

The Impact of Atypical Early Histories on Pet or Performer Chimpanzees

It is widely accepted that an animal's early history, including but not limited to their rearing history, can have a profound impact on later behavior. In the case of captive animals, many studies have used categorical measures that do not account for both the influence of human and conspecific interaction. To enable a more holistic evaluation of early life experiences, we collected 1385 hours of data on 60 chimpanzees, of which 35 were former pets or performers, currently housed at accredited zoos or sanctuaries. We developed a unique metric, the Chimpanzee-Human Interaction (CHI) Index, that represented a continuous measure of the proportion of human and chimpanzee exposure subjects experienced and here focused on their exposure during the first four years of life. We found that chimpanzees who experienced less exposure to other chimpanzees as infants showed a lower frequency of social and sexual behaviors later in life. These results help characterize some of the long-term effects borne by chimpanzees maintained as pets and performers and may help inform managers seeking to integrate these types of chimpanzees into larger social groups, as in zoos and sanctuaries. In addition, these results highlight the necessity of taking into account the time-weighted influence of human and conspecific interactions when assessing the impact that humans can have on animals living in captivity.

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5 Introduction

6 Early life experiences have a significant impact on the behavioral development of human
7 and non-human animals and the expression of those behaviors later in life (e.g. humans, Fox &
8 Henderson, 1999; Kagan, 1996; non-human primates, Parker & Maerstripieri, 2011) outcomes of
9 early life experiences have uncovered a broad range of potential impacts including those falling
10 in both the social and non-social realms. Non-social variables include the impact of the physical
11 environment on development (rats, Leshem & Schulkin, 2012), as well as physiological factors,
12 such as genetics (primates Barr et al., 2003; Suomi, 2011) or hormones (primates, Saltzman &
13 Maestripieri, 2011), that influence maternal care. Studies of social effects, including both
14 maternal and non-maternal influences, have focused primarily on the impact of conspecifics in an
15 infant's environment, and the subsequent effect on behavior expressed later in life (humans,
16 Sroufe, 2005, rodents & primates, Pryce et al., 2005, primates, Suomi, 1997). Even animals
17 typically not thought of as being as social, such as lizards, seem vulnerable to the effects of
18 atypical and impoverished social histories (Cissy et al., 2014).

19 Previous studies investigating early life experiences in primates have focused on the
20 influence of the mother. Mothers are particularly important for social development and this is
21 especially true for primate species such as chimpanzees (Lonsdorf & Ross, 2012). Interactions
22 with the mother help infants develop skills such as tool-use (Goodall, 1986; Lonsdorf, 2005;
23 Nishida, 1968), but also important social skills. A study assessing wild chimpanzees found that
24 orphaned chimpanzees displayed higher levels of anxiety and less play behavior compared to
25 those who were raised by their mothers (Botero et al., 2013). Although maternal influences are
26 integral to their offsprings' development, it is well documented that other relatives and non-
27 related group members can play important roles (Boesch et al., 2010; Muller & Thompson, 2012;
28 van Noordwijk, 2012). For example, older female siblings may play an important allo-parenting
29 role; a behavior which can serve to strengthen bonds between family members (Nishida, 1983).

