

# Redescription of *Phymolepis cuifengshanensis* (Antiarcha:Yunnanolepididae) using high-resolution computed tomography and new insights into anatomical atlas of antiarchs

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**Background.** Yunnanolepidoids constitute either the basal-most consecutive segments or the most primitive clade of antiarchs, a highly diversified jawed vertebrate group from the Silurian and Early Devonian periods. Although the general morphology of yunnanolepidoids is well established, their endocranial features remain largely unclear, thus hindering our further understanding of antiarch evolution, and early gnathostome evolution. *Phymolepis cuifengshanensis*, a yunnanolepidoid from the Early Devonian of southwestern China, is re-described in detail to reveal the information on endocranial anatomy and additional morphological data of head and trunk shields.

**Methods.** We scanned the material of *P. cuifengshanensis* using high-resolution computed tomography and generated virtual restorations to show the internal morphology of its dermal shield. The dorsal aspect of endocranium in *P. cuifengshanensis* was therefore inferred. The phylogenetic analysis of antiarchs was conducted based on a revised and expanded dataset that incorporates ten new cranial characters.

**Results.** The lateroventral fossa of trunk shield and Chang's apparatus are three-dimensionally restored in *P. cuifengshanensis*. The canal that is positioned just anterior to the internal cavity of Chang's apparatus, probably corresponds to the rostrocaudal canal of euantiarchs. The endocranial morphology of *P. cuifengshanensis* corroborates a general pattern for yunnanolepidoids with additional characters distinguishing them from sinolepids and euantiarchs, such as a developed cranio-spinal process, an elongated endolymphatic duct, and a long occipital portion.

**Discussion.** In light of new data from *Phymolepis* and *Yunnanolepis*, we summarized the morphology on the visceral surface of head shield in antiarchs, and formulated additional ten characters for the phylogenetic analysis. These cranial characters exhibit a high degree of morphological disparity between major subgroups of antiarchs, and highlight the endocranial character evolution in antiarchs.

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27 **ABSTRACT**

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30 the Silurian and Early Devonian periods. Although the general morphology of  
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52 high degree of morphological disparity between major subgroups of antiarchs, and  
53 highlight the endocranial character evolution in antiarchs.

54

55 **INTRODUCTION**

56 Antiarchs, one of the most diverse and widespread fish groups during the Middle  
57 Paleozoic, have been resolved at the base of the diversification of jawed vertebrates in  
58 most of recent phylogenetic studies (Brazeau, 2009; Davis, Finarelli & Coates, 2012;  
59 Zhu et al., 2012; Giles, Rücklin & Donoghue, 2013; Zhu et al., 2013; Dupret et al., 2014;  
60 Zhu, 2014 ; Giles, Friedman & Brazeau, 2015; Long et al., 2015; Qiao et al., 2016; Zhu et  
61 al., 2016). Since the first description of antiarchs in 1840 (Eichwald, 1840), their general  
62 morphology has been well established (Young & Zhang, 1992; Janvier, 1996; Young &  
63 Zhang, 1996; Zhu, 1996; Lukševičs, 2001; Young, 2008; Young, 2010; Zhu et al., 2012;  
64 Long et al., 2015). However, the anatomical atlas of endocranium in antiarchs is poorly  
65 known, largely due to the absence of perichondral ossification (Denison, 1978). While  
66 the impressions on the overlying head shield help to restore the endocranial morphology  
67 to some extent (Stensiö, 1948; Denison, 1978; Moloshnikov, 2008), such as in  
68 Bothriolepididae (Stensiö, 1948; Young, 1984), Asterolepididae (Obruchev, 1933;  
69 Stensiö, 1969), and *Minicrania lirouyii* (Zhu & Janvier, 1996), little attention has been  
70 paid to character transformations of antiarch endocrania in lack of corresponding data  
71 from primitive antiarchs.

72 Yunnanolepidoids are endemic antiarchs discovered from the South China and  
73 Indochina blocks (Liu, 1983; Pan & Dineley, 1988; Tông-Dzuy, Janvier & Phuong,  
74 1996; Wang, Qu & Zhu, 2010). They are considered to be the most primitive antiarchs  
75 because of the absence of characteristic dermal brachial process (Chang, 1978;  
76 Zhang, 1978; Zhang, 1980; Young, 1981a; Long, 1983; Janvier, 1995; Zhu & Janvier,  
77 1996; Carr, Johanson & Ritchie, 2009; Zhu et al., 2012), although the monophyly has  
78 not yet reached a consensus (Janvier & Pan, 1982; Young & Zhang, 1996). To date,  
79 yunnanolepidoids also represent the oldest known antiarchs, even though the date of  
80 the oldest yunnanolepidoid *Shimenolepis* (Wang, 1991) has been revised to Late  
81 Ludlow recently (Zhao et al., 2016).

82 Yunnanolepididae, a major clade of Yunnanolepidoidei, is characterized by the  
83 small brachial fossa and the crista transversalis interna posterior lying in front of the  
84 posterior process and pit of trunk shield. It includes the following seven genera:  
85 *Yunnanolepis*, *Parayunnanolepis*, *Phymolepis*, *Mizia*, *Grammaspis*, *Chuanbeiolepis*  
86 and *Yunlongolepis* (Chang, 1978; Zhang, 1978; Wang, 1988; Tông-Dzuy & Janvier,  
87 1990; Zhu, 1996 ; Pan & Lu, 1997; Zhang, Wang & Wang, 2001; Pan et al., 2017).

88 *Phymolepis* Chang, 1978 (Figs. 1–3) is a yunnanolepid antiarch from the Lower  
89 Devonian of South China. The first description of the type species of *Phymolepis*, *P.*  
90 *cui Fengshanensis*, was based on material (IVPP V4425) from the Xitun Formation of  
91 Cui Fengshan in Qujing, Yunnan (Chang, 1978; Zhang, 1978). Amongst all the referred  
92 specimens of *P. cui Fengshanensis* (Chang, 1978), V4425.7 (Fig. 4), a trunk shield with a  
93 row of medial marginal plates of pectoral fin, was later assigned to *Yunnanolepis parvus*  
94 (Zhang, 1980: pl. 5, fig. 1). V4425.7 differs from the holotype and other referred

95 specimens of *P. cui Fengshanensis* in its comparatively small size, a sharp median dorsal  
96 ridge running throughout the anterior median dorsal plate, and the absence of a  
97 conspicuous tergal angle of trunk shield. As such, we follow Zhang (1980) to remove  
98 V4425.7 from *P. cui Fengshanensis*.

99 Young and Zhang (1996) described three specimens from the Xitun Formation (IVPP  
100 V9059.1–3) as *P. cui Fengshanensis*, however these specimens are distinguishable  
101 from V4425.2 (Fig.3), a referred specimen of *P. cui Fengshanensis* preserving part of  
102 the head shield (Chang, 1978). The orbital fenestra in V9059.1–3 is semilunar in  
103 shape and occupies nearly half of the total breadth of the head shield. Accordingly, we  
104 also remove V9059.1–3 from *P. cui Fengshanensis*.

105 Zhu (1996) assigned additional material from the Xishancun Formation at  
106 Liaokuoshan in Qujing (IVPP V10500.1–6) to *P. cui Fengshanensis*, making the first  
107 occurrence of this genus no later than early Lochkovian. He also revealed the Chang's  
108 apparatus (Zhu, 1996: fig. 11A) and the lateroventral fossa of trunk shield (Zhu, 1996:  
109 figs. 11F and 11G) in *P. cui Fengshanensis*, and placed *Phymolepis* as the sister taxon  
110 of *Mizia* in the phylogenetic analysis.

111 To have a deeper understanding of yunnanolepidoids, their cranial morphology in  
112 particular, here we used high-resolution computed tomography (CT) to examine the  
113 internal morphology of dermal shield in *P. cui Fengshanensis*. On the basis of resulting  
114 new data, *P. cui Fengshanensis* was re-described in more detail. We also conducted the  
115 phylogenetic analysis of antiarchs based on a new character matrix expanded and  
116 revised from previous analyses (Zhu, 1996; Jia, Zhu & Zhao, 2010; Pan et al., 2017).  
117 Several cranial characters were compared and discussed among subgroups of  
118 antiarchs to illuminate the endocranial character transformations.

119

## 120 MATERIALS AND METHODS

### 121 Material

122 The specimens of *P. cui Fengshanensis* in this study are housed at Institute of  
123 Vertebrate Paleontology and Paleoanthropology (IVPP), Chinese Academy of  
124 Sciences. The material was all collected from muddy limestone of the Xitun Formation  
125 in Cuifengshan, Qujing, Yunnan Province.

126 The Xishancun, Xitun, Guijiatun and Xujiachong formations (in ascending  
127 chronological order) represent the Early Devonian non-marine strata in Qujing District  
128 (Liu & Wang, 1973; P'an et al., 1978; Zhu, Wang & Fan, 1994; Liu, Gai & Zhu, 2018).  
129 The Xitun Formation consists mainly of grayish-green muddy limestone and mudstone  
130 (Fang et al., 1985; Xue, 2012), yielding a rich biota (Cuifengshan Assemblage)  
131 characterized by the diversification of sarcopterygians (Chang & Yu, 1981; Chang &  
132 Yu, 1984; Zhu, Yu & Janvier, 1999; Zhu, Yu & Ahlberg, 2001; Zhu & Yu, 2002) and  
133 primitive antiarchs including *Yunnanolepis*, *Parayunnanolepis*, *Zhanjilepis*,

134 *Chuchinolepis* and *Phymolepis*. Other fishes in the Xitun Formation include the  
135 endemic agnathans (Liu et al., 2015), arthrodires (Dupret, Zhu & Wang, 2017),  
136 actinopterygians (Zhu et al., 2006; Lu et al., 2016), acanthodians and chondrichthyans,  
137 the latter two of which are mostly known from microvertebrate remains (Wang, 1984).  
138 The Xitun Formation has been dated as late Lochkovian (c. 410 – 415million years  
139 ago) with evidence from fossil assemblages (Gao, 1981; Fang et al., 1994; Zhao et al.,  
140 2011; Xue, 2012).

141

## 142 **CT analysis**

143 One specimen of *P. cuifengshanensis* (V4425.2) was CT scanned at the Key  
144 Laboratory of Vertebrate Evolution and Human Origins, Chinese Academy of Science,  
145 Beijing, using the 225 KV micro-tomography scanner with following parameters: 150 kV  
146 voltage; 100 mA current; 32.93  $\mu\text{m}$  voxel size. V4425.2 preserves the only head shield  
147 material for *Phymolepis*, in addition to the almost complete trunk shield. The software  
148 Mimics v. 18.0 was applied for the three-dimensional reconstruction (segmentation and  
149 rendering).

150

## 151 **Phylogenetic analyses**

152 The character matrix of antiarchs herein consists of 42 ingroup taxa, 2 outgroup  
153 taxa (*Kujdanowiaspis* and *Romundina*), and 78 morphological characters. The matrix  
154 is modified from those of Zhu (1996), Jia, Zhu & Zhao (2010) and Pan et al. (2017),  
155 with revised codings and the addition of ten cranial characters. More details including  
156 additional references and character re-formulations are provided in the Supplementary  
157 Information.

158 We performed a traditional search in TNT v 1.5 (Goloboff et al., 2008), using 1000  
159 random addition sequence replicates, saving 100 trees per replication. We assessed  
160 nodal supports through bootstrap values with 100 pseudoreplicates and Bremer decay  
161 indices. All characters were treated as equally weighted, and unordered (except  
162 Characters 19, 49 and 50). Character state transformations to the nodes of one of the  
163 most parsimonious trees (MPTs) were reconstructed in PAUP\*4.0a (Swofford, 2003)  
164 adopting DELTRAN and ACCTRAN optimizations respectively. Character mapping was  
165 performed in MacClade 4.0 (Maddison & Maddison, 2000).

166

167

## 168 **RESULTS**

### 169 **Systematic paleontology**

170 Placodermi McCoy, 1848

171 Antiarcha Cope, 1885

172 Yunnanolepidoidei Gross, 1965

173 Yunnanolepididae Miles, 1968

174 *Phymolepis* Chang, 1978

175 **Type species.** *Phymolepis cuifengshanensis* Chang, 1978

176 **Included species.** *Phymolepis guoruii* Zhu, 1996

177 **Emended diagnosis.** Yunnanolepididae in which the posterior median dorsal plate  
178 bears a strong posterior process; the anterior median dorsal plate with anterior division  
179 longer than posterior division; anterior ventral process and pit situated just below a  
180 conspicuous tergal angle and at the same level of the lateral corners of the anterior  
181 median dorsal plate; sharp median dorsal ridge between the tergal and posterior dorsal  
182 angles.

183 **Remarks.** The diagnosis of this genus follows Zhu (1996) with a minor revision. While  
184 examining V4425.2 based on high-resolution CT, we noticed that the anterior ventral  
185 process and pit are situated at the level of the lateral corners of the anterior median  
186 dorsal plate rather than behind it.

187

188 **PHYMOLEPIS CUIFENGSHANENSIS** Chang, 1978

189 (Figures 1–3, 5–11)

190

191 1978 *Phymolepis cuifengshanensis* – Chang, p. 292, pl. XXV (5–7)

192 1978 *Phymolepis cuifengshanensis* – Zhang, p. 147, figs. 10–12, pl. VI

193 1996 *Phymolepis cuifengshanensis* – Zhu, p. 257, figs. 11–12, pls. I (8–10), IV (1–9)

194

195 **Holotype.** IVPP V4425.3, a relatively complete trunk shield (Figs. 1A–1C).

196 **Paratype.** IVPP V4425.6, a posterior median dorsal plate (Figs. 1D and 1E).

197 **Referred specimens.** IVPP V4425.1 (Fig. 2), trunk shield; V4425.2 (Fig. 3) nearly  
198 complete dermal shield only missing the anteriormost portion of head shield and the  
199 posterior median dorsal plate; V10500.1, left anterior dorsolateral plate; V10500.2,  
200 V10508.1–3, posterior median dorsal plates; V10500.3, left posterior dorsolateral plate;  
201 V10500.4, right posterior lateral plate; V10500.5, left anterior ventrolateral plate;  
202 V10500.6, right posterior ventrolateral plate.

203 **Occurrence.** The material was collected from two sites (Cuifengshan and  
204 Liaokuoshan) in Qujing city, eastern Yunnan, southwestern China.

205 **Emended diagnosis.** *Phymolepis* species in which the posterior process of the posterior  
206 median dorsal plate reaches one third of the plate length; the median dorsal ridge of  
207 trunk shield developed as a blunt elevation in front of the tergal angle and as a sharp  
208 crest behind the tergal angle.

209 **Remarks**

210 The diagnosis follows Zhu (1996) with an addition of the shape of the median dorsal  
211 ridge.

212

### 213 **Description**

#### 214 **Reconstruction and ornamentation**

215 Using the complete specimen of *Yunnanolepis chii* (Zhang, 1978: V4423.101, fig. 1)  
216 as a reference, *Phymolepis cuifengshanensis* could reach 84 mm in the dermal shield  
217 length, and represents the largest known species among Yunnanolepididae.

218 This re-investigation of *P. cuifengshanensis* brings together all referred specimens  
219 and leads to a new reconstruction (Figs. 5 and 6). The tentative restoration for the  
220 missing pre-orbital portion of head shield follows that of *Y. chii* (Zhang, 1978: fig. 1).  
221 The proportions of the respective dermal plates can be calculated properly by means of  
222 the digital visualization.

