## A late surviving 'southern seal' from high latitudes of the North Atlantic realm: a late Pliocene monachine seal on the southern margin of the North Sea (#24029)

First submission

## Editor guidance

Please submit by 13 Mar 2018 for the benefit of the authors (and your \$200 publishing discount).



### **Structure and Criteria**

Please read the 'Structure and Criteria' page for general guidance.



#### Raw data check

Review the raw data. Download from the materials page.



#### Image check

Check that figures and images have not been inappropriately manipulated.

Privacy reminder: If uploading an annotated PDF, remove identifiable information to remain anonymous.

#### **Files**

Download and review all files from the <u>materials page</u>.

4 Figure file(s)

1 Table file(s)

## Structure your review

The review form is divided into 5 sections. Please consider these when composing your review:

- 1. BASIC REPORTING
- 2. EXPERIMENTAL DESIGN
- 3. VALIDITY OF THE FINDINGS
- 4. General comments
- 5. Confidential notes to the editor
- You can also annotate this PDF and upload it as part of your review

When ready submit online.

## **Editorial Criteria**

Use these criteria points to structure your review. The full detailed editorial criteria is on your guidance page.

#### **BASIC REPORTING**

- Clear, unambiguous, professional English language used throughout.
- Intro & background to show context.
  Literature well referenced & relevant.
- Structure conforms to <u>PeerJ standards</u>, discipline norm, or improved for clarity.
- Figures are relevant, high quality, well labelled & described.
- Raw data supplied (see <u>PeerJ policy</u>).

#### **EXPERIMENTAL DESIGN**

- Original primary research within Scope of the journal.
- Research question well defined, relevant & meaningful. It is stated how the research fills an identified knowledge gap.
- Rigorous investigation performed to a high technical & ethical standard.
- Methods described with sufficient detail & information to replicate.

#### **VALIDITY OF THE FINDINGS**

- Impact and novelty not assessed.
  Negative/inconclusive results accepted.
  Meaningful replication encouraged where rationale & benefit to literature is clearly stated.
- Data is robust, statistically sound, & controlled.
- Conclusions are well stated, linked to original research question & limited to supporting results.
- Speculation is welcome, but should be identified as such.

## Standout reviewing tips



The best reviewers use these techniques

	p

# Support criticisms with evidence from the text or from other sources

## Give specific suggestions on how to improve the manuscript

## Comment on language and grammar issues

## Organize by importance of the issues, and number your points

# Please provide constructive criticism, and avoid personal opinions

Comment on strengths (as well as weaknesses) of the manuscript

## **Example**

Smith et al (J of Methodology, 2005, V3, pp 123) have shown that the analysis you use in Lines 241-250 is not the most appropriate for this situation. Please explain why you used this method.

Your introduction needs more detail. I suggest that you improve the description at lines 57-86 to provide more justification for your study (specifically, you should expand upon the knowledge gap being filled).

The English language should be improved to ensure that an international audience can clearly understand your text. Some examples where the language could be improved include lines 23, 77, 121, 128 - the current phrasing makes comprehension difficult.

- 1. Your most important issue
- 2. The next most important item
- 3. ...
- 4. The least important points

I thank you for providing the raw data, however your supplemental files need more descriptive metadata identifiers to be useful to future readers. Although your results are compelling, the data analysis should be improved in the following ways: AA, BB, CC

I commend the authors for their extensive data set, compiled over many years of detailed fieldwork. In addition, the manuscript is clearly written in professional, unambiguous language. If there is a weakness, it is in the statistical analysis (as I have noted above) which should be improved upon before Acceptance.



## A late surviving 'southern seal' from high latitudes of the North Atlantic realm: a late Pliocene monachine seal on the southern margin of the North Sea

Leonard Dewaele  $^{Corresp., 1, 2}$ , Olivier Lambert  $^1$ , Stephen Louwye  $^2$ 

Corresponding Author: Leonard Dewaele Email address: leonard.dewaele@ugent.be

**Background:** The family of true seals, the Phocidae, is subdivided into two subfamilies: the 'southern seals' or Monachinae, and the 'northern seals' or Phocinae. These common names refer to the subfamilies' current distribution; while the extant Monachinae are largely restricted to the (sub-)antarctic and the eastern Pacific, the fossil record shows that Monachinae were common in the North Atlantic realm during the late Miocene and early Pliocene. Until now, only one late Pliocene record is known from the Mediterranean, *Pliophoca etrusca* from Tuscany, Italy, but none from farther north in the North Atlantic. Methods: We present the description of one partial phocid humerus collected in the early 20<sup>th</sup> century from the Antwerp area (Belgium), with an assessment of its stratigraphic origin using data from the literature. **Results:** The studied humerus was recovered during construction works at the former Lefèvre dock in the Antwerp harbour (currently part of the America dock). Confronting the information associated to the specimen with data from the literature and from local boreholes, the upper Pliocene Lillo Formation is ascertained as the lithological unit from which the specimen originates. Morphologically, among other features the shape of the deltopectoral crest indicates a monachine attribution for this specimen. The development of the deltopectoral crest is closer to the condition in extant Monachinae than in extinct Monachinae. **Discussion:** The presented specimen is definitely a monachine seal and a literature study clearly shows that it came from the upper Pliocene Lillo Formation. This is the first known monachine specimen from the late Pliocene of the North Sea, and more broadly from the northern part of the North Atlantic realm. This humerus differs from the humerus of *Pliophoca etrusca* and suggests a higher diversity of Monachinae in the late Pliocene than previously assumed.

 $<sup>^{</sup>m 1}$  Earth and History of Life, Royal Belgian Institute of Natural Sciences, Brussels, Belgium

<sup>&</sup>lt;sup>2</sup> Department of Geology, Ghent University, Ghent, Belgium



- 1 A late surviving 'southern seal' from high latitudes of the North
- 2 Atlantic realm: a late Pliocene monachine seal on the southern
- 3 margin of the North Sea

5 Dewaele Leonard\*,1,2, Olivier Lambert², and Stephen Louwye¹

6

4

- 7 <sup>1</sup>Department of Geology, Ghent University, Ghent, Belgium, leonard.dewaele@ugent.be,
- 8 stephen.louwye@ugent.be
- 9 <sup>2</sup>O.D. 'Earth and History of Life', Royal Belgian Institute of Natural Sciences, Brussels,
- 10 Belgium, olivier.lambert@naturalsciences.be

