

A novel long non-coding RNA, AC012456.4, as a valuable and independent prognostic biomarker of survival in oral squamous cell carcinoma

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Oral squamous cell carcinoma (OSCC) is a major malignant cancer of the head and neck. Long non-coding RNAs (lncRNAs) have emerged as critical regulators during the development and progression of cancers. This study aimed to identify a lncRNA-related signature with prognostic value for evaluating survival outcomes and to explore the underlying molecular mechanisms of OSCC. Associations between overall survival (OS), disease-free survival (DFS) and candidate lncRNAs were evaluated by Kaplan-Meier survival analysis and univariate and multivariate Cox proportional hazards regression analyses. The robustness of the prognostic significance was shown via the Gene Expression Omnibus (GEO) database. A total of 2493 lncRNAs were differentially expressed between OSCC and control samples (fold change > 2, $p < 0.05$). We used Kaplan-Meier survival analysis to identify 21 lncRNAs for which the expression levels were associated with OS and DFS of OSCC patients ($p < 0.05$) and found that down-expression of lncRNA AC012456.4 especially contributed to poor DFS ($p = 0.00828$) and OS ($p = 0.00987$). Furthermore, decreased expression of AC012456.4 was identified as an independent prognostic risk factor through multivariate Cox proportional hazards regression analyses [DFS: $p = 0.004$, hazard ratio (HR) = 0.600, 95% confidence interval (CI) = 0.423-0.851; OS: $p = 0.002$, HR = 0.672, 95% CI = 0.523-0.863]. Gene Set Enrichment Analysis (GSEA) indicated that lncRNA AC012456.4 were significantly enriched in critical biological functions and pathways and was correlated with tumorigenesis, such as regulation of cell activation, and the JAK-STAT and MAPK signal pathway. Overall, these findings were the first to evidence that AC012456.4 may be an important novel molecular target with great clinical value as a diagnostic, therapeutic and prognostic biomarker for OSCC patients.

1 **A novel long non-coding RNA, AC012456.4, as a valuable**
2 **and independent prognostic biomarker of survival in oral**
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31 Abstract:

32 Oral squamous cell carcinoma (OSCC) is a major malignant cancer of the head and neck. Long
33 non-coding RNAs (lncRNAs) have emerged as critical regulators during the development and
34 progression of cancers. This study aimed to identify a lncRNA-related signature with prognostic
35 value for evaluating survival outcomes and to explore the underlying molecular mechanisms of
36 OSCC. Associations between overall survival (OS), disease-free survival (DFS) and candidate
37 lncRNAs were evaluated by Kaplan-Meier survival analysis and univariate and multivariate
38 Cox proportional hazards regression analyses. The robustness of the prognostic significance
39 was shown via the Gene Expression Omnibus (GEO) database. A total of 2493 lncRNAs were
40 differentially expressed between OSCC and control samples (fold change >2, $p < 0.05$). We used
41 Kaplan-Meier survival analysis to identify 21 lncRNAs for which the expression levels were
42 associated with OS and DFS of OSCC patients ($p < 0.05$) and found that down-expression of
43 lncRNA AC012456.4 especially contributed to poor DFS ($p = 0.00828$) and OS ($p = 0.00987$).
44 Furthermore, decreased expression of AC012456.4 was identified as an independent prognostic
45 risk factor through multivariate Cox proportional hazards regression analyses [DFS: $p = 0.004$,
46 hazard ratio (HR) = 0.600, 95% confidence interval (CI) = 0.423-0.851; OS: $p = 0.002$, HR = 0.672,
47 95% CI = 0.523-0.863]. Gene Set Enrichment Analysis (GSEA) indicated that lncRNA
48 AC012456.4 were significantly enriched in critical biological functions and pathways and was
49 correlated with tumorigenesis, such as regulation of cell activation, and the JAK-STAT and
50 MAPK signal pathway. Overall, these findings were the first to evidence that AC012456.4 may
51 be an important novel molecular target with great clinical value as a diagnostic, therapeutic and
52 prognostic biomarker for OSCC patients.

53

54 1. Introduction

55 The 5-year survival rate is approximately 50% for oral squamous cell carcinoma (OSCC),
56 which one of the most common malignancies of the head and neck region (Bozec et al. 2009;
57 Ferlay et al. 2015; Kamangar et al. 2006; Kim et al. 2017; Verusingam et al. 2017). The
58 predisposition of OSCC to distant metastases and metastases in the lymph nodes, its highly
59 invasive nature, and its tendency towards local recurrence are important factors that contribute to
60 the poor prognosis of OSCC patients (Massano et al. 2006; Singh & Schenberg 2013). Hence,
61 more effective novel tumor diagnostic and prognostic biomarkers (Mehrotra & Gupta 2011),

62 which can improve the survival rate and can be used to assess treatment outcomes are urgently
63 needed.

64 The Cancer Genome Atlas (TCGA) (<http://cancergenome.nih.gov>) database, which is
65 primarily used to collate specimens from cancer patients and adjacent normal tissue specimens,
66 contains large data sets collected with high-throughput methods at multiple genomic and
67 proteomic levels (Chin et al. 2011; Wang et al. 2009). The Gene Expression Omnibus (GEO,
68 <http://www.ncbi.nlm.nih.gov/geo/>) is the largest and most comprehensive public gene expression
69 repository for high-throughput data at NCBI (Barrett & Edgar 2006; Clough & Barrett 2016).
70 Both the GEO and TCGA collect macroscopic clinical information, such as stage and grade of
71 tumor, survival time, age, sex, and race. Therefore, the TCGA and GEO databases can be
72 analyzed systematically and comprehensively to explore important potential value and
73 information.

74 In this study, we first sought to use the existing GEO microarrays and TCGA RNA-seq data
75 to identify differential expression of lncRNAs between OSCC and control tissue samples. Then,
76 the differentially expressed lncRNAs were evaluated by Kaplan-Meier survival analysis and
77 univariate, multivariate Cox proportional hazards regression analyses and Gene Set Enrichment
78 Analysis (GSEA). Ultimately, through systematic and objective analysis, we first discovered that
79 lncRNA AC012456.4 is significantly associated with survival outcomes of OSCC patients based
80 on TCGA data. Then, AC012456.4 was further successfully confirmed as a potential prognostic
81 biomarker for the prediction of overall survival (OS) in the GEO database. We hope that the
82 lncRNA AC012456.4 revealed in our study may serve as a novel biomarkers and potential
83 targets for the diagnosis, treatment, and prognosis of OSCC.

84 **2. Materials and Methods**

85 *2.1. Data source*

86 The RNA-seq data and corresponding patient information data of head and neck cell
87 carcinoma (HNSC) were downloaded from the TCGA database. Clinical samples from the oral
88 cavity (buccal mucosa, tongue, lip, hard palate, alveolar ridge, floor of the mouth and oral cavity)
89 were chosen, while some samples from other parts (hypopharynx, larynx, oropharynx and tonsil,
90 for example) were excluded. The original microarray data between OSCC and adjacent normal
91 tissue samples were downloaded from the NCBI GEO databases. The accession numbers were
92 GSE36820 and GSE41613, respectively. The microarray data of GSE36820 and GSE41613 were
93 based on GPL570 (Affymetrix Human Genome U133 Plus 2.0 Array).

