

**Phylogeography of *Swertia perennis* in Europe based on cpDNA markers**

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**Background.** *Swertia perennis* (Gentianaceae) is a perennial diploid and clonal plant species that is discontinuously distributed in peat bogs in the mountains of Europe, Asia and North America as well as in the lowlands of Europe. The current geographical dispersion of *S. perennis* is probably the result of quaternary climatic changes that have played an important role in determining the distribution of *Swertia* and other plant and animal species.

**Methods.** In this study we used molecular techniques and combined data from chloroplast DNA markers (*trnL*F region and *trnH-psbA* spacer) to elucidate the phylogeography of *S. perennis* in Europe. Plants were collected from 28 populations in different locations in the lowlands and mountainous areas of Europe (e.g. the Carpathians, Sudetes, Bohemian Forest and Alps). cDNA was analysed to detect the genetic relationship between specimens from different locations.

**Results.** A total of 20 haplotypes were identified across the dataset. They were characterised by a high level of genetic variability but showed a lack of phylogeographical structure. This pattern may be the result of repeated recolonization and expansion from several areas. Such genetic differentiation may also be attributed to the relatively long-term isolation of *S. perennis* in Pleistocene refugia in Europe, which resulted in independent separation of different cpDNA phylogenetic lineages and variation in the nucleotide composition of cpDNA.

**Discussion.** The lack of strong phylogeographical structure makes it impossible to indicate the centre of haplotype diversity; however, refugia located in the Carpathians, Sudetes or Alps are the most probable sites where *S. perennis* existed in Europe. This lack of structure may also indicate a high level of gene flow in times when the landscape and fen systems were not fragmented in numerous geographically-isolated populations. This makes it difficult to speculate about the relationships between Asiatic and European plant populations and the origin and distribution of this species in Europe. Today, it seems to be restricted due to the occurrence of plants which clearly reflects the genetic variability from the ancient period.

## 65 Introduction

66 The distribution of organisms and their genetic structure are the consequences of  
 67 repeated ~~Quaternary~~ Quaternary climatic changes in ecosystems. These changes have  
 68 dramatically modified the vegetation and resulted in extinctions in colder areas of Europe,  
 69 America and the Arctic (Hewitt, 1996, 2004; Taberlet et al., 1998). Climate change was also  
 70 the primary reason for numerous plants and animals migrating to southern parts of Europe or  
 71 warmer localities (before ice cover) where they survived unfavourable conditions before later  
 72 beginning their remigration to the north (Ronikier, Cieślak & Korbecka, 2008; Slovák et al.,  
 73 2012). Some of the most commonly-recognised southern refugia are located in the  
 74 Mediterranean, on the Balkan Peninsula and in isolated mountain ranges (e.g. the Carpathians  
 75 and Alps). However, separation of Central and Northern Europe or Northern Russia by a  
 76 broad belt of lowlands could harbour numerous plants that inhabited similar sites or  
 77 ecosystems in the Pleistocene (Schönswetter, Popp & Brochmann, 2006; Paun et al., 2008;  
 78 Urbaniak, Kwiatkowski & Ronikier, 2018). The Alps were covered by ice during this period  
 79 (Mojski, 1993), which restricted the distribution of plants to isolated nonglacial sites  
 80 (nunataks or plants migrating to the Alps as secondary migrants being located close to the  
 81 area's peripheral refugia (Stehlik, 2000, 2003; Schönswetter et al., 2005; Ronikier et al.,  
 82 2008). Brockmann-Jerosch & Brockmann-Jerosch (1926) suggested that it was possible for  
 83 species to survive in the glaciated Alps in scattered localities (i.e. the nunataks on the top of  
 84 the Alps); however, it is also possible that species migrated into the Alps from more southern  
 85 refugia or from Eastern Europe (Schönswetter, Popp & Brochmann, 2006). This scenario was  
 86 confirmed for *Ranunculus pygmaeus*, which probably migrated to the valleys of the Alps via  
 87 the Carpathians from source populations in Siberia (Schönswetter, Popp & Brochmann,  
 88 2006). Such migrations were possible due to alternating warm and glacial periods in the  
 89 Quaternary that allowed gene exchange and plant migration between European mountain  
 90 ranges (e.g. the Alps, Carpathians and Sudetes) (Pawłowski, 1928; Ronikier, Cieślak &  
 91 Korbecka, 2008). In contrast to the Alps, the Carpathians and Sudetes were only locally or  
 92 partially glaciated during the Quaternary (e.g. lower massifs generally remained ice-free). The  
 93 existence of numerous valleys and several ranges over 2000 m above sea level meant that they  
 94 offered a wide spectrum of sites or ecosystems as potential refugia for organisms in  
 95 fragmented subranges in several regions in Europe. Outside of the Alps, the Sudetes,  
 96 Bohemian Forest and Carpathians seemed to play an important role as botanical crossroads