11

- 12 Corresponding author
- 13 Leonard Dewaele

- 15 E-mail address
- 16 leonard.dewaele@ugent.be



## Abstract

1	L۵	

19	Background: The family of true seals, the Phocidae, is subdivided into two subfamilies: the
20	'southern seals' or Monachinae, and the 'northern seals' or Phocinae. These common names
21	refer to the subfamilies' current distribution: while the extant Monachinae are largely restricted
22	to the (sub-)antarctic and the eastern Pacific, the fossil record shows that Monachinae were
23	common in the North Atlantic realm during the late Miocene and early Pliocene. Until now, only
24	one late Pliocene record is known from the Mediterranean, Pliophoca etrusca from Tuscany,
25	Italy, but none from farther north in the North Atlantic.
26	<b>Methods:</b> We present the description of one partial phocid humerus collected in the early 20 <sup>th</sup>
27	century from the Antwerp area (Belgium), with an assessment of its stratigraphic origin using
28	data from the literature.
29	Results: The studied humerus was recovered during construction works at the former Lefèvre
30	dock in the Antwerp harbour (currently part of the America dock). Confronting the information
31	associated to the specimen with data from the literature and from local boreholes, the upper
32	Pliocene Lillo Formation is ascertained as the lithological unit from which the specimen
33	originates. Morphologically, among other features the shape of the deltopectoral crest indicates a
34	monachine attribution for this specimen. The development of the deltopectoral crest is closer to
35	the condition in extant Monachinae than in extinct Monachinae.
36	<b>Discussion:</b> The presented specimen is definitely a monachine seal and a literature study clearly
37	shows that it came from the upper Pliocene Lillo Formation. This is the first known monachine
38	specimen from the late Pliocene of the North Sea, and more broadly from the northern part of the



61

39	North Atlantic realm. This humerus differs from the humerus of <i>Pliophoca etrusca</i> and suggests
40	a higher diversity of Monachinae in the late Pliocene than previously assumed.
41	
42	
43	Keywords: Mammalia, Phocidae, Monachinae, Pliocene, North Atlantic, North Sea
44	
45	
46	Introduction
47	
48	True seals (Mammalia, Pinnipedia, Phocidae) are subdivided into two extant subfamilies:
49	Monachinae Gray, 1869 and Phocinae Gray, 1869. Morphologically, both are clearly distinct
50	from each other (e.g., King, 1964; Berta & Wyss, 1994). Additionally, both subfamilies are
51	characterized by different biogeographic ranges for the extant taxa, which are reflected in their
52	common names (King, 1964). Monachinae are sometimes referred to as 'southern seals', while
53	Phocinae are usually called 'northern seals' (King, 1964). Indeed, the geographic range of
54	Phocinae is restricted to the Arctic and Northern temperate zones, including the Caspian Sea
55	(Pusa caspica (Gmelin, 1788)) and Lake Baikal (Pusa sibirica (Gmelin, 1788)), while most
56	Monachinae live more southerly (e.g., King, 1964; Jefferson et al., 2008; Perrin et al., 2017). The
57	Lobodontini Gray, 1869 tribe lives in the Antarctic and sub-Antarctic waters. The elephant seals
58	of the genus Mirounga Gray, 1827 live in sub-Antarctic waters and along the western shores of
59	South America, but also in the Northeast Pacific, from California to Alaska. The monk seals

(genus Monachus Fleming, 1822) have a sub-tropical to tropical distribution, restricted to the

Mediterranean (Monachus monachus Hermann, 1779), the Caribbean Sea (Monachus tropicalis



83

84

63	schauinslandi Matschie 1905) (e.g., King, 1964; Jefferson et al., 2008; Perrin et al., 2017).
64	However, the current distribution of extant Monachinae does not reflect the past
65	distribution of Monachinae and Phocinae. Indeed, during the Neogene, multiple monachine taxa
66	lived in the North Atlantic realm, with fossils of Auroraphoca atlantica Dewaele, Peredo,
67	Meyvisch & Louwye, in press, Callophoca obscura Van Beneden, 1876, and Virginiaphoca
68	magurai Dewaele, Peredo, Meyvisch & Louwye, in press, from late Miocene deposits from
69	Belgium and late Miocene and early Pliocene deposits from the east coast of North America
70	(Van Beneden, 1876, 1877; Ray, 1976; Koretsky & Ray, 2008; Dewaele et al., in press).
71	Historically, the youngest published fossil monachine taxon of the Northern Hemisphere is the
72	holotype of <i>Pliophoca etrusca</i> Tavani, 1941 from the Piacenzian (late Pliocene) of Tuscany,
73	Italy (Tavani, 1941; Berta et al., 2015).
74	
75	Materials and Method
76	
77	Biological sample
78	This study focuses on specimen IRSNB M2308. In addition, fossil comparison material includes
79	all known late Miocene-early Pliocene Monachinae from the North Atlantic realm: Auroraphoca
80	atlantica, Callophoca obscura, Homiphoca (capensis), Pliophoca etrusca, and Virginiaphoca
81	magurai, as well as other Neogene Monachinae from the Southern Hemisphere: Acrophoca
82	longirostris Muizon, 1981, Australophoca changorum Valenzuela-Toro, Pyenson, Gutstein &

Suárez, 2016, Piscophoca pacifica Muizon 1981, and Properitpychus argentinus (Ameghino,

1893). Comparisons are based on personal observations (Ac. longirostris, Aur. atlantica, C.

Gray, 1850 recently extinct) and the Hawaiian Islands, in the central Pacific Ocean (Monachus



obscura, Pi. pacifica, and V. magurai) and bibliographic data (Ac. longirostris, Aus. changorum,
Homiphoca spp., Pi. pacifica, Pl. etrusca, and Pr. argentinus; Muizon & Hendey, 1980; Muizon
1981; Muizon & Bond, 1982; Berta et al., 2015; Valenzuela-Toro et al., 2016).
Institutional Abbreviations
IRSNB, Institut royal des Sciences naturelles de Belgique, Brussels, Belgium; MSNUP, Museo
di Storia naturale, Università di Pisa, Pisa, Italy; <b>USNM</b> , National Museum of Natural History,
Smithsonian Institution, Washington, D.C., U.S.A.
Historical and geological context of humerus IRSNB M2308
Humerus IRSNB M2308 was discovered in 1904 by the private collector Georges Hasse.
Humerus IRSNB M2308 was discovered in 1904 by the private collector Georges Hasse.  The collection of the latter entered into the RBINS collection in the 1910s. The data provided by
The collection of the latter entered into the RBINS collection in the 1910s. The data provided by
The collection of the latter entered into the RBINS collection in the 1910s. The data provided by the labels adjoining specimen IRSNB M2308 state only 'Anvers' (Antwerp) and 'bassin-canal'
The collection of the latter entered into the RBINS collection in the 1910s. The data provided by the labels adjoining specimen IRSNB M2308 state only 'Anvers' (Antwerp) and 'bassin-canal' as the locality of the specimen (Fig. 1). The specimen was originally considered to represent a
The collection of the latter entered into the RBINS collection in the 1910s. The data provided by the labels adjoining specimen IRSNB M2308 state only 'Anvers' (Antwerp) and 'bassin-canal' as the locality of the specimen (Fig. 1). The specimen was originally considered to represent a humerus of <i>Prophoca</i> Van Beneden, 187
The collection of the latter entered into the RBINS collection in the 1910s. The data provided by the labels adjoining specimen IRSNB M2308 state only 'Anvers' (Antwerp) and 'bassin-canal' as the locality of the specimen (Fig. 1). The specimen was originally considered to represent a humerus of <i>Prophoca</i> Van Beneden, 187  Originally, the specimen has been stratigraphically assigned to the 'Poederlian'
The collection of the latter entered into the RBINS collection in the 1910s. The data provided by the labels adjoining specimen IRSNB M2308 state only 'Anvers' (Antwerp) and 'bassin-canal' as the locality of the specimen (Fig. 1). The specimen was originally considered to represent a humerus of <i>Prophoca</i> Van Beneden, 187  Originally, the specimen has been stratigraphically assigned to the 'Poederlian' (Poederlien, Fig. 1A). However, the 'Poederlian' is currently a disused regional Neogene stage
The collection of the latter entered into the RBINS collection in the 1910s. The data provided by the labels adjoining specimen IRSNB M2308 state only 'Anvers' (Antwerp) and 'bassin-canal' as the locality of the specimen (Fig. 1). The specimen was originally considered to represent a humerus of <i>Prophoca</i> Van Beneden, 187  Originally, the specimen has been stratigraphically assigned to the 'Poederlian' (Poederlien, Fig. 1A). However, the 'Poederlian' is currently a disused regional Neogene stage (Laga & Louwye, 2006). Laga & Louwye (2006) argue that the stage has never been defined



