

Intra- and inter-population variations of early life history traits of Japanese anchovy *Engraulis japonicus*

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Japanese anchovy *Engraulis japonicus* is a key fish species in the northwest Pacific Ocean . However, anchovy stocks are collapsing dramatically along with severe habitat degradations and climate changes . To provide information on conserving and restoring anchovy resources, the intra-population variations in early growth of anchovy in Yangtze River Estuary (YRE) and inter-population variations in population from other regions were studied to assess the adaptation of anchovy under different environments. In YRE, anchovy hatching on different dates showed remarkable intra- population differences in early growth trajectories . When compared with populations from Northeast Japan and Northeast Taiwan, anchovy in YRE showed the medium onset of hatching but slowest growth rates. Growth rate of anchovy in YRE (0.30 mm/d) were clearly lower than population from Japan (0.64 mm/d) and Taiwan (0.60 mm/d). The depressed growth of anchovy will decrease the length of anchovy by the end of the first year, consequently resulting in the weaker strength of recruitment in YRE. Our research thus shed important lights on estimating anchovy recruitment dynamics by taking variations in hatching date and growth into account.

15 **Title:** Intra- and inter-population variations in early life-history traits of Japanese anchovy

16 *Engraulis japonicus*

17 **Abstract:** Japanese anchovy *Engraulis japonicus* is a key fish species across the northwest

18 Pacific Ocean. Concomitant with severe habitat degradation and climate changes, stocks of

19 Japanese anchovy in the Yangtze River Estuary (YRE) are collapsing. In this study, we described

20 inter-population variations in early growth for anchovy from YRE and compared growth rates of

21 anchovy from this region with those from northeastern Japan and northeastern Taiwan. YRE

22 anchovy that hatch within a season on different dates (early, middle and late seasonal hatching)

23 have different early growth trajectories. When compared with populations from northeastern

24 Japan (0.64 mm/d) and northeast Taiwan (0.60 mm/d), YRE anchovy showed the slowest growth

25 rate (0.30 mm/d). Such reduced growth will decrease first-year fish length, resulting in reduced

26 recruitment into YRE stocks. Our research indicates that hatching date should be taken into

27 account when estimating anchovy recruitment dynamics.

28 **Key words:** Japanese anchovy; growth; hatch date; the Yangtze River Estuary

29 Introduction

30 Japanese anchovy (*Engraulis japonicus* Temminck & Schlegel, 1846) is an important commercial
31 fish species widely distributed throughout the northwest Pacific Ocean (Iseki & Kiyomoto 1997;
32 Takasuka & Aoki 2006). With an annual commercial catch reaching 1.9 billion kg in
33 1998 (Fisheries and Aquaculture Department, FAO, Rome, Italy; available:
34 <http://www.fao.org/home/en/>), Japanese anchovy is a major species contributing to world fishery
35 resources - one that plays a key ecological role in connecting different trophic levels, for not only
36 is it a plankton feeder, but it is also the main prey for piscivorous fishes (Iseki & Kiyomoto 1997;
37 Zhao *et al.* 2003). In recent years, however, anchovy stocks have collapsed in recent years as a
38 consequence of overfishing, habitat degradation and climate changes (Jiang & Zhuang 2005;
39 Takasuka *et al.* 2007). Therefore, how anchovy respond to different environmental pressures is
40 needed to develop effective strategies for restoring the fishery resource value of this species.

41 For pelagic fish, the early life history stage is a crucial window characterized by high
42 mortality and variable growth (Takasuka *et al.* 2003; Starrs *et al.* 2016). Even slight environmental
43 changes experienced during this stage can cause large fluctuations in population recruitment. The
44 survival rate of fish during the early life stage is closely related to somatic growth (Campana
45 1990; Searcy & Sponaugle 2000). Within a population, fish hatching on different dates might
46 experience different environmental conditions, resulting in inter-cohort differences in growth
47 trajectories and survival rates (Quinn *et al.* 2002; Bachelier *et al.* 2012). Growth rate has also been
48 used as a bio-indicator to compare habitat quality across different environments. Fish from
49 poorer-quality environments have lower growth rates as a consequence redirecting increased
50 energy towards tolerating pollution, rather than directing energy towards growth (Amara *et al.*
51 2007; Amara *et al.* 2009). Understanding intra- and inter-population variations in early growth can
52 provide important insights into understanding the mechanisms of anchovy population dynamics.

53 The Yangtze River Estuary (YRE) is an important spawning, feeding and nursery ground for
54 Japanese anchovy (Zhang *et al.* 2015). Sediments carried in the outflow of the Yangtze River
55 contribute towards high productivity, supporting abundant anchovy resources(Zhou *et al.* 2008;
56 Yu & Xian 2009; Zhang *et al.* 2009). However, areas around the YRE are also among the most
57 developed regions of China(Zhou *et al.* 2008; Zhang *et al.* 2009). Intensive urbanization and
58 industrial activities have resulted in severe degradation of the aquatic ecosystem, with about $5 \times$
59 10^6 tons of sewage discharged into the region daily (Dai & Gu 1990). Understanding early
60 growth of anchovy in the YRE could provide valuable information to conserve fishery resources
61 in degraded environments.

62 Otoliths are widely used to reveal chronological patterns in growth of early life stages of fish
63 (Ding *et al.* 2015; Starrs *et al.* 2016). As growth increments within them are deposited on a daily
64 scale, otoliths can be used to back-calculate days and rates of growth. Accordingly, studying
65 variations in patterns of otolith deposition of Japanese anchovy could reveal key information on
66 the early life history of this species(Starrs *et al.* 2016). We use information obtained from
67 anchovy otoliths to achieve two overarching aims: 1) to relate patterns in early growth of fish
68 hatching within a season on different dates to environmental variations throughout the hatching
69 season; and 2), to compare growth rates ofYRE anchovy with those of populations elsewhere to
70 determine if geographical patterns in growth exist. To our knowledge, this is the first research
71 focusing on the early life history of anchovy in the YRE. Ourresults could improve the
72 understanding of anchovy growth and recruitment in a highly exploited ecosystem.