30 As in their natural environment, captive primates rely heavily on the presence of both
31 their mother and other conspecifics for their development. A range of circumstances, planned
32 and unplanned, may however, result in situations that prevent appropriate socialization in
33 captivity and require the need of alternative rearing methods such as human-rearing or peer-
34 rearing. Studies investigating the impact of these atypical situations on primates – notably with
35 rhesus macaques and chimpanzees – have reported a range of substantive impacts on
36 developmental trajectories (Suomi, 2011; Anderson & Mason, 1974). Macaques raised in the
37 most extreme lack of appropriate early socialization, demonstrated high levels of abnormal
38 behaviors, such as self-clutching, excessive rocking and self-mutilation, illustrating the severe
39 behavioral consequences of these social deficiencies despite the necessary care provided by
40 human caregivers (Harlow, Dodsworth & Harlow, 1965). Other early studies revealed that
41 mother-raised rhesus macaques tended to show more social play and grooming and fewer
42 abnormal behaviors later in life compared with infants raised by “artificial mothers” (Harlow &
43 Zimmerman, 1959; Harlow, Dodsworth & Harlow, 1965). Some studies of chimpanzees focused
44 on less severe environments in which chimpanzees were reared in groups composed of like-aged
45 conspecifics. Though chimpanzees reared in these peer-groups were also affected by their lack of
46 appropriate socialization, the developmental consequences were less evident than those observed
47 in primates raised without any exposure to conspecifics. Nonetheless, these peer-reared
48 chimpanzees were clearly differentiated from those raised in larger social groups and with their
49 biological mother: they were less dominant and less active and often showed more abnormal
50 rocking and less social play behavior (Bloomsmith, Lambeth & Alford, 1991; Rosenblum &
51 Kaufman, 1968; Spijkerman et al., 1994; Spijkerman, 1996). A recent study assessing orphaned
52 and mother-reared chimpanzees in African sanctuaries, found that orphaned chimpanzees
53 engaged in more social play compared with those living in typical social groups with their mother
54 and conspecifics, but that the play periods were shorter and more often led to aggression (Van

55 Leeuwen et al., *in press*). The effects of these early rearing histories may have long-term effects
56 as well: studies of laboratory-housed chimpanzees that were raised exclusively by humans
57 exhibited more abnormal behaviors later in life in comparison to chimpanzees with conspecific
58 involvement in rearing (Martin, 2002; Martin, 2005). In sum, captive chimpanzees with an
59 absence of typical early histories with biological mothers and conspecifics seem particularly
60 prone to both short- and long-term negative impacts on their behavior and likely, their wellbeing.

61 Closely associated with the influence of conspecifics, captive chimpanzees are clearly
62 affected by the presence of humans in their social environment. In the most extreme
63 circumstances, some chimpanzees are exposed broadly and extensively to a wide range of human
64 culture, including language and tools (Tomasello, Kruger & Ratner, 1993). These “enculturated”
65 chimpanzees tend to be more successful at human-designed cognitive tasks, and have better
66 human perspective-taking abilities, compared to chimpanzees with less exposure to humans
67 (Buttelmann et al., 2007; Furlong, Boose & Boysen, 2008; Hayes & Hayes, 1952; Russell et al.,
68 2011). Less, however, is known about the degree to which limited conspecific and high human
69 social influences (hereafter termed “atypical” because these are not practices endorsed by current
70 mainstream accredited facilities) affects developmental trajectories in chimpanzees, and
71 ultimately their ability to living in large and dynamic groups of conspecifics.

72 These questions are perhaps most relevant in considering the largely unstudied population
73 of privately-owned chimpanzees – those living as personal pets and as trained performers in the
74 entertainment industry. Chimpanzees bred for the pet industry are typically removed from their
75 mother soon after birth to facilitate human handling. They are sold to members of the general
76 public, who lack any formal husbandry training, and these chimpanzees are likely not to
77 encounter any conspecifics throughout their key developmental stages. Many are essentially
78 raised as humans, in human households and with human traditions, until they grow to be too large
79 and dangerous to be maintained in free contact with their owners. Performing chimpanzees, in

80 the entertainment industry, have a more variable trajectory, and though they may spend some
81 proportion of their day with other chimpanzees in small groups, they are also highly exposed to
82 humans (both trainers and audiences) at high frequencies until they typically grow to be
83 unmanageable in adolescence. There is growing consensus that privately-owned chimpanzees
84 represent significant human health and safety risks (McCann et al., 2007), and in the case of
85 entertainment chimpanzees, produce additional consequences such as negative public perceptions
86 that can impact conservation efforts (Ross et al., 2008; Ross, Lonsdorf & Vreeman, 2011;
87 Schroepfer et al. 2011). However, here we focus on the ontogenetic outcomes of these practices
88 and the degree to which the atypical early histories experienced by these chimpanzees influence
89 their behavioral development.