223 Small, round tubercles are densely distributed on the dorsal surface of the head and  
224 trunk shields. The tubercles are generally larger on various ridges and along outer  
225 margins of headshield than elsewhere. They are aligned parallel to the sutures between  
226 dermal plates, or radiated from the angles on the dorsal wall of trunk shield. In addition,  
227 they tend to form the rows along the sensory grooves. The tubercles on the lateral wall of  
228 the trunk shield are weakly developed and finer than those elsewhere. The ventral wall of  
229 the trunk shield is sparsely covered with tubercles that are slightly larger than the rest of  
230 the dermal shield.

231

#### 232 **Head shield**

233 The orbital fenestra (orb, Figs. 7A and 7C) is comparatively small, and occupies about  
234 one fourth of the breadth of the head shield, a general condition in most  
235 yunnanolepidoids, such as *Yunnanolepis* (Liu 1963), *Heteroyunnanolepis* (Wang, 1994)  
236 and *Vanchienolepis* (Tông-Dzuy & Janvier, 1990). The suborbital fenestra is slightly  
237 smaller than the orbital fenestra. The postorbital division of head shield is markedly  
238 arched by anterior-posterior axis with an angle of 142°.

239 The breadth/length (abbreviated as 'B/L') ratio for the postorbital division of the head  
240 shield is about 2.16, taking the distance between the lateral corners of the postmarginal  
241 plates as the breadth, and the distance between the posterior margins of the orbital  
242 fenestra and the nuchal plate along the midline as the length.

243 The posterolateral angle of the head shield (plc, Fig. 7A) is located at the midway of  
244 the postorbital division. The obstantic margin (om, Fig. 7B) is straight and relatively long.  
245 The posterior margin of the head shield between well-marked postobstantic corners  
246 (ptoc, Figs. 7B and 7D), has its convex lateral parts (formed mainly by the posterior  
247 margin of the paranuchal plate) and a slightly embayed mesial part (formed by the

248 posterior margin of the nuchal plate). The obtected nuchal area (nm, Fig. 7A) is  
249 elongated, and occupies 27% of the nuchal length.

250 A triple junction of superficial grooves for the infraorbital sensory canal (ifc, Fig. 7A),  
251 occipital cross-commissure (occ, Fig. 7A) and main lateral line (lc, 7C), lies near the  
252 postobstantic corner of head shield on the paranuchal plate. The occipital cross-  
253 commissure is shallow and extends posteromedially to the obtected area for a short  
254 distance. The infraorbital sensory groove passes anteromesially from the junction and  
255 runs longitudinally across the lateral plate. The postmarginal groove (pmc, Figs. 3C and  
256 7C) that originates from the lateral corner of the postmarginal plate is moderately short.

257 The lateral plate (La, Fig. 7A) is relatively broad, and its margins for surrounding  
258 dermal plates are relatively long. In visceral view, the anterior attachment area for the  
259 submarginal plate is missing, however, the posterior attachment area on the lateral plate  
260 ( $a_1$ , Figs. 7B and 7C) continues onto the postmarginal plate ( $a_2$ , Figs. 7B and 7C).

261 The postpineal plate (PP, Fig. 7A) is wider than long, with a B/L ratio of 1.50. Its  
262 anterior margin is concave, unlike the straight margin in *Yunnanolepis*, *Mizia* and  
263 *Parayunnanolepis*. The postpineal thickening (pp.th, Fig. 7A) is extremely developed as  
264 a prominent tuberculate elevation, which totally encompasses the posterior border of the  
265 orbital fenestra and occupies about half of the postpineal plate length. On the visceral  
266 surface, paired postorbital cristae (cr.po, Fig. 7D) run somewhat obliquely along the  
267 anterior margin of the plate. Two cristae on either side of the postpineal plate are  
268 separated far away by a faint median ridge (mr, Fig. 7B), which lies at anterior margin of  
269 the plate and does not extend backwards as in many euanthiarchs.

270 The nuchal plate (Nu, Figs. 7A and 7B) is broadest across the lateral angles with a B/L  
271 ratio of 1.12. The postpineal notch is broad and deep. The lateral margin of the plate is  
272 divided into the anterolateral margin and posterolateral margin by the lateral corner. The  
273 posterolateral margin is about twice as long as the anterolateral one. The robust  
274 anterolateral ridge (alr, Fig. 7A) sits mainly in the anterior division of the nuchal plate.  
275 The X-shaped pit-lines that comprise middle and posterior pit-lines (mpl, ppl, Fig. 7C) are  
276 situated just in front of the obtected nuchal area. Deep notches flank on both sides of the  
277 median occipital eminence (oem, Fig. 7A) on the obtected area as seen in other  
278 yunnanolepids (Young and Zhang, 1996: fig. 5A; Zhang, 1980, pl. I, fig.1). The  
279 transverse nuchal crista (cr.tv, Fig. 7B) on the visceral surface is thickened laterally, and  
280 reaches the maximum thickness at the suture between the nuchal and paranuchal plates.  
281

282 The paranuchal plate (PNu, Figs. 7A and 7B) is as broad as it is long. It has a slightly  
283 oblique anterior margin. The anterior and anterolateral margins of the plate are in equal  
284 length, and meet at an angle of  $113^\circ$ . The obtected area of the paranuchal plate is  
285 steeply inclined to the ornamented surface, especially near its suture with the nuchal  
286 plate.

287 The postmarginal plate (PM, Fig. 7A) is rhombic and longitudinally extended with a B/L  
288 ratio of 0.78. On the visceral surface, the attachment for the submarginal plate is  
289 narrower posteriorly.

290

## 291 **Endocranium**

292 Like other antiarchs, only the dorsal aspect of the endocranium can be inferred in *P.*  
293 *cuifengshanensis* from the impressions on the visceral surface of the head shield, which  
294 is digitally visible with a high level of details.

295 The otico-occipital depression of *P. cuifengshanensis* is deeper posteriorly, along with  
296 the gradually thickened paramarginal crista (cr. pm, Figs. 7B and 7D). This depression is  
297 laterally extended at the suture between the lateral and paranuchal plates, where the  
298 paramarginal crista lies underneath the infraorbital sensory groove. As such, the  
299 paramarginal crista in *P. cuifengshanensis* with the convex median part, differs from the  
300 straight one in asterolepidoids (Hemmings, 1978; Young, 1983) and laterally concave  
301 one in bothriolepidoids (Chang, 1965; Young, 1988).

302 The anterolateral corner of the otico-occipital depression (p.apo, Figs. 7B and 7D),  
303 which represents the imprint for the anterior postobital process of endocranium (Young,  
304 1984), is weakly developed and apically rounded. Significantly, it is located at the same  
305 level with the posterior border of the orbital notch.

306 Near the posterior end of the paramarginal crista, the paranuchal plate is deeply  
307 excavated by the large cavity (c.csp, Figs. 7B and 7D) for the cranio-spinal process of  
308 the endocranium. The cavity is conical and tapers laterally with a B/L ratio of around 3.0;  
309 its axis is perpendicular to the paramarginal crista (Figs. 8D–F).

310 The semicircular depressions (dsc, Figs. 7B and 7D) sit just in front of the level of  
311 cranio-spinal processes. The anterior and posterior semicircular depressions are  
312 relatively short, and meet in a confluence that is located midway between the posterior  
313 border of the orbital notch and the transverse nuchal crista. As the lateral extension of  
314 the otico-occipital depression roughly levels with the confluence, this lateral extension  
315 appears to relate with the labyrinth cavity (d.sac?, Fig. 7D) as speculated in *Areniipiscis*  
316 *westolli* (Young, 1981b: fig. 6). In view of the otic region, which can be estimated by the  
317 position of semicircular depressions, lies mainly in the anterior half of the otico-occipital  
318 depression, so the occipital region of *P. cuifengshanensis* is fairly long compared with  
319 euantiarchs.

320 Median to the posterior end of semicircular depression, the internal pore for the  
321 endolymphatic duct (d.end, Figs. 7B and 7D) is rounded and situated far ahead of the  
322 transverse nuchal crista, while the external pore (d.end, Figs. 7A and 7C) is situated far  
323 posteriorly at the anterior margin of obtected nuchal area. The distance between the  
324 internal pores of both sides is 2.5 times longer than the distance between the external  
325 ones. The digital visualization reveals that the endolymphatic duct of *P.*

326 *cuihengshanensis* is a long and roughly straight tube. It runs posterodorsally within the  
327 nuchal plate, twists laterally while close to the midline of the plate and opens to the  
328 exterior (Figs. 7A–C).

329 Posteriorly, a pair of supraoccipital pits (sop, Figs, 7B and 7D) is positioned just in front  
330 of the transverse nuchal crista. This pit is easily distinguished from the internal pore of  
331 the endolymphatic duct by its large size and ellipsoidal shape. The pit is dorsomedially  
332 oriented within the nuchal plate, and gradually tapers off just beneath the ornamented  
333 surface (Figs. 8A–C). The supraoccipital pit also occurs in *Vanchienolepis*, *Vukhuclepis*  
334 (Racheboeuf et al. 2006: fig. 4) and *Yunnanolepis* at the same position. It is noteworthy  
335 that Liu (1963: fig. 1) misidentified the supraoccipital pit in *Yunnanolepis* as the internal  
336 pore for the endolymphatic duct.

337 Just anterior to the cavity for the cranio-spinal process, a corner (c.vg, Fig. 8F) in a  
338 nearly right angle is set on approximately at the posterior end of a semicircular  
339 depression level, and thus the hindmost level of the otic region. This corner is also  
340 positioned between the anterior postorbital process and cranio-spinal process of  
341 endocranium. Therefore, we tentatively interpret this corner as the depression of the  
342 vagal process as it shares the same topological relationships to that of arthrodires and  
343 petalichthyids.

344

#### 345 **Trunk shield**

346 The trunk shield is fairly high, with a conspicuous tergal angle ( $100^\circ$  in axial section;  
347  $140^\circ$  in sagittal section) (Figs. 2C–2D; 9C–9D) taking up almost half of the trunk shield  
348 height. The small pectoral fossa is set just above the bottom of the trunk shield, and  
349 occupies a quarter of the lateral wall height. Both the dorsolateral and ventrolateral  
350 ridges of the trunk shield are robust (dl, vl, Figs. 1C and 3D).

351 The dorsal wall with a convex anterior margin has a B/L ratio of 0.7. The median dorsal,  
352 dorsal diagonal and dorsal transverse ridges (dmr, ddr, dtr, Figs. 1–3A) on the dorsal  
353 wall radiate from the tergal angle as in *Mizia longhuaensis*, *Yunnanolepis porifera* and  
354 *Chuchinolepis qujingensis* (Zhu, 1996: figs. 4C, 5C–5D, 21A). The dorsal and lateral  
355 walls meet at the dorsolateral ridge with an angle of  $142^\circ$  in V4425.1.

356 The lateral wall has a length/height (abbreviated as 'L/H') ratio ranging from 2.0 to 3.0.  
357 It carries the lateral and oblique ridges (lr, or, Figs. 1C, 3D and 9D), which are widely  
358 developed in yunnanolepidoids.

359 The ventral wall, with a B/L ratio of 0.6–0.8, is broadest across the suture between the  
360 anterior and posterior ventrolateral plates. It is about 1.3 times broader than the dorsal  
361 wall.

362 The main lateral line (lc, Figs. 2C, 3D and 10A) runs posteriorly very close and  
363 subparallel to the dorsolateral ridge of trunk shield. It terminates at the end of the

364 dorsolateral ridge on the posterior dorsolateral plate.

365 The anterior median dorsal plate (AMD, Figs. 1–3A, 9A and 11A) is pentagonal in  
366 shape, and has a B/L ratio of about 0.7. The obtuse lateral corner (la, Fig. 11A) divides  
367 the plate into two divisions, the anterior of which is 1.4 times longer than the posterior.  
368 The posterolateral margin is embayed near its posterior end as in *Yunnanolepis* (Zhang,  
369 1980: fig. 3C). The concave posterior margin of the plate is delimited laterally by distinct  
370 posterolateral angles (pla, Figs. 1A and 11A). The tergal angle lies at the same level with  
371 the lateral corner of the plate. Internally, the anterior ventral pit (pt1, Fig. 11A) with thin  
372 rim is located right beneath the tergal angle. It extends posteriorly to form a low ridge  
373 (prv1, Fig. 11A). The areas overlapping the anterior and posterior dorsolateral plates  
374 (cf.ADL, cf.PDL, Fig. 11A) are moderately wide.

375 The posterior median dorsal plate (PMD, Figs. 1D and 1E) is elongated with the B/L  
376 ratio of 0.6. The anterior, anterolateral, lateral and posterolateral corners (aa, ala, la, pla,  
377 Figs. 1D and 1E) are well marked and the plate is broadest across the posterolateral  
378 corners. The large posterior process (pr.p, Fig. 1E) occupies about two fifths of the plate  
379 in length and three fourths in breadth. The dorsal median ridge and the posterior lateral  
380 ridges of both sides (plr, Fig. 1D) converge to the posterior dorsal angle, which is  
381 developed as a small nodule. The posterior corner of the plate (pa, Fig. 1D) is rounded.  
382 Internally, the crista transversalis interna posterior (cr.tp, Fig. 1E) is developed as a low  
383 ridge just anterior to the posterior ventral process (pt2, Fig. 1E). The contact face for the  
384 AMD (cf.AMD, Fig. 1E) is broad, and that for the posterior dorsolateral plate (cf.PDL, Fig.  
385 1E) is well defined by the anterolateral and posterolateral corners of the plate.

386 The anterior dorsolateral plate (ADL, Figs. 2C, 3D, 9D) consists of articular, dorsal and  
387 lateral laminae. The transversely extending articular fossa (f.ca, Fig. 2D and 9C) is  
388 delimited by the supra- and infra-articular ridges (sar, iar, Fig. 2E). The supra-articular  
389 ridge, which extends laterally from the postnuchal ornamented corner (pnoa, Figs. 2C,  
390 3C and 9C), is longer than the infra-articular one. The dorsal and ventral laminae meet at  
391 the dorsolateral ridge. The dorsal lamina, with a B/L ratio of 0.7, is slightly arched with a  
392 dorsal diagonal ridge. The lateral lamina has a H/L ratio of 0.4. The dorsal division of the  
393 ridge caused by Chang's apparatus (r.C, Figs. 2E and 9D) is positioned on the lateral  
394 lamina adjacent to the obstatic margin of the head shield.

395 The anterior ventrolateral plate (AVL, Figs. 9) consists of lateral and ventral laminae,  
396 which meet at the ventrolateral ridge. The lateral lamina has a L/H ratio of 2.8, and bears  
397 the ventral division of the ridge caused by Chang's apparatus near its anterior margin.  
398 The opening for Chang's apparatus is located at the suture between the ADL and AVL  
399 plates. The ventral lamina has a B/L ratio of 0.73, and shows a shallow semilunar notch.  
400 The subcephalic division of the ventral lamina represents 40 percent of the plate length.  
401 Internally, both the posterior branchial lamina (pbl, Figs. 2D–E and 10D) and crista  
402 transversalis interna anterior (cit, Figs. 2D–E and 10D) are strongly developed. The  
403 posterior branchial lamina, ornamented by denticulate ridges, is present close to the

404 anterior margin of the trunk shield. It runs anteromedially from the lateral lamina of the  
405 plate to the ventral lamina as a narrow band. The crista transversalis interna anterior is  
406 located immediately behind the postbranchial lamina. Dorsally, the crista extends from  
407 the AVL to the base of the articular fossa on the ADL (Figs. 2D, 2E and 9C), where it is  
408 just behind Chang's apparatus (c.C, Fig. 10C). The left AVL overlaps the right.

