

The first juvenile specimens of *Plateosaurus engelhardti* from Frick, Switzerland: Isolated neural arches and their implications for developmental plasticity in a basal sauropodomorph

The dinosaur *Plateosaurus engelhardti* is the most abundant dinosaur in the Late Triassic of Europe and the best known basal sauropodomorph. *Plateosaurus engelhardti* was one of the first sauropodomorph dinosaurs to display a large body size. Remains can be found in the Norian stage of the Late Triassic in over 40 localities in Central Europe (France, Germany, Greenland and Switzerland). Since the first discovery of *P. engelhardti* no juvenile specimens of this species had been described in detail. Here we describe the first remains of juvenile individuals, isolated cervical and dorsal neural arches from Switzerland. These were separated postmortem from their respective centra because of unfused neurocentral sutures. However the specimens share the same neural arch morphology found in adults. Morphometric analysis suggests body lengths of the juvenile individuals that is greater than those of most adult specimens. This supports the hypothesis of developmental plasticity in *Plateosaurus engelhardti* that previously had been based on histological data only. Alternative hypotheses for explaining the poor correlation between ontogenetic stage and size in this taxon are multiple species or sexual morphs with little morphological variance or time-averaging of individuals from populations differing in body size.

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7 **Introduction**

8 The basal Sauropodomorpha are a presumably paraphyletic assemblage (Yates, 2003a; Yates &
9 Kitching, 2003; Yates, 2004; Upchurch, Barrett & Galton, 2007) and form successive sistergroups to the
10 largest terrestrial animals ever known, the Sauropoda, with which they form the Sauropodomorpha
11 (Huene, 1932). Basal sauropodomorphs were the dominant high-browsing herbivores from the late Norian
12 until the end of the Early Jurassic, when they were replaced in dominance by sauropods (Barrett &
13 Upchurch, 2005). The basal sauropodomorph *Plateosaurus* was one of the first larger-bodied dinosaurs.
14 The first fossil remains of this taxon were found in 1834 at Heroldsberg near Nuremberg by Johann
15 Friedrich Philipp Engelhardt. The first to describe the material was Herman von Meyer in 1837 naming it
16 *Plateosaurus engelhardti* (Moser, 2003).

17 Basal Sauropodomorpha are important for understanding the unique gigantism of sauropod dinosaurs
18 (Sander et al., 2004; Sander & Klein, 2005; Upchurch, Barrett & Galton, 2007; Cerda, Pol & Chinsamy,
19 2013) because they inform us about the plesiomorphic condition from which sauropod gigantism evolved.
20 One such plesiomorphic condition may be the developmental plasticity seemingly present in *Plateosaurus*
21 *engelhardti*, expressed in a poor correlation of ontogenetic stage and size (Sander & Klein, 2005).
22 Developmental plasticity was initially hypothesized based on long bone histology (Sander & Klein,
23 2005), but in this paper we corroborate its presence based on body size at neurocentral suture closure, as
24 documented by the first juvenile remains of *P. engelhardti* from Frick, Switzerland. Apart from the
25 *Plateosaurus* bonebed in Frick, juveniles of *Plateosaurus* had already been mentioned from Greenland
26 (Jenkins et al., 1994), but a detailed description of this material was not published.

27 **Systematics of *Plateosaurus***

28 A premise of any hypothesis of developmental plasticity is that the sample in question is derived
29 from a single species. This necessitates a review of the systematics of *Plateosaurus*. The remains of
30 *Plateosaurus* occur in the middle to the late Norian of Germany (Huene, 1926; Huene, 1932; Galton,
31 2001), France (Weishampel, 1984), Switzerland (Sander, 1992), and Greenland (Jenkins et al., 1994). The
32 type species of *Plateosaurus* is *P. engelhardti* Meyer, 1837. Several more species have been described
33 from other localities in Germany such as *P. trossingensis* (Fraas, 1913) from Trossingen and *P. longiceps*
34 (Jaekel, 1914a) from Halberstadt, and *P. gracilis* (Huene, 1908) from the Löwenstein Formation of
35 Stuttgart. Currently the *Plateosaurus* finds from Halberstadt, Trossingen and Frick are currently assigned
36 to one species: *P. engelhardti*. However, nomenclatorial controversy still surrounds this name (Galton,
37 1984a; Galton 1984b; Galton, 1985a; Galton, 1985b; Galton & Bakker, 1985; Weishampel & Chapman,
38 1990; Galton, 1997; Galton, 1999; Galton, 2000; Galton, 2001; Moser, 2003; Yates, 2003b; Prieto-
39 Marquez & Norell, 2011; Galton, 2012).

40 A massive abundance of *Plateosaurus* material found in *Plateosaurus* bonebeds (Sander, 1992) can
41 be found at three localities: Halberstadt (Central Germany), Trossingen (Southern Germany) and Frick
42 (Switzerland) (see Fig.1). The locality in Switzerland with a massive abundance of *Plateosaurus* material
43 found in *Plateosaurus* bonebeds (Sander, 1992) is in an active clay quarry of the Keller AG in Frick
44 (Canton Aargau, Switzerland), where the first dinosaurs fossils were discovered in 1963.

45 ***Plateosaurus* from Frick: Geological setting**

46 Since the focus of this study lies on recently discovered juvenile *Plateosaurus* material, a review of
47 this and other *Plateosaurus* bonebeds is necessary. The Gruhalde quarry exposes a section representing 20
48 million years of geological time, from the entire Middle Keuper (Upper Triassic) up to the upper
49 Sinemurian Obtusus clays (Early Jurassic) (Sander, 1990). The middle Keuper sediments are about 20 m
50 thick, the upper 19 m of this section are the Upper Variegated Marls (Rieber, 1985; Sander, 1992).
51 *Plateosaurus* remains are embedded in the Upper Variegated Marls (Norian), which is partially equivalent

52 in stratigraphy, lithology and clay mineralogy to the Knollenmergel and Feuerletten in Southern and
53 Central Germany (Finckh, 1912; Matter et al., 1988), and Eastern France (Weishampel & Westphal,
54 1986). The Upper Variegated Marls at Frick mainly consist of reddish, grayish or greenish marls
55 commonly containing carbonate concretions or layers (Sander & Klein, 2005). There are three horizons
56 producing dinosaur remains (pers. comm. Dr. Benedikt Pabst, 2012), the lowermost of which represents
57 the *Plateosaurus* bonebed and was the subject of the study by Sander (1992). The lowermost horizon is
58 also the source of the material sampled histologically (Sander & Klein, 2005) and of the juvenile material
59 described in this study.

60 **The miring hypothesis of *Plateosaurus* bonebed origin**

61 Mass accumulations of basal sauropodomorph remains in Frick, but also Halberstadt and Trossingen
62 in Germany, share the same taphonomy, resulting in their description as *Plateosaurus* bonebeds (Sander,
63 1992). The sediments encasing the bones in all three localities are alluvial mudstones overprinted by
64 pedogenesis, representing a floodplain in a semiarid climate. Apparently, *Plateosaurus* individuals as the
65 heaviest animals in the environment were preferentially mired in shallow depressions when the mud was
66 wet, acting as a deadly trap. Once the animal got stuck in the soft ground, trying to pull itself out, the mud
67 liquified and the resulting undertow made it impossible to get out. This process happened several times
68 over a long period, explaining the mass accumulations (Sander, 1992), which cannot be shown to
69 represent mass death events, however. Sander (1992) noted the lack of animals of less than 5 m total body
70 length and of juveniles in all *Plateosaurus* bonebeds. He suggested that this lack was due to smaller body
71 size and the resultant negative scaling of the load on the juvenile feet, reducing the risk for animals of less
72 than 5 m in body length to become mired. The miring hypothesis of Sander (1992) predicted that no
73 juveniles would be found in *Plateosaurus* bonebeds. Until 2011 this prediction was not violated, although
74 the discovery by Sander & Klein (2005) of developmental plasticity opened up the possibility that
75 juveniles exceeding 5 m in body length would be found.

76 Nevertheless, it came as a surprise that juvenile remains of *Plateosaurus* were found in the locality
77 Frick in 2010 and particularly 2011. The 2011 material represents the remains of at least two individuals
78 and primarily consists of isolated neural arches found in a bone field catalogued as MSF 11.3. in the
79 lowermost bone layer. The term 'bone field' had been introduced by Sander (1992).

80 **Ontogenetic studies of sauropodomorph dinosaurs: bone histology and suture closure**

81 In general, there are only two methods to ascertain the postnatal ontogenetic stage in a dinosaur
82 individual: bone histology and suture closure patterns, particularly in the skull and the vertebral column.

83 The long bone histology of *Plateosaurus engelhardti* from the localities of Trossingen and Frick has
84 been studied in more detail (Sander & Klein, 2005; Klein & Sander, 2007) than any other basal
85 sauropodomorph including *Massospondylus carinatus* (Chinsamy, 1993; Chinsamy-Turan, 2005). The
86 primary bone of *Plateosaurus engelhardti* consists of fibrolamellar bone tissue, indicating fast growth, but
87 also reveals growth cycles demarcated by LAGs (lines of arrested growth). The histological sample
88 (Sander & Klein, 2005; Klein & Sander, 2007) included individuals that were not fully grown, but
89 specimens showing morphological indicators of skeletal immaturity were lacking at the time of these
90 histological studies. More importantly, the histological ontogenetic stage of similar sized individuals
91 shows great variation (Sander & Klein, 2005), indicating a poor correlation between body size and age,
92 suggesting developmental plasticity (Sander & Klein, 2005) with growth probably being influenced by
93 environmental factors. The basal sauropodomorphs *Massospondylus carinatus* and *Mussaurus*
94 *patagonicus* do not seem to show such plasticity (Chinsamy, 1993; Chinsamy-Turan, 2005; Cerda, Pol &
95 Chinsamy, 2013).

96 On the other hand, the histology of sauropod long bones received a great deal of attention (Curry,
97 1999; Sander 1999; Sander, 2000; Sander & Tückmantel, 2003; Curry & Erickson, 2005; Sander, Mateus
98 & Knötschke, 2006; Klein & Sander, 2008; Sander et al., 2011). Sauropods revealed a fast-growing bone
99 tissue, described as laminar fibrolamellar bone and a generally uniform histology. They grew along a

100 genetically determined growth trajectory with a certain final size. Sauropods display a good correlation
101 between body size/ontogenetic stage and age with little individual variation in rate of growth and final size
102 (Sander, 2000; de Ricqlès, Padian & Horner, 2003; Sander & Klein, 2005; Sander et al., 2011).

103 Yet another vertebral feature is important to determine osteological maturity: the stage of closure in
104 the neurocentral suture between the neural arch and its centrum. Brochu (1996) observed different
105 maturity stages in extant and extinct crocodylians by studying the neurocentral suture closure as an size-
106 independant maturity criterion. He pointed out the presence of three different stages of neurocentral suture
107 closure: open, partially closed and completely closed. The pattern of neurocentral suture closure plays
108 another important role. In different groups and also within the same group different patterns of closure can
109 be found.

110 Within Sauropodomorpha basal sauropodomorphs like *Thecodontosaurus caducus* (Yates,
111 2003a) and *Unaysaurus tolentinoi* (Leal et al., 2004) seem to show a pattern consistent with a
112 posterior-anterior pattern of suture closure. Unfortunately the study on a close relative to
113 *Plateosaurus*, *Massospondylus carinatus*, does not give a reliable pattern of a neurocentral suture
114 closure due to the incompleteness of the material of also different specimens (Cooper, 1981).
115 Recently described material of a juvenile basal sauropodomorph *Yunnanosaurus robustus*
116 (Sekiya et al., 2013) indicates a roughly posterior-anterior pattern of suture closure. Within
117 Sauropoda diverse patterns of suture closure can be recognized with suture closure spreading
118 from more than one vertebral position and in some cases with no visible order (Ikejiri, 2003;
119 Ikejiri, Tidwell & Trexler, 2005; Gallina, 2011).