73 **1. Materials and methods**

74 *2.1 Field Sampling*

75 Larval Japanese anchovy were collected in May 2012 during the “Spring investigation of fishery
76 resources and ecology in Yangtze River Estuary” survey. Larvae were sampled at 40 stations set
77 from the mouth of the Yangtze River to its offshore waters (Figure 1). At each station, anchovy
78 were sampled using a 3 m horizontal plankton net (0.8 m diameter with 0.5 mm mesh size) towed
79 at two knots for 10 minutes at the surface. Anchovy were collected from only two southwestern
80 stations: 29 (1129 individuals) and 30 (1342 individuals). Larvae were immediately preserved in
81 90% ethanol and taken back to the laboratory. All specimens were collected in accordance with
82 wild animal conservation law issued by the People's Republic of China for the purposes of
83 conducting research on Japanese anchovy.

84 *2.2 Environmental data collection*

85 Daily sea surface temperatures (SST) for stations 29 and 30 from 26 February to 3 May 2012
86 (anchovy growth season in this study; see Results) were obtained from NOAA SST High
87 Resolution Dataset (<http://www.esrl.noaa.gov/psd/>). SST data were generated from an Advanced
88 Very High Resolution Radiometer (AVHRR), providing time series SST data at very high
89 resolution (1.09 km).

90 *2.3 Otolith microstructure analysis*

91 A subset of 200 individuals were randomly selected for otolith microstructure analysis from the
92 total larval catch from each station. Standard length (SL) of each larva was measured to the
93 nearest mm, before both right and left sagittal otoliths were extracted under a dissecting
94 microscope. Either the right or left otolith was mounted on a slide using melting thermoplastic
95 glue and polished with lapping film until increments could be clearly interpreted. Each unbroken
96 otolith section was photographed at 400× magnification using a digital camera fixed to a light
97 microscope (BH2, Olympus Optical Co. Ltd., Tokyo, Japan). Numbers and widths of otolith

98 increments were counted and measured along the maximum otolith radius (OR) from the nucleus
99 to the edge followed detailed procedures described in Liu *et al.* (2015).

100 2.4 Data analysis

101 As the first otolith increment in anchovy is deposited on the fourth day after hatching, the age of
102 each anchovy in days (D) was determined as three plus the increment number observed in otolith
103 section (Figure 2) (Tsuji and Aoyama 1984). Hatch dates were back-calculated by subtracting age
104 from the catch date (May 3 for both stations). The biological intercept method was used to back-
105 calculate somatic growth rates (Campana 1990), with SL of anchovy at hatching (5.6 mm) used
106 as the biological intercept (Tsuji and Aoyama 1984). Student *t* tests were used to compare
107 frequency distributions of SL and age between stations. Linear regression was used to fit
108 relationships between SL and OR and between SL and age. Analysis of covariance (ANCOVA)
109 was used to compare inter-population variation in SL-OR and SL-age relationships.

110 Larval anchovy from each station were further divided into three nearly equal-sized groups
111 (according to hatch dates) for the comparison in early growth trajectory. Anchovy hatching from
112 February 26 to March 16 were categorized “early group”, from March 17 to March 26 as “middle
113 group”, and from March 27 to April 6 as “late group”. A repeated measures analysis of variance
114 (RM-ANOVA) was used to compare inter-group growth trajectories within a population. Given
115 the minimum age of anchovy was 24, the level of RM-ANOVA was set at 24 to include all
116 samples; the within-subject factor was growth rate, and the between-subject factor was group.

117 2.5 Inter-population comparison

118 Early life traits of anchovy in YRE were compared with published data for populations from
119 other regions (for which both onset of hatching season and early growth rates were available).
120 Data of populations with earliest hatching dates were selected as representative if more than one

121 study was reported for a region. Consequently, data from populations in northeastern Taiwan and
122 northeastern Japan were used for intra-population comparisons. The median growth rate of a
123 population was used because mean growth rate data were not available.

124 2. Results

125 3.1 Environmental conditions

126 SST in YRE increased during the growth season, from 6.2 to 16.6°C (station 29) and 6.7 to
127 16.0°C (station 30) (Figure 3). As the SST on 3 May was almost twice that of 26 February. Three
128 groups (early, middle, late) experienced different thermal environments, with the “early group”
129 experiencing the lowest SST and the “late group” the highest.

130 3.2 Standard length (SL), hatch date and growth of YRE anchovy

131 Range in SL at station 29 (14.0–30.1 cm) was slightly greater than station 30 (11.1–32.0 cm)
132 (Table 1). Back-calculated hatch dates ranged from February 26 to April 6 at station 29, and
133 from February 28 to April 5 at station 30 (Figure 4; Table 1). Relationships between anchovy
134 SL, OR and D were significant at each station ($P < 0.01$) (Figure 5; Figure 6), but no significant
135 inter-population differences (ANCOVA; $P > 0.05$); SL-OR and SL-D relationships were
136 described by common regression equations for two populations: $SL = 0.096 OR + 7.60$ ($R^2 =$
137 0.79 , $P < 0.01$) and $SL = 0.386D + 4.87$ ($R^2 = 0.55$, $P < 0.01$).