409 Between the posterior branchial lamina and crista transversalis interna anterior, a  
410 canal (rc, Figs. 10A, D–F) is present just anterior to the internal cavity of Chang's  
411 apparatus (Fig. 10B). The canal passes ventrally along the lateral wall of the trunk shield.  
412 With a relatively large diameter, it probably carries both vessels and nerves and  
413 corresponds to the rostrocaudal canal in *Chuchinolepis* (Young & Zhang, 1992),  
414 sinolepids and euantiarchs, which is similarly positioned to supply the fin muscles  
415 (Young, 2008).

416 The posterior dorsolateral plate (PDL, Fig. 9D) consists of the dorsal and lateral  
417 laminae. The dorsal lamina is slightly less than twice as long as it is broad. The lateral  
418 lamina is narrow and about 4 times as long as it is high.

419 The posterior lateral plate (PL, Figs. 2C, 3C and 9D) has a L/H ratio of 2.4. It is arched  
420 along the lateral ridge of trunk shield. The anterior margin of the plate forms a sharp  
421 angle, which divides the anterior margin into two segments. The anteroventral margin is  
422 concave, and longer than the anterodorsal one. The dorsal margin of the PL overlaps the  
423 PDL.

424 The posterior ventrolateral plate (PVL, Figs. 2C, 9B and 9D) consists of lateral and  
425 ventral laminae. The lateral lamina is high with a L/H ratio around 2.5. The ventral lamina  
426 is broad with a B/L ratio of 0.9. The subanal division of the ventral lamina is too short to  
427 define. The left PVL overlaps the right one.

428 On the visceral surface of the trunk shield, a fossa (f.lv, Figs. 11B and 11C) is located  
429 at the thickened junction of the AVL, PVL and PL plates, as in *Yunnanolepis* and  
430 *Zhanjilepis* (Zhu, 1996). The fossa was termed the 'lateroventral fossa', and regarded as  
431 a synapomorphy of yunnanolepids by Zhu (1996).

432 Posteriorly, a deeply grooved internal structure (cg, Fig. 11F) is developed along the  
433 caudal opening of trunk shield. The groove has a smooth internal surface, delimited  
434 anteriorly and posteriorly by the developed crista transversalis interna posterior and  
435 posterior margins of trunk plates (PVL, PL and PDL) respectively. It consists of upper  
436 and lower halves divided by a thin septum (ms, Figs. 11D and 11F). The similar structure  
437 in *Yunnanolepis porifera*, as well as in *Pterichthyodes milleri* (Hemmings, 1978: fig. 15D),  
438 was assumed to be related to internal fertilization (Long et al., 2015).

439 The semilunar plate (SL, Fig. 9B) is triangular in shape, and approximately twice as  
440 broad as long. It is overlapped posteriorly by the AVL. Internally, the postbranchial  
441 lamina extends anteromesially from the AVL onto the semilunar plate, and meets the  
442 lamina from the opposite by 110° (V4425.2).

443 The median ventral plate (MV, Figs. 1B, 2B and 9B) is rhombic, and slightly longer

444 than wide. The exposed surface accounts for one third of the ventral wall of trunk shield  
445 in length and a half of the ventral wall in breadth. The plate is thinner than the  
446 surrounding plates.

447

## 448 **DISCUSSION**

### 449 **Anatomical comparisons of several cranial characters in antiarchs**

450 The restoration of the endocranium in antiarchs was mainly based on the imprints of  
451 its dorsal aspect on the visceral surface of the head shield (Stensiö, 1948; Stensiö,  
452 1969; Miles, 1971; Denison, 1978; Young, 1984). The exception was *Minicrania*, which  
453 preserved the internal cast of the endocranial canals and part of the cranial cavity,  
454 thus providing information on its deeper endocranial structures (Zhu & Janvier, 1996).

455 In yunnanolepidoids, the visceral surface of head shield was known in *Yunnanolepis*  
456 (Liu, 1963: fig. 1), *Vanchienolepis* and *Chuchinolepis* (Tông-Dzuy & Janvier, 1990: figs.  
457 14 and 17). The digital visualization of *Phymolepis* shows not only its visceral surface  
458 of head shield but also some internal architecture within the dermal plates, such as the  
459 trajectory of the endolymphatic duct and the cavity for the cranio-spinal process. We  
460 also re-examine the holotype (V2690.1, Fig. 12A) and one referred specimen  
461 (V4423.3, Fig. 12B) of *Y. chii* from the Early Devonian of Qujing, and provide more  
462 details for the visceral surface of head shield in *Yunnanolepis*. Based on these new  
463 data, we make comparisons in antiarchs, and show a high degree of morphological  
464 disparity with respect to the endocranium.

465

466 **Anterior postorbital process.** The endocrania of gnathostomes share a developed  
467 lateral projection where the orbits meet the otic capsules (Brazeau & Friedman, 2014).  
468 This process was termed the ‘anterior postorbital process’ in placoderms, and deemed  
469 as supporting the hyoid arch articulation and delimiting the posterior boundary of the  
470 spiracular chamber by its anterior surface (Young & Zhang, 1996; Brazeau &  
471 Friedman, 2014). The positional differences of the anterior postorbital process (and  
472 associated cranial nerves) along the longitudinal axis of dermal shield were thought to  
473 be informative for phylogenetic analysis (Carr et al., 2009; Dupret et al., 2017).

474 Antiarchs have a well-developed anterior postorbital process. The process  
475 extending in front of the anterior border of the orbital notch, has been considered as  
476 one of the synapomorphies uniting *Bothriolepis* and *Grossilepis* (Zhang & Young,  
477 1992; Zhu, 1996; Jia, Zhu & Zhao, 2010; Pan et al., 2017). Accordingly, the process  
478 behind the anterior border of the orbital notch is referred to a plesiomorphy of  
479 antiarchs and this state has been simply summarized as “anterior postorbital process  
480 short” in previous phylogenetic analyses (Zhang & Young, 1992).

481 When examining the short anterior postorbital process in antiarchs, we recognized  
482 that this state can be subdivided into two conditions: the anterior postorbital process at  
483 the same level with the posterior border of the orbital notch in yunnanolepidoids,

484 *Minicrania* (Zhu & Janvier, 1996), and probably *Sinolepis* (Liu & P'an, 1958; Long, 1983);  
485 the process anteriorly beyond the posterior border of the orbital notch, but behind its  
486 anterior border in euantiarchs excluding *Grossilepis* and *Bothriolepis*. In this case, the  
487 distinction between these two conditions can be added to the transformation series of the  
488 anterior postorbital process.  
489

490 **Postorbital crista.** The postorbital crista in antiarchs separates the otico-occipital  
491 depression from the orbital region in front. In several euantiarchs, the crista extends  
492 obliquely from the crest of the spiracular groove on the lateral plate to the nuchal plate  
493 as a mesial wall of the semicircular depression, such as in *Bothriolepis* (Stensiö, 1948),  
494 *Monarolepis* (Young & Gorter, 1981), *Pterichthyodes* (Hemmings, 1978) and  
495 *Wufengshania* (Pan et al., 2017). For the rest of antiarchs including yunnanolepidoids,  
496 the postorbital crista runs from the lateral plate to the postpineal plate rather than the  
497 nuchal plate as a transversely directed crest embracing the suborbital fenestra  
498 posteriorly.  
499

500 **Supraotic thickening.** The supraotic thickening (Young, 1983: sot, fig. 3D) is  
501 bounded posteriorly by the transverse nuchal crista and extensively developed at its  
502 connection with the crista. As the supraotic thickening is porous, different from the rest  
503 of dermal skeleton in microstructure, it was considered as a junction that is co-ossified  
504 with both the endocranium and overlying head shield (Stensiö, 1948; Karatajūte-  
505 Talimaa, 1963; Moloshnikov, 2004; Moloshnikov, 2008). Euantiarchs have a persistent  
506 supraotic thickening with the exception of *Microbrachius*, which bears a deep groove  
507 throughout the whole length of the otico-occipital depression (Miles, 1968: figs. 25C–  
508 F). The presence of this thickening on the visceral surface of euantiarchs is in stark  
509 contrast to the condition in yunnanolepidoids, *Minicrania* and sinolepids, which lack  
510 any thickening in the corresponding area.  
511

512 **Median occipital crista.** This crista was first identified and named by Stensiö (1931:  
513 cro, figs. 11 and 12) in *Bothriolepis*, and was also termed the 'posterior median process'  
514 by Hemmings (1978) in *Pterichthyodes*. Lying on the descending lamina of occipital part  
515 of the head shield, it is separated from the otico-occipital depression by the transverse  
516 nuchal crista in euantiarchs. A shallow depression of levator muscles (termed the  
517 'insertion fossa on head shield for levator muscles') usually flanks on each side of the  
518 crista. In sinolepids, such as *Grenfellaspis* (Ritchie et al., 1992), the insertion fossa is  
519 elongated as that in euantiarchs but lacks the median crista. In yunnanolepidoids, the  
520 insertion fossa is either very short (*Yunnanolepis*, Fig. 12B), or totally absent  
521 (*Phymolepis*, Fig. 7B).  
522

523 **Posterior process of head shield.** The posterior process of head shield (prnm, see  
524 Young (1988): figs. 7B, 37C and 44A) in euantiarchs, also termed the 'nuchal process'  
525 or 'posterior median process' (Long & Werdelin, 1986; Moloshnikov, 2004;  
526 Moloshnikov, 2008; Moloshnikov, 2010), was first identified and named by Stensiö  
527 (1931: figs. 4, 9 and 12). Although the median occipital crista is usually continuous with  
528 the posterior process of head shield, the process is apparently independent of the crista  
529 in development as evidenced by *Asterolepis* and *Remigolepis*, which possess the  
530 process but lack the crista. The process is usually developed in euantiarchs, in contrast  
531 to its absence in yunnanolepidoids and sinolepids.

532 Among non-antiarch placoderms, the posterior process is also known in  
533 petalichthyids (Liu, 1991; Pan et al., 2015) and arthrodires (Wang & Wang, 1983;  
534 Gardiner & Miles, 1990; Young, 2005; Carr & Hlavin, 2010; Rücklin, Long & Trinajstić,  
535 2015).

536

537 **The cavity for cranio-spinal process.** The cranio-spinal process was named by  
538 Nielsen (1942), and also termed the 'supravagal process' by Stensiö (1969) and the  
539 'paroccipital process' by Eaton (1939). It is widely developed in early gnathostomes,  
540 including arthrodires (Young, 1979), petalichthyids (Stensiö, 1925), acanthodians  
541 (Miles, 1973), actinopterygians (Patterson, 1975) and dipnoans (Miles, 1977).  
542 However, the cavity for cranio-spinal process on the visceral surface of head shield,  
543 which might function for fixing the endocranium to the external bony shield, is only found  
544 in primitive antiarchs and some arthrodires.

545 The cranio-spinal process in yunnanolepidoids is strongly developed, as indicated  
546 by the large cavity for the process. The process and the corresponding cavity in  
547 euantiarchs were either reduced or absent (Young, 1984).

548

549 **Supraoccipital pit.** The supraoccipital pit of the head shield is present for housing the  
550 endocranial supraoccipital process. It is bounded posteriorly by the transverse nuchal  
551 crista in yunnanolepidoids. The same condition is also seen in *Grenfellaspis* (Ritchie  
552 et al., 1992) and *Minicrania*, despite the supraoccipital pit in the latter has ever been  
553 interpreted as impression of endolymphatic sac (Zhu & Janvier, 1996; Dupret et al.,  
554 2017). In euantiarchs, the supraoccipital pit is only seen in few *Bothriolepis* species  
555 with two different positions: either immediately anterior to the transverse nuchal crista  
556 as exemplified by *B. tatongensis* (Long & Werdelin, 1986), or on the transverse nuchal  
557 crista as in *B. macphersoni* and *B. portalensis* (Young, 1988).

558 In non-antiarch placoderms, the supraoccipital pit has been observed in  
559 petalichthyids (Liu, 1991: cv.ifNu, fig. 2), and most arthrodires, including  
560 Holonematidae (Miles, 1971: tf; figs. 53 and 117; Young, 2005: if.pt, fig. 2C),  
561 Buchanosteidae (Young, 1981b: if.pt, fig. 6), Coccosteioidea (Miles & Westoll, 1968:

562 p.pts.Nu, fig. 2a), Dunkleosteoidea (Zhu, Zhu & Wang, 2016: f.pt.u, fig. 5; Carr &  
563 Hlavin, 2010: pt.u, fig. 6A) and Dinichthyidae (Carr & Hlavin, 2010: pt.u, fig. 1A).  
564

565 **Trajectory of endolymphatic duct.** The trajectory of the endolymphatic duct through  
566 the dermal bone in respect of length and orientation mainly depends on the relative  
567 position between the internal and external pores. This character was considered  
568 informative for the resolution of placoderm interrelationships. The trajectory had ever  
569 been decomposed into two states: vertical (a trait in most non-arthrodire placoderms),  
570 long and oblique (a trait shared by arthrodires) by Goujet & Young (1995). Coates &  
571 Sequeira (1998) considered the posteriorly oriented duct as a primitive character of  
572 gnathostomes as it is shared by agnathans, placoderms and osteichthyans. Brazeau  
573 (2009) suggested the presence of posterodorsally angled trajectory as an arthrodire  
574 character and the absence of oblique trajectory of endolymphatic duct as a character  
575 shared by antiarchs, *Brindabellaspis* and petalichthyids. Our study herein shows the  
576 condition in antiarchs is more complicated than previously thought.

577 In antiarchs, the distance between the internal pores is usually greater than that of  
578 external ones (Stensiö, 1948; Karatajūte-Talimaa, 1966; Long, 1983), and the  
579 endolymphatic duct extends dorsomesially. As the external pore of endolymphatic duct  
580 is always positioned close to the posterior edge of the nuchal plate in antiarchs, the  
581 relative position of the internal pore along the antero-posterior axis reflects the relative  
582 length and orientation of the endolymphatic duct.

583 In yunnanolepidoids, the internal pore of endolymphatic duct is located far in front of  
584 the transverse nuchal crista, and thus far from the external pore. As such, the  
585 endolymphatic duct is elongated through the nuchal plate and obliquely oriented.  
586 Sinolepids (Ritchie et al., 1992; Janvier, 1996) and euantiarchs differ in having a short,  
587 slight oblique endolymphatic duct as the internal pore is positioned just anterior to the  
588 external one.

589 In non-antiarch placoderms, the elongated endolymphatic duct is also present in  
590 arthrodires (Young, 2010; Dupret et al., 2017). However, the endolymphatic duct of  
591 arthrodires is directed dorsolaterally, not dorsomesially as in antiarchs.

592

593 **Occipital portion of endocranium.** The internal pore for the endolymphatic duct in  
594 antiarchs, is located roughly at the posterior boundary of the semicircular depression on  
595 the visceral surface of head shield as that in arthrodires (Zhu, Zhu & Wang, 2016), hence  
596 we use this pore as a proxy to denote the otic-occipital boundary. Taking the length of  
597 the otic-occipital depression as the constant variable in antiarchs, the length between the  
598 internal pore of endolymphatic duct and the posterior border of otic-occipital depression  
599 represents the occipital proportion in endocranium.

600 In yunnanolepidoids, the internal pore on the visceral surface of the head shield is far

601 from the transverse nuchal crista as described above, implying the occipital portion of the  
602 endocranium is elongated as in arthrodiros (Zhu, Zhu & Wang, 2016). By comparison,  
603 the occipital portion is short in other antiarchs.