108 'Poederlian' deposits in the Antwerp harbour area were correlated to the deposits at Poederlee by Vincent (1889). Later authors disagreed with Vincent (1889), and considered the 'Poederlian' in 109 the Antwerp harbour area to represent the upper substage of the Scaldisian (Leriche, 1922). 110 Fortunately, Hasse (1909) described 'Poederlian' walruses from the Antwerp harbour 111 area, providing geographic maps, photographs of stratigraphic sections, and a detailed 112 113 description of the lithology. Hasse (1909) states that these walrus specimens were discovered 114 during construction works for new docks ('bassin' in French) in 1902-5, alongside other fossil mammal remains including phocid remains (presented as 'Phoca'). The time interval, location 115 116 data, and stratigraphic data from Hasse (1909) match perfectly the labels of IRSNB M2308 (Fig. 1), and it can be safely assumed that specimen IRSNB M2308 had been found at the same 117 locality, and in the same levels ('Poederlian'), as the walruses that he described (now attributed 118 119 to Ontocetus emmonsi, see Kohno & Ray, 2008). Hasse (1909) pinpointed the geographic setting to the Lefèvre dock (Bassin Lefèvre). Currently, the Lefèvre dock is merged into the America 120 121 dock, forming its southeastern portion. Additionally, Hasse (1909) presented malacological data for the Lefèvre dock fossil-bearing level; one of the most common taxa is the gastropod Fusus 122 contrarius (Linnaeus, 1771). More recent research renamed the fossil 'F. contrarius' to 123 124 Neptunea angulata (Wood, 1848) to make the distinction with extant F. contrarius. In Neogene deposits of the Antwerp area, N. angulate is considered a characteristic taxon for the Oorderen 125 126 Sands and the overlying Kruisschans Sands members of the Pliocene Lillo Formation (Fig. 2A) 127 (Nyst, 1843; Marquet, 1993, 1997, 1998). The Oorderen Sands Member overlies the Luchtbal Sands Member, the lowest member of the Lillo Formation, conformably. Another mollusc from 128 129 the locality and level listed by Hasse (1909) is the bivalve Cardium parkinsoni, which Tavernier 130 & Heinzelin (1962) restricted to the Kruisschans Sands and Merksem Sands members (Fig. 2A).



<mark>146</mark>

<del>147</del>

Borehole logs (GEO-04/169-BRO-B1 and kb15d28w-B211; Dienst Ondergrond
Vlaanderen, www.dov.vlaanderen.be) within close proximity of the locality analysed by Hasse
have shown that the lower – upper Pliocene Lillo Formation is underlain by the lower Pliocene
Kattendijk Formation in the area. However, the boundary between the Lillo and Kattendijk
formations is consistently located at ten meters or more below the core top, while Hasse (1909)
clearly stated that the walrus fossils (and associated phocid material) he found came from less
than three meters below the top of the section (Fig. 2B). Consequently, all arguments confirm the
Lillo Formation as the origin of both the walruses described by Hasse (1909) (for more details
see Kohno & Ray, 2008) and the phocid humerus IRSNB M2308.
Dinoflagellate cyst biostratigraphy by De Schepper, Head & Louwye (2009) dated the
Oorderen Sands Member no younger than 2.72-2.74 Ma, but not older than the maximum
possible age of 3.71 Ma for the Lillo Formation, and the upper boundary of the Kruisschans
Sands Member to be no younger than 2.58 Ma. These two members are thus included in an
interval ranging from the latest Zanclean (late early Pliocene) to the Piacenzian (late Pliocene).
Considering the presence of the Luchtbal Sands Member under the Oorderen Sands, a late
Pliocene age is proposed for IRSNB M2308, originating either from the latter member or from the Kruisschans Sands Member.
Systematic paleontology
Pinnipedia Illiger, 1811
Phocidae Gray, 1825

154	Monachinae Gray, 1869
155	Indeterminate Monachinae
156	Referred Specimen—IRSNB M2308, right humerus, Oorderen Sands or Kruisschans Sands
157	members, Lillo Formation, America dock, Antwerp, Belgium.
158	Locality—Historically 'Anvers (bassin-canal)', but currently reconsidered as the southeastern
159	area of the America dock in the Antwerp Harbour area, north to northwest of the city of
160	Antwerp, Antwerp province, Belgium, following data from Hasse (1909) (see discussion above).
161	Stratigraphy and Age—Historically 'Poederlien', but currently reconsidered to belong to either
162	the Oorderen Sands or the Kruisschans Sands members of the Lillo Formation, following data
163	from Hasse (1909) and De Schepper, Head & Louwye (2009), between 2.58 Ma and 3.71 Ma
164	(see discussion above). This entails a Piacenzian age (late Pliocene), although a latest Zanclean
165	(latest early Pliocene) age cannot be completely ruled out.
166	Description and Comparison—Specimen IRSNB M2308 was found isolated, and no other
167	phocid remains are currently known from the late Pliocene Lillo Formation of Antwerp,
168	Belgium. IRSNB M2308 is a partial right humerus, lacking the distal epiphysis. The distal
169	portion of the diaphysis is fractured, with the internal bone structure clearly visible.
170	Consequently, it is clear that the distal part is not missing due to skeletal immaturity and non-
171	fusion of the distal epiphysis.
172	The preserved portion of humerus IRSNB M2308 is 123.2 mm long, allowing to assume
173	that the length of the complete humerus should have been 140-150 mm, and that the individual
174	must have been comparable in size to the extant Weddell seal <i>Leptonychotes weddellii</i> (2.7 – 3.3
175	m total length; from King, 1964). However, this is still considerably smaller than the humerus of
176	Callophoca obscura from the early Pliocene Kattendijk Formation, underlying the Lillo



