomic level at each station according to the morphological characteristics under the guidance
98 of literatures (Zhang et al, 1985; Cheng and Zheng, 1987; Wu et al, 2012;), and classified into

99 different ecotype by different ecological habit based on the description in the book and literature
 100 (Yang et al, 1990; Luo and Shen, 1994). Numerous fish eggs and larvae lacking clear
 101 morphological features could not be identified by morphological approach, so the molecular
 102 identification was applied to prevent misidentification.

103 **Data analyses**

104 Abundance of ichthyoplankton was standardized and expressed as the total individual of fish
 105 eggs and larvae per 10 min-trawling (ind/haul). The dominant species were determined using
 106 the Index of Relative Importance (IRI) developed by Zhu et al (2002):

$$107 \quad IRI = N * 100\% * F * 100\%$$

108 $N * 100\%$ and $F * 100\%$ are the relative abundance and frequency of occurrence, respectively.
 109 The IRI of the dominant species should be greater than 100.

110 The Margalef's richness (D), Shannon-Wiener index (H' , \log_e), Pielou's evenness (J') were
 111 calculated in each station. Related equations were as follows (Ludwig and Reynolds, 1988; Qian
 112 and Ma, 1994):

113

$$114 \quad D = (S - 1) / \ln N$$

$$115 \quad H' = - \sum_{i=1}^S P_i \ln P_i$$

$$116 \quad J' = H' / \ln S$$

117 Where “S” is the number of species, “N” is total individuals and “Pi” is the proportion of ith
 118 species individuals to the total individuals.

119 The homoscedasticity was measured with the method Levene's test, after that, one-way
 120 ANOVA was performed to assess the difference in abundance, biomass, species richness and
 121 biodiversity index among four cruises. When significant difference was detected, the Duncan's
 122 test was applied for the multiple comparisons. Canonical correspondence analysis (CCA) was
 123 applied to analyze the correlation between environmental factors and the distribution pattern of
 124 ichthyoplankton assemblages. To eliminate the effect of few dominant species and plenty of

125 zeros in species data and highly variable value in environment data, all data matrix were
126 transformed by $\log(x+1)$.

127 All the maps were drawn with Surfer 8.0 and statistical analyses were performed with
128 PRIMER 5.0, SPSS 16.0 and CANOCO 4.5.

129

130 **Results**

131 **Species composition**

132 A total of 3688 individuals, including 689 fish eggs and 2999 larvae from 4 cruises, were
133 sorted. All samples belong to 7 orders, 12 families and 15 species including one unidentified
134 specie (Table 1). Abundance and biomass of Engraulidae, including were both dominant in 2012.

135 According to the habitats and distribution characteristics of ichthyoplankton, 4 ecotypes were
136 included in this study (Table. 1):

137 Fresh water species, including *P. engraulis*, which finished the whole life history in fresh
138 water. This species has distributed in fresh waters or oligo-salt waters adjacent to the inner sider
139 of the estuary and had the fewest individuals, accounting for 1.97% of the whole abundance in
140 four seasons.

141 Brackish water species, which used the estuary as habits but finished the early development
142 stages in the waters close to estuary, including catadromous species and anadromous species.
143 This species contained *C. nasus*, *C. mystus*, *C. spinosus*, *H. sajori*, and one species belonging to
144 Takifugu, accounting for 11.30% of the total abundance.

145 Coastal species, most of which always gathered in costal shallow water for reproduction and
146 development in spring and summer and migrated to abyssal region in winter. Four species were
147 included: *A. commersoni*, *L. polyactis*, *A. bleekeri* and *M. monodactylus*, accounting for 4.55%
148 of the total abundance.

149 Marine species, which will migrate to profundal zone (> 30 m) for ingestion as they hit
150 adulthood and returned to estuary or coastal water for spawning and breeding. This species
151 contents *E. japonicus*, *S. japonicus*, *T. japonicus*, *S. acua* and *L. litulon*, which were contributed

152 the most to the total abundance accounting for 82.30%.

153 The largest number of species were collected in spring, including 3 brackish water species, 4
154 coastal species and 3 marine species, followed by autumn with 9 species were collected,
155 including 4 brackish water species, 2 coastal species and 3 marine species, The minimum
156 number of species were caught in the summer, including 1 fresh water species and 1 marine
157 species, 3 brackish water species and 3 coastal species. In the summer, ichthyoplankton
158 assemblages was dominant by brackish water species and coastal species, while coastal species
159 and brackish water species was dominant in the spring and autumn respectively.

160 *E. japonicus*, *C. mystus*, *A. commersoni*, *A. bleekeri* and *C. spinosus* were widespread, which
161 were captured in all four seasons. On the contrary, 7 species (54.55% of the total species) were
162 captured only in single season, such as *S. japonicus*, *L. polyactis* and *M. monodactylus* were only
163 collected in the spring; *P. engraulis* was only collected in the summer and *S. acua*, *H. sajori*, *T.*
164 *japonicus* were only captured in the autumn. The distribution pattern of ichthyoplankton
165 assemblages occurred seasonal variation on account of the driven of ecological habit and
166 different species composition in different seasons.