120 **Objectives of study**

121 The current study has the major objective to describe and compare juvenile neural arch morphology
122 of *Plateosaurus*. In addition, we want to evaluate the implications of the finds of juveniles for the miring

123 hypothesis and for the hypothesis of developmental plasticity. We first give a detailed morphological
124 description of neural arch morphology (laminae and fossae) of the immature isolated neural arches found
125 in bone field MSF 11.3. and compare it with the neural arch morphology of osteologically mature
126 specimens of *Plateosaurus*. To address developmental plasticity, we need to determine individual body
127 size. Since no femora from bone field 11.3. can be reliably associated with the isolated neural arches,
128 morphometric analysis of the neural arches was used to calculate femur lengths of the juveniles as a proxy
129 of body size. Femur lengths of the juveniles was then added to the Frick dataset on which the hypothesis
130 of developmental plasticity was based. We thus tested if developmental plasticity is also reflected by the
131 morphology of *Plateosaurus engelhardti* and not only in its histology. Finally, we evaluate the
132 implications of the finds of juveniles for the miring hypothesis.

133 **Institutional abbreviations**

134 **MSF**, Sauriermuseum Frick, Frick, Canton Aargau, Switzerland; **NAA**, Naturama, Aarau, Canton Aargau,
135 Switzerland; **SMA**, Sauriermuseum Aathal, Aathal, Canton Zurich, Switzerland; **SMNS**, Staatliches
136 Museum für Naturkunde, Stuttgart, Germany.

137 **Anatomical abbreviations**

138 **acdl**, anterior centrodiapophyseal lamina; **acpl**, anterior centroparapophyseal lamina; **c**, centrum; **Cd?**,
139 caudal of indeterminate position; **cdf**, centrodiapophyseal fossa; **cpol**, centropostzygapophyseal lamina;
140 **cpri**, centroprezygapophyseal lamina; **C1**, atlas; **C2**, axis; **C3**, third cervical; **C4**, fourth cervical; **C6**,
141 sixth cervical; **C7**, seventh cervical; **C8**, eighth cervical; **C10**, tenth cervical; **C?**, cervical of indeterminate
142 position; **d**, diapophysis; **D3**, third dorsal; **D4**, fourth dorsal; **D5**, fifth dorsal; **D6**, sixth dorsal; **D7**,
143 seventh dorsal; **D10**, tenth dorsal; **D11**, eleventh dorsal; **D15**, fifteenth dorsal; **D?**, dorsal of indeterminate
144 position; **hypan**, hypantrum; **hypo**, hyposphene; **pa**, parapophysis; **pcdl**, posterior centrodiapophyseal

145 lamina; **pocdf**, postzygapophyseal centrodiapophyseal fossa; **podl**, postzygodiapophyseal lamina; **poz**,
146 postzygapophysis; **ppdl**, paradiapophyseal lamina; **prcdf**, prezygapophyseal centrodiapophyseal fossa;
147 **prdl**, prezygodiapophyseal lamina; **prz**, prezygapophysis; **spol**, spinopostzygapophyseal lamina; **sprl**,
148 spinoprezygapophyseal lamina; **tpol**, intrapostzygapophyseal lamina; **tprl**, intraprezygapophyseal lamina.

149 **Material and Methods**

150 **Material**

151 The juvenile specimens of *Plateosaurus* were excavated in the Gruhalde clay pit of the Tonwerke
152 Keller AG in Frick (Switzerland) as part of a bone field in 2011. The discovery was part of systematic
153 paleontological excavations preceding clay mining each year since 2004. Already in 2010, a seemingly
154 juvenile individual had been discovered but this specimen remains unprepared. Since the bone field
155 yielding the 2011 juveniles was the third bone concentration encountered in the 2011 field season, the
156 bones received consecutive collection numbers starting with “MSF 11.3.”. The site was destroyed by
157 mining but the exact position of the bonefield was recorded (Swiss State Coordinates: 642 953.5 / 261
158 961, lowermost bone layer, 80 – 90 cm above base of the gray beds). Bone field 11.3. yielded several
159 different juvenile bones besides the studied juvenile neural arches, namely isolated centra. These were not
160 used for further analyses because they lack diagnostic features, making a reliable determination of the
161 position within the vertebral column impossible. Vertebrae belonging to the caudal vertebral series were
162 not included to this study because the neurocentral sutures were closed in all specimens. In addition, tail
163 vertebrae can only be assigned to a general region in the tail and not to an exact position. Caudal
164 vertebrae, however, will be considered in terms of morphological change during ontogeny later on.

165 The girdle skeleton of the juvenile individuals is represented by a right scapula, right coracoid, a
166 right pubis, a left ischium, and the appendicular skeleton is represented by a left femur, a tibia, a fibula, a
167 left humerus, and a radius. These bones probably derive from immature individuals since the sheer

168 size/length of the bones is much smaller than in adults. The articular surfaces at proximal and distal ends
169 of appendicular bones still show an immature stage of ossification. A host of ribs and haemapophyses
170 may also derive from the juveniles. This study focuses on the isolated neural arches from bone field 11.3.
171 The sample includes 17 specimens of isolated neural arches belonging to the cervical and dorsal vertebral
172 series (Table 1).

173 During the excavation, bone field 11.3. was covered with transparent foil to document the position of
174 the bones found. This map shows that all bones were distributed over the whole area with no recognizably
175 articulation or connection to each other (Fig. S1). The next step was to ascertain how many animals are
176 represented and if specimens of different ontogenetic stage are recognizable. There are at least one adult
177 and two juvenile animals represented by bonefield 11.3.

178 **Assignment of the juveniles to *P. engelhardti***

179 *Plateosaurus* specimen SMNS 13200 from Trossingen (Fraas, 1913; Huene, 1926; Yates, 2003b)
180 is the standard for the morphological description of the juvenile specimens. The plateosaurs from
181 Trossingen are assigned to *P. engelhardti* (Galton, 1997; Galton, 2000; Galton, 2001; Galton,
182 2012). The neural arch morphology of the Frick material described in this study is consistent
183 with the morphology found in SMNS 13200. All of the *Plateosaurus* specimens found in Frick
184 show the same variance related to sexual dimorphism, intraspecific variation and the final size,
185 when reaching maturity, found in the Trossingen specimens (Sander & Klein, 2005; Klein &
186 Sander, 2007).

187 **Preservation of the neural arches**

188 The preservation of the bones in bone field 11.3. is characterized by various degrees and
189 directions of diagenetic compactions, making the description of the neural arches sometimes
190 challenging. The preservation ranges from no obvious compaction to slight dorsolateral pressure
191 and heavily dorsolateral pressure with three dimensional preservation (see description of neural
192 arches). The most obvious feature are fractures, going through the bones. Some of the neural
193 arches and other bones like the ischium show another new feature, which has not been seen
194 before in material from Frick: dessication cracks originating from the bone lying on the surface
195 for some time, which are filled in with a ferrous mineral during diagenetic processes (see Fig. 2
196 D-E, Fig. 4 A, Fig. 5 A, Fig. 9 A-C, Fig. 10 B-C, Fig. 11 A-C, Fig. 13 B-C, Fig. 18).

197 **Adult specimens studied for comparison**

198 A morphological comparison of the studied juvenile specimens to other specimens, especially
199 osteologically mature individuals, is important. This may reveal ontogenetic morphological variation
200 (Carballido & Sander, 2013). We studied three *Plateosaurus* vertebral columns in detail for comparison.
201 Two of these are from Frick (MSF 5, MSF 23) and one is from Trossingen (cast of SMNS 13200), see
202 Fraas (1913), Huene (1926), Galton (1985a), Galton (1986) and Sander (1992) on this material.

203 Specimen MSF 5 consists of a block with two incomplete individuals of *Plateosaurus*, with
204 the smaller animal lying on the top of a larger one. The larger animal (MSF 5B) preserves the
205 anterior half of the skeleton with a partial and partially disarticulated skull, articulated vertebrae
206 from the first cervical to the fifth dorsal vertebra and several other disarticulated elements as
207 shown in Fig. S2 (Rieber, 1985; Galton, 1986; Sander, 1992). The smaller individual (MSF 5A)
208 is represented by a left humerus being smaller compared to the right humerus of the big animal
209 lying on the right side of the block. The remains are prepared right-side up and still remain in
210 the sediment (Sander 1992). The specimen is exhibited in situ at the Sauriermuseum Aathal

211 (SMA) on permanent loan from the MSF. For this study the complete cervical and partly
212 preserved dorsal vertebrae series of MSF 5B is of interest. MSF 5B represents the most complete
213 and best preserved articulated cervical and partial dorsal series from the second cervical vertebra
214 (C2) to the fifth dorsal vertebra (D5) found in Frick, anterior body regions being
215 underrepresented due to the specific taphonomy of the locality (Sander 1992). The bones did not
216 suffer much distortion compared to the bone field 11.3. specimens and are well preserved in three
217 dimensions. All of the vertebrae of MSF 5B show completely closed neurocentral sutures. The
218 neural arches show well and fully developed laminae and fossae throughout the vertebral series
219 with no feature missing.

220 MSF 23 is a nearly complete and essentially articulated skeleton of a *Plateosaurus* from
221 Frick, on display at the Sauriermuseum Frick (Sander, 1992) (Fig. S3). The morphology of the
222 skeleton has not yet been described in detail, but it was figured by Sander (1992, fig. 3) as well
223 as in the non-technical literature (Sander, 1993; Sander, 2012). The vertebral column is not
224 complete. The segment of C2 to C7 is articulated but separated by a fault from C8 to D15 that
225 follow in full articulations. At a first glance, D15 may be considered to belong to the sacrum,
226 being a dorsosacral, because it seems to be fused with the ilia on both sides. However no other
227 *Plateosaurus* revealed more than three sacrals (Jaekel, 1914a; Galton, 1999; Galton, 2001). The
228 diapophyses of D15 also are not as massive in their morphology as those of the sacrals. So the
229 adhesion of D15 to the anteriormost part of the sacrum may be due to the age of the animal. The
230 neural arches in MSF 23 generally experienced strong dorsolateral pressure from the right side
231 during diagenesis. This led to extreme deformation of the vertebrae in the specimen.
232 Nevertheless MSF 23 shows fully developed vertebral morphology with all laminae and fossae
233 being present. All of the neurocentral sutures are completely closed.

234 The third specimen is a cast of a complete skeleton from Trossingen (SMNS 13200, Fig. S4),
235 exhibited at the Naturama (NAA) in Aarau (Switzerland). SMNS 13200 was excavated as nearly
236 complete articulated skeleton in 1911 in the Knollenmergel Beds of Trossingen at the Obere
237 Mühle (Fraas, 1913) and forms the basis of the osteological description by Huene (1926). The
238 left forelimb distal to the humerus is missing, and the tail is incomplete as well, missing some
239 vertebrae. However, the presacral vertebral column is complete and well preserved. SMNS
240 13200 shows good three dimensional preservation with no or little influence of compaction on
241 the whole complete vertebral column. All vertebrae display well developed laminae and fossae
242 with all neurocentral sutures being closed.

243 **Methods**

244 The morphological description of the neural arches follows the nomenclature of Wilson (1999) and
245 Wilson et. al (2011). Because of their complex morphology and because morphological characters change
246 sequentially throughout the axial skeleton (Carballido et al., 2012; Carballido & Sander, 2013),
247 sauropodomorph neural arches can be assigned to specific positions in the vertebral column with a margin
248 of error of one position or less. In sauropods, not only do vertebral characters change within one animal
249 but also during the ontogeny of the same animal (Carballido et al., 2012). Before a sauropod reached
250 osteological maturity, its vertebrae pass developmental stages, often displaying more primitive characters
251 known in more basal taxa. To determine the stage of osteological maturity of the juvenile *Plateosaurus* a
252 direct comparison of morphological characters to osteologically mature (completely closed neurocentral
253 sutures) plateosaurs is necessary.

254 **Terminology of laminae and fossae**

255 The morphological description of the neural arches of this study follows the nomenclature of
256 Wilson (1999) for the laminae and Wilson et al. (2011) for the fossae of sauropod dinosaurs
257 which can be applied to basal sauropodomorphs as well (Wilson, 1999; Wilson et al., 2011). The
258 nomenclature for laminae set by Wilson (1999) is based on landmarks on the vertebra, namely
259 the connections a lamina establishes, whereas the nomenclatures set before by other scientists
260 were mainly based on the origin the laminae have. The fossae's names are defined by the
261 surrounding laminae (Wilson et al., 2011).