90 In the current study, we used a novel and holistic approach to assess the impact of atypical
91 early histories experienced by pet and performing chimpanzees and the subsequent outcomes for
92 behavioral development. We employed a long-term continuous measure that accounts for both
93 the amount of time spent with humans as well as the amount of time spent with other
94 conspecifics. We examined how differential human/conspecific exposure during the first four
95 years of life impacted current behavioral patterns for ex-pet and ex-performer chimpanzees now
96 living in accredited zoos and sanctuaries. We acknowledge that the impact of these different early
97 histories could vary for chimpanzees entering various environments, where more human
98 interaction is typical. Although our question revolves around chimpanzees without early exposure
99 to conspecifics, we also studied the behavioral patterns of chimpanzees who have lived their
100 entire lives in their natal group in a zoo setting, in order to assess a broader range of
101 human/conspecific interactions. These zoo-born chimpanzees may also have a range of
102 human/conspecific exposure early in life. In the current study we focused on the first four years
103 of life because this is broadly considered to be the particularly influential infant period for this
104 species (Bard, 1995). We predicted that chimpanzees with atypical early histories (high human

105 exposure, low conspecific exposure) would differ behaviorally compared with those having more
106 species-typical histories. We projected these differences would be most pronounced in areas of
107 social, sexual and abnormal behaviors and ultimately reflect our hypothesis that these atypical
108 early histories can result in detrimental developmental trajectories and compromised wellbeing.

109 **Methods**

110 This research was conducted at three sanctuaries that are members of the North American
111 Primate Sanctuary Alliance (NAPSA: Center for Great Apes, Chimps, Inc., and Save the Chimps)
112 and six zoos accredited by the Association of Zoos and Aquariums (AZA: Houston Zoo, Dallas
113 Zoo, Lincoln Park Zoo, Lion Country Safari, North Carolina Zoo, and Oakland Zoo). Each of
114 these facilities adheres to high standards of chimpanzee care including housing chimpanzees in
115 social groups, providing nesting material and various forms of enrichment. All animals were
116 observed in their home cages and were not confined to a specific area of their enclosures during
117 observations. All subjects had *ad libitum* access to water and at no time were the subjects ever
118 food or water deprived. Subjects were supplemented daily with primate chow and fruit and/or
119 vegetable food enrichment at each of the facilities. This study was approved by and complied
120 with protocols approved by the Chimpanzee Species Survival Plan (SSP) management group as
121 well as animal care committees at each of the institutions that participated in this study. This
122 study was fully funded by a grant through the Arcus Foundation (1102-34).

123 **Subjects**

124 The subjects were 60 chimpanzees (25 males, 35 females, mean age = 21 years, range: 6 –
125 54 years) that varied widely in the degree of human and conspecific exposure they experienced
126 early in their lives (Figure 1, Tables S1 in Online Supplementary Materials). Though many of
127 them were formerly housed as personal pets or performers, all were evaluated in their current
128 housing at NAPSA sanctuaries or AZA zoos. The number of subjects studied at each institution

129 ranged from four to twelve. All of the subjects were socially-housed, with between 1 and 25 other
130 chimpanzees (average group size was 7 chimpanzees). All of the chimpanzees who participated
131 in the study were captive-born and none had lived in a laboratory environment.

