

# Influencing factors and health risk assessment of microcystins in the Yongjiang river (China) by Monte Carlo simulation

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The Yongjiang river is a large, shallow, hyper-trophic, freshwater river in Guangxi, China. To investigate the presence of microcystin-RR, microcystin-LR, and microcystin-YR (MC-RR, MC-LR and MC-YR) in the Yongjiang river and describe their correlation with environmental factors, as well as, assess health risk using Monte Carlo simulation, 90 water samples were collected at three sample points from March–December 2017. Results showed that during the monitoring period, total concentrations of MC-RR (TMC-RR), MC-YR (TMC-YR), and MC-LR (TMC-LR) varied from 0.0224 to 0.3783 µg/L, 0.0329 to 0.1433 µg/L, and 0.0341 to 0.2663 µg/L, respectively. Total phosphorus (TP) content appeared to be related to TMC-LR and the total content of microcystins (TMCs), while pH and total nitrogen/total phosphorus (TN/TP) ratio appeared to be related to TMC-RR and TMC-YR, respectively. Using the professional health risk assessment software @Risk7.5, the risks of dietary intake of microcystins (MCs), including the carcinogenic risk and non-carcinogenic risk, were evaluated. It was found that the carcinogenic risk of MC-RR from drinking water was higher than MC-LR and MC-YR, and the presence of MCs would lead to high potential health risks, especially in children. The carcinogenic risk of MC-RR to children was  $> 1 \times 10^{-4}$ , the maximum allowance level recommended by the US Environmental Protection Agency (USEPA); as for adults, it was  $> 5 \times 10^{-5}$ , the maximum allowance level recommended by the International Commission on Radiological Protection (ICRP). The non-carcinogenic hazard index of MC-RR, MC-YR, and MC-LR increased successively, indicating that MC-LR was more hazardous to human health than MC-YR and MC-RR, but its hazard index was  $< 1$ . This suggests that MCs pose less risk to health. However, it is necessary to strengthen the protection and monitoring of drinking water source for effective control of water pollution and safeguarding of human health.

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21 **ABSTRACT**

22 The Yongjiang river is a large, shallow, hyper-trophic, freshwater river in Guangxi, China. To  
23 investigate the presence of microcystin-RR, microcystin-LR, and microcystin-YR ( MC-RR,  
24 MC-LR and MC-YR) in the Yongjiang river and describe their correlation with environmental  
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27 monitoring period, total concentrations of MC-RR (TMC-RR), MC-YR (TMC-YR), and MC-LR  
28 (TMC-LR) varied from 0.0224 to 0.3783  $\mu\text{g/L}$ , 0.0329 to 0.1433  $\mu\text{g/L}$ , and 0.0341 to 0.2663  
29  $\mu\text{g/L}$ , respectively. Total phosphorus (TP) content appeared to be related to TMC-LR and the  
30 total content of microcystins (TMCs), while pH and total nitrogen/total phosphorus (TN/TP)  
31 ratio appeared to be related to TMC-RR and TMC-YR, respectively. Using the professional  
32 health risk assessment software @Risk7.5, the risks of dietary intake of microcystins (MCs),  
33 including the carcinogenic risk and non-carcinogenic risk, were evaluated. It was found that the  
34 carcinogenic risk of MC-RR from drinking water was higher than MC-LR and MC-YR, and  
35 the presence of MCs would lead to high potential health risks, especially in children. The  
36 carcinogenic risk of MC-RR to children was  $> 1 \times 10^{-4}$ , the maximum  
37 allowance level recommended by the US Environmental Protection Agency (USEPA); as for  
38 adults, it was  $> 5 \times 10^{-5}$ , the maximum allowance level recommended by the International

39 Commission on Radiological Protection (ICRP). The non-carcinogenic hazard index of MC-RR,  
40 MC-YR, and MC-LR increased successively, indicating that MC-LR was more hazardous to  
41 human health than MC-YR and MC- RR, but its hazard index was <1. This suggests that MCs  
42 pose less risk to health. However, it is necessary to strengthen the protection and monitoring of  
43 drinking water source for effective control of water pollution and safeguarding of human health.

## 44 1. INTRODUCTION

45 Eutrophication of freshwater bodies can result in algal blooms, especially those caused by  
46 cyanobacteria. The algal toxins secreted from cyanobacteria are possibly harmful to plants,  
47 animals, and humans (Holland & Kinnear, 2013; Cao et al., 2017). So far, most of as-known 90  
48 microcystins(MCs) have been isolated from species and strains of *Microcystis* (Pham &Utsumi,  
49 2018). Among them, the most widely distributed are microcystin-LR (MC-LR), microcystin-  
50 RR(MC-RR), and microcystin-YR (MC-YR) (Zegura, 2016). These toxins are synthesized in the  
51 cells and released after cell rupture, finally appeared as MCs in the water source.

52 Cyanobacteria blooms exist in eutrophicated waters worldwide, so that MCs can be  
53 bioaccumulated by aquatic animals and reach human bodies. These would severely harm human  
54 health and cause illness or deaths. In 1975, the drinking water source in the small town of  
55 Pennsylvania was contaminated by *Microcystis*, which resulted in acute gastroenteritis for over  
56 half of the local population (Keleti et al., 1979; Keleti & Sykora, 1982; Lippy & Erb, 1976). In  
57 1996, due to contamination by MCs occurring in a hemodialysis center in Brazil, 116 of 130  
58 patients developed symptoms of blurred vision and nausea, and >50 individuals succumbed to to

59 mortality ([Pouria et al., 1998](#)). Studies on drinking water showed that with drinking ditch pond  
60 water containing MCs, the mortality rate of local people in Haimen and Fu Sui caused by  
61 hepatocellular carcinoma (HCC) reached about 100/100,000, which was significantly higher than  
62 that of shallow wells or deep wells (20/100,000) ([Ueno et al., 1996](#)). In 2010, the International  
63 Agency for Research on Cancer (IARC) listed MCs as a “possible human carcinogen” (Group  
64 2B) based on its potential carcinogenicity ([IARC, 2010](#)).

65 Although it has been confirmed that MCs can cause acute and chronic damage to human  
66 bodies, the risk assessment of MC-LR, MC-RR, and MC-YR in the Yongjiang river of China  
67 remains lack of reports. The environmental conditions of water source are crucial in the  
68 concentration levels of toxins. However, the factors affecting the concentration levels of MCs  
69 (nutrient levels and climatic conditions) in Yongjiang have not yet been elucidated. Therefore, it  
70 is urgent to investigate the concentration and distribution of MCs in Yongjiang river as  
71 influenced by seasonal changes in water quality and the related parameters.

72 Owing to the limitations of conducting toxicological health risk assessments in a population,  
73 the Monte Carlo simulation (mathematical and logical model) has been widely used in recent  
74 years. It was used to understand the behavior of water systems by assuming different problems  
75 and systems, showing an advantage over experiments([Clausen et al., 2017](#)). Moreover, the  
76 Monte Carlo simulation of uncertainties was applied in the risk assessment model by collecting  
77 limited samples to predict the overall situation. As a result, the risk uncertainty was expressed  
78 intuitively, in agreement with the order of the nature, which favors for a decision-making for risk

79 managers and policymakers(Paladino, Moranda & Seyedsalehi, 2017; Sasi, Yozukmaz &  
80 Yabanli, 2017). The US Environmental Protection Agency (USEPA) has set Monte Carlo  
81 simulation as a basic method in the risk analysis policy(Moolenaar, 1996). Currently, American  
82 Palisade has developed the @Risk Monte Carlo software loading into Excel simulation  
83 technology for risk assessments. It is mainly based on the analysis of stochastic simulation  
84 method of Monte Carlo, which provides various predicted results by using a variety of  
85 probabilistic simulations, including the occurrence probability of events with a risk, forecasting  
86 the risk of uncertainty quantitatively, and summarizing the characterization results. For instance,  
87 Li et al. (2017) used the Monte Carlo model to assess the quantitative risk of aluminum in  
88 Youtiao, which did not exceed the provisional tolerable weekly intake (PTWI) set by the Joint  
89 Expert Committee on Food Additives (JECFA) for the public. Jia et al. (2018) used the Monte  
90 Carlo simulation to evaluate the trace elements in the four freshwater fishes from a mine-  
91 impacted river, and found that the consumption did not exert any appreciable adverse impact on  
92 human health due to the exposure to trace elements in fish muscle.