604

605 **Confluence of anterior and posterior semicircular canals.** The anterior and  
606 posterior semicircular canals meet at the confluence in the medial part of the inner ear  
607 (Dupret et al., 2017a). As the posterior border of orbital notch and the transverse nuchal  
608 crista roughly border the anterior and posterior margins of the otic-occipital depression  
609 respectively, we can use them as references to estimate the relative position of the  
610 confluence in endocranium.

611 In yunnanolepids and *Minicrania* (Zhu, 1996), the confluence is halfway between  
612 the posterior border of the orbital notch and the transverse nuchal crista. By  
613 comparison, the confluence is closer to the transverse nuchal crista than to the  
614 posterior border of orbital notch in sinolepids and euantiarchs.

615

## 616 **Phylogenetic results**

617 The maximum parsimony analysis yields 242 MPTs of 179 steps each (consistency  
618 index= 0.469; retention index= 0.808). All the MPTs are summarized as a strict  
619 consensus tree (Fig. 13A) and a 50% majority-rule consensus tree (Fig. 13B). One MPT  
620 is selected to illustrate the character transformations at nodes (Fig. 14A), and the list of  
621 synapomorphies defining various nodes is shown in Supplementary Information.

622 Antiarchs (Fig. 14A: node 1) are characterized by up to 10 synapomorphies including  
623 two newly proposed cranial features (Character 27<sup>0</sup>, absence of posterior process of  
624 head shield; Character 38<sup>1</sup>, presence of supraoccipital pit). Character 27 shows a  
625 reversal in euantiarchs (Fig. 14A: node 15). Character 38 is a highly homoplastic  
626 character, and shows a reversal in euantiarchs and a parallelism in *Bothriolepis*.

627 Yunnanolepidoids (Fig. 14A: node 2) form the basal clade of antiarchs and are the  
628 sister group of all other antiarchs. One uniquely shared character (Character 37<sup>1</sup>,  
629 presence of cavity for cranio-spinal process) and one homoplasious character  
630 (Character 18<sup>1</sup>, small orbital fenestra) support the monophyly of Yunnanolepidoidei.  
631 Small orbital fenestra shows parallelisms in sinolepids and at node 23 and a reversal in  
632 *Parayunnanolepis*. There is a polytomy within yunnanolepidoids involving three taxa:  
633 *Chuchinolepis*, *Vanchienolepis*, and a clade formed by yunnanolepids, *Zhanjilepis* and  
634 *Heteroyunnanolepis*. In yunnanolepids, *Yunnanolepis* is the sister group of a polytomic  
635 clade comprising *Phymolepis*, *Mizia* and *Parayunnanolepis*.

636 Four new cranial characters provide further support the monophyly of euantiarchs (Fig.  
637 14A: node 15), including one uniquely shared character (Character 36<sup>1</sup>, anterior  
638 postorbital process lying in front of posterior level of orbital notch) and three

639 homoplasious characters (Character 26<sup>1</sup>, presence of median occipital pit of head shield;  
640 Character 27<sup>1</sup>, presence of posterior process of head shield and Character 38<sup>0</sup>, absence  
641 of supraoccipital pit of head shield).

642 Microbrachiids (Fig. 14A: node 16) are resolved as the sister group of the remaining  
643 euantiarchs, and the conventional bothriolepidoids are resolved as a paraphyletic  
644 assemblage. These results are congruent with previous analyses of Zhu (1996) and Pan  
645 et al. (2017). Relationships of the remaining bothriolepidoids (Fig. 14A: node 19) are  
646 completely unresolved in the strict consensus tree, which may be related to the large  
647 number of missing data in some of them. Euantiarchs excluding microbrachiids bear one  
648 uniquely shared endocranial character (Character 25<sup>1</sup>, presence of supraotic thickening  
649 of head shield).

650 Our analysis that incorporate new cranial characters yields resultant trees, which are  
651 consistent with previous resolutions of Zhu (1996), Jia, Zhu & Zhao (2010) and Pan et al.  
652 (2017) in broad phylogenetic pattern. Under the new phylogenetic scenario, we can trace  
653 the character transformations relating to the dorsal aspect of endocranium in antiarchs.

654 Yunnanolepidoids (Figs. 12 and 14B) and *Minicrania* show primitive character states,  
655 such as the anterior postorbital process being posteriorly positioned (Character 36<sup>0</sup>),  
656 presence of supraoccipital process (Character 38<sup>1</sup>), anterior and posterior semicircular  
657 canals being anteriorly positioned (Character 39<sup>0</sup>), long endolymphatic duct (Character  
658 40<sup>0</sup>), and long occipital portion (Character 41<sup>0</sup>).

659 At the node comprising sinolepids and euantiarchs (Fig. 14A: node 10), there are two  
660 derived endocranial character states: short endolymphatic duct (Character 40<sup>1</sup>) and  
661 short occipital region (Character 41<sup>1</sup>). Euantiarchs differ from sinolepids in possessing  
662 the following derived states: the anterior postorbital process lying in front of the posterior  
663 level of orbital notch (Character 36<sup>1</sup>), and the absence of the supraoccipital process  
664 (Character 38<sup>0</sup>). In short, there exists a large morphological disparity relating to the  
665 dorsal aspect of endocranium between yunnanolepidoids, sinolepids and euantiarchs.

666

## 667 CONCLUSIONS

668 The re-investigation of *Phymolepis cuifengshanensis* with assistance of high-  
669 resolution CT scanning, offers comprehensive information for this taxon and new insights  
670 into the morphology and phylogeny of antiarchs.

671 The exoskeleton of *Phymolepis cuifengshanensis* shows typical yunnanolepid  
672 characters, such as the small orbital fenestra, presence of both developed postbranchial  
673 lamina and crista transversalis interna anterior on the trunk shield. The endocranium of *P.*  
674 *cuifengshanensis* also resembles that of other yunnanolepidoids in the presence of  
675 developed cranio-spinal process and supraoccipital process, the anterior postorbital  
676 process lying at the same level with the posterior border of the orbital notch, elongated  
677 endolymphatic duct and long occipital region.

678 We compare cranial characters among subgroups of antiarchs, and formulate ten  
 679 additional characters that deemed to be of phylogenetic significance. Phylogenetic  
 680 analysis of a revised and expanded dataset draws new perspectives on the  
 681 interrelationships of antiarchs, and corroborates the monophyly of yunnanolepidoids by  
 682 the presence of cavity for cranio-spinal process.

683 The character transformations relating to the dorsal aspect of endocranium in  
 684 antiarchs are inferred under the new phylogenetic scenario. By comparison to  
 685 yunnanolepidoids and *Minicrania*, which retain several primitive endocranial traits,  
 686 sinolepids and euantiarchs evolved two apomorphic features (short endolymphatic duct  
 687 and short occipital portion). Euantiarchs are more derived in the anterior postorbital  
 688 process lying in front of the posterior level of orbital notch, and the absence of the  
 689 supraoccipital process.

690

691 Figure Captions:

692 **Figure 1: Holotype and paratype of *Phymolepis cuifengshanensis*.**

693 (A–C) IVPP V4425.3, holotype, trunk shield in dorsal (A), ventral (B) and right lateral (C)  
 694 views. (D–E) IVPP V4425.6, paratype, PMD plate in dorsal (D) and ventral (E) views.  
 695 Abbreviations: aa, anterior angle of PMD; ADL, anterior dorsolateral plate; ala,  
 696 anterolateral angle of PMD; AMD, anterior median dorsal plate; AVL, anterior  
 697 ventrolateral plate; cf.AMD, area overlapping AMD; cf.PDL, area overlapping PDL; cr.tp,  
 698 crista transversalis interna posterior; ddr, dorsal diagonal ridge of trunk shield; dl,  
 699 dorsolateral ridge of trunk shield; dmr, dorsal median ridge; la, lateral angle of PMD; lc,  
 700 main lateral line canal; lr, lateral ridge of lateral wall of trunk shield; MV, median ventral  
 701 plate; pa, posterior angle of PMD; pda, posterior dorsal angle; PDL, posterior  
 702 dorsolateral plate; pf, pectoral fossa; PL, posterior lateral plate; pla, posterolateral angle  
 703 of PMD; plal, posterolateral angle of AMD; plr, posterior lateral ridge of PMD; PMD,  
 704 posterior median dorsal plate; pr.p, posterior process of PMD; pt2, posterior ventral pit of  
 705 dorsal wall of trunk shield; PVL, posterior ventrolateral plate; r.C, ridge caused by  
 706 Chang's apparatus; vl, ventrolateral ridge of trunk shield. Red arrow represents the  
 707 direction of the specimen: a, anterior direction. Scale bar equals 1 cm.

708

709 **Figure 2: Paratype of *Phymolepis cuifengshanensis* (IVPP V4425.1).**

710 (A) Dorsal view. (B) Ventral view. (C) Right lateral view. (D–E) Anterior view, photo (D)  
 711 and drawing (E). Abbreviations: ADL, anterior dorsolateral plate; AMD, anterior median  
 712 dorsal plate; AVL, anterior ventrolateral plate; cit, crista transversalis interna anterior; ddr,  
 713 dorsal diagonal ridge of trunk shield; dma, tergal angle of trunk shield; dmr, dorsal  
 714 median ridge of trunk shield; f.ca, fossa for neck-joint; iar, infra-articular ridge; lc, main  
 715 lateral line canal; MV, median ventral plate; o.C, opening of Chang's apparatus; pbl,  
 716 postbranchial lamina; PDL, posterior dorsolateral plate; PL, posterior lateral plate; pnoa,

717 postnuchal ornamented corner of ADL; PVL, posterior ventrolateral plate; r.C, ridge  
718 caused by Chang's apparatus; sar, supra-articular ridge. Red arrow represents the  
719 direction of the specimen: a, anterior direction. Scale bars equal 1 cm.

720

721 **Figure 3: Paratype of *Phymolepis cuifengshanensis* (IVPP V4425.2).**

722 (A) Dorsal view. (B) Ventral view. (C) Right lateral view. (D) Anterior view. Abbreviations:  
723 ADL, anterior dorsolateral plate; AMD, anterior median dorsal plate; AVL, anterior  
724 ventrolateral plate; ddr, dorsal diagonal ridge of trunk shield; dl, dorsolateral ridge of  
725 trunk shield; dma, tergal angle; dmr, dorsal median ridge; dtr, dorsal transverse ridge of  
726 trunk shield; La, lateral plate; lr, lateral ridge of lateral wall of trunk shield; MV, median  
727 ventral plate; Nu, nuchal plate; or, oblique ridge of lateral wall of trunk shield; orb, orbital  
728 fenestra; PDL, posterior dorsolateral plate; PL, posterior lateral plate; PM, postmarginal  
729 plate; pmc, postmarginal sensory canal; pnoa, postnuchal ornamented corner of ADL;  
730 PNu, paranuchal plate; PP, postpineal plate; PVL, posterior ventrolateral plate; SL,  
731 semilunar plate; vl, ventrolateral ridge of trunk shield. Scale bar equals 1 cm.

732

733 **Figure 4: *Yunnanolepis parvus* (IVPP V4425.7).**

734 (A) Dorsal view. (B) Ventral view. (C) Anterior view. (D) Right lateral view. (E) Left lateral  
735 view. Abbreviations: ADL, anterior dorsolateral plate; AMD, anterior median dorsal plate;  
736 AVL, anterior ventrolateral plate; cit, crista transversalis interna anterior; dma, tergal  
737 angle; dmr, dorsal median ridge; pbl, postbranchial lamina; PDL, posterior dorsolateral  
738 plate; PL, posterior lateral plate; PMD, posterior median dorsal plate; p.pf, plates of  
739 pectoral fin; PVL, posterior ventrolateral plate. Red arrow represents the direction of the  
740 specimen: a, anterior direction; p, posterior direction. Scale bar equals 5 mm.

741

742 **Figure 5: Outline restoration of the dermal shield of *Phymolepis cuifengshanensis*.**

743 (A) Dorsal view. (B) Ventral view. (C) Right lateral view. Stripped lines delineate the unknown  
744 part. Scale bar equals 5 mm.

745

746 **Figure 6: Life reconstruction of *Phymolepis cuifengshanensis*.**

747 Artwork by Dinghua Yang.

748

749 **Figure 7: Head shield of *Phymolepis cuifengshanensis* (IVPP V4425.2) based on  
750 high-resolution CT.**

751 (A–B) Three-dimensional reconstructions in dorsal (A) and ventral (B) views. (C–D)  
752 Interpretative drawings in dorsal (C) and ventral (D) views. Abbreviations: a<sub>1</sub>, a<sub>2</sub>,  
753 attachment areas for the dermal operculum on the lateral and paranuchal plates,

754 respectively; alr, anterior lateral ridge on head shield; c.csp, cavity for cranio-spinal  
 755 process; cr.pm, paramarginal crista; cr.po, postorbital crista; cr.tv, transverse nuchal  
 756 crista; d.end, opening for endolymphatic duct; d.sac, depression for sacculus; dsc,  
 757 depression caused by semicircular canal; ifc, infraorbital sensory canal; La, lateral plate;  
 758 lc, main lateral line canal; mpl, middle pit-line; mr, median ridge of postpineal plate; nm,  
 759 obteched nuchal margin; Nu, nuchal plate; occ, occipital cross commissure; oem, median  
 760 occipital eminence; om, obstantic margin of head shield; ood, otico-occipital depression  
 761 of head shield; orb, orbital fenestra; p.apo, anterior postorbital process; PM,  
 762 postmarginal plate; pmc, postmarginal sensory canal; PNu, paranuchal plate; PP,  
 763 postpineal plate; plc, posterolateral corner of head shield; ppl, posterior pit-line; pp.th,  
 764 postpineal thickening; ptoc, postobstantic corner of paranuchal plate; sop, supraoccipital  
 765 pit of head shield; sorb, suborbital fenestra. Scale bar equals 5 mm.

766

767 **Figure 8: Cavities within the head shield of *Phymolepis cuihengshanensis* (IVPP**  
 768 **V4425.2) based on high-resolution CT.**

769 (A) Semi-transparent Nu plate in dorsal view. (B) Transparent Nu plate in anterior view.  
 770 (C) Semi-transparent Nu plate in lateral view. (D–E) Semi-transparent right PNu in ventral  
 771 (D) and posterior (E) views. (F) Right PNu in left lateral view. Abbreviations: cr.pm,  
 772 paramarginal crista; cr.tv, transverse nuchal crista; c.vg, cavity for vagal process, d.end,  
 773 opening for endolymphatic duct; Nu, nuchal plate; PNu, paranuchal plate. Red arrow  
 774 represents the direction of the specimen; a, anterior direction; d, dorsal direction; l, left  
 775 direction; v, ventral direction. Scale bar equals 2 mm.

776

777 **Figure 9: *Phymolepis cuihengshanensis* (IVPP V4425.2) based on high-resolution CT.**

778

779 (A–C) Trunk shield in dorsal (A), ventral (B) and anterior (C) views. (D) Head and trunk  
 780 shields in right lateral view. (E–F) AVL plates and their displaced fragments as preserved  
 781 (E) and restored (F). Yellow dash lines in (B) delimit restored portions. Abbreviations: ADL:  
 782 anterior dorsolateral plate; AMD, anterior median dorsal plate; AVL, anterior ventrolateral  
 783 plate; c.C; cavity of Chang's apparatus; cg, caudal groove of trunk shield; cit, crista  
 784 transversalis interna anterior; cr.tp, crista transversalis interna posterior; ddr, dorsal  
 785 diagonal ridge of trunk shield; dma, tergal angle of trunk shield; dmr, dorsal median ridge  
 786 of trunk shield; dtr, dorsal transverse ridge of trunk shield; f.ca, fossa for neck-joint; lc,  
 787 main lateral line canal; lr, lateral ridge of lateral wall of trunk shield; MV, median ventral  
 788 plate; Nu, nuchal plate; or, oblique ridge of lateral wall of trunk shield; PDL, posterior  
 789 dorsolateral plate; PL, posterior lateral plate; PM, postmarginal plate; pnoa, postnuchal  
 790 ornamented corner of ADL; PP, postpineal plate; PVL, posterior ventrolateral plate; pbl,  
 791 postbranchial lamina; rc, rostrocaudal canal; r.C, ridge caused by Chang's apparatus; SL,  
 792 semilunar plate. Red arrow represents the direction of the specimen: a, anterior direction;

793 r, right direction. Scale bar equals to 5 mm.