167 Index of relative importance (IRI) was used to discuss the dominant species. Species with the
168 index greater than 1000 and varied from 100 to 1000 were considered as dominant species and
169 common species respectively, which combined together as the important species. A clear
170 variation occurred in the composition of the dominant species in every season (Table 2). As is
171 shown in Table 2, *E. japonicus* and *C. nasus* as the important species contributed the most
172 (98.63%) to the total abundance, followed by *E. japonicus* which occupied 93.80% in the spring.
173 *E. japonicus* occupied the most proportion of abundance in the spring and autumn. The
174 characteristics and composition of dominant species and the variation in the degree of dominance
175 showed distinct difference during three investigations, which indicated the seasonal variation in
176 ichthyoplankton assemblage structure.

177

178 **Spatial and temporal variation**

179 The spatial distribution of ichthyoplankton abundance in the Yangtze Estuary in 2012 showed
180 significant seasonal variation (Fig 2), with the highest abundant in the spring and the lowest in
181 the autumn.

182 A total of 2604 individuals were captured in the spring, including 317 fish eggs and 2287
183 larvae. Larvae were widespread apart from the river channel and the north part in investigation
184 areas. The most widely distributed was *E. japonicus*, followed by *A. bleekeri*, *C. mystus*, *C.*
185 *spinus*, *L. polyactis*. In total, 366 individuals were recorded in the summer, including 120 fish
186 eggs and 246 larvae, which was primarily distribute in the river channel and the south and east of
187 study areas. *E. japonicus* had the largest number of larvae, followed by *P. engraulis* and *C.*
188 *mystus*, which shared the similar distribution range. Only 76 larvae was collected in the autumn
189 lacking fish eggs, which mainly distributed in the river channel and the south of study areas, with
190 the *C. nasus* was the majority, followed by *E. japonicus*, *A. bleekeri*, *A. commersoni* and *H. sajori*.
191

192 Biodiversity

193 The statistical result of Levene's test ($df_1=2$, $df_2=9$, $sig=0.165>0.05$) indicated that the
194 difference of homoscedasticity of the index is not significant. After this, we used One-way
195 ANOVA test for further analysis. The One-way ANOVA test revealed that significant difference
196 occurred among three diversity indexes during four seasons (df of inter-season=2, df of intra-
197 season=9, $F=4.601$, $P=0.0095<0.01$).

198 According to the consequence, autumn presented the highest diversity indexes and the lowest
199 was in the spring (Table 3). Furthermore, according to the result of multiple comparisons, all the
200 diversity indexes had significant difference between spring and autumn ($P<0.01$). Furthermore,
201 H' and J' between spring and summer as well J' between summer and winter also occurred
202 significant difference. But no significant difference was detected for D between spring and
203 summer ($F=3.24$, $P=0.10>0.05$) as well as for D ($F=4.30$, $P=0.08>0.05$) and H' ($F=2.96$,
204 $P=0.07>0.05$) between summer and autumn.

205

206 CCA analysis

207 The relationships between the environmental factors and species were clarified in the CCA
208 ordination diagram using the data of 15 species and the set of 10 environmental factors. The first
209 axes (eigenvalues=0.497) and the second axes (eigenvalues=0.290) of CCA plot explained 14.4%
210 of “species data” variation and 65.6% of variation in “species-environment relation”. The
211 species-environment correlations coefficients of this two axes were 0.832 and 0.621 respectively.
212 Monte-Carlo test (Table 4) indicated that Chla was the key environmental factor affecting
213 ichthyoplankton assemblages ($P < 0.05$). As is shown in the plot, the first axes was strongly
214 correlated with Chla, SPM, TP, COD, DO, and the remaining environmental factors displayed a
215 higher correlation with axes 2 than axes 1. SPM, Chla, TP and temperature exerted positive
216 effect on the first axes, in addition, depth showed positive correlation and TN showed negative
217 correlation with the second axes respectively. The CCA ordination plot of sampling stations (Fig
218 3) revealed that stations in the spring were located in the area where was significantly connected
219 with dissolved oxygen and had higher level of dissolved oxygen, TN, pH and salinity values. The
220 location of sampling stations in the summer were relatively scattered and mainly characterized
221 by higher level of TN, TP, Chla, SPM, temperature and dissolved oxygen values. As for
222 investigating areas in the autumn, sampling stations was mainly distributed in the areas with
223 higher pH and salinity values.

224 As shown in the CCA ordination plot of ichthyoplankton species (Fig 4), the correlation
225 between environmental factors and the distribution of different species was inconsistent. *E.*
226 *japonicus* showed a strong relationship with dissolved oxygen and less affected by the remaining
227 factors and *S. japonicus* was mainly affected by TP, which indicated that the distribution pattern
228 of different species belonging to the same ecotype may affected by different environmental
229 factors. *L. polyactis* and *A. commersoni* were also revealed significantly positive correlation with
230 dissolved oxygen, which mainly distributed in the region of higher dissolved oxygen content. *C.*
231 *spinus* showed a distinct distribution pattern positively associated with the higher value of
232 Chla, SPM and temperature. The distribution pattern of *C. nasus* was positively and mainly

233 correlated with deeper and higher concentration of nutrients areas.

