

1           **Species diversity of drifting fish eggs in the Yangtze River using**  
2   **molecular identification**

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10       **ABSTRACT**

11       Approximately 30 species of fish lay drifting eggs in the Yangtze River. However,  
12       recent dam construction threatens the viability of drifting eggs and hence, the  
13       reproductive behavior of these species. In this study, molecular methods were used to  
14       identify specific species ~~in order~~ to analyze the constitution of drifting fish eggs.  
15       A total of 8,983 fish eggs were collected from nine stations from 2014 to 2016, 7,933  
16       of which were sequenced successfully. Thirty-nine fish species were identifyied,  
17       ~~belonging and were assigned~~ to four families and two orders. Approximately 64% of  
18       the species identified, and 67% of ~~the~~ eggs, were classified in the Family Cyprinidae.  
19       Abundance of eggs, as well as species richness, were higher in the main river than in  
20       tributaries of the river. However, ~~the tributaries y rivers~~ may be important spawning  
21       grounds for some fish species. ~~The Mantel test between species, the The~~ Jaccard's  
22       similarity index, and river-way distances among sampled stations ~~were negatively~~  
23       ~~correlated showed suggesting~~ strongly native relationships. ~~These Our~~ data suggest that  
24       the environment shapes species composition in ~~the~~ sampled spawning grounds.  
25

26       **KEYWORDS**

27       Species diversity; Fish egg; Drifting egg; Molecular Identification; Yangtze River  
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32       **INTRODUCTION**

33       Monitoring fish spawning grounds in natural rivers provides useful information  
34       concerning fish habitat, spawning activity, and the effect of anthropogenic stressors.  
35       Data regarding spawning species, scale, and time are important for improving  
36       management and policy decisions.

37       The Yangtze River is the third largest river in the word. The section of the river  
38       above Yichang is generally called the "upper reaches" and the section from Yichang to  
39       Hukou is called the "middle reaches." In recent years, more dams have been constructed  
40       in the river, which seriously affect the spawning environment for fish. Such drastic  
41       ecological and environmental changes particularly affect fish that lay drifting eggs.  
42       There are approximately four hundred species of fish in the Yangtze River, and of these,  
43       approximately thirty species lay drifting eggs. Some of these fish are of commercial  
44       importance in China, such as grass carp (*Ctenopharyngodon idella*), black carp  
45       (*Mylopharyngodon piceus*), silver carp (*Hypophthalmichthys molitrix*), and bighead  
46       carp (*Hypophthalmichthys nobilis*); these are referred to as the four domestic Chinese  
47       carps (FDC) in China. The FDC spawning activities are controlled by temperature and  
48       hydrographs (Stanley 1978; Liu et al.1992; Li et al. 2013), which shape more than 40  
49       spawning grounds within mainstream regions of the Yangtze River. The mean annual  
50       egg production was over 100 billion in the 1960's (Yih and Liang 1964). The  
51       construction of the Gezhouba dam in the 1980s, and then the Three Gorges dam in the

52 early 2000s have greatly changed the hydrologic conditions in the middle Yangtze River  
53 (Yi et al. 2010). These dam constructions significantly affected the spawning activities  
54 of indigenous river fish. The abundance of FDC larvae in the middle Yangtze River had  
55 decreased to 105 million in 2005 (Duan et al. 2009), and the spawning time was also  
56 delayed by approximately one month (Zhang et al. 2012). In addition, there are some  
57 species of fish that prefer certain river locations for spawning. For example, the  
58 largemouth bronze gudgeon, *Coreius guichenoti*, primarily spawns in the Lower Jinsha  
59 River, which is the main tributary of the Yangtze River (Cao et al. 2007). Impoundment  
60 of the Xiangjiaba dam in 2012 has destroyed the spawning ground of this species, and  
61 as a result no eggs or larvae have been found since then (Gao et al. 2015). If this species  
62 cannot find another suitable spawning ground, it is in danger of extinction (Cheng et al.  
63 2015). Many tributaries of the Yangtze River are also distributed spawning grounds of  
64 fish that lay drifting eggs, and some of these fishes are endemic to one or several river  
65 sections (Xie et al. 2014; Cai et al. 2011; Wu et al. 2010).