138 Anchovy growth rates ranged 0.20 to 0.46 mm/d for two populations (Table 1). There were
139 significant differences in growth trajectories among three groups for two stations (RM-ANOVA;
140 station 29, $P < 0.05$, station 30, $P < 0.01$) (Figure 7), with the “middle group” showing highest
141 growth rates and the “early group” the lowest. Growth trajectories of three groups were similar
142 before day 25, but inter-group variability increased considerably afterwards.

143 3.3 Between-population comparison of anchovy hatching onset and growth rates

144 Ranges of SL for YRE anchovy largely overlapped with those from northeastern Taiwan and
145 northeastern Japan (Table 2), suggesting that three populations were of similar ontogenic
146 stage. The onset of hatching season generally decreased at 15 d for every five degrees latitude,
147 with the population from northeastern Taiwan having the earliest date and that from northeastern
148 Japan the latest. However, there was no consistent trend between growth rates and latitude. The
149 median growth rate from the YRE population (0.33 mm d^{-1}) was about half that of northeastern
150 Taiwan (0.64 mm d^{-1}) and northeastern Japan (0.60 mm d^{-1}) anchovy.

151 4. Discussion

152 By back-calculating hatch dates and early growth trajectories of anchovy from YRE, we
153 described intra- and inter-population variations in growth patterns of anchovy at various temporal
154 and spatial scales. Given the significant impacts of growth on survival, any factor affecting early
155 growth might cause pronounced fluctuations in population dynamics. Our research demonstrates
156 the need to take variations in hatching date and growth into account when estimating recruitment
157 dynamics of fishery resources.

158 Anchovy hatching on different dates differed in early growth trajectory suggesting the existence
159 of relationship between hatching date and fish growth, which might be contributed to increased
160 SST during the growth period. Water temperature is widely regarded as a primary factor
161 regulating fish growth rate. Not only does temperature affect individual metabolic rate, but it also
162 indirectly affects food availability, collectively contributing to variable growth rates (Takasuka *et*
163 *al.* 2007; Bacha & Amara 2012). The highest growth rates occurred in “middle group” fish,
164 which were also represented by the greatest number of larvae, suggests a possible coupling
165 between growth and offspring production (Heino and Kaitala 1999; Zera and Harshman 2001).

166 Growth rates of anchovy from YRE were lower than those from Japan and Taiwan. This
167 result is unusual as the highly productive waters of the YRE were expected to promote fish
168 growth (Zhou *et al.* 2008). We believe two factors might be responsible: 1) fish living in polluted
169 waters grow slowly, redirecting energy for growth toward tolerance of polluted, degraded
170 conditions (Amara *et al.* 2007; Amara *et al.* 2009); and 2) natural variation in life-history traits of
171 fish from different areas account for observed differences in growth (Conover & Present 1990;
172 Sexton *et al.* 2002).. Conover & Present (1990) reported that populations of Atlantic silverside
173 from different latitudes have distinct growth rates as the adaptation to the living environment.
174 Overall, reduced anchovy growth in the YRE will result in decreased fish length at the end of the
175 first growing season, depressing first-year over-winter survival rates and causing weaker
176 recruitment (Amara *et al.* 2007; Amara *et al.* 2009).

177 Later hatching dates for populations at higher latitudes fit a pattern of “counter-gradient
178 variation”, revealing adaptation of anchovy throughout the northwest Pacific Ocean (Conover &
179 Present 1990; Carmona *et al.* 2011). Water temperature and photoperiod are recognized as the
180 two main factors affecting the onset of spawning season for teleost fish (Lappalainen & Tarkan
181 2007; Benejam *et al.* 2009). Higher water temperature and longer day length at lower latitudes
182 promote fish growth, accelerating maturation and advancing reproduction (Tarkan 2006; Benejam
183 *et al.* 2009). Our results provide valuable new information for estimating hatching onsets of
184 anchovy, which can be effectively integrated into the management of anchovy resources.

185 Estuaries provide important nursery grounds for freshwater, estuarine and marine fishes
186 (Amara *et al.* 2009). However, increased environmental pollution and anthropogenic activities
187 are threatening the functional integrity and life-supporting capacity of estuaries (Gilliers *et al.*
188 2006; Bachelier *et al.* 2009). Not only do we report intra-population variation in early growth of
189 anchovy hatching on different dates, but for anchovy from this region to have significantly lower
190 growth rates compared with populations from other regions. Future habitat modification, such as

191 through dam construction or as a consequence of climate change, might further change the
192 estuarine environment and imperil fishery resources. We suggest greater conservation of YRE
193 nursery grounds to promote sustainable development of fishery resources.

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277 **Figure captions:**

278 **Figure 1** Survey stations (solid circles) in the Yangtze River Estuary, East China Sea.

279 **Figure 2** Otolith section of a 55-d-old anchovy from the Yangtze River Estuary: nucleus (N) and
280 maximum otolith radius indicated by arrows. Increment numbers and widths counted and
281 measured along the maximum otolith radius.

282 **Figure 3** Trend in sea surface temperature (SST) during growth seasons of anchovy at stations 29
283 and 30.

284 **Figure 4** Frequency distributions of standard length (a) and hatch dates (b) of anchovy from
285 stations 29 and 30.

286 **Figure 5** Relationship between standard length (SL) and otolith radius (OR) of anchovy from
287 stations 29 ($SL = 0.097OR + 6.77$ ($R^2 = 0.80$, $P < 0.01$)), and 30 ($SL = 0.089OR + 9.33$ ($R^2 = 0.82$,
288 $P < 0.01$)).

289 **Figure 6** Relationship between standard length (SL) and age (days, D) of anchovy from stations
290 29 [$SL = 0.37D + 4.6$ ($R^2 = 0.54$, $P < 0.01$)) and 30 [$SL = 0.37D + 6.4$ ($R^2 = 0.59$, $P < 0.01$)).