234 Species such as *H. sajori* (*hesa*), *A. bleekeri* (*Albl*), *M. monodactylus* (*Mimo*) and *S. acua*
235 (*syca*) had positive correlation with pH and salinity, but *A. bleekeri* had lower demand for pH
236 and salinity than the other three species.

237

238 **Discussion**

239 The ichthyoplankton assemblages in estuaries are complex both in species composition and
240 distribution. Studies show that the organization of ichthyoplankton in estuarine systems is
241 influenced by the interactive effects of a multitude of biotic and abiotic processes. Biological
242 factors include the location, timing and manners of spawning, larval life history, larval behavior,
243 rates of predation, and feeding (Leis 1991; Azeiteiro 2006). Physical factors include salinity
244 (Whitfield 1999), temperature (Blaxter 1992), turbidity (Islam et al. 2006), dissolved oxygen
245 (Rakocinski et al. 1996), depth (Wantiez et al. 1996), river flow (Faria et al. 2006), sediment
246 characteristics and hydrographic events such as currents, winds, eddies, upwelling and
247 stratification of the water column (Gray 1993). The present study was based on surveys at four
248 seasons in 2012. Our aims are to provide detailed characterizations of the ichthyoplankton
249 assemblage in 2012, and evaluate the influence of environmental factors on the spatial
250 distribution and intra-annual variations of ichthyoplankton assemblages associated with the
251 Yangtze Estuary.

252 **Species composition and seasonal variation**

253 In the last decades, many scholars have reported the community structure and biodiversity of
254 ichthyoplankton assemblages and its relationship with environmental factors. The study of Yang
255 et al (1990), was carried out from 1985 to 1986 with 10 cruises in Yangtze Estuary, have
256 collected 94 species. Another study based on the four cruises in 2007 collected 45 species (Liu
257 and Xian, 2009), which shared the same investigation area with this study. Zhang et al (2015;
258 2016) studied the ichthyoplankton assemblages in springs (1999-2007) and autumns (1998-2009).
259 In spring forty-two ichthyoplankton belonging to 23 families were collected. Engraulidae was

260 the most abundant family, including six species and comprising 67.91% of the total catch (Zhang
261 et al, 2015), while in autumn a total of 969 ichthyoplankton constituting 33 species from 19
262 families and 10 orders were collected during the seven sampling autumns in the Yangtze Estuary,
263 including 226 fish eggs and 743 larvae and juveniles (Zhang et al, 2016). Species composition of
264 ichthyoplankton assemblages in Spring showed a descending trend with 20 species in 1999 (Zhu
265 et al, 2002) , 31 in 2001(Zhang et al, 2015), 12 in 2004 (Zhang et al, 2015) , 17 in 2007 (Zhang
266 et al, 2015) and only 10 in 2012 (the present study). The comparison of these studies showed that
267 species composition of ichthyoplankton assemblages has been suffering the recession (Fig 5).
268 Composition and numbers of fresh waters species in this study was less than investigation results
269 in 2007 (Liu and Xian, 2009; Zhang et al, 2015), only *P. engrauli* was collected. This
270 phenomenon indicated that the composition and numbers of fresh water species have been
271 experiencing a significantly decline due to the impact of anthropogenic activity and natural
272 environment. Zhong et al (2007) have presented that salinity conditions in Yangtze Estuary and
273 its adjacent waters was significantly influenced by the surface runoff which maybe result in the
274 decline of fresh water species.

275 The abundance of ichthyoplankton assemblage in this study was highly concentrate on the
276 dominant species- *E. japonicus*, which was the common characteristics of ichthyoplankton
277 assemblages (Harrison and Whitfield, 1990; Whitfield, 1999). *E. japonicus* resources have
278 declined dramatically than before based on the comparison in May of 1999, 2001, 2004 and 2007
279 (Zhang et al, 2015) , and May and June of 2008(Shan and Jin, 2011). Nevertheless, this study
280 showed that the quantity of *E. japonicus* resources in every season of 2012 had a rising trend,
281 which was not consistent with the previous results. Watanabe (2007) repotted that *E. japonicus*
282 resources had relatively steady fluctuations in quantity as a result of climate and environment
283 changes which was mainly caused by water circulation and fluctuation of temperature. Although
284 Watanabe did not point out the duration of the fluctuation, this conclusion was also supported by
285 the investigation results in this study.

286 Based on the results of this study and the comparison with the results with other scholars,

287 the community structure of ichthyoplankton assemblages in the Yangtze Estuary has suffered
288 great changed in a short time. On the one hand, this phenomenon was related to the alternate
289 usage of coastal water in different month by dominant species for breeding and feeding (Shan
290 and Jin, 2011), on the other hand, the sensitivity of the different species with different disruptive
291 factors such as fishing and environmental changes was also different due to the difference of
292 ecological niche and habits among species.