66 Fishery resources in the Yangtze River have been undergoing a serious recession  
67 over the past 30 years (Chen et al. 2009; Huang & Li, 2016). In an attempt to remedy  
68 the situation, the government has implemented measures to restore fish resources and  
69 the ecosystem. Several parameters include setting up protected regions, closed fishing  
70 seasons, releasing artificial fish into the river, and restricting fishing instruments (Chen  
71 et al. 2009). Monitoring spawning grounds could assess whether these measures have  
72 been successful. During the spawning season there may be more than a dozen or more  
73 species of fish spawning in the same location (Xie et al. 2014; Cai et al. 2011; Wu et al.  
74 2010). Different species are associated with different nursery habitats and dispersal  
75 during early life history stages, and also have different protection requirements. Thus,  
76 quantifying and classifying fish eggs is crucial for conservation, management, and  
77 assessment of environmental effects. It is challenging to determine the species of the  
78 drifting eggs because most of the fish with this reproductive pattern have similar  
79 morphological characters in the early egg stages. In the past, several researchers tried  
80 to identify eggs species by size, color, and oil droplets (Gao et al. 2010; Cao et al. 2007;  
81 Yi et al. 1988). Others tried to hatch eggs to identify distinguishable characteristics (Xu  
82 et al. 2015; Liu et al. 2014), however, it proved difficult to hatch eggs in the field.

83 DNA-based species identification is a rapid method to distinguish different species  
84 from among various samples, and has recently been used as an effective tool for species  
85 identification of fish eggs and larvae (Baldwin et al. 2011; Wibowo et al. 2015; Harada  
86 et al. 2015). In comparison to morphological diagnosis, DNA identification has many  
87 advantages, including sample preservation, finding cryptic and rare species, and large-  
88 scale surveys (Lewis et al. 2016; Harada et al. 2015; Gleason & Burton, 2012). In this  
89 study, we have applied DNA techniques for identification of drifting fish eggs sampled  
90 from several spawning grounds in the Middle and Upper Yangtze River. The objective  
91 was to i) test identification efficiency for drifting eggs in the Yangtze River, and ii) to  
92 investigate species composition and distribution in different spawning grounds.

## 93 MATERIALS AND METHODS

### 94 Sampling location and egg collection

95 May to July of each year is the spawning season for most fish in the Yangtze River.  
96 During this period in 2016, nine stations were set up to collect drifting fish eggs. These  
97 were the Yangtze River Panzhihua section (YPZ), the Yangtze River Jiangjin section  
98 (YJJ), the Yangtze River Yidou section (YYD)-, the Yangtze River Jingzhou section  
99 (YJZ), the Yangtze River Sanzhou section (YSZ), the Yangtze River Yanwo section  
100 (YYW), the Minjiang River Yibin section (MYB), the Chishui River Chishui section  
101

102 (CCS) and the Xiangjiang River Yingtian section (XYT) (Fig.1). Among these stations,  
103 the four stations of YPZ, YJJ, CCS and MYB were located in the upper reaches and the  
104 five stations of YYD, YJZ, YSZ, YYW and XYT in the middle reaches of the Yangtze  
105 River. Three stations, MYB, CCS and XYT were located in tributary rivers, and the  
106 other six stations were located in the main stream of the Yangtze River. Eggs were  
107 collected from YJJ in 2014 and 2015, and from YSZ and YYW in 2015. These  
108 collections were also used in this study.

109 Collecting nets and methods were described as Duan et al. (2009). Each egg was  
110 recorded for morphological traits, such as developmental stage and size, and then  
111 preserved in a 2 mL EP tube with absolute ethanol.

