

# ***foxr1* is a novel maternal-effect gene in fish that regulates embryonic cell growth via *p21* and *ric1* (#27280)**

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First submission

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# ***foxr1* is a novel maternal-effect gene in fish that regulates embryonic cell growth via *p21* and *ric1***

Caroline T Cheung<sup>1</sup>, Amélie Patinote<sup>1</sup>, Yann Guiguen<sup>1</sup>, Julien Bobe<sup>Corresp. 1</sup>

<sup>1</sup> UR1037 Fish Physiology and Genomics, INRA, Rennes, France

Corresponding Author: Julien Bobe  
Email address: Julien.Bobe@inra.fr

The family of forkhead box (Fox) transcription factors regulate gonadogenesis and embryogenesis, but the role of *foxr1/foxn5* in reproduction is unknown. Evolution of *foxr1* in vertebrates was examined and the gene found to exist in most vertebrates, including mammals, ray-finned fish, amphibians, and sauropsids. By quantitative PCR and RNA-seq, we found that *foxr1* had an ovarian-specific expression in zebrafish, a common feature of maternal-effect genes. In addition, it was demonstrated using *in situ* hybridization that *foxr1* was a maternally-inherited transcript that was highly expressed even in early-stage oocytes and accumulated in the developing eggs during oogenesis. We also analyzed the function of *foxr1* in female reproduction using a zebrafish CRISPR/Cas9 knockout model. It was observed that embryos from the *foxr1*-deficient females had a significantly lower survival rate whereby they either failed to undergo cell division or underwent abnormal division that culminated in growth arrest at around the mid-blastula transition and early death. These mutant-derived eggs contained a dramatically increased level of *p21*, a cell cycle inhibitor, and reduced *ric1*, a component of mTOR and regulator of cell survival, which were in line with the observed growth arrest phenotype. Our study shows for the first time that *foxr1* is an essential maternal-effect gene and is required for proper cell division and survival via the p21 and mTOR pathways. These novel findings will broaden our knowledge on the functions of specific maternal factors stored in the developing egg and the underlying mechanisms that contribute to reproductive fitness.

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3 ***foxr1* is a novel maternal-effect gene in fish that regulates embryonic cell**4 **growth via *p21* and *ricTOR***

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7 Caroline T. Cheung<sup>(1)</sup>, Amélie Patinote<sup>(1)</sup>, Yann Guiguen<sup>(1)</sup>, and Julien Bobe<sup>(1)\*</sup>

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9 <sup>(1)</sup>INRA LPGP UR1037, Campus de Beaulieu, 35042 Rennes, FRANCE.

10

11 \* Corresponding author E-mail: [julien.bobe@inra.fr](mailto:julien.bobe@inra.fr)

12

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16

17 Summary sentence: The *foxr1* gene in zebrafish is a novel maternal-effect gene that is required

18 for proper cell division in the earliest stage of embryonic development possibly as a

19 transcriptional factor for cell cycle progression regulators, *p21* and *ricTOR*.

20


21 Keywords: *foxr1*, maternal-effect genes, CRISPR-cas9, *p21*, *ricTOR*, cell growth and survival

22

## 23 Abstract

24 The family of forkhead box (Fox) transcription factors regulate gonadogenesis and  
25 embryogenesis, but the role of *foxr1/foxr2* in reproduction is unknown. Evolution of *foxr1* in  
26 vertebrates was examined and the gene found to exist in most vertebrates, including mammals,  
27 ray-finned fish, amphibians, and sauropsids. By quantitative PCR and RNA-seq, we found that  
28 *foxr1* had an ovarian-specific expression in zebrafish, a common feature of maternal-effect  
29 genes. In addition, it was demonstrated using *in situ* hybridization that *foxr1* was a maternally-  
30 inherited transcript that was highly expressed even in early-stage oocytes and accumulated in the  
31 developing eggs during oogenesis. We also analyzed the function of *foxr1* in female reproduction  
32 using a zebrafish CRISPR/Cas9 knockout model. It was observed that embryos from the *foxr1*-  
33 deficient females had a significantly lower survival rate whereby they either failed to undergo  
34 cell division or underwent abnormal division that culminated in growth arrest at around the mid-  
35 blastula transition and early death. These mutant-derived eggs contained a dramatically increased  
36 level of *p21*, a cell cycle inhibitor, and reduced *rictor*, a component of mTOR and regulator of  
37 cell survival, which were in line with the observed growth arrest phenotype. Our study shows for  
38 the first time that *foxr1* is an essential maternal-effect gene and is required for proper cell  
39 division and survival via the p21 and mTOR pathways. These novel findings will broaden our  
40 knowledge on the functions of specific maternal factors stored in the developing egg and the  
41 underlying mechanisms that contribute to reproductive fitness.

## 42 Introduction

43 In vertebrates, maternal products including transcripts, proteins, and other biomolecules  
44 are necessary for kick-starting  early embryonic development until the mid-blastula transition  
45 (MBT) when the zygotic genome is activated [1]. Maternal-effect genes are transcribed from the  
46 maternal genome and encode the maternal factors that are deposited into the developing oocytes  
47 in order to coordinate embryonic development before MBT [2]. We had previously explored the  
48 zebrafish egg transcriptome [3] and proteome [4] in order to gain further understanding of the  
49 maternal factors that contribute to good quality or developmentally competent eggs that result in  
50 high survival of progeny. However, large gaps still remain.

51 The forkhead box (Fox) proteins belong to a family of transcription factors that play  
52 important roles in cell growth, proliferation, survival, and ~~cell~~ death[5]. Many of these Fox  
53 proteins have been shown to be essential to the various processes of embryogenesis. In  
54 mammals, knockouts of several *fox* genes, including *foxa2*, *foxo1*, and *foxf1*, result in embryonic  
55 lethality due to defects in development of different organs ([5–7]). In reproduction, a recent  
56 transcriptomic study in the Nile tilapia, *Oreochromis niloticus*, showed that more than 50 *fox*  
57 genes were expressed in the gonads, and some of these, including *foxl2*, *foxo3*, and *foxr1*, were  
58 specific to XX females[8]. *foxl2* and its relatives are known to be key players in ovarian  
59 differentiation and oogenesis in vertebrates; it is essential for mammalian ovarian maintenance  
60 and through knockout experiments, it was demonstrated that *foxl2* is a critical regulator of sex  
61 determination by regulating ovary development and maintenance ~~also~~ in Nile tilapia, medaka,  
62 and zebrafish[9]. Further, *foxo3* was shown to be required for ovarian follicular development,  
63 and its knockout in mice led to sterility in female mutants due to progressive degeneration of the  
64 developing oocytes and lack of ovarian reserve of mature oocytes[10]. *foxr1* was also found to

65 have sexually dimorphic expression in eels (*Anguilla anguilla* and *Monopterus albus*) and  
66 marine medaka (*Oryzias melastigma*) which was predominately observed in the ovaries[11–13].  
67 However, despite these observational studies, the function of *foxr1* in vertebrates, especially its  
68 role in reproduction, remains unclear. Thus, in this study, we investigated the evolution of *foxr1*  
69 and its phylogenetic relationship in a wide range of vertebrate species, as well as its biological  
70 function using knockout zebrafish models created by the CRISPR/cas9 system in order to  
71 broaden our knowledge on the evolutionary origin of maternal-effect genes and the underlying  
72 mechanisms that contribute to reproductive success in vertebrates.