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for plants migrating from Siberia, the Arctic or the Caucasus (Schönswetter, Popp &

Brochmann, 2006; Ronikier, Cieślak & Korbecka, 2008).

A phylogeographical hypothesis for analysing historical climatic processes that

influenced population genetic differentiation has been intensively studied in the Alps and

Carpathians, thus linking together studies in the European mountain ranges (e.g. the Alps,

Carpathians, Sudetes and Bohemian Forest) (Schönswetter et al., 2005; Albach, Schönswetter

& Tribsch, 2006; Ronikier, Cieślak & Korbecka, 2008; Ronikier et al., 2008; Alvarez et al.,

2009). However, in contrast to the Alps, the phylogeographical history of plants in the

mountain ranges of Central Europe remains poorly understood (Ronikier, 2011). In addition,

research considering the widespread circum-boreal plant species in the northern hemisphere

inhabited populations in the Sudetes, Bohemian Forest and Harz mountains are also scarce

(Alsos et al., 2005; Kramp et al., 2009; Wróblewska, 2013; Jermakowicz et al., 2015). With

the exception of studies dealing with *Saxifraga* (Bauert et al., 1998; Vargas, 2001; Lienert et

al. 2002; Oliver, Hollingsworth & Gornall, 2006; Winkler et al., 2012; Winkler et al., 2013)

and *Salix* sp. (Mirski et al., 2017), no studies have assessed plants inhabiting lowland or

mountain peat bog ecosystems. Many peat bog plant species are present in a wide range of

circum-boreal alpine regions and have been able to colonise large mountain areas or migrate

via Beringia to North America.

Populations dispersed in scattered localities are susceptible to negative effects from

individual population history and to possible fluctuations in their size (number of individuals).

Genetic drift and founder or bottleneck effects may also influence the genetic structure of

populations that are often genetically isolated (Freeland, 2008; Hansen, Thomas & Arnholdt-

Schmitt, 2009). The results of climatic oscillations resulted in plant distributions and

contributed to population genetic diversity that can be detected by molecular approaches

(Alsos et al., 2005; Wasowicz et al., 2016). These methods are based on nuclear, ribosomal or

chloroplast DNA (Taberlet et al., 1991) or DNA polymorphisms (Ronikier, 2011). These

methods also provide detailed insight into the processes responsible for the presently-

observed distributions and describe refugia areas for selected species. They also enable the

detection and enhanced description of genetic relationships between disjunctive and closed

plant populations. This can help to define the microevolutionary processes that have occurred

within plant populations that have changed their previous distribution range or identify

divergent lineages (Ronikier, 2011).

Therefore the present study used DNA sequence data from chloroplast markers to investigate

the genetic structure of individuals representing populations of the peat bog plant species,

**Comment [r2]:** A hypothesis is tested, not studied. State the hypothesis. Or is the intention to say that this area has been the subject of numerous phylogeographic studies to investigate the effects of Pleistocene climate change on population genetic differentiation?.

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131 *Swertia perennis*, in the Polish lowlands, Carpathians, Sudetes, Bohemian Forest and Alps.  
 132 The combination of samples from various localities together with cpDNA markers should  
 133 permit the identification of different haplotype lineages across the 1300 km disjunctive  
 134 distribution range in Europe. This study also aimed to assess whether (like in other temperate  
 135 mountain species) late- or postglacial migration (e.g. from Central Asia) may be a valid  
 136 explanation for the present distribution of *S. perennis*. The study also compared the genetic  
 137 relationships between plant populations growing in geographically-isolated sites in natural or  
 138 semi-natural patches in the landscape (Oostermeijer et al. 1996). These populations are  
 139 commonly spatially isolated and thus likely differ genetically from each other (Young et al.,  
 140 1996). Therefore all of the studied *S. perennis* individuals were from populations that had a  
 141 relatively wide but discontinuous distribution and were treated as isolated populations in  
 142 accordance with the work of Lienert & Fischer (2004).