132 **Behavioral Assessments**

133 Behavioral data on the chimpanzees were collected with a modified version of an
134 ethogram used for Lincoln Park Zoo's long-term behavioral monitoring studies of great apes
135 (Ross et al., 2011). The ethogram included six primary behavioral categories (social (e.g.
136 grooming, playing, begging, embrace) sexual, agonism, solitary, inactivity and abnormal), which
137 were comprised of 21 behaviors (provided in the Online Supplementary Materials). Data were
138 collected with handheld computers (Pocket Observer 2.0, Noldus Observer, Noldus Information
139 Technology, Wageningen, The Netherlands) in 30-min focal samples with a 30-sec intersample
140 interval. When more than one focal sample was collected on the same individual in one day there
141 was at least a one hour period between each of the samples collected for that individual.
142 Observations were conducted between 9 am and 5 pm by a single observer (HF) at eight of the
143 nine study sites. The data collected at the ninth site (Lincoln Park Zoo) were collected by
144 observers who had previously achieved 85% reliability on the ethogram. HF conducted a *post*
145 *hoc* inter-rater reliability assessment with a researcher at the Lincoln Park zoo and was found to
146 have 90% reliability on the ethogram. An equal number of observations were collected in the
147 morning and afternoon for each chimpanzee. The order of the observations was randomly
148 selected ahead of time. Feeding and enrichment times varied at each of the study sites and data
149 were collected to cover each of these periods. Observations were conducted from a safe and
150 approved area from where the chimpanzees could be easily seen, but would not be unduly
151 affected by the presence of the observer. Between 14.5 and 30 hours of data were recorded on
152 each subject, over a period ranging from three to eight weeks, for a total of 1385 hours of
153 behavioral data.

154 **Chimpanzee Human Interaction Index**

155 In order to characterize the variable degree of exposure to potential influences
156 (conspecifics and humans), we developed a novel, continuous measure, the Chimpanzee Human
157 Interaction (CHI) index. We used management records acquired from past and current holding
158 institutions and calculated the proportion of time per day that each chimpanzee spent in each of
159 three categories: full exposure to conspecifics, full exposure to humans and mixed exposure to
160 both conspecifics and humans. Each day was weighted based on these three categories of
161 exposure. For instance, a chimpanzee living exclusively within a large social group with only
162 minimal exposure to humans (such as chimpanzees living in large social groups at a safari park
163 where there is only marginal influence by human caretakers and distant zoo visitors) would have
164 a proportion of 1/1 for the day, indicating they spent 100% of their time with other chimpanzees.
165 Likewise, a pet chimpanzee raised exclusively with a human family, without any exposure to
166 other chimpanzees would have a proportion of 0/1 for that same day. A performing chimpanzee
167 with relatively equal exposure to small groups of conspecifics and full contact with human
168 trainers and audiences would have a proportion of .5/1. CHI is calculated as the sum of these
169 variable exposure periods over a particular timeframe given that many chimpanzees have
170 experienced variation in the degree of human and conspecific exposure across their lifetimes. For
171 this analysis, we chose to focus specifically on the infant period: the first four years of life (see
172 Figure 1 for a histogram of the infant CHI_i distribution and Table S1 in Online Supplementary
173 Materials for details about each subject), however the CHI index could be utilized to characterize
174 human/conspecific exposure across any particular timeframe or across the entire lifetime.

175 **Categorical Groups**

176 Although our CHI index was developed to be used as a continuous variable, CHI_i values
177 in this study revealed a tri-modal distribution of the data (Figure 1). This was likely a result of

178 relatively low variability in conspecific/human exposure over the first four years of life.
179 Therefore, we analyzed the data using categorical groupings by early history experience: with
180 subjects categorized as having only or primarily human exposure (CHI_i index: 0-0.30, $n = 6$),
181 exposure to both chimpanzees and humans (CHI_i index: 0.31 – 0.70, $n = 32$), or primarily
182 chimpanzee experience (CHI_i index: .71 – 1.0, $n = 21$) (see Table S1 in the Online Supplementary
183 Materials for each subject's rating). Future analyses across broader timeframes will likely
184 provide the increased variability necessary to utilize CHI as a continuous variable.

185 **Data Analysis**

186 Data analyses were conducted in IBM SPSS 20 (IBM Corp., 2011). A false discovery rate
187 correction was performed on the results to control for multiple comparisons and a corrected p-
188 value based on this correction of less than .01 was considered to be significant.