73

## 74 **Materials and Methods**

### 75 **Protein databases**

76 Since our model is based on the zebrafish, all gene/protein nomenclatures will be based on those  
77 of fish. The following amino acid data were extracted and investigated from the ENSEMBL  
78 database (<http://www.ensembl.org/index.html>): human, *Homo sapiens*; mouse, *Mus musculus*;  
79 rat, *Rattus norvegicus*; guinea pig, *Cavia porcellus*; pig, *Sus scrofa*; horse, *Equus caballus*; cow,  
80 *Bos taurus*; panda, *Ailuropoda melanoleuca*; opossum, *Monodelphis domestica*; Chinese  
81 softshell turtle, *Pelodiscus sinensis*; armadillo, *Dasybus novemcinctus*; frog, *Xenopus tropicalis*;  
82 fruit fly, *Drosophila melanogaster*; nematode, *Caenorhabditis elegans*; sea squirt, *Ciona*  
83 *intestinalis*; lamprey, *Petromyzon marinus*; coelacanth, *Latimeria chalumnae*; spotted gar,  
84 *Lepisosteus oculatus*; cod, *Gadus morhua*; fugu, *Takifugu rubripes*; medaka, *Oryzias latipes*;  
85 platyfish, *Xiphophorus maculatus*; stickleback, *Gasterosteus aculeatus*; tetraodon, *Tetraodon*  
86 *nigroviridis*; tilapia, *Oreochromis niloticus*; zebrafish, *Danio rerio*; and cave fish, *Astyanax*  
87 *mexicanus*. The bald eagle, *Haliaeetus leucocephalus*; penguin, *Pygoscelis adeliae*; crested ibis,



88 *Nipponia nippon*; swan goose, *Anser cygnoides domesticus*; American alligator, *Alligator*  
89 *mississippiensis*; Chinese alligator, *Alligator sinensis*; python, *Python bivittatus*; central bearded  
90 dragon, *Pogona vitticeps*; frog, *Xenopus laevis*; medaka, *Oryzias latipes*; zebrafish, *Danio rerio*;  
91 northern pike, *Esox lucius*; rainbow trout, *Oncorhynchus mykiss*; coho salmon, *Oncorhynchus*  
92 *kisutch*; and Atlantic salmon, *Salmo salar*, protein sequences were extracted and investigated  
93 from the NCBI database (<http://www.ncbi.nlm.nih.gov>). Further, the following protein sequences  
94 were extracted from our previously established PhyloFish online database  
95 (<http://phylofish.sigenae.org/index.html>) [14] and analyzed along with the others: spotted gar,  
96 *Lepisosteus oculatus*; cod, *Gadus morhua*; bowfin, *Amia calva*; European eel, *Anguilla anguilla*;  
97 butterflyfish, *Pantodon buchholzi*; sweetfish, *Plecoglossus altivelis*; allis shad, *Alosa alosa*;  
98 arowana, *Osteoglossum bicirrhosum*; panga, *Pangasius hypophthalmus*; northern pike, *Esox*  
99 *lucius*; eastern mudminnow, *Umbra pygmae*; American whitefish, *Coregonus clupeaformis*;  
100 brook trout, *Salvelinus fontinalis*; rainbow trout, *Oncorhynchus mykiss*; European whitefish,  
101 *Coregonus lavaretus*; grayling, *Thymallus thymallus*; and European perch, *Perca fluviatilis*.  
102 These sequences are compiled in Supplemental Data 1.

103

#### 104 **Phylogenetic analysis**

105 The phylogenetic analysis was conducted using the Phylogeny.fr online program[15,16]. Amino  
106 acid sequences of 73 Foxr1, Foxr2, Foxn1, and Foxn3 proteins from the above-mentioned  
107 species were aligned using the MUSCLE pipeline, alignment refinement was performed with  
108 Gblocks, and then the phylogenetic tree was generated using the Maximum Likelihood method  
109 (PhyML pipeline) with 100 bootstrap replicates.

110

## 111 Synteny analyses

112 Synteny maps of the conserved genomic regions of *foxr1* and *foxr2* were produced with spotted  
113 gar as the reference gene using PhyloView on the Genomicus v91.01 website  
114 (<http://www.genomicus.biologie.ens.fr/genomicus-91.01/cgi-bin/search.pl>).

115

## 116 Quantitative real-time PCR (qPCR)

117 Tissue samples from 2 wildtype males and 3 wildtype females, and fertilized eggs at the one-cell  
118 stage from 32 wildtype couplings were harvested, total RNA was extracted using Tri-Reagent  
119 (Molecular Research Center, Cincinnati, OH) according to the manufacturer's instructions.  
120 Reverse transcription (RT) was performed using 1 µg of RNA from each sample with the  
121 Maxima First Strand cDNA Synthesis kit (Thermo Scientific, Waltham, MA). Briefly, RNA was  
122 mixed with the kit reagents, and RT performed at 50°C for 45 min followed by a 5-min  
123 termination step at 85°C. Control reactions were run without reverse transcriptase and used as  
124 negative control in the qPCR study. qPCR experiments were performed with the Fast-SYBR  
125 GREEN fluorophore kit (Applied Biosystems, Foster City, CA) as per the manufacturer's  
126 instructions using 200 nM of each primer in order to keep PCR efficiency between 90% and  
127 100%, and an Applied Biosystems StepOne Plus instrument. RT products, including control  
128 reactions, were diluted 1/25, and 4 µl of each sample were used for each PCR. All qPCR  
129 experiments were performed in duplicate. The relative abundance of target cDNA was calculated  
130 from a standard curve of serially diluted pooled cDNA and normalized to *18S*, *β-actin*, and *EF1α*  
131 transcripts. The primer sequences can be found in Supplemental Data 2. The tissue expression of  
132 *foxr1* was detected using the *foxr1* forward and reverse primers while the mutant form of *foxr1* in  
133 the CRISPR/cas9-mutated eggs was assessed with the mutant *foxr1* forward and reverse primers.

134

135 **RNA-seq**

136 RNA-seq data were deposited into Sequence Read Archive (SRA) of NCBI under accession  
137 references SRP044781-84, SRP045138, SRP045098-103, and SRP045140-146. The construction  
138 of sequencing libraries, data capture and processing, sequence assembly, mapping, and  
139 interpretation of read counts were all performed as previously [14]. The number of mapped reads  
140 was then normalized for the *foxr1* gene across the 11 tissues using RPKM normalization.

141

142 ***In situ* hybridization (ISH)**

143 Ovary samples were first fixed in 4% paraformaldehyde overnight, dehydrated by sequential  
144 methanol washes, paraffin-embedded, and sectioned to 7  $\mu\text{m}$  thickness before being subjected to  
145 the protocol. The sections were deparaffinized and incubated with 10  $\mu\text{g}/\text{mL}$  of proteinase K for  
146 8 minutes at room temperature, followed by blocking with the hybridization buffer (50%  
147 formamide, 50  $\mu\text{g}/\text{mL}$  heparin, 100  $\mu\text{g}/\text{mL}$  yeast tRNA, 1% Tween 20, and 5X saline-sodium  
148 citrate [SSC]). The probe was diluted to 1  $\text{ng}/\mu\text{L}$  in the hybridization buffer and incubated  
149 overnight at 55°C in a humidification chamber. The probes were synthesized by cloning a  
150 fragment of the *foxr1* gene into the pCRII vector using the cloning *foxr1* forward and reverse  
151 primers (Supplemental Data 2) and Topo TA Cloning kit (Invitrogen, Carlsbad, CA) as per the  
152 manufacturer's protocol. The digoxigenin (DIG)-labeled sense and anti-sense probes were  
153 transcribed from Sp6 and T7 transcription sites, respectively, of the vector containing the cloned  
154 *foxr1* fragment and purified using 2.5M LiCl solution. The purity and integrity of the probes  
155 were verified using the Nanodrop spectrophotometer (Thermo Scientific) and the Agilent RNA  
156 6000 Nano kit along with the Agilent 2100 bioanalyzer (Santa Clara, CA). The slides were then

157 subjected to 2 washes each with 50% formamide/2X SSC, 2X SSC, and 0.2X SSC at 55°C  
158 followed by 2 washes with PBS at room temperature. The sections were subsequently blocked  
159 with blocking buffer (2% sheep serum, 3% bovine serum albumin, 0.2% Tween 20, and 0.2%  
160 Triton-X in PBS), and the anti-DIG antibody conjugated to alkaline phosphatase (Roche  
161 Diagnostics, Mannheim, Germany) was diluted by 1/500 and applied for 1.5 hours at room  
162 temperature. The sections were washed with PBS and visualized with NBT/BCIP (nitro blue  
163 tetrazolium/5-bromo-4-chloro-3-indolyl phosphate).