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Comment [r5]: Now there is a need to state the two hypotheses: migration from ice-free areas of the Alps, or migration from Eastern Europe, and what these hypotheses would predict in the phylogeographic signal.

## 143 Material and methods

144 The species

145 *S. perennis* Linnaeus, Sp. Pl. 226, 1753, syn.: *Gentiana palustris* All. Fl. Pedem. 1:  
 146 100, 1785 from the Gentianaceae family inhabits lowlands but can also be found at higher  
 147 altitudes. The species is distributed globally across the Northern hemisphere, but also shows  
 148 discontinuous distribution from Asia to North America. It inhabits wetlands, especially peat  
 149 bogs in calcareous fens or high mountains; however, it is also present in wet meadows, creek  
 150 shores or wet rocks. It is a species of circum-boreal distribution, inhabiting Arctic, Siberian  
 151 and Northern, Atlantic and Central European provinces (Hultén, 1968; Hultén & Fries, 1986;  
 152 Meusel et al., 1978). In Europe, the species can be found in all mountain range systems of  
 153 Alpine orogeny, including the Pyrenees, Caucasus, Dinaric Alps, Carpathians and Herzynian  
 154 mountains (Sudetes, Bohemian Forest), as well as in peat bogs in Central Europe and Eastern  
 155 lowlands. *S. perennis* is a long-lived perennial rhizome herb that usually produces one erect  
 156 stem that grows to around 10–50 cm tall. It is a diploid ( $2n = 28$ ) organism that flourishes in  
 157 July or August (Löve & Löve, 1986; Pawlikowski & Wołkowycki, 2010). The flower nectar  
 158 chambers are visited by various insects including species of Coleoptera, Lepidoptera, Diptera  
 159 (especially Syrphidae) and Hymenoptera (especially *Bombus* and Vespidae). The plants  
 160 develop up to 50 winged seeds in ovate fruit capsules (Lienert & Fischer, 2004). The species  
 161 sometimes forms large populations in favourable habitats; however, as with numerous other  
 162 peat bog plants, they are, but is also sensitive to habitat fragmentation and destruction, In the

last century, fens in Poland and Switzerland that were inhabited by various wetland specialists (e.g. *S. perennis*) were strongly reduced in number and size due to direct destruction of wetlands, drainage and fertilisation (Lienert et al., 2002; Pawlikowski & Wołkowycski, 2010).

#### Study area and sampling

One plant sample per *S. perennis* population was collected from the Carpathians, Alps, Sudetes, Black Forest, Bohemian Forest and Polish lowlands close to the Lithuanian and Belarusian border (Fig. 1). In total, 10 plant samples were collected from the Carpathians, eight from the Sudetes, three from the Alps, two from the Bohemian Forest, one from the Black Forest and four from the Polish lowlands (Table 1). Fresh plant leaves were collected in the field, placed into plastic bags and immediately preserved using a drying agent (silica orange gel).

#### DNA isolation and sequencing

The genomic DNA was isolated using the DNeasy Plant Mini Kit (Qiagen; Hilden, Germany), according to the manufacturer's protocol. Dried plant leaves were previously frozen using liquid nitrogen and disrupted from using Mixer Mill MM400 (Retsch; Haan, Germany). The quality and quantity of the DNA was determined using 1% TBE agarose gel.

In similar as Groff, Hale & Whitlock (2015), we sequenced three markers from the chloroplast genome of *S. perennis*: the *trnL-trnF* Intergenic Spacer, *trnL* Intron and the *trnH*(GUG) – *psbA* spacer. All three chloroplast DNA regions are widely used for phylogenetic studies at all taxonomic levels (Drábková et al., 2004). The *trnLF* region is often considered evolutionary conservative but employed in phylogeny and taxonomy, and some studies have found intraspecific variation in this biogeographically informative gene regions that has been contradicted (Taberlet et al., 1991; Brunsfeld & Sullivan, 2005; Shaw et al., 2005; Fujii & Senni, 2006; Shaw et al., 2007; Groff, Hale & Whitlock, 2015). Additionally, the *trnH-psbA* region of the cpDNA is often more variable than the *trnLF* region (e.g. Shaw et al., 2005). The *trnL-trnF* Intergenic Spacer together with *trnL* Intron were tested: with “c” and “f” primers (Taberlet et al., 1991) and *trnH*(GUG) – *psbA* with *trnH*(GUG) and *psbA* primers (Shaw et al., 2005). The DNA extracts were used to PCR and