Formation in the Antwerp harbour area, and the Yorktown Formation in the Lee Creek Mine,
Aurora, North Carolina (Fig. 3A-D, versus Fig. 3E). The illustrated humerus of Callophoca
obscura is approximately 200 mm long (Fig. 4F). The length of humerus IRSNB M2308 is
comparable to that of <i>Homiphoca</i> spp. and <i>Pliophoca etrusca</i> (see Muizon & Hendey, 1980;
Berta et al., 2015). The humeral head is prominent and hemispherical in IRSNB M2308, with a
clear, sharp distinction between the head and the neck, similar to the extant Leptonychotes
weddellii (Lesson, 1826), and the extinct Piscophoca pacifica and Pliophoca etrusca, but slightly
better developed than in other Monachinae. Contrastingly, in the contemporaneous <i>Pliophoca</i>
etrusca, the head strongly overhangs the diaphysis posteriorly, while this is not the case in
IRSNB M2308. The humeral head is slightly compressed anteroproximally (height-to-width ratio
is 42.1 mm : 44.6 mm; Table 1). The head is directed anteriorly to the same extent as in the
extant Monachus monachus and Leptonychotes weddellii, and the extinct Acrophoca longirostris
and Piscophoca pacifica. The posterodistal margin of the humeral head is sub-triangular in
IRSNB M2308. We observed a similar condition in <i>Monachus monachus</i> , while it tends to be
more smoothly-rounded in Lobodontini.
Both the lesser and the greater tubercle do not reach the level of the humeral head,
proximally. These conditions vary between extant and extinct Monachinae (Muizon, 1981;
Dewaele et al., in press). Apart from Monachus monachus, all extant Monachinae have a lesser
tubercle that is well-developed, exceeding the proximal level of the humeral head; while in
extinct Monachinae, the lesser tubercle usually does not exceed the proximal level of the
humeral head, except in Callophoca obscura, Homiphoca spp. (Hendey & Repenning, 1972),
Pliophoca etrusca, Properiptychus argentinus and Virginiaphoca magurai (Muizon & Hendey,
1980; Muizon, 1981; Muizon & Bond, 1982; Berta et al., 2015; Dewaele et al., in press). The



201

202

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221

222

greater tubercle is generally little-developed in extant Monachinae, not exceeding the proximal level of the humeral head (Muizon, 1981). However, in extinct Monachinae, this condition varies, with the greater tubercle exceeding the proximal level of the humeral head in most taxa (Acrophoca longirostris, Auroraphoca atlantica, C. obscura, Homiphoca spp. Muizon & Hendey, 1980, and *Piscophoca pacifica*), but not in others (IRSNB M2308, *Pl. etrusca*, and *Pr.* argentinus (Ameghino, 1893)) (Muizon, 1981; Muizon & Bond, 1982; Berta et al., 2015; Dewaele et al., in press). The proximal portion of the deltopectoral crest of IRSNB M2308 is strongly curved medially, yielding a deep and relatively narrow bicipital groove, i.e., that is as deep as it is wide. This condition differs from other Monachinae, having bicipital grooves that are usually wider than deep. This groove is moderately deep in *Hydrurga leptonyx* (Blainville, 1820) and Leptonychotes weddellii (see Muizon, 1981). The bicipital groove of IRSNB M2308 is smooth, as in H. leptonyx, and L. weddellii, while other extant and extinct Monachinae have a transverse bar at the proximal portion of the bicipital groove (see Muizon, 1981; Dewaele et al., in press). Overall, the deltopectoral crest of IRSNB M2308 is typically monachine in lateral view, in that the deltopectoral crest curves smoothly into the diaphysis, distally (e.g., King, 1964; Muizon, 1981; Berta & Wyss, 1994) (Fig. 3A-G, versus Fig. 3H). The maximum breadth of the deltopectoral crest is located at approximately 1/3 of the total length of the bone in IRSNB M2308. The shape of this deltopectoral crest in lateral view varies at the genus level among Monachinae. An overall trend appears to be that the anterior projection of this crest is more pronounced in extant and some Pliocene Monachinae compared to other Pliocene and Miocene Monachinae in which this projection is less pronounced and located slightly more distal (see Valenzuela-Toro et al., 2016: fig. 4). Consequently, a better developed deltopectoral crest yields



224

225

226

227

228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

244

245

a stronger curvature at the distal tapering of the crest. Indeed, Miocene Monachinae, such as Acrophoca longirostris, Australophoca changorum Valenzuela-Toro, Pyenson, Gutstein & Suárez, 2015, Piscophoca pacifica, and Properiptychus argentinus have a deltopectoral crest that does not expand as strongly anteriorly as in extant Monachinae, and the extinct Callophoca obscura, and Homiphoca spp. (see Berta & Wyss, 1994). An exception is *Pliophoca etrusca*, from the late Pliocene, which does not seem to have a strongly-expanded deltopectoral crest (Fig. 3E). The humerus IRSNM M2308 has a strongly anteriorly-expanded deltopectoral crest, as in most Pliocene and extant Monachinae. However, the distal portion of the deltopectoral crest tapers more gradually to the distal epiphysis than in extant Monachinae (except Monachus spp. and Ommatophoca rossii Gray, 1844). In anterior view, the proximal portion of the deltopectoral crest of IRSNB M2308 is weakly bifurcate. Among other Monachinae, this condition varies between a relatively smoothlycurving margin in Leptonychotes weddellii and a strongly-pronounced bifurcation in Ommatophoca rossii. However, other known extant and extinct Monachinae show intermediate conditions, comparable to the condition in IRSNB M2308. The deltoid crest on the deltopectoral crest is smooth and elongate, approximately twice as long as it is wide (approximately 6 cm long and 3 cm wide). The proximal and distal edges of this deltoid crest are rounded. This condition varies among Monachinae, but the deltoid crest of IRSNB M2308 appears to resemble that of *Piscophoca pacifica* the best (compare Muizon, 1981). Another characteristic of the deltopectoral crest of IRSNB M2308 is the strong development of a mammillary tubercle proximal on the anteroproximal margin of the deltopectoral crest. This tubercle is strongly turned medially and can be assumed to be the insertion area of the atlantoscapularis muscle (see Howell, 1929; Muizon, 1981). A similar



condition has only been observed in *Monachus monachus* and *Ommatophoca rossi*, and it is developed to a lesser extent in *Lobodon carcinophaga* (Gray, 1844).