293

294 **Biodiversity and its spatial - temporal variation**

295 In this study, the biodiversity indexes in each season showed significant difference, but all the
296 indexes were relatively low (Table 3). The investigation in 2007 (Zhang et al, 2015;2016)
297 collected 52 fish eggs and 638 larvae in the spring, 3973 fish eggs and 1342 larvae in the
298 summer and 6 fish eggs and 450 larvae in the autumn, which were significantly greater than
299 results in this study in terms of quantity and species composition. So, significant differences
300 existed in the spatial and temporal variation of ichthyoplankton assemblages and biodiversity in
301 the Yangtze Estuary from 2007 to 2012. Furthermore, the composition of dominant species in
302 different seasons presented clear difference between 2007 and 2012. In 2007, *A. bleekeri*, *C.*
303 *mystus*, and *E. japonicus* was as dominant species in the spring, and *E. japonicus*, *C. mystus*, and
304 *S. elongata* as dominant species in the summer and *H. prognathous*, *E.japonicus* and *C.*
305 *stigmatias* as dominant species in the autumn. As for 2012: *E. japonicus* was dominant species in
306 the spring, *E. japonicus* and *C. nasus* as dominant species in the summer and *A. bleekeri* as
307 dominant species in the autumn.

308 Most of the marine fish's spawning season was in the spring and summer, which makes the
309 abundance of ichthyoplankton assemblages reaching the maximum in this period (Young and
310 Potter, 2003; Sabatés et al, 2007). In this study, the abundance and the number of species reached
311 the highest in the spring which was consistent with above conclusion. However, due to the
312 highly concentration of the *E. japonicus* in the spring, accounting for 93.80% of the total
313 abundance, the diversity indexes in the spring was less than summer and autumn.

314 The seasonal variation of biodiversity in estuary mainly depended on the selection of
315 reproductive areas by grown fish (Hernández et al, 2003) and the influence of seasonal variation
316 of water environment in spawning area on the spawning behavior (Lam, 1983). With the
317 increasing intensity of fishing, a variety of fish reached the sexual maturity earlier which led to
318 the spawning period ahead of time than before. That will further caused the peak abundance
319 value of ichthyoplankton occurred earlier than before, which maybe one of the reasons of
320 seasonal variation in ichthyoplankton abundance in the Yangtze Estuary. The environment in
321 Yangtze Estuary was complicated and changeable along with the drastic fluctuation (Luo and
322 Shen, 1994), which makes the fish were incapable of adapting to the environmental changes in
323 time, hence the assemblage biodiversity of ichthyoplankton was relatively low.

324 **Relationship between the distribution of ichthyoplankton assemblages and environmental** 325 **factors**

326 Distribution pattern of ichthyoplankton assemblages in estuary was mainly affected by both
327 abiotic factors and environmental factors (Zhu et al, 2002). In general, salinity was the major
328 factor which determined the structural changes of plankton community in estuaries (Wooldridge,
329 1999). Due to the specific geographical conditions and the inflow of fresh water in the estuary,
330 salinity showed clear gradient along with the direction of the runoff. Ichthyoplankton
331 assemblages appeared different ecotype according to the variation of salinity content. CCA
332 ordination results indicated that the key factor affecting the assemblage structure of
333 ichthyoplankton was not salinity but Chla, which differed with some researches from Kushlan
334 (1976), Thiel et al (1995) and Fraser (1997). The salinity condition in Yangtze Estuary was
335 significantly influenced by the surface runoff which maybe results in the decline of fresh water
336 species (Zhong et al, 2007). In the present work, only one fresh water specie was collected and
337 other species are not sensitive to the variation of salinity. This may be the reason that salinity is
338 not the key factor. Due to the fluctuation of water environment and species composition in
339 different season, the influence mechanism of environmental factors was not consistent among
340 different seasons and years. Harris et al (1999) presented that DO was the leading indicator

341 accounting for the variation of the community structure and abundance of ichthyoplankton
342 assemblages, which was also correspond with other scholars (Castillo-Rivera et al, 2002) as well.
343 In this study, DO was also confirmed as the dominating factor affecting the assemblage structure
344 in the Yangtze Estuary, and temperature, nutrients content, COD, pH also made great
345 contributions to this affection. The CCA ordination only explained 14.4% and 65.6% of the
346 variation in species and environment, respectively, thus, more biotic factors and environmental
347 factors need to be collected in later investigations to understand the environmental-biological
348 relationships.

349

350 **Conclusion**

351 During the four surveys in 2012, 3688 individuals of 15 species were collected. We found
352 significant seasonal differences occurred in the species number and abundance of
353 ichthyoplankton assemblages in the Yangtze Estuary with low biodiversity. Chla is the key
354 environmental factors affecting the assemblage structure of ichthyoplankton in 2012, which was
355 different with precious research.