189 **MANOVA Calculations**

190 In order to assess differences between the three categories of early history during the
191 infant period (“human”, “mixed”, and “chimpanzee”), we performed a one-way multivariate
192 analysis of variance (MANOVA) using each of the 22 behaviors as dependent variables and the
193 behavioral categories as a fixed factor.

194 **Results**

195 **MANOVA Analysis**

196 One-way MANOVA analysis revealed there was a statistically significant difference in the
197 proportion of time the chimpanzees spent engaging in different behaviors based on their early
198 exposure history ($F(44, 70) = 3.180, p < .00005$, Wilks' Lamda = .111, partial $\eta^2 = .667$). A series
199 of one-way ANOVA's on each of the 22 dependent variables was conducted as follow-up tests to
200 the MANOVA. An examination of the data revealed that for the following behaviors, the

201 homogeneity of variance assumption was violated: social sex, masturbation, abnormal movement,
202 coprophagy, abnormal body posturing and abnormal plucking. In these cases, we ran a Welsh test
203 on the data to look at the significance of the ANOVA test. The ANOVA tests revealed that in four
204 (social, sexual, inactivity, abnormal) out of six behavioral categories (social, sexual, agonism,
205 solitary, active, and abnormal) a significant relationship was found between the categories of
206 early history based on the CHI_i values and the proportion of time the chimpanzees were observed
207 engaging in each behavior (the results of the MANOVA between proportion of behaviors and
208 categories can be seen in Table 1). In addition, a series of post-hoc analyses (Bonferonni) were
209 performed to examine individual mean difference comparisons across the three levels of CHI_i
210 values and each of the behaviors found to be significant with the MANOVA. The specific results
211 are outlined below.

212 **Social Behavior**

213 The individual ANOVA analyses revealed that early exposure categories influenced
214 frequencies of grooming behavior later in life. Specifically, post-hoc analyses revealed that
215 subjects with high amounts of chimpanzee exposure early in life groomed significantly more
216 than those with mixed or minimal early exposure to conspecifics ($F(2, 56) = 10.13, p = .0001$).
217 They also received grooming significantly more than those with mixed or minimal early exposure
218 to conspecifics ($F(2,56) = 9.22, p = .0001$). There was not a significant relationship between
219 early exposure category and frequencies of social play or other prosocial behaviors ($p > .01$).

220 **Sexual Behavior**

221 Frequencies of sexual behavior were influenced by the category of early exposure such
222 that chimpanzees with high amounts of early exposure to conspecifics demonstrated higher
223 frequencies of mounting and sexual exploration compared to chimpanzees with low exposure to

224 conspecifics ($F(2, 56) = 4.98, p = .01$). There was not a significant difference found between
225 chimpanzees with high exposure to conspecifics and those with mixed exposure ($p > .01$). There
226 was not a significant relationship found between the category of early exposure and rates of
227 masturbation ($p > 0.01$).

228 **Agonistic Behavior**

229 There was no difference in the expression of agonistic behaviors, including displays, non-
230 contact, and contact aggression given or received in relation to categories of early exposure ($p > .$
231 01).

232 **Solitary Behavior**

233 We found no relationship between frequencies of solitary behaviors including self-
234 grooming and self-play in relation to categories of early exposure ($p > .01$).

235 **Inactivity**

236 The ANOVA analyses revealed significant differences in frequencies of inactivity between
237 the early history categories. Post-hoc tests revealed that chimpanzees with mixed early exposure
238 demonstrated higher rates of inactivity than those with either primarily human or primarily
239 conspecific exposure early in life ($F(2, 56) = 7.72, p = .001$).

240 **Abnormal Behavior**

241 We examined four forms of abnormal behavior: coprophagy, abnormal movement,
242 abnormal body posturing, and hair plucking. The ANOVA revealed that chimpanzees with high
243 amounts of early exposure to conspecifics demonstrated the highest frequencies of coprophagy
244 later in life than those with either mixed early exposure or primarily human exposure early in life

245 ($F(2, 56) = 14.99, p = .0001$). There was not a significant difference in rates of coprophagy
246 between chimpanzees from primarily human or mixed early exposure histories. There were not
247 significant differences in rates of the other forms of abnormal behavior when comparing the three
248 early exposure categories ($p > .01$).