In posterior view, the diaphysis of IRSNB M2308 is roughly comparable in shape to most other Monachinae, but noticeably transversely more slender than in *Callophoca obscura*. On the posterior surface of the diaphysis, just distal to the humeral head and lesser tubercle, there is a moderately well-developed fossa for the origin of the triceps brachii muscles. Among Monachine, Muizon (1981) only observed a similar condition in *Piscophoca pacifica*. Muizon (1981) also observed this condition in *Monotherium aberratum*, but recent research suggests that *M. aberratum* is not a monachine but a phocine seal (Dewaele et al., in prep.). The distal epiphysis is largely missing. Only the most proximal portion of the supinator crest is preserved, indicating that this crest was poorly developed. This supinator crest is consistently poorly developed among Monachinae (Muizon, 1981; Berta & Wyss, 1994). Only the extinct *Homiphoca* spp. appears to have a moderately well-developed crest, but not to the same extent as in Phocinae (L.D., pers. obs.).

## **Discussion**

The shape of the deltopectoral crest in the humerus IRSNB M2308 allows to identify the specimen as a monachine seal: it is rounded in lateral view and gradually tapers to the distal epiphysis. However, it is radically different from the other Pliocene monachines from the North Atlantic and Mediterranean, *Auroraphoca atlantica*, *Callophoca obscura*, *Homiphoca* spp., and *Pliophoca etrusca*: the maximum breadth of the deltopectoral crest is located relatively



proximally. A atlantica, from the early Pliocene Yorktown Formation at Lee Creek Mine,
Aurora, North Carolina, differs strongly from IRSNB M2308 in the particular shape of the
deltopectoral crest, extending much more distal, and the strong development of the lesser
tubercle. The early Pliocene C. obscura, representing the stratigraphically second youngest
monachine from the Antwerp area, next to IRSNB M2308, is noticeably larger and has a more
robust humeral diaphysis, and <i>Homiphoca</i> spp. has a less pronounced deltopectoral crest.
Representing the only contemporaneous monachine taxon to IRSNB M2308 from the Northern
Hemisphere, P. etrusca differs notably in having a humeral head that strongly overlaps the
diaphysis posteriorly, as well as a less developed deltopectoral crest. Consequently, humerus
IRSNB M2308 most likely represents a new monachine species, the first known 'southern seal'
from the late Pliocene (or latest early Pliocene) of the North Sea (3.71 to 2.58 Ma), and thus the
latest occurrence of Monachinae from higher latitudes of the North Atlantic (Fig. 4). Although
humeri have historically often been used as type specimens of phocids (e.g., Koretsky, 2001;
Koretsky and Ray, 2008), we are reluctant to diagnose a new taxon, despite the presence of
multiple characteristics that distinguishes IRSNB M2308 from other monachine humeri. A
proper diagnosis awaits more complete skeletal remains to be discovered. In the North Atlantic
realm, monachine seals went extinct before the Pleistocene, with the exception of the extant
Monachus monachus in the Mediterranean Sea and along the western shore of North Africa
(Deméré, Berta & Adams, 2003), and today the higher latitudes of the North Atlantic Ocean are
exclusively occupied by phocine seals (e.g., King, 1964). Although the exact triggers of the
extinction of Monachinae in higher northern latitudes are unknown, it can be assumed that
Pliocene North Atlantic lineages of Monachinae could not adapt to decreasing seawater
temperatures related to the global, Pliocene to Pleistocene decline in temperatures (see Zachos,



293

294

295

296

297

298

299

300

301

302

303

304

305

306

307

308

309

310

311

Dickens & Zeebe, 2008). Similarly, late Pliocene – Pleistocene climatic changes impacting the distribution and diversity of other groups of marine mammals, both regionally and globally, have been accounted for in the literature (Boessenecker, 2013; Churchill, Kohno & Clementz, 2014; Poust & Boessenecker, 2017; Slater, Goldbogen & Pyenson, 2017; Tsai et al., 2017), including the pinniped faunal turnover in the southeastern Pacific (Valenzuela-Toro et al., 2013). Different hypotheses regarding the causes of diversity changes across the Plio-Pleistocene boundary have been invoked. Deméré, Berta & Adams (2003) argued that North Atlantic lineages of Pliocene Monachinae did not evolve the pagophilic evolution observed in phocines and lobodontins in response to Pleistocene glacioeustatic events. In the context of that hypothesis, ongoing climatic change will most likely profoundly affect the survival and distribution of North Atlantic and Arctic phocids; relying on ice for pupping and nursing, pagophilic species are greatly threatened, whereas more temperate species may potentially broaden their range in higher latitudes (e.g., Johnston et al., 2012; Stenson & Hammill, 2014). Although a study of the paleobiogeographic evolution of Monachinae in response to climatic change is beyond the scope of this paper, Churchill, Kohno & Clementz (2014) showed that global temperature changes during the late Neogene and Quaternary were important drivers for changes in otariid biogeography. For cetaceans Marx & Uhen (2010) and Bisconti (2003) argued the presence of a link between higher primary productivity during the Pliocene than during the Quaternary, and reduced interspecific competition pressure. Consequently, more ecological niches were available during the Pliocene than thereafter. This reasoning may or may not be extrapolated to the evolution of Monachinae from the North Atlantic.

313

314

312

#### **Conclusions**



Specimen IRSNB M2308 was discovered by Georges Hasse during construction works at the Lefèvre dock in Antwerp, Belgium, in the early 1900s. A reassessment of the geographic and stratigraphic settings and the local molluscan assemblage indicates that specimen IRSNB M2308 originates from the upper Pliocene Lillo Formation. This is the first late Pliocene phocid described from the higher latitutes of the North Atlantic realm (north to the Mediterranean). The shape of the deltopectoral crest allows an attribution of the monachine subfamily, and the overall morphology indicates that the specimen does not represent either previously described early Pliocene monachines from the North Atlantic (*Auroraphoca atlantica*, *Callophoca obscura*, or *Homiphoca* spp.) or contemporaneous *Pliophoca etrusca* from the late Pliocene of the Mediterranean. This further increases the diversity of Monachinae during the Pliocene (and more specifically the late Pliocene), prior to the final extinction of the clade in higher latitudes of the North Atlantic.