93 This study investigated the current status of drinking water sources in the Yongjiang river in  
94 China with respect to the contamination of MCs. The professional health risk assessment  
95 software @Risk7.5 was used to evaluate the risks of dietary intake of MCs, including the  
96 carcinogenic and non-carcinogenic risks. These findings provide a basis to develop an effective  
97 control of water pollution and quality in order to protect the human health in the specific area.

## 98 2. MATERIALS AND METHODS

## 99 2.1. Sampling location

100 The Yongjiang river is a major water resource with an average annual flow of 1292 m<sup>3</sup>/s in the  
101 Nanning City area (Figure 1). The surface area of the river is 2676 ha with a maximum depth of  
102 23 m. It is the main urban water source in Nanning city, China, and the tributary channel is also a  
103 vital transportation route. Except for that, it is used for recreation as well as the source of water  
104 for domestic use, agriculture, fishery, and industry.

## 105 2.2. Sampling

106 Water samples were collected from the Yongjiang river in Nanning City from March to  
107 December in 2017. For this, the river section was set into three sampling points: Qingxiu District,  
108 Jiangnan District, and Yongning District (Figure 1). The water samples were collected at a depth  
109 of 0.5 m and 3 times/month from each sampling point. Thus, a total of 90 samples were collected  
110 during the most active daylight period (11:00–14:00) in 10 months. Sample collecting, containers,  
111 stabilization, and transportation to the laboratory were in accordance with the methods described  
112 in [Wunderlin et al. \(2001\)](#). Water samples were filtered through the 500 mesh stainless steel  
113 screensto remove large particles and were stored at 4°C with the protection from light, finally  
114 processed within 24 hours. A volume of 2000 mL water sample was collected, and 500 mL water  
115 sample is passed through the 0.45 µm filter (Jinteng, China) under reduced pressure filtration.  
116 The filter containing the algae was subjected to an extraction process in order to recover the  
117 intracellular MCs, followed by two extractions with 5 mL ultra-pure water after five times  
118 freezing-thawing at -80°C/37°C. After filtering through the 0.45 µm filter for removing the algal

119 cells, the filtrates and the extracts from the filter were passed through solid phase extraction (SPE)  
120 (500 mg/6 mL) (SUPELCO, USA). The SPE was rinsed with 20 mL of 20% methanol and 10  
121 mL deionized distilled water. The toxin was eluted from the stationary phase with 80% methanol  
122 (containing 0.05% TFA), and each sample was dried in a water bath under control temperature  
123 (60 °C).

### 124 **2.3. Water quality analysis**

125 Water parameters  $\chi^1$ =Water Temperature,  $\chi^2$ =pH,  $\chi^6$ =Dissolved Oxygen (DO) were measured  
126 in situ and  $\chi^3$ =Total phosphorus (TP),  $\chi^4$ = $\text{PO}_4^{3-}$ -P and  $\chi^5$ =Total Nitrogen (TN) were measured in  
127 the laboratory. Each experiment was performed in triplicate, and the average values were  
128 reported. All water samples were analyzed using standard methods (GB, 2002). The instruments  
129 used were YSI Model 58 thermometer, Knick Portamess 911 for pH measurement. DO using  
130 iodometric method. TN and TP were analyzed using Kjeldahl method and persulfate digestion.  
131  $\text{PO}_4^{3-}$ -P was determined according to stannous chloride method.

### 132 **2.4. Determination of MCs**

133 The air-dried samples were suspended into 1 mL deionized distilled water for high performance  
134 liquid chromatography (HPLC) (Shimadzu LC-20A, Japan) analysis. The solubilized toxin  
135 samples were analyzed using HPLC with UV detector at 238 nm and symmetrical C18 column  
136 (3.9×150 mm) (Waters, USA). The mobile phase consists of 33% acetonitrile and 67% deionized  
137 distilled water in 0.1% phosphate buffer (pH=3.0). The flow rate was set at 1 mL/min. The  
138 injection volume was 20  $\mu\text{L}$  and the column temperature was 45°C. MC-LR and MC-RR

139 (Solarbio, approximate purity, 95%) and MC-YR (Alexis, approximate purity 98%) were used as  
140 standards. Furthermore, the concentrations of MCs were determined by calibrating such area  
141 under the peak with the corresponding standard curves. MC-LR, MC-RR, and MC-YR showed a  
142 good linearity in the range of 0.025–2  $\mu\text{g/L}$  ( $r^2 = 0.9987, 0.9992, 0.9997$ ). Under the condition that  
143 the signal to noise ratio (S/N) is 3, the detection limits of MC-RR and MC-LR is 0.0125  $\mu\text{g/L}$ ,  
144 and the detection limit of MC-YR is 0.014  $\mu\text{g/L}$ . The recoveries ranged from 91% to 110%, the  
145 relative standard deviation (RSD) was 3.0% to 5.6%. A series of toxin peaks were identified  
146 using retention time and compared with spikes and known standards in the blank samples.  
147 Furthermore, the concentrations of MCs were determined by calibrating such area under the peak  
148 with corresponding standard curves. The order of the peaks and time of each standard substance  
149 were as follows: MC-RR (7.599 min), MC-YR (14.225 min), and MC-LR (17.601 min). The test  
150 sample was analyzed in 18 min (Figure 2).

## 151 **2.5. Method of risk assessment**

### 152 **2.5.1. Construction of exposure assessment model**

153 The three main routes of exposure to pollutants were consumption, inhalation, and skin  
154 absorption. The proportion of each varied in different pollutants. This study assessed the  
155 exposure risk caused by the drinking water. The daily exposure to MC-RR, MC-YR, and MC-LR  
156 in the drinking water was assessed using Monte Carlo simulation by @Risk7.5 software  
157 operating platform, while the Bootstrap sampling method was used for quantifying the  
158 uncertainty. Each Bootstrap sample was simulated with 10,000 Monte Carlo simulations to

159 determine its uncertainty by obtaining different percentile values (P5–P95). The probabilistic  
160 assessment method was used to construct the exposure evaluation model (Zobitz et al., 2011).  
161 The mechanism underlying the different exposures and various routes with different exposure  
162 dose formula were employed for the exposure assessment model of the health risk of chemical  
163 pollutants from the USEPA. Chronic daily intake (CDI) evaluated the safety of MCs in drinking  
164 water and the health risks of diverse routes in different populations. The CDI ( $\mu\text{g}/\text{kg}/\text{day}$ )  
165 formula for daily exposure of drinking water (Duy et al., 2000; Funari & Testai, 2008) is as  
166 follows:

$$\text{CDI} = \frac{C_w \times \text{IR} \times \text{EF} \times \text{ED}}{\text{BW} \times \text{AT}}$$

167 Here,  $C_w$  is the concentration of pollutants in the water,  $\mu\text{g}/\text{L}$ ; IR is the volume of drinking water  
168 (L/day); EF is the frequency of exposure, drinking water for daily necessities (365 days/year);  
169 ED is exposure duration (years); BW is the average body weight, kg; AT is the average time  
170 equal to ED multiplied by 365 days/year. According to the World Health Organization (WHO),  
171 the standard weight of adults is 70 kg, and the daily drinking volume is 2 L/day; while the  
172 weight of children is 16 kg and the daily drinking volume is 1 L/day (WHO, 2017).

### 173 2.5.2. Construction of risk description model

174 The characteristics of the pollutants in a water environment are generally divided into genetic,  
175 toxic substances (for instance, chemical carcinogens), and somatic toxic substances (for instance,  
176 non-carcinogens) as recommended by the USEPA water environmental health risk assessment

177 model. The carcinogenic and non-carcinogenic risks of MCs in source waters are evaluated from  
178 exposure pathways.

