

Transcriptome profiling reveals the role of ZBTB38 knock-down in human neuroblastoma

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ZBTB38 belongs to the zinc finger protein family and contains the typical BTB domains. As a transcription factor, ZBTB38 is involved in cell regulation, proliferation and apoptosis, whereas, functional deficiency of ZBTB38 induces the human neuroblastoma cell death potentially. To have some insight into the role of ZBTB38 in neuroblastoma development, high throughput RNA sequencing was performed using the human neuroblastoma cell line SH-SY5Y with the deletion of ZBTB38. In the present study, 2,438 differentially expressed genes (DEGs) in ZBTB38^{-/-} SH-SY5Y cells were obtained, 83.5% of which was down-regulated. Functional annotation of the DEGs in the Kyoto Encyclopedia of Genes and Genomes database revealed that most of the identified genes were enriched in the neurotrophin TRK receptor signaling pathway, including PI3K/Akt and MAPK signaling pathway. we also observed that ZBTB38 affects expression of CDK4/6, Cyclin E, MDM2, ATM, ATR, PTEN, Gadd45 and PIGs in the p53 signaling pathway. In addition, ZBTB38 knockdown significantly suppresses the expression of autophagy-related key genes including PIK3C2A and RB1CC1. The present meeting provides evidence to molecular mechanism of ZBTB38 modulating neuroblastoma development and targeted anti-tumor therapies.

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16

17 **ABSTRACT**

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19 transcription factor, ZBTB38 is involved in cell regulation, proliferation and apoptosis, whereas,
20 functional deficiency of ZBTB38 induces the human neuroblastoma cell death potentially. To
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22 RNA sequencing was performed using the human neuroblastoma cell line SH-SY5Y with the
23 deletion of ZBTB38. In the present study, 2,438 differentially expressed genes (DEGs) in
24 ZBTB38^{-/-} SH-SY5Y cells were obtained, 83.5% of which was down-regulated. Functional
25 annotation of the DEGs in the Kyoto Encyclopedia of Genes and Genomes database revealed
26 that most of the identified genes were enriched in the neurotrophin TRK receptor signaling

27 pathway, including PI3K/Akt and MAPK signaling pathway. we also observed that ZBTB38
28 affects expression of CDK4/6, Cyclin E, MDM2, ATM, ATR, PTEN, Gadd45 and PIGs in the
29 p53 signaling pathway. In addition, ZBTB38 knockdown significantly suppresses the expression
30 of autophagy-related key genes including PIK3C2A and RB1CC1. The present meeting provides
31 evidence to molecular mechanism of ZBTB38 modulating neuroblastoma development and
32 targeted anti-tumor therapies.

33 INTRODUCTION

34 Neuroblastoma (NB) is an embryonal malignant tumor that originates from the neural crest cells
35 of the sympathetic nervous system. NB is the most common extracranial solid tumor in children,
36 accounting for 8%–10% of pediatric malignancies (Castel et al. 2013; Schulte et al. 2013). This
37 disease is highly malignant and progresses rapidly—most of the patients would have been at the
38 advanced stage upon diagnosis when conventional radiotherapy and chemotherapy would feature
39 low efficacy, thus resulting in extremely low survival rate (Bagatell & Cohn 2016). In recent
40 years, new therapeutic methods, including haematopoietic stem cell transplantation and
41 biological immunotherapy, have been employed to treat relapsed or refractory NB, but their
42 efficacy remains limited (Binkhathlan & Lavasanifar 2013; Han & Wang 2015). Drug resistance
43 has been recognised as the key obstacle to reaching a satisfactory outcomes (Castel et al. 2013),
44 whereas the induction of programmed death of the cancer cell death by targeted gene therapy
45 shows notable potential in improving the cure rate and long-term survival of NB patients,
46 especially those with higher risks.

47 ZBTB38 belongs to the zinc finger and BTB domain-containing protein family. Most members
48 of the family, as transcription factors, bind to specific DNA sequences and regulate the
49 transcriptional activity of target genes (Sasai et al. 2005; Stogios et al. 2005). The ZBTB family
50 members also participate in various intracellular signal transduction pathways via recognising
51 and interacting with other proteins, thereby playing important roles in the transcriptional
52 repression, DNA damage, tumorigenesis, cell proliferation, differentiation and apoptosis (Lee
53 et al. 2010; Matsuda et al. 2008; Nishii et al. 2012). At least 49 ZBTB proteins are encoded in
54 the human genome; most of them are nuclear proteins (Lee & Maeda 2012). Among the
55 predicted BTB domain-containing proteins encoded by the human genome, only several of them
56 have been functionally characterised (Cai et al. 2012; Matsuda et al. 2008). No relevant studies
57 have been reported concerning the effect of ZBTB38 on human NB.

58 Transcriptomic studies have progressed rapidly over the recent years, which, contrary to the
59 research on an individual genes, enabled the investigation on the altered expression of

60 differentially expressed genes (DEGs) at the level of whole protein-coding or non-coding RNAs
61 in cells or tissues of the body. Transcriptomic studies can also provide information on the
62 relationship between the transcriptional regulation and the protein functions in the whole genome
63 under specific conditions (Reimann et al. 2014; Zhao et al. 2011). Next-generation sequencing
64 (NGS) technology offers important technical support for the annotation and quantification of
65 transcriptomes. The major strength of this technique lies in its high throughput and high
66 sensitivity for transcript abundance, thus providing thorough understanding of the transcriptional
67 genome information in a comprehensive manner and valuable resources for the investigation of
68 the therapeutic biomarkers of cancer (Chang et al. 2015; Li et al. 2014).

69 Therefore, in the present study, a high-throughput transcriptome sequencing approach was
70 adopted to investigate the transcriptome profiles of NB cells in which the expression of ZBTB38
71 gene was down-regulated, thus revealing the potential biomarkers associated with anti-tumor
72 therapies for NB.

73 **MATERIALS & METHODS**

74 *Cell culture and standard assays*

75 SH-SY5Y cells were purchased from American Type Culture Collection (Rockville, MD, USA)
76 and cultured in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine
77 serum and penicillin–streptomycin. siRNAs were used to deplete ZBTB38 gene in SH-SY5Y
78 cells by lipofection. Scramble siRNA (siNC) were used as negative control for knockdown
79 experiment. Transient transfections, quantitative real-time polymerase chain reaction (qRT-PCR)
80 and western blotting were performed as described previously (Cai et al. 2012; Cai et al. 2017).
81 GAPDH and β -actin (for human samples) were used as the internal control. The primers used in
82 qRT-PCR and siRNA suppression assays are listed in supplemental Table S1.

83 Cell viability was tested using the CCK-8 assay. The absorbance of each well was measured at
84 450 nm on a microplate reader. The proliferation rate was defined in terms of the percentage of
85 each group of surviving cells compared with the untreated group for both cell lines.

86 The Cell Death Detection Elisa kit (Roche, Indianapolis, IN) was used to determine apoptosis by
87 measuring mono and oligonucleosomes in the lysates of apoptotic cells according to the
88 manufacturer's protocol.

89 ZBTB38 were purchased from NovusBio (Littleton, CO). PTEN, GAPDH, β -actin

90 RAPTOR, LC3B, p62 and RB1CC1 antibodies were procured from Abcam plc (Massachusetts,
91 US). In Situ Cell Death Detection Kit-POD was purchased from Roche (Basel, Switzerland).

92 ***RNA Preparation and library construction for transcriptome sequencing***

93 Transcriptome high-throughput sequencing was performed in the control group (SH-SY5Y cells
94 transfected with liposome alone, Samples-ID: T04, T05, T06) and the treatment group (SH-
95 SY5Y cells transfected with ZBTB38 siRNA, Samples-ID: T01, T02, T07). Total RNA was
96 isolated from SH-SY5Y cells using TRIzol and the pure-link RNA mini kit (ThermoFisher
97 Scientific, Waltham, MA, USA) according to manufacturer's instructions. RNA purity was
98 checked using the NanoPhotometer spectrophotometer (IMPLEN, CA, USA). RNA
99 concentration was measured using the Qubit RNA Assay Kit in Qubit 2.0 Fluorometer (Life
100 Technologies, CA, USA). RNA integrity was assessed using the RNA Nano 6000 Assay Kit of
101 the Agilent Bioanalyzer 2100 system (Agilent Technologies, CA, USA).

102 In total, 2 µg RNA per sample was used as input material for RNA sample preparations. This
103 study included two groups of three biological replicates. Sequencing libraries were generated
104 using a NEBNext Ultra™ RNA Library Prep Kit for Illumina (NEB, USA), and index codes
105 were added to attribute sequences to each sample. Fragmentation was performed using divalent
106 cations under elevated temperature in NEBNext First Strand Synthesis Reaction Buffer (5×).
107 First-strand cDNA was synthesized using random hexamer primer and M-MuLV Reverse
108 Transcriptase (RNase H). Second-strand cDNA synthesis was subsequently performed using
109 DNA Polymerase I and RNase H. Remaining overhangs were converted into blunt ends via
110 exonuclease/polymerase activities. After the adenylation of 3' ends of DNA fragments, NEBNext
111 Adaptor with a hairpin loop structure was ligated to prepare for hybridization. The library
112 fragments were purified using AMPure XP system (Beckman Coulter, Beverly, USA). Then, 3
113 µl USER Enzyme (NEB, USA) was used with size-selected, adaptor-ligated cDNA at 37°C for
114 15 min, followed by 5 min at 95°C before PCR. Following this, PCR was performed with
115 Phusion High-Fidelity DNA polymerase, universal PCR primers, and index (X) Primer. Finally,
116 PCR products were purified (AMPure XP system), and library quality was assessed using the
117 Agilent Bioanalyzer 2100 system. The clustering of the index-coded samples was performed on a
118 cBot Cluster Generation System using the TruSeq PE Cluster Kit v4-cBot-HS (Illumia).
119 Following cluster generation, the library preparations were sequenced on an Illumina Hiseq 2500
120 platform, and paired-end reads were generated.