sequencing reactions. PCR reaction mix included (in the total volume of 20 µl): 1U Taq recombinant polymerase (Thermo-Fisher Scientific), 10X Taq Buffer, 1 mM MgCl<sub>2</sub>, 0.5 µM of each primer, 0.4 mM dNTP and 1 µl DNA template. PCR cycle was performed with a Veriti Thermal Cycler (Life Technologies, Carlsbad, CA, USA) with the following parameters: 8 min at 95°C, followed by 30 cycles of 45 s at 95°C, 45 s at annealing temperature (49.2°C – *trnL*, 51.2°C – *psbA*) and 1min at 72°C, followed by a final extension step of 10 min at 72°C. Prior to sequencing, PCR products were purified using GeneMATRIX PCR/ DNA Clean Up Purification Kit (Eurz, Gdańsk, Poland). Sequencing, post-reaction purification and reading were done by Genomed (Warsaw, Poland) using an ABI 377XL Automated DNA Sequencer (Applied Biosystems, Carlsbad, CA, USA). All sequences are available in GenBank (accession numbers - *trnH*(GUG) – *psbA* spacer: KY798346 - KY798347, KY817321 - KY817346; *trnL*-*trnF* Intergenic Spacer, *trnL* Intron: KY798346 - KY798348, KY906142 - KY906166). All molecular analyses has been done at Department of Botany and Plant Ecology Wrocław University of Environmental and Life Sciences.

#### cpDNA data analyses

The cpDNA sequences were aligned using DNA Baser Sequence Assembler v4 (Heracle BioSoft, 2014) and checked for nucleotide variation using BioEdit ver. 7.1.11 (Hall, 1999). Combined both cpDNA region, a widely used for phylogenetic analysis at all taxonomic levels, were concatenated and analysed together. Prior to the phylogenetic analyses, the cpDNA sequences were aligned using Muscle software (Edgar, 2004a; Edgar 2004b). We performed maximum parsimony (MP) and Bayesian inference (BI), to infer the phylogenetic relationships among selected individuals from European *S. perennis* populations. Maximum parsimony were conducted using PAUP\* 4.0b10 (Swofford, 2002) and involved heuristic strategy with 1000 replicates of random addition of sequences. Bootstraps for MP analyses based on 1,000 replications of full heuristic searches with the tree-bisection-reconstruction (TBR) branch-swapping algorithm, and those for NJ analyses (Saito & Nei, 1987) under the JC model (Jukes & Cantor, 1969). The BI analyses were performed using MrBayes 3.1.2. (Ronquist & Huelsenbeck, 2003). The substitution models used for each codon position in the BI analyses were GTR+G (1st codon position), GTR+I (2nd codon position), and GTR+I+G (3rd codon position), as estimated based on Aikake's information Criterion (AIC), selected by MrModeltest 2.3 (Nylander et al., 2004) using PAUP\* 4.0b10

(Swofford, 2002). The parameters of the substitution models for codon position were unlinked. The Markov chain Monte Carlo iteration was performed and stopped at 1,000,000 generations. The first 25% of generations were discarded as burn-in, whereas the remaining trees were used to calculate a 50% majority-rule tree and to determine the posterior probabilities of individual branches. The remaining trees were used to produce a majority-rule consensus tree and to calculate posterior probabilities (PP).

Haplotype network of the studied cpDNA sequences were constructed by TCS v1.21:2 (Clement, Posada & Crandall, 2000). Results were also analyzed by DnaSP (Rozas et al., 2003): the haplotype diversity ( $H_d$ ) and nucleotide diversity ( $\pi$ ). Arlequin 3.5.1.2 (Excoffier & Lischer, 2010) was used for detection of genetic variation among groups, among plants representing populations within groups and molecular variation of haplotype distribution ( $F_{st}$ ) (Weir & Cockerham, 1984).