179 (1) Health hazard risk model of chemical carcinogens

180 The formula of health hazard risk caused by chemical carcinogens recommended by USEPA  
181 ([Duy et al., 2000](#)):

$$R_i^c = \frac{1 - \exp(-D_i q_i)}{70}$$

182

183 In the formula,  $R_i^c$  is the average personal carcinogenic annual risk of chemical carcinogen  $i$   
184 through drinking water, (years)<sup>-1</sup>; 70 indicates the average life expectancy of Chinese population,  
185 years;  $D_i$  is the daily average exposure to chemical carcinogen  $i$  through drinking water, i.e., CDI,  
186  $\mu\text{g}/\text{kg}/\text{day}$ ;  $q_i$  is the carcinogenic strength coefficient of the chemical carcinogen  $i$  through the  
187 drinking water, and currently, there is no recognized carcinogenic intensity coefficient of MCs.  
188 Based on the formula of carcinogenic strength coefficient of carcinogens ([Hitzfeld, Hoger &](#)  
189 [Dietrich, 2000](#)), this study deduced the formula as follows:

$$\text{CPI} = \frac{(\text{OR}-1) \times \text{LR}}{D}$$

190 Where carcinogenic potency index (CPI) is the coefficient of carcinogenic strength estimated  
191 from the population data:  $q_i$ ,  $\text{kg}/\text{day}/\mu\text{g}/\text{L}$ . Odds ratio (OR) refers to the ratio of the number of  
192 exposed and non-exposed people in the case group divided in the control group. According to the

193 population of 80,000 inhabitants, the study showed that the person who drank the river water  
194 presented a liver cancer OR of 1.246 (Falconer & Buckley, 1989; Yeh et al., 1989; Yu, Chen &  
195 Li, 1995). Lifetime risk (LR) indicated the risk of cancer among individuals in the whole local  
196 population; according to the risk of cancer during the individual's lifespan exposed to MC-LR in  
197 the population of China, which was  $6.2 \times 10^{-3}$  (Yeh et al., 1989; Fan et al., 2009). D indicated the  
198 calculation of the average daily life exposure dose,  $\mu\text{g}/\text{kg}/\text{day}$ . According to the study by Wolf et  
199 al., the lifetime carcinogenic strength of MC-RR is  $1/10^{\text{th}}$  of that of MC-LR, while the strength of  
200 carcinogenicity of MC-YR and MC-LR was equivalent (Wolf & Frank, 2002).

## 201 (2) Non-carcinogenic health risk assessment model

202 The health risk assessment model recommended by USEPA was used to evaluate the non-  
203 carcinogenic health risk of MCs in the Yongjiang river source water. The non-carcinogenic risk  
204 was described using a hazard index (HI) by the following formula:

$$205 \quad \text{HI} = \frac{\text{CDI}}{\text{RfD}}$$

206

207 Here, reference dose (*RfD*) is the reference dose for MCs: the internationally accepted  
208 tolerable daily intake (TDI) instead of MC-LR *RfD* was  $0.04 \mu\text{g}/\text{kg}/\text{d}$ . According to the  
209 equivalent toxicity relationship among MC-RR, MC-YR, and MC-LR, the *RfD* values of MC-RR  
210 and MC-YR were  $0.4$  and  $0.04 \mu\text{g}/\text{kg}/\text{d}$ , respectively (Wolf & Frank, 2002; Lee et al., 2017).

211 The HI is usually used as a benchmark of danger:  $\text{HI} > 1$  indicates that the exposure higher than

212 the reference dose is harmful to the human body;  $HI \leq 1$  indicates that the exposure level is lower  
213 than the reference dose, which is unlikely to be detrimental (Younes, 1999).

## 214 **2.6. Statistical analysis**

215 The IBM SPSS Statistics 22.0 software was used to perform all descriptive statistical analysis,  
216 including minimum value, maximum value, mean value, standard deviation, Pearson's  
217 correlation analysis, and stepwise multiple linear regression. Moreover, the risk assessment of  
218 MC-RR, MC-YR, and MC-LR exposure in water source was carried out using @Risk7.5  
219 probabilistic evaluation software based on the Monte Carlo simulation technique.

## 220 **3. RESULTS**

### 221 **3.1. Concentration level, distribution characteristics, and environmental impact factors of** 222 **MCs in source water**

#### 223 **3.1.1. Concentration distribution characteristics of MCs in source water**

224 The concentrations of MC-LR, MC-RR, and MC-YR in water samples were detected. The total  
225 concentrations of MCs (TMCs) in water are the sum of the concentrations of extracellular MCs  
226 (EMCs) and intracellular MCs (IMCs) dissolved in the water. The results were summarized in  
227 Table 1.

#### 228 **3.1.2. Seasonal distribution characteristics of MCs in water samples**

229 The seasonal distribution of MCs in the Yongjiang river is shown in Figure 3. The concentration

230 of TMC-YR (referring to the sum of intracellular and extracellular, the same to the other twos)  
231 was significantly lower than the other two MCs. The concentrations of TMC-RR and TMC-YR  
232 at the same time reached the maximum levels in October.

### 233 **3.1.3. Pearson's correlation analysis of environmental factors and MCs' concentration**

234 Pearson's correlation analysis of environmental factors and MCs' concentration were analyzed  
235 and the results were shown in Table 2. According to the correlation analysis, the concentration of  
236 TMC-RR was positively correlated with water temperature and TN ( $p < 0.01$ ) with a significant  
237 negative correlation with pH ( $p < 0.01$ ). The concentration of TMC-YR was negatively correlated  
238 with TN:TP ratio ( $p < 0.05$ ). The concentration of TMC-LR was positively correlated with water  
239 temperature, TP, and  $\text{PO}_4^{3-}\text{-P}$  ( $p < 0.01$ ) with a significant negative correlation with DO and  
240 TN:TP ratio ( $p < 0.01$ ). The concentration of TMCs was positively correlated with water  
241 temperature and TP ( $p < 0.01$ ) with a significant negative correlation with pH, DO, and TN:TP  
242 ratio ( $p < 0.01$ ).

### 243 **3.1.4. Stepwise multiple linear regression analysis of MCs' concentration and** 244 **environmental factors**

245 A stepwise multiple linear regression analysis of MCs' concentration and environmental factors  
246 is shown in Table 3. The results indicated that TP ( $\chi^3$ ) is the dominant factor affecting the  
247 contents of TMC-LR and TMCs. pH ( $\chi^2$ ) and TN:TP ratio ( $\chi^7$ ) are the primary factors affecting  
248 the content of TMC-RR and TMC-YR, respectively. These findings were in agreement with the  
249 results of correlation analysis.

## 250 **3.2. Assessment of MCs' exposure in source waters**

### 251 **3.2.1. Distribution fitting of the concentration of MCs in source water**

252 The @Risk7.5 software to is used to fit the processed samples. The concentration of MCs in  
253 source water were characterized as continuous data. The fitting results were followed by the  
254 optimal fitting distribution models: Gamma, Invgauss, Lognorm, Expon, and Loglogistic. Three  
255 main methods were used to test the goodness of the fittings: Chi-Sq (Chi-squared) test, K-S  
256 (Kolmogorov–Smirnov) test, and A-D (Anderson–Darling) test ([Lipton et al., 1995](#); [Cummins et  
257 al., 2009](#)). Above all, the sample fitting results (Table 4) were used to determine the fitting  
258 distribution types of the optimal probability of the pollution data: MC-RR had Gamma and  
259 Invgauss distribution, MC-YR had Lognorm, Expon, and Loglogistic distribution, and MC-LR  
260 had Gamma and Expon distribution. Furthermore, the results of the distribution parameters after  
261 fitting and the comparison with the sample data parameters are summarized in Table 5. The  
262 probability distribution of the mass concentration of MCs, MC-RR, MC-YR, and MC-LR in  
263 source water is shown in Figures 4, 5, and 6. The fitting results can be visually observed from the  
264 coincidence of the blue rectangular shape and the area under the red curve.