121 ***Data and Statistical Analysis***

122 *Cancer genomics analysis*

123 we downloaded the mRNA expression data from the cancer genome atlas ([TCGA](#)) database, and
124 systematically evaluated the expression of ZBTB38 and correlation with patients' survival in
125 tumors of the TCGA database.

126 *Quality control*

127 Raw reads of fastq format were firstly processed through in-house perl scripts. In this step, clean
128 reads were obtained by removing reads containing adapter, reads containing ploy-N and low
129 quality reads from raw reads. At the same time, Q20, Q30, GC-content and sequence duplication
130 level of the clean reads were calculated. All the downstream analyses were based on clean reads
131 with high quality (Ewing & Green 1998; Ewing et al. 1998). The clean data of this article are
132 publicly available in the NCBI sequence reads archive (SRA) with accession number
133 SRP150042.

134 *Comparative analysis*

135 The adaptor sequences and low-quality sequence reads were removed from the data sets. Raw
136 sequences were transformed into clean reads after data processing. These clean reads were then
137 mapped to the reference genome sequence. Only reads with a perfect match or one mismatch
138 were further analyzed and annotated based on the reference genome. Tophat2 tools soft were
139 used to map with reference genome (Kim et al. 2013; Langmead et al. 2009). Reference genome
140 download address: ftp://ftp.ensembl.org/pub/release-80/fasta/homo_sapiens/.

141 *Gene functional annotation*

142 The assembled sequences were compared against the NR ([NCBI non-redundant protein](#)
143 [sequences](#)), Pfam ([Protein family](#)), KOG/COG ([Clusters of Orthologous Groups of proteins](#)),
144 Swiss-Prot ([A manually annotated and reviewed protein sequence database](#)), KO ([KEGG](#)
145 [Ortholog database](#)), and GO ([Gene Ontology](#)) databases with an E-value $\leq 10^{-5}$ for the functional
146 annotation. The Blast2GO program was used to obtain GO annotation of unigenes including
147 molecular function, biological process, and cellular component categories (Gotz et al. 2008).

148 *Differential expression analysis*

149 Differential expression analysis of the two conditions was performed using the DEGseq R
150 package (Robinson et al. 2010). The P-values obtained from a negative binomial model of gene
151 expression were adjusted using Benjamini and Hochberg corrections to control for false
152 discovery rates (Anders & Huber 2010). Genes with an adjusted P-value < 0.05 were considered
153 to be differently expressed between groups. DEG expression levels were estimated by fragments

154 per kilobase of transcript per million fragments mapped (Florea et al. 2013). The formula is
155 shown as follow:

$$156 \quad \text{FPKM} = \frac{\text{cDNA Fragments}}{\text{Mapped Fragments(Millions)} \times \text{Transcript Length(kb)}}$$

157 *GO enrichment and KEGG pathway enrichment analysis*

158 GO enrichment analysis of the differently expressed genes (DEGs) was implemented in the
159 “Goseq” package in R based on a Wallenius non-central hyper-geometric distribution, which
160 can adjust for gene length bias in DEGs (Young et al. 2010).

161 KEGG is a database for understanding high-level functions and utilities of biological systems
162 through large-scale molecular datasets generated by genome sequencing and other high-
163 throughput experimental technologies (<http://www.genome.jp/kegg/>) (Kanehisa et al. 2008). We
164 used the KOBAS software to test for the statistical enrichment of differentially expressed genes
165 in KEGG pathways. KEGG enrichment can identify the principal metabolic pathways and signal
166 transduction pathways of DEGs (Mao et al. 2005).

167 *DEGs quantitative real-time pcr (qRT-PCR) verification*

168 For validation of the transcriptome result, we subjected three significantly differential expressed
169 unigenes on related pathways to qRT-PCR analysis. Redundant RNA from the cDNA library
170 preparation was used to perform reverse transcription according to the Invitrogen protocol.
171 quantitative real-time polymerase chain reaction (qRT-PCR) were performed as described
172 previously (Zhang et al. 2017). The primers used in qRT-PCR suppression assays are listed in
173 *Table S1*.

174 *Statistical analysis*

175 All data were reported as mean \pm standard deviation and analyzed using one-way analysis of
176 variance in SPSS v.17.0. Statistical tests were performed with the Kruskal–Wallis and Mann–
177 Whitney U-tests. A least significant difference test was used for comparisons between groups. A
178 P-value < 0.05 was considered statistically significant.

179 **RESULTS**

180 *Variation of ZBTB38 in tumors*

181 According to the statistical analysis of the TCGA database resources, we found that the
182 expression changes of ZBTB38 gene are closely related to the occurrence of 20 kinds of cancers,
183 and especially the most remarkable down-regulated expression in uterine corpus endometrial
184 carcinoma (UCEC) and cervical squamous cell carcinoma and endocervical adenocarcinoma
185 (CESC) ($p < 0.05$) (Fig. 1). However, in the prognosis of these 20 tumors, we only uncover here
186 that low expression of ZBTB38 was associated with improved the prognosis of the brain lower
187 grade glioma (LGG) patients (Fig. 2), suggesting that these changes are closely related to
188 neuronal tumors.

189 ***Neuroblastoma cell proliferation and viability after down-regulated of ZBTB38 expression***

190 To investigate the importance of ZBTB38 in the process of neuronal tumors, three pairs of
191 siRNAs named siRNA1, siRNA2, and siRNA3, were designed to suppress expression of
192 ZBTB38 in human neuroblastoma cells SH-SY5Y. The protein level of ZBTB38 was decreased
193 significantly ($p < 0.05$) at 24 h after transfection (Fig. 3A and B), and furthermore, siRNA3
194 worked best for the suppression. No significant difference in cell proliferation and viability was
195 observed among the initial phases of each group after culture by transient transfection ($p > 0.05$).
196 From 12–72 h, the ZBTB38^{-/-} SH-SY5Y group showed significantly lower cell proliferation and
197 viability than the control group ($p < 0.05$) (Fig. 3C and D). Whereas, a sharp increase in
198 apoptosis of SH-SY5Y cells were observed following ZBTB38 siRNA exposure (Fig. 4A). We
199 next determined the expression levels of pro-apoptotic genes in ZBTB38 knockdown cells
200 compared with knockdown control cells. The knockdown of the ZBTB38 gene resulted in a
201 decrease in the expression levels of Noxa, Bak, Bim, Puma and DR5 genes, with significant
202 differences in Noxa, Bim, and DR5 ($p < 0.05$) (Fig. 4B). These data indicate that inhibition of
203 ZBTB38 triggers apoptosis of NB cells.

204 ***Quality control and yield statistics of transcriptome sequencing data***

205 A total of 47.05 Gb clean data were obtained through the transcriptome sequencing of SH-SY5Y
206 cells, with at least 6.12 Gb and a $\geq 89.30\%$ Q30 percentage for each sample (Table 1). Efficiency
207 of sequence alignment referred to the percentage of mapped reads in the clean reads, which
208 reflected the utilization of transcriptome sequencing data. Statistical analysis of the alignment
209 results showed that the efficiency of read alignment for the reads of each sample and the
210 reference genome ranged between 79.42% and 81.92% (Table 1), which guaranteed that the
211 selected reference genome assembly was qualified for data analysis.
212 Qualified transcriptome libraries are a major requisite for transcriptome sequencing. To ensure
213 the quality of the libraries, quality of the transcriptome sequencing libraries was evaluated from
214 three different perspectives:

215 (1) Randomicity of mRNA fragmentation and the degradation of mRNA were evaluated by
216 examining the distribution of inserted fragments in genes. As shown in *Figure S1*, The
217 degradation of mRNAs was relatively low in the 6 groups of samples.
218 (2) The dispersion degree of the inserted fragment length directly reflected the efficiency of
219 magnetic bead purification during library preparation. Simulated distribution of the inserted
220 fragment length for each sample showed only single-peak pattern, indicating a high purification
221 rate (*Fig. S2*).
222 (3) With the increase of sequencing data, the number of DEGs tended to saturate, as shown in
223 *Figure S3*, which confirmed that the data were sufficient and qualified for the subsequent
224 analysis.

225 ***DEG and DEGs Function annotation***

226 To acquire the comprehensive genetic information of ZBTB38^{-/-} SH-SY5Y cells, the unigenes
227 were blasted against the NR, Swiss-Prot, GO, COG, KOG, Pfam, KEGG database resources to
228 identify the functions of all of the unigene sequences. All of DEGs were annotated to genes
229 having known functions in the indicated databases based on the sequences with the greatest
230 similarity. DEseq was used to analyze the DEGs derived from the two groups of cells to obtain a
231 DEGs set. Finally, a total of 2,036 (83.5%) down-regulated DEGs and 402 (16.5%) up-regulated
232 DEGs were selected (*Table S2 and Fig.S4*). The number of DEGs annotated in this gene set was
233 shown in *Table 2*.

234 A total of 2,258 (93.4%) DEGs were annotated successfully by GO annotation. These annotated
235 DEGs were classified into the next terms of three ontologies: BP (biological process), CC
236 (cellular component) and MF (molecular function). The distribution of unigenes is shown in
237 *Figure 5*. Among the “Biological Process”, a high percentage of genes were classified into
238 Cellular Process (1,924 unigenes, 85.2%). Within the cellular component category, the majority
239 of genes were assigned into Cell Part (2,145 unigenes, 95%) . For the molecular function, most
240 of genes were involved in “Binding” (1,949 unigenes, 86.3%). The greatest number of annotated
241 unigenes were involved in Biological Process. The results of the topGO functional enrichment
242 analyses of DEGs indicated that the most significantly enriched GO terms focus on
243 “neurotrophin TRK receptor signaling pathway” (*Table 3*).