262 **Morphometrics and 3D visualization**

263 Simple morphometric analysis was applied to estimate the body length of the juvenile *Plateosaurus*
264 from measurement that can be taken on neural arches. In dinosaurs, femur length is a reliable proxy for
265 body mass (Carrano, 2006). In the case of *Plateosaurus* the femur lengths equals approximately 1/10 of
266 body length (Sander, 1992). Since our material only consists of isolated neural arches, we needed to
267 establish a new proxy which is suitable for determining the body lengths of the juveniles. We decided to
268 use the zygapophyseal lengths of the neural arches for developing a proxy. Due to the extreme
269 dorsolateral compression of some specimens and the better preservation of the pre- and postzygapophyses
270 compared to the transverse processes of the neural arches, measuring zygapophyseal length appears to be
271 the most reliable size proxy. The zygapophyseal length of the neural arches of all specimens studied was
272 measured from the tip of the prezygapophysis to the tip of the postzygapophysis, thus representing the
273 maximum anteroposterior length of a neural arch. For the calculations of body lengths of the juveniles,
274 femur length of specimens MSF 5B, MSF 23 and SMNS 13200 was measured (Table 2) as maximal
275 length on the medial side. The femur of specimen MSF 5B is not preserved, but its scapula is. Based on
276 the scapula/femur ratio (76%) of specimen MSF 23 and on measured scapula length of MSF 5B, we were
277 able to calculate the femur length of MSF 5B.

278 The ratio between zygapophyseal length and femur length of MSF 5B, MSF 23, and SMNS 13200
279 were measured to calculate the femur lengths of the juvenile specimens of bone field 11.3. (Table S2). Our
280 limited sample size of three adult specimens for comparison to the juvenile material is due to the
281 taphonomy of *Plateosaurus* bonebeds, leading to incomplete and in most cases disarticulated finds. For
282 the calculation of the femur lengths of the juveniles in percentage, we only used data of specimen SMNS
283 13200, where the material is the most complete and best preserved one, compared to all other specimens
284 studied.

285 The main problems during measurements of zygapophyseal lengths in neural arches of all specimens
286 studied were caused by poor preservation in some bones, with the tips of pre- or postzygapophyses
287 missing. Sometimes heavy deformation, e.g., in MSF 23 in the region of the posteriormost dorsal
288 vertebrae, made measurements impossible. In partly articulated specimens like MSF 5B and MSF 23,
289 bones like dorsal ribs and gastralia obscure parts of the vertebral column.

290 Morphometric measurements were performed with a sliding caliper for distances between 0-150 mm.
291 If the distance was greater than 150 mm, or the measurement was not accessible with the sliding caliper, a
292 measuring tape was used. The measurements were taken to the nearest 0.1 mm (calliper) and to the
293 nearest millimeter (measuring tape).

294 In terms of visualization of the isolated neural arches, we created three-dimensional digital models of
295 four representative specimens (supplemental files 1-4). These specimens are two anterior cervical neural
296 arches (MSF 11.3.317 and MSF 11.3.258), one middle dorsal neural arch (MSF 11.3.339), and the
297 posteriormost dorsal neural arch (MSF 11.3.303). We computed these models with the photogrammetry
298 software Agisoft PhotoScan using sets of professional-grade photographs of the specimens.

299 **Results**

300 **Description**

301 Among the juvenile bones, there are six isolated neural arches (specimens are listed in Table 1 and
302 Supplemental Information) that can be assigned to the cervical vertebral column. This is based on their
303 low and elongated appearance in comparison to the taller and shorter proportions of the dorsal neural
304 arches (Huene, 1926). We identified eleven dorsal neural arches from the bone field 11.3. sample
305 (specimens are listed in Table 1 and Supplemental Information). The specimens can be further subdivided
306 into anterior (C1 to C5) and posterior (C6 to C10) cervical neural arches and into anterior (D1 to D5),
307 middle (D6 to D10) and posterior (D11 to D15) dorsal neural arches. The identification of the position of
308 the neural arches are performed with the help of characters and features of diapophyses (d),
309 prezygapophyses (prz), postzygapophyses (poz), parapophyses (pa), and the neural spines, as described
310 by von Huene (1926) and Bonaparte (1999). The laminae and fossae play an important role in the
311 morphology of the neural arch (Bonaparte, 1999; Wilson, 1999; Wilson et al., 2011). Furthermore the
312 processes of the neural arch change gradually along the vertebral column, e.g. in length, shape, size,
313 location on the arch and angle at which these stand out from the vertebra (Wilson, 1999).

314 The complete vertebral column of *Plateosaurus engelhardti* consists of a rudimentary proatlas, 10
315 cervical vertebrae, 15 dorsal vertebrae, three sacral vertebrae, and at least 50 caudal vertebrae (von
316 Huene, 1926; Bonaparte, 1999; Upchurch, Barrett & Galton, 2007). Specimens MSF 11.3.388 (cervical
317 neural arch) and MSF 11.3.169 (dorsal neural arch) displayed the worst preservation and were not
318 described in detail. We were unable to reliably determine the position of these two arches within the
319 vertebral column since all of the important characters were not preserved.

320 **Cervical neural arches**

321 Axis, MSF 11.3.317 (Fig. 2 A-C, supplemental file 1)

322 The axis is the anteriormost neural arch identified in the bone field. With the diapophysis and
323 parapophysis missing, the diapophyseal and parapophyseal laminae are not present in the axis. The

324 prezygapophysis shows much smaller and shorter facets than the postzygapophysis. The prezygapophysis
325 is ventrally supported by a single cprl. The tprl connecting both prezygapophyses is missing. Short sprl's
326 line up dorsally to the neural spine. As a counterpart the cpol holds up the postzygapophysis, and the spol
327 runs up dorsally from the postzygapophysis towards the neural spine. A poorly developed tpol connecting
328 the postzygapophysis is present. The only fossa is the spof, but it does not extend deeply into the neural
329 arch. In ventral view, the pedicels show the zipper-like surface of the neurocentral suture, which is typical
330 for morphologically immature bones originating from the open neurocentral suture (Brochu, 1996; Irmis,
331 2007). Further on, the articular surfaces of the postzygapophyses in ventral view are abrasive and were
332 only partly ossified at the time of death. The morphology of the axis arch does not differ from the adult
333 condition as described by von Huene (1926).

334 Third cervical, **MSF 11.3.258** (Fig. 2 D-F, supplemental file 2)

335 The neural arch can be assigned to the third position within the vertebral column. No diapophysis or
336 parapophysis is present, therefore the arch is missing any diapophyseal and parapophyseal laminae.
337 Postzygapophysis and prezygapophysis are both small and form a low angle, indicating that this neural
338 arch is an anterior cervical one. The tprl (the connecting lamina between the prezygapophyses) and tpol is
339 well developed. The sprl is hardly developed in contrast to the spol being quite present. The cprl and cpol
340 are well developed. Like in the axis, the spof is present and becomes deeper. Though less developed, the
341 sprf is present now. Zipper-like suture surfaces on the pedicels are recognizable in ventral view.

342 Fourth cervical, **MSF 11.3.371** (Fig. 3 A-E)

343 The arch shows a partly preserved diapophysis on the right lateral side, but still no parapophysis is
344 present. Nevertheless, diapophyseal as well as parapophyseal laminae do not extend onto the arch. The
345 prezygapophyses of MSF 11.3.371 are much more elongated compared to prezygapophyses in more

346 anterior neural arches and the postzygapophyses of the same arch. The surfaces of the articular surfaces
347 have a quite low angle of less than 45°. While the cpol remains short in length, the cpri is a thick
348 elongated lamina. Sprl and spol are well developed along with the sprf and the spof, with the spof being
349 the deeper and broader fossa. Other fossae are not present.

350 Sixth Cervical, **MSF** 11.3.074 (Fig. 4 A-B)

351 The partly preserved diapophysis fully moved dorsally onto the neural arch and is situated at the
352 midlength of the neural arch. No parapophysis is present. The prezygapophysis and postzygapophysis
353 seem to be very steeply angled, and the surface of the articular facets is rough, suggesting a cover by
354 cartilage. This is unlike in adults, where zygapophyseal articular facets are well ossified and smooth.
355 Intense lateral compaction of the arch with a slightly ventral to dorsal shift is recognizable. The acdl
356 emerges as a thin lamina going anterodorsally up from the anterior part of the junction between centrum
357 and neural arch to the tip of the prezygapophysis, recognizable on both the left and right lateral side;
358 concomitant with the presence of a small and shallow pcdl. The pcdl is not present. The neural spine is
359 higher than in the anterior cervicals. Sprl, spol, tprl and tpol are present. Both cpri and cpol seem to be
360 shorter than in the more anterior cervical arches. The sprf is not well developed whereas the spof is
361 deeper. The pedicels lack the zipper-like structures due to poor preservation.

362 Tenth cervical, **MSF** 11.3.366 (Fig. 5 A-B)

363 In the tenth cervical neural arch the cervicodorsal transition is visible. Posteriormost cervical neural
364 arches show strong reduction in centrum and zygapophyseal length in comparison to the previous arches.
365 The neural spine gets higher. The size, shape and functions of diapophyses change due to dorsal ribs
366 which have to be supported. The ribs are supported from below by parapophyses which migrate onto the
367 dorsal neural arches (Wilson, 1999). Though the diapophysis of the specimen is not complete with the tip

368 missing, the diapophysis arises fully from the neural arch. As a consequence, all of the diapophyseal
369 laminae are present and well developed. These include the acdl, which is a thin lamina in the sixth
370 cervical (MSF 11.3.074), but which is thickened and well established in specimen MSF 11.3.366. The
371 diapophysis is well supported ventrally by the pcdl, being the stronger and broader lamina, and the acdl.
372 The cdf is still simple and not deep. On the contrary, the prcdf and pocdf are deep and extensive. The
373 surface of the prezygapophyses and postzygapophyses are much more extensive, which is not the case for
374 zygapophyses of anterior cervicals. Still, a parapophysis is not visible, but the laminae connecting the
375 diapophysis with the prezygapophysis (prdl) and the diapophysis with the postzygapophysis (podl) are
376 distinctly developed. All the other laminae like sprl, spol, tprl, tpol, cprl and cpol as well as sprf and spof
377 are well developed. In contrast to the neural spine of more anterior cervicals, the neural spine of this
378 specimen is much thicker. Specimen MSF 11.3.366 is the anteriormost specimen in the cervical series to
379 exhibit a zyposphene and zygantrum for further support of the vertebral column.

380 **Dorsal neural arches**

381 Anterior neural arches from the first to the seventh dorsal are most abundant in bone field 11.3., and
382 only two posterior dorsal neural arches can be recognized. Some positions are represented twice like the
383 third, the fifth, the sixth and the tenth/eleventh dorsal. All of the dorsal neural arches show well developed
384 hyposphenes and hypantra if this region is preserved. Zipper-like sutural surfaces are preserved for the
385 dorsals MSF 11.3.360, MSF 11.3.167, MSF 11.3.095, MSF 11.3.107 and MSF 11.3.339.

386 Third dorsal, **MSF 11.3.360** (Fig. 6 A-D)

387 This specimen is one of the most anterior dorsal neural arch in the dorsal series. With the shortest and
388 thickest neural spine within the whole vertebral series, being nearly square in shape in dorsal view and
389 sticking out from the arch at a right angle, the neural arch can be identified as a third dorsal (Huene,

390 1926). The diapophysis is slightly oblique and gently posteriorly directed. Furthermore, three very deep
391 fossae are well recognizable below the diapophysis (prcdf, pocdf and cdf). A first sign of a slight
392 parapophysis articular facet is recognizable on both sides of the bone. The parapophysis still seems to
393 have been located more on the centrum than on the neural arch. The much broader facets of the
394 prezygapophyses in comparison to small ones of the postzygapophyses are remarkable. Nonetheless, both
395 show rough articular surfaces like all the cervical neural arches. All laminae (acd1, pcd1, prd1, pod1, spr1,
396 spol, cp1 and cpol) are fully developed.