794

795 **Figure 10: *Phymolepis cuifengshanensis* (IVPP V4425.2) based on high-resolution**  
796 **CT.**

797 (A) Head shield and anterior portion of trunk shield. (B) Axial section through the left AVL  
798 in CT slice, showing the positions of the internal cavity of Chang's apparatus and the  
799 rostrocaudal canal. (C) Semi-transparent left ADL in ventral view. (D–E) Semi-transparent  
800 right AVL in dorsal (D) and lateral (E) views. (F) Semi-transparent left AVL in dorsal view.  
801 Abbreviations: ADL, anterior dorsolateral plate; AVL, anterior ventrolateral plate; c.C;  
802 cavity of Chang's apparatus; cit, crista transversalis interna anterior; ifc, infraorbital  
803 sensory canal; lc, main lateral line canal; pbl, postbranchial lamina; rc, rostrocaudal  
804 canal. Red arrow represents the direction of the specimen: a, anterior direction; l, left  
805 direction; r, right direction. Scale bar equals 3mm.

806

807 **Figure 11: *Phymolepis cuifengshanensis* (IVPP V4425.2) based on high-resolution**  
808 **CT.**

809 (A) AMD in ventral view. (B) Transverse section through the lateroventral fossa in CT slice.  
810 (C) Lateroventral fossa in lateral view. (D) Transverse section through the left caudal groove  
811 in CT slice. (E) Axial section through the right caudal groove in CT slice. (F) Left caudal  
812 groove in lateral view. Abbreviations: AMD, anterior median dorsal plate; AVL, anterior  
813 ventrolateral plate; cf.ADL, area overlapping ADL; cf.PDL, area overlapping PDL; cg,  
814 caudal groove of trunk shield; cr.tp, crista transversalis interna posterior; f.lv,  
815 lateroventral fossa of trunk shield; lal, lateral angle of AMD; ms, median septum; PDL,  
816 posterior dorsolateral plate; PL, posterior lateral plate; plal, posterolateral angle of AMD;  
817 prv1, anterior ventral process of dorsal wall of trunk shield; pt1, anterior ventral pit of  
818 dorsal wall of trunk shield; PVL, posterior ventrolateral plate; wa, outer wall of caudal  
819 groove. Red arrow represents the direction of the specimen: a, anterior direction; d,  
820 dorsal direction; r, right direction. Scale bars equal 3 mm.

821

822 **Figure 12: Head shields of *Yunnanolepis chii* in visceral view.**

823 (A) IVPP V2690.1. (B) IVPP V4423.3. Abbreviations: c.csp, cavity for cranio-spinal process;  
824 cr.pm, paramarginal crista; cr.po, postorbital crista; cr.tv, transverse nuchal crista; d.end,  
825 opening for endolymphatic duct; d.sac, depression for sacculus; dsc, depression caused  
826 by semicircular canal; fm, unpaired insertion fossa on head shield for levator muscles; mr,  
827 medial ridge of postpineal plate; ood, otico-occipital depression of head shield; p.apo,  
828 anterior postorbital process; r.spr, subpremedian ridge; sop, supraoccipital pit of head  
829 shield; tlg, transverse lateral groove of head shield. Scale bars equal to 5 mm.

830

831 **Figure 13: Phylogenetic results of antiarchs based on a revised data matrix.**

832 (A) Strict consensus tree of 242 parsimonious trees (tree length = 179; consistency index  
833 = 0.469, homoplasy index = 0.531, retention index = 0.808, rescaled consistency index =  
834 0.379). Numbers above and below nodes represent bootstrap values ( $\geq 50\%$  are shown)  
835 and Bremer decay indices, respectively. (B) 50 % majority-rule consensus tree of 242  
836 parsimonious trees based on the same dataset as in (A). Numbers above branches  
837 indicate the percentage of the shortest trees in which the partition is supported (100%  
838 are not shown).

839

840 **Figure 14. Phylogenetic results of antiarchs and visceral surface conditions of head**  
841 **shield among major antiarch subgroups.**

842 (A) One of the most parsimonious trees with node numbers defining various clades.  
843 Named nodes: 1, Antiarcha; 2, Yunnanolepidoidei; 11, Sinolepididae; 15, Euantiarcha;  
844 16, Microbrachiidae; 26, Asterolepidoidei. (B) Restorations of the head shields in ventral  
845 view to show endocranial character transformations, redrawn from Ritchie et al. (1992),  
846 Young (1983), Stensiö (1948) and (1969). Vertical bars on the right side show the  
847 longitudinal proportion of otico-occipital region of endocranium on the head shield (blue  
848 region), the location of the confluence of semicircular canals (orange circle), the location  
849 of the internal pore for endolymphatic duct (purple circle). Abbreviations: c.csp, cavity for  
850 cranio-spinal process; cr.im, inframarginal crista; cr.tv, transverse nuchal crista; cro,  
851 median occipital crista of head shield; d.end, opening for endolymphatic duct; dsc,  
852 depression caused by semicircular canal; f.cu, cucullaris fossa; fm, unpaired insertion  
853 fossa on head shield for levator muscles; p.apo, anterior postorbital process; prnm,  
854 posterior process of head shield; sop, supraoccipital pit of head shield; sot, supraotic  
855 thickening of head shield; tlg, transverse lateral groove of head shield.

856

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876

### 877 **Competing Interests**

878 The authors declare there are no competing interests.

879

### 880 **Author Contributions**

881 ● Yajing Wang performed the experiments, analyzed the data, prepared figures and/or  
882 tables, wrote the paper, reviewed drafts of the paper.

883 ● Min Zhu conceived and designed the experiments, performed the experiments,  
884 analyzed the data, wrote the paper, prepared figures and/or tables, reviewed drafts of  
885 the paper.

886

### 887 **Data Availability**

888 The following information was supplied regarding data availability:

889

### 890 **Supplementary Information**

891 Supplemental information for this article can be found online at

892

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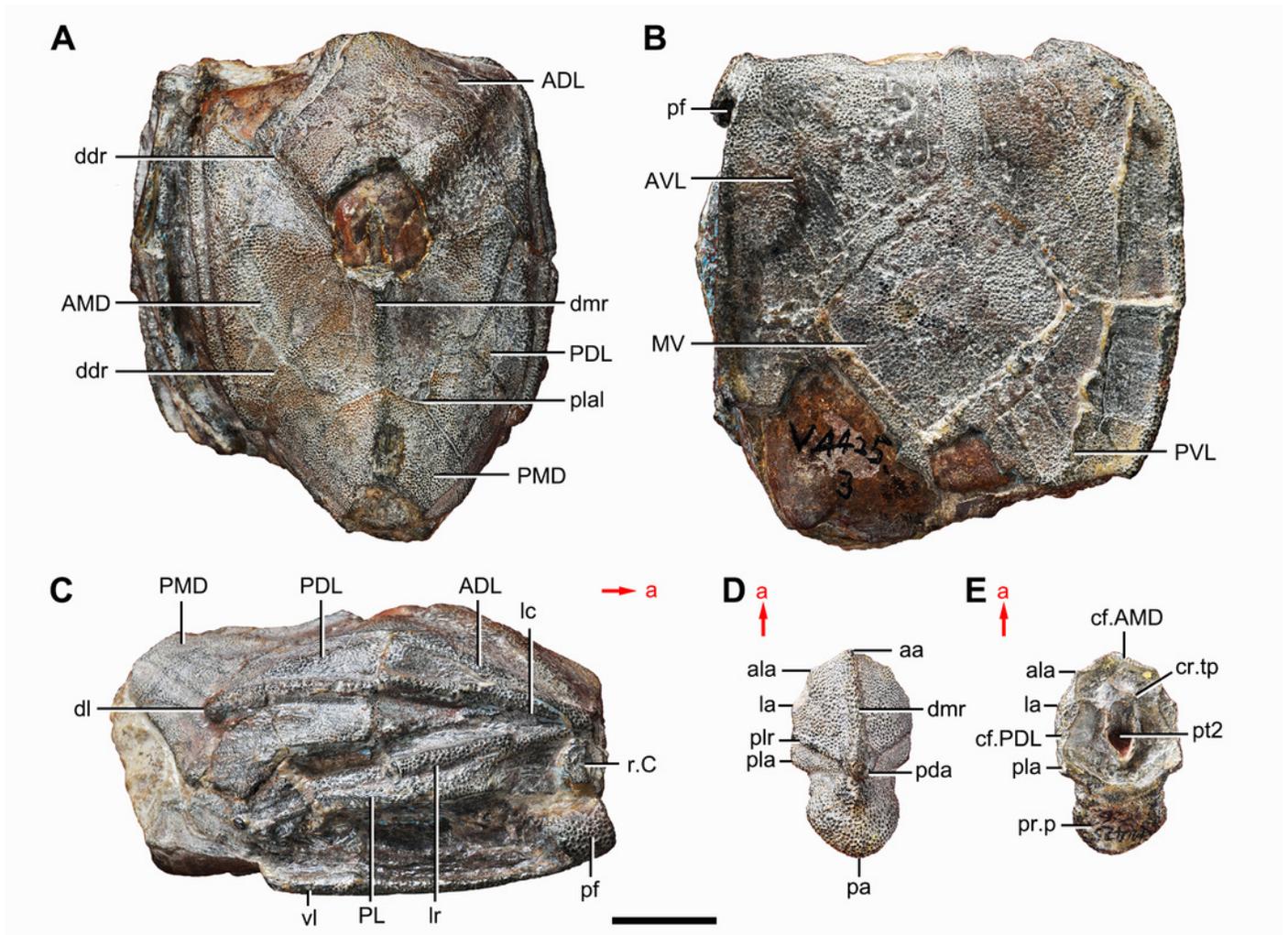
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# Figure 1

Holotype and paratype of *Phymolepis cuifengshanensis*.

(A–C) IVPP V4425.3, holotype, trunk shield in dorsal (A), ventral (B) and right lateral (C) views. (D–E) IVPP V4425.6, paratype, PMD plate in dorsal (D) and ventral (E) views.

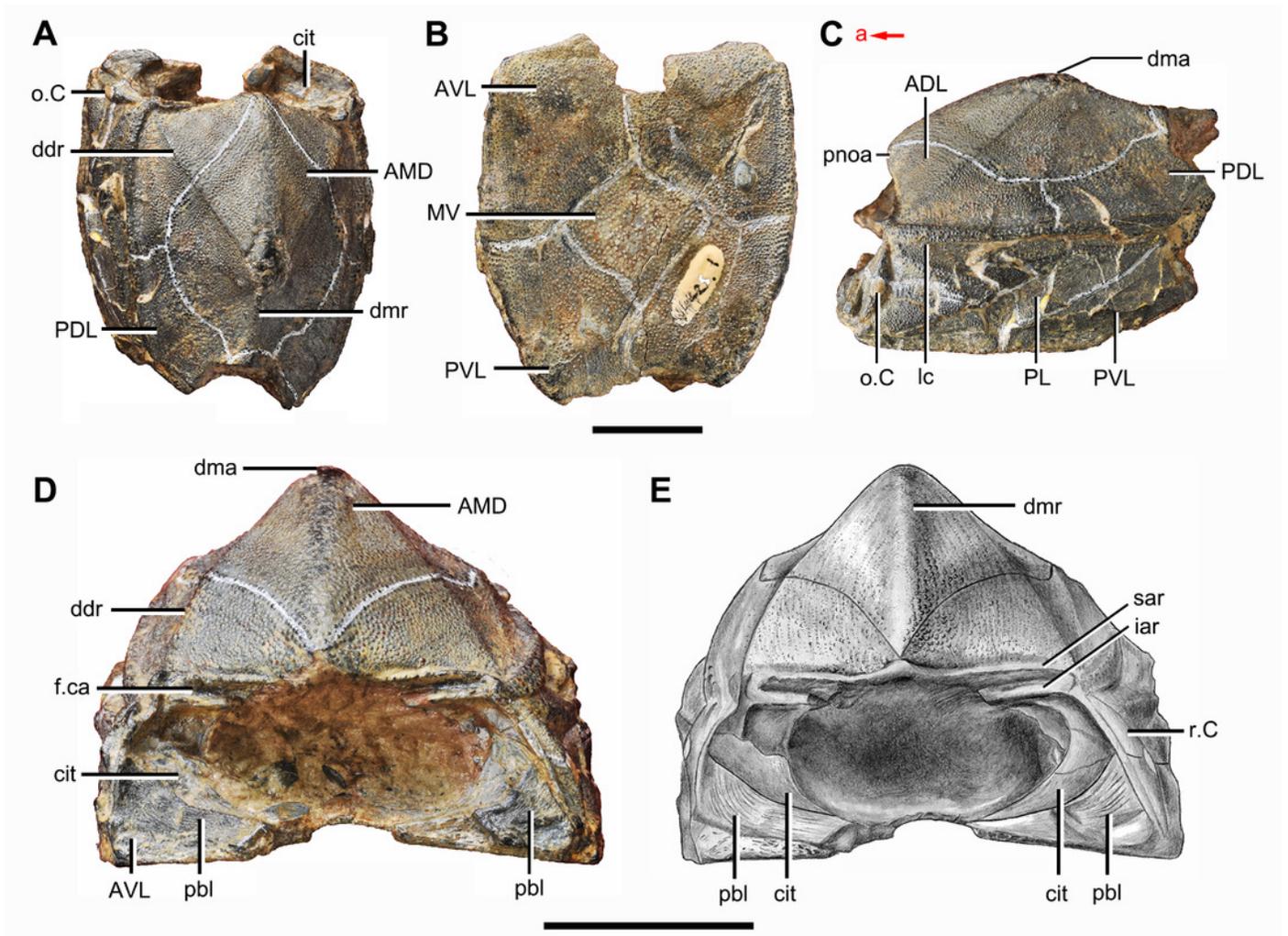
Abbreviations: aa, anterior angle of PMD; ADL, anterior dorsolateral plate; ala, anterolateral angle of PMD; AMD, anterior median dorsal plate; AVL, anterior ventrolateral plate; cf.AMD, area overlapping AMD; cf.PDL, area overlapping PDL; cr.tp, crista transversalis interna posterior; ddr, dorsal diagonal ridge of trunk shield; dl, dorsolateral ridge of trunk shield; dmr, dorsal median ridge; la, lateral angle of PMD; lc, main lateral line canal; lr, lateral ridge of lateral wall of trunk shield; MV, median ventral plate; pa, posterior angle of PMD; pda, posterior dorsal angle; PDL, posterior dorsolateral plate; pf, pectoral fossa; PL, posterior lateral plate; pla, posterolateral angle of PMD; plal, posterolateral angle of AMD; plr, posterior lateral ridge of PMD; PMD, posterior median dorsal plate; pr.p, posterior process of PMD; pt2, posterior ventral pit of dorsal wall of trunk shield; PVL, posterior ventrolateral plate; r.C, ridge caused by Chang's apparatus; vl, ventrolateral ridge of trunk shield. Red arrow represents the direction of the specimen: a, anterior direction. Scale bar equals 1 cm.