244 The unigenes was blasted against the COG database in order to orthologously classify gene
245 products. COG classification statistical results of DEGs were shown in *Figure 6*. In addition to
246 “General function prediction only”, “Replication, recombination and repair” accounted for the
247 largest proportion of unigenes (180 DEGs, 13.06%), followed by “Transcription” (133 DEGs,
248 9.65%), “Signal transduction mechanisms”(128 DEGs, 9.29%), “Translation, ribosomal structure
249 and biogenesis” (80 DEGs, 5.81%), “Posttranslational Modification, Protein Turnover and

250 Chaperones” (79 DEGs, 5.73%), “cell cycle control, cell division, and chromosome partitioning”
251 (44 DEGs, 2.98%). According to the annotation results of the DEGs KEGG database, the largest
252 proportion of the unigenes were involved in the “MAPK signaling pathway” and “PI3K-Akt
253 signaling pathway” of “Environmental Information Processing”(Fig. 7).

254 Based on the results above, a large number of DEGs were screened after a comparative analysis
255 of relevant databases. Meanwhile, functional annotation was also carried out that was crucial for
256 the further understanding of the cellular functions of ZBTB38 gene as a transcription factor.

257 ***Detection of candidate genes and analysis of the results of Real-time quantitative PCR***

258 We analyzed whether the differentially expressed genes were over-presented on a pathway by
259 enrichment of DEGs KEGG pathway (Fig.S5), taking FPKM as a measure for the level of
260 transcripts or gene expressions, DEGs in the p53 signaling pathway, including CDK4/6
261 (ENSG00000105810), Cyclin E (ENSG00000175305), MDM2 (ENSG00000135679), ATM
262 (ENSG00000149311), ATR (ENSG00000175054), PTEN (ENSG00000171862), were down-
263 regulated, and Gadd45 (ENSG00000179271) and PIGs (ENSG00000115129) were up-regulated
264 (Table S2 and Fig.S5).

265 Top 20 down-regulated unigenes associated with autophagy were selected (Table S3), among
266 which PIK3C2A was the most down-regulated one, followed by RB1CC1 gene. In summary, the
267 transcription factor ZBTB38 is involved in the process of protein synthesis and also, as a positive
268 regulatory factor, in the occurrence of autophagy directly.

269 To validate the sequencing results obtained by RNA-seq, real-time quantitative PCR was
270 performed on three candidate genes, including PIK3C2A, RB1CC1, ATM, related to the mTOR
271 signaling pathway. The result showed that the expression of these candidate genes was
272 significantly decreased in the ZBTB38^{-/-} cells compared to control group, which was similar to
273 the RNA-seq data (Fig. 8). The result verified the reliability of the transcription sequencing
274 results.

275 To further explore the mechanism involved in these events, we examined the expression levels of
276 autophagy genes, in the presence or absence of ZBTB38. The expression levels of LC3B and
277 RB1CC1 were significantly decreased in human NB cells after ZBTB38 knockdown, compared
278 with those from empty liposome-treated SH-SY5Y cells (Fig. 9), which indicate that autophagy
279 is inhibited. In addition, we also detected PTEN and RAPTOR, which are key genes in mTORC1
280 regulation of autophagy signaling pathway, their expression levels were decreased in ZBTB38
281 siRNA-treated SH-SY5Y cells, whereas p62 expression was increased. These results indicate
282 that ZBTB38 loss-mediated autophagy inhibition is likely associated with activation of the
283 mTORC1 signaling pathway. In summary, the transcription factor ZBTB38 is involved in the
284 process of protein synthesis and also, as a positive regulatory factor, in the occurrence of
285 autophagy directly.

286 DISCUSSION

287 Transcriptomic studies have progressed rapidly in recent years. Based on the information of the
288 whole mRNAs obtained in one cell or tissue, the transcriptomic studies provide data on the
289 expression regulation systems and protein functions of all genes. NGS facilitates the deep
290 sequencing of whole cancer genomes for the discovery of novel therapeutic biomarkers, helping
291 to consequently build a solid foundation for the comprehensive studies of cancer
292 pharmacogenetics. Furthermore, NGS allows for detailed analyses of the whole epigenome and
293 transcriptome, thus profoundly revealing the multilevel regulation networks of the human
294 genome (McGettigan 2013; Wang et al. 2009; Young et al. 2010). Remarkably, the large amount
295 of data on gene expression profiles revealed by transcriptome sequences have provided valuable
296 resources for studies investigating the therapeutic biomarkers of cancer.

297 The genomic instability is an important factor in the early stages of cell carcinogenesis. This
298 property primarily results from the error-prone DNA repair and the accumulation of abnormal
299 DNA after repair are the main causes of genomic instability that follows (Lord & Ashworth
300 2012). The strict regulation of gene transcription is an essential factor to maintain the genomic
301 stability (Kakaroukas et al. 2014). According to the statistical analysis of the TCGA database,
302 the ZBTB protein family is mainly involved in the expression regulation of the target genes. The
303 amplification, deficiency and/or mutation of most genes in the ZBTB family occurs in different
304 types of tumors (Jardin et al. 2007; Maeda et al. 2007; Phan & Dalla-Favera 2004). Among
305 ZBTB genes, the expression changes of ZBTB38 gene are closely related to the occurrence of 20
306 kinds of cancers (*Fig. 1A*), and different tumors exhibit significant differential expression
307 changes, especially the remarkably down-regulated expressions of UCEC and CESC (*Fig. 1B*
308 *and 1D*). However, in our study, the statistical analysis of the prognosis of the LGG patients
309 exhibited a negative correlation with the expression changes of ZBTB38 (*Fig. 2*), indicating a
310 significant concern regarding the study of the effects of ZBTB38 expression changes on the
311 occurrence and development of neuroma. However, there was no relevant report focused on the
312 expression change of ZBTB38 in NBs. This study demonstrated for the first time that the in vitro
313 knockdown of ZBTB38 seriously affected the proliferation of NB cells. Accordingly, the
314 biological function of ZBTB38 and its relationship with the clinical prognosis of NB deserves
315 further analysis.

316 The annotation of the DEGs function revealed that after ZBTB38 knockdown, the most of DEGs
317 were enriched in the neurotrophin TRK receptor signaling pathway. Neurotrophic factors (NTs)
318 are a class of factors that regulate neuronal development, differentiation and function. NTs may
319 activate two types of receptors, the high-affinity tyrosine kinase family TRK receptors and the

320 low-affinity p75 neurotrophin receptor (p75NTR) of the tumor necrosis factor receptor
321 superfamily (Yang et al. 2016). NTs can initiate various complex signal transduction pathways
322 by activating these types of receptors and thus exert biological effects. In most cases, p75NTR is
323 a ligand-activated apoptotic receptor, which primarily induces neuronal apoptosis and activate
324 the apoptotic JKN-p53-Bax signal transduction pathway (Redden et al. 2014). TRKs mainly
325 activate two pathways: the phosphoinositide 3-kinase (PI3K)-Akt signaling which inhibits the
326 production and activity of apoptotic proteins, and the mitogen-activated protein kinase (MAPK)
327 signaling pathway, which activates the anti-apoptotic proteins to promote survival (Wong et al.
328 1999). In this study, the KEGG pathway enrichment analysis of differentially expressed genes
329 revealed that DEGs were the most enriched genes in the MAPK and PI3K-Akt signaling
330 pathways and mostly down-regulated. Thus, we speculated that ZBTB38 knockdown-induced
331 reduction in viability and proliferation rate of SH-SY5Y cells may be closely related to these
332 pathways. We plan to focus on the key components of DEGs in future studies to clarify the
333 related molecular mechanism and to further evaluate the potential of ZBTB38 as a target gene to
334 treating NB.

335 A key feature of NB is that it is a uniform p53 wild-type at diagnosis with intact intrinsic and
336 extrinsic apoptotic mechanisms; direct inactivation of p53 mutations, which are rare, regardless
337 of the stage of treatment, suggests that NBs feature an innate requirement for a baseline p53
338 activity (Kim & Shohet 2009). In the present study, the KEGG pathway enrichment analysis of
339 DEGs revealed that in the p53 signaling pathway, genes, including CDK4/6, Cyclin E, MDM2,
340 ATM, ATR, PTEN, were down-regulated, and Gadd45 and PIGs were up-regulated after the
341 knockdown of ZBTB38 (*Fig. 10*). Both the CDK4/6-Cyclin D and the CDK2-Cyclin E
342 complexes serve as the central links in cell cycle regulation via regulating the G1-S transitions
343 in cells, and abnormal activation of the CyclinD-CDK4/6-INK4-Rb pathway, which is often
344 observed in various malignancies, will lead to uncontrolled growth of cancer cells (Sawai et al.
345 2012; The et al. 2015; VanArsdale et al. 2015). In addition, members of the Gadd45 family serve
346 as key regulatory genes in DNA damage repair pathway with p53 as the central link, whereas the
347 upregulation of Gadd45 plays an important role in the regulation of G2/M cell cycle checkpoints
348 and the maintenance of genomic stability to inhibit the cell transformation and the malignant
349 tumor progression (Wang et al. 1999). ATM and ATR belong to the inositol trisphosphate kinase
350 family, both of which can be activated by DNA damage to phosphorylate the downstream
351 substrates such as CHK1, CHK2, and p53. In addition, the down-regulation of both kinases may
352 impair the downstream transmission of the molecular signals and inhibit the p53 activity
353 (Abraham 2001; Matsuoka et al. 2007). MDM2 regulates the function of p53 via two
354 approaches, i.e., mediating the p53 degradation and inhibiting its transcriptional activity. As a
355 negative feedback regulator of p53, the inhibited expression of MDM2 can enhance the

356 transcriptional activity of p53 and inhibit tumorigenesis (Shangary & Wang 2009). PIGs act as
357 the target downstream genes of p53 for apoptosis regulation, which is critical for cell apoptosis
358 by participating in the synthesis of reactive oxygen species (ROS) and the regulation of oxidative
359 stress (Jin et al. 2017; Lee et al. 2010). We can speculate that when ZBTB38 gene was knocked
360 down, more PIGs are transferred into the nucleus, where cell damage is repaired. Therefore,
361 cellular response to DNA damage increased, and p53 induced ROS production, ultimately
362 promoting the apoptosis of tumor cells. PTEN is a tumor suppressor gene with phosphatase
363 activity. This gene is also an upstream regulatory inhibitor of the PI3K-Akt signal transduction
364 pathway. PTEN is often referred to as a 'switch' molecule in the PI3K-Akt pathway due to its
365 capability, depending on its lipid phosphatase activity, to remove the phosphate group and
366 participate in the regulation of cell activity. Once the expression of PTEN protein is reduced, the
367 dephosphorylation of phosphatidylinositol (3,4,5)-trisphosphate (PIP3) decreases. Excessive
368 PIP3 is subsequently accumulates in the cells, and the PI3K/Akt signaling pathway is
369 continuously activated, eventually leading to cell proliferation or uncontrolled apoptosis and
370 finally the occurrence of various diseases (Bleau et al. 2009; Carnero et al. 2008). In summary,
371 studies investigating the roles of ZBTB38 and p53 pathways in growth and apoptosis of NB cells
372 and those involving the intervention of specific signaling pathways may allow us to further
373 understand the mechanisms of NB occurrence and progression, and thus better evaluate and
374 control this paediatric malignancy.