249 **Discussion**

250 The primary aim of this study was to use a novel and holistic approach to assess the long-
251 term behavioral impact of variable early life exposure to both conspecifics and humans on
252 captive chimpanzees. To achieve this, we focused on a rarely studied population, former pet and
253 performer chimpanzees that now live in accredited zoos and sanctuaries. We also studied
254 individuals who had experienced more typical early life histories for captive chimpanzees, living
255 in their natal group with multiple conspecifics, in accredited zoo environments throughout their
256 lifetime. In general, supportive of our hypotheses, we found that chimpanzees raised in “human”
257 or “mixed” exposure groups tended to exhibit lower frequencies of grooming compared with
258 those in the “chimpanzee” group. In addition, chimpanzees raised in the “human” exposure group
259 exhibited lower frequencies of social sexual behavior later in life, compared with those in the
260 “chimpanzee” group. Lastly, chimpanzees in the “mixed” exposure group exhibited higher
261 frequencies of inactivity compared with the “human” or “chimpanzee” groups. The results of this
262 study suggest that high human exposure early in a chimpanzee’s life, and/or reduced conspecific
263 exposure, is related to observable differences in behavioral development which are sustained
264 throughout their life and have the potential to be related to animal management and welfare
265 measures.

266 While it is possible that variability in current living environments may represent a
267 confound to the conclusions of this study (due in part to the relatively limited number of
268 institutions caring for chimpanzees with such atypical backgrounds), it is important to note that
269 the demonstrated effects are unlikely to be solely the result of current physical or social

270 environments. All subjects were socially housed and all were managed under similar
271 contemporary management systems.

272 The behavioral differences observed across chimpanzees in different groups based on
273 CHI index values support previous findings in chimpanzees, and other non-human primates,
274 looking specifically at the impact of rearing history (i.e. mother-reared individuals compared to
275 isolated individuals, Harlow & Suomi, 1971; Kalcher et al., 2008; Rogers & Davenport, 1970;
276 Turner et al., 1969). For example, several studies have found that human-reared primates often
277 have lower rates of reproductive success, possibly indicative of less appropriate sexual behavior
278 (Ryan, Thompson & Roth, 2002). The evidence for a developmental influence of rearing on
279 later chimpanzee behavior is mixed. While some studies report a lack of rearing effect in social
280 behavior (Bloomsith et al., 2002; Howell et al., 2006; van Ijzendoorn et al., 2009) more recent
281 analyses (Clay, 2012) and the results of our analyses suggest the possibility that these effects
282 might not be evident until much later in life. Duration of exposures might also be a particularly
283 important factor; Martin et al. (2005) assessed behavior in chimpanzees that were reared in a
284 variety of contexts and found no statistical effect of rearing, however the authors were unable to
285 account for the duration of time spent in each of the rearing categories.

286 We also noted differential effects of one form of abnormal behavior relating to early
287 experiences: coprophagy. This behavior has been suggested to be socially-learned— as opposed to
288 an individually-developed response indicative of stress – and thus animals with the broad social
289 exposure may be more likely to adopt these behaviors. Previous studies have found that mother-
290 reared chimpanzees engage in coprophagy more often than non-mother reared individuals
291 (Bloomsith et al., 2005; Nash et al., 1999), which suggests that coprophagy may be functionally
292 distinct from other abnormal behaviors. Future studies should involve investigation regarding the
293 welfare concerns associated with different types of abnormal behaviors in order to determine

294 which behaviors are performed only during times of stress compared with times of boredom or
295 during routine times.