## **Acknowledgments**

This publication is in partial fulfilment of the PhD research project of Leonard Dewaele, under the supervision of Olivier Lambert and Stephen Louwye and funded through a PhD research grant from the Research Foundation – Flanders (FWO). The authors wish to thank Sébastien Bruaux, Cécilia Cousin, Alexandre Drèze, and Annelise Folie from the IRSNB (Brussels, Belgium), Christian de Muizon from the Muséum national d'Histoire naturelle (Paris, France), and David J. Bohaska and Nicholas D. Pyenson from the Natural Museum of Natural History (Washington, D.C., U.S.A.) for providing access to the collections of the respective





338	institutions. We thank Robert W. Boessenecker for helpful comments on an earlier draft of this
339	manuscript.
340	
341	
342	References Cited
343	
344	Berta A, Kienle S, Bianucci G, Sorbi S. 2015. A reevaluation of <i>Pliophoca etrusca</i> (Pinnipedia,
345	Phocidae) from the Pliocene of Italy: phylogenetic and biogeographic implications.
346	Journal of Vertebrate Paleontology 35:e88944.
347	Berta A, Wyss AR. 1994. Pinniped phylogeny. Proceedings of the San Diego Society of Natural
348	History 29:33–56.
349	Bisconti M. 2003. Evolutionary history of Balaenidae. Cranium 20:9-50.
350	Boessenecker RW. 2013. A new marine vertebrate assemblage from the late Neogene Purisima
351	Formation in central California, part II: pinnipeds and cetaceans. <i>Geodiversity</i> 35:815-
352	940.
353	Boessenecker RW, Churchill M. 2016. The origin of elephant seals: implications of a
354	fragmentary late Pliocene seal (Phocidae: Miroungini) from New Zealand. New Zealand
355	Journal of Geology and Geophysics 59(4):544–550.
356	Churchill M, Boessenecker RW, Clementz MT. 2014. Colonization of the Southern Hemisphere
357	by fur seals and sea lions (Carnivora: Otariidae) revealed by combined evidence
358	phylogenetic and Bayesian biogeographical analysis. Zoological Journal of the Linnean
359	Society 172:200–225. DOI: 10.1111/zoj.12163



360	Deméré TA, Berta A, Adams PJ. 2003. Pinnipedimorph evolutionary biogeography. Bulletin of
361	the American Museum of Natural History 279:32–76.
362	De Schepper S, Head MJ, Louwye S. 2009. Pliocene dinoflagellate cyst stratigraphy,
363	palaeoecology and sequence stratigraphy of the Tunnel-Canal Dock, Belgium.
364	Geological Magazine 146:92–112.
365	Dewaele L, Peredo CM, Meyvisch P, Louwye S. In press. Diversity of late Neogene Monachinae
366	(Carnivora, Phocidae) from the North Atlantic, with the description of two new species.
367	Royal Society Open Science (accepted)
368	Hasse G. 1909. Les morses du Pliocène Poederlien à Anvers. Bulletin de la Société Belge de
369	Géologie, de Paléontologie et d'Hydrologie, Bruxelles, Mémoire 23:293–322.
370	Howell AB. 1929. Contribution to the comparative anatomy of the eared and earless seals
371	(genera Zalophus and Phoca). Proceedings of the United States National Museum 73:1-
372	142.
373	Jefferson TA, Webber MA, Pitman RL. 2008. Marine Mammals of the World, A Comprehensive
374	Guide to their Identification. Academic Press/Elsevier, Amsterdam, 573pp.
375	Johnston DW, Bowers MT, Friedlaender AS, Lavigne DM. 2012. The effects of climate change
376	on harp seals (Pagophilus groenlandicus). PLoS ONE 7:e29158.
377	King JE. 1964. Seals of the world. British Museum, London, 154pp.
378	Kohno N, Ray CE. 2008. Pliocene walruses from the Yorktown Formation of Virginia and North
379	Carolina, and a systematic revision of the North Atlantic Pliocene walruses. Virginia
380	Museum of Natural History Special Publication 14:39-80.



381	Koretsky IA. 2001. Morphology and systematics of the Miocene Phocinae (Mammalia:	
382	Carnivora) from Paratethys and the North Atlantic Region. Geologica Hungarica Series	
383	Palaeontologica 54:1–109.	
384	Koretsky IA, Ray CE. 2008. Phocidae of the Pliocene of Eastern USA; pp. 81–140 In C. E. Ray	
385	D. Bohaska, I. A. Koretsky, L. W. Ward, and L. G. Barnes (eds.), Geology and	
386	Paleontology of the Lee Creek Mine, North Carolina, IV. Virginia Museum of Natural	
387	History, Special Publication 15.	
388	Laga P, Louwye S. 2006. Disused Neogene and Quaternary regional stages from Belgium:	
389	Bolderian, Houthalenian, Antwerpian, Diestian, Deurnian, Kasterlian, Kattendijkian,	
390	Scaldisian, Poederlian, Merksemian and Flandrian. Geologica Belgica 9:215–224.	
391	Leriche M. 1922. Les terrains tertiaire de la Belgique. In Congrès Géologique International.	
392	Livret Guide pour la 13e session, Excursion A4:1739–1741.	
393	Marquet R. 1993. The molluscan fauna of the Kruisschans Member (Lillo formation, Late	
394	Pliocene) in the Antwerp area (Belgium). Contributions to Tertiary and Quaternary	
395	Geology 30:83–103	
396	Marquet R. 1997. Pliocene gastropod faunas from Kallo (Oost-Vlaanderen, Belgium) – Part 3	
397	Caenogastropoda: Aporrhaidae to Muricidae, and Part 4. Buccinidae to Helicidae.	
398	Contributions to Tertiary and Quaternary Geology 34:69–149.	
399	Marquet R. 1998. De Pliocene gastropodenfauna van Kallo (Oost-Vlaanderen, België).	
400	Belgische Vereniging voor Paleontologie, Antwerp, 246 pp.	
401	Marx FG, Uhen MD. 2010. Climate, Critters, and Cetaceans: Cenozoic Drivers of the Evolution	
402	of Modern Whales. Science 327(5968):993–996. DOI: 10.1126/science.1185581.	



403	Muizon C de. 1981. Les vertebles lossifs de la Formation Pisco (Perou) Première partie, deux
404	nouveaux Monachinae (Phocidae: Mammalia) du Pliocène de Sud Sacaco. Institut
405	Français d'Etudes Andines, Memoire 6:20–161.
406	Muizon C de, Bond M. 1982. Le Phocidae (Mammalia) miocène de la formation Paraná (Entre
407	Ríos, Argentine). Bulletin du Muséum national d'Histoire naturelle, Paris 4 section
408	C:165–207.
409	Muizon C de, Hendey QB. 1980. Late Tertiary seals of the South Atlantic Ocean. Annals of the
410	South African Museum 82:91–128.
411	Nyst PH. 1843. Description des coquilles et des polypiers fossils des terrains tertiaires de la
412	Belgique. Académie royale de Bruxelles, Mémoires Couronnés 17:1-676.
413	Poust AW, Boessenecker RW. 2017. Mandibles of the sea lion Proterozetes Ulysses from the
414	middle Pleistocene Port Orford Formation of Oregon. Journal of Vertebrate Paleontology
415	37(3):e1317637. DOI: 10.1080/02724634.2017.1317637
416	Ray CE. 1976. Geography of phocid evolution. Systematic Zoology 25:391–406.
417	Slater GJ, Goldbogen JA, Pyenson ND. 2017. Independent evolution of baleen whale gigantism
418	linked to Plio-Pleistocene ocean dynamics. Proceedings of the Royal Society—B
419	284(1855): 20170546.
420	Stenson G, Hammill M. 2014. Can ice breeding seals adapt to habitat loss in a time of climate
421	change? ICES Journal of Marine Science 71:1977–1986.
422	Storå J. 2000. Skeletal development in the Grey seal <i>Halichoerus grypus</i> , the Ringed seal <i>Phoca</i>
423	hispida botnica, the Harbour seal Phoca vitulina vitulina and the Harp seal Phoca
424	groenlandica. Epiphyseal fusion and life History. Archaeozoologia 11:199-222.