397 Third dorsal, **MSF** 11.3.376 (Fig. 7 A-C)

398 Specimen MSF 11.3.376 can also be identified as a D3 due to the same diagnostic characters.

399 However, there are some striking differences in comparison to the previous specimen. The
400 prezygapophyses are much smaller and seem to be elongated instead of being broad. This may be due to
401 preservation, though the shape of MSF 11.3.360 appears to be little affected by diagenetic deformation.
402 MSF 11.3.376 experienced dorsoventral crushing. In addition, the parapophysis articular area has clearly
403 developed and is situated on the neural arch while the parapophyses of MSF 11.3.360 still articulates with
404 the centrum, because it is hardly visible. All laminae are fully present and developed, whereas the acd1 is
405 slightly truncated by the parapophysis articular facet.

406 Fourth dorsal, **MSF** 11.3.049 (Fig. 8 A-B)

407 In the fourth dorsal neural arch, the thickness of the spine decreases a little and the spine gets longer.
408 Unfortunately the tip of the diapophysis is missing on both sides. No parapophysis is visible. In all
409 likelihood, the parapophysis articular facet is situated on the centrum. This may lead to the assumption
410 that we deal with a cervical, but the neural spine indicates the specimen to be a dorsal. The appearance of
411 the prezygapophyses and the very short postzygapophyses also argue for a dorsal neural arch. Fossae and

412 accompanying laminae are well developed. All three fossae below the diapophysis are very deep and well
413 visible (prcdf, cdf and pocdf). No parapophysis influences the laminae and fossae existent. Though the cdf
414 seems to be not as deep as in the third dorsals, though. The well established laminae and fossae indicate
415 the neural arch to belong to a fourth dorsal. Cp1 and cp2 distinctly arise from the prezygapophysis and
416 postzygapophysis, increasing the general height of the neural arch.

417 Fifth dorsal, **MSF 11.3.067** (Fig. 9 A-C)

418 The fifth dorsal neural arch shows partly preserved diapophyses, but no parapophysis articular facet
419 due to poor preservation. The neural spine shows that a posterior inclination is seen from now on
420 backwards in the vertebral column. The left lateral side of the arch shows that all the laminae and fossae
421 are well developed in this specimen. As expected, the prcdf begins to diminish in size and extent due to
422 the parapophysis articular facet moving dorsally onto the neural arch, also slowly closing the acdl,
423 separating the lamina into acpl and ppdl in posterior dorsal neural arches. In addition, the parapophysis
424 articular facet also influences the prdl to the extent that it forms back. This process takes place stepwise,
425 visibly beginning in the fifth dorsal and being complete in the eighth dorsal in which there are only two
426 fossae left below the diapophysis (pocdf and cdf).

427 Fifth dorsal, **MSF 11.3.167** (Fig. 10 A-C)

428 This is another neural arch belonging to a fifth dorsal vertebra. The specimen is heavily crushed on
429 the left side, leaving the right side for the description. All laminae are well developed beneath the
430 diapophysis with deep fossae (pcdl, acdl, prdl, podl, sprl, spol, cp1 and cp2). A parapophysis articular
431 facet is present interrupting the acdl. The appearance of the zygapophyses conforms with those of
432 specimen 11.3.067.

433 Sixth dorsal, **MSF** 11.3.095 (Fig. 11 A-C)

434 Specimen MSF 11.3.095 is assigned to the sixth position in the dorsal vertebral column. The
435 diapophyses are posteriorly oriented, suggesting a middle dorsal neural arch. The prezygapophyses are
436 elongated in contrast to the postzygapophyses being shorter and smaller in expanse. Furthermore all
437 laminae are fully developed. At the anterior end of the arch, dorsal of the neurocentral suture, a distinctive
438 parapophysis articular facet is present on both sides. The parapophysis articular facet displaces the acdl,
439 giving rise to the ppdl, connecting the parapophysis from ventral to dorsal with the diapophysis, and the
440 acpl and the prpl. The prpl connects the parapophysis anterodorsally with the prezygapophysis. The prdl is
441 still well visible. All the rest of the laminae are well developed, like in the arches described before. The
442 same applies to all of the fossae. Further evidence for the identification of the specimen as a sixth dorsal is
443 that the prcdf becomes narrower and decreases in depth compared to the prcdf in more anterior neural
444 arches.

445 Sixth dorsal, **MSF** 11.3.107 (Fig. 12 A-C)

446 This specimen can also be identified as a sixth dorsal neural arch. All features seen in this specimen
447 coincide with those of specimen MSF 11.3.095. The bone is complete although the diapophysis is broken
448 off on the left side and is diagenetically recemented to the arch.

449 Seventh dorsal, **MSF** 11.3.339 (Fig. 13 A-C, supplemental file 3)

450 Although being the most complete and best preserved specimen of all, this neural arch is strongly
451 influenced by anteroposterior compaction. This implies an extremely posteriorly directed diapophysis and
452 a constrained elongation of the prdl on the right lateral side. Aside from the preservation, the prdl is much
453 shorter and more inconspicuous than in the more anterior neural arches which argues for a position around
454 the seventh dorsal, where the prdl is fused with the ppdl, the acdl is consumed by the acpl, and the cprl is

455 disrupted by the prpl, connecting the parapophysis anterodorsally with the prezygapophysis.
456 Unfortunately, no parapophysis articular facet is preserved. Furthermore, the specimen impressively
457 shows the rough and only partly ossified zygapophyseal articular surfaces.

458 Tenth/Eleventh dorsal, **MSF 11.3.241** (Fig. 14 A-C)

459 This is the posteriormost position represented by the neural arches found in bone field 11.3., being
460 the tenth or eleventh dorsal neural arch. The arch has broad and extensive diapophyses, oriented nearly at
461 right angles to the arch. The partly preserved neural spine does not show any indication of a bifurcation in
462 the posterior part, which is mainly the reason why the neural arch cannot be assigned to the 12th up to the
463 15th dorsal. A sure indicator for a posterior dorsal position are the presence of only two fossae below the
464 diapophyses. The prdl has fully vanished from the arch in this position. A parapophysis articular facet is
465 well preserved on the left lateral side of the specimen. Prezygapophyses and postzygapophyses are both
466 short compared to prezygapophyses and postzygapophyses in the middle dorsal neural arches (i.e., the
467 fifth, sixth, and seventh dorsal). In all middle and posterior dorsal neural arches, the articular surfaces of
468 the zygapophyses are horizontal. At the same time, the hyposphene and hypantrum are very distinctive.

469 Tenth/Eleventh dorsal, **MSF 11.3.303** (Fig. 15 A-C, supplemental file 4)

470 This posterior dorsal neural arch can also be assigned to a position around the tenth dorsal. The
471 diapophyses are not well preserved, missing the tip on the right lateral side and not being preserved on the
472 left lateral side, to which a partly preserved bone (MSF 11.3.304) is cemented. Presumably this bone is a
473 posterior caudal vertebra. Again the diapophysis is directed laterally at a 90-degree angle like in specimen
474 MSF 11.3.241. The shape and appearance of the prezygapophyses and postzygapophyses also coincide
475 with those of the previously described specimen. In contrast to specimen MSF 11.3.241, the
476 postzygapophyses show completely ossified articular surfaces. All laminae and fossae are well developed.

477 **Minimal number of individuals (MNI)**

478 The assignment to the position of the neural arches indicates the minimum number of
479 juvenile individuals (MNI) represented in bone field MSF 11.3. In the dorsal series, some
480 positions are represented twice, such as the the third dorsal (MSF 11.3.360 and MSF 11.3.376),
481 the fifth dorsal (MSF 11.3.067 and MSF 11.3.167), the sixth dorsal (MSF 11.3.095 and MSF
482 11.3.107), and the tenth/eleventh dorsal (MSF 11.3.241 and MSF 11.3.303). The MNI of juvenile
483 *Plateosaurus* from bone field 11.3. is thus two.

484 **Morphometric analysis**

485 **Neural arch size measured as zygapophyseal length**

486 The values of zygapophyseal length of the isolated neural arches pertaining to juveniles and
487 described here and of the specimens MSF 5B, MSF 23 and SMNS 13200 were measured for
488 morphometric analysis (Table S1). The trend of zygapophyseal lengths along the cervical and
489 dorsal series shows a clear pattern in all adult specimens studied (MSF 5B, MSF 23 and
490 SMNS13200) (Fig. 16). This pattern is roughly followed by the disarticulated neural arches from
491 bone field 11.3 as well. The anterior cervical neural arches show a rapid increase in
492 zygapophyseal length, with C4/C5 showing the maximal length. Posteriorly, a decrease in the
493 length of the cervical neural arches takes place, with the anterior dorsals (D3) showing the lowest
494 value of zygapophyseal length. Subsequently the zygapophyseal length again increases, though
495 at a much lower rate than in the anterior cervicals. The comparison of neural arches at the same
496 positions suggests that the two juvenile individuals are of a slightly different size. The maximal
497 size difference is approximately 20%.

498 Specimen SMNS 13200 with the greatest femur length (685 mm) generally possesses the
499 greatest zygapophyseal lengths. Except for a few outliers, its lengths are clearly greater in
500 comparison to the other specimens. Though specimen MSF 23 is the second largest individual on
501 the basis of a femur length of 610 mm, the zygapophyseal lengths of the slightly smaller MSF
502 5B (calculated femur length of 565 mm), overlap with those of MSF 23. Throughout the
503 vertebral series, the zygapophyseal lengths of the isolated neural arches are less than those of the
504 adult specimens. The zygapophyseal lengths of the juveniles only overlap with those of specimen
505 MSF 23 in the cervical series which may be due to the strong deformation in MSF 23.

506 **Zyg/Fe ratios**

507 Zygapophyseal length was calculated as a percentage of femur length (Table S2) to estimate femur
508 length from the isolated neural arches (Table 3). With the help of these ratios, it is possible to estimate
509 femur length of the juvenile specimens, which is documented in Table 3. Though the Zyg/Fe ratios of
510 MSF 5B, MSF 23 and SMNS 13200 show a wide range between 12.5 – 28.3 % (Table S2), they all reflect
511 a pattern, following the regular change in zygapophyseal length throughout the vertebral column visible in
512 all specimens. The pattern of increase and decrease of zygapophyseal lengths explains the wide range in
513 the Zyg/Fe ratios in these individuals. The calculated femur lengths of the two 11.3. individuals range
514 from 478.9 to 594.9 mm, depending on position of the neural arch and size of the individual. Again the
515 variation in zygapophyseal length, which can be seen in all specimens studied, accounts for the relative
516 large variation in estimated femur length. Based on the vertebral positions that are represented twice, the
517 femur length estimate for the larger juvenile is between 539 mm and 595 mm and that for the smaller
518 juvenile is between 479 mm and 593 mm.

519 **Discussion**520 **Ontogenetic changes in vertebral morphology**

521 Morphological changes through ontogeny in sauropodomorphs are poorly known because juveniles
522 are rarely found and are mainly represented by late juveniles to subadult specimens (Ikejiri, Tidwell &
523 Trexler, 2005; Tidwell and Wilhite, 2005). Until now there are just four basal sauropodomorphs and two
524 sauropods with embryos or very young specimens known: *Massospondylus carinatus* (Reisz et al., 2005;
525 Reisz et al., 2012), *Mussaurus patagonicus* (Bonaparte & Vince, 1979; Otero & Pol, 2013),
526 *Lufengosaurus* (Reisz et al., 2013), the basal sauropodomorph *Yunnanosaurus robustus* (Sekiya et al.,
527 2013), a baby titanosauriform closely related to *Brachiosaurus* (Carballido et al., 2012), and
528 *Europasaurus* (Sander et al. 2006; Marpmann et al., 2011; Carballido & Sander, 2013). The most detailed
529 study of ontogenetic changes in vertebral morphology has been done on *Europasaurus holgeri*, with
530 different ontogenetic stages being recognized and defined (Carballido & Sander, 2013). Though in most
531 cases isolated bones and incomplete specimens of vertebral column remains exacerbate studies on
532 morphological changes through ontogeny (Carpenter & MacIntosh, 1994; Foster, 2005).

533 Based on neural arch morphology, Carballido and Sander (2013) recognized five morphological
534 ontogenetic stages: early immature, middle immature, late immature and two stages of maturity. In the
535 early and middle immature stage, laminae and/or fossae of a neural arch are not fully developed. In the
536 late immature stage all morphological characters of adults are already present, but the neurocentral suture
537 remains open. The ontogenetic stage of the juvenile MSF 11.3. specimens equals the late immature stage
538 found in *Europasaurus holgeri*.