375 Among all the KEGG pathway enrichment categories, the majority of the DEGs were enriched in
376 the PI3K-Akt signaling pathway, especially the down-regulated ones, with the most significance
377 noted in PIK3C2A and RB1CC1. PIK3C2A is a member of the PI3K family and one of the key
378 molecules in the signal transduction pathway of growth factors. The overexpression of PIK3C2A
379 in cells has been reported to induce the accumulation and assembly of clathrin, which mediates
380 the transport of proteins between cell membranes and the network structure of the Golgi body via
381 regulating the movement of microtubules (Dragoi & Agaisse 2015; Shi et al. 2016). RB1CC1
382 (also known as FIP200), with a molecular weight of 200kD, is an interacting protein of the focal
383 adhesion kinase family. As documented in prior studies, autophagy induction is abolished in
384 RB1CC1-deficient cells. RB1CC1 is an important regulatory protein that can acts on the
385 autophagic initiation complex along with the Unc-51 like autophagy activating kinase
386 simultaneously. RB1CC1 is also a key autophagy initiation factor in the mTORC1-dependent
387 signaling pathway (Ganley et al. 2009; Wang et al. 2011; Wei et al. 2009). We also observed
388 downregulation of PTEN, RAPTOR and LC3B expression (*Fig. 9*). RAPTOR is a specific
389 component of mTORC1, which is negatively correlated with the activation of mTORC1. The
390 downregulation of RAPTOR expression may also indicate the activation of mTORC1 pathway

391 (Saxton & Sabatini 2017). Therefore, as revealed in our study, we believe that the loss of ZBTB38
392 gene in SH-SY5Y cells lead to mTORC1-mediated autophagy inhibition.
393 Orthologous assignments of gene products were carried out using the COG database.
394 Corresponding statistical analysis of the results also indicated that the silencing of the ZBTB38
395 gene affected the homeostasis of the whole cell. As a transcriptional factor, ZBTB38 regulates the
396 transcription of intracellular proteins and influenced the expression and transport of proteins in
397 the downstream signaling pathways. The GO functional enrichment analysis suggested that most
398 of the DEGs were involved in “Binding” and “Catalytic Activity” of the molecular function
399 between ZBTB38^{-/-} cells and the controls. This finding also partially explains the biological
400 functions of the key candidate genes enriched in the KEGG pathway, i.e., all of them are specific
401 binding DNAs or proteins that regulate, the transcriptional activity of target genes and are
402 involved in various intracellular signaling pathways.

403 **CONCLUSIONS**

404 The functional knockdown of transcription factor ZBTB38 effectively inhibited the proliferation
405 and differentiation of NB cells, which may be largely attributed to the significant inhibition of
406 the neurotrophin TRK receptor signaling pathway. In addition, the downregulation of ZBTB38
407 may also promote apoptosis of the NB cells by regulating key components of the p53 signaling
408 pathway. Two DEGs (PIK3C2A and RB1CC1) that closely related to autophagy initiation were
409 significantly inhibited, suggesting that ZBTB38 downregulation also blocked autophagy, an
410 important mechanism that protects the cells from programmed cell death, thus accelerating
411 apoptosis of tumor cells.

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568

Figure 1

Expression analysis of ZBTB38 gene in different tumors based on TCGA Database.

(A) The expression changes of ZBTB38 gene are closely related to the occurrence of 20 kinds of cancers; (B-D) ZBTB38 expression profiles based on top 4 cancer stages. * $p < 0.05$; ** $p < 0.01$.

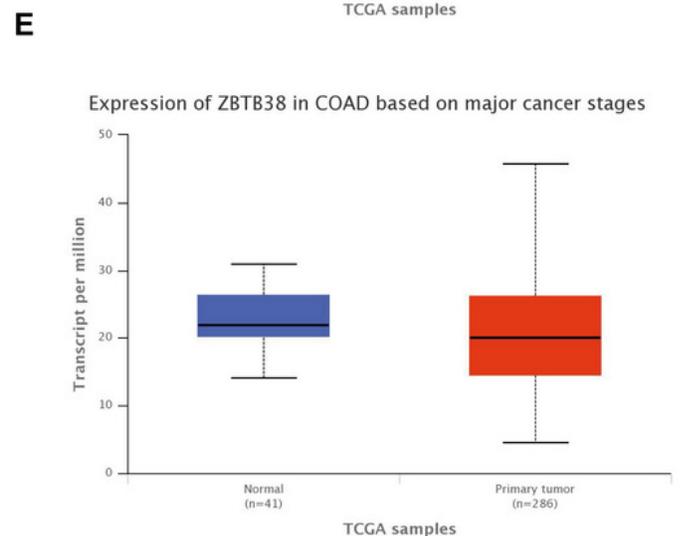
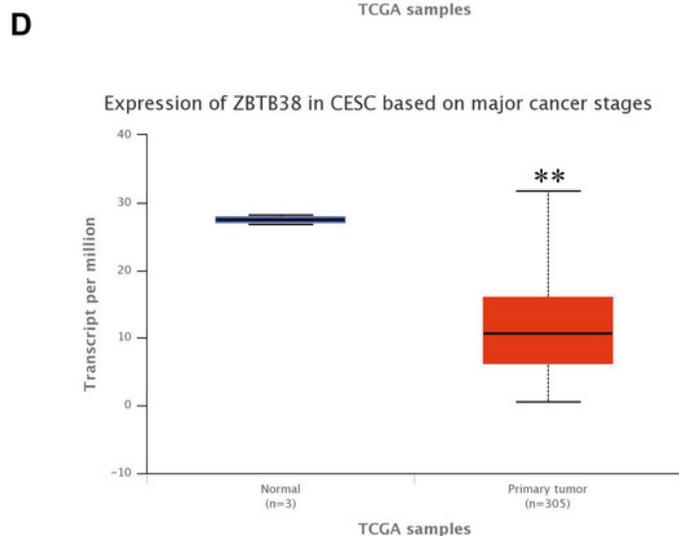
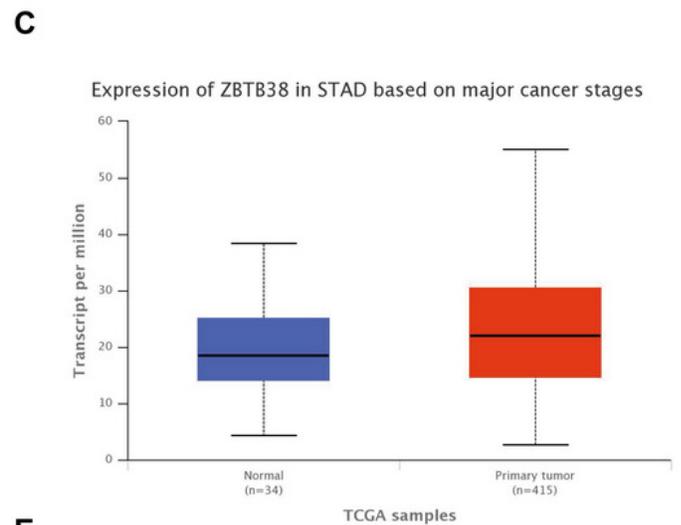
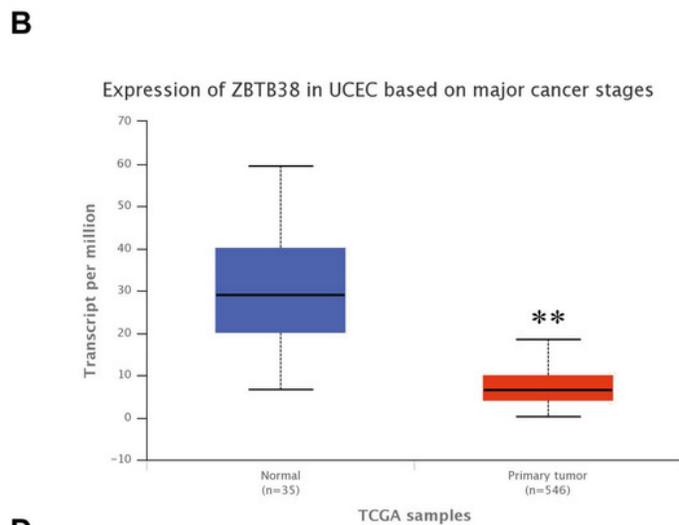
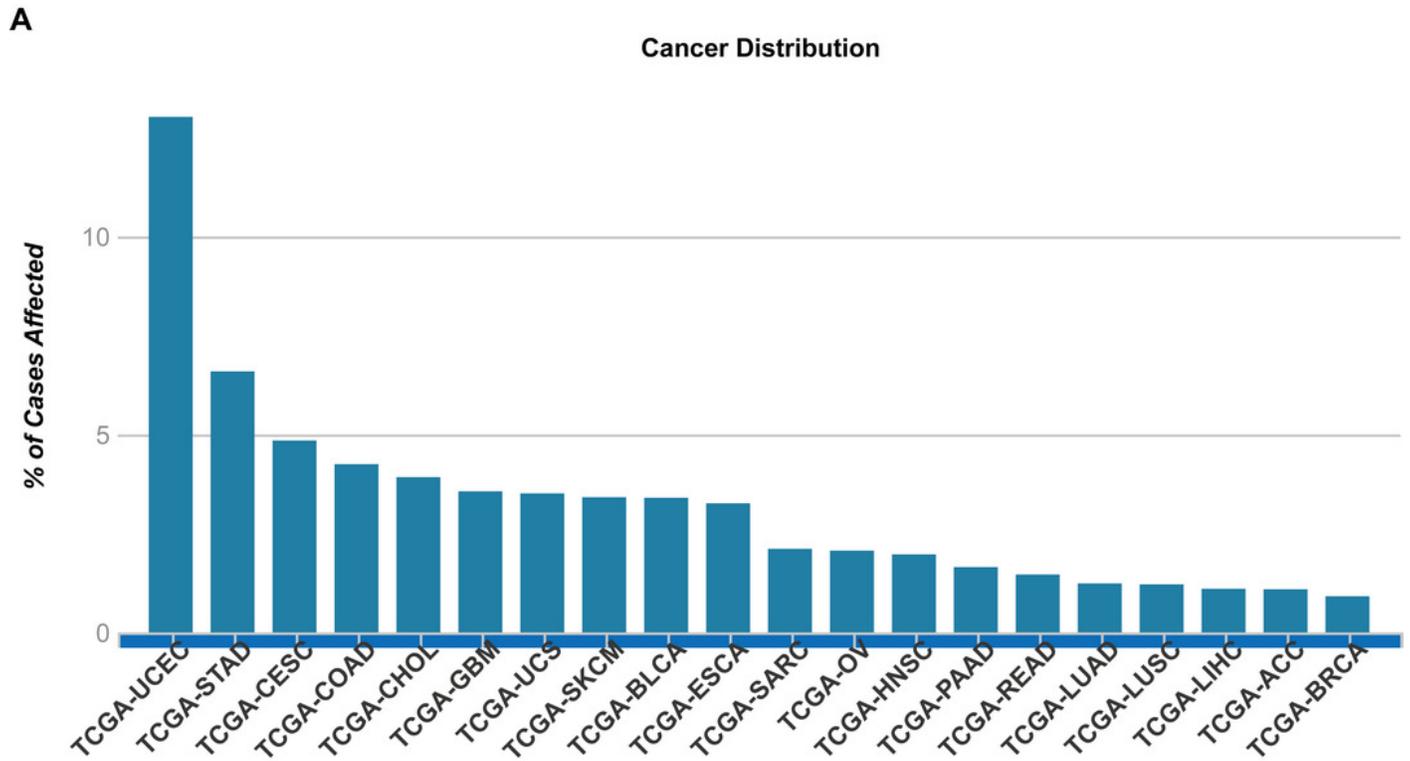