356 With the rapid development of industrialization, urbanization and marine fishery, the
357 ichthyoplankton resources is declining significantly, which would strengthen the trend of the
358 vulnerabilization and simplification of fishery resources in the Yangtze Estuary. Protection on
359 the fishery resources and the continuous tracking and monitoring is necessary and imperative in
360 the Yangtze Estuary.

361

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Table 1 (on next page)

Presence (+) of species in ichthyoplankton samples in the present study

1 Table 1 Presence (+) of species in ichthyoplankton samples in the present study

Species	Code	Ecotype	Month			
			Feb	May.	Aug.	Nov.
Engraulidae						
<i>Engraulis japonicus</i>	<i>Enja</i>	marine		+	+	+
<i>Anchoviella commersoni</i>	<i>Anco</i>	coastal		+	+	+
<i>Coilia nasus</i>	<i>Cona</i>	brackish water			+	+
<i>Coilia mystus</i>	<i>Comy</i>	brackish water		+	+	+
Cyprinidae						
<i>Pseudolaubuca engraulis</i>	<i>Psen</i>	fresh water			+	
Sciaenidae						
<i>Larimichthys polyactis</i>	<i>Lapo</i>	coastal		+		
Scombridae						
<i>Scomber japonicus</i>	<i>Seja</i>	marine		+		
Trichiuridae						
<i>Trichiurus japonicus</i>	<i>Trja</i>	marine				+
Atherinidae						
<i>Allanetta bleekeri</i>	<i>Abl</i>	coastal		+	+	+
Scorpaenidae						
<i>Minous monodactylus</i>	<i>Mimo</i>	coastal		+	+	
Triglidae						
<i>Chelidonichthys spinosus</i>	<i>Trfa</i>	brackish water		+	+	+
Hemiramphidae						
<i>Hemiramphus sajori</i>	<i>Hesa</i>	brackish water				+
Syngnathidae						
<i>Syngnathus acua</i>	<i>Syac</i>	marine				+
Lophiidae						
<i>Lophius litulon</i>	<i>Loli</i>	marine		+		
Tetraodontidae						
<i>Takifugu sp.</i>	<i>Tasp</i>	brackish water		+		

2

3

Table 2 (on next page)

Composition of dominant ichthyoplankton species in different seasons

1

Table 2 Composition of dominant ichthyoplankton species in different seasons

Dominant species	Spring		Summer		Autumn	
	IRI	Percentage of quantity (%)	IRI	Percentage of quantity (%)	IRI	Percentage of quantity (%)
<i>Engraulis japonicus</i>	5120.99	90.2	1532.11	74.61	19.74	3.95
<i>Coilia mystus</i>	158.99	3.6	40.37	10.01	3.29	1.32
<i>Allanetta bleekeri</i>	11.27	1.13	1.15	0.46	52.63	5.26
<i>Chelidonichthys spinosus</i>	4.22	0.85	1.15	0.46	3.29	1.32
<i>Anchoviella commersoni</i>	2.11	0.42	1.15	0.46	26.32	5.26
<i>Larimichthys polyactis</i>	1.52	0.61				
<i>Scomber japonicus</i>	0.12	0.025				
<i>Minous monodactylus</i>	0.12	0.025				
<i>Lophius litulon</i>	0.12	0.025				
<i>Takifugu</i> sp.	0.12	0.025				
<i>Coilia nasus</i>			2.30	2.02	19.74	3.95
<i>Pseudolaubuca engraulis</i>			300.25	12.00		
<i>Hemirhamphus sajori</i>					39.47	5.26
<i>Syngnathus acua</i>					13.16	2.63
<i>Trichiurus japonicus</i>					3.29	1.32

2

Table 3 (on next page)

Diversity index of ichthyoplankton in different seasons

$P < 0.01$, Numbers with different superscript are significantly different with each other.

1 Table 3 Diversity index of ichthyoplankton in different seasons

	Spring	Summer	Autumn	Winter
Species richness (D)	0.79±0.05 ^A	0.74±0.08 ^A	1.62±0.11 ^B	0.00±0.00 ^C
Pielou evenness index (J')	0.10±0.06 ^A	0.38±0.08 ^B	0.49±0.05 ^B	0.00±0.00 ^C
Shannon-Wiener index (H')	0.19±0.05 ^A	0.61±0.09 ^B	1.04±0.10 ^C	0.00±0.00 ^D

2 Note: $P < 0.01$, Numbers with different superscript are significantly different with each other.

Table 4 (on next page)

Conditional effects and correlations of environmental variables with the CCA

1 Table 4 Conditional effects and correlations of environmental variables with the CCA axes

Environmental factors	Lambda A	P	Axis 1	Axis 2
Chla	0.23	0.046	0.7541	0.1330
pH	0.16	0.148	-0.0108	0.0124
DO	0.13	0.334	-0.0649	-0.0482
D	0.1	0.414	0.0208	0.1146
TP	0.11	0.396	0.4464	0.2961
COD	0.09	0.610	0.1636	-0.0699
SPM	0.08	0.514	0.6435	0.1287
TN	0.14	0.298	0.0251	-0.1837
T	0.08	0.64	0.1195	0.0236
S	0.08	0.682	-0.0912	0.1521