## Figure 2

Paratype of *Phymolepis cuifengshanensis* (IVPP V4425.1).

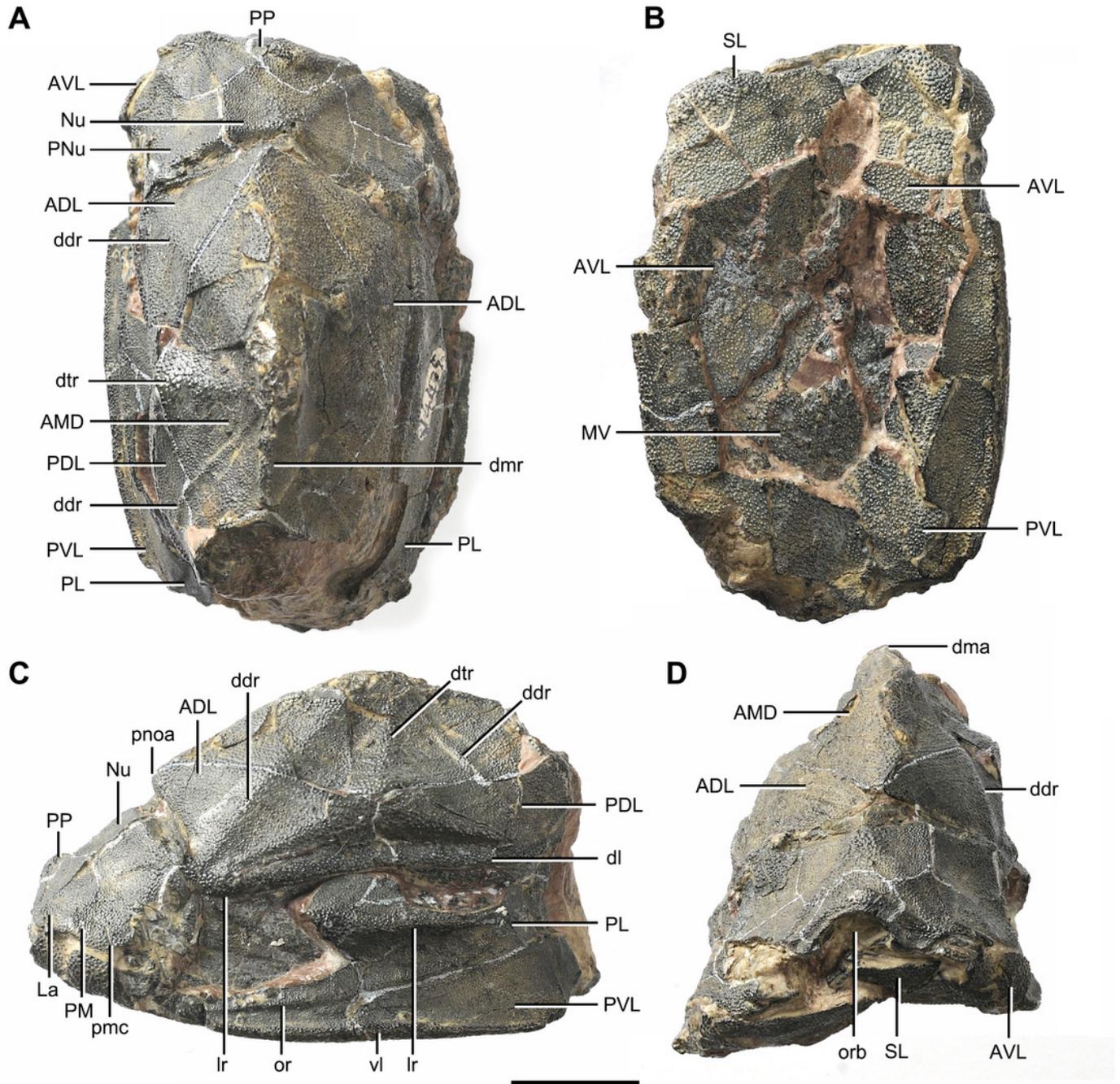
(A) Dorsal view. (B) Ventral view. (C) Right lateral view. (D-E) Anterior view, photo (D) and drawing (E). Abbreviations: ADL, anterior dorsolateral plate; AMD, anterior median dorsal plate; AVL, anterior ventrolateral plate; cit, crista transversalis interna anterior; ddr, dorsal diagonal ridge of trunk shield; dma, tergal angle of trunk shield; dmr, dorsal median ridge of trunk shield; f.ca, fossa for neck-joint; iar, infra-articular ridge; lc, main lateral line canal; MV, median ventral plate; o.C, opening of Chang's apparatus; pbl, postbranchial lamina; PDL, posterior dorsolateral plate; PL, posterior lateral plate; pnoa, postnuchal ornamented corner of ADL; PVL, posterior ventrolateral plate; r.C, ridge caused by Chang's apparatus; sar, supra-articular ridge. Red arrow represents the direction of the specimen: a, anterior direction. Scale bars equal 1 cm.



## Figure 3

Paratype of *Phymolepis cuifengshanensis* (IVPP V4425.2).

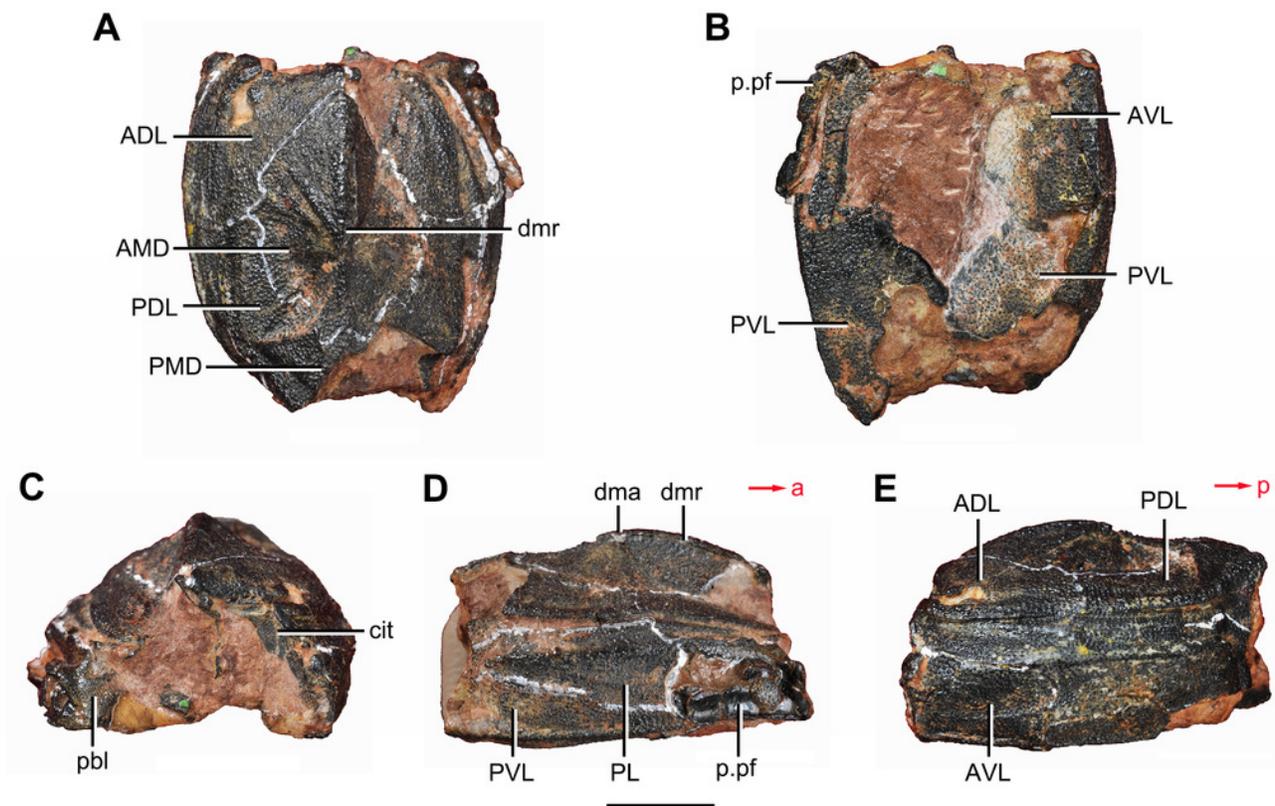
(A) Dorsal view. (B) Ventral view. (C) Right lateral view. (D) Anterior view. Abbreviations: ADL, anterior dorsolateral plate; AMD, anterior median dorsal plate; AVL, anterior ventrolateral plate; ddr, dorsal diagonal ridge of trunk shield; dl, dorsolateral ridge of trunk shield; dma, tergal angle; dmr, dorsal median ridge; dtr, dorsal transverse ridge of trunk shield; La, lateral plate; lr, lateral ridge of lateral wall of trunk shield; MV, median ventral plate; Nu, nuchal plate; or, oblique ridge of lateral wall of trunk shield; orb, orbital fenestra; PDL, posterior dorsolateral plate; PL, posterior lateral plate; PM, postmarginal plate; pmc, postmarginal sensory canal; pnoa, postnuchal ornamented corner of ADL; PNu, paranuchal plate; PP, postpineal plate; PVL, posterior ventrolateral plate; SL, semilunar plate; vl, ventrolateral ridge of trunk shield. Scale bar equals 1 cm.



## Figure 4

*Yunnanolepis parvus* (IVPP V4425.7).

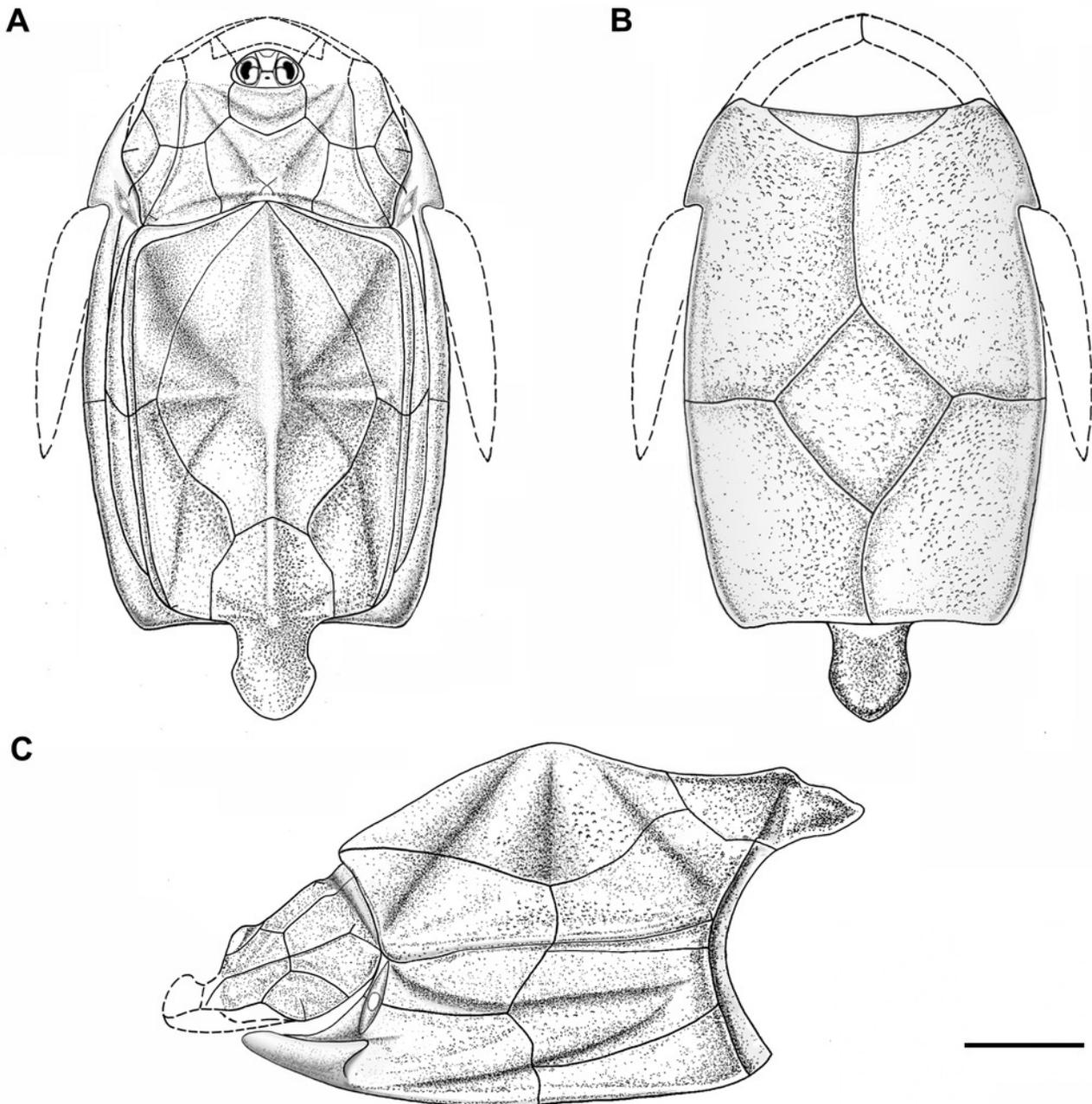
(A) Dorsal view. (B) Ventral view. (C) Anterior view. (D) Right lateral view. (E) Left lateral view. Abbreviations: ADL, anterior dorsolateral plate; AMD, anterior median dorsal plate; AVL, anterior ventrolateral plate; cit, crista transversalis interna anterior; dma, tergal angle; dmr, dorsal median ridge; pbl, postbranchial lamina; PDL, posterior dorsolateral plate; PL, posterior lateral plate; PMD, posterior median dorsal plate; p.pf, plates of pectoral fin; PVL, posterior ventrolateral plate. Red arrow represents the direction of the specimen: a, anterior direction; p, posterior direction. Scale bar equals 5 mm.



## Figure 5

Outline restoration of the dermal shield of *Phymolepis cuifengshanensis*.

(A) Dorsal view. (B) Ventral view. (C) Right lateral view. Stripped lines delineate the unknown part. Scale bar equals 5 mm.



## Figure 6

Life reconstruction of *Phymolepis cuifengshanensis*.