164

### 165 **CRISPR-cas9 genetic knockout**

166 Fish used in this study were reared and handled in strict accordance with French and European  
167 policies and guidelines of the INRA LPGP Institutional Animal Care and Use Committee, which  
168 approved this study. CRISPR/cas9 guide RNA (gRNA) were designed using the ZiFiT[17,18]  
169 online software and were made against 2 targets within the gene to generate a genomic deletion  
170 of approximately 240 base pairs (bp) that spans the last exon which allowed the formation of a  
171 non-functional protein. Nucleotide sequences containing the gRNA were ordered, annealed  
172 together, and cloned into the DR274 plasmid. *In vitro* transcription of the gRNA from the T7  
173 initiation site was performed using the Maxiscript T7 kit (Applied Biosystems) and of the cas9  
174 mRNA using the mMESSAGING mMACHINE kit (Ambion/Thermo Scientific) from the Sp6 site,  
175 and their purity and integrity were assessed using the Agilent RNA 6000 Nano Assay kit and  
176 2100 Bioanalyzer. Zebrafish embryos at the one-cell stage were micro-injected with  
177 approximately 30-40 pg of each CRISPR/cas9 guide along with purified cas9 mRNA. The  
178 embryos were allowed to grow to adulthood, and genotyped using fin clip and PCR that detected  
179 the deleted region. The full-length wildtype PCR band is 400 bp, and the mutant band with the

180 CRISPR/cas9-generated deletion is approximately 160 bp. The PCR bands of the mutants were  
181 then sent for sequencing to verify the deletion. Once confirmed, the mutant females were mated  
182 with *vasa::gfp* males to produce F1 embryos, whose phenotypes were subsequently recorded.  
183 Images were captured with a Nikon AZ100 microscope and DS-Ri1 camera (Tokyo, Japan).

184

### 185 **Genotyping by PCR**

186 Fin clips were harvested from animals under anesthesia (0.1% phenoxyethanol) and lysed with  
187 5% chelex containing 100 µg of proteinase K at 55°C for 2 hrs and then 99°C for 10 minutes.  
188 The extracted DNA was subjected to PCR using Jumpstart Taq polymerase (Sigma-Aldrich, St.  
189 Louis, MO) and the *foxr1* forward and reverse primers that are listed in Supplemental Data 2.

190

### 191 **Statistical Analysis**

192 Comparison of two groups was performed using the GraphPad Prism statistical software (La  
193 Jolla, CA), and either the Student's t-test or Mann-Whitney U-test was conducted depending on  
194 the normality of the groups based on the Anderson-Darling test. A p-value < 0.05 was considered  
195 as significant.

196

## 197 **Results**

### 198 **Phylogenetic analysis of Foxr1-related sequences**

199 To date, there are six reported members of the *foxr/foxn* family (*foxn1-6*), of which *foxn5*  
200 and *foxn6* are also known as *foxr1* and *foxr2*, respectively. To examine the evolution of *foxr1*, we  
201 used a Blast search approach using the zebrafish Foxr1 protein sequence as query in various  
202 public databases to retrieve 73 protein sequences that could be related to this protein. All

203 retrieved sequences are compiled in Supplemental Data 1. Of note, both Foxr1 and Foxr2 protein  
204 sequences were retrieved. In order to verify that the retrieved protein sequences (Supplemental  
205 Data 1) are homologous to zebrafish Foxr1, a phylogenetic analysis was performed. Based on the  
206 alignment of the retrieved vertebrate Foxr1-related sequences, and using Foxn1 and Foxn3  
207 amino acid sequences as out-groups, a phylogenetic tree was generated (Fig 1). As shown in Fig  
208 1, the common ancestor of the vertebrate Foxr1/Foxr2 diverged from Foxn1 and Foxn3, and  
209 these sequences were clearly observed as two separate clades belonging to actinopterygii (ray-  
210 finned fish) and sarcopterygii (lobe-finned fish and tetrapods). In addition, Foxr2 was found only  
211 in mammals with no homologs detected in actinopterygii as well as sauropsids and amphibians.  
212 Remarkably, despite the wide-ranging presence of Foxr1, no related sequences were observed in  
213 invertebrates and chondrichthyans (dogfish and sharks) as well as certain species such as chicken  
214 (*Gallus gallus*). On the other hand, several species showed two Foxr1 sequences including the  
215 salmonids, rainbow trout (*Oncorhynchus mykiss*) and brook trout (*Salvelinus fontinalis*), as well  
216 as northern pike (*Esox lucius*), cod (*Gadus morhua*), medaka (*Oryzias latipes*), and spotted gar  
217 (*Lepisosteus oculatus*). The presence of two related Foxr1 sequences in these species could be  
218 due to an independent gene duplication event that occurred in these species.

219         Despite the previous report that stated that *foxr2* was absent in tilapia, stickleback,  
220 zebrafish, and medaka genomes, we retrieved Foxr2 protein sequences using the zebrafish Foxr1  
221 peptide sequence as query. Thus, using zebrafish Foxr1 sequence as the reference protein, we  
222 subsequently compared its homology with the Foxr1 and Foxr2 sequences from mammals. As  
223 shown in Supplemental Data 3, there was 29-37% positivity and 41-53% similarity between all  
224 sequences, and there did not appear to be any difference in homology between zebrafish Foxr1  
225 and mammalian Foxr1 and Foxr2 sequences. Further, there was 47-60% positivity and 59-77%

226 similarity between mammalian Foxr1 and Foxr2 sequences, indicating that these two proteins are  
227 highly similar and probably diverged quite late in evolution.

228

### 229 **Synteny analysis of *foxr1* and *foxr2* genes in vertebrates**

230 In order to further understand the origin of the *foxr1* and *foxr2* genes in vertebrates, we  
231 performed a synteny analysis of their neighboring genes in representative vertebrate genomes  
232 using the basal actinopterygian, spotted gar, as the reference genome and the Genomicus online  
233 database (Fig 2). We found that between the spotted gar and mammals, there was conserved  
234 synteny of the *foxr1*, *upk2*, *ccdc84*, *rps25*, *trappc4*, *slc37a4*, and *ccdc153* loci in their genomes.  
235 In the frog (*Xenopus tropicalis*) genome, the *foxr1*, *ccdc153*, *cbl*, *mcam*, and *clqtnf5* loci were  
236 conserved, while in Coelacanth, *foxr1*, *ccdc84*, *rps25*, *trappc4*, *slc37a4*, *cbl*, *ccdc153*, *mcam*,  
237 *clqtnf5*, as well as *rnf26* loci were found in the same genomic region as those of the spotted gar.  
238 However, amongst the actinopterygians, there was lower conservation of synteny; in zebrafish  
239 and cave fish, the *foxr1*, *ccdc84*, and *mcam* loci were conserved while in the other ray-finned fish  
240 species, only the *foxr1* loci was found. We further analyzed the *foxr2* sequences that were found  
241 only in mammals, and we demonstrate here that they were all observed on the X chromosome  
242 with no apparent conserved synteny of neighboring genes to those found in the spotted gar. Our  
243 overall analyses suggest that all the *foxr*-related sequences that were found were homologs, and  
244 the *foxr* gene in fish species probably derived from the ancestral *foxr1* gene. Although there was  
245 the same degree of protein homology between zebrafish Foxr1 and mammalian Foxr1 and Foxr2  
246 sequences, the phylogenetic tree and synteny analyses showed a clear distinction between them,  
247 and the *foxr2* gene probably derived from a later single gene duplication or transposon event as  
248 previously suggested[19].