### 265 **3.2.2. Daily exposure calculation**

266 The @Risk7.5 software was utilized for the random extraction of the MCs concentration profiles  
267 from the water to calculate the daily exposure of direct drinking water by different populations to  
268 MC-RR, MC-YR, and MC-LR. Each simulation cycle was performed for 10,000 cycles, and the  
269 simulation results are shown in Table 6. A significant difference between adults and children was

270 observed in the daily exposure. P50, P85, P90, and P95 (Table 6) represent the high exposure  
271 sites of each population. The MCs exposed to drinking water showed that the children's daily  
272 intake was 2-fold higher than that of the adults, suggesting that children are more susceptible to  
273 the pollution of MCs than adults.

### 274 **3.3. Risk characterization of MCs in source water**

#### 275 **3.3.1. Carcinogenic risk of MCs in source water**

276 Based on the exposure parameters and carcinogenic risk formula, @Risk7.5 risk analysis  
277 software was used to extract the numerical the value of MCs concentration in water randomly  
278 and calculate the carcinogenic risk of MC-RR, MC-YR, and MC-LR intake by different groups  
279 of individuals through direct drinking water. Each simulation cycle of 10,000 displayed the  
280 statistical simulation results summarized in Table 7. The carcinogenic annual risk of MC-YR  
281 was less than that of MC-LR and MC-RR, and MC-RR was the primary hazard in the source  
282 water. The maximum acceptable level and the negligible level of the carcinogenic risk for the  
283 population recommended by some institutions are listed in Table 8; the annual risk in  
284 carcinogenesis of MCs in a water source is  $10^{-6}$  to  $10^{-4}$ .(NHMRC & NRMCC, 2011). The  
285 carcinogenic risk of MC-YR and MC-LR in adults and children was lower than the maximum  
286 acceptable risk level designated by USEPA ( $1 \times 10^{-4}$ ) and ICRP ( $5 \times 10^{-5}$ ), and the risk of  
287 carcinogenesis in children was higher than that in adults. The health risks caused by MC-RR  
288 from drinking water source for children was significantly higher than the maximum allowance  
289 level recommended by USEPA ( $1 \times 10^{-4}$ ). Similarly, the health risks caused by the MC-RR from

290 drinking water source for adults were significantly higher than the maximum allowance level  
291 recommended by ICRP ( $5 \times 10^{-5}$ ). These statistical details indicated that MC-RR in water bodies  
292 exhibited a significant carcinogenic risk to the health of adults and children.

### 293 **3.3.2. Non-carcinogenic risk of MCs in source water**

294 The exposure parameters and non-carcinogenic hazards index formula were used to calculate the  
295 values of different populations through direct drinking water intakes of MC-RR, MC-YR, and  
296 MC-LR (Table 9). These findings demonstrated that the average non-carcinogenic hazards index  
297 of MCs in different populations through drinking water intake and the non-carcinogenic hazards  
298 index of P90 and P95 at high level of exposure was  $<1$ . This suggested that MCs, which are  
299 ingested through drinking water, pose less risk to health. The non-carcinogenic hazard index of  
300 MC-RR, MC-YR, and MC-LR increased successively, indicating that MC-LR was more  
301 hazardous to human health than MC-YR and MC-RR. Although the MC-RR, MC-YR, and MC-  
302 LR display a non-carcinogenic index in children than adults, and thus, MCs are detrimental to  
303 children.

## 304 **4. DISCUSSION**

305 The World Health Organization established a guide value of  $1 \mu\text{g/L}$  for MC-LR concentration in  
306 drinking water (WHO, 2017). A comprehensive Australian report shows that the concentration of  
307 total MCs in drinking water should not exceed  $1.3 \mu\text{g/L}$ , expressed as MC-LR toxicity  
308 equivalents. Furthermore, a cell density of approximately  $6,500 \text{ cells/mL}$  (biovolume of 0.6

309 mm<sup>3</sup>/L) would be equivalent to the guideline of 1.3 µg/L MC-LR toxicity if the toxin was fully  
310 released into the water(NHMRC & NRMCC, 2011). In the study of a Canadian group, the  
311 recommended maximum acceptable level (MAL) in drinking water is 0.5 µg/L of MC-LR, or in  
312 the absence of potency equivalency values for other MCs, 1 µg/L of total MCs (Watanabe et al.,  
313 1996).

314 By monitoring of the water quality in the Yongjiang river, we demonstrated that although no  
315 major algal bloom occurred, MC-RR, MC-YR, and MC-LR were present in the water column  
316 during the monitoring period. The TMCs concentrations varied from 0.0313 to 0.4585 µg/L. An  
317 earlier study of MCs in Guangxi showed that the average concentration of MCs in source water  
318 and treated water supplies were 0.277 µg/L and 0.221 µg/L, respectively(Lv et al., 2005).  
319 Another survey showed that the concentration of MCs in the source water of high-incidence  
320 areas of liver cancer in Guangxi was 15.64±2.08 ng/L, and the concentration in treated water  
321 supplies was 14.42±2.28 ng/L(Li et al., 2016). These results suggest that MCs are detected in  
322 parts of Guangxi, but without considering the influencing factors and health risk assessment of  
323 MCs. The collected data indicated that the peak level in October followed by a sharp drop in  
324 concentration when using TMCs content as an indicator (Figure 3). The significant decrease in  
325 TMCs content may result from the decreasing of temperature from November to December,  
326 and result in a slow growth of *Microcystis*. These phenomena were similar to those described by  
327 previous groups in Tai, Yang-cheng, and Xuanwu lakes in China(Xu et al., 2010; Li, Gu & He,  
328 2014). TMC-RR concentration reached maximum level in October and then decreased to an

329 average concentration level in November. As compared to the concentration of the above two  
330 toxins, TMC-YR concentration was the lowest of the three toxins studied; these results were  
331 identical to findings by other researchers, which suggested that the MCs are primarily dominated  
332 by TMC-RR and TMC-LR (Yang et al., 2006; Bi et al., 2017). It was clearly shown that the  
333 concentration of TMC-LR gradually increased from September to November. Such variation  
334 may be influenced by the differences in nutrients and climates, which are in favor of TMC-RR,  
335 TMC-LR and to a lesser extent TMC-YR.

336 Previous studies demonstrated that the algal toxins are produced by algae and consequently  
337 the concentration of toxins in water depends mainly on algal abundance (or biomass) such as  
338 chlorophyll-a concentration or algal cell counts, which in turn, is regulated by the environmental  
339 factors. The relationship of physical and chemical water parameters to the concentration levels of  
340 toxins are shown in Table 2. In this study, it was evident that temperature was positive and  
341 significantly correlated with concentration levels of TMCs, TMC-LR, TMC-RR and weakly  
342 associated with concentration levels of TMC-YR. The highest concentrations of TMCs and  
343 TMC-RR, TMC-LR were observed in October and November with surface water temperature  
344 were around 25.6°C and 26.2°C, respectively. When water temperature increased, even higher  
345 concentration of TMCs and TMC-RR, TMC-LR concentration were detected. These findings are  
346 in agreement with previous reports which showed the concentrations of TMCs and TMC-RR,  
347 TMC-LR were temperature dependent, and TMC-RR which are generally detected at lower  
348 temperatures as compared to TMC-LR which favors at higher temperatures (Wang et al., 2010;