2

Figure 1

Location of survey stations of ichthyoplankton in Yangtze estuary

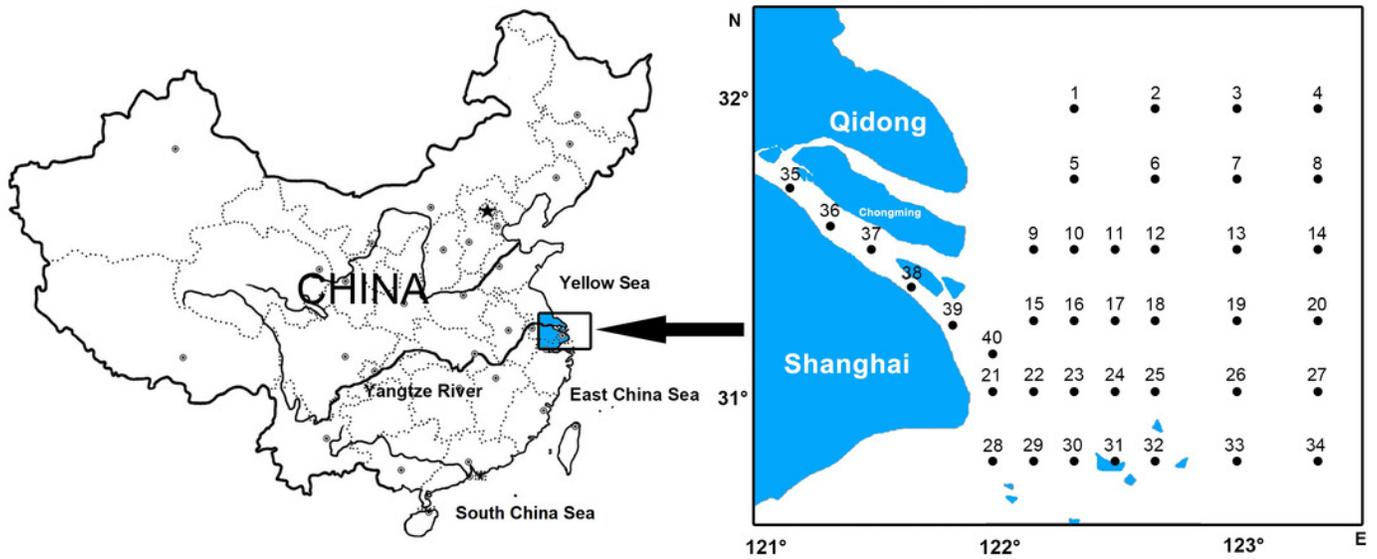


Figure 2

Distribution of ichthyoplankton abundance in the present study

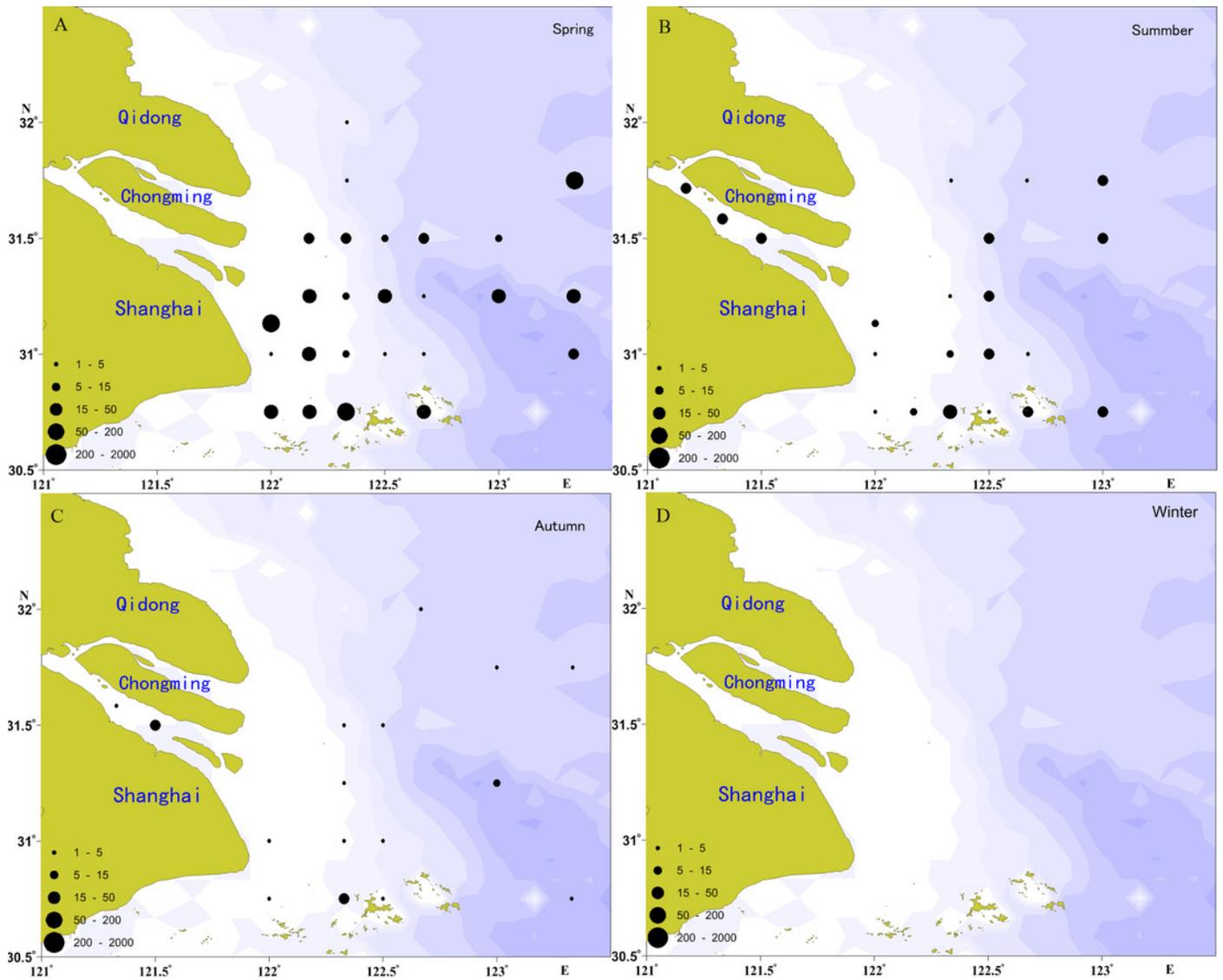