249

**250 Expression profiles of *foxr1***

251 We next focused our efforts on *foxr1* since it has previously been shown in eel, tilapia,  
252 and medaka to be gonad specific and thus may have specific functions in reproduction. In order  
253 to investigate the potential functions of *foxr1*, we explored its tissue distribution using two  
254 different approaches, qPCR and RNA-seq, the latter of which was obtained from the PhyloFish  
255 online database [14]. In zebrafish, we observed from both sets of data that *foxr1* mRNA was  
256 predominantly expressed in the ovary and unfertilized egg (Fig 3A and 3B). By ISH, we also  
257 demonstrated that *foxr1* transcripts were highly expressed in the ovary in practically all stages of  
258 oogenesis (Fig 3C-E; negative controls, Fig 3F-H).

259

**260 Functional analysis of *foxr1* in zebrafish**

261 To understand the role of *foxr1* during oogenesis and early development, we performed  
262 functional analysis by genetic knockout using the CRISPR/cas9 system. One-cell staged embryos  
263 were injected with the CRISPR/cas9 guides that targeted *foxr1* and allowed to grow to  
264 adulthood. Mosaic founder mutant females (F0) were identified by fin clip genotyping and  
265 subsequently mated with *vasa::gfp* males, and embryonic development of the F1 fertilized eggs  
266 was recorded. Since the mutagenesis efficiency of the CRISPR/cas9 system was very high, as  
267 previously described [20,21], the *foxr1* gene was sufficiently knocked-out even in the mutant  
268 mosaic F0 females. This was evidenced by the substantially lower transcript level of *foxr1* in the  
269 F1 embryos as compared to those from control pairings (Fig 4A). Thus, the phenotypes of *foxr1*  
270 (n=5) mutants could be observed even in the F0 generation. Since none of the mutated genes  
271 were transmissible to future generations neither through the male nor the female (ie. all the



272 surviving embryos were WT), therefore, all of our observations were obtained from the F0  
273 generation.

274 We observed that most of the embryos from the *foxr1* mutant females had a very low  
275 developmental success at 24 hpf ( $25.2 \pm 5.5\%$  vs.  $85.1 \pm 8.3\%$  in controls;  $p < 0.0001$ ) (Fig 4B).  
276 The penetrance of the mutation in the mutant females is demonstrated in Fig 4C, and it was  
277 observed that 3 of the mutants produced abundant non-developing eggs that remained non-  
278 cellularized, reflecting their failure to undergo cell division (Fig 5E-H). The eggs derived from  
279 these 3 *foxr1* mutant females did not undergo any cell division at 1 hpf and continued to display  
280 a complete lack of development up to 8 hpf. By 24 hpf, these non-developing eggs that failed to  
281 divide were all dead. In addition, two of the mutants produced developmentally incompetent  
282 eggs with two phenotypes; those with a non-cellularized morphology (Fig 5E-H), and another  
283 population that developed albeit with an abnormal morphology (Fig 5I-L). These fertilized and  
284 developing embryos were structurally abnormal, with unsmooth and irregularly-shaped yolk as  
285 well as asymmetrical cell division that culminated into a blastodisc with a group of cells on top  
286 of an enlarged syncytium (arrow). These eggs underwent developmental arrest at around 4 hpf or  
287 the MBT and appeared to regress with further expansion of the syncytium (Fig 5J-K) until death  
288 by 24 hpf. This phenotype was also observed previously by us in *npm2b* mutant-derived  
289 eggs[22].

290 The observed phenotype of the *foxr1* mutant-derived uncellularized eggs was very similar  
291 to previously described unfertilized eggs [23]. Thus, the *foxR1* mutant females were mated with  
292 *vasa::gfp* males, and the genotype of their progeny was assessed for the presence of the *gfp* gene,  
293 which would only be transmitted from the father since the mutant females did not carry this  
294 gene. We found that these uncellularized eggs from the *foxr1* mutant females did indeed carry

295 the *gfp* gene (Fig 4M) which indicated that they were fertilized, but were arrested from the  
296 earliest stage of development and did not undergo any cell division. These novel findings  
297 showed for the first time that *foxr1* is essential for the developmental competence of zebrafish  
298 eggs, and is therefore a crucial maternal-effect gene.

299 In order to delve into the possible mechanisms that may be involved in the reduced  
300 reproductive success of the *foxr1* mutants, we investigated the expression levels of *p21*, *p27*, and  
301 *ricor*, which were previously reported to be repressed by the Foxr1 transcription factor in mice  
302 (Santo et al, 2012). We found that there was substantially increased expression of *p21* ( $4.83 \pm 1.09$   
303 vs  $0.25 \pm 0.03$  in controls;  $p < 0.0022$ ) while that of *ricor* was significantly decreased ( $0.83 \pm 0.11$   
304 vs  $1.81 \pm 0.23$  in controls;  $p < 0.0007$ ) in the *foxr1* mutant-derived eggs as compared to eggs  
305 produced by wildtype females (Fig 6A-C). These results were in line with a growth arrested  
306 phenotype that was observed in the uncellularized and developmentally challenged eggs from the  
307 *foxr1* mutant females.

308

309

## 310 Discussion

311 In this study, we first investigated the evolutionary history of *foxr1* in order to gain  
312 perspective into its phylogenetic relationship among homologs from a wide range of species and  
313 to clarify its origins. Using the zebrafish protein sequence as query to search for homologs in  
314 other species, we retrieved Foxr1 sequences from a broad variety of vertebrates, including  
315 actinopterygii, sarcopterygii, and sauropsids which suggested the essentialness of this protein in  
316 most vertebrates. We also retrieved Foxr2 sequences due to its high similarity to the zebrafish  
317 Foxr1 peptide (Supplemental Data 3), although we and others demonstrated that the *foxr2* gene is


318 absent from all actinopterygii and sauropsid species, and can only be found in mammals.  
319 Evidence from the phylogenetic analyses showed a clear distinction in derivation of the  
320 actinopterygian *foxr1* and the mammalian *foxr2*; the divergence of the ancestral *foxr1* gene in  
321 actinopterygii from that of the sarcopterygii and sauropsids occurred quite early in evolution,  
322 while the divergence of mammalian *foxr1* and *foxr2* is a much more recent event (Fig1). Further,  
323 the synteny analysis (Fig2) showed that there was much conservation of genomic synteny  
324 surrounding the *foxr1* loci between the basal actinopterygian, spotted gar, and actinopterygii and  
325 sauropsids, while the neighboring loci around the *foxr2* were completely different in comparison  
326 to those next to *foxr1* which suggested that *foxr2* originated from a recent gene duplication or  
327 transposon event as previously proposed[19]. We also found that in a small subset of species  
328 [rainbow trout (*Oncorhynchus mykiss*) and brook trout (*Salvelinus fontinalis*), as well as northern  
329 pike (*Esox lucius*), cod (*Gadus morhua*), medaka (*Oryzias latipes*), and spotted gar (*Lepisosteus*  
330 *oculatus*)], two *Foxr1* sequences were observed. This suggested that a single gene duplication  
331 event may have occurred in these species and subsequent gene losses after the multiple genome  
332 duplication events such as the teleost-specific whole genome duplication (TGD) and salmonid-  
333 specific whole genome duplication (SaGD) occurred in the teleosts. It is also possible that *foxr1*  
334 was duplicated in the ancestral actinopterygii and subsequent gene losses in bowfin as well as in  
335 the teleosts especially following the multiple gene duplication events such as the teleost-specific  
336 whole genome duplication (TGD) and salmonid-specific whole genome duplication (SSGD).  
337 Thus, it appeared that TGD and SSGD did not impact the current *foxr1* gene diversity because in  
338 most species, only one *foxr1* gene was retained. The presence of two *foxr1* genes in the above-  
339 mentioned species could also be due to independent and phylum-specific gene retention or  
340 independent gene duplication events that occurred only in these species. Further analyses on the

341 two copies of *foxr1* in these species are warranted in order to verify the functionality of both  
342 genes.