425	Tavani G. 1941. Revisione dei festi dei Pinnipede conservato nei Museo di Geologia di Pisa.
426	Palaeontographia Italica 40:97–113.
427	Tavernier R, Heinzelin J de. 1962. Introduction au Néogène de la Belgique. Mémoires de la
428	Société belge de Géologie, Paléontologie et Hydrologie 6:7–28.
429	Timm RM, Salazar RM, Peterson T. 1997. Historical distribution of the extinct tropical seal
430	Monachus tropicalis (Carnivora: Phocidae). Conservation Biology 11:549-551.
431	Tsai C-H, Collareta A, Fitzgerald EMG, Marx FG, Kohno N, Bosselaers M, Insacco G, Reitano
432	A, Catanzariti R, Oishi M, Bianucci G. 2017. Northern pygmy right whales highlight
433	Quaternary marine mammal interchange. Current Biology 27:1058–1059.
434	Valenzuela-Toro AM, Gutstein CS, Varas-Malca RM, Suárez ME, Pyenson ND. 2013. Pinniped
435	turnover in the South Pacific Ocean: new evidence from the Plio-Pleistocene of the
436	Atacama desert, Chile. Journal of Vertebrate Paleontology 33:216–233.
437	Valenzuela-Toro AM, Pyenson ND, Gutstein CS, Suárez ME. 2016. A new dwarf seal from the
438	late Neogene of South America and the evolution of pinnipeds in the southern
439	hemisphere. Papers in Palaeontology 2:101–115.
440	Van Beneden PJ. 1876. Les phoques fossiles du basin d'Anvers. Bulletin de l'Academie Royale
441	des Sciences, des Lettres et des Beaux-Arts de Belgique, Serie 2 41:783–802.
442	Van Beneden P-J. 1877. Description des ossements fossiles des environs d'Anvers, première
443	partie. Pinnipèdes ou amphitheriens. Annales du Musee Royal d'Histoire Naturelle de
444	Belgique 1:1–88.
445	Vincent G. 1889. Documents relatifs aux sables pliocénes à <i>Chrysodomus contraria</i> d'Anvers.
446	Annales de la Société malacologique de Belgique 24: 25–31.





447	Zachos JC, Dickens GR, Zeebe RE. 2008. An early Cenozoic perspective on greenhouse
448	warming and carbon-cycle dynamics. <i>Nature</i> 451:279–283.
449	
450	
451	
452	FIGURE 1. Labels found associated to the humerus IRSNB M2308, Monachinae indet. A,
453	original label, stating Antwerp ('Anvers') as the origin of the specimen and 1904 as the year of
454	discovery. A provisional, unpublished and unsupported identification returned <i>Prophoca</i> Van
455	Beneden, 1876; <b>B</b> , more recent label, stating the more precise locality as one of the docks in the
456	Antwerp harbour area ('bassin-canal').
457	
458	FIGURE 2. Pliocene stratigraphy of the Antwerp harbour region. A, stratigraphic column
459	showing the succession of the different members of the uppermost lower and upper Pliocene
460	Lillo Formation in the Antwerp harbour area. <b>B</b> , simplified lithology of the section from Lefèvre
461	Dock where Hasse (1909) discovered specimen IRSNB M2308. Litholog drawn after
462	descriptions by Hasse (1909).
463	
464	
465	FIGURE 3. Humerus IRSNB M2308 and comparison material. <b>A-D</b> , right humerus IRSNB
466	M2308, Monachinae indet. (Antwerp, Belgium; late Pliocene), in A, medial view; B, anterior
467	view; C, lateral view; D, posterior view. E, left humerus of <i>Pliophoca etrusca</i> (MSNUP I-13993,
468	holotype) (Casa Nuova, Tuscany, Italy; Piacenzian) in medial view; F, left humerus of
469	Callophoca obscura (USNM 186944) (Aurora, North Carolina, U.S.A.; Zanclean) in medial





470	view; G, schematic drawing of left humerus of the extant monachine Monachus monachus; H,
471	schematic drawing of left humerus of the extant phocine <i>Phoca vitulina</i> . Scale bar equals 5 cm.
472	
473	FIGURE 4. Geographic distribution of late Miocene to recent Monachinae in the North Atlantic
474	realm (including Mediterranean Sea). Localities of fossil Monachinae are indicated by a black
475	dot. Auroraphoca atlantica and Callophoca obscura are known from the late Miocene and early
476	Pliocene of Antwerp, Belgium (C. obscura), and the early Pliocene of Lee Creek Mine, North
477	Carolina, USA (A. atlantica and C. obscura) (Koretsky & Ray, 2008; Dewaele et al., in press);
478	specimen IRSNB M2308, Monachinae indet., from the late Pliocene of Antwerp, Belgium (this
479	study); <i>Pliophoca etrusca</i> from the late Pliocene of Tuscany, Italy (Berta et al., 2015).
480	Geographic ranges of the extant Monachus monachus and the recently extinct Monachus
481	tropicalis are indicated in dark gray, following data presented by Jefferson, Webber & Pitman
482	(2008) for M. monachus and Timm, Salazar & Peterson (1997) for M. tropicalis.
483	

Labels found associated to the humerus IRSNB M2308, Monachinae indet.

**A**, original label, stating Antwerp ('Anvers') as the origin of the specimen and 1904 as the year of discovery. A provisional, unpublished and unsupported identification returned *Prophoca* Van Beneden, 1876; **B**, more recent label, stating the more precise locality as one of the docks in the Antwerp harbour area ('bassin-canal').



64. Mam. Tert. I.

Et.: Poederlien.

Loc.: Anvers (bassin-canal).

Don G. Hasse.