94

95 *2.2. Data pre-processing and differential expression analysis*

96 The edgeR package was downloaded from the Stanford University website. The original
97 microarray data from the GEO were converted into expression measures using the affy R
98 package. Then, the differentially expressed lncRNAs were identified by Limma R package
99 (Ritchie et al. 2015; Teufel et al. 2016). The differentially expressed lncRNAs that were screened
100 from the TCGA were analyzed by the edgeR package (Robinson et al. 2010). To improve screen
101 accuracy and simplify the screening process, the cut-off criteria, which was in accordance with
102 the procedure of Benjamini & Hochberg (BH), was as follows: 1. the false discovery rate was

103 controlled at 0.01; 2. the fold change should be more than 2. The differentially expressed
104 lncRNAs among GSE36820, GSE41613 and the TCGA were identified by the intersect function
105 in R package. Tumor and normal tissue data were recorded and were statistically analyzed.

106

107 *2.3. Identification of lncRNAs with prognostic value in OSCC*

108 The differences between expressed lncRNAs (fold change > 2, $p < 0.05$) are involved in the
109 prognostic value for OSCC. The OSCC patients were divided into two parts, depending on the
110 average expression level of candidate lncRNAs: a high expression group and a low expression
111 group. Survival differences and p-values were compared between the two groups and were
112 evaluated using a Kaplan-Meier survival analysis and a log-rank test. After this, a univariate Cox
113 proportional hazards regression analysis (Bair & Tibshirani 2004) was conducted to assess the
114 correlation between candidate lncRNAs and patient overall survival (OS) and disease-free
115 survival (DFS) ($p < 0.05$). Statistically significant lncRNAs and clinical candidate predictors
116 were further evaluated by multivariate Cox proportional hazards regression analyses to identify
117 independent prognostic lncRNAs. Candidate predictors included age, gender, grade, and stage.
118 We then performed subgroup analyses. The hazard ratio (HR) and 95% confidence interval (CI)
119 were also assessed.

120

121 *2.4. Gene set enrichment analysis (GSEA)*

122 GSEA 2-2.2.3 (JAVA version) was downloaded from the Gene Set Enrichment Analysis
123 website (<http://software.broadinstitute.org/gsea/index.jsp>). Then, the downloaded dataset was
124 imported using the GSEA software. Gene sets identified as related to biological signal
125 conduction on the MSigDB (Molecular Signatures Database)
126 (<http://software.broadinstitute.org/gsea/msigdb>), which may be found on the GSEA website,
127 served as reference gene sets. This process was repeated 1000 times for each analysis according
128 to the default weighted enrichment statistical method. Gene sets with a false discovery rate
129 (FDR) < 0.25 and a family-wise error rate (FWR) < 0.05. The GSEA analysis includes four key
130 statistics: Enrichment Score (ES), Normalized Enrichment Score (NES), False Discovery Rate
131 (FDR) and P-value.

132

133 *2.5. Statistical analysis*

134 In this study, all analyses, including the t-test, heat map, and survival analyses, were
135 performed with the R, GraphPad and SPSS software packages. p values less than 0.05 was
136 considered significant. All statistical tests were two-sided.

137 **3. Results**

138 *3.1. Characteristics of OSCC patients according to the TCGA*

139 In this study, the datasets of 350 OSCC patient and 44 controls were acquired and
140 downloaded from the TCGA (<http://cancergenome.nih.gov>) database; these datasets contained
141 expression data and clinical information related to 14448 lncRNAs. The clinicopathological

142 features of all patients are shown in **Table 1**. The mean \pm standard deviation (STDEV) for all
143 patient ages is 61.590 ± 12.886 .

144

145 *3.2. Significant differentially expressed lncRNAs in OSCC*

146 In all, 2493 differentially expressed lncRNAs were identified through analysis of 14448
147 lncRNAs using the edgeR packages (fold change > 2 , $p < 0.05$) (**Fig 1**). Moreover, 855 lncRNAs
148 were down-regulated and 1638 lncRNAs were up-regulated in the OSCC samples compared to
149 normal tissue. Down-regulated and up-regulated lncRNAs account for 34.2% and 65.6% of the
150 differentially expressed lncRNAs, respectively.

151

152 *3.3. Identification of survival differences lncRNAs in OSCC*

153 We used a Kaplan-Meier survival analysis with the log-rank test to identify relationships
154 between the above 2493 lncRNA signatures and the survival of OSCC patients. Then, we
155 determined the levels of 21 lncRNA signatures that were significantly related to OS and DFS.
156 Among these 21 lncRNAs, a significant positive correlation was observed between the signatures
157 of 13 lncRNAs (TTC39A-AS1, RP11-93B14.9, AC012456.4, RP11-87C12.5, RP11-464F9.21,
158 LINC01549, RP11-897M7.1, AP003900.6, LINC01343, RP11-181E10.3, CTD-2545H1.2,
159 RP11-796E2.4 and LINC01108) and OS/DFS. In contrast, the signatures of the remaining 8
160 lncRNAs (AC007879.2, BOK-AS1, CTB-161M19.4, CTD-2033A16.3, FAM95B1, RP11-1C8.7,
161 RP11-285G1.14 and RP11-286E11.1) were significantly negatively correlated with OS and DFS.
162 That is, low expression of the 13 lncRNAs described above correlated with a poor prognosis of
163 OSCC patients, while the up-regulation of the latter 8 lncRNAs correlated with a shorter survival
164 time (**Fig 2**) (**Table 2**)

165 Through the above Kaplan-Meier survival analysis, the variables of age, gender, grade,
166 tumor stage, and TNM stage were identified as statistically significant factors that are related to
167 the above 21 lncRNAs and patient prognosis. We also applied univariate and multivariate Cox
168 regression analyses to evaluate the ability of 21 candidate lncRNA signatures to serve as
169 independent prognostic variables. The univariate analysis indicated that decreased AC012456.4
170 expression (HR = 0.706, 95% CI: 0.551-0.903, $p = 0.006$), age, tumor stage, and TNM stage
171 were all significantly related to worse OS in OSCC patients (**Table 3**). Decreased AC012456.4
172 expression (HR = 0.601, 95% CI: 0.423-0.853, $p = 0.004$) was the only variable that could
173 predict poorer DFS for OSCC. Finally, multivariate Cox regression analysis revealed that low
174 expression of AC012456.4 was the only independent prognostic variable for both OS (HR =
175 0.672, 95% CI: 0.523-0.863, $p = 0.002$) and DFS (HR = 0.600, 95% CI: 0.423-0.851, $p = 0.004$)
176 in OSCC patients (**Table 4**). In addition, age and N stage were highly significantly correlated
177 with shorter OS or DFS.

178

179 *3.4. lncRNA AC012456.4 was low expressed in OSCC tissues and associated with* 180 *clinicopathological parameters*

181 OSCC patients were further classified into high or low expression groups based on the

182 median value of the relative lncRNA expression. The expression of lncRNA AC012456.4 was
183 significantly weaker in OSCC tissue samples (1.360 ± 0.05569) relative to normal tissue samples
184 (3.062 ± 0.2304) in the TCGA ($p < 0.0001$) (**Fig 3**). The correlation between lncRNA
185 AC012456.4 expression and clinicopathologic parameters of OSCC patients was also further
186 analyzed. As shown in Table 5, lncRNA AC012456.4 expression was significantly correlated
187 with alcohol history consumption ($p = 0.033$). Additionally, decreased expression of lncRNA
188 AC012456.4 expression nearly significantly associated with T stage ($p = 0.075$). However, no
189 significant association was found between other clinicopathological factors and lncRNA
190 AC012456.4 expression.