291 **Figure 7** Growth trajectories back-calculated from otolith microstructure of anchovy in three
292 groups from stations 29 and 30, respectively (excluding days where anchovy numbers were less
293 than five).

455 **Table 1.** Range of standard lengths, hatching dates and mean growth rates of anchovy in the
 456 stations 29 and 30 in the Yangtze River Estuary. Population in each station was divided into three
 457 nearly equal-sized groups according to hatch dates. Please refer Materials and methods for more
 458 information.

Station	Group	N	Standard length (mm)		Hatching date	Mean growth rate (mm d ⁻¹)	
			Mean	Range		Mean	Range
29	Early	51	21.1	17.1 - 32.0	2/26-3/16	0.33	0.25 - 0.46
29	Middle	96	17.8	13.0 - 24.2	3/17-3/26	0.31	0.20 - 0.43
29	Late	41	14.4	11.1 - 17.2	3/27-4/6	0.3	0.21 - 0.40
30	Early	55	21.2	17.1 - 30.1	2/28-3/16	0.32	0.23 - 0.42
30	Middle	94	17.9	14.9 - 25.4	3/17-3/26	0.31	0.22 - 0.46
30	Late	27	14.5	14.0 - 18.7	3/30-4/5	0.29	0.20 - 0.41

459 **Table 2.** Comparisons of standard lengths, hatching onsets and mean growth rates of three main
 460 anchovy populations distributed over the northwestern Pacific Ocean.

Region	Latitude	Standard length (mm)		Hatching onset	Mean growth rate (mm)		
		Minimum	Maximum		Minimum	Median	Maximum
Northeastern Taiwan	24 - 25°N	17.2	31.3	2/12	0.37	0.64	0.91
The Yangtze River Estuary	30 - 31°N	11.1	32	2/26	0.2	0.33	0.46
Northern Japan	35 - 40°N	20.0	35.0	3/3	0.49	0.6	0.71

Table 1 (on next page)

Range of standard lengths (mm), hatching dates and mean growth rates (mm d^{-1}) of anchovy in the stations 29 and 30 in the Yangtze River Estuary.

Population in each station was divided into three nearly equal-sized groups according to hatch dates. Please refer Materials and methods for more information.

1

Station	Group	N	Standard length (mm)		Hatching date	Mean growth rate (mm d ⁻¹)	
			Mean	Range		Mean	Range
29	Early	51	21.1	17.1 - 32.0	2/26-3/16	0.33	0.25 - 0.46
29	Middle	96	17.8	13.0 - 24.2	3/17-3/26	0.31	0.20 - 0.43
29	Late	41	14.4	11.1 - 17.2	3/27-4/6	0.3	0.21 - 0.40
30	Early	55	21.2	17.1 - 30.1	2/28-3/16	0.32	0.23 - 0.42
30	Middle	94	17.9	14.9 - 25.4	3/17-3/26	0.31	0.22 - 0.46
30	Late	27	14.5	14.0 - 18.7	3/30-4/5	0.29	0.20 - 0.41

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Table 2 (on next page)

Comparisons of standard lengths (mm), hatching onsets and mean growth rates (mm d^{-1}) of three main anchovy populations distributed over the northwestern Pacific Ocean.

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Region	Latitude	Standard length (mm)		Hatching onset	Mean growth rate (mm d ⁻¹)			Source
		Minimum	Maximum		Minimum	Median	Maximum	
Northeastern Taiwan	24 - 25°N	17.2	31.3	2/12	0.37	0.64	0.91	Chiu and Chen (2001)
The Yangtze River Estuary	30 - 31°N	11.1	32	2/26	0.2	0.33	0.46	Present study
Northern Japan	35 - 40°N	20.0	35.0	3/3	0.49	0.6	0.71	Takahashi et al. (2001)

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Figure 1

Location of 40 survey stations in the Yangtze River Estuary, East China Sea. Each station was indicated by the solid circle.