Figure 2

Effect of ZBTB38 expression level on LGG patient survival.

Red and blue lines indicated high and low expression groups, respectively. $P = 0.02 < 0.05$ was considered to be statistically significant.

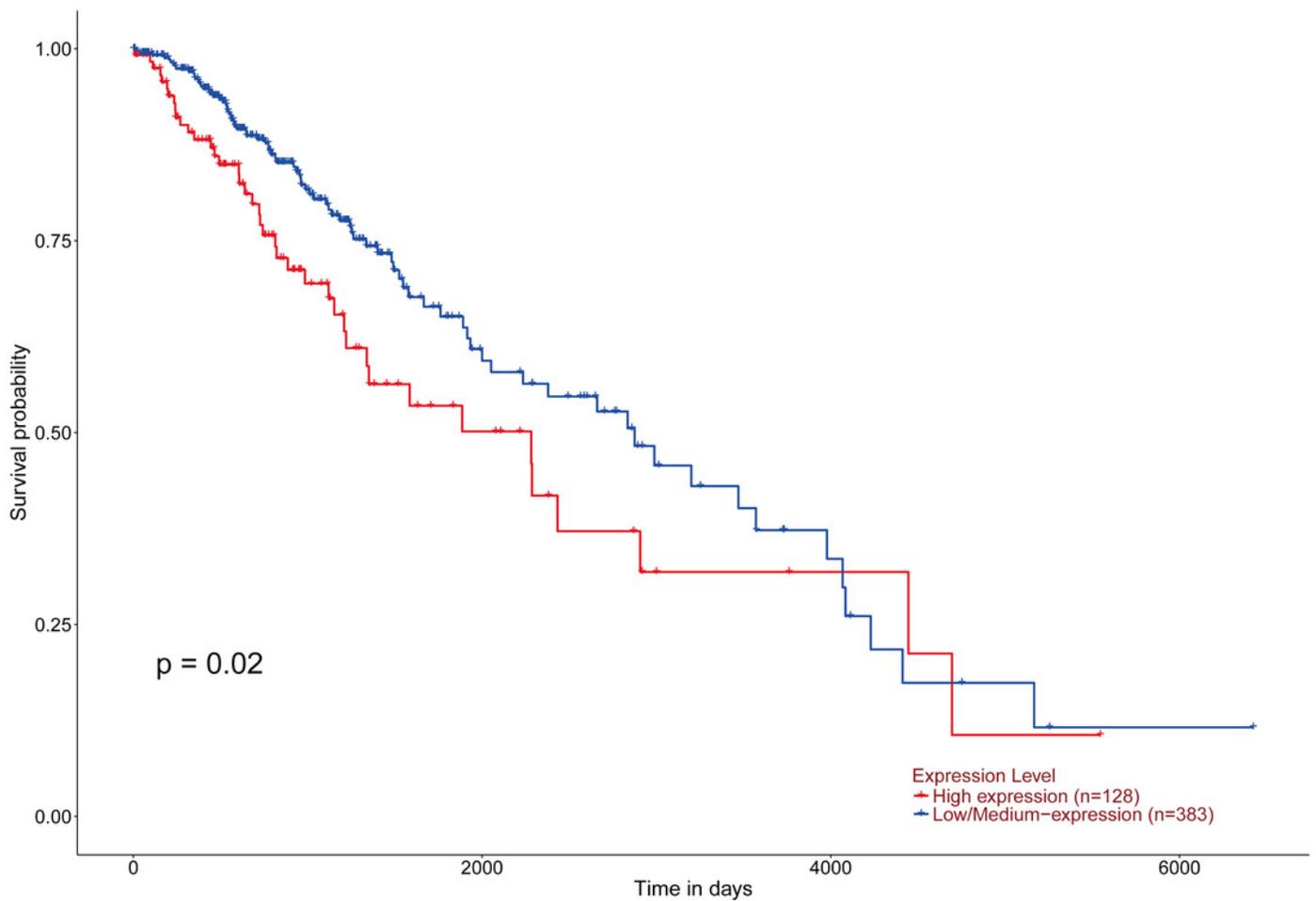


Figure 3

Proliferation and viability of ZBTB38 knockdown SH-SY5Y cells.

(A, B) SH-SY5Y cells were divided into two groups underwent control group and siRNA, respectively. cell lysates were collected for Western blot analysis on the 72 h after transfecting different sequences of ZBTB38 siRNAs (siRNA1, siRNA2 and siRNA3 indicate three different siRNA primers). Representative images of these assays are shown in (A) and quantitative data are shown in (B); β -actin was used as an internal control. SH-SY5Y cell proliferation (C) and viability (D) in different groups. $*p < 0.05$. Data are presented as means \pm SEM from at least 3 independent experiments. Control, SH-SY5Y cells treated with liposome alone; ZBTB38^{-/-}, SH-SY5Y cells transfected with ZBTB38 siRNA.