191

192 *3.5. Evaluation of the prognostic value of lncRNA AC012456.4 via the GEO*

193 For the purpose of evaluating the robustness of lncRNA AC012456.4 expression in th
194 prediction of OS of OSCC patients, we acquired another independent datasets from the GEO
195 with accession numbers of GSE36820 and GSE41613, which contained OSCC samples, but
196 samples with incomplete clinical information were excluded. The prognostic signatures and the
197 Kaplan-Meier analysis were calculated and performed for each OSCC sample. In agreement with
198 the result of the TCGA datasets, low expression levels of lncRNA AC012456.4 were associated
199 with lower OS (**Fig 4**). The lncRNA AC012456.4 was also expressed at low levels in OSCC
200 tissues ($p < 0.0001$).

201

202 *3.6. Relationship between lncRNA AC012456.4 and biological pathways and functions*

203 Biological pathways and functions of lncRNA AC012456.4 were identified by GSEA. This
204 analysis revealed that lncRNA AC012456.4 was involved in many critical pathways and
205 correlated with tumorigenesis. A total of 150 pathways listed in the high-risk group were
206 enriched, including KEGG MAPK SIGNALING PATHWAY, KEGG JAK-STAT SIGNALING
207 PATHWAY, KEGG CALCIUM SIGNALING PATHWAY and KEGG PATHWAYS IN
208 CANCER. Twenty-seven pathways in the low-risk group were also identified, including the
209 KEGG OXIDATIVE PHOSPHORYLATION, KEGG PROTEASOME and KEGG
210 SPLICEOSOME (**Fig 5**). Similarly, 3073 GO annotations in the high-risk group and 516 GO
211 annotations in the low-risk group were enriched (**Fig 6**). Relevant partial results for KEGG
212 pathways and GO analysis are listed in **Table 6** and **Table 7**.

213 **4. Discussion**

214 OSCC is a common, highly invasive type of oral cancer prone to early recurrence and
215 metastasis (Massano et al. 2006; Singh & Schenberg 2013). Therefore, early diagnosis and
216 treatment of OSCC is essential (Bozec et al. 2009). While cytology- and pathology-based
217 methods have been applied to the clinical differential diagnosis of OSCC, limitations in the
218 detection methods and poor prognoses have limited the 5-year survival rate (Omar 2013). Hence,
219 more reliable, accurate and sensitive prognosis biomarkers and tools for early diagnosis are
220 urgently needed (Mehrotra & Gupta 2011). In recent years, many studies have revealed a close

221 association between aberrant expression of lncRNAs and tumorigenesis (A & I 2014; Batista &
222 Chang 2013; JM 2017; Rinn & Chang 2012; Slaby et al. 2017), which may aid in cancer
223 diagnosis and prognosis.

224 Fewer than 2% of genes in the human genome are transcribed, and up to 98% of these
225 transcripts are non-coding RNAs (Jandura & Krause 2017; JT 2013; Quinn & Chang 2016).
226 lncRNAs are a class of non-coding transcripts ≥ 200 nucleotides in length that are actively
227 involved in many biological processes, such as epigenetic regulation, cell cycle regulation,
228 chromatin modulation and regulation of multiple gene expression (Rinn & Chang 2012; Wang et
229 al. 2017). These non-coding transcripts also play key roles in the occurrence, development and
230 progression of malignant tumors (JM 2017; Kopp & Mendell 2018; Spizzo et al. 2012). An
231 increasing number of studies have reported that lncRNAs can play essential roles as oncogenes
232 or tumor suppressor genes involved in the development and progression of various cancers
233 (Batista & Chang 2013; JM 2017; Kopp & Mendell 2018; Reik 2009; Rinn & Chang 2012; Slaby
234 et al. 2017; Spizzo et al. 2012), including OSCC (Fang et al. 2017; Gomes et al. 2017; Guo et al.
235 2017b; Li et al. 2017). For example, the down-regulation of HOTAIR is associated with cancer
236 progression in 26 human tumor types (Bhan & Mandal 2015).

237 However, most early studies focused on a single gene or the results obtained from a single
238 cohort study of lncRNAs and OSCC. Sun et al.(Sun et al. 2017) used qRT-PCR to analyze the
239 expression levels of lncRNA PDIA3P in 58 OSCC and paired noncancerous tissue samples. This
240 study found that the overexpression of lncRNA PDIA3P correlated with lower survival rates for
241 OSCC patients. One study by Wu et al.(Wu et al. 2015) suggested that high expression of
242 lncRNA HOTAIR in OSCC patients would contribute to the development and progression of
243 cancer, leading to a poor prognosis. Similarly, LINC00668 expression is increased in both 50
244 OSCC tissues and cells, and over-expression is significantly correlated with poorer survival for
245 OSCC patients; Therefore, this might be a negative predictive factor for the prognosis of
246 OSCC patients(Zhang 2017). In the era of big data, the development of TCGA and GEO
247 technology has allowed researchers to predict and identify new biomarkers, which has enhanced
248 the reliability and accuracy of current research. Cui et al.(Cui et al. 2017) used TCGA and GEO
249 data to determine that the expression levels of several lncRNAs, including RP1-228H13.5,
250 TMCC1-AS1, LINC00205, and RP11-307C12.11, were associated with OS and recurrence-free
251 survival of hepatocellular carcinoma patients. Three lncRNAs (LINC01140, TGFB2-OT1, and
252 RP11-347C12.10) were significantly correlated with prognoses of hepatocellular carcinoma
253 patients, independent of some clinical characteristics. Using the database, three lncRNAs, which
254 may play key roles in the development, progression, and recurrence in gastric cancer, were
255 identified (Song et al. 2017). However, the functions, roles, and molecular mechanisms of
256 lncRNAs associated with OSCC remain unclear.

257 In this study, we identified lncRNAs that are dysregulated in OSCC and evaluated the
258 relationships between the TCGA database and the clinicopathological features of these OSCC
259 patients. Based on the above analysis, a total of 21 lncRNAs were correlated with patient
260 prognoses, of which thirteen lncRNAs (TTC39A-AS1, RP11-93B14.9, AC012456.4, RP11-
261 87C12.5, RP11-464F9.21, LINC01549, RP11-897M7.1, AP003900.6, LINC01343, RP11-
262 181E10.3, CTD-2545H1.2, RP11-796E2.4 and LINC01108) were significantly positively

263 associated with OS and DFS, while the up-regulation of the latter 8 lncRNAs (AC007879.2,
264 BOK-AS1, CTB-161M19.4, CTD-2033A16.3, FAM95B1, RP11-1C8.7, RP11-285G1.14 and
265 RP11-286E11.1) were correlated with poorer prognoses. Lan et al. (Lan et al. 2017) have also
266 reported that RP11-1C8.7 predicted the progression and outcome of patients with kidney renal
267 papillary cell carcinoma and was regarded as an independent prognostication factor for kidney
268 renal papillary cell carcinoma. Thus far in the published literature, no report has evaluated the
269 biological function and molecular mechanisms of other lncRNAs associated with human cancers.