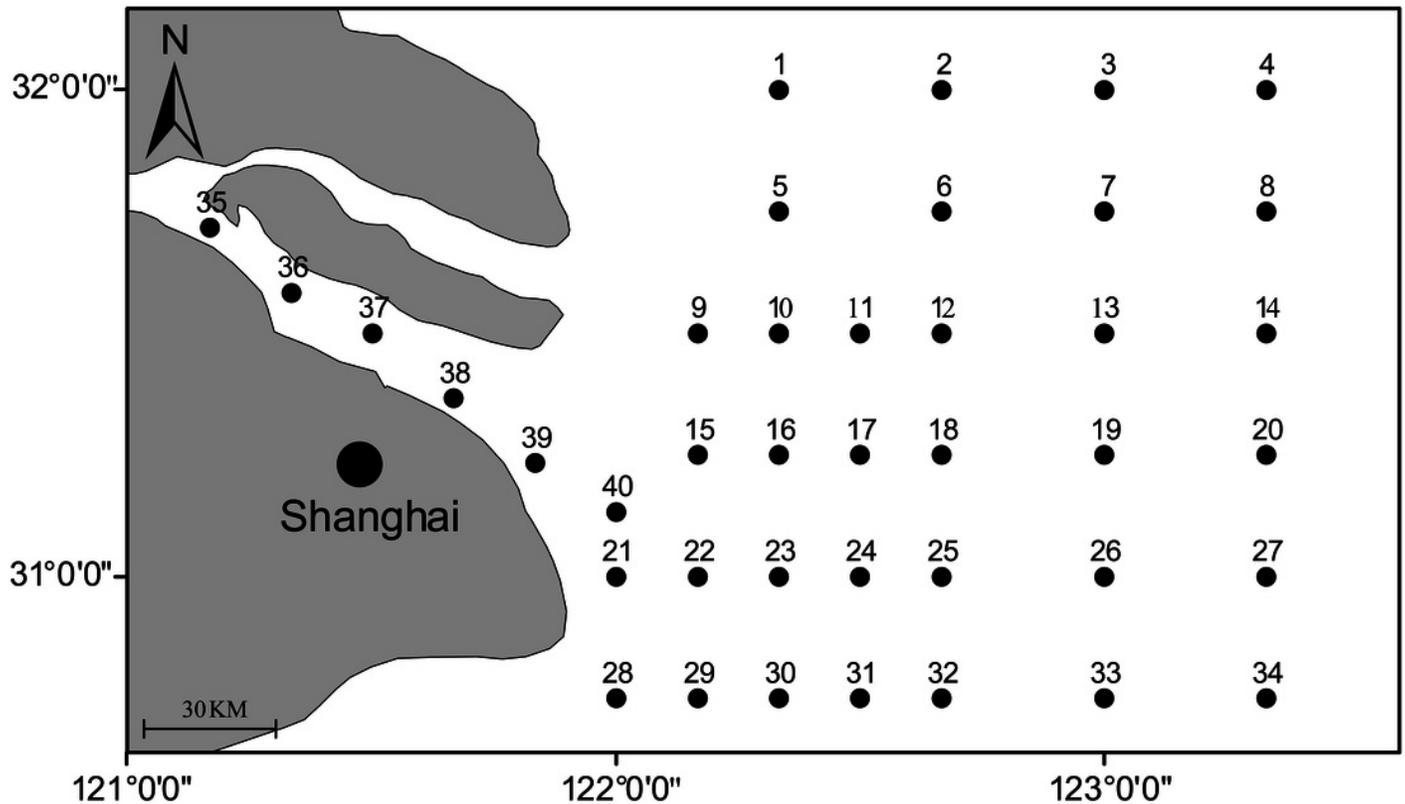


Figure 2

An otolith section of a 55-d-old anchovy in the Yangtze River Estuary.

The nucleus (N) and the maximum otolith radius are indicated by arrows. Increment numbers and widths were counted and measured along the maximum otolith radius.

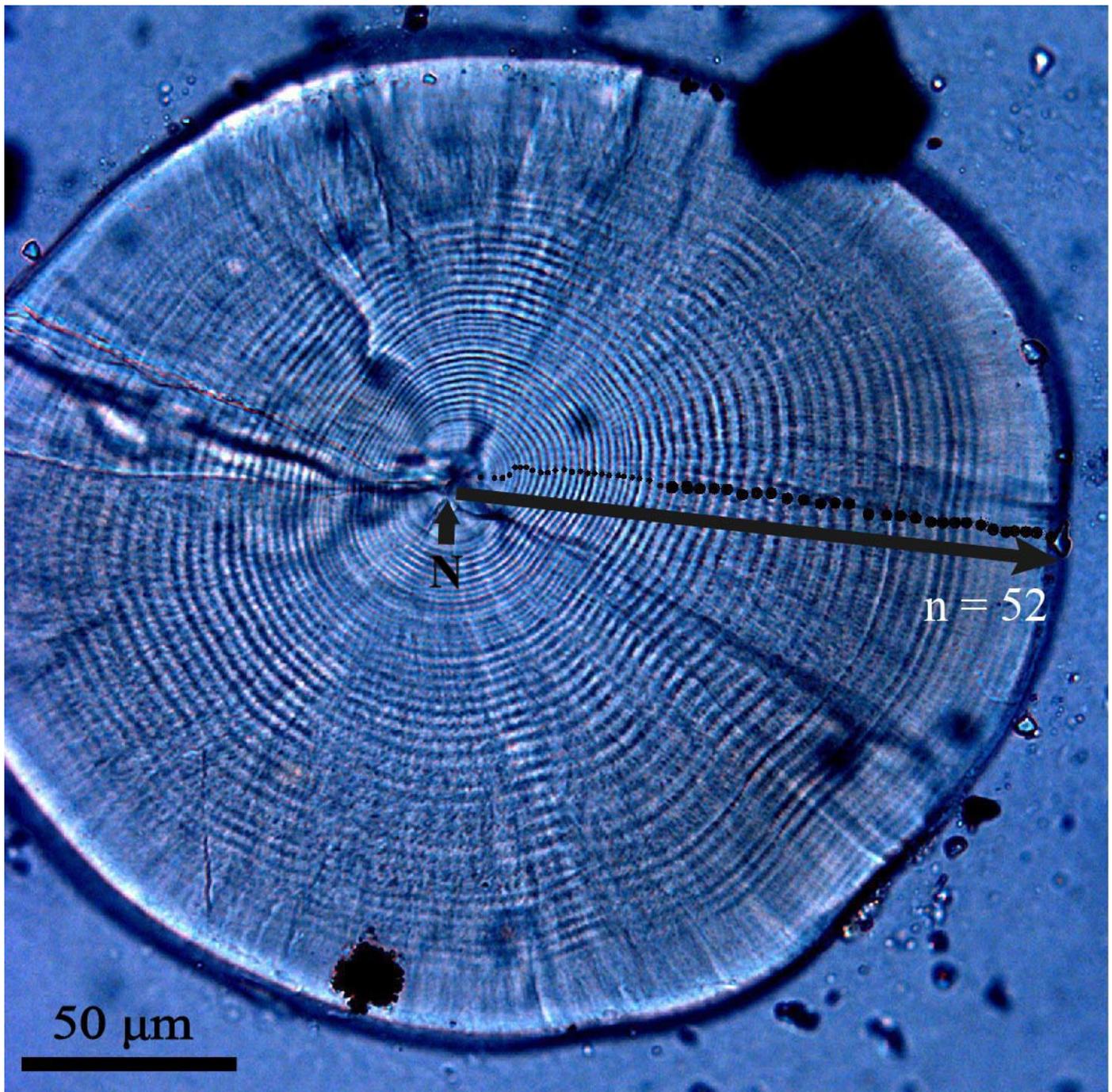


Figure 3

The increased trend of sea surface temperature (SST) during growth seasons of anchovy in the station 29 and 30. rs and widths.