In total, 28 sequence of *S. perennis* individuals and sequence of five other species used as an outgroup: *Frassera speciosa*, *Lomatogonium rotatum*, *Comastoma tenellum* and *Gentianella amarella* were studied.

## Results

Molecular phylogenetic analyses using cpDNA sequence data

Alignment of the *trnLF* region of cpDNA revealed a variation in length among individuals from European populations. A total of 15 variable sites with insertions or deletions were identified. These sequences were 827–838 base pairs in length, of which 33 characters were parsimony informative (Table 2). Alignment of the *trnH*(GUG)–*psbA* cpDNA locus also revealed a variation in length among individuals as well as the studied sites. A total of 19 sites with insertions or deletions (Table 3) were identified. The sequences were 410–428 base pairs in length, of which 38 characters were parsimony informative. Several indels at 658–665 in the *trnLF* region and 70–87 in the *trnH*–*psbA* region were neglected because they varied inconsistently with the substitution and because indels typically mutate more frequently than substitutions (Alsos et al., 2005).

The aligned lengths of the 33 sequences of the *trnLF* and *trnH*–*psbA* regions varied from 1485 to 1503 base pairs. The whole dataset contained 36 variable sites that were



dispersed randomly across the entire analysis area. The results showed that maximum parsimony (MP) analyses were congruent with Bayesian interference (BI) analyses. The concatenate sequence data were more informative than single trees based on the *trnL*F and *trnH-psbA* regions, and the results are presented in Fig. 2. The topologies of the trees were congruent, and only one arbitrarily-selected tree (from 12 trees) is shown with bootstrap proportions (BP) from MP and BI at the nodes.

Phylogeographic analysis of the concatenate sequence data resulted in more than 200 parsimonious trees (CI = 0.94, RI = 0.92). The dataset did not reveal any distinct regions of *S. perennis* within Europe, and no congruent groups were identified using TCS software (Fig. 3). Several individuals from distinct populations had unique sequences; however, there was no clear connection with geographical structure. Several of the most common haplotypes were found in individuals in different populations from various regions (e.g. in individuals from the Polish lowlands, Carpathians and Sudetes) (Fig. 3). In clade (BS = 67, BP = 0.98) are placed individuals represented 11 populations from almost all study regions. To verify the results, numerous additional resequencing reactions were performed on the same or additional *S. perennis* samples.

When assessing genetic polymorphisms at the species level, *S. perennis* possessed high levels of plastid DNA diversity ( $H_D = 0.841$ ) and nucleotide diversity ( $\pi = 0.00154$ ). Analysis of molecular variance (AMOVA) indicated a high degree of differentiation among individuals from populations ( $F_{ST} = 0.649$ ) and a high level of differentiation (64.93) among groups of populations (Table 4). Differentiation among individuals from populations within groups was lower and reached 35.07. This confirms that the determined haplotypes are dispersed randomly across the whole of Europe.

## Discussion

Genetic variability in plant populations is influenced by complex historical processes (e.g. Pleistocene glaciations, migrations, bottleneck effects and gene flow) and biological processes, the results of which can be observed using genetic analyses. However, it is difficult to define the same rules for all plant species, particularly with respect to plant migration. A good example is the history of *S. perennis* in Central and Western Europe, as this species is believed to have migrated there from Central Asia as another species from the Gentianaceae family. This study was not able to confirm this hypothesis using the data acquired. In fact, the findings suggest a discontinuous expansion range from various directions (e.g. Central Asia and Northern Euro-Asian territories). The findings also illustrate cpDNA variation between