349 [Mantzouki et al., 2018](#)). Intriguingly, the pH value was also shown to be related to the  
350 concentration level of toxins in the Yongjiang river. The maximum toxin concentration was  
351 detected at a pH below or above the medium level. As a result, TMC-RR and TMCs were  
352 negatively correlated with pH value (Table 2), which was similar to the results of other studies.  
353 Notably, the phytoplankton is known to affect the pH, and then, further affects the concentration  
354 levels of toxins. Therefore, the pH value cannot be used as an appropriate parameter to determine  
355 the concentration levels of toxins. A majority of the blue-green algae can grow adequately in the  
356 water at pH of 6.5-7.9([Wang et al., 2002](#)). The pH of Yongjiang river was within this range.  
357 Dissolved oxygen concentration ranged from 2.0 to 12.5 mg/L during the study period. The  
358 reported environmental standard for river water is 5 mg/L ([GB, 2002](#)). DO of Yongjiang river  
359 was partially lower than the reported standard during the monitoring period; these results show  
360 that the water is contaminated by organic matter, the oxygen consumption is severe, dissolved  
361 oxygen can not be replenished in time, and the anaerobic bacteria in the water will multiply  
362 quickly ([Wang et al., 2002](#)). DO showed a negative correlation of TMC-LR with TMCs in  
363 Yongjiang river. However, some studies indicated that increases in oxygen saturation were  
364 correlated with algal biomass ([Bi et al., 2017](#)). Nonetheless, the algal abundance (or biomass)  
365 such as chlorophyll-a concentration or algal cell counts was not measured, and thus, DO has no  
366 direct effect on the concentration levels of toxins. The correlation analysis results indicated that  
367 increasing the TP concentration could increase the concentration levels of toxins, especially that  
368 of TMC-LR. The current observations were in agreement with those from a study conducted in  
369 the large eutrophic Lake Erie in the USA ([Harke et al., 2016](#)), which demonstrated positive

370 correlations between TP and the abundance of toxic *Microcystis* and MCs. Consistent with the  
371 trend, [Vézie et al. \(2002\)](#) also found that higher P concentrations were beneficial to the growth of  
372 toxic *Microcystis*. Although TP was a dominant explanatory variable, the effect of TN on the  
373 concentration levels of toxins could not be ignored. The concentration of TMC-YR was  
374 negatively correlated with the TN/TP ratio. Previous studies also demonstrated that decreasing  
375 the TN/TP ratio concentration could promote the growth and toxin concentration of *Microcystis*  
376 ([Yu et al., 2014](#); [Lei et al., 2015](#)). According to stepwise multiple linear regression (Table 3), TP  
377 was found to be the dominant factor affecting the contents of TMC-LR and TMCs, and pH and  
378 TN/TP ratio as the main factors affecting the content of TMC-RR and TMC-YR. These findings  
379 were in agreement with the results of correlation analysis.

380 The Monte Carlo simulation model determined the risk level and putative human exposure  
381 scenarios associated with the blooms in the Yongjiang river used for drinking. The whole  
382 process of security risk assessment was always accompanied by the uncertainty. The entire  
383 process of risk assessment was conducted in two steps: exposure assessment and hazard  
384 characterization. Although the extrapolation of the experimental results does not lead to certainty,  
385 it could be carried out from experimental animals to the general population and from the general  
386 population to specific populations (sensitive populations). The variations in human individuals  
387 involved parameters such as genetics, age, sex, environment (nutritional status) and other factors.  
388 On the other hand, missing data or limitations led to uncertainties, including NOVEL, time  
389 differences, and lack of exposure data. Recent studies have gradually established superior

390 methods, such as benchmark dose (BMD) and chemical-specific adjustment factor (CSAF), to  
391 address and reduce the uncertainty in the risk assessment (Ibelings et al., 2015). The USEPA and  
392 Health Canada have gradually started utilizing the BMD and CSAF methods to develop the  
393 health guidance values (Zeller, Duran-Pacheco & Guerard, 2017).

394 Several countries that regulate cyanotoxins in drinking water use a parametric value based on  
395 the WHO Guidelines for 1 µg/L MC-LR (WHO, 2017). With respect to the drinking source  
396 waters, most countries use guideline values based on cyanobacterial biomass (cell density,  
397 chlorophyll-a, biovolume) indirectly reflecting the potential hazardous MCs concentrations  
398 (Valerio et al., 2009; Menezes, Churro & Dias, 2017). Our results indicated that the risk of  
399 carcinogenicity of MC-RR to children health under high exposure was greater than the maximum  
400 acceptable risk level recommended by USEPA ( $1 \times 10^{-4}$ ); the annual risk of carcinogenic exposure  
401 in adults with MC-RR was greater than the maximum acceptable risk level recommended by the  
402 ICRP ( $5 \times 10^{-5}$ ). The TMC-RR concentrations varied from 0.0224 to 0.3783 µg/L during the  
403 monitoring period. Therefore, the guideline value of TMC-RR in the Yongjiang river should be  
404  $< 0.3783$  µg/L, so as not to pose a health risk to humans. Furthermore, we must take into account  
405 the increasing usage of the Yongjiang river, not only for the production of drinking water, but  
406 also for ludic activities, such as water sports, fishing, sailing and swimming. Thus, the relevant  
407 departments must attach great importance to the potential risks associated with the Yongjiang  
408 river in order to protect the health of their users.

## 409 5. CONCLUSION

410 This study analyzed the influencing factors and the health risk assessment of MCs by Monte  
411 Carlo simulation in the Yongjiang river, China. The results showed that TP content may be  
412 related to TMC-LR and TMCs concentration, while pH and TN/TP ratio may be related to TMC-  
413 RR and TMC-YR concentration, respectively. The health risk assessment results showed that the  
414 risk of MC-RR for human health hazards is higher than that of MC-LR and MC-YR, and  
415 children are more vulnerable to MCs contamination than the adults. The risk of carcinogenicity  
416 of MC-RR to children health under high exposure was greater than the maximum acceptable risk  
417 level recommended by USEPA. The annual risk of carcinogenic exposure in adults with MC-RR  
418 was greater than the maximum acceptable risk level recommended by the ICRP. The non-  
419 carcinogenic hazard index for MCs was  $<1$ . Therefore, MCs in the water bodies should be  
420 monitored with regarding to the carcinogenic risk to human health.

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**Table 1** (on next page)

*Results of analysis of MCs in water samples*

*The total concentrations of MCs (TMCs) in water is the sum of the concentrations of extracellular MCs (EMCs) and intracellular MCs (IMCs) dissolved in the water; standard deviation means SD.*

Toxin types	Number of samples	EMCs	IMCs	TMCs (EMCs+IMCs) concentration ( $\mu\text{g/L}$ )	
		Detection Rate(%)	Detection Rate(%)	Range	Mean $\pm$ SD
MC-RR	90	74.44	77.78	0.0224–0.3783	0.0727 $\pm$ 0.0599
MC-YR	90	64.44	56.67	0.0329–0.1433	0.0424 $\pm$ 0.0376
MC-LR	90	77.78	76.67	0.0341–0.2663	0.0763 $\pm$ 0.0637

**Table 2** (on next page)

Correlation coefficients between MCs and the influencing factors

\*Correlation is significant at the 0.05 level (2-tailed). \*\*Correlation is significant at the 0.01 level (2-tailed).

Environmental Factors	Correlation Coefficient			
	TMC-RR	TMC-YR	TMC-LR	TMCs
Water Temperature	0.436**	0.085	0.480**	0.614**
PH	-0.729**	-0.029	-0.164	-0.566**
DO	-0.063	-0.076	-0.768**	-0.570**
TN	0.286**	-0.179	-0.079	0.055
TP	0.043	0.073	0.851**	0.610**
PO <sub>4</sub> <sup>3-</sup> -P	-0.047	-0.071	0.399**	0.204
TN:TP ratio	0.040	-0.229*	-0.434**	-0.347**

**Table 3** (on next page)

*Results of stepwise multiple linear regression*

*The fitting result of TMC-YR after lg10 conversion; D-W statistic (Durbin-Watson statistic);*