112

### 113 DNA extraction, PCR

114 Ethanol was decanted off specimens and eggs were soaked in 1.5 mL ddH<sub>2</sub>O for 1  
115 h. Water was then removed with a pipette, and egg genomic DNA was extracted using  
116 an easy-DNA Kit (Omega, Connecticut, USA).

117 Mitochondrial (mt) cyt b gene was used as a molecular marker for species  
118 identification. The forward primer was L14322:5' -  
119 GACTTGAAGAACCACCGTTGTTAT TCAAC-3' and the reverse primer was  
120 H15576: 5' -GCGCTAGGGAGGAATTTAAC CTCC-3'. PCR reactions had a final  
121 volume of 50 µl and contained 5µl 10 x PCR Buffer (TaKaRa, Dalian, China)-, 0.4 µl  
122 of 10 mmol/L dNTPs, 2 µL of each 10 µmol/L primers, 1.5 µl Taq enzyme (-TaKaRa,  
123 Dalian, China), 1 µl of template DNA. The PCR reaction cycles were as follows: Pre-  
124 denaturation at 94 °C for 4 min, 35 cycles of denaturation at 94 °C for 30 s, annealing  
125 at 54 °C for 30 s, extension at 72 °C for 90 s, and a final extension at 72 °C for 8  
126 min.

127 PCR products were checked via 1.5% agarose gel electrophoresis and visualized  
128 with ethidium bromide to verify successful amplification. PCR DNA bands with  
129 expected size were purified using Cycle-Pure Kit (Omega, Connecticut, USA) and  
130 sequenced with the same primers as PCR.

131

### 132 Data analysis

133 All sequences were aligned and trimmed to the same length using Clustal X  
134 (Thompson et al., 1994). The genetic distance based on Kimura 2-parameter between  
135 the individuals were calculated using MEGA v6.0 (Tamura et al. 2013) and a neighbor-  
136 joining tree (NJ tree) was constructed with 1000 bootstrap replicates. Sequences with  
137 2% or lower of genetic distance and clustered as a monophyletic group in the NJ tree  
138 will be assigned to an operational taxonomic unit (OTU) and considered as a species.  
139 One or two sequences from each OTU were selected to compare with nucleotide  
140 sequences from GenBank (National Center for Biotechnology Information, NCBI) with  
141 the standard nucleotide BLAST (Basic Local Alignment Search Tool). Eggs were  
142 associated with a given species only if the similarity values were 98% or greater.

143 Species diversity of spawning ground was characterized using Shannon-Wiener  
144 diversity index (Schoener 1968). The Shannon-Wiener index is calculated as:

$$145 H' = - \sum P_i \ln P_i$$

146 Where pi is the proportion of species in total samples (egg numbers).

147 Similarities of species component between spawning grounds were evaluated by  
148 Jaccard's similarity index (JI) (Real and Vargas, 1996) using the formula  $JI = a/(a+b+c)$ ,  
149 where 'a' is the number of species shared by two spawning ground, and 'b' and 'c' are  
150 the numbers of private-species specific in each spawning ground. Correlation between

151 JI and the river-way distance was determined by the Mantel test with 1000 random  
152 permutations performed in Arlequin v3.1 (Excoffier & Lischer 2010).

## 154 RESULTS

### 155 Species identification and diversity

156 Overall, 8,983 drifting eggs were recovered from the nine stations, of which 7,933  
157 were sequenced successfully. Comparing with sequences of adults and GenBank data,  
158 a total of 39 species were identified, representing 28 genera, 4 families, and 2 orders  
159 (Table 1). Approximately 64% of the species and 67% of eggs belonged to the Family  
160 Cyprinidae. In addition, 26% of the species and 28% of eggs were from the Family  
161 Cobitidae. The most commonly distributed species were the silver carp (*H. molitrix*),  
162 grass carp (*C. idellus*), silver gudgeon (*S. argentatus*) and Yichang gudgeon (*G. filifer*).  
163 No fish species were found in all sampled stations.