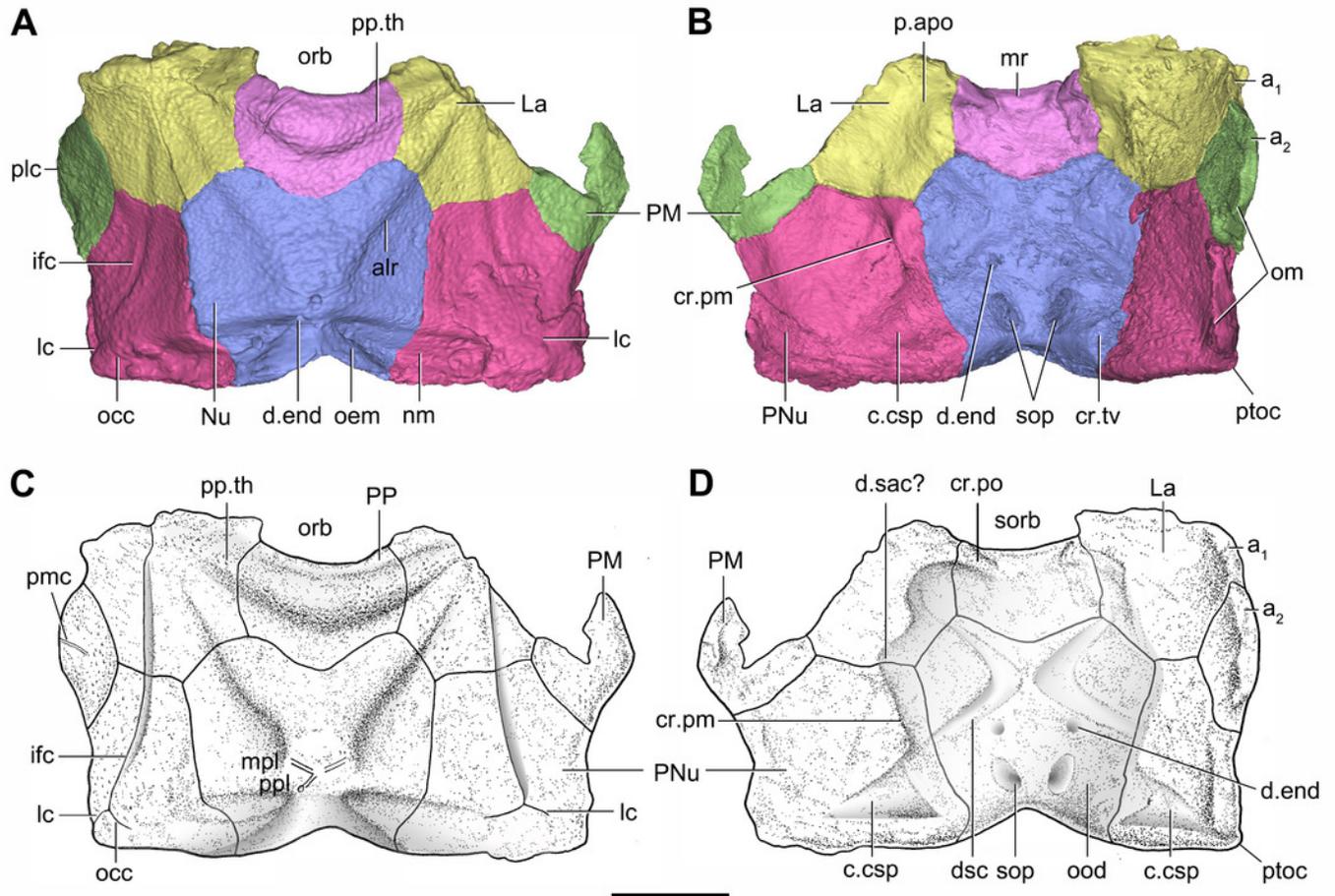
Artwork by Dinghua Yang.



## Figure 7

Head shield of *Phymolepis cuifengshanensis* (IVPP V4425.2) based on high-resolution CT.

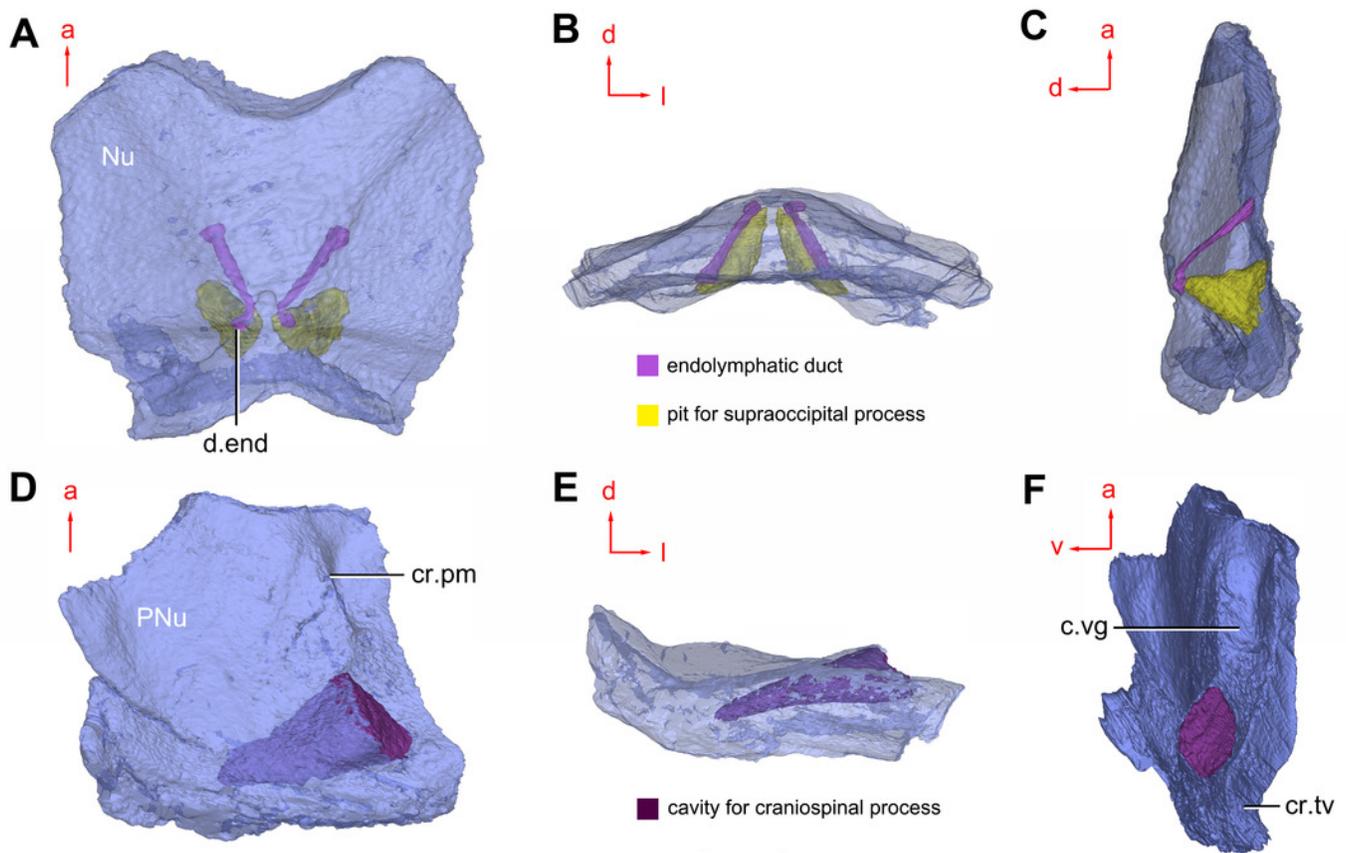
(A–B) Three-dimensional reconstructions in dorsal (A) and ventral (B) views. (C–D) Interpretative drawings in dorsal (C) and ventral (D) views. Abbreviations: a<sub>1</sub>, a<sub>2</sub>, attachment areas for the dermal operculum on the lateral and paranuchal plates, respectively; alr, anterior lateral ridge on head shield; c.csp, cavity for cranio-spinal process; cr.pm, paramarginal crista; cr.po, postorbital crista; cr.tv, transverse nuchal crista; d.end, opening for endolymphatic duct; d.sac, depression for sacculus; dsc, depression caused by semicircular canal; ifc, infraorbital sensory canal; La, lateral plate; lc, main lateral line canal; mpl, middle pit-line; mr, median ridge of postpineal plate; nm, obstructed nuchal margin; Nu, nuchal plate; occ, occipital cross commissure; oem, median occipital eminence; om, obstantic margin of head shield; ood, otico-occipital depression of head shield; orb, orbital fenestra; p.apo, anterior postorbital process; PM, postmarginal plate; pmc, postmarginal sensory canal ; PNu, paranuchal plate; PP, postpineal plate; plc, posterolateral corner of head shield; ppl, posterior pit-line; pp.th, postpineal thickening; ptoc, postobstantic corner of paranuchal plate; sop, supraoccipital pit of head shield; sorb, suborbital fenestra. Scale bar equals 5 mm.



## Figure 8

Cavities within the head shield of *Phymolepis cuifengshanensis* (IVPP V4425.2) based on high-resolution CT.

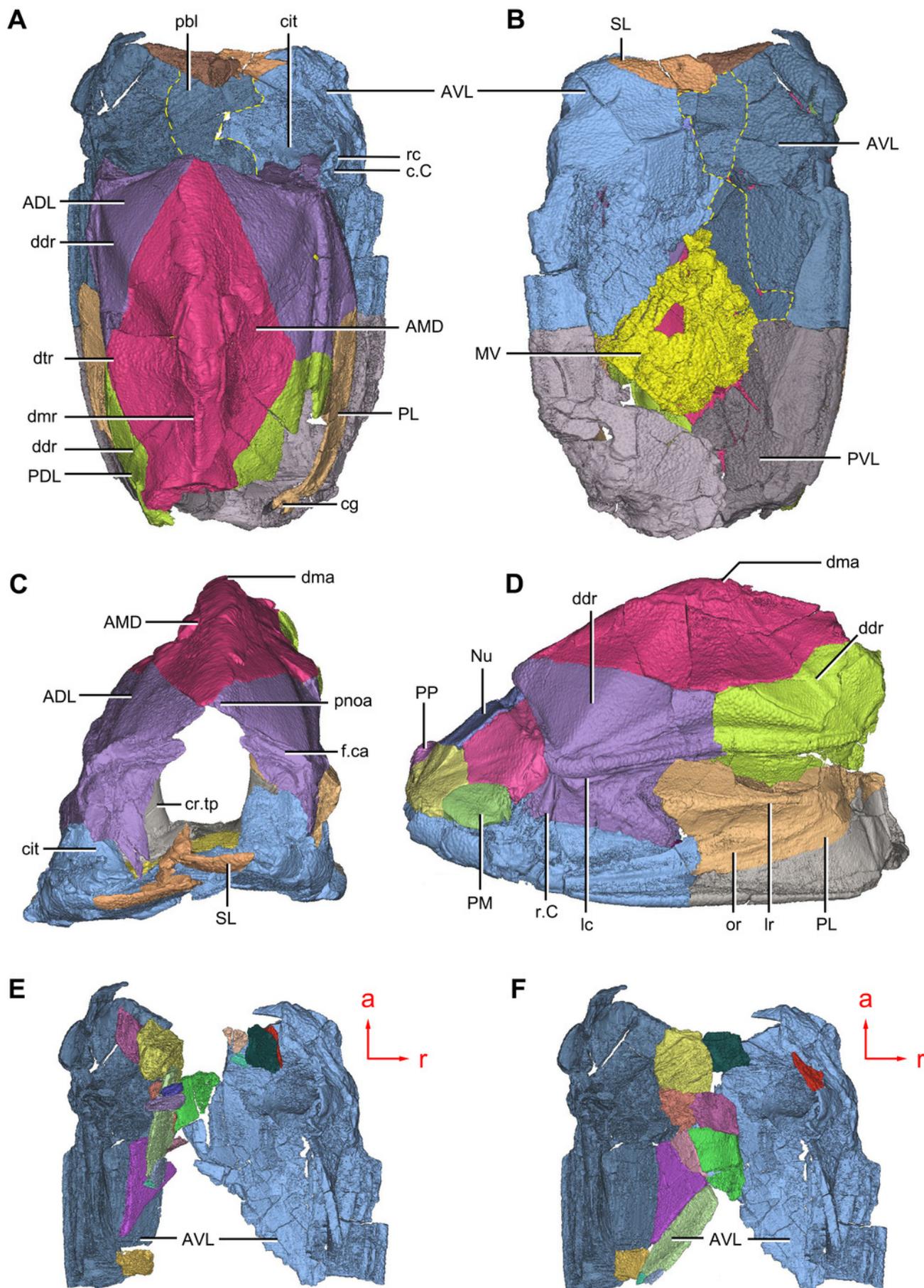
(A) Semi-transparent Nu plate in dorsal view. (B) Transparent Nu plate in anterior view. (C) Semi-transparent Nu plate in lateral view. (D-E) Semi-transparent right PNu in ventral (D) and posterior (E) views. (F) Right PNU in left lateral view. Abbreviations: cr.pm, paramarginal crista; cr.tv, transverse nuchal crista; c.vg, cavity for vagal process, d.end, opening for endolymphatic duct; Nu, nuchal plate; PNU, paranuchal plate. Red arrow represents the direction of the specimen; a, anterior direction; d, dorsal direction; l, left direction; v, ventral direction. Scale bar equals 2 mm.



## Figure 9

*Phymolepis cuifengshanensis* (IVPP V4425.2) based on high-resolution CT.

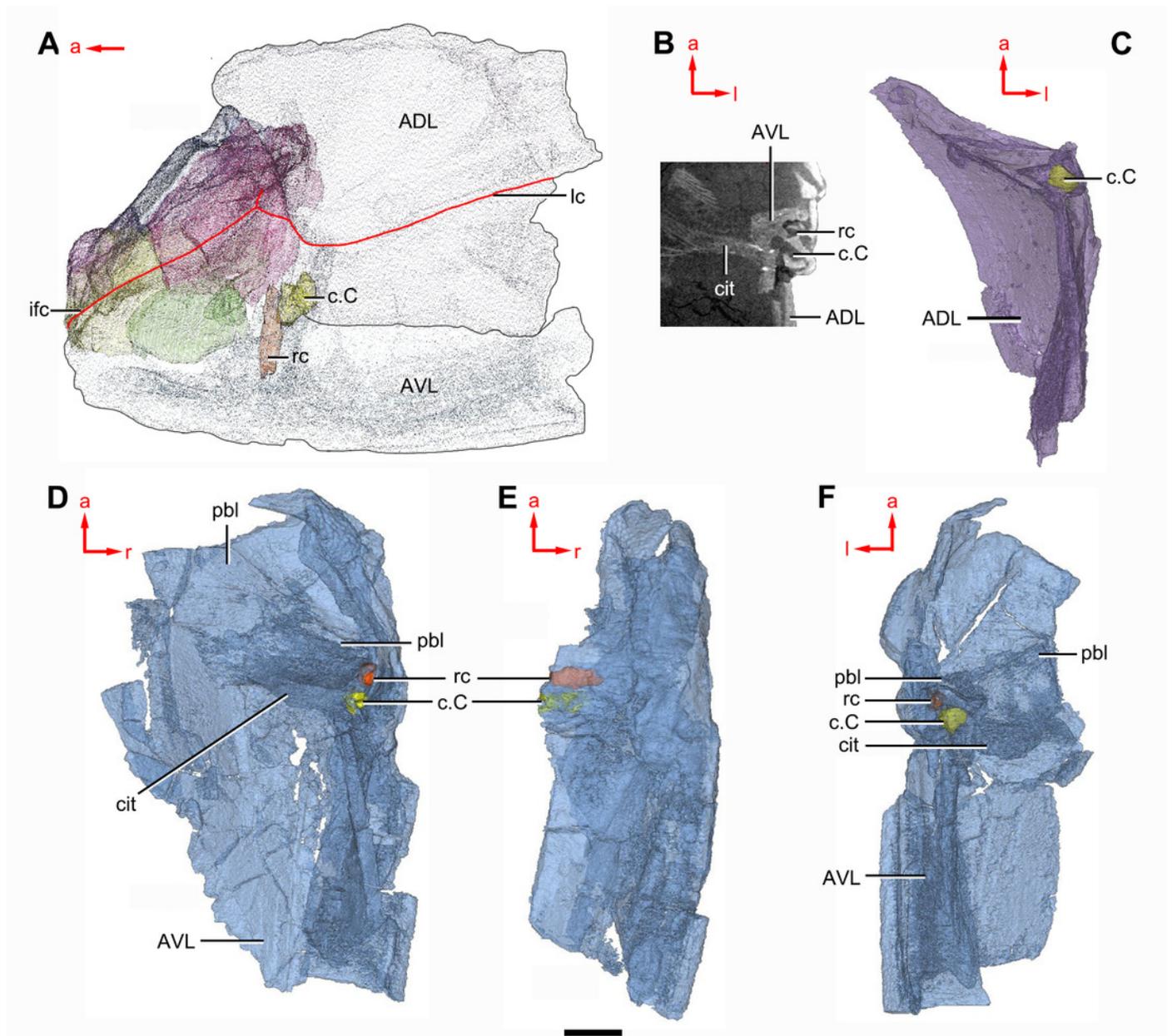
(A-C) Trunk shield in dorsal (A), ventral (B) and anterior (C) views. (D) Head and trunk shields in right lateral view. (E-F) AVL plates and their displaced fragments as preserved (E) and restored (F). Yellow dash lines in (B) delimit restored portions. Abbreviations: ADL: anterior dorsolateral plate; AMD, anterior median dorsal plate; AVL, anterior ventrolateral plate; c.C; cavity of Chang's apparatus; cg, caudal groove of trunk shield; cit, crista transversalis interna anterior; cr.tp, crista transversalis interna posterior; ddr, dorsal diagonal ridge of trunk shield; dma, tergal angle of trunk shield; dmr, dorsal median ridge of trunk shield; dtr, dorsal transverse ridge of trunk shield; f.ca, fossa for neck-joint; lc, main lateral line canal; lr, lateral ridge of lateral wall of trunk shield; MV, median ventral plate; Nu, nuchal plate; or, oblique ridge of lateral wall of trunk shield; PDL, posterior dorsolateral plate; PL, posterior lateral plate ; PM, postmarginal plate; pnoa, postnuchal ornamented corner of ADL; PP, postpineal plate; PVL, posterior ventrolateral plate; pbl, postbranchial lamina; rc, rostrocaudal canal; r.C, ridge caused by Chang's apparatus; SL, semilunar plate. Red arrow represents the direction of the specimen: a, anterior direction; r, right direction. Scale bar equals to 5 mm.