343         The essentialness of *foxr1* was suggested by the wide-ranging presence of this gene in  
344 most vertebrates and the retention of a single copy in most teleosts despite multiple whole  
345 genome duplication events, but its biological function is still largely unknown. Previous reports  
346 have demonstrated the predominant expression of *foxr1* mRNA in the ovary of medaka, eel, and  
347 tilapia[8,11,13], but in the male germ cells and spermatids in mouse and human[24]. It was  
348 further shown to be abundantly expressed in the early cleavage and gastrula stages of *Xenopus*  
349 embryos, but absent in post-gastrula stages due to rapid degradation of its mRNA, indicating that  
350 it is a maternally-inherited transcript[25]. Thus, the *foxr1* gene may play different roles in  
351 reproduction in teleost fish/amphibians and mammals, suggesting that *foxr2* in mammals may  
352 have evolved to have comparable functions to the teleost/amphibian *foxr1*. Future studies to test  
353 this are necessary to confirm the function of *foxr2*. To confirm these results found in other  
354 teleosts in zebrafish, we first examined the expression profile of *foxr1* in various tissues, and we  
355 showed by qPCR as well as by RNA-seq that there was also an ovarian-specific expression of  
356 *foxr1* and negligible amount in the testis as in the other fish species. By ISH, we found that the  
357 *foxr1* transcript was progressively stored in the growing oocytes from the very early stages (Fig  
358 3C-D, ~~arrows~~) to later staged oocytes (Fig 3D-E), and could be found abundantly in mature  
359 fertilized eggs (Fig 3B and Fig 4A). These results demonstrated that *foxr1* is one of the maternal  
360 products that is deposited into the developing oocytes during oogenesis in zebrafish.


361         Having established that *foxr1* was indeed a maternal factor, we investigated its function  
362 via mutagenic analysis with CRISPR/cas9. We used the F0 mosaic mutant females that were  
363 shown to have a decreased level of *foxr1* mRNA for analysis due to the difficulty in transmitting

364 the mutated *foxr1* gene to future generations as both the F0 *foxr1* mutant females and males  
365 produced mostly non-viable progeny, and the surviving descendents were all of wildtype  
366 genotype. This may be due to the efficiency of the CRISPR/cas9 mutagenic system in knocking  
367 out the *foxr1* gene very early on during the development of the animal. We found that the *foxr1*  
368 mutant females produced bad quality eggs, and the developmental success of their progeny was  
369 very low, similar to that of *foxl2* and *foxo3* mutants. Thus, it is likely that *foxr1* is also required  
370 for proper ovarian development and function. Further, we found that the *foxr1* mutant-derived  
371 eggs were non-cellularized and did not undergo subsequent cell division despite being fertilized.  
372 This suggested that their defect did not lie in the capability to be fertilized, as seen in *slc29a1a*  
373 and *otulina* mutants [3], but in the cell cycle and proliferation processes. Thus, we investigated  
374 the expression profiles of *p21*, *p27*, and *riCTOR*, which are all cell cycle and cell survival  
375 regulators, since Santo et al had previously knocked down *foxr1* using short hairpin RNAs in  
376 mammalian cells and found it to be a transcriptional repressor of them[26]. In this report, we also  
377 observed a dramatic increase in *p21* transcript in the eggs from *foxr1* mutant females, although  
378 the expression of *p27* was unchanged, while that of *riCTOR* was decreased. Both *p21* and *p27* are  
379 well known cell cycle inhibitors, and *riCTOR* is a component of the mTOR (mammalian target of  
380 rapamycin) complex that is a major regulator of cell growth and proliferation[27,28]. In fact,  
381 mitogens or some survival signal activates a survival cascade, such as the PI3K/Akt pathway,  
382 which is activated by the rictor-mTOR complex and promotes cell growth through repression of  
383 the negative cell cycle modulators, including *p21* and *p27*[29]. Thus, our findings were in line  
384 with a phenotype of growth arrest and anti-proliferative effects as seen in our eggs derived from  
385 *foxr1* mutant females. The different results that we observed as compared to those from Santo et  
386 al were probably due to species- and cell type-specific effects.

387 In this study, we showed that *foxr1* are found in a wide-range of vertebrates and are  
388 homologous to the *foxr1* genes found in other species. In teleosts, *foxr1* expression is found  
389 predominately in the ovary while in mammals, it appears to be specific to the male germline. We  
390 also found that *foxr1* is a novel maternal-effect gene and is highly expressed in the developing  
391 oocytes as well as accumulated in mature eggs to be used in early embryogenesis. Maternally-  
392 inherited *foxr1* is required for the first few cleavages after fertilization for proper cell growth and  
393 proliferation via  *p21* and *riCTOR*, since deficiency in *foxr1* leads to either complete lack of or  
394 abnormal cell division culminating to early death in the fertilized egg. Thus, the results of this  
395 study establishe a link between egg quality and the control of early cell cycle and the mTOR  
396 pathway via the potential transcriptional factor, [foxR1](#).

397

## 398 Conclusion

399 Our study shows for the first time that *foxr1* is an essential maternal-effect gene and is required  
400 for proper cell division and survival via  p21 and mTOR pathways. These novel findings will  
401 broaden our knowledge on the functions of specific maternal factors stored in the developing egg  
402 and the underlying mechanisms that contribute to reproductive fitness.

403

404

**405 Author contributions**

406 CTC performed the experiments and analyses, and drafted the manuscript; AP provided  
407 technical assistance in fish husbandry; YG participated in data analysis and manuscript  
408 preparation; and JB conceived and supervised the study and participated in data analysis and  
409 manuscript preparation.

410

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414

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503

**Figure 1**(on next page)

Phylogenetic tree of vertebrate Foxr1 and Foxr2 proteins.

This phylogenetic tree was constructed based on the amino acid sequences of Foxr1 proteins (for the references of each sequence see Supplemental Data 1) using the Maximum Likelihood method with 100 bootstrap replicates. The number shown at each branch node indicates the bootstrap value (%). The tree was rooted using Foxn1 and Foxn3 sequences. The Foxr1 sequences are in red, Foxr2 sequences are in blue, those of Foxn1 are in green, and Foxn3 sequences are in purple.