164 The spawning ground with the ~~highest-most~~ species diversity was YJJ with 27  
165 species identified, ~~there~~ from 2014–2016. The next were YYD and YJZ, with 20 species  
166 ~~found~~ in both. The Shannon-Wiener (*H*) diversity index of these three spawning  
167 grounds were greater than 2.0, and the other five were under 1.9. Stations with the ~~least~~  
168 ~~lowest~~ species diversity were YPZ, having 8 species.

### 170 Species similarity among sites

171 Pairwise Jaccard's similarity index and river-way distances among sampled  
172 stations are listed in Table 2. There are only one or two identical species among 19 to  
173 28 species between YPZ and the four stations in the Middle Yangtze River (YYD, YJZ,  
174 YSZ, and YYW) and XYT station in the Xiangjiang River, which obtained the least JI  
175 values, ranging from 0 to 0.0952. However, JI values among the four stations in the  
176 Middle Yangtze River had the highest values, ranging from 0.5652 to 0.7391.

177 ~~A strongly negative correlation was found between the JI and river-way distance~~  
178 ~~(The Mantel test, between the JI and river way distance showed a correlation~~  
179 ~~coefficient of  $R = -0.9003$ ), indicating strongly negative correlation between them.~~

## 181 DISCUSSION

182 In previous studies, Cao et al. (2008) and Li et al. (2013) ~~presented have shown~~  
183 ~~that~~ approximately 25 species of fish ~~that~~ lay drifting eggs in the Yangtze River. Here  
184 we identified 39 species from captured drifting fish eggs in seven sampled stations  
185 using the mitochondrial Cyt b gene sequences. All obtained sequences had 98% identity  
186 with sequences in GenBank, indicating that reference data of the fish are available. Thus,  
187 fish eggs can successfully be identified to the species level. Intraspecific similarity of  
188 Cyt b gene sequence was greater than 98%, and interspecific distance higher than 2%  
189 for all these fish species. These studies indicate that molecular methods are a power  
190 tool of species identification of drifting eggs in the Yangtze River, and ~~also may also~~  
191 contribute to identify ~~ing~~ rare or endangered species of fish. For example, some fish  
192 such as *Saurogobio gymnocheilus*, *Botia reevesae*, *Paracobitis potanini*, and *Jinshaia*  
193 *abbreviata*, have had a low catch rate in recent years, but were detected in one or two  
194 spawning grounds in this study (Table 1). This observation may suggest that there are  
195 also smaller populations living in the river. However, two common fish species that lay  
196 drifting eggs in the Upper Yangtze River, *C. guichenoti* and *Rhinogobio ventralis*  
197 (Xiong et al. 2014; Liu et al. 2010; Xiong et al. 2016-), were not found in this study.  
198 This may indicate that their spawning grounds were not in our monitoring stations. In  
199 addition, two recorded Leuciscin carps laying drifting eggs, *Ochetobius elongatus* (still  
200 found in Pearl River) and *Luciobrama macrocephalus* in the middle Yangtze River,

201 were also not found from analysis of eggs. Since they have been not ~~been~~ captured in  
202 recent years it is possible that these species are ~~possibly~~ endangered to extinction in the  
203 Yangtze River.

204 Several species from the Culter genus were found in the collected eggs, such as  
205 *Culter alburnus*, *Culter mongolicus*, and *Cultrichthys erythropterus*. Interestingly, these  
206 are not typical fish that lay drifting eggs. They generally spawn weakly viscous eggs  
207 among water plants or in river gravel, and can also spawn in still water (Cao et al. 2008).  
208 They were detected in the YJJ station due to falling off from plants or gravels by river  
209 torrents. *Glyptothorax sinensis* also produces viscous but not drifting eggs that adhering  
210 to stones in the river distributed in the Yangtze River and its tributaries and lakes (Ding,  
211 1994; Chu et al. 1999). Two eggs of *G. sinensis* were found in the YPZ station, where  
212 the current velocity could be greater than 2 m/s (Xu et al. 2013).