296 Despite the breadth of the data used for this investigation, there remain a number of
297 limitations that reflect the complexity of a multi-institutional study and require additional
298 consideration. The first is the potential confound between a chimpanzee's current housing
299 locations with their early history exposure. Chimpanzees from particular backgrounds tended to
300 cluster at specific institutions and as a result, there was relatively little intra-institutional variation
301 in early histories among the chimpanzees housed within single groups. Fortunately, the variation
302 in current housing conditions, and management styles, was relatively low, at least in comparison
303 to the wide variety of physical and social environments from which many of these chimpanzees
304 originated. All current housing was either at AZA-accredited zoos or NAPSA member
305 sanctuaries; all of which maintain consistently high standards of care in terms of diet, enrichment
306 and housing. Additionally, subjects were housed in different social groupings (ranging from 2 –
307 26 chimpanzees per group), and in some cases, this was an outcome of their atypical early
308 histories and difficult socialization histories.

309 We must also consider the potential limitations of our measure of human and conspecific
310 exposure including both the CHI index and categories based on this index. In this analysis, we
311 utilized a subset of the CHI index (CHI_i), specific to a relatively narrow time frame from birth to
312 age 4, within which there is inherently less variation across individuals in comparison to that
313 observed across the entire lifetime. Therefore, we used a categorical analysis based on the CHI_i
314 values. There were only six individuals out of the 60 chimpanzees who had only human exposure
315 during their first four years of life compared with 33 and 21 in the other categories. We felt it was
316 still important to compare these individuals to the other groups, because of the lack of any
317 conspecific exposure. However, it is possible that there were other differences in behavior
318 between the categories that were not detected because of the small sample size. We also

319 acknowledge that it is possible that similar CHI index values may reflect differential patterns of
320 exposure to conspecifics and humans. Further refinement of this index may help address this
321 potential limitation.

322 Overall, the results of this study suggest that adolescent and adult chimpanzee behavior is
323 associated with early life experiences and that individuals exposed to more human-centric
324 environments may express behavioral deficits later in life related to grooming and sexual
325 behavior. It is possible that the consequences of these developmental trajectories may differ
326 considerably for chimpanzees living in situations with greater regular exposure to humans, such
327 as in laboratory settings. Research on how the CHI index relates to differences in personality,
328 cognitive performance, cortisol levels and health histories is likely to give a more comprehensive
329 representation of how these effects are manifested and possibly further confirmation of the
330 directionality of these factors. Finally, care should be taken to consider the substantive variation
331 in how these early histories affected individuals. Not every chimpanzee who had a low CHI_i
332 score and was in the “human” category showed deficits in social and sexual behaviors, as
333 evidenced by the wide-ranging standard deviations, and future studies should help identify what
334 variables lead to better social resilience in order to aid chimpanzees who struggle more with
335 social integration. The reduced (or absent) exposure to conspecifics and full contact exposure to
336 humans that these chimpanzees experienced, especially during the first four years, may have
337 especially profound and long-term behavioral outcomes. Given the known public safety concerns
338 surrounding pet chimpanzee ownership and the negative perception and conservation impacts of
339 inappropriate media portrayals of privately-owned “actor” chimpanzees (McCann et al., 2007;
340 Ross et al., 2008; Ross et al., 2011), we now add empirical evidence of the potentially negative
341 welfare effects on the chimpanzees themselves as important considerations in the discussion of
342 privately-owned chimpanzees. We promote further use of these and other evidence-based

343 methods to further inform policy and legislative change that protects chimpanzees, and other
344 important non-human animals that are subject to conservation and welfare threats.

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355 **Author Contributions**

356 This study was designed by SRR in collaboration with HDF. HDF collected the behavioral data
357 at each of the facilities except for the Lincoln Park Zoo. HDF analyzed the data. Both authors
358 contributed to the writing of this article.