Figure 1

- Foxr1
- Foxr2
- Foxn1
- Foxn3

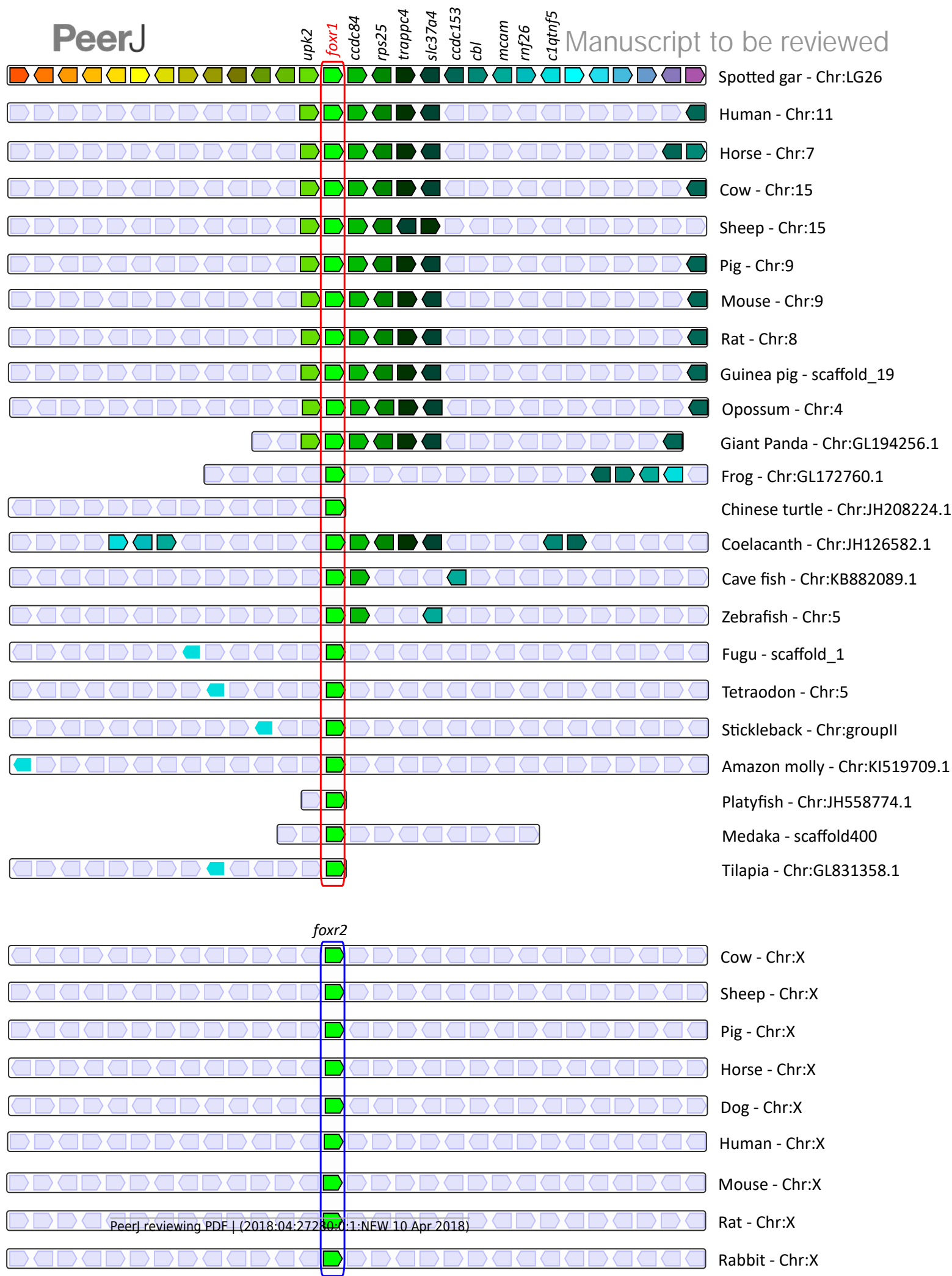


**Figure 2** (on next page)

Conserved genomic synteny of *foxr1* genes

Genomic synteny maps comparing the orthologs of *foxr1*, *foxr2*, and their neighboring genes, which were named after their human orthologs according to the Human Genome Naming Consortium (HGNC). Orthologs of each gene are shown in the same color, and the chromosomal location is shown next to the species name. *foxr1* orthologs are boxed in red while *foxr2* orthologs are boxed in blue.

Figure 2



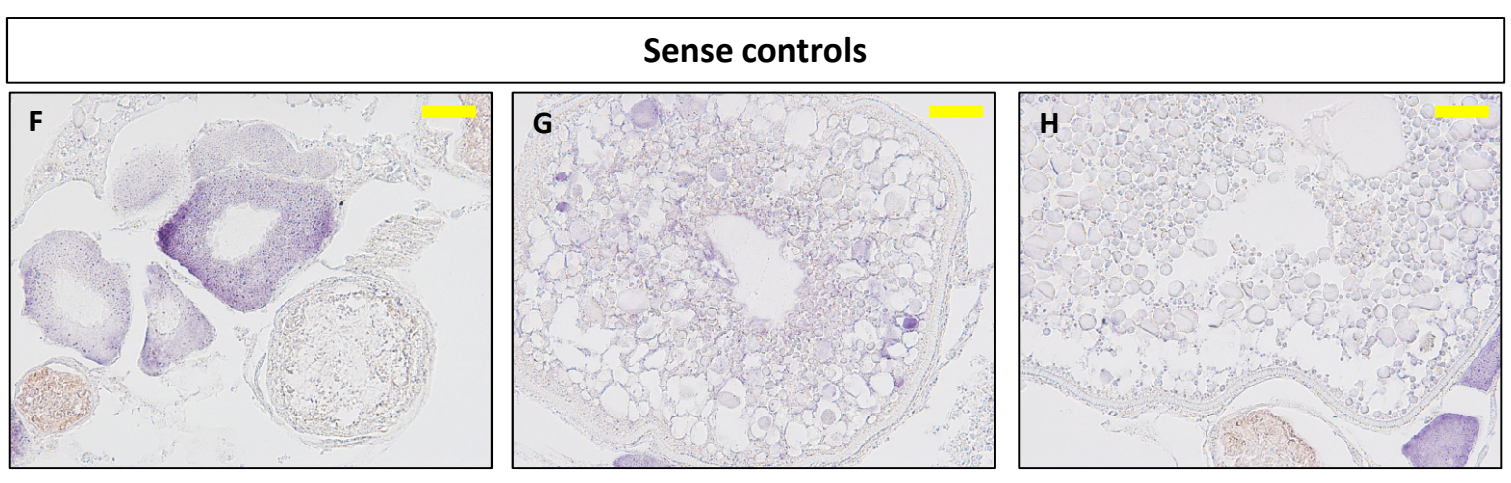
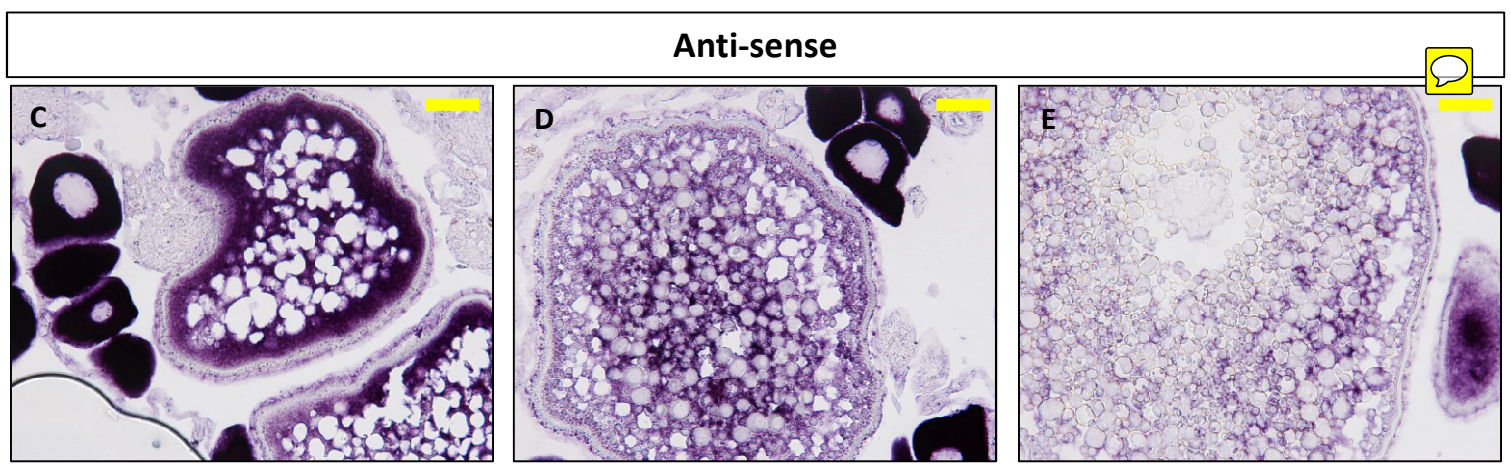
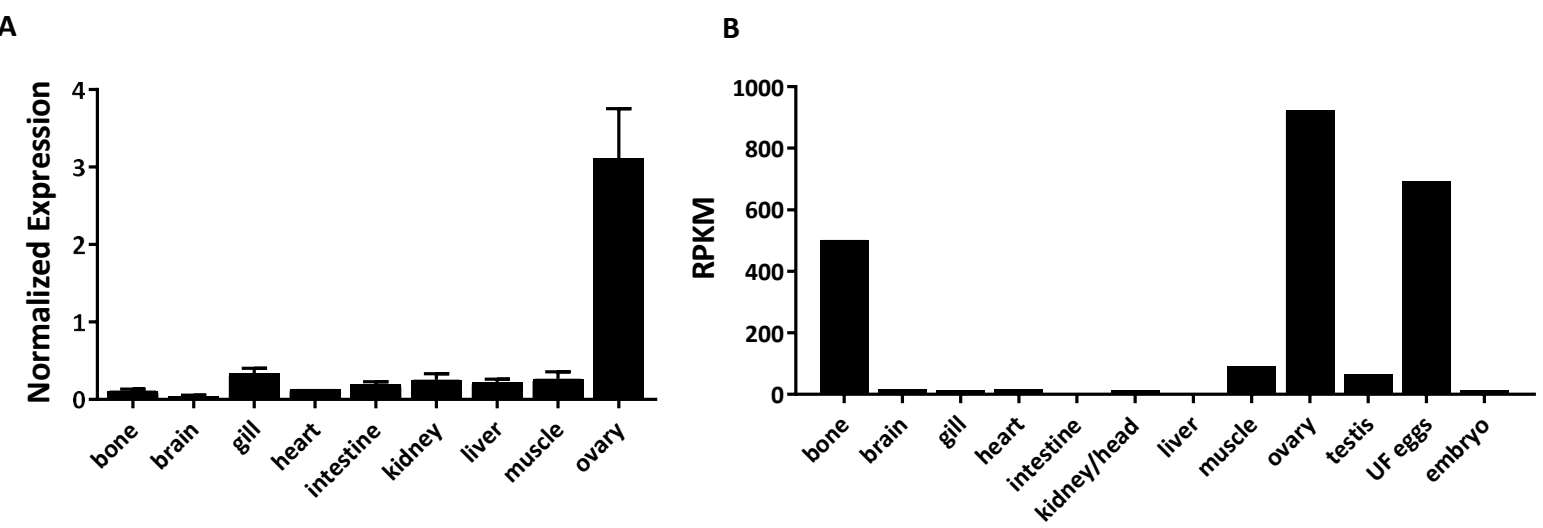
**Figure 3**(on next page)