539 The comparison of the morphology of cervical and dorsal neural arches between the juvenile MSF
540 11.3. specimens and the mature *Plateosaurus* did not reveal any differences at all. Laminae as well as
541 fossae are all well developed in all osteologically mature individuals as well as in the juvenile
542 *Plateosaurus* of bone field 11.3. The only distinction which can be made are the fully open neurocentral

543 sutures in the 11.3. juveniles and the fully closed and invisible neurocentral sutures in the mature
544 individuals (MSF 5B, MSF 23 and SMNS 13200).

545 The series of ontogenetic changes in the neural arch morphology as detected for *Tazoudasaurus*
546 (Allain & Aquesbi, 2008), the brachiosaurid SMA 0009 (Carballido et al., 2012), *Phuwiangosaurus*
547 (Martin, 1994) and especially the camarasauromorph *Europasaurus holgeri* in Carballido and Sander
548 (2013) cannot be observed in *Plateosaurus*. While this may be due to the late immature stage of the
549 juveniles from bone field MSF 11.3., it may be a plesiomorphy of basal sauropodomorphs. Basal
550 sauropodomorphs are more plesiomorphic in their neural arch morphology than more derived sauropods
551 and may have been more plesiomorphic in having less ontogenetic change in vertebral morphology. The
552 function of laminae in sauropodomorphs was in the structural support of the neck and trunk region
553 (Osborn, 1899; McIntosh, 1989), but also evolved as a correlate of axial pneumaticity (Seeley, 1870;
554 Wilson, 1999; Taylor & Wedel, 2013). Most probably laminae can be explained by both factors.

555 **Size and ontogenetic stage in *Plateosaurus***

556 The fully open neurocentral sutures of the neural arches described in this study are a reliable
557 indicator for immaturity (Brochu, 1996). However, the calculated femur length for both juvenile
558 individuals ranges between 479 mm and 595 mm, indicating that these were not smaller than many
559 mature individuals from the Frick *Plateosaurus* bonebed. Histologically mature animals from Frick and
560 Trossingen studied in Sander and Klein (2005) display a femur length between 480 mm and 990 mm. The
561 femur lengths of osteologically immature, as well as osteologically mature, specimens and histologically
562 mature animals merge into one another (Fig. 17). Furthermore, comparing the osteologically mature
563 specimen MSF 5B (femur length: 565 mm) with the juveniles we assume that the immature animals
564 would have become larger than MSF 5B. Our justification is the bone histology of a newly discovered
565 (2012) associated skeleton (MSF 12.3.) with open neurocentral sutures in the cervical and dorsal column.
566 Femoral histology of this individual indicates that it was still growing rapidly (see histological criteria in

567 Sander & Klein, 2005; Klein & Sander, 2007) and was far from final body size. Importantly, both the
568 current study and Sander and Klein's study in 2005 show no correlation between age and size.
569 Developmental plasticity is not only observable in histology of *Plateosaurus*, but also corroborated by its
570 morphology.

571 However, as discussed in the introduction, alternative explanations to developmental plasticity such
572 as the presence of several *Plateosaurus* species represented at the locality of Frick cannot be excluded,
573 and a combination of several hypotheses (developmental plasticity, different species, populations
574 separated in time, and/or sexual dimorphism) still remain possible and cannot be tested without further
575 detailed study of the material from the *Plateosaurus* bonebeds and the taphonomy of the bonebeds.

576 **Patterns of neurocentral suture closure**

577 The isolated neural arches from bone field MSF 11.3. contribute little to our understanding
578 of the pattern of neurocentral suture closure in *Plateosaurus*. Circumstantial evidence consists of
579 the lack of isolated posterior dorsals and caudal arches compared to the large number of caudal
580 vertebrae preserved in the bone field (Fig. 18). This is suggestive of suture closure beginning in
581 the tail and the posterior dorsal region. Further we missed most of posterior cervical neural
582 arches (C7 to C9) in our sample size. Those, as well as posterior dorsals (D12 to D15) may have
583 had completely closed neurocentral sutures and thus are present on bone field 11.3. We just could
584 not assign them to belong to juveniles because the only reliable character for immaturity in our
585 specimens (open neurocentral sutures) is not present. This indicates a pattern of suture closure
586 spreading from more than one vertebral position.

587 **Implications for taphonomic hypothesis**

588 As noted, the taphonomic hypothesis for the origin of the *Plateosaurus* bonebeds of Central Europe
589 proposed by Sander (1992) predicted a size threshold for animals below which animals did not become
590 mired. According to Sander (1992), this would explain the lack of juveniles because of their small size.
591 While the discovery of juveniles in the lowermost bone horizon seemingly contradicts the hypothesis of
592 Sander (1992), this is not the case. The juvenile *Plateosaurus* individuals described in this study are as
593 large or even larger than the smallest fully grown *Plateosaurus* present at Frick, upholding the view that a
594 size threshold existed that kept animals smaller than a 5-m *Plateosaurus* from becoming mired in the mud
595 traps. This conclusion was implicit in the work of Sander & Klein (2005) and Klein & Sander (2007), but
596 it was not expressed because histological immaturity could not be properly correlated with skeletal
597 immaturity because isolated neural arches were not known from Frick at the time.

598 **Conclusions**

599 The study focuses on the first remains of juveniles of the basal sauropodomorph *Plateosaurus*
600 *engelhardti* in Frick, Switzerland. *P. engelhardti* can be found in over 40 localities in Central Europe
601 (Sander, 1992). The juveniles studied come from the locality of Frick, one of three localities preserving
602 abundant remains of *Plateosaurus* and sharing the same taphonomy. These localities were described as
603 *Plateosaurus* bonebeds by Sander (1992). The juveniles were found in a bone field in the lowermost bone
604 horizon in the Gruhalde clay pit of the Tonwerke Keller AG, revealing a concentration of several juvenile
605 and adult bones. The most interesting specimens were isolated neural arches, representing an MNI of two
606 juveniles that slightly differed in size. The juvenility and osteological immaturity of the remains can
607 reliably be linked to the lack of fusion of the neural arches to the centra (Brochu, 1996). The ventral
608 surface of the pedicel reveals the characteristic zipper-like surface of the suture, but the morphology of
609 the immature neural arches does not differ from the morphology of the osteologically mature specimens
610 (MSF 5B, MSF 23 and SMNS 13200) studied for comparison. Thus, the juvenile specimens of *P.*
611 *engelhardti* seem to represent late immature individuals. Patterns of abundance in the bone field hint at

612 suture closure pattern in *Plateosaurus* from posterior to anterior. However, a pattern of suture closure
613 spreading from more than one vertebral position is possible.

614 Morphometric analysis based on the ratio of zygapophyseal length to femur length indicates the
615 femur length of the juvenile specimens to have been between 479 and 595 mm. Thus these animals were
616 larger than the smallest histologically fully grown individual with a femur length of 480 mm from Frick
617 (Sander & Klein 2005) and most probably would have become larger than another individual with a femur
618 length of 565 mm. The morphometric analysis thus independently confirms the poor correlation between
619 age and size in the finds from Frick assigned to *P. engelhardti*, most likely reflecting pronounced
620 developmental plasticity of *Plateosaurus* (Sander & Klein 2005). However, alternative explanations such
621 as the presence of several *Plateosaurus* species represented at the locality Frick cannot be excluded, and a
622 combination of several hypotheses (developmental plasticity, different species, populations separated in
623 time, and/or sexual dimorphism) still remain possible and cannot be tested without further detailed study
624 of the material from the *Plateosaurus* bonebeds and the taphonomy of the bonebeds. Our study also failed
625 to falsify the taphonomic miring hypothesis of Sander (1992) explaining the origin of the *Plateosaurus*
626 bonebeds. While juvenile, the newly described individuals are not smaller than some adults and above the
627 size threshold for miring.

628 **Acknowledgments**

629 We want to thank Dr. Benedikt Pabst (Sauriermuseum Aathal and Sauriermuseum Frick,
630 Switzerland) for the loan and entrustment of the juvenile specimens, giving us the opportunity to
631 do research on the first juveniles of *P. engelhardti* to be found in Switzerland. Our gratitude also
632 goes to Dr. Rainer Foelix (Naturama in Aarau, Switzerland) and Monica Rübéli
633 (Sauriermuseum Frick, Switzerland) for enabling access to the specimens SMNS 13200 and
634 MSF 23 for further study. We thank Olaf Dülfer and Martin Schilling for preparing the

635 material, as well as Georg Oleschinski for the photographs of the juvenile specimens. Our
636 gratitude also goes to Franziska Sumpf for 3D-modelling four of the specimens studied. All of
637 the four are employed at the Steinmann Institute of the University of Bonn. We want to thank
638 Armin Schmitt (Dinosaurierpark Münchehagen) for the discussions and ideas on the
639 morphometric part of this study. We also thank Adam Yates and Alejandro Otero for reviewing
640 and improving our manuscript, and Jérémy Anquetin for editing. This research was performed as
641 MSc. Thesis at the University of Bonn.

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Table 1 (on next page)

List of juvenile neural arches of bone field 11.3.

List of juvenile neural arches of bone field 11.3. with their respective position determined. The complete vertebral column of *Plateosaurus engelhardti* consists of 10 cervical vertebrae (Axis to C10) and 15 dorsal vertebra (D1 to D15). Positions D3, D5, D6 and D10/D11 can be recognized twice in the sample size. Specimen MSF 11.3.348 is the only caudal vertebra to be studied in the research since caudal neural arches at least in the posterior region do not reveal characters to make a determination of if its position impossible. Specimens MSF 11.3.388 and MSF 11.3.169 were not assignable to a position due to poor preservation.

Specimen Number	Position in vertebral column
MSF 11.3.317	Axis
MSF 11.3.258	C3
MSF 11.3.371	C4
MSF 11.3.074	C6
MSF 11.3.366	C10
MSF 11.3.388	C?
MSF 11.3.360	D3
MSF 11.3.376	D3
MSF 11.3.049	D4
MSF 11.3.067	D5
MSF 11.3.167	D5
MSF 11.3.095	D6
MSF 11.3.107	D6
MSF 11.3.339	D7
MSF 11.3.241	D10/D11
MSF 11.3.303	D10/D11
MSF 11.3.169	D?
MSF 11.3.348	Cd?
MSF 11.3.304	Cd?

Table 2(on next page)

Femur lengths of the adult specimens MSF 5B, MSF 23 and SMNS 13200.

The femur length of the adult specimens MSF 5B, MSF 23 and SMNS 13200 with completely closed neurocentral sutures on their vertebral column. The femur length of specimen MSF 5B was calculated with the given scapula/femur ratio (76%) of specimen MSF 23 and the measured scapula length of MSF 5B, since the femur itself is not preserved.

Adult (osteological mature) specimens	Femur length (mm)
MSF 5B	565
MSF 23	610
SMNS 13200	685

Table 3(on next page)

Calculated range of femur length of the MSF 11.3. specimens.

For the calculation of a range of femur length of the juvenile specimens MSF 11.3. specimens we only used the Zyg/Fe ratio of specimen SMNS 13200 due to completeness and good preservation of this specimen. The femur length of the juvenile specimens lies in between 479 and 595 mm. Lengths given in parentheses are again resulting from the longer specimen at positions occupied twice (refer to Table S1). The femur length estimate for the larger juvenile is between 539 mm and 595 mm and that for the smaller juvenile is between 479 mm and 593 mm.

Location	SMNS 13200 Zyg/Fe ratio (%)	MSF 11.3. Zygapophyses length (mm)	MSF 11.3. Femur length (mm)
C1			
C2 (axis)		77.4	
C3	21.4	117.7	549.2
C4	25.0	142.5	570.0
C5	25.1		
C6	22.1	129.7	586.3
C7	25.8		
C8	25.5		
C9	19.6		
C10	19.0	109.9	578.4
D1	17.8		
D2	16.5		
D3	16.1	77.1 (86.7)	478.9 (538.5)
D4	16.6	98.7	593.15
D5		94.2 (101.1)	
D6	19.7	108.5 (109.2)	550.5 (554.0)
D7	20.4	106.6	521.5
D8			
D9	17.8		
D10	20.4	110.8 (121.6)	542.1 (594.9)
D11	20.7		
D12	19.6		
D13	20.9		
D14	19.4		
D15			

Figure 1

Plateosaurus localities in Germany, France and Switzerland.