270 To our knowledge, this study is pioneering research and identified the lncRNA AC012456.4,
271 which exhibited significantly lower expression in OSCC tissues than in adjacent normal tissues.
272 Additionally, a Kaplan-Meier survival analysis (Gyorffy et al. 2012) as well as univariate and
273 multivariate Cox regression analyses revealed that lncRNA AC012456.4 was an independent
274 prognostic factor and was significantly correlated with shorter OS and DFS. Further validation
275 via the GEO database was consistent with the TCGA database analysis results. Moreover, we
276 further evaluated the relationship between AC012456.4 expression and the clinicopathological
277 features of OSCC patients. Low levels of AC012456.4 were found to be significantly associated
278 with the history of alcohol consumption in OSCC patients. Interestingly, according to previous
279 studies, we found that alcohol consumption can increase the probability of G:C to A:T transitions
280 and that alcohol drinkers exhibited a significantly higher incidence of p53 mutations in OSCC
281 (Hsieh et al. 2001), which suggested that alcohol may play a critical role in the progression of
282 OSCC.

283 Since lncRNAs perform their biological function by specifically binding to target genes, we
284 further explored the possible biological functions and molecular pathways of AC012456.4.
285 Through GSEA, AC012456.4 was found to be significantly involved with tumor-related
286 signaling pathways and crucial biological functions in tumorigenesis. Key pathways and
287 functions for tumor initiation and progression were identified, such as GO biological function
288 annotation and KEGG pathways, including the adaptive immune response, RNA metabolic
289 processes, CALCIUM, MAPK, and the JAK/STAT signaling pathway. Additionally, mutation,
290 aberrant expression and modification of these GO annotations and signaling pathways have been
291 frequently reported in OSCC and other cancers. We found that the MAPK pathway could be
292 activated by the low expression of the tumor suppressor QKI-5, which can promote the
293 proliferation of OSCC cells (Fu & Feng 2015). We also revealed the strong relationships
294 between HOXC10 and gastric cancer cell proliferation and metastasis, which occur through the
295 MAPK pathway (Guo et al. 2017a). Other pathways and biological functions have also been
296 reported in pancreatic ductal adenocarcinoma (Huang et al. 2017a), hepatocellular carcinoma
297 (Huang et al. 2017b; Wonganan et al. 2017), and human papillomavirus-transformed tumors
298 (Skeate et al. 2018).

299 Dysregulated expression of lncRNA signatures has tremendous potential value, but this
300 research has limitations. Above all, we have explored the correlation between AC012456.4
301 expression and OSCC prognosis based on the TCGA and GEO databases, which signifies that
302 the exploration was performed using a bioinformatics approach. Then, further research, such as
303 quantitative real-time PCR, as well as in vivo and in vitro experiments, will require collaborative

304 efforts to explore the potential molecular functions and related mechanisms of these lncRNAs in
305 OSCC.

306

307 **5. Conclusions**

308 In summary, this study was the first to discover that lncRNA AC012456.4 was poorly
309 expressed in OSCC, with decreased survival rates for OSCC patients. This may be a potential
310 novel, independent biomarker and therapeutic target for the early diagnosis, pathological
311 classification, clinical treatment and outcome prediction for OSCC. Nevertheless, these
312 assumptions require validation and confirmation by larger, multicenter studies.

313 **Acknowledgments:** We thank the patients and investigators who participated in TCGA
314 Research Network (<http://cancergenome.nih.gov/>), which provides a Web resource for exploring,
315 visualizing, and analyzing multidimensional cancer genomics data.

316 **Abbreviations**

LncRNAs	long non-coding RNAs
OSCC	Oral squamous cell carcinoma
HR	hazard ratio
CI	confidence interval
DFS	disease-free survival
OS	overall survival
TCGA	The Cancer Genome Atlas
GEO	Gene Expression Omnibus
GSEA	Gene Set Enrichment Analysis
KEGG	the Kyoto Encyclopedia of Genes and Genomes
GO	Gene Ontology
STDEV	standard deviation

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Figure 1(on next page)

A heat map drawn to show differential lncRNA expression in OSCC and normal tissue samples from the TCGA datasets, which were analyzed with R software

Representative genes of each cluster were selected and represented as a heat map. Genes shown in red are upregulated and genes in blue are downregulated. The magnitude of the regulation is illustrated by the intensity of the color.

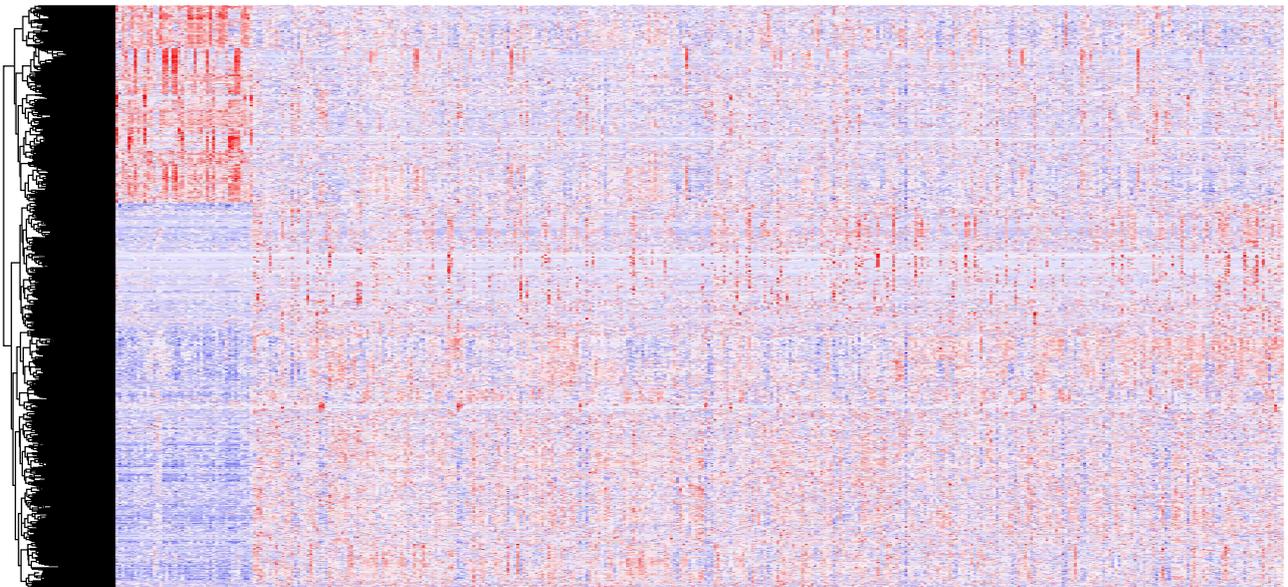


Figure 2 (on next page)

Kaplan-Meier survival analyses and log-rank tests for OS and DFS in OSCC

(A) OS and (B) DFS rates of all patients according to AC012456.4 expression. (C) OS and (D) DFS rates of all patients according to AP003900.6 expression. (E) OS and (F) DFS rates of all patients according to BOK-AS1 expression. (G) OS and (H) DFS rates of all patients according to LINC01108 expression. (I) OS and (J) DFS rates of all patients according to RP11-1C8.7 expression. (K) OS and (L) DFS rates of all patients according to RP11-87C12.5 expression