292 individuals from different *S. perennis* populations in Europe, with numerous unique  
 293 haplotypes dispersed across this geographical area. A clear phylogeographic structure of *S.*  
 294 *perennis* was not detected in Europe, and the results illustrate distinct structural differences  
 295 between geographical haplotype lineages and the complicated nature of preglacial and  
 296 postglacial plant dispersal (Abbott & Brochmann, 2003). The observed haplotypes were  
 297 dispersed randomly across the study area and did not form closely-related lineages among  
 298 geographical regions (Figs. 2 and 3). These results show that the *S. perennis* haplotypes  
 299 probably originated from different localities and not only from one direction (e.g. Central  
 300 Asia). They could also have arisen before they spread to their current localities, from where  
 301 they dispersed and mutated. Plants collected from Hala Cebulowa and Hala Miziowa were  
 302 situated approximately 500 m apart, and their haplotypes differed with regards to *trnH-psbA*  
 303 length and nucleotide variation in the *trnH-psbA* and *trnLF* regions. Similarly, the haplotypes  
 304 detected in plants from Karkonosze populations (Sudetes mountains) were located about 1 km  
 305 from each other (Kocioł Wielkiego Stawu–Kocioł Małego Stawu and Kocioł Łomniczki–  
 306 Kopa) and they also differed in terms of their *trnH-psbA* and *trnLF* length or nucleotide  
 307 variation. Other haplotypes were specific only for the sites or localities in which they were  
 308 grown and did not form groups of similar sequences (Fig. 2). Closely-located populations of  
 309 *S. perennis* appear to be genetically isolated and form isolated population systems. This is in  
 310 accordance with the work of Lienert et al. (2002) who suggested that geographical and genetic  
 311 distances between *S. perennis* populations were not correlated and that gene flow between  
 312 close populations was not higher than between more distant populations.

313 The genetic diversity of cpDNA and the lack of phylogeographical structure may be  
 314 attributed to the range formation history, its shifting during the ice age and the emergence of a  
 315 group of boreal mountain plants (Ronikier, 2011). During subsequent glaciations and cold  
 316 temperatures, alpine plants expanded their range and warm interglacial alpine plants and  
 317 boreal and subarctic species (from subpolar areas in front of the glacier) retreated to higher  
 318 mountain localities and refugia areas in the far north. This may have led to distinctive  
 319 geographical disjunctions. Today, *S. perennis* is found in European mountains, Northern  
 320 Eurasia and North America (in peat bogs and boreal forests), as well as in isolated boreal  
 321 (Hultén, 1968; Meusel et al., 1978; Hultén and Fries, 1986).

322 Similar haplotypes identified in this study may also form part of a residual lineage of  
 323 haplotypes that colonised peat bog areas in mountains. The five most similar haplotypes (17,  
 324 18, 19, 26 and 28, presented on Fig. 3) are dispersed across several disjunctive areas without  
 325 any geographical correlation. The current fragmented distribution of *S. perennis* seems to be a

residual effect after more homogenous distribution. It is also possible that cpDNA mutates rapidly, seed production or dispersal does not occur or is scarce and gene flow is scattered or nonexistent. At the contact zones between migrating fronts, significant haplotype mixing has occurred, as all populations are colonising new territories. It is possible that the migration of *S. perennis* occurred in a similar way or in several phases. Mountain plants could have survived the last Wistulian glaciation; however, after the end of the cold period, new plants (possibly from Siberia) may have expanded to their present European territory. This may explain the difference in cpDNA nucleotide composition in individuals from distinct populations of *S. perennis*. It is also possible that the species both survived glaciations in situ and remigrated several times, therefore broad-fronted repeated recolonization and glacial survival can shape genetic variation (Tausch et al., 2017).

### Conclusions

In conclusion, the ~~non-existent~~non-existent phylogeographical structure of *S. perennis* in the study areas of the European range may be the result of numerous overlapping factors, such as multidirectional gene flow in the dispersal history, long-distance dispersal during postglacial recolonization and survival in several detached refugia (Beatty & Provan, 2011; Cain, Milligan & Strand, 2000; Jiménez-Mejías et al., 2012; Sanz et al., 2014). The low level of variation in the structure patterns is similar to that of other plant taxa with northern distribution and may indicate the long-term process of gene flow among the populations (Eidesen et al., 2007a; Eidesen et al., 2007b; Ehrich, Alsos & Brochmann, 2008; Westergaard et al., 2010; Alsos et al., 2012). It is also possible that plant populations occurred in Pleistocene refugia or migrated during the Holocene, and this was enough time for them to form divergent cpDNA. The lack of evidence for phylogeographic structure may indicate a high level of gene flow in the recent past. The variation in cpDNA nucleotide composition in individuals from distinct populations may also reflect genetic variability from ancient periods when the landscape and fen systems were not fragmented. The gene flow today is probably much smaller than in previous times or is ~~non-existent~~non-existent. Habitat fragmentation for ~~stenotypic specialists from~~peat bogs ~~specialists~~ may have significantly reduced genetic variability and led to absent or minimal gene flow between populations.

### Acknowledgements

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