The map displays localities of *Plateosaurus* remains found in Germany, France and Switzerland, modified after Moser (2003), Weishampel and Westphal (1986), Wellnhofer (unpublished data). The localities with a massive abundance of *Plateosaurus* material found in *Plateosaurus* bonebeds are Halberstadt (Central Germany), Trossingen (Southern Germany) and Frick (Switzerland).

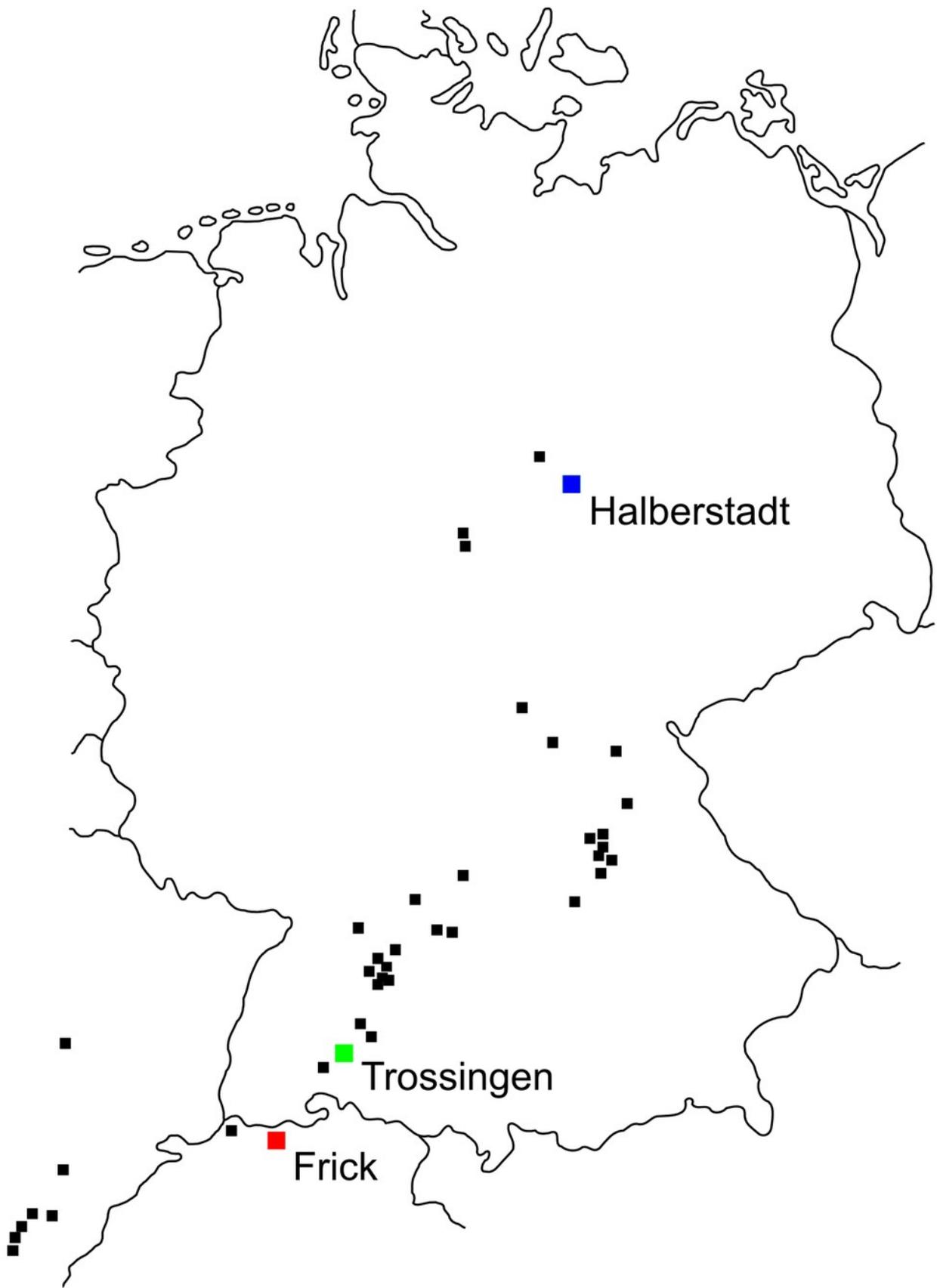


Figure 2

Specimen MSF 11.3.317 (axis) and MSF 11.3.258 (C3).

Plateosaurus engelhardti from Frick, Switzerland. Anterior neural arches of late juveniles. A-C: MSF 11.3.317 (axis) in A, left lateral view; B, dorsal view and C, ventral view. Specimen MSF 11.3.317 shows prezygapophyses facets being smaller and shorter than those of the postzygapophyses. The spof is the only fossa developed. D-F: MSF 11.3.258 (C3) in D, dorsal view; E, ventral view and F, right lateral view. The spof in MSF 11.3.258 gets deeper and the sprf developed. Note the zipper-like structures on the pedicels in ventral view. Furthermore the specimen shows desiccation cracks in dorsal view all over on the neural arch. See text for abbreviations. Scale bars measure 1 cm.

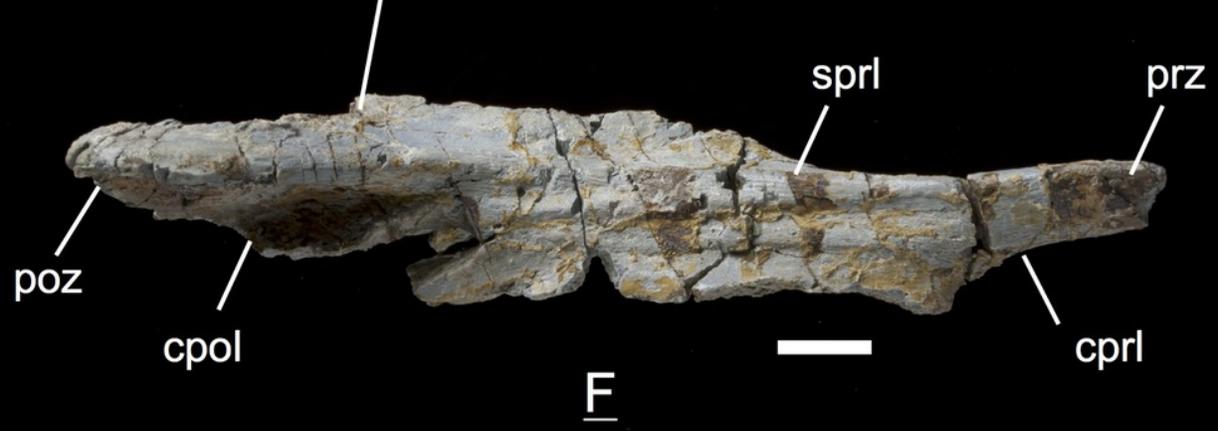
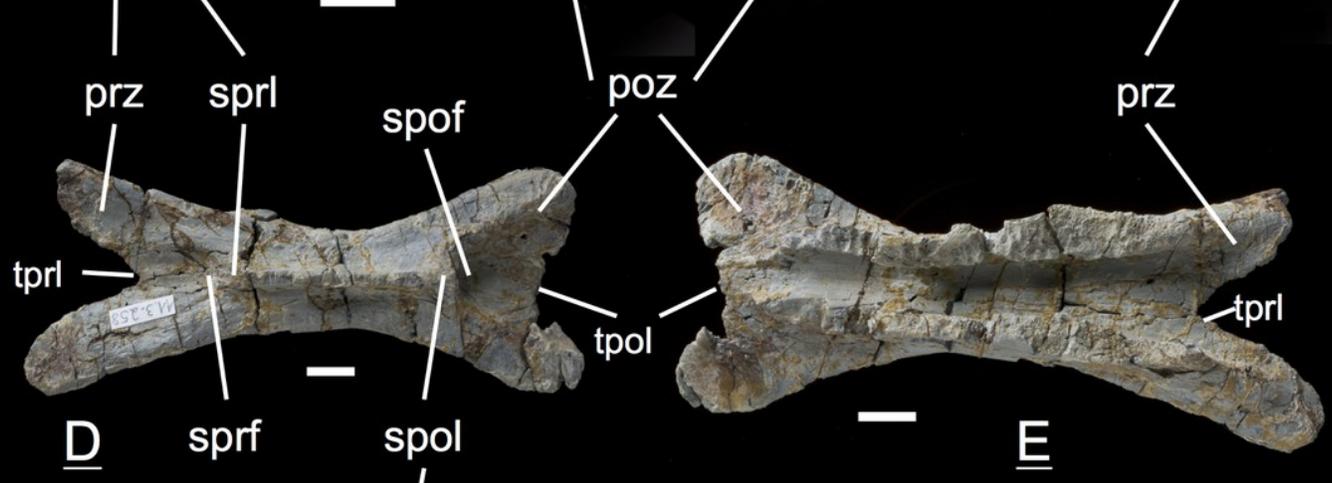
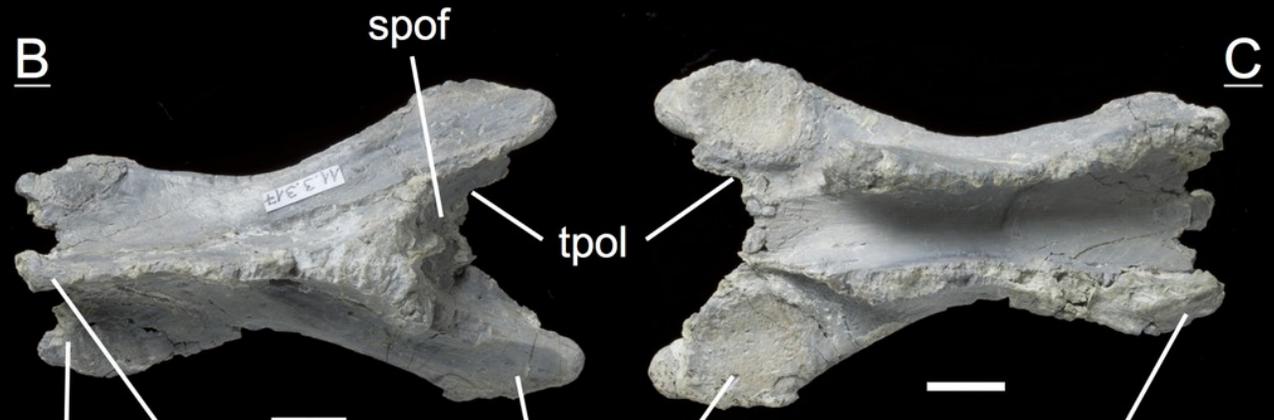


Figure 3

Specimen MSF 11.3.371 (C4).

Plateosaurus engelhardti from Frick, Switzerland. Anterior cervical neural arch of a late juvenile. A-E: MSF 11.3.371 (C4) in A, left lateral view; B, dorsal view; C, ventral view; D, anterior view and E, posterior view. A partly preserved diapophysis on the right lateral side is visible. See text for abbreviations. Scale bars measure 1 cm.