Expression profile of *foxr1* in zebrafish



Tissue expression analysis of *foxr1* mRNA in zebrafish (**A**) by quantitative real-time PCR (qPCR) and (**B**) RNA-seq. Expression level by qPCR is expressed as a normalized value following normalization using *18S*, *β-actin*, and *ef1α* expression while that by RNA-seq is expressed in read per kilobase per million reads (RPKM). Tissues were harvested from 3 to 4 wildtype zebrafish individuals. (**C-H**) *In situ* hybridization was performed for *foxr1* in zebrafish ovaries from wildtype females. Positive staining is demonstrated using the anti-sense probe against *foxr1* (Fig 3C-E) in blue with 5-bromo-4-chloro-3-indolyl-phosphate/nitro blue tetrazolium as substrate. The negative control was performed with the sense probe (Fig 3F-H). 20X magnification; bars denote 90 μm. N=5 each for *foxr1* mutant and control.





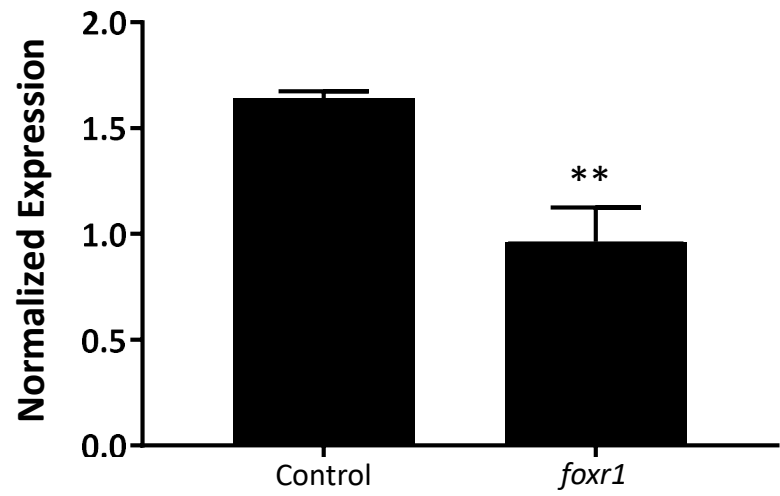
**Figure 4**(on next page)

CRISPR/cas9 knockout of *foxr1* in zebrafish

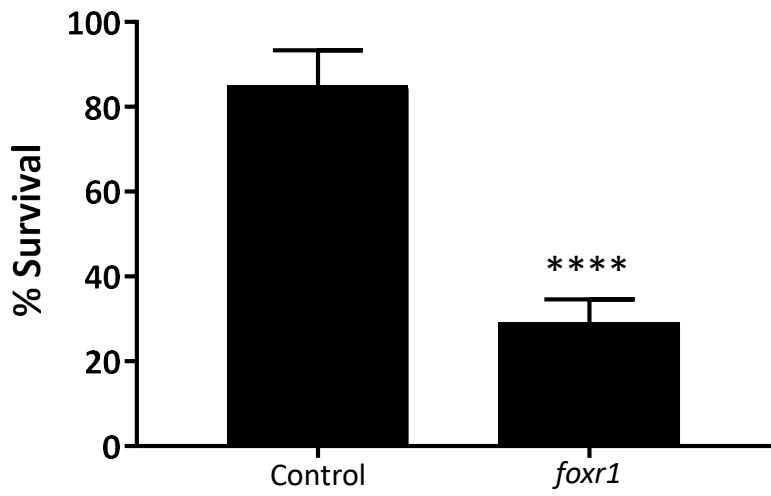
**(A)** Normalized expression level of *foxr1* transcript by quantitative real-time PCR (qPCR) in the fertilized zebrafish eggs from crosses between *foxr1* mutant females and *vasa::gfp* males. **(B)** Developmental success (% survival) at 24 hours post-fertilization (hpf) as measured by the proportion of fertilized eggs that underwent normal cell division and reached normal developmental milestones based on Kimmel et al. [30] from crosses between *foxr1* mutant females and *vasa::gfp* males. **(C)** Penetrance of *foxr1* mutant phenotypes in the F1 eggs between crosses of *foxr1* mutant females and *vasa::gfp* males. The graph demonstrates representative data from a single clutch from each mutant female. #Embryos did not develop at all (please refer to Fig 5E-H). +Embryos had a partially cellularized blastodisc that was sitting atop an enlarged syncytium (please refer to Fig 7I-L). qPCR data were normalized to *18S*,  *$\beta$ -actin*, and *ef1 $\alpha$* . N=5 each for *foxr1* mutant and control. All assessments were performed from at least 3 clutches from each mutant. \*\*  $p < 0.01$ , \*\*\*\*  $p < 0.0001$  by Mann-Whitney U-test. Control = eggs from crosses of wildtype females with *vasa::gfp* males; *foxr1* = eggs from crosses of *foxr1* mutant females with *vasa::gfp* males.