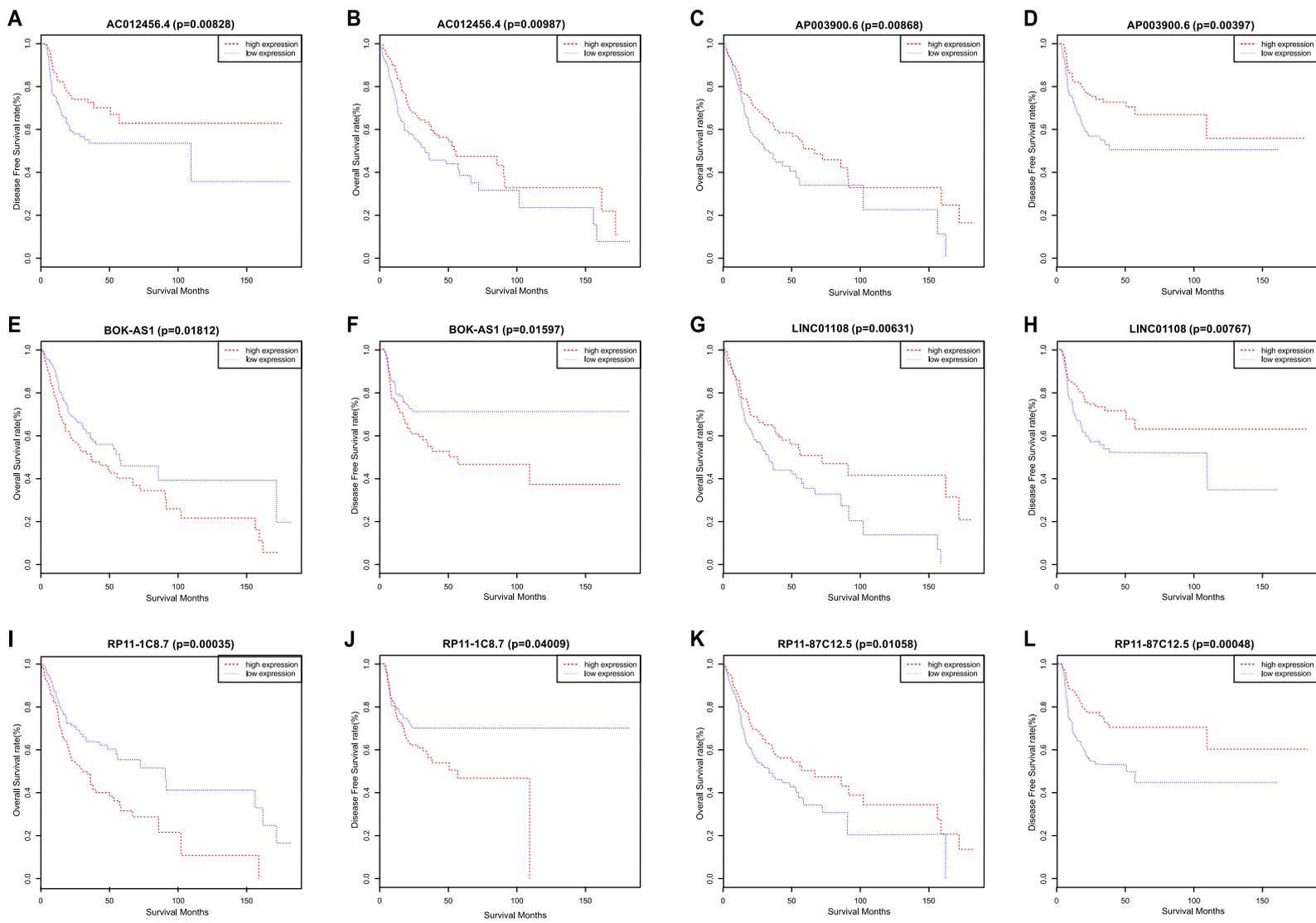


Figure 3(on next page)

Expression of AC012456.4 in normal tissues and OSCC tissues.

AC012456.4 expression is significantly down-regulated in OSCC samples (1.360 ± 0.05569) in comparison to adjacent non-cancerous tissues (3.062 ± 0.2304) in the TCGA dataset.

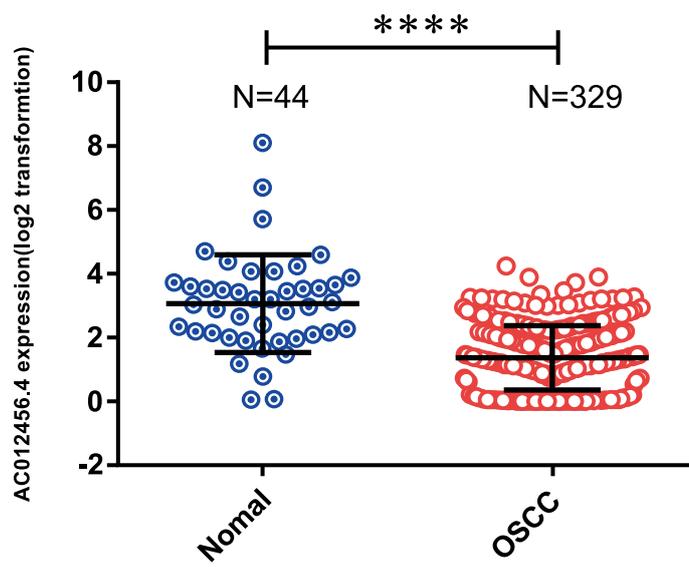


Figure 4(on next page)

Evaluation of the prognostic value of lncRNA AC012456.4 via the GEO

(A) Heatmap of lncRNA AC012456.4 expression in GEO. (B) lncRNA AC012456.4 expression was significantly low in OSCC. (C) OSCC patients were divided into the high expression group and the low expression group according to the median lncRNA AC012456.4 expression. (D) The low expression of lncRNA AC012456.4 was significantly associated with poor prognosis in patients with OSCC ($p < 0.0001$).