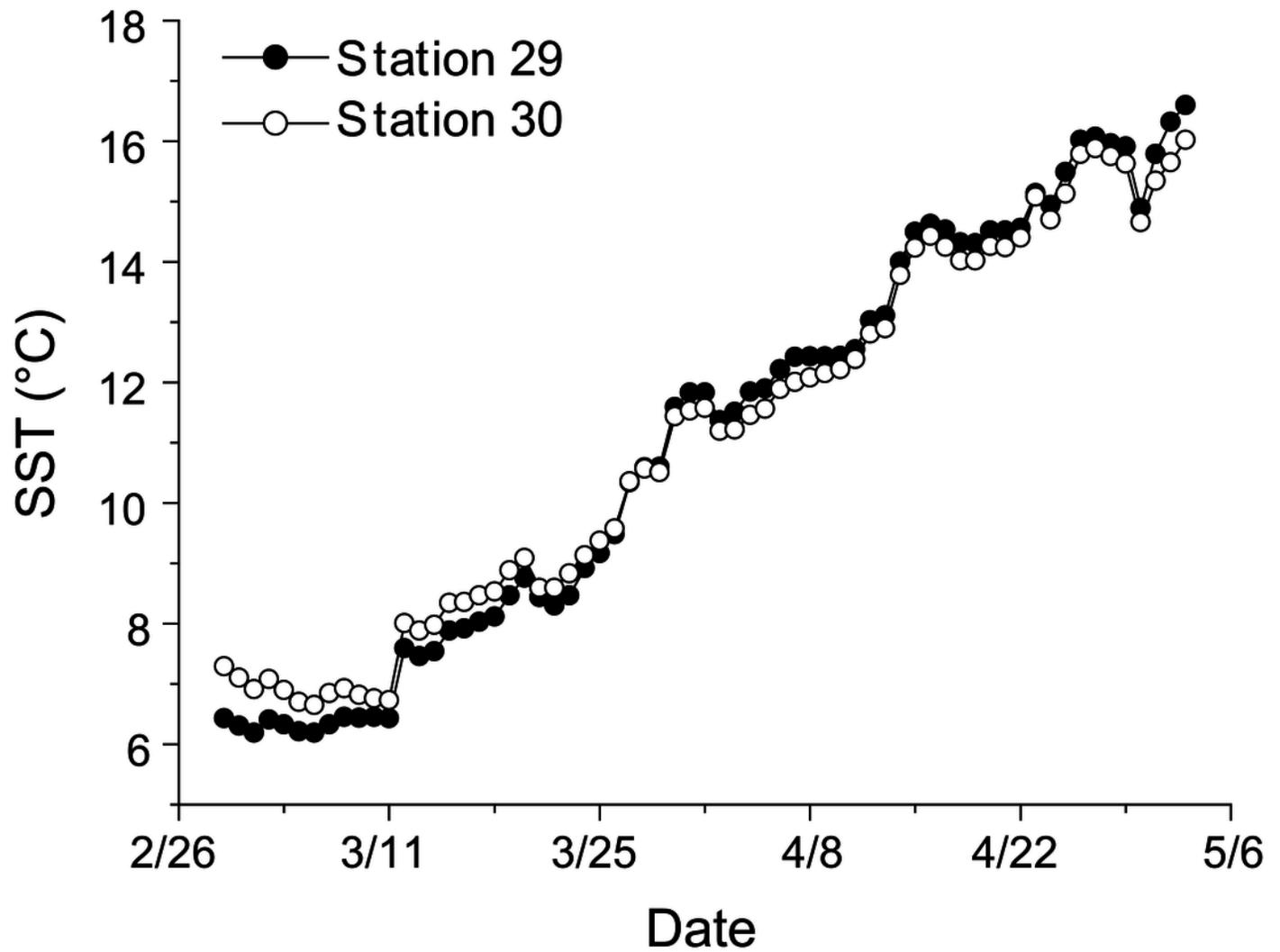


Figure 4

Frequency distributions of standard lengths and hatch dates of anchovy in stations 29 and 30. (a) standard length; (b) hatch date.