Figure 4


A



B



C

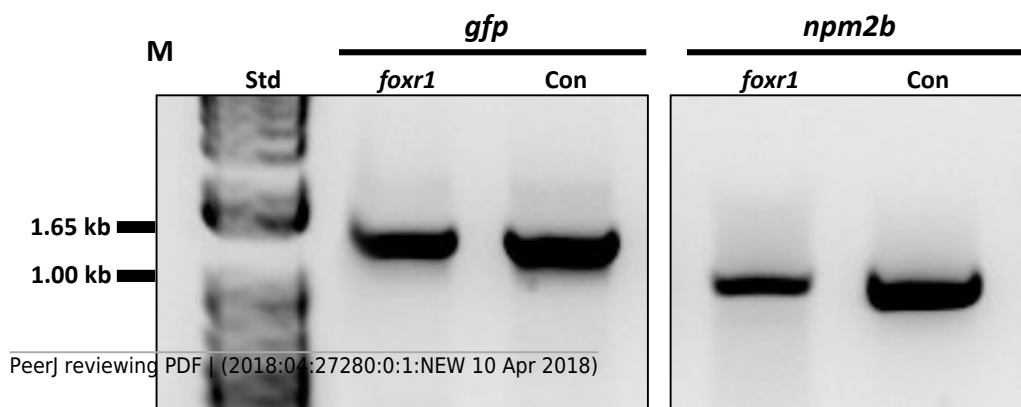
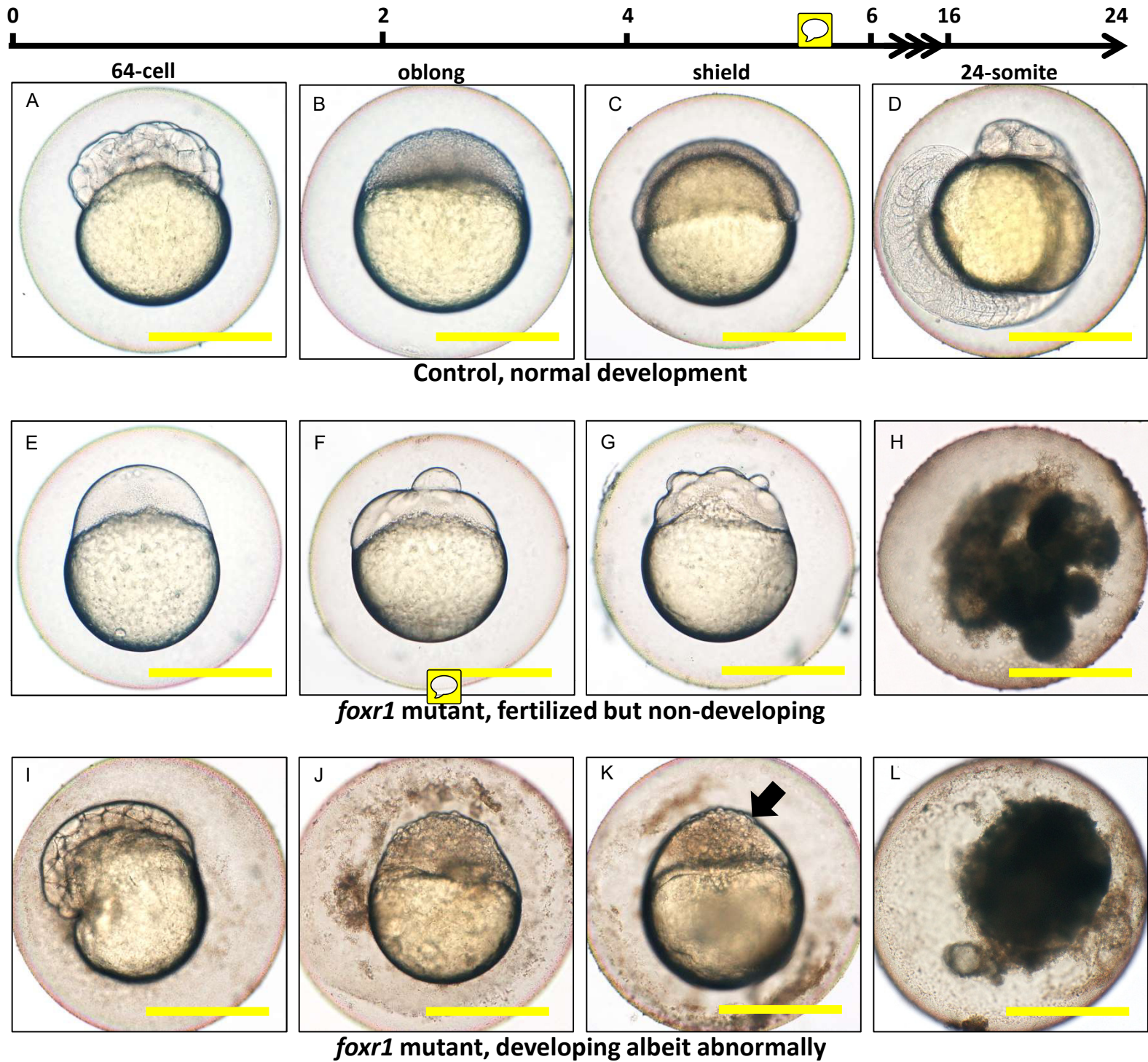
Penetrance of <i>foxr1</i> mutant phenotypes					
Individual mutant	Total number of embryos	Embryos with defects		Normal embryos	Survival 
		Non-cellularized <sup>#</sup>	Cellularized with developmental defects <sup>+</sup>		
<i>foxr1-1</i>	517	333	0	184	36%
<i>foxr1-2</i>	183	183	0	0	0%
<i>foxr1-3</i>	212	195	0	17	8%
<i>foxr1-4</i>	435	194	60	201	46%
<i>foxr1-5</i>	268	84	80	104	39%

**Figure 5**(on next page)

Effect of *foxr1* deficiency on zebrafish embryogenesis

Representative images demonstrating development of fertilized eggs from crosses between control **(A-D)** and *foxr1* **(E-L)** females and *vasa::gfp* males from 2-24 hours post-fertilization (hpf). In the control eggs, the embryos were at 64-cell **(A)**, oblong **(B)**, germ ring **(C)**, and 24-somite **(D)** stages according to Kimmel et al [30] . Eggs from *foxr1* mutant females were non-developing with a non-cellularized morphology **(E-H)** or developing with an abnormal morphology **(I-L)**. **(A, E, I)** = images taken at 2 hpf; **(B, F, J)** = images taken at 4 hpf; **(C, G, K)** = images taken at 6 hpf; **(D, H, L)** = images taken at 24 hpf. Scale bars denote 500  $\mu$ m. The arrow demonstrates an abnormally cellularized blastodisc that was sitting atop an enlarged syncytium. **(M)** Genotypic analysis of the eggs from crosses of *foxr1* mutant females and *vasa::gfp* males to determine fertilization status. The *gfp* and *vasa* primers produced a band that was 1333 base pairs in size. Detection of the *npm2b* gene (band size = 850 base pairs) was used as a control. Con = eggs from crosses of wildtype females with *vasa::gfp* males; *foxr1* = eggs from crosses of *foxr1* mutant females with *vasa::gfp* males. N=5 each for *foxr1* mutant and control.





**Figure 6**(on next page)

Expression profiles of *p21*, *p27*, and *ricor* in eggs from *foxr1* mutant females

Fertilized eggs from *foxr1* mutant females were subjected to qPCR for examination of the transcript levels of *p21*, *p27*, and *ricor*. Data were normalized to *18S*,  $\beta$ -*actin*, and *ef1 $\alpha$* . N=5 each for *foxr1* mutant and control, at least two clutches were used from each animal, and each experiment was performed in duplicate. \*\* $p < 0.01$ , \*\*\* $p < 0.001$  by Mann-Whitney U-test.

Figure 6