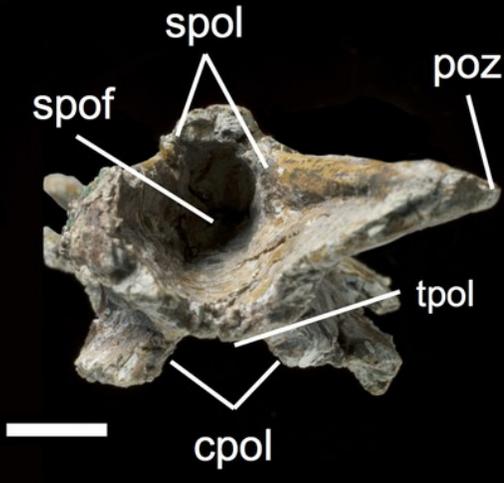
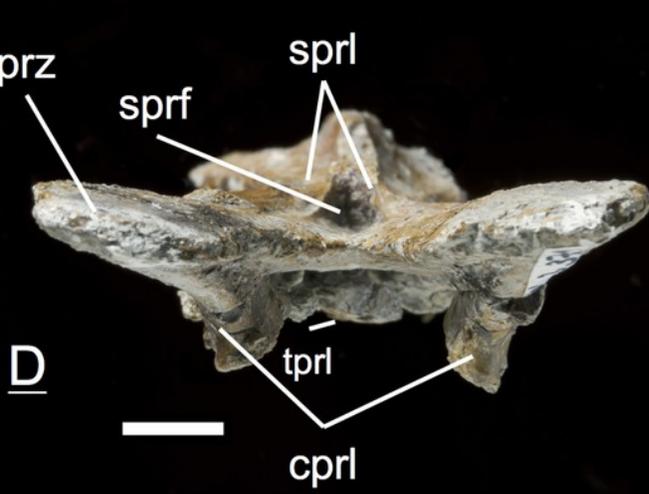
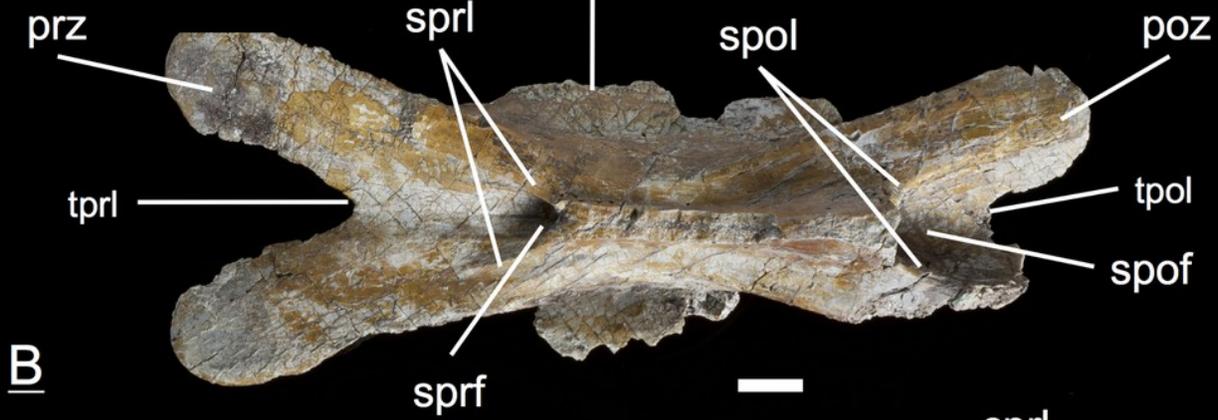
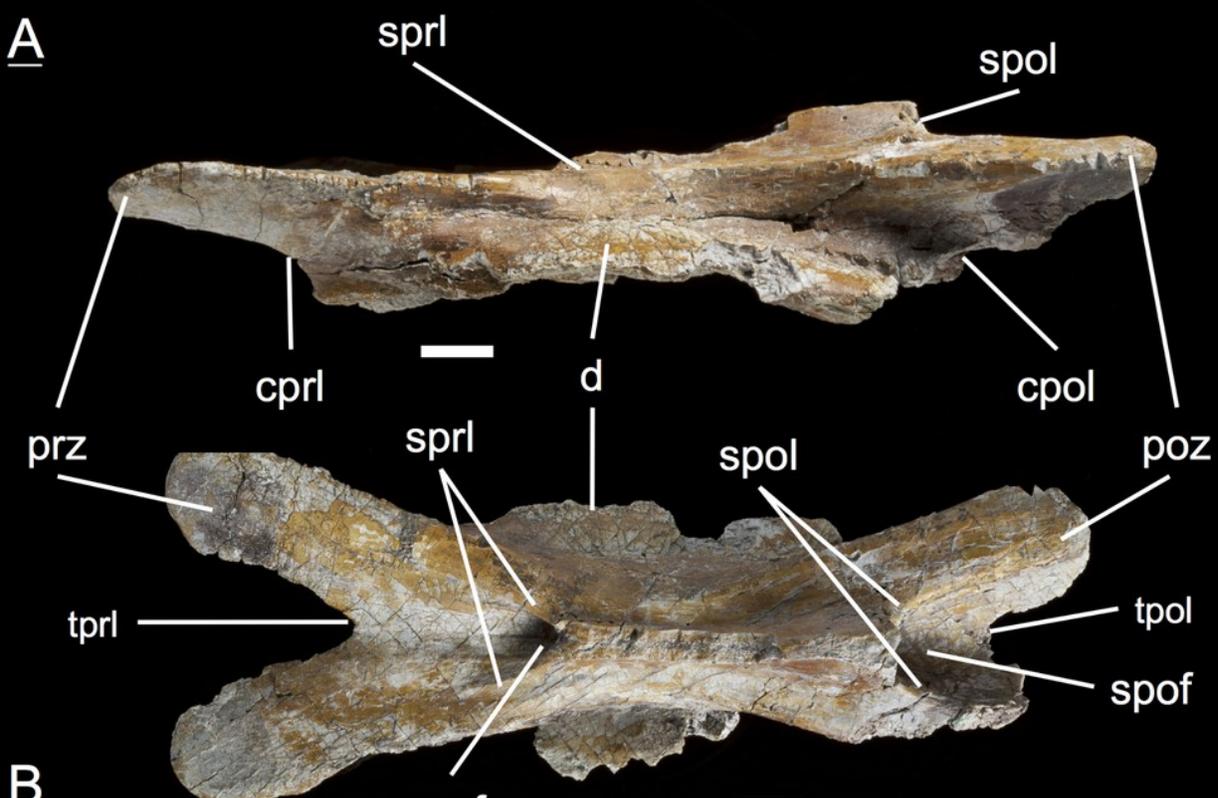
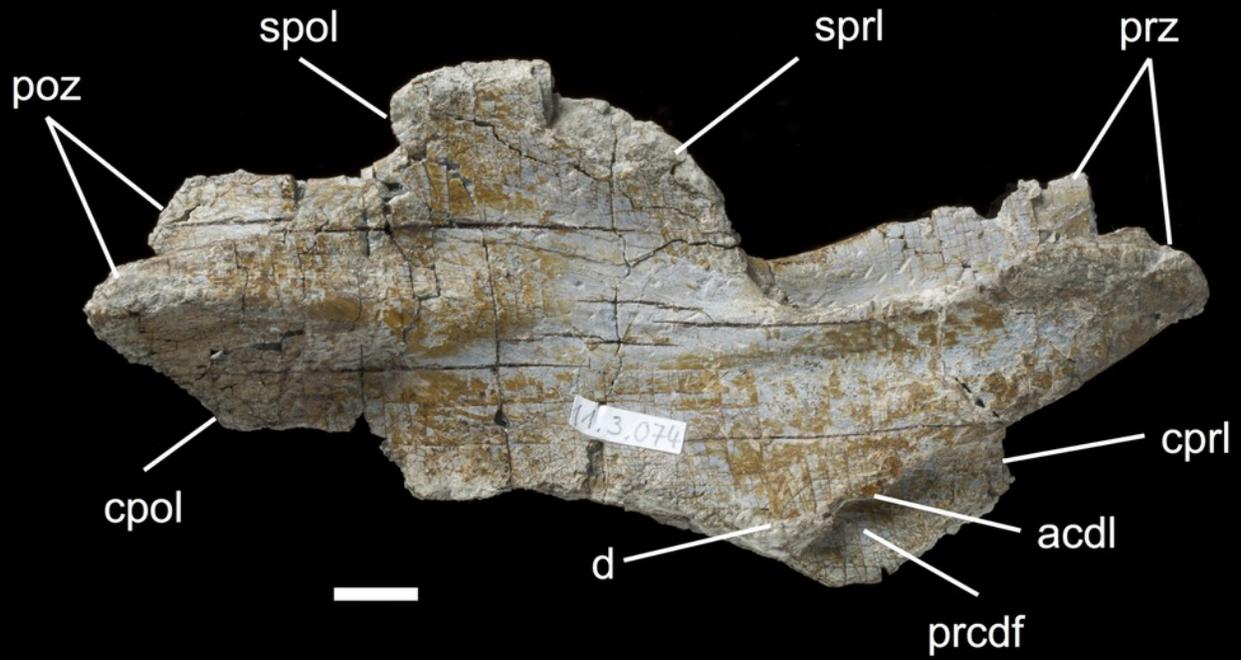


Figure 4

Specimen MSF 11.3.074 (C6).

Plateosaurus engelhardti from Frick, Switzerland. Posterior cervical neural arch of a late juvenile. A-B: MSF 11.3.074 (C6) in A, right lateral view and D, dorsal view. Articular facets of the prezygapophyses and postzygapophyses are rough, suggesting a cover by cartilage. The diapophyses is well developed. Specimen MSF 11.3.074 shows desiccation cracks in right lateral view all over on the neural arch. See text for abbreviations. Scale bars measure 1 cm.

A



B

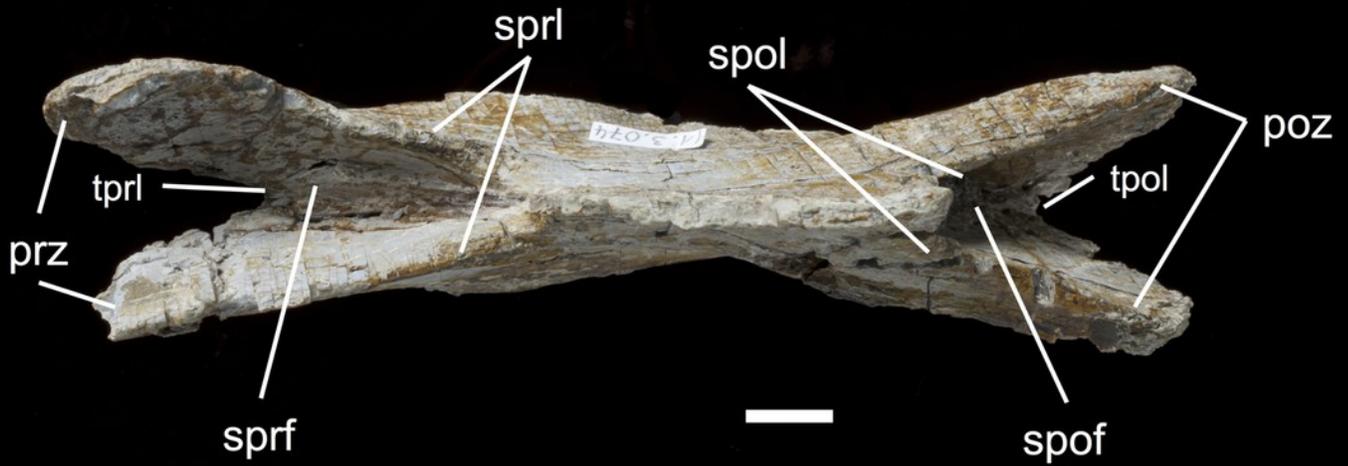


Figure 5

Specimen MSF 11.3.366 (C10).

Plateosaurus engelhardti from Frick, Switzerland. Posterior cervical neural arch of a late juvenile. A-B: MSF 11.3.366 (C10) in A, dorsal view and B, left lateral view. Specimen MSF 11.3.366 represents the cervicodorsal transition of posteriormost cervicals and anteriormost dorsals very well. Transverse processes (diapophyses and parapophyses) are changing in shape, size and function. Therefore all of the diapophyseal laminae and fossae are well developed. Dessication cracks are present in left lateral view on the prezygapophyses and postzygapophyses on both sides of the neural arch. See text for abbreviations. Scale bars measures 1 cm.