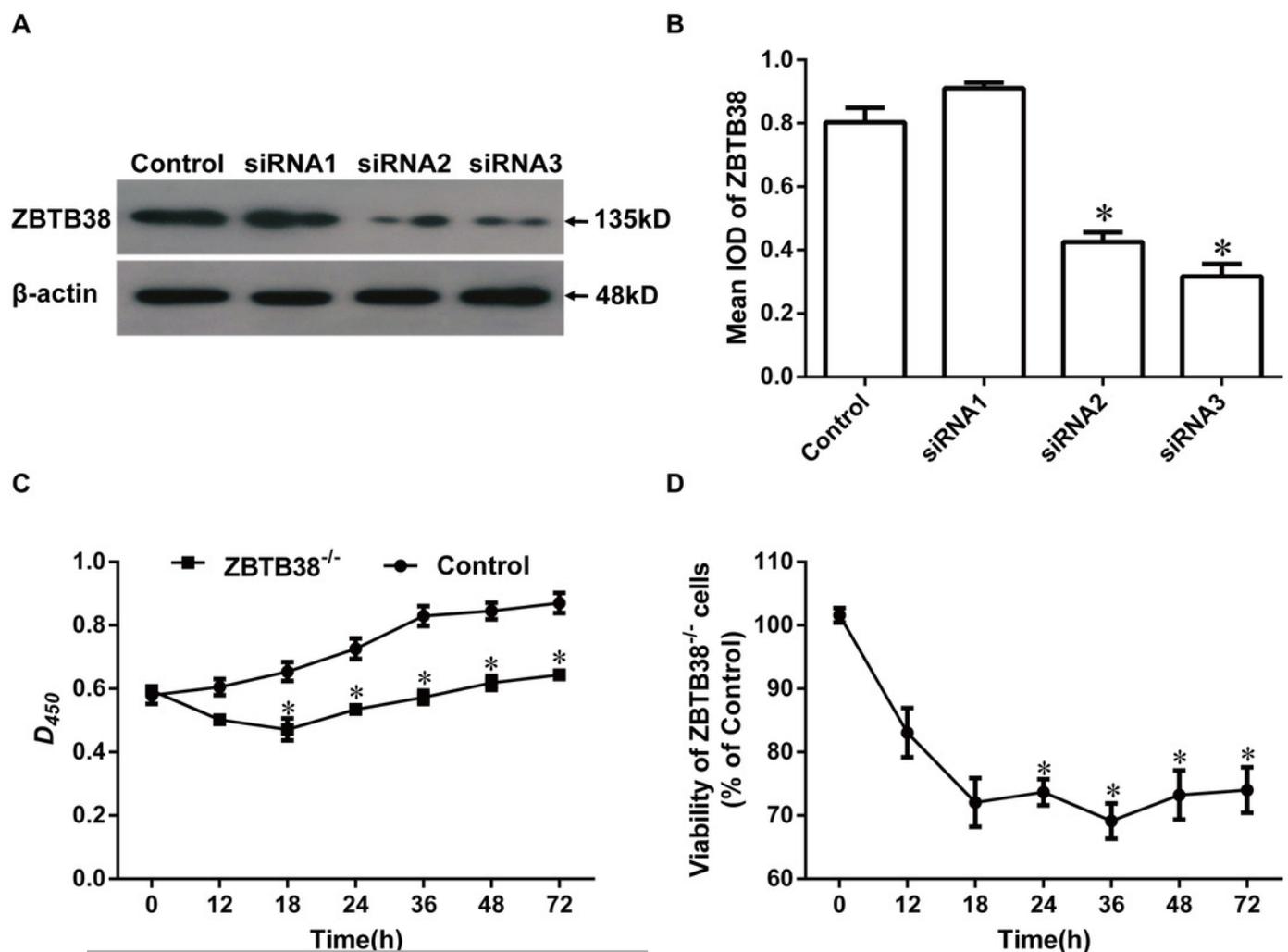


Figure 4

Loss of ZBTB38 induces apoptosis in SH-SY5Y cells.

(A) Apoptosis of SH-SY5Y cells in the presence or absence of ZBTB38 siRNA was determined by The Cell Death Detection Elisa Kit. (B) RNA from ZBTB38 knockdown SH-SY5Y cells (siZBTB38) and control cells (siNC) was collected for QRT-PCR analysis to determine the expression levels of pro-apoptotic genes. * $p < 0.05$; ** $p < 0.01$.

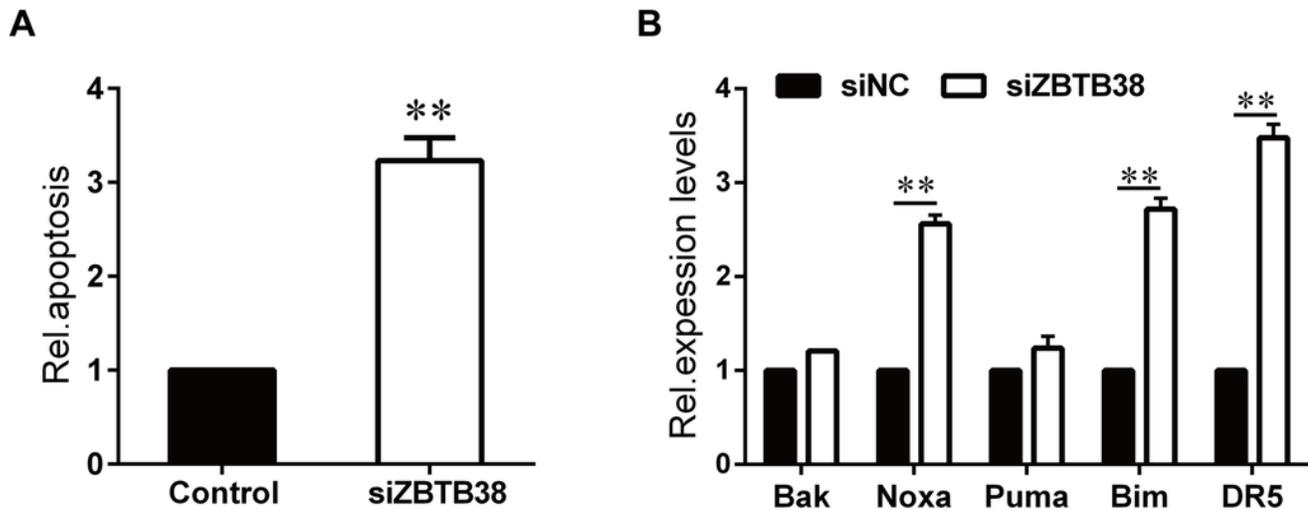


Figure 5

Gene function classification of all annotated unigenes by Gene Ontology.

The vertical axis represents the number of unigenes, and horizontal axis gives the specific GO sub-categories.

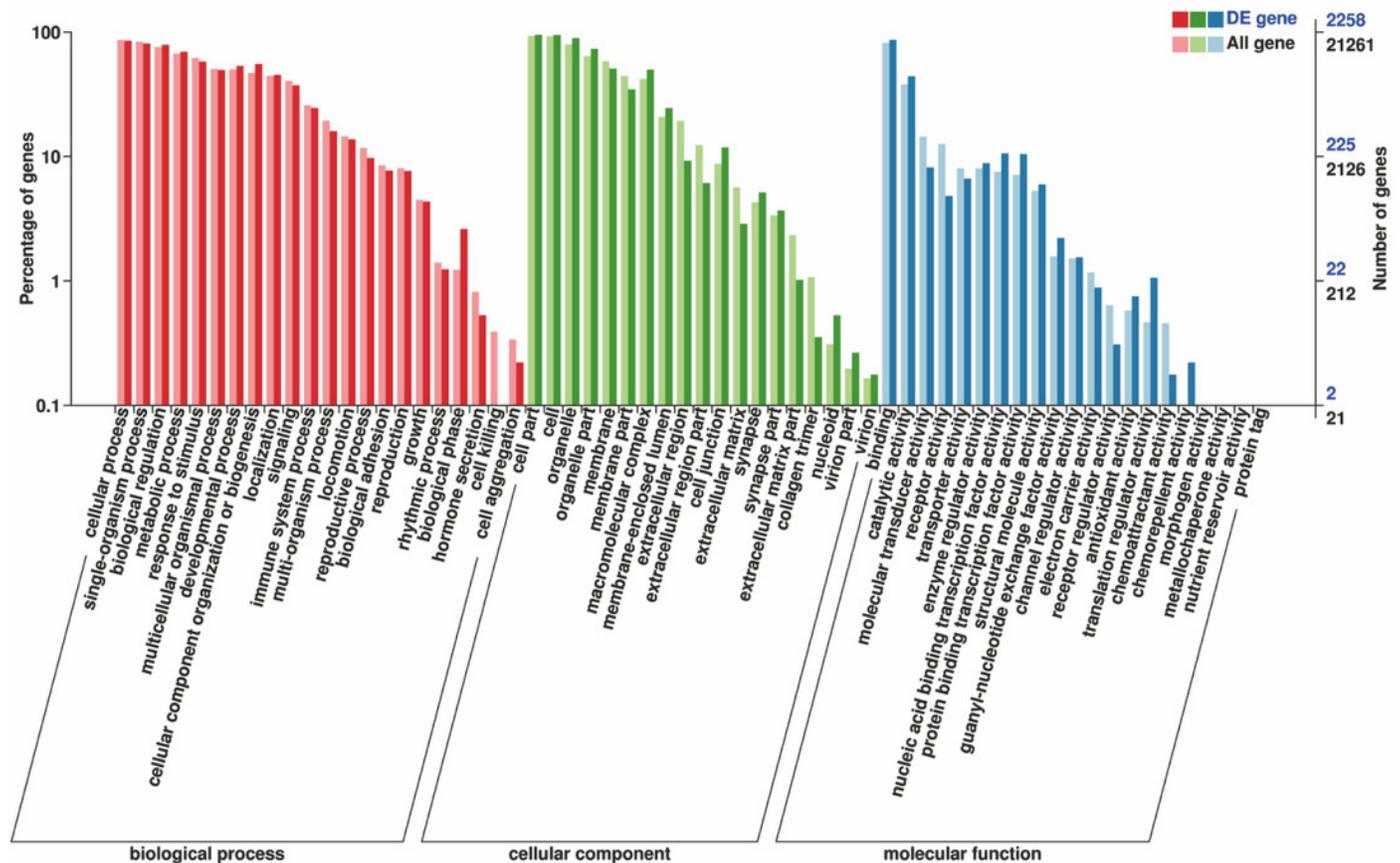


Figure 6

COG function classification of consensus sequence.

The vertical axis represents the frequency of unigenes classified into the specific categories, and horizontal axis gives the COG function classification.