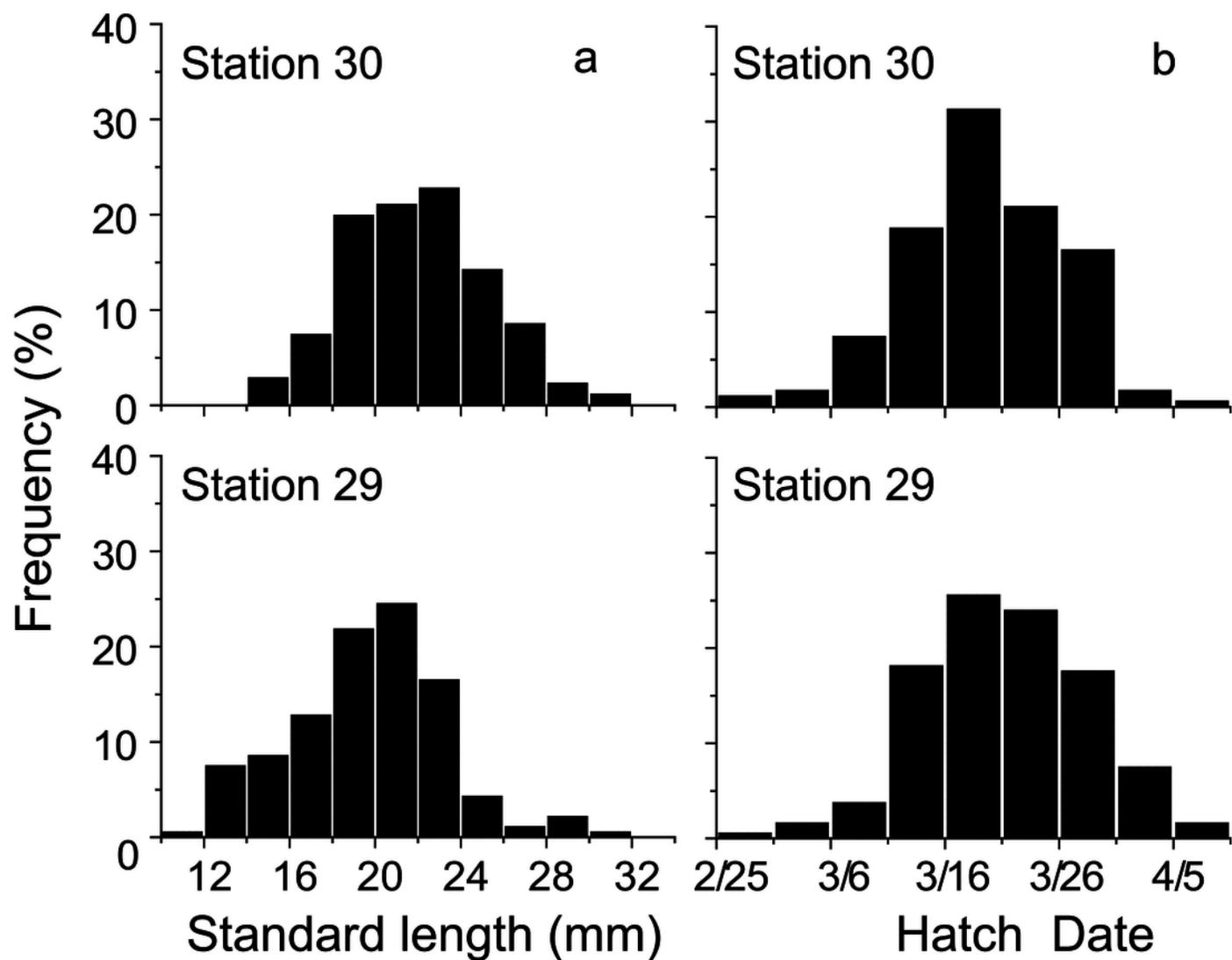


Figure 5

Relationships between standard length (SL) and otolith radius (OR) of anchovy in station 29, $SL = 0.097OR + 6.77$ ($R^2 = 0.80$, $P < 0.01$) and station 30, $SL = 0.089OR + 9.33$ ($R^2 = 0.82$, $P < 0.01$).