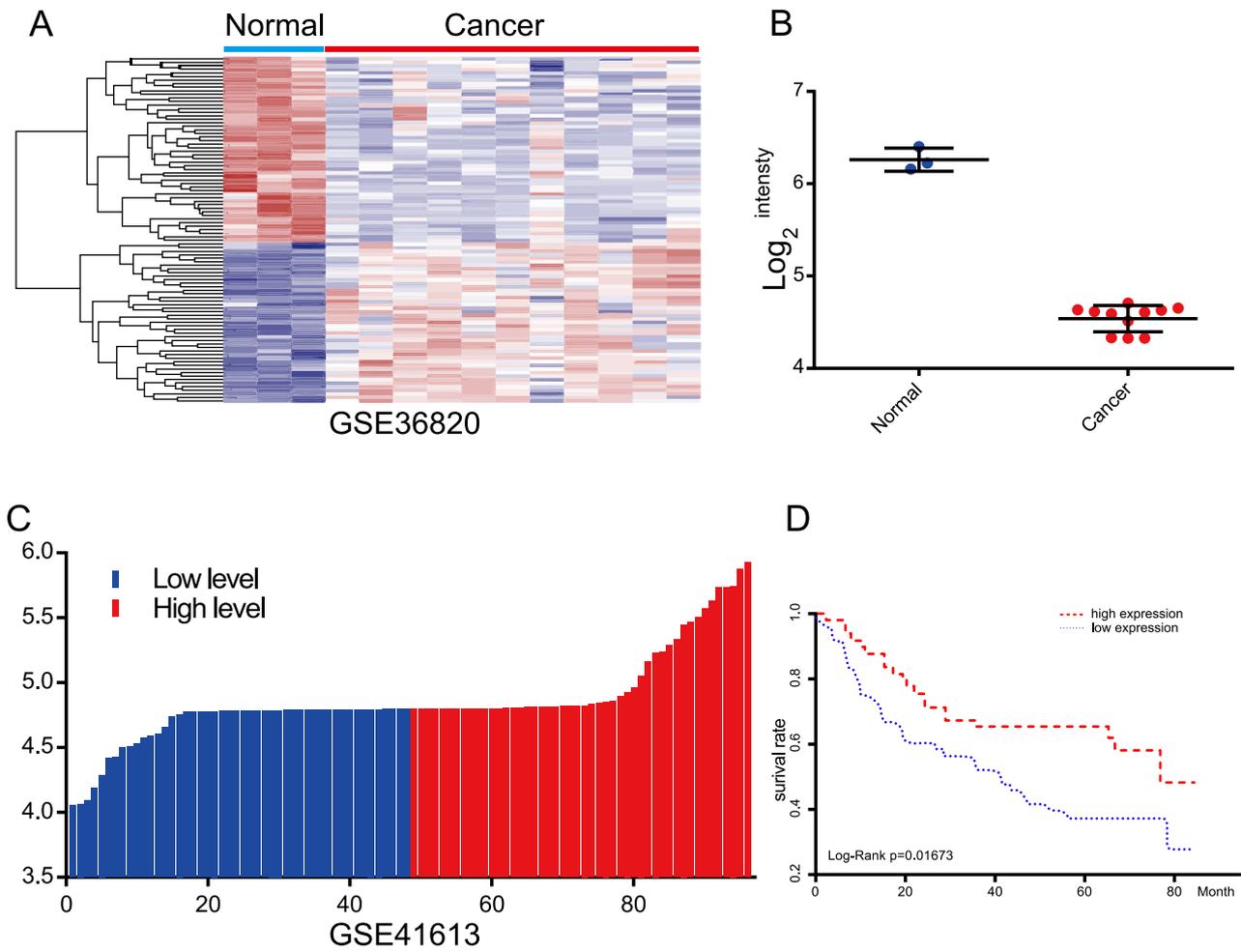


Figure 5(on next page)

KEGG pathway enrichment analysis of lncRNA AC012456.4

(A) Enrichment of genes in the KEGG MAPK SIGNALING PATHWAY by GSEA. (B) Heat map of core enrichment genes in the gene set KEGG MAPK SIGNALING PATHWAY. (C) Enrichment of genes in KEGG PATHWAYS IN CANCER by GSEA. (D) Heat map of core enrichment genes from the gene set KEGG PATHWAYS IN CANCER. (E) Enrichment of genes in KEGG OXIDATIVE PHOSPHORYLATION by GSEA. (F) Heat map of core enrichment genes from the gene set KEGG OXIDATIVE PHOSPHORYLATION. (G) Enrichment of genes in KEGG SPLICEOSOME by GSEA. (H) Heat map of core enrichment genes from the gene set KEGG SPLICEOSOME. The GSEA software was used to calculate enrichment levels.

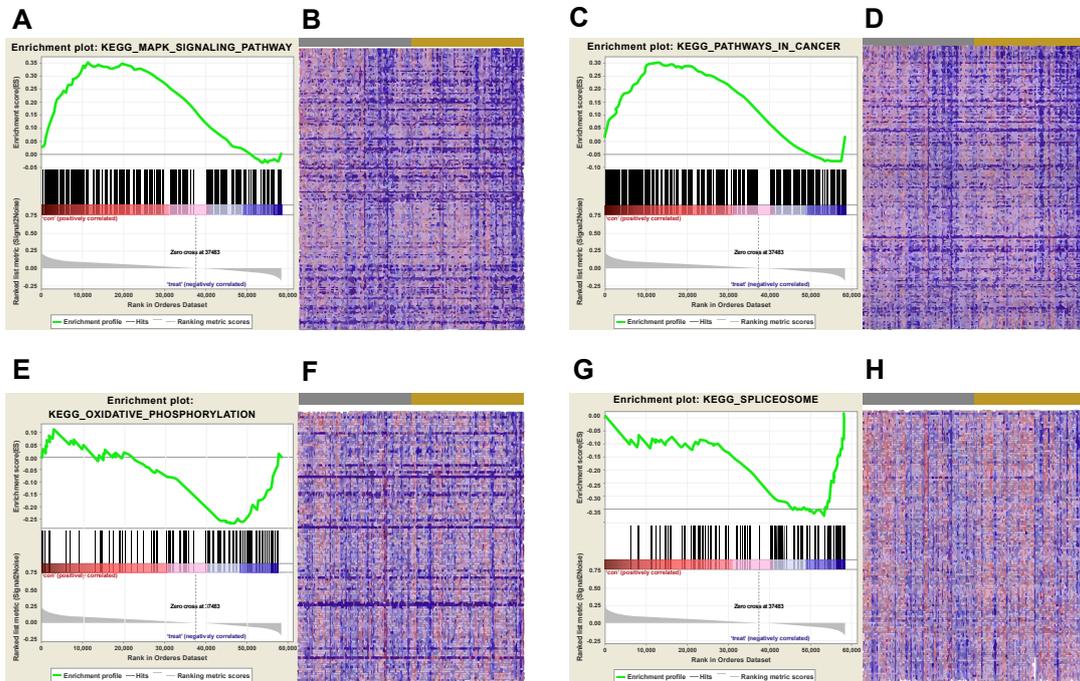


Figure 6(on next page)

GSEA were carried out to identify upregulated or downregulated GO.

(A) Enrichment of genes in GO ADAPTIVE IMMUNE RESPONSE by GSEA. (B) Heat map of core enrichment genes in the gene set GO ADAPTIVE IMMUNE RESPONSE. (C) Enrichment of genes in GO POSITIVE REGULATION OF CELL ACTIVATION by GSEA. (D) Heat map of core enrichment genes in the gene set GO POSITIVE REGULATION OF CELL ACTIVATION. (E) Enrichment of genes in GO RRNA METABOLIC PROCESS by GSEA. (F) Heat map of core enrichment genes in the gene set GO RRNA METABOLIC PROCESS. (G) Enrichment of genes in GO RIBOSOME BIOGENESIS by GSEA. (H) Heat map of core enrichment genes in the gene set GO RIBOSOME BIOGENESIS. The GSEA software was used to calculate the enrichment levels.