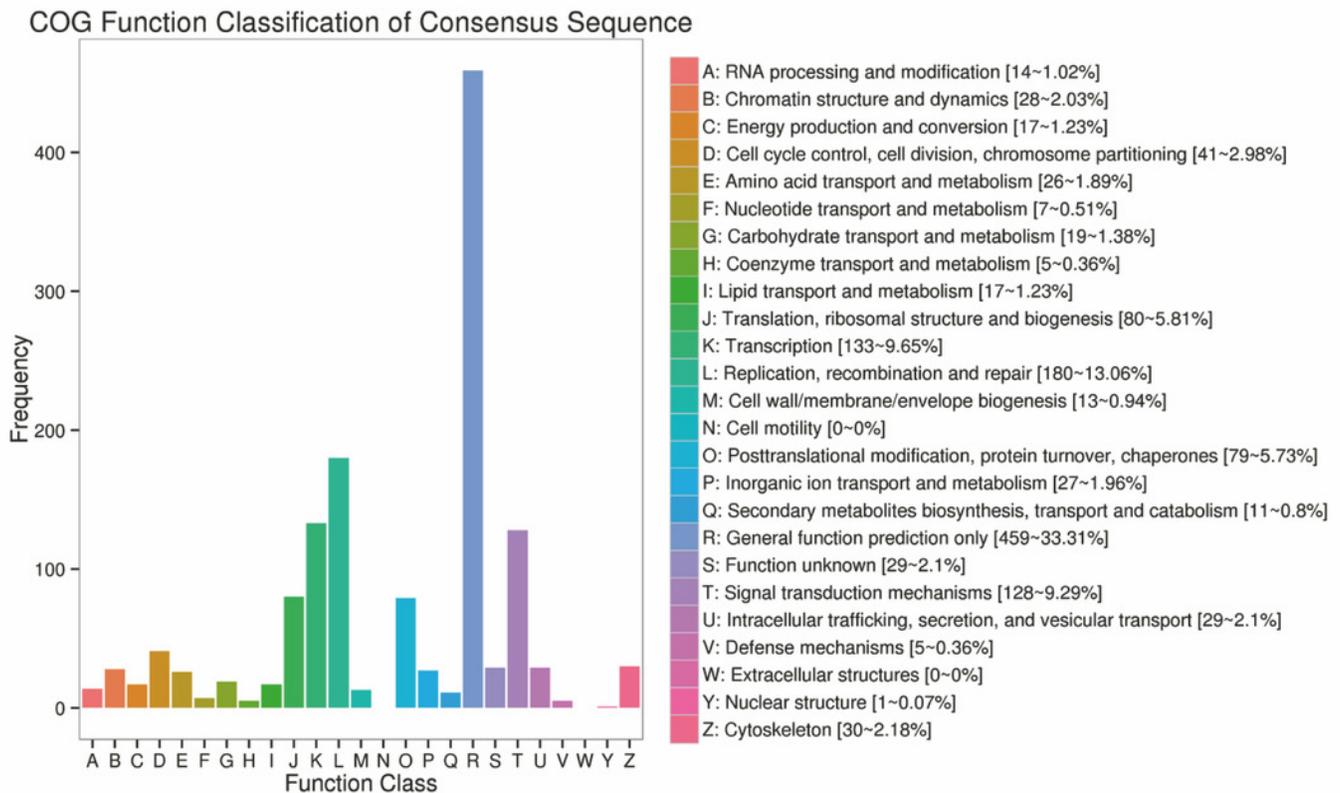


Figure 7

DEG KEGG classification.

The vertical axis lists the various metabolic pathways, and horizontal axis gives the number of annotated genes in the pathways.

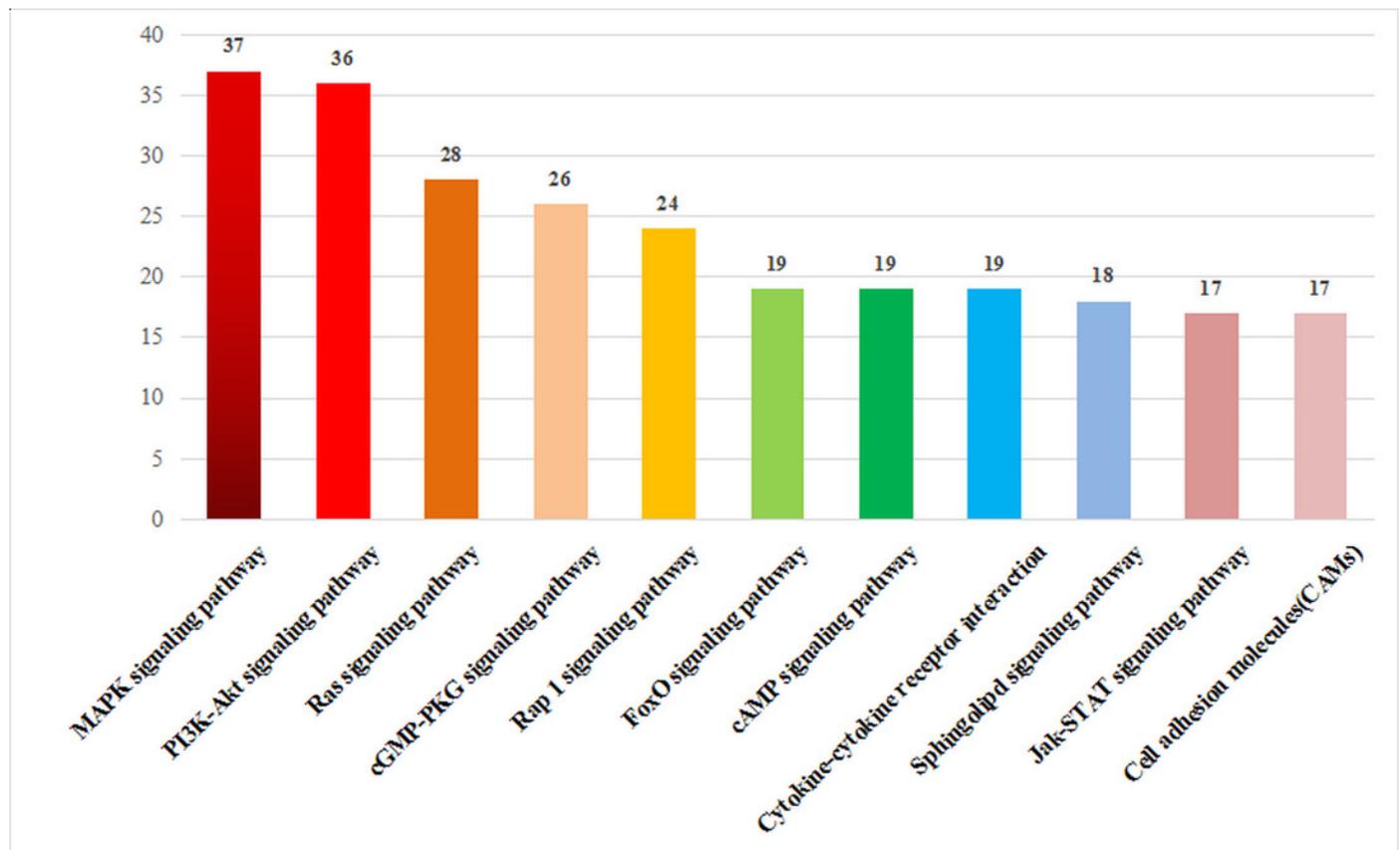


Figure 8

Differential expression analysis of candidate genes between ZBTB38^{-/-} and ZBTB38 SH-SY5Y cells.

(A) The result of qRT-PCR. (B) The result of RNA-seq.

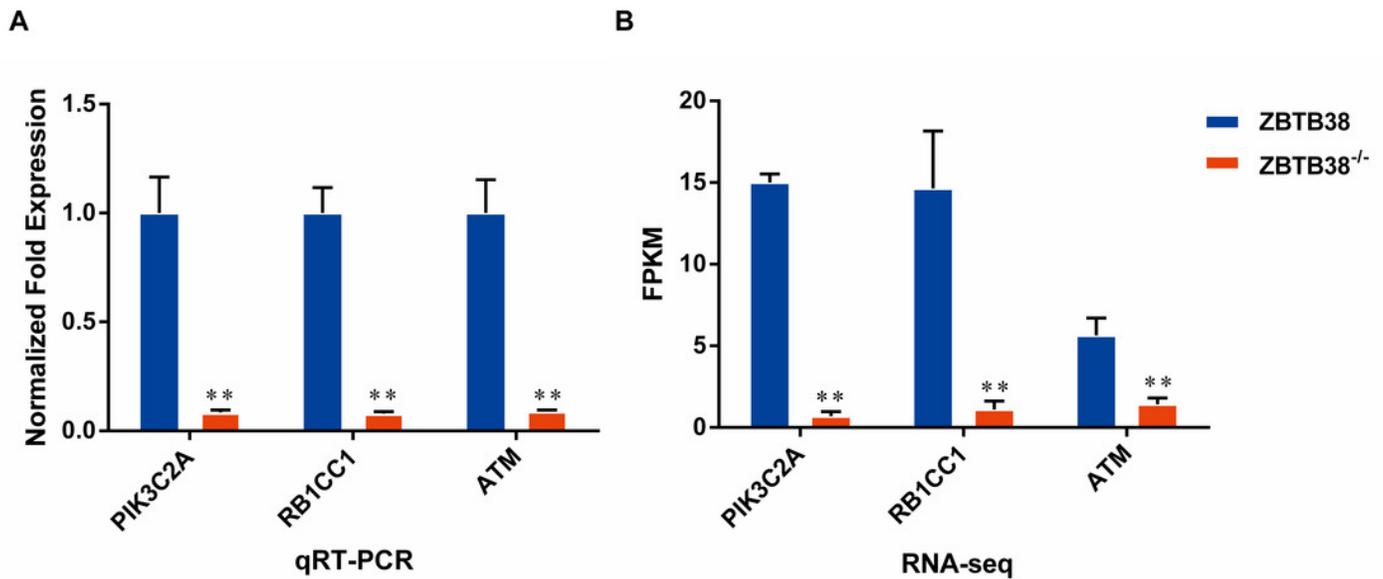


Figure 9

Knockdown of ZBTB38 triggers inhibition of autophagy in SH-SY5Y cells.

(A) SH-SY5Y cells were transfected with scramble siRNA (siNC) or siRNA against ZBTB38 (siZBTB38-2 and siZBTB38-3) for 72 hours and cell lysates were collected for Western blot analysis. (B) Quantification of protein expression was performed by densitometric analysis. * $p < 0.05$; ** $p < 0.01$.