*Variance Inflation Factor(VIF).*

1

MCs	Fitting equation	Correlation coefficient R	Adjusted R <sup>2</sup>	Tolerance	VIF	Model fitting		Partial regression coefficient test	D-W statistic
						F	P		
	$y=116.848+46.449\chi^3$	0.581	0.337	1	1	44.792	<0.001	$\chi^3$ (t=6.693, P<0.001)	
TMCs	$y=805.526+42.979\chi^3-92.886\chi^2$	0.716	0.513	0.989	1.001	45.87	<0.001	$\chi^3$ (t=7.148, P<0.001) $\chi^2$ (t=-5.608, P<0.001)	1.979
	$y=538.069+35.557\chi^3-75.968\chi^2+6.452\chi^1$	0.741	0.549	0.693	1.443	34.938	<0.001	$\chi^3$ (t=5.495, P<0.001) $\chi^2$ (t=-4.396, P<0.001) $\chi^1$ (t=2.622, P=0.01)	
	$y=799.874-99.263\chi^2$	0.718	0.515	1	1	93.396	<0.001	$\chi^2$ (t=-9.664, P<0.001)	
TMC-RR	$y=825.53-101.047\chi^2-35.61\chi^4$	0.738	0.544	0.994	1.006	51.98	<0.001	$\chi^2$ (t=-10.066, P<0.001) $\chi^4$ (t=-2.375, P=0.02)	1.828
	$y=676.095-91.169\chi^2-39.668\chi^4+3.42\chi^1$	0.759	0.576	0.846	1.181	38.908	<0.001	$\chi^2$ (t=-8.682, P<0.001) $\chi^4$ (t=-2.709, P<0.001) $\chi^1$ (t=2.522, P=0.014)	
TMC-YR	$y=1.54-0.013\chi^7$	0.268	0.072	1	1	6.83	0.011	$\chi^3$ (t=-2.613, P=0.011)	2.299
TMC-LR	$y=11.216+41.829\chi^3$	0.806	0.650	1	1	163.585	<0.001	$\chi^3$ (t=12.79, P<0.001)	1.461
	$y=63.349+32.481\chi^3-6.653\chi^6$	0.848	0.718	0.678	1.476	111.004	<0.001	$\chi^3$ (t=9.06, P<0.001) $\chi^6$ (t=-4.592, P<0.001)	

2

**Table 4** (on next page)

Fitting distribution and related parameters of MCs in source water ( $\mu\text{g/L}$ )

MCs	Fitting of Distribution	Distributed parameters		Fit test sort			50%	90%	95%
		Mean	Std Dev	K-S	A-D	Chi-Sq	Confidence	Confidence	Confidence
							value	value	value
MC-RR	Gamma	0.073	0.061	1	1	1	0.056	0.154	0.194
	Invgauss	0.073	0.066	5	2	2	0.053	0.154	0.202
MC-YR	Lognorm	0.047	0.071	4	2	1	0.026	0.103	0.154
	Expon	0.042	0.039	2	1	4	0.030	0.093	0.120
	Loglogistic	0.081	-	1	4	6	0.026	0.124	0.216
MC-LR	Gamma	0.076	0.070	1	1	2	0.055	0.168	0.216
	Expon	0.075	0.073	2	2	1	0.053	0.170	0.221

**Table 5** (on next page)

Estimated value of the quantile for overall sample in different theoretical distributions

Projects	Pollutant	Real value( $\mu\text{g/L}$ )	Types	Predicted value ( $\mu\text{g/L}$ )	Relative difference of real value (%)
P50	MC-RR	0.058	Gamma	0.056	3.01
			Invgauss	0.053	7.81
			Lognorm	0.026	8.82
	MC-YR	0.024	Expon	0.030	25.17
			Loglogistic	0.026	10.03
	MC-LR	0.057	Gamma	0.055	2.02
Expon			0.053	6.10	
P75	MC-RR	0.011	Gamma	0.099	13.00
			Invgauss	0.095	16.91
			Lognorm	0.053	13.88
	MC-YR	0.062	Expon	0.057	8.17
			Loglogistic	0.056	9.41
	MC-LR	0.112	Gamma	0.104	6.79
Expon			0.104	7.46	
P90	MC-RR	0.136	Gamma	0.154	12.93
			Invgauss	0.154	13.09
			Lognorm	0.103	4.31
	MC-YR	0.108	Expon	0.093	14.10

			Loglogistic	0.124	15.12
	MC-LR	0.166	Gamma	0.168	1.09
			Expon	0.170	2.46
	MC-RR	0.167	Gamma	0.194	16.26
			Invgauss	0.202	21.06
			Lognorm	0.154	29.08
P95	MC-YR	0.119	Expon	0.120	0.58
			Loglogistic	0.216	80.78
	MC-LR	0.215	Gamma	0.216	0.26
			Expon	0.221	2.56
	MC-RR	0.378	Gamma	0.285	24.71
			Invgauss	0.321	15.02
			Lognorm	0.325	127.11
P99	MC-YR	0.143	Expon	0.183	27.56
			Loglogistic	0.735	412.77
	MC-LR	0.266	Gamma	0.326	22.62
			Expon	0.338	27.03

**Table 6** (on next page)

Daily exposure to MCs intake through drinking water ( $\mu\text{g}/\text{kg}/\text{d}$ )

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Projects	MC-RR		MC-YR		MC-LR	
	Adult	Child	Adult	Child	Adult	Child
Mean	0.002	0.005	0.001	0.003	0.002	0.005
P50	0.002	0.004	0.001	0.001	0.002	0.004
P85	0.004	0.008	0.003	0.006	0.004	0.009
P90	0.004	0.009	0.003	0.007	0.005	0.010
P95	0.005	0.010	0.003	0.007	0.006	0.013

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**Table 7** (on next page)

Carcinogenic exposure of MCs from source water

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Projects	MC-RR		MC-YR		MC-LR	
	Adult	Child	Adult	Child	Adult	Child
Mean	$1.27 \times 10^{-5}$	$1.37 \times 10^{-5}$	$3.34 \times 10^{-6}$	$5.76 \times 10^{-6}$	$5.20 \times 10^{-6}$	$8.10 \times 10^{-6}$
P50	$1.40 \times 10^{-5}$	$1.43 \times 10^{-5}$	$2.21 \times 10^{-6}$	$4.40 \times 10^{-6}$	$4.69 \times 10^{-6}$	$8.30 \times 10^{-6}$
P85	$5.43 \times 10^{-5}$	$1.13 \times 10^{-4}$	$6.93 \times 10^{-6}$	$1.09 \times 10^{-5}$	$9.18 \times 10^{-6}$	$1.28 \times 10^{-5}$
P90	$5.47 \times 10^{-5}$	$1.45 \times 10^{-4}$	$7.60 \times 10^{-6}$	$1.16 \times 10^{-5}$	$9.85 \times 10^{-6}$	$1.32 \times 10^{-5}$
P95	$8.63 \times 10^{-5}$	$1.83 \times 10^{-4}$	$8.11 \times 10^{-6}$	$1.20 \times 10^{-5}$	$1.11 \times 10^{-5}$	$1.38 \times 10^{-5}$

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**Table 8** (on next page)

Maximal acceptable level and negligible level recommended by different institutions

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Institutions	Maximum risk level	Ignore the level of risk	Remarks
USEPA	$1 \times 10^{-4}$	--	Radiation
ICRP	$5 \times 10^{-5}$	--	Radiation
Royal Association of England	$1 \times 10^{-6}$	$1 \times 10^{-7}$	--
Holland Environmental Protection Agency	$1 \times 10^{-6}$	$1 \times 10^{-8}$	Chemical contaminants
Swedish Environmental Protection Agency	$1 \times 10^{-6}$	--	Chemical contaminants

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**Table 9** (on next page)