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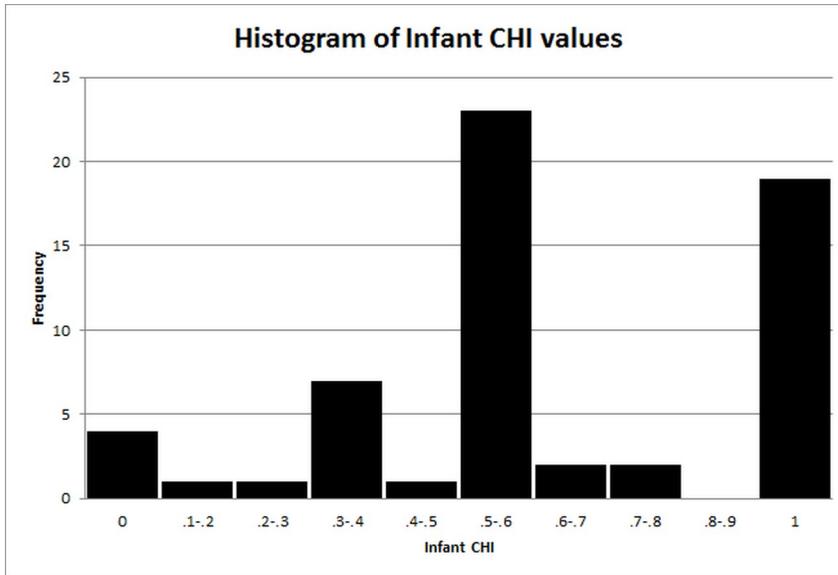
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506 Figure 1
507 Histogram of Infant CHI Values
508 Distribution of CHI values across chimpanzees in the study.



509	Manova Test with Paired Comparisons for Behaviors and Early History Categories Based on
510	Infant CHI Values
511	Detailed statistical results comparing Behaviors in Early History Categories based on Infant CHI
512	values

Behavior	Early History Category	Mean Percentage of Time Engaged in Behavior	SD	Early History Differences	F-value	Tukey's HSD Pairwise comparisons	
Social	Groom give	Human	2.40	3.27	H-M	10.132	.930
		Mixed	3.20	3.65	H-C		.002*
		Chimpanze	8.61	5.73	M-C		.012*
	Groom receive	Human	1.5	1.54	H-M	9.219	.227
		Mixed	3.1	3.20	H-C		.000*
		Chimpanze	6.5	3.70	M-C		.003*
	Social Play	Human	.42	.46	H-M	1.114	.188
		Mixed	.98	1.26	H-C		.018
		Chimpanze	1.54	1.48	M-C		.392
	Prosocial	Human	.14	.15	H-M	4.983	.986
		Mixed	.16	.13	H-C		.162
		Chimpanze	.37	.44	M-C		.138
Sexual	Social sex	Human	.00	.00	H-M	3.496	.054
		Mixed	.14	.22	H-C		.020
		Chimpanze	.54	.76	M-C		.084*
	Sex masturbate	Human	.00	.00	H-M	3.946	.014
		Mixed	.11	.00	H-C		.997
		Chimpanze	.00	.00	M-C		.011
	e						

Agonism	Display	Human	.33	.50	H-M	1.945	.937
		Mixed	.23	.23	H-C		.682
		Chimpanzee	.13	.19	M-C		.218
	NC Aggression receive	Human	.00	.00	H-M	.097	1.00
		Mixed	.00	.00	H-C		.969
		Chimpanzee	.00	.00	M-C		.996
	C Aggression receive	Human	.00	.00	H-M	.270	1.00
		Mixed	.00	.00	H-C		.973
		Chimpanzee	.00	.00	M-C		.872
NC Aggression give	Human	.00	.00	H-M	.064	.999	
	Mixed	.00	.00	H-C		.991	
	Chimpanzee	.00	.00	M-C		.985	
C Aggression give	Human	.00	.00	H-M	1.157	1.00	
	Mixed	.00	.00	H-C		.764	
	Chimpanzee	.00	.00	M-C		.350	
Solitary	Self play	Human	.11	.11	H-M	.579	.402
		Mixed	.29	.71	H-C		.968
		Chimpanzee	.14	.40	M-C		.696
	Self groom	Human	17.46	.07	H-M	2.821	.586
		Mixed	13.87	.05	H-C		.329
		Chimpanzee	12.40	.03	M-C		.422