213 The negative correlation between species similarity and river way distance  
214 reflected the diversity of ecological conditions in the Yangtze River. The nearer river  
215 stations have similar ecological characteristics, such as temperature, current velocity,  
216 and water flow, which attract more of the same fish to spawn. There are distinct  
217 differences in hydrology between the middle and upper reaches of the Yangtze River.  
218 The river is narrow, swift, and with a steep fall in elevation in the upper reaches  
219 compared with the wider, slower, and gradual fall in the middle reaches. Ecological  
220 differences shape the specificity of fish in the spawn ground. Six species, including  
221 *Squaliobarbus curriculus*, *Elopichthys bambusa*, *Parabramis pekinensis*,  
222 *Pseudobrama simoni*, *Xenocypris argentea* and *Parabotia banarescui* are only found  
223 in the YYD, YJZ and/or YSZ, and YYW stations, consistent with their endemic  
224 presence in the middle Yangtze River. The upper Yangtze River involved fourteen  
225 species, including *S. gymnocheilus*, *Hemiculterella sauvagei*, *Xenophysogobio*  
226 *boulengeri*, *Xenophysogobio nudicorpa*, *Leptobotia rubrilabris*, *Leptobotia*  
227 *microphthalma*, *Leptobotia elongata*, *B. reevesae*, *Botia superciliaris*, *P. potanini*,  
228 *Jinshaia sinensis*, and *J. abbreviate*. Adults of *L. elongata*, the largest fish in the Family  
229 Cobitidae, were also found in the middle Yangtze River, but no eggs were detected in  
230 stations there. Larvae of *L. elongata* born upstream should have crossed the Three  
231 Gorges Dam to the middle reaches of the river.

232 Species diversity of the YJJ station was shown to be the richest, and it harbored not  
233 only some importantly economic fish, such as FDC, also some unique fish, such as *S.*  
234 *gymnocheilus* (Table 1). This river reach is a very important spawning ground for fish  
235 laying drifting eggs. The numbers of species in the tributary river is less comparing  
236 with the Main River, but the rivers could be important spawning grounds for ~~special~~  
237 ~~particular~~ species. For example, the number of the small eye loach, *L. microphthalma*  
238 accounted for more than 86% of the total eggs in the MYB station, where in down  
239 reaches of Minjiang River. In the CCS station, located in the Chishui River, the species  
240 and egg numbers of species in the Family Cobitidae accounted for 50% and 36% of the  
241 total captures, respectively.

242 Though spawning and egg development of most of the fish identified in this study  
243 rely on running water, dependency levels were different. For example, FCD are apt to  
244 spawn in sudden floods (Duan et al. 2009, Li et al. 2011). However, spawning activity  
245 of *G. filifer* is negatively correlated with water discharge and water velocity (Tian et al.  
246 2017). Understanding the composition of fish species at each spawning ground helps to  
247 assess the impact of environmental changes on fish, and molecular methods could be a  
248 useful tool for ~~conducting this task effectively~~ ~~the work~~.

## 249 CONCLUSIONS

250

251 Mitochondrial DNA sequence is a powerful and effective tool for identifying  
252 species of drifting fish egg in Yangtze River. Species diversity in main river is higher  
253 than that in tributaries, however some fish species prefer to spawn in tributaries.

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367 Fig.1 Location of nine sample stations (YPZ, MYB, CCS, YJJ, YYD, YJZ, YSZ, YYW, XYT) and  
368 species composition in Family  
369 1-Gezhouba Dam, 2- Three Gorges Dam, 3- Xiangjiaba Dam