Non-carcinogenic exposure risk of MCs using source water

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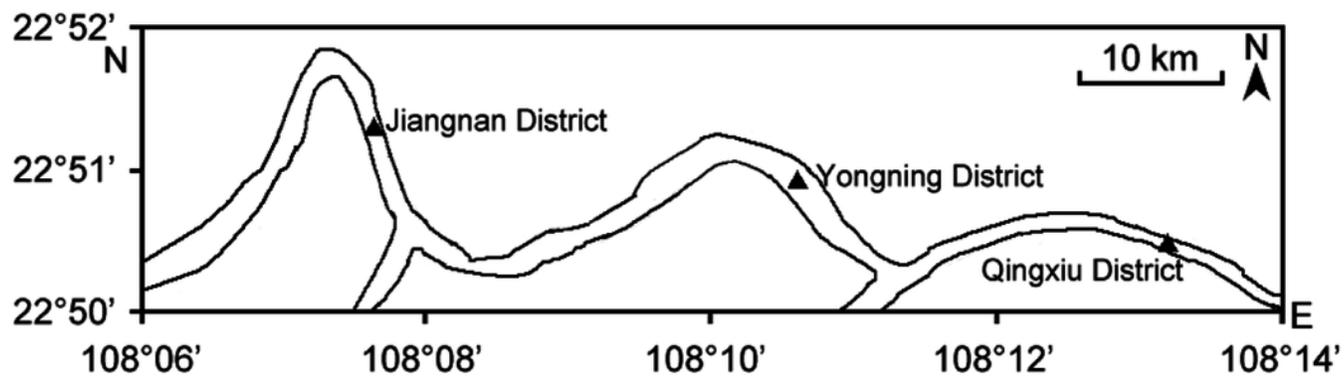
Projects	MC-RR		MC-YR		MC-LR	
	Adult	Child	Adult	Child	Adult	Child
Mean	0.005190	0.011352	0.030260	0.066190	0.054490	0.119200
P50	0.004123	0.009019	0.017090	0.037380	0.040400	0.088380
P85	0.009157	0.020031	0.067480	0.147610	0.104530	0.228660
P90	0.009722	0.021267	0.077190	0.168840	0.118790	0.259860
P95	0.011903	0.026038	0.085150	0.186270	0.153860	0.336580

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# Figure 1

Sampling sites in the Yongjiang River

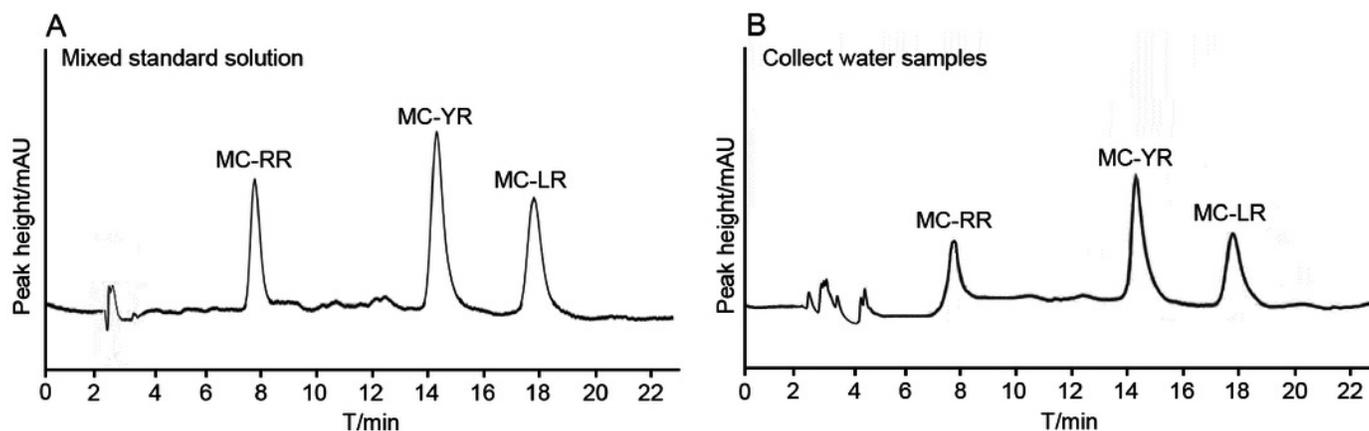


## Figure 2

HPLC chromatogram of MCs

(A) HPLC chromatogram of MCs standards, the order of the peaks and time of each standard substance were as follows: MC-RR (7.599 min), MC-YR (14.225 min), and MC-LR (17.601 min).

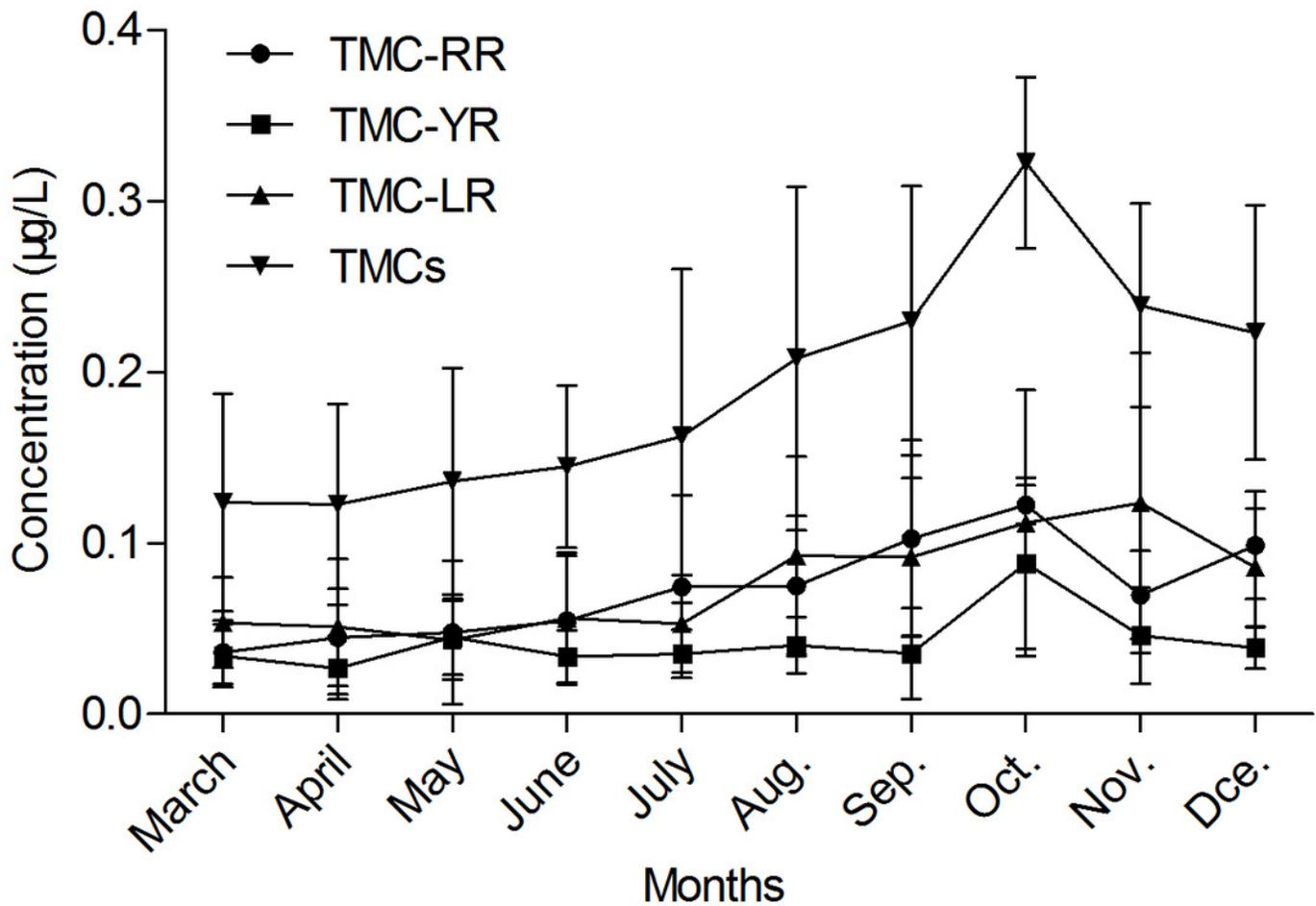
(B) HPLC chromatogram of MCs water samples, the same time represents the same substance, like A.