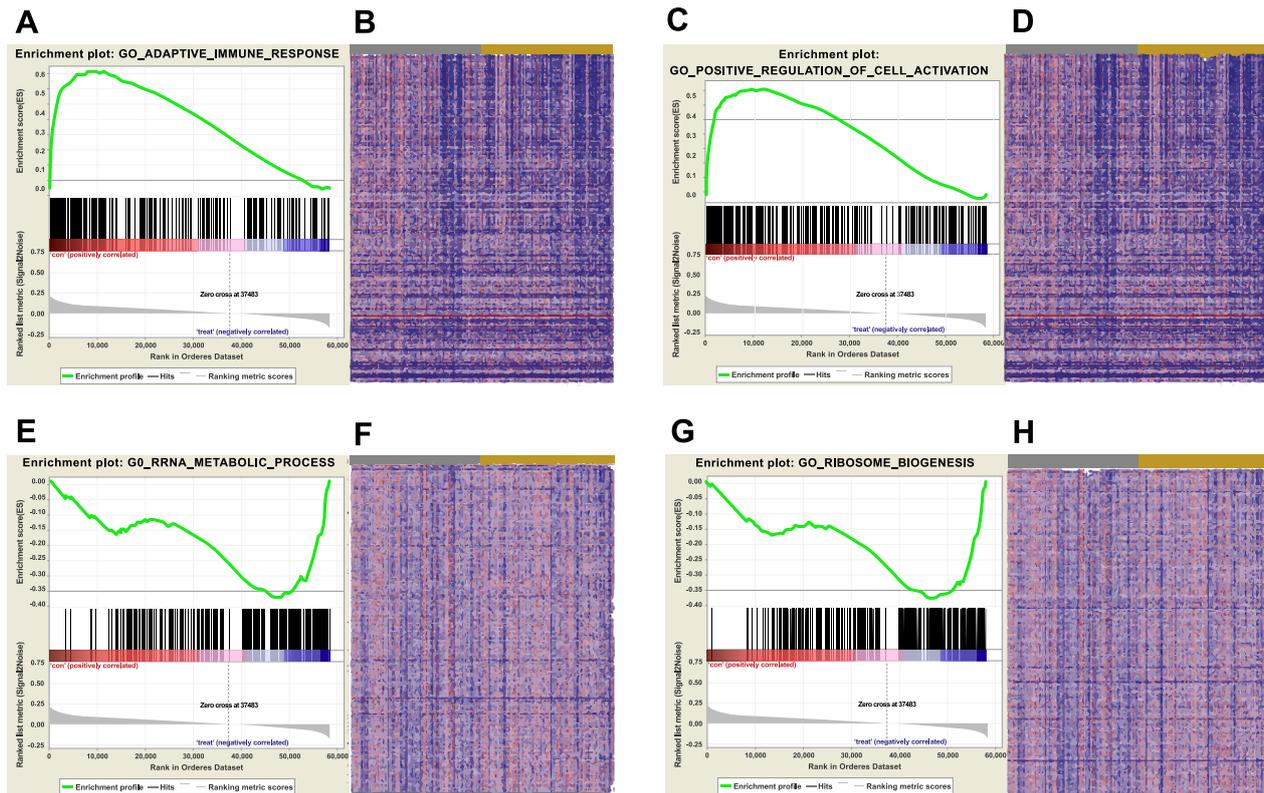


Table 1 (on next page)

The clinicopathological characteristics of patients from the TCGA database.

Table 1. The clinicopathological characteristics of patients from the TCGA database.

Characteristics	Number of case	No. of Patients (%)
Age (years)	346	
≤ 60		152(41.33%)
≥ 60		194(58.67%)
Median (range)		61.590(19-90)
Gender	347	
Male		236(68.01%)
Female		111(31.99%)
Alcohol history	339	
No		111(32.74%)
Yes		228(67.26%)
Perineural invasion present	263	
No		123(46.77%)
Yes		140(53.23%)
Margin status	324	
Close		39(12.04%)
Negative		244(75.31%)
Positive		41(12.65%)
Lymphovascular invasion present	250	
Yes		76(30.40%)
No		174(69.60%)
Tumor stage	314	
Stage I		21(6.69%)
Stage II		56(17.83%)
Stage III		64(20.38%)
Stage IV		173(55.10%)
T stage	335	
T1		34(10.15%)
T2		103(%)
T3		70(%)
T4		128(%)
N stage	334	
N0		126(37.72%)
N1		52(15.57%)
N2		110(32.93%)
N3		46(13.77%)
M stage	170	
M0		125(73.53%)
M1		45(26.47%)
Histologic grade	344	
G1		53(15.41%)
G2		210(61.05%)
G3		71(20.64%)
G4		10(2.91%)

vital status	347	
Alive		227(65.42%)
Dead		120(34.58%)

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Table 2 (on next page)

21 lncRNA levels significantly correlated to OS and DFS.

Table 2. 21 lncRNA levels significantly correlated to OS and DFS.

LncRNA	Gene ID	Chromosome	OS(P value)	DFS(P value)
AC012456.4	ENSG00000230790	chr2	0.00987	0.00828
AP003900.6	ENSG00000271308	chr21	0.00868	0.00397
BOK-AS1	ENSG00000234235	chr2	0.01812	0.01597
LINC01108	ENSG00000226673	chr6	0.00631	0.00767
RP11-1C8.7	ENSG00000271830	chr8	0.00035	0.04009
RP11-87C12.5	ENSG00000255856	chr12	0.01058	0.00048
TTC39A-AS1	ENSG00000261664	chr1	0.04276	0.00371
RP11-93B14.9	ENSG00000277496	chr20	0.01279	0.00352
AC007879.2	ENSG00000234902	chr2	0.00811	0.03607
RP11-464F9.21	ENSG00000234606	chr10	0.01486	0.03221
LINC01549	LINC01549	chr21	0.00021	0.0165
CTB-161M19.4	ENSG00000249494	chr5	0.04807	0.01152
RP11-286E11.1	ENSG00000245293	chr4	0.03618	0.0041
RP11-897M7.1	ENSG00000256209	chr12	0.03129	0.02265
LINC01343	ENSG00000237290	chr1	0.01115	0.03191
FAM95B1	ENSG00000223839	chr9	0.04778	0.01648
RP11-181E10.3	ENSG00000271590	chr2	0.00597	0.00934
CTD-2545H1.2	ENSG00000262445	chr17	0.02892	0.02929
RP11-796E2.4	ENSG00000245904	chr12	0.04276	0.00371
CTD-2033A16.3	ENSG00000262136	chr16	0.04586	0.02714
RP11-285G1.14	ENSG00000273363	chr10	0.01276	0.00503

Table 3 (on next page)

Univariate and multivariate Cox regression analysis for OS in patients with OSCC.

Table 3. Univariate and multivariate Cox regression analysis for OS in patients with OSCC.

Variables	Univariate analysis			Multivariate analysis		
	P value	HR	95% CI	P value	HR	95% CI
Age (years)	0.003	1.021	1.007,1.036	0.001	1.026	1.011,1.041
Gender	0.459	1.150	0.794,1.665	0.481	1.145	0.786,1.666
Grade	0.127	1.215	0.946,1.560	0.062	1.276	0.988,1.648
Stage						
(age \leq 60)	0.034	1.425	1.026,1.978	0.210	0.765	0.503,1.163
(age $>$ 60)	0.523	1.080	0.853,1.367			
N	0.015	1.263	1.046,1.524	0.011	1.279	1.059,1.546
T (age \leq 60)	0.003	1.551	1.160,2.075	0.293	1.101	0.921,1.316
(age $>$ 60)	0.873	0.982	0.783,1.230			
AC012456.4	0.006	0.706	0.551,0.903	0.002	0.672	0.523,0.863

N: REGIONAL LYMPH NODES

T: PRIMARY TUMOR

Table 4 (on next page)

Univariate and multivariate Cox regression analysis for DFS in patients with OSCC.