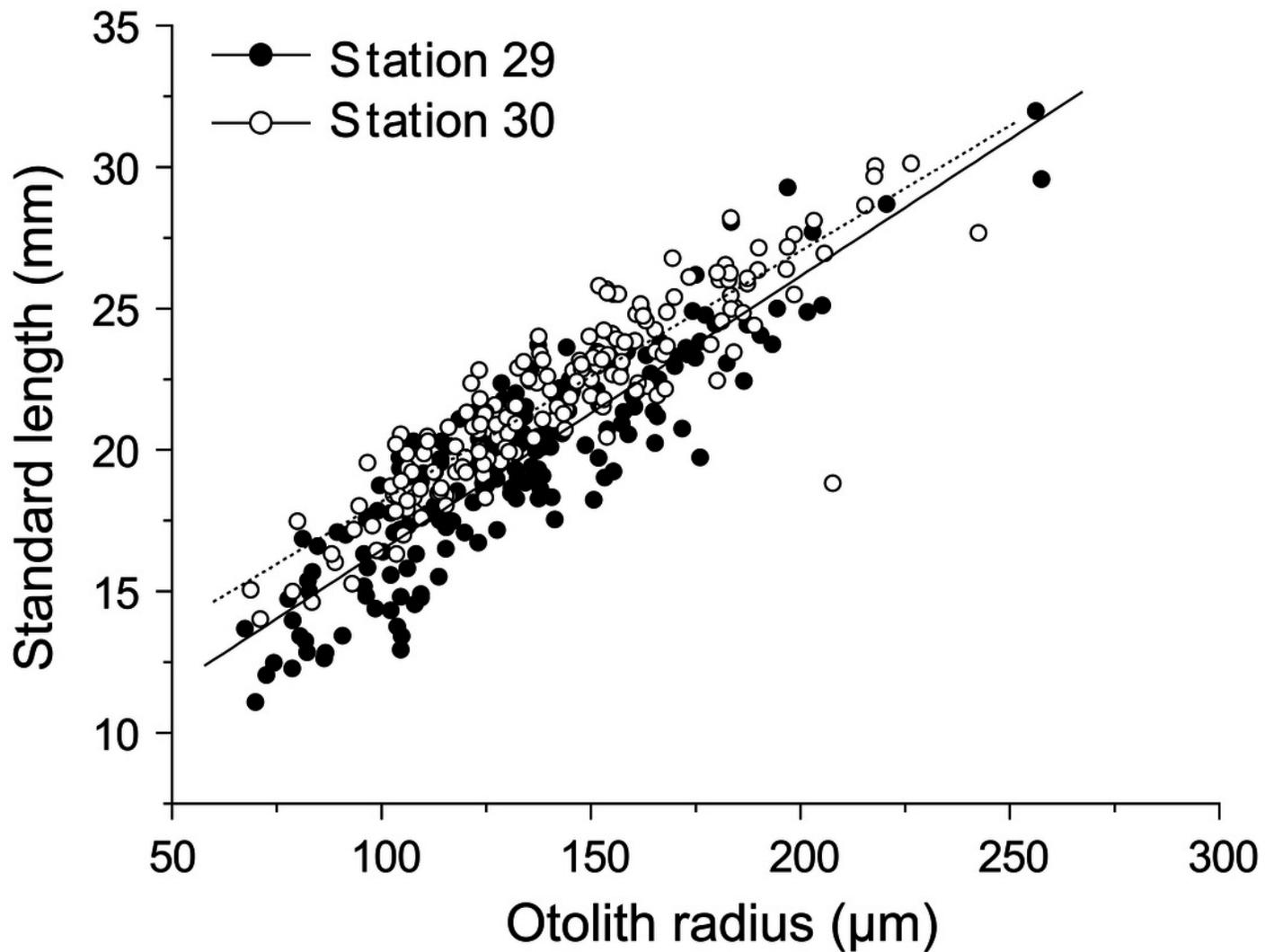


Figure 6

Relationships between standard length (SL) and age (in days, D) of anchovy in station 29, $SL = 0.37D + 4.6$ ($R^2 = 0.54$, $P < 0.01$) and station 30, $SL = 0.37D + 6.4$ ($R^2 = 0.59$, $P < 0.01$).

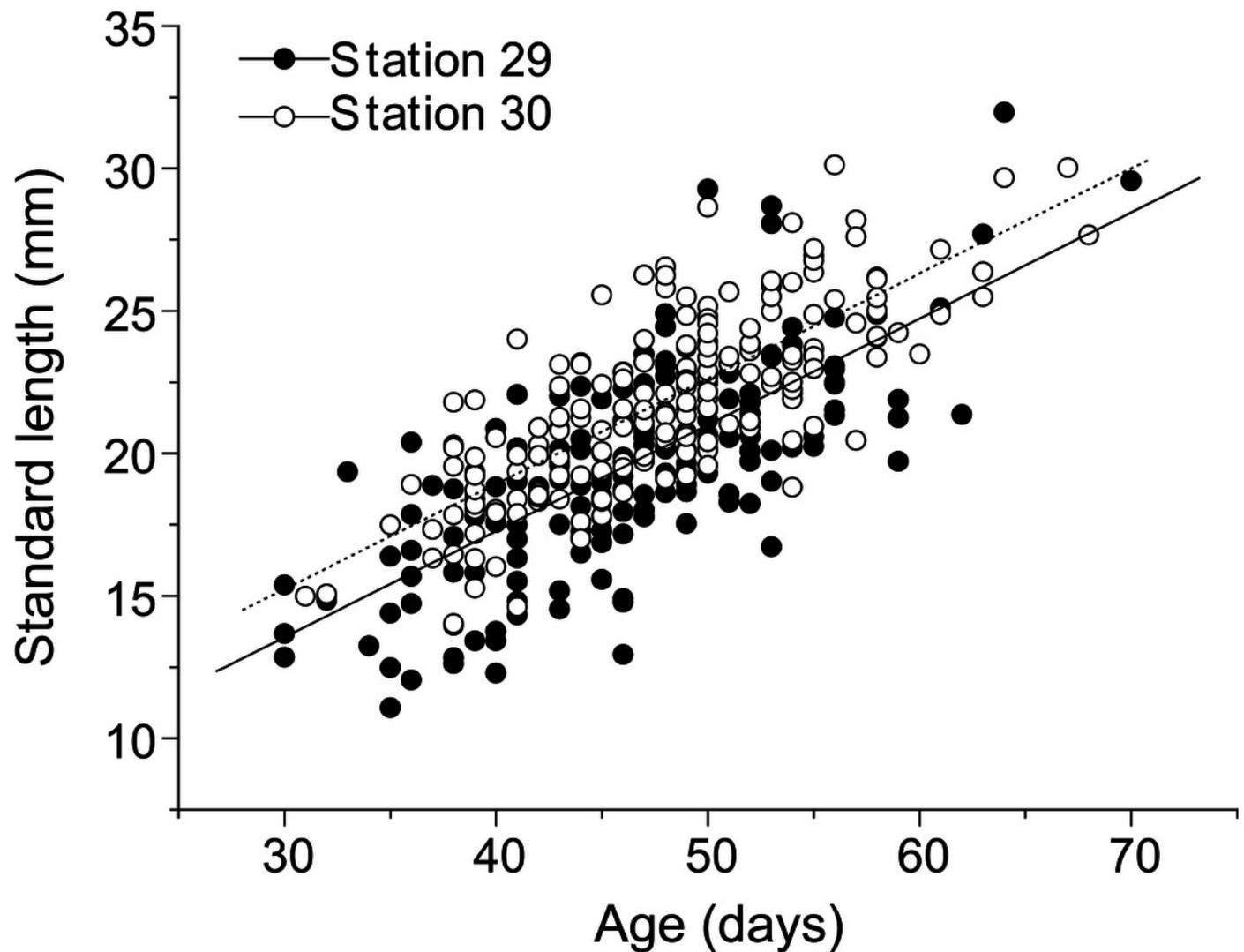


Figure 7

Growth trajectories back-calculated from otolith microstructure of anchovy of three groups in stations 29 and 30 respectively.

Days where anchovy numbers were less than five were excluded for reducing the bias among individuals.