## Figure 10

*Phymolepis cuifengshanensis* (IVPP V4425.2) based on high-resolution CT.

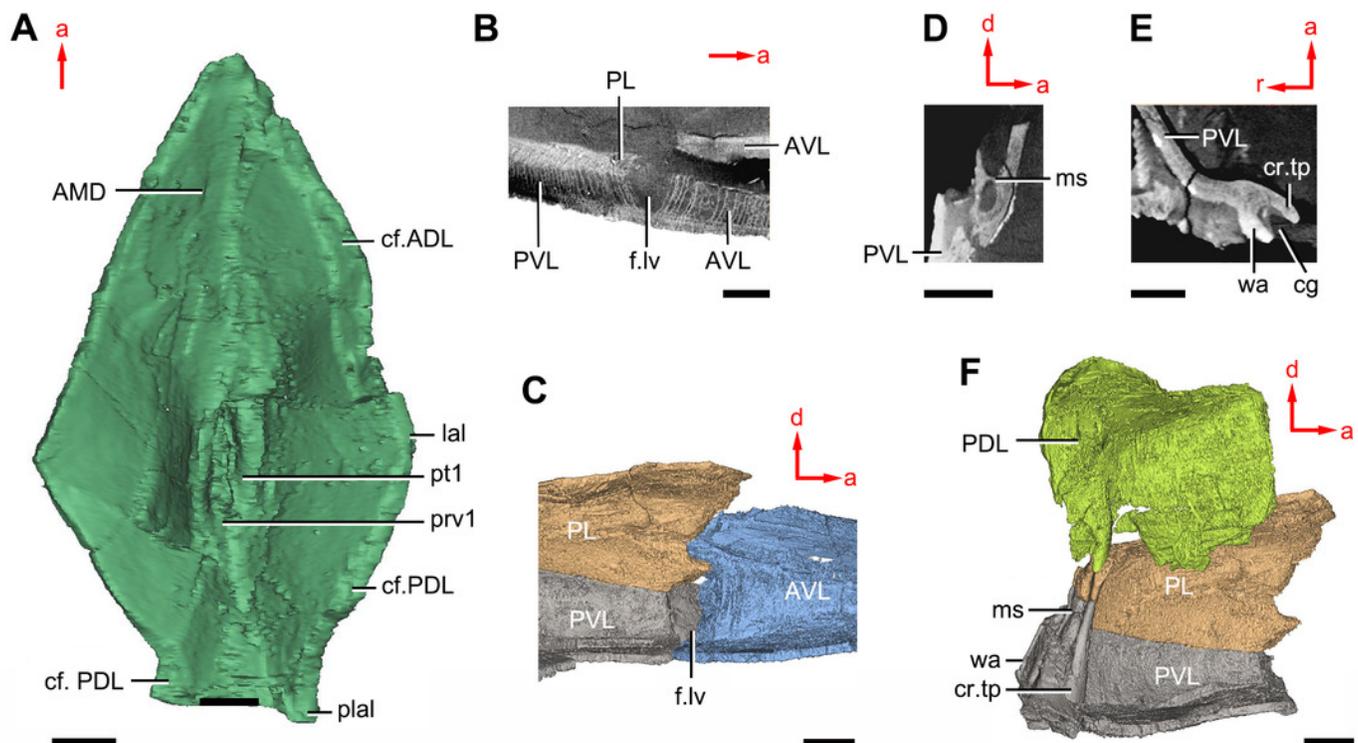
(A) Head shield and anterior portion of trunk shield. (B) Axial section through the left AVL in CT slice, showing the positions of the internal cavity of Chang's apparatus and the rostrocaudal canal. (C) Semi-transparent left ADL in ventral view. (D-E) Semi-transparent right AVL in dorsal (D) and lateral (E) views. (F) Semi-transparent left AVL in dorsal view. Abbreviations: ADL, anterior dorsolateral plate; AVL, anterior ventrolateral plate; c.C; cavity of Chang's apparatus; cit, crista transversalis interna anterior; ifc, infraorbital sensory canal; lc, main lateral line canal; pbl, postbranchial lamina; rc, rostrocaudal canal. Red arrow represents the direction of the specimen: a, anterior direction; l, left direction; r, right direction. Scale bar equals 3mm.



# Figure 11

*Phymolepis cuifengshanensis* (IVPP V4425.2) based on high-resolution CT.

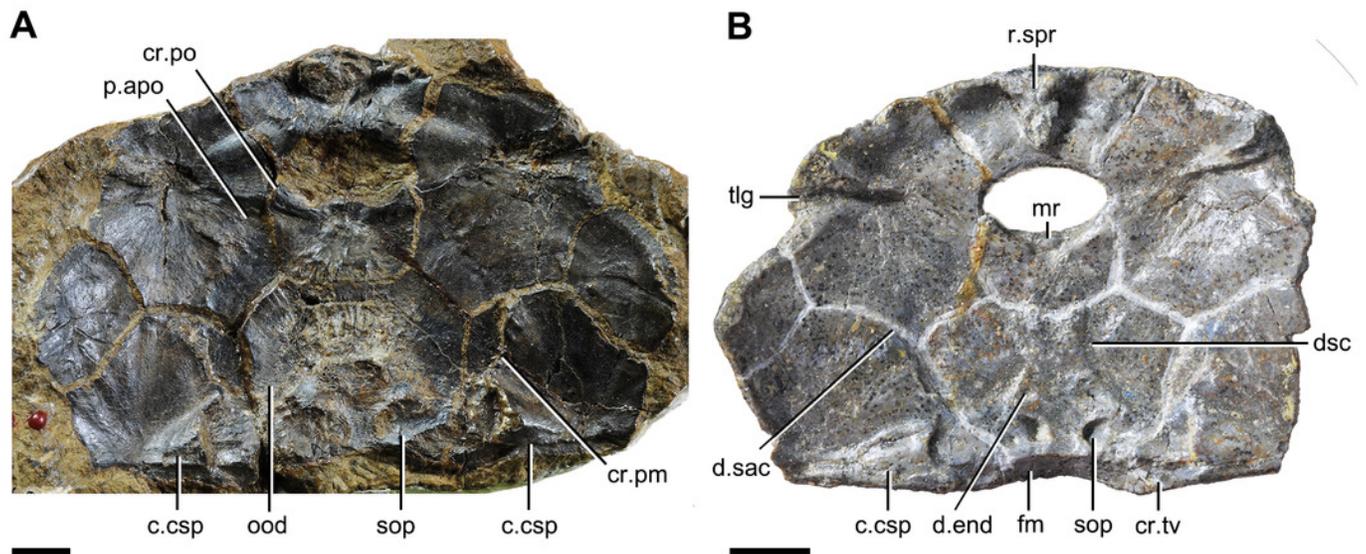
(A) AMD in ventral view. (B) Transverse section through the lateroventral fossa in CT slice. (C) Lateroventral fossa in lateral view. (D) Transverse section through the left caudal groove in CT slice. (E) Axial section through the right caudal groove in CT slice. (F) Left caudal groove in lateral view. Abbreviations: AMD, anterior median dorsal plate; AVL, anterior ventrolateral plate; cf.ADL, area overlapping ADL; cf.PDL, area overlapping PDL; cg, caudal groove of trunk shield; cr.tp, crista transversalis interna posterior; f.lv, lateroventral fossa of trunk shield; laI, lateral angle of AMD; ms, median septum; PDL, posterior dorsolateral plate; PL, posterior lateral plate; plal, posterolateral angle of AMD; prv1, anterior ventral process of dorsal wall of trunk shield; pt1, anterior ventral pit of dorsal wall of trunk shield; PVL, posterior ventrolateral plate; wa, outer wall of caudal groove. Red arrow represents the direction of the specimen: a, anterior direction; d, dorsal direction; r, right direction. Scale bars equal 3 mm.



## Figure 12

Head shields of *Yunnanolepis chii* in visceral view.

(A) IVPP V2690.1. (B) IVPP V4423.3. Abbreviations: c.csp, cavity for cranio-spinal process; cr.pm, paramarginal crista; cr.po, postorbital crista; cr.tv, transverse nuchal crista; d.end, opening for endolymphatic duct; d.sac, depression for sacculus; dsc, depression caused by semicircular canal; fm, unpaired insertion fossa on head shield for levator muscles; mr, medial ridge of postpineal plate; ood, otico-occipital depression of head shield; p.apo, anterior postorbital process; r.spr, subpremedian ridge; sop, supraoccipital pit of head shield; tlg, transverse lateral groove of head shield. Scale bars equal to 5 mm.



**Figure 13**(on next page)

Phylogenetic results of antiarchs based on a revised data matrix.

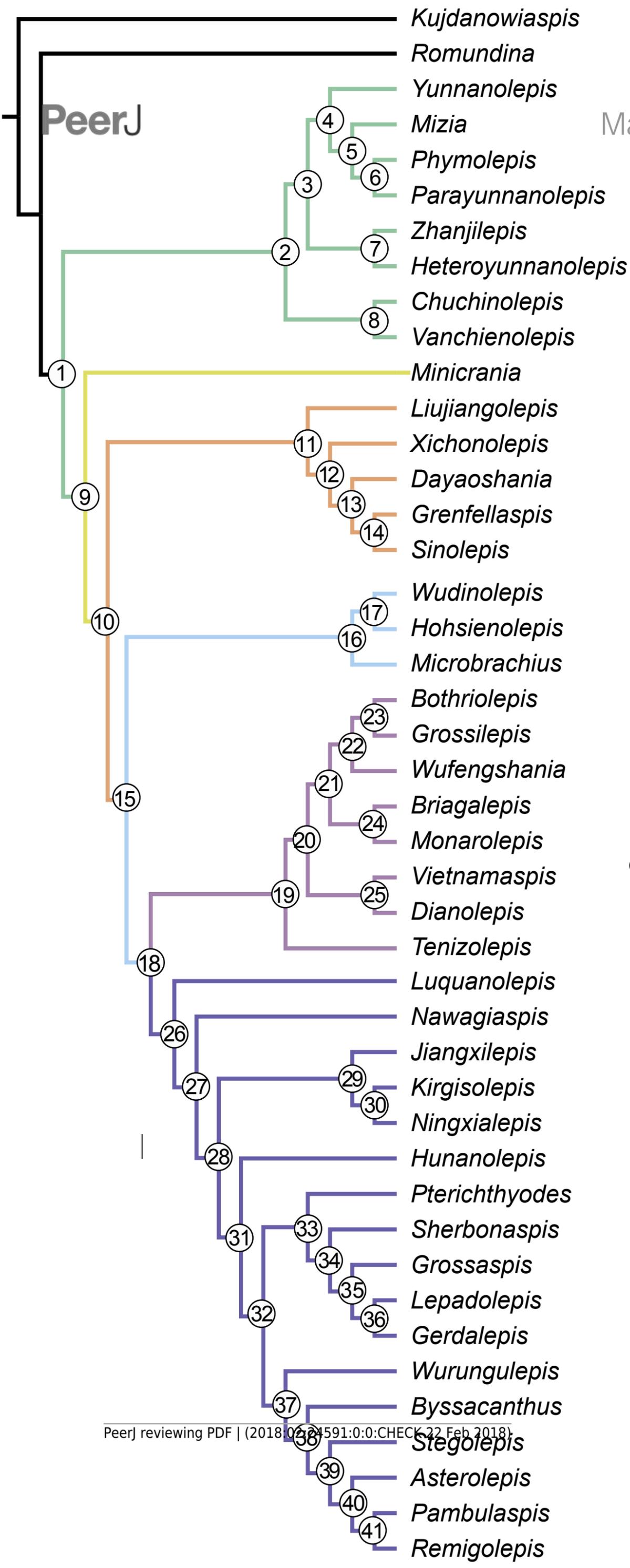
(A) Strict consensus tree of 242 parsimonious trees (tree length = 179; consistency index = 0.469, homoplasy index = 0.531, retention index = 0.808, rescaled consistency index = 0.379). Numbers above and below nodes represent bootstrap values ( $\geq 50\%$  are shown) and Bremer decay indices, respectively. (B) 50 % majority-rule consensus tree of 242 parsimonious trees based on the same dataset as in (A). Numbers above branches indicate the percentage of the shortest trees in which the partition is supported (100% are not shown).



**Figure 14**(on next page)

Phylogenetic results of antiarchs and visceral surface conditions of head shield among major antiarch subgroups.

(A) One of the most parsimonious trees with node numbers defining various clades. Named nodes: 1, Antiarcha; 2, Yunnanolepidoidei; 11, Sinolepididae; 15, Euanterioria; 16, Microbrachiidae; 26, Asterolepidoidei. (B) Restorations of the head shields in ventral view to show endocranial character transformations, redrawn from Ritchie et al. (1992), Young (1983), Stensiö (1948) and (1969). Vertical bars on the right side show the longitudinal proportion of otico-occipital region of endocranium on the head shield (blue region), the location of the confluence of semicircular canals (orange circle), the location of the internal pore for endolymphatic duct (purple circle). Abbreviations: c.csp, cavity for cranio-spinal process; cr.im, inframarginal crista; cr.tv, transverse nuchal crista; cro, median occipital crista of head shield; d.end, opening for endolymphatic duct; dsc, depression caused by semicircular canal; f.cu, cucullaris fossa; fm, unpaired insertion fossa on head shield for levator muscles; p.apo, anterior postorbital process; prnm, posterior process of head shield; sop, supraoccipital pit of head shield; sot, supraotic thickening of head shield; tlg, transverse lateral groove of head shield.

**A****B**