Table 4. Univariate and multivariate Cox regression analysis for DFS in patients with OSCC.

Variables	Univariate analysis			Multivariate analysis		
	P value	HR	95% CI	P value	HR	95% CI
Age (years)	0.093	1.017	0.997,1.036	0.071	1.018	0.999,1.037
Gender	0.627	1.132	0.687,1.867	0.678	1.113	0.672,1.841
Grade	0.817	1.043	0.732,1.485	0.533	1.125	0.777,1.627
Stage	0.625	1.064	0.830,1.363	0.482	0.852	0.545,1.332
N	0.539	1.085	0.7837,1.407	0.167	1.286	0.900,1.836
T	0.191	1.167	0.926,1.470	0.295	1.134	0.896,1.434
AC012456.4	0.004	0.601	0.423,0.853	0.004	0.600	0.423,0.851

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Table 5 (on next page)

AC012456.4 expression and clinicopathological characteristics of patients with OSCC.

Table 5. AC012456.4 expression and clinicopathological characteristics of patients with OSCC.

Characteristics	Number of case	AC012456.4 expression		P value
		Decreased Number(%)	Non-decreased Number(%)	
Age (years)				0.082
≥60	186	96(51.61%)	90(48.39%)	
<60	143	60(41.96%)	83(58.08%)	
Gender				0.745
Female	102	47(46.08%)	55(59.92%)	
Male	227	109(48.02%)	118(51.98%)	
Alcohol history				0.033
Yes	213	109(51.17%)	104(48.83%)	
No	104	40(38.46%)	64(61.54%)	
M stage				0.511
M0	119	56(47.06%)	63(52.94%)	
M1	39	16(41.03%)	23(58.97%)	
T stage				0.075
T1+T2	128	54(42.19%)	74(57.81%)	
T3+T4	189	99(52.38%)	90(47.62%)	
N stage				0.163
N0+N1	168	87(51.79%)	81(48.21%)	
N2+N3	148	65(43.92%)	83(56.08%)	

M0: No distant metastasis (no pathologic M0; use clinical M to complete stage group).

M1: Distant metastasis.

N0: No regional lymph node metastasis.

N1: Metastasis in a single ipsilateral lymph node, 3 cm or less in greatest dimension.

N2: Metastasis in a single ipsilateral lymph node, more than 3 cm but not more than 6 cm in greatest dimension; or in multiple ipsilateral lymph nodes, none more than 6 cm in greatest dimension; or in bilateral or contralateral lymph nodes, none more than 6 cm in greatest dimension.

N3: Metastasis in a lymph node more than 6 cm in greatest dimension.

T1: Tumor 2 cm or less in greatest dimension.

T2: Tumor more than 2 cm but not more than 4 cm in greatest dimension.

T3: Tumor more than 4 cm in greatest dimension

T4a: Moderately advanced local disease.

T4b: T4b Very advanced local disease.

Tumor invades masticator space, pterygoid plates, or skull base and/or encases internal carotid

artery

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Table 6 (on next page)

KEGG Pathways enriched in high-risk and low-risk groups by using GSEA.

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Table 6. KEGG Pathways enriched in high-risk and low-risk groups by using GSEA.

NAME	SIZE	ES	NES	NOM p-val	FDR q-val	FWER p-val	RANK AT MAX	LEADING EDGE
KEGG_PRIMARY_IMMUNODEFICIENCY	35	0.783950	2.003367	0.002036	0.080199	0.032	5022	tags=63%, list=9%, signal=69%
KEGG_CYTOKINE_CYTOKINE_RECEPTOR_INTERACTION	258	0.503302	1.751613	0.016227	0.351688	0.258	13393	tags=46%, list=23%, signal=60%
KEGG_JAK_STAT_SIGNALING_PATHWAY	151	0.462485	1.585162	0.051020	0.356088	0.496	11252	tags=35%, list=19%, signal=43%
KEGG_PATHWAYS_IN_CANCER	324	0.296756	1.015304	0.442386	0.570524	0.968	12772	tags=28%, list=22%, signal=36%
KEGG_MAPK_SIGNALING_PATHWAY	265	0.353983	1.239951	0.226804	0.527153	0.881	11268	tags=29%, list=19%, signal=35%
KEGG_PROTEASOME	46	-0.542264	-1.310828	0.249049	1	0.849	11204	tags=54%, list=19%, signal=67%
KEGG_CYTOSOLIC_DNA_SENSING_PATHWAY	55	-0.342477	-1.059409	0.361581	1	0.958	6866	tags=33%, list=12%, signal=37%
KEGG_SNARE_INTERACTIONS_IN_VESICULAR_TRANSPORT	38	-0.365953	-0.983674	0.481132	1	0.969	6863	tags=32%, list=12%, signal=36%
KEGG_OXIDATIVE_PHOSPHORYLATION	118	-0.269338	-0.724989	0.681050	1	0.992	11643	tags=38%, list=20%, signal=48%
KEGG_SPLICEOSOME	123	-0.362891	-0.936620	0.566473	1	0.978	5025	tags=24%, list=9%, signal=26%

Table 7 (on next page)

GO annotation enriched in high-risk and low-risk groups by using GSEA.

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Table 7. GO annotation enriched in high-risk and low-risk groups by using GSEA.

NAME	SIZE	ES	NES	NOM	FDR	FWER	RANK	LEADING EDGE
				p-val	q-val	p-val	AT MAX	
GO_B_CELL_RECEPTOR_SIGNALING_PATHWAY	54	0.749803	1.963207	0.003838	0.974954	0.161	6389	tags=67%, list=11%, signal=75%
GO_ADAPTIVE_IMMUNE_RESPONSE	279	0.614785	1.932954	0.007648	0.761521	0.202	7793	tags=46%, list=13%, signal=53%
GO_NEGATIVE_REGULATION_OF_INTERLEUKIN_6_PRODUCTION	33	0.711452	1.897834	0	0.660863	0.28	10264	tags=67%, list=18%, signal=81%
GO_REGULATION_OF_B_CELL_ACTIVATION	121	0.626420	1.886616	0.003883	0.617897	0.294	9579	tags=55%, list=16%, signal=65%
GO_POSITIVE_REGULATION_OF_CELL_ACTIVATION	305	0.540650	1.725436	0.031496	0.464684	0.631	11768	tags=47%, list=20%, signal=58%
GO_CELLULAR_RESPONSE_TO_ZINC_ION	16	-0.60868	-1.550511	0.056310	1	0.883	4440	tags=56%, list=8%, signal=61%
GO_RIBOSOMAL_LARGE_SUBUNIT_BIOGENESIS	48	-0.60318	-1.496404	0.109343	1	0.925	5330	tags=42%, list=9%, signal=46%
GO_POSITIVE_REGULATION_OF_PEPTIDYL_SERINE_PHOSPHORYLATION_OF_STAT_PROTEIN	21	-0.52630	-1.392874	0.115079	1	0.962	6411	tags=48%, list=11%, signal=53%
GO_RRNA_METABOLIC_PROCESS	249	-0.38387	-1.055244	0.457925	1	0.998	10606	tags=36%, list=18%, signal=44%
GO_RIBOSOME_BIOGENESIS	300	-0.38284	-1.050548	0.456692	1	0.998	11706	tags=38%, list=20%, signal=47%