## Figure 3

Concentration of MCs in source water in various seasons

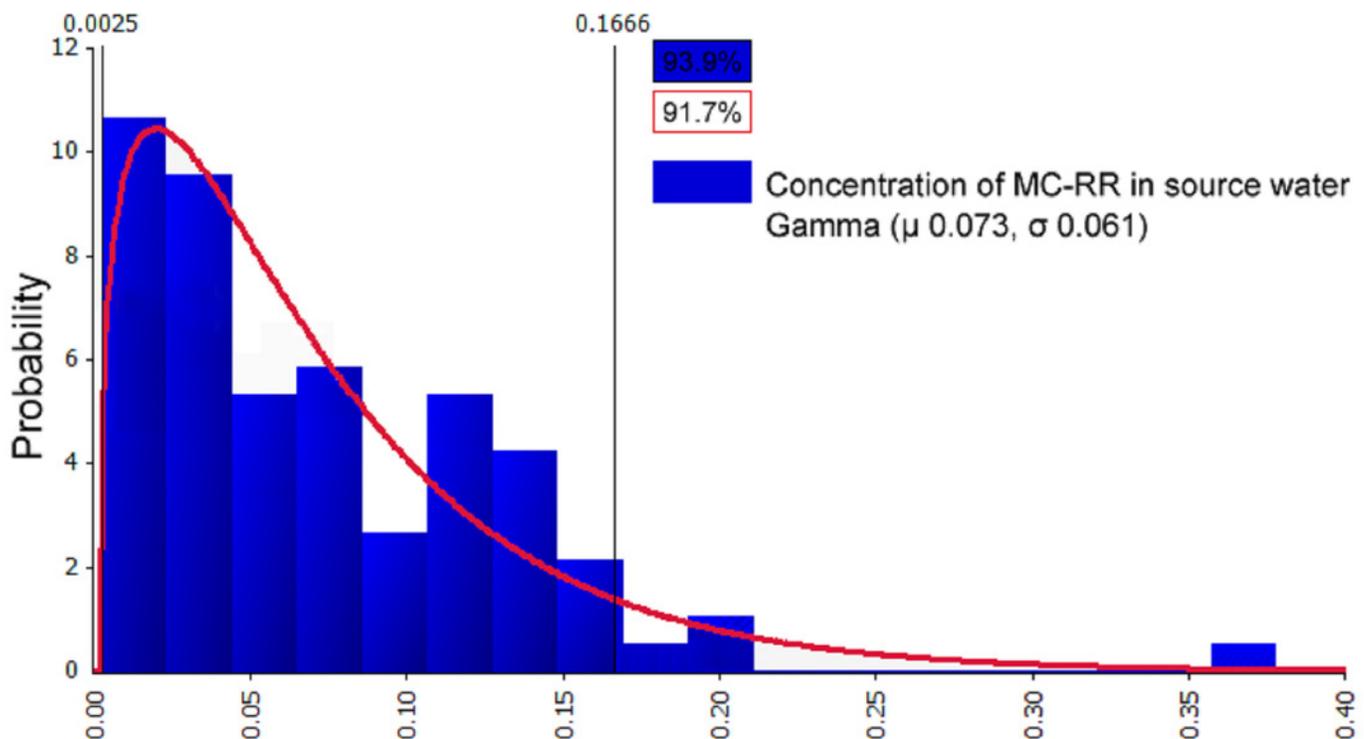
TMCs is the sum of the total concentration (intracellular and extracellular) of each individual microcystin detected. This means, that  $TMCs = TMC-LR + TMC-RR + TMC-YR$ .



## Figure 4

Probability distribution graph after fitting of MC-RR in source water ( $\mu\text{g/L}$ )

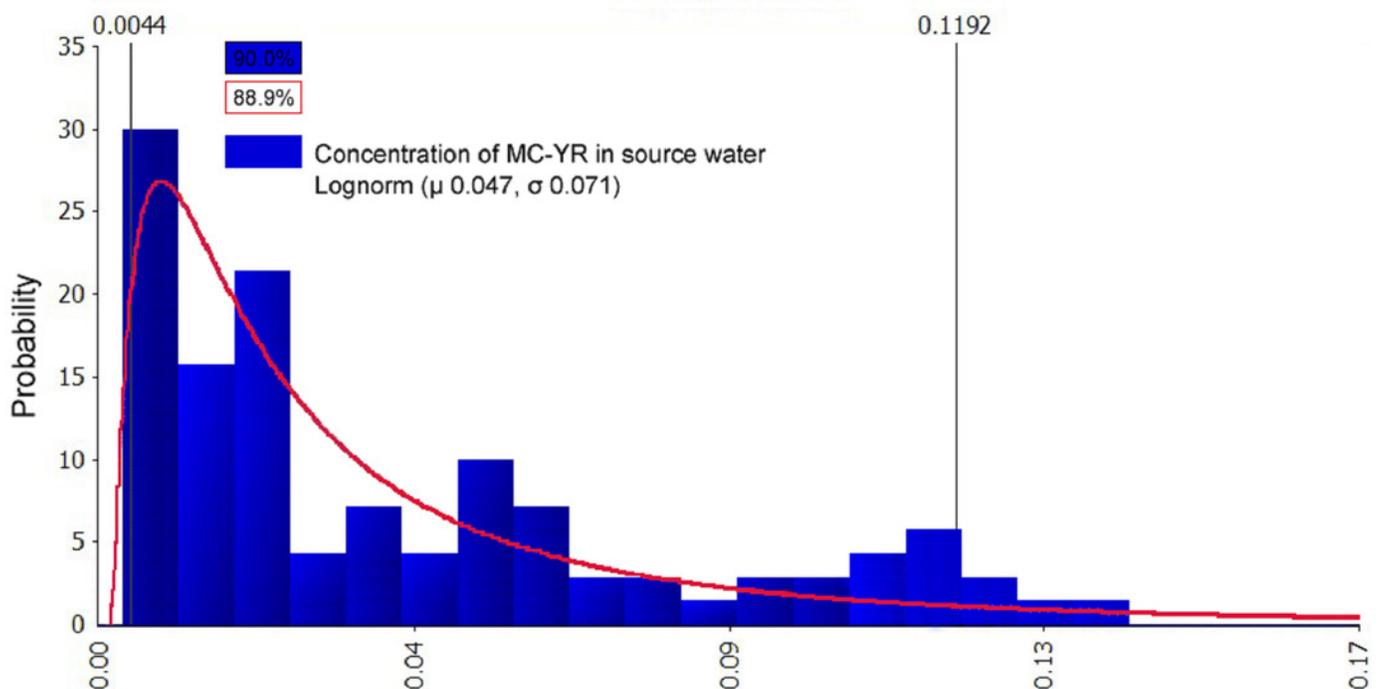
The data comparison revealed that the optimal fitting distribution of the most suitable concentration of MC-RR in source water was Gamma ( $\mu$  0.073,  $\sigma$  0.061) (first number  $\mu$  as the position parameter and the second number  $s$  as the scale parameter). The abscissa in Figure 4 represents the concentrations of MC-RR; the concentrations are partitioned, the length of each interval is the group distance, the rectangular area is the frequency of the group, and the ratio of the total sample utilized, and the vertical axis is the frequency divided by the group distance obtained. The fitting results can be visually observed from the coincidence of the blue rectangular shape (93.9%) and the area under the red curve (91.7%).



## Figure 5

Probability distribution graph after fitting of MC-LR in source water ( $\mu\text{g/L}$ )

The data comparison showed that the best-fitted distribution of MC-YR concentration was Lognorm ( $\mu$  0.047,  $\sigma$  0.071). The abscissa in Figure 5 represents the concentrations of MC-YR; the concentrations are partitioned, the length of each interval is the group distance, the rectangular area is the frequency of the group, and the ratio of the total sample utilized, and the vertical axis is the frequency divided by the group distance obtained. The fitting results can be visually observed from the coincidence of the blue rectangular shape (90.0%) and the area under the red curve (88.9%).



## Figure 6

Probability distribution graph after fitting of MC-LR in source water ( $\mu\text{g/L}$ ).

The data comparison showed that the best-fitted distribution of MC-LR concentration was Gamma ( $\mu$  0.076,  $\sigma$  0.070). The abscissa in Figure 6 represents the concentrations of MC-LR; the concentrations are partitioned, the length of each interval is the group distance, the rectangular area is the frequency of the group, and the ratio of the total sample utilized, and the vertical axis is the frequency divided by the group distance obtained. The fitting results can be visually observed from the coincidence of the blue rectangular shape (90.0%) and the area under the red curve (88.0%).