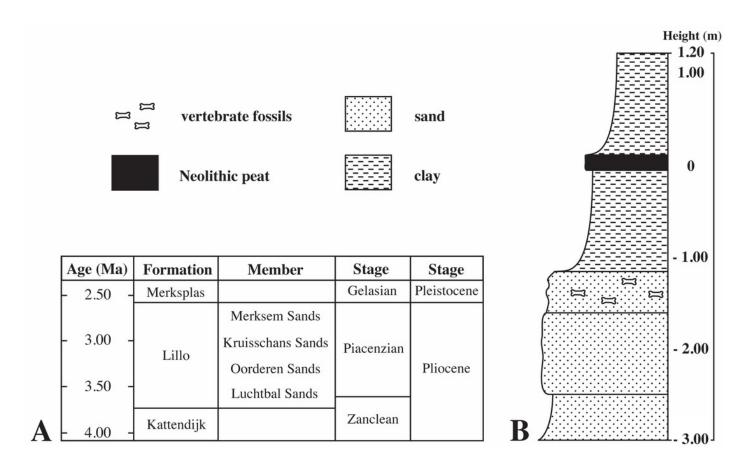
I. G.: 20.664.

Nº



Pliocene stratigraphy of the Antwerp harbour region.

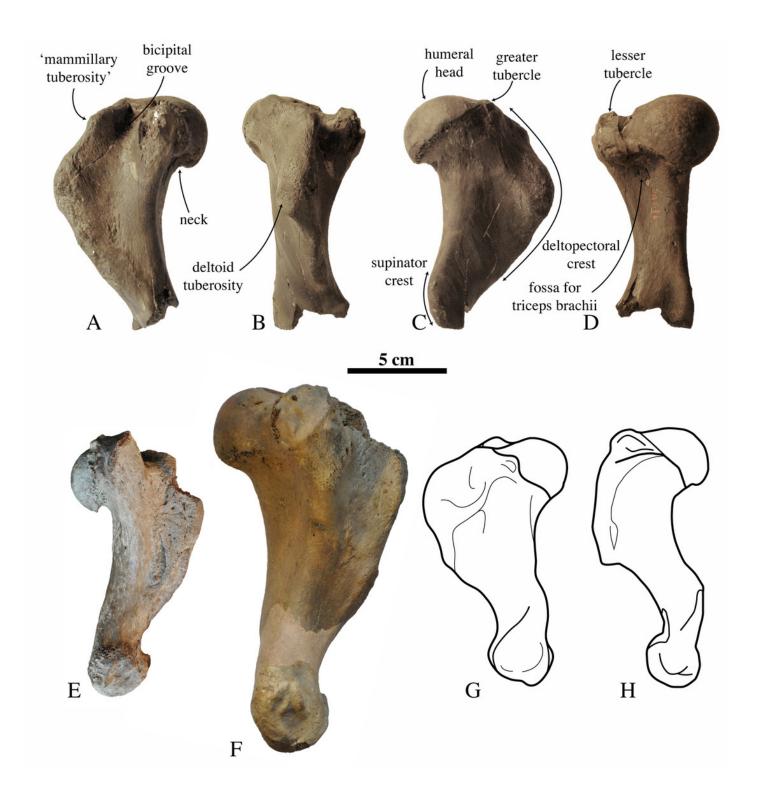
**A**, stratigraphic column showing the succession of the different members of the uppermost lower and upper Pliocene Lillo Formation in the Antwerp harbour area. The Kattendijk and Merksplas formations are not divided into members. **B**, simplified lithology of the section from Lefèvre Dock where Hasse (1909) discovered specimen IRSNB M2308. Litholog drawn after descriptions by Hasse (1909).





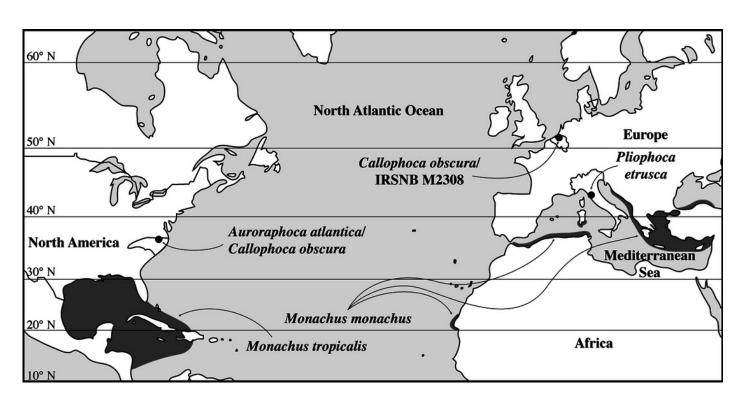
Humerus IRSNB M2308 and comparison material.

**A-D**, right humerus IRSNB M2308, Monachinae indet. (Antwerp, Belgium; late Pliocene), in **A**, medial view; **B**, anterior view; **C**, lateral view; **D**, posterior view. **E**, left humerus of *Pliophoca etrusca* (MSNUP I-13993, holotype) (Casa Nuova, Tuscany, Italy; Piacenzian) in medial view; **F**, left humerus of *Callophoca obscura* (USNM 186944) (Aurora, North Carolina, U.S.A.; Zanclean) in medial view; **G**, schematic drawing of left humerus of the extant monachine *Monachus monachus*; **H**, schematic drawing of left humerus of the extant phocine *Phoca vitulina*. Scale bar equals 5 cm.



Geographic distribution of late Miocene to recent Monachinae in the North Atlantic realm (including Mediterranean Sea).

Localities of fossil Monachinae are indicated by a black dot. *Auroraphoca atlantica* and *Callophoca obscura* are known from the late Miocene and early Pliocene of Antwerp, Belgium (*C. obscura*), and the early Pliocene of Lee Creek Mine, North Carolina, USA (*A. atlantica* and *C. obscura*) (Koretsky & Ray, 2008; Dewaele et al., in press); specimen IRSNB M2308, Monachinae indet., from the late Pliocene of Antwerp, Belgium (this study); *Pliophoca etrusca* from the late Pliocene of Tuscany, Italy (Berta et al., 2015). Geographic ranges of the extant *Monachus monachus* and the recently extinct *Monachus tropicalis* are indicated in dark gray, following data presented by Jefferson, Webber & Pitman (2008) for *M. monachus* and Timm, Salazar & Peterson (1997) for *M. tropicalis*.





## Table 1(on next page)

Measurements of humerus IRSNB M2308.

Measurements taken to the nearest 0.1 mm with an analogous caliper. Taking measurements follows the approach outlined by Koretsky (2001).





Table 1. Measurements of humerus IRSNB M2308. Measurements taken to the nearest 0.1 mm
 with an analogous caliper. Taking measurements follows the approach outlined by Koretsky
 (2001).

4

Character	Length (mm)
Height humeral head	42.1
Width humeral head	44.6
Transverse width proximal epiphysis	63.0
Anteroposterior width	79.9
Proximodistal length deltopectoral crest	92.2
Transverse width diaphysis	27.4

5