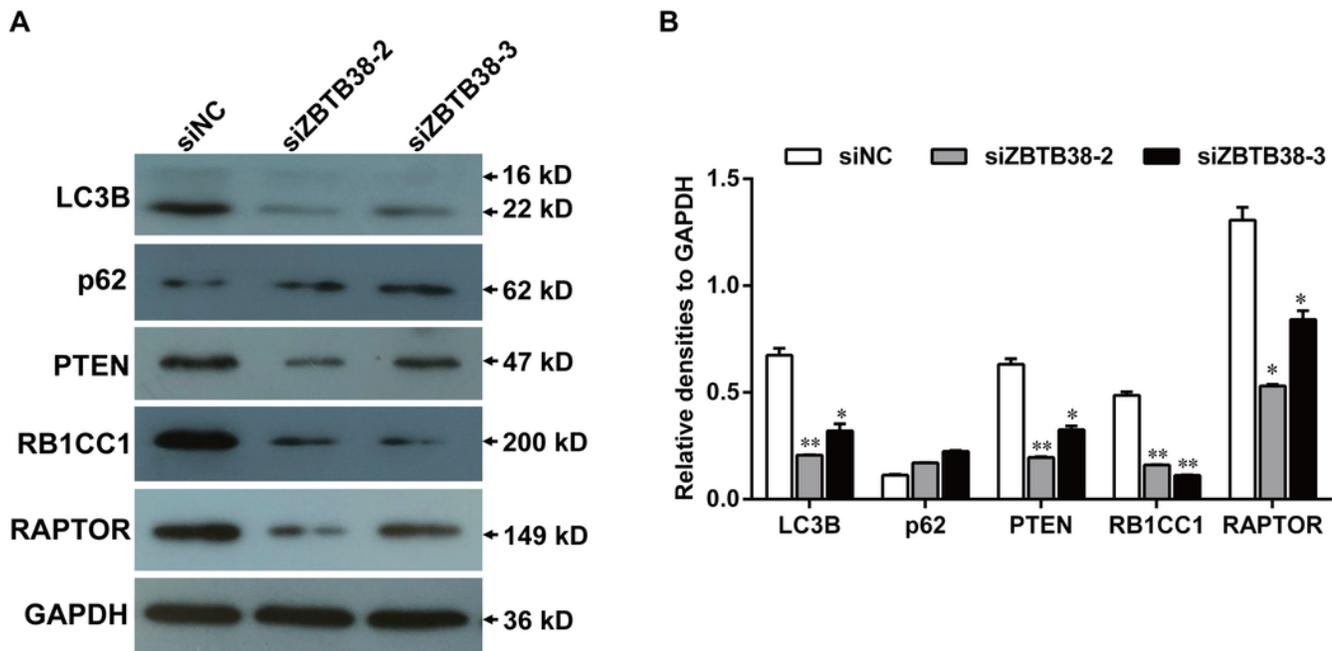


Figure 10

KEGG pathway annotation map of differentially expressed genes in p53 signaling pathway.

Relative to the control group, the red labeled protein was associated with the up-regulated gene and the white labeled protein was associated with the down-regulated gene.

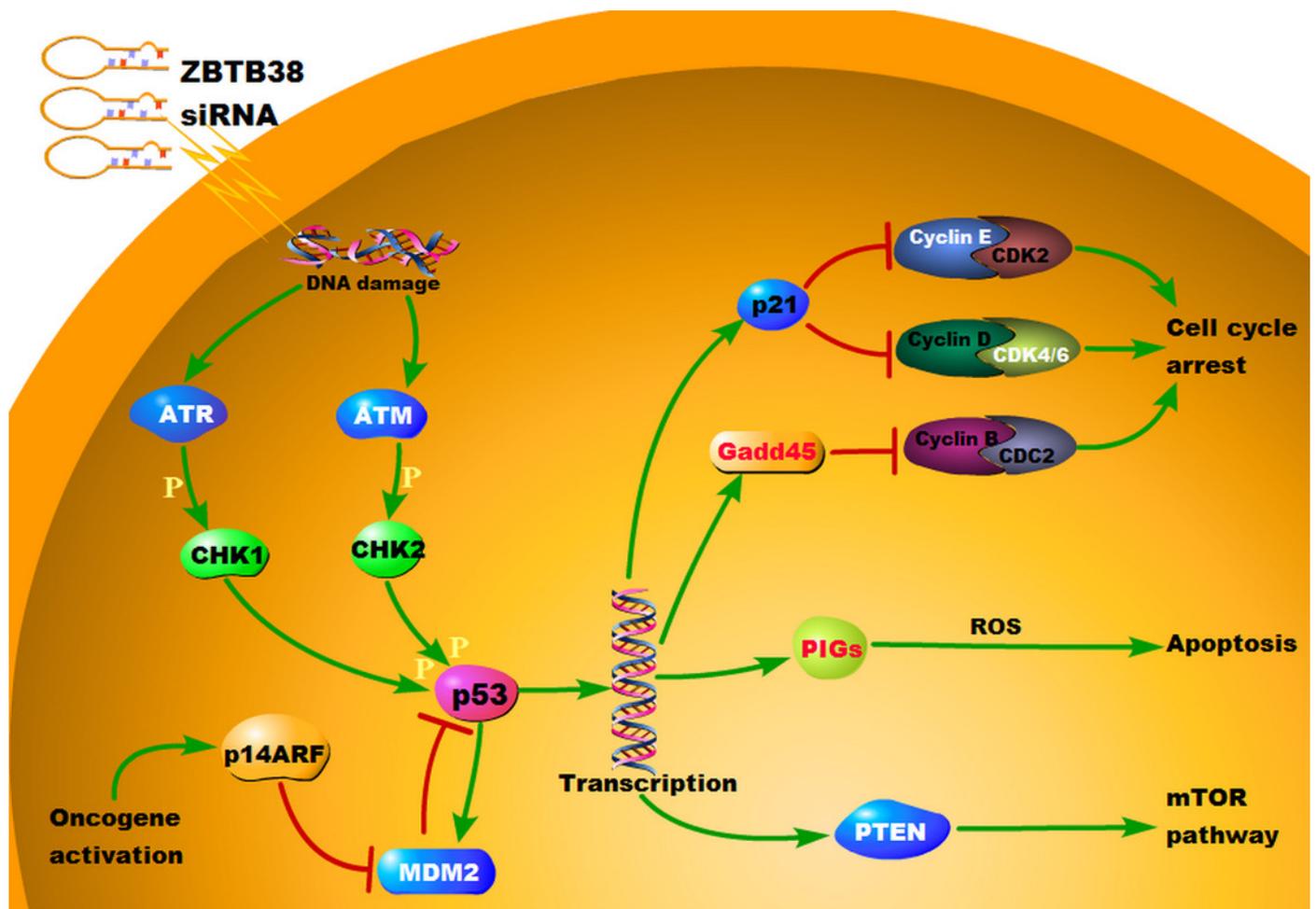


Table 1 (on next page)

Summary of Sequence comparisons among sample sequencing data and selected reference genomes.

T01, T02, and T07 indicate the ZBTB38^{-/-} SH-SY5Y cells. T04, T05, and T06 indicate the control groups. Total Reads: Number of Clean Reads, single-ended; Mapped Reads: Number of Reads aligned to the reference genome and percentage in Clean Reads; Uniq Mapped Reads: Match The number of Reads to the unique position of the reference genome and the percentage of the Clean Reads; Multiple Map Reads: The number of Reads aligned to multiple locations in the reference genome and the percentage of Clean Reads. GC content: The Clean Data GC content; \geq Q30% : The percentage of bases with a Clean Data quality value \geq 30.

1

Samples-ID	Total Reads	Mapped Reads	Uniq Mapped Reads	Multiple Map Reads	GC Content	%≥Q30
T01	41,320,306	32,993,483 (79.85%)	29,171,906 (70.60%)	3,821,577 (9.25%)	56.24%	89.44%
T02	53,706,092	42,655,511 (79.42%)	37,610,672 (70.03%)	5,044,839 (9.39%)	55.36%	89.45%
T04	54,889,928	44,964,104 (81.92%)	41,561,590 (75.72%)	3,402,514 (6.20%)	52.17%	90.04%
T05	50,160,236	40,559,313 (80.86%)	37,552,835 (74.87%)	3,006,478 (5.99%)	52.16%	90.25%
T06	62,721,676	50,526,963 (80.56%)	47,347,475 (75.49%)	3,179,488 (5.07%)	51.94%	90.05%
T07	54,693,534	43,544,178 (79.61%)	38,543,679 (70.47%)	5,000,499 (9.14%)	55.22%	89.30%

2

3

Table 2 (on next page)

Summary of the function annotation results for ZBTB38^{-/-} unigenes in public protein databases.

DEG Set	Total	COG	GO	KEGG	KOG	NR	Swiss-Prot	eggNOG
T04_T05_T06 vs T01_T02_T07	2,417	999	2,258	1,512	1,733	2,337	2,377	2,405

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Table 3 (on next page)

TopGO enrichment results of differential expression genes.

Term: GO function; Annotated: The number of genes annotated to this function for all genes; Significant: The number of genes annotated to this function in the DEG; Expected: The expected value of the number of DEGs for this function; KS: Statistical significance of enriched Term, the smaller the KS value, the more significant the enrichment.

GO.ID	Term	Annotated	Significant	Expected	KS
GO:0048011	Neurotrophin TRK receptor signaling pathway	562	68	60.42	9.00E-14
GO:0045893	Positive regulation of transcription, DNA-templated	2389	314	256.83	2.90E-13
GO:0045944	Positive regulation of transcription from RNA polymerase II promoter	1654	212	177.81	4.60E-13
GO:0007268	Synaptic transmission	1693	160	182	6.90E-13
GO:0044281	Small molecule metabolic process	5268	511	566.33	2.20E-11
GO:0046777	Protein autophosphorylation	510	75	54.83	2.80E-11
GO:0007173	Epidermal growth factor receptor signaling pathway	597	79	64.18	5.40E-11
GO:0051656	Establishment of organelle localization	626	123	67.3	1.90E-10
GO:0019219	Regulation of nucleobase-containing compound metabolic process	7440	1046	799.83	4.40E-10