Figure 3

CCA biplot of sampling stations

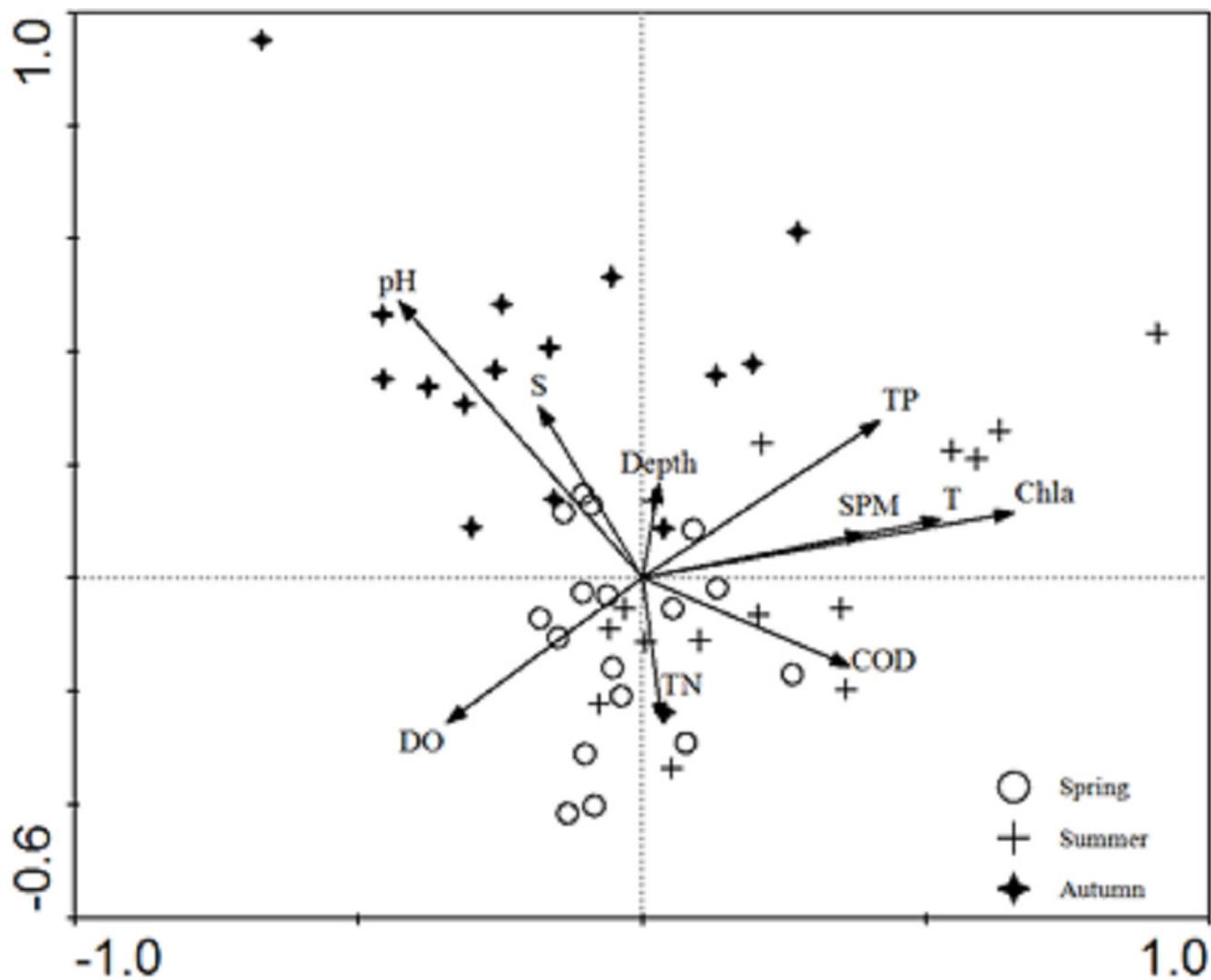


Figure 4

CCA biplot of ichthyoplankton species

The italic characters indicate the abbreviate name of the species as shown in Table 1