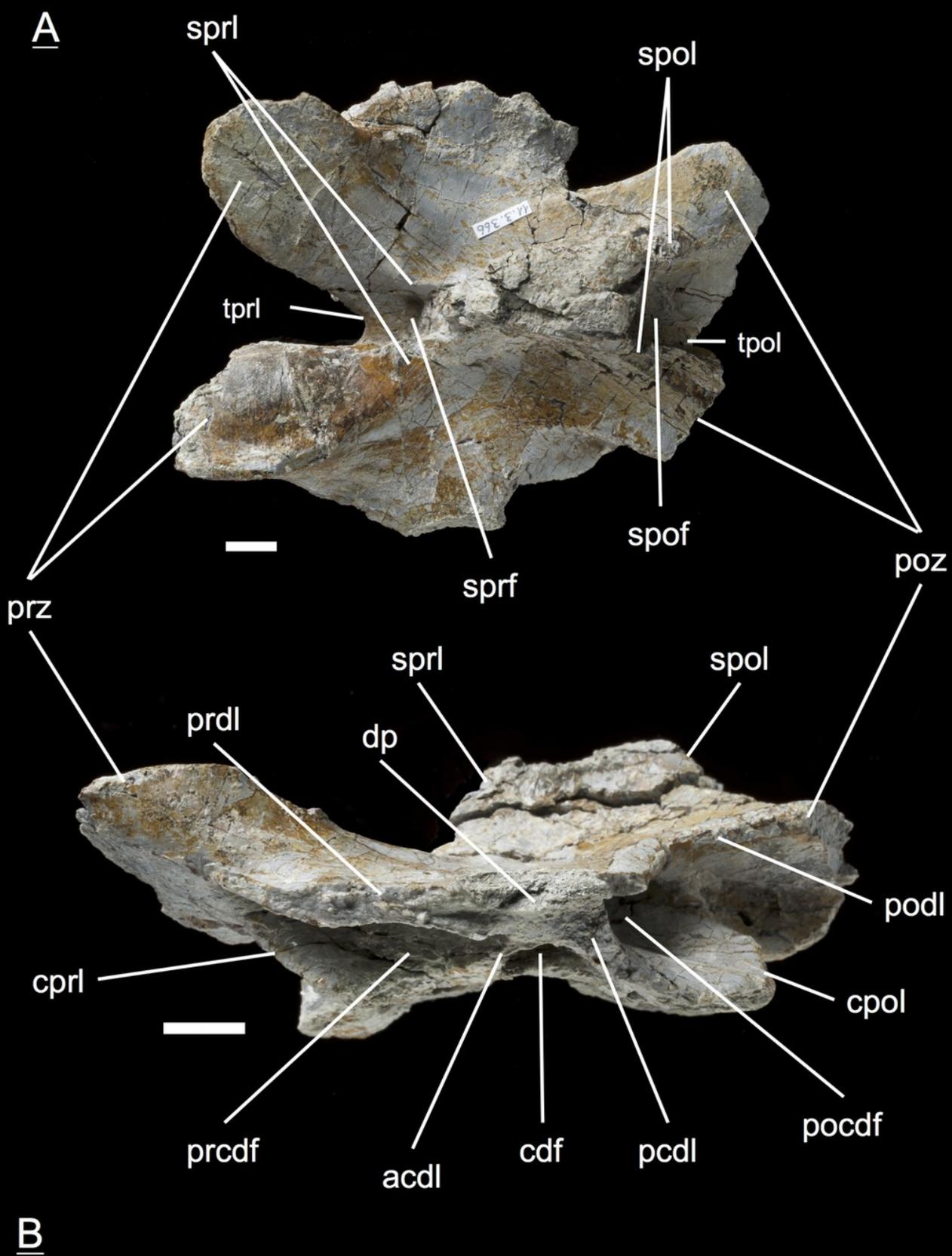


Figure 6

Specimen MSF 11.3.360 (D3).

Plateosaurus engelhardti from Frick, Switzerland. Anterior dorsal neural arch of late a juvenile. A-D: MSF 11.3.360 (D3) in A, right lateral view; B, dorsal view; C, anterior view and D, posterior view. Specimen MSF 11.3.360 has the shortest and thickest neural spine of all neural arches studied. Parapophyses articular facets slightly become visible. See text for abbreviations. Scale bars measure 1 cm.

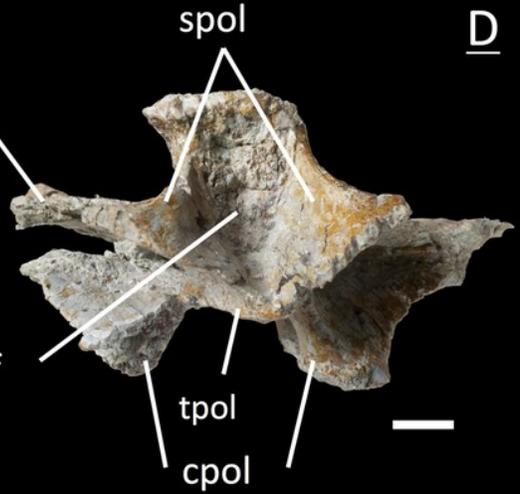
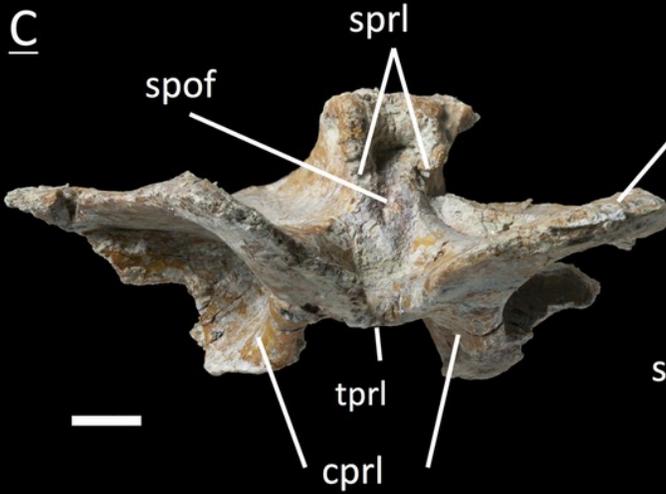
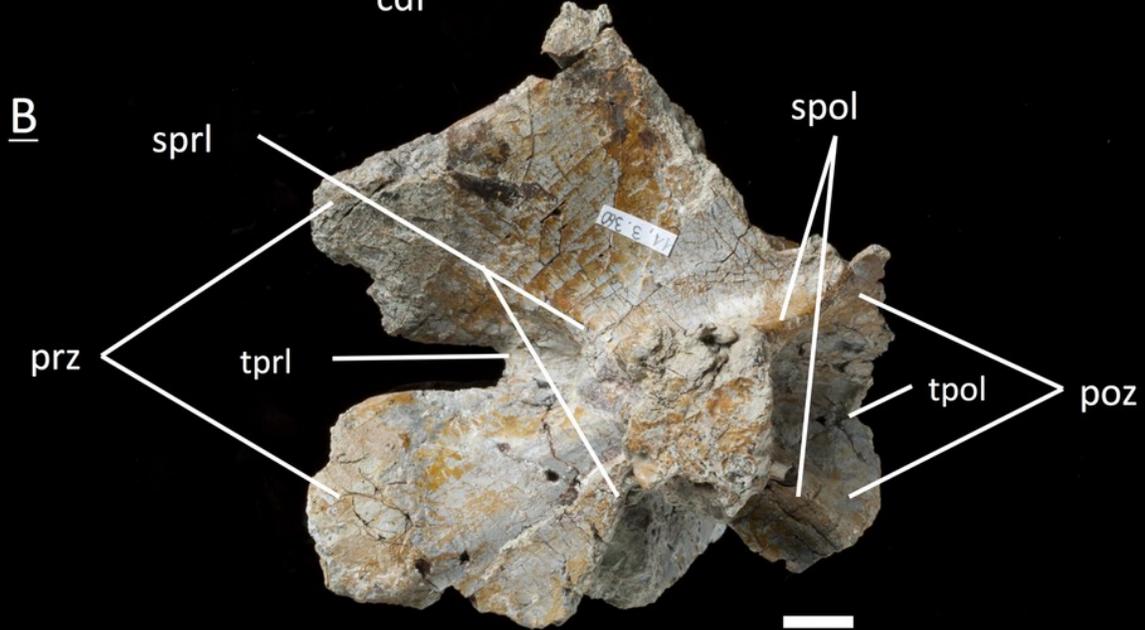
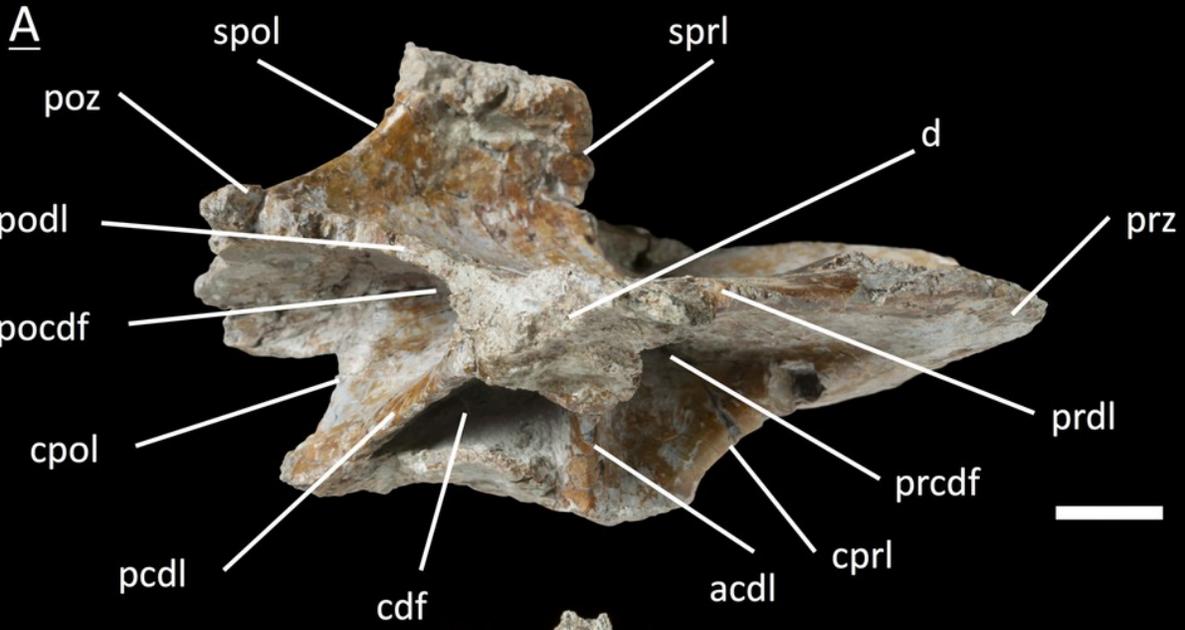


Figure 7

Specimen MSF 11.3.376 (D3).

Plateosaurus engelhardti from Frick, Switzerland. Anterior dorsal neural arch of a late juvenile. A-C: MSF 11.3.376 (D3) in A, right lateral view; B, dorsal view and C, posterior view. See text for abbreviations. This specimen shows the same diagnostic characters as specimen MSF 11.3.360 except for very well developed parapophyses articular facets. Scale bars measure 1 cm.

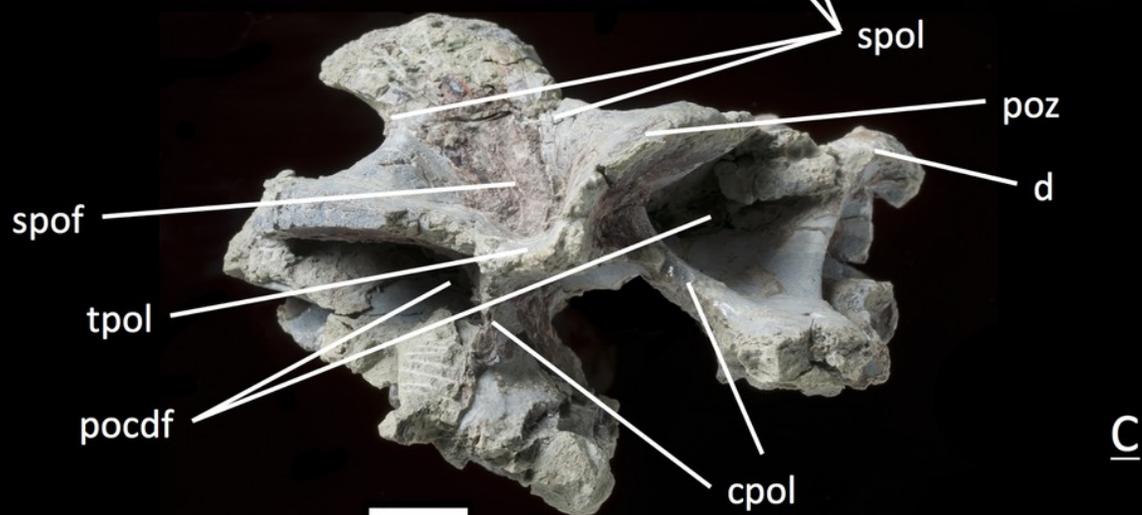