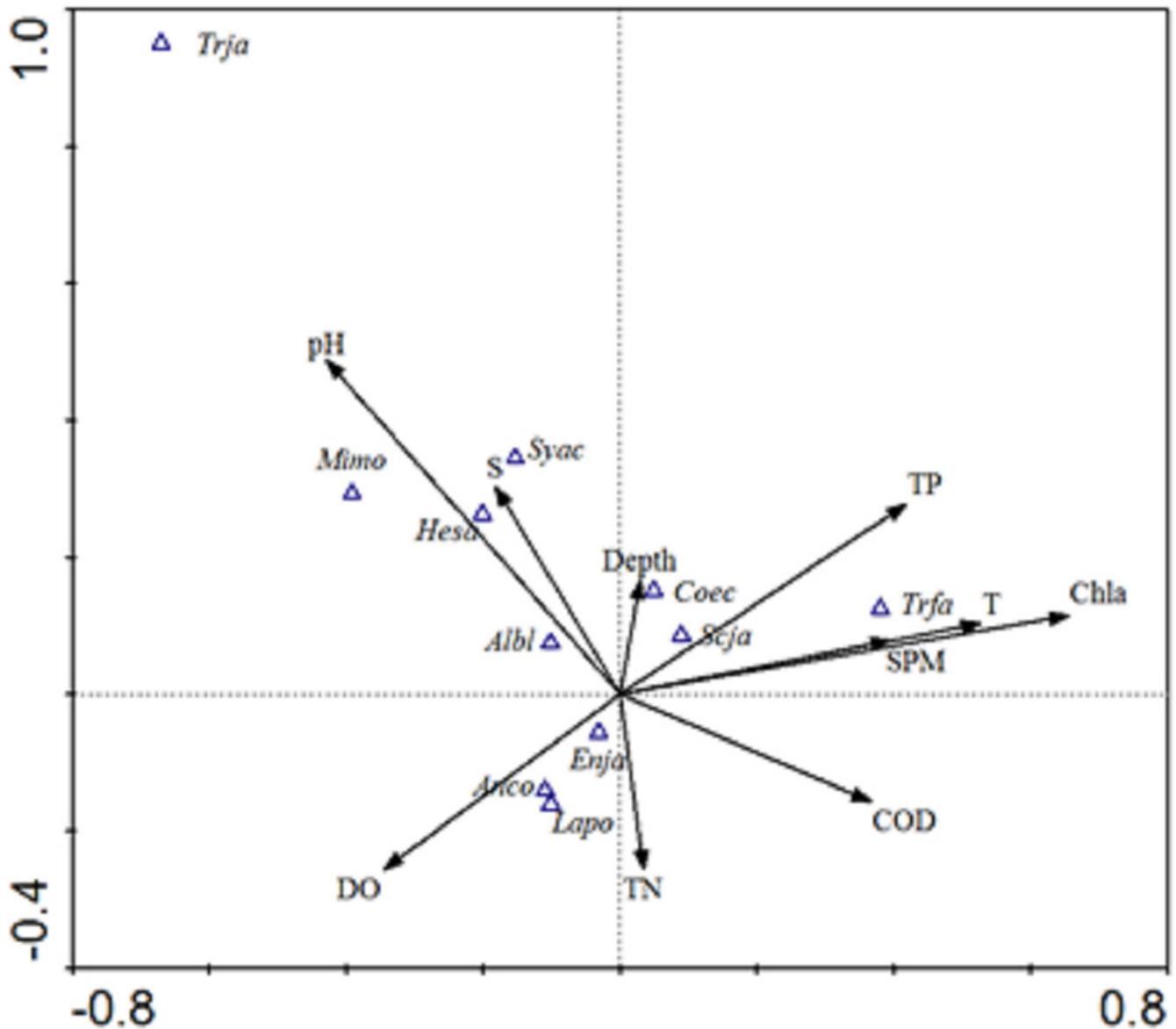


Figure 5

Spring long-term variation of the ichthyoplankton in Yangtze Estuary

The data for 1999-2011 was referenced from Zhang et al 2015 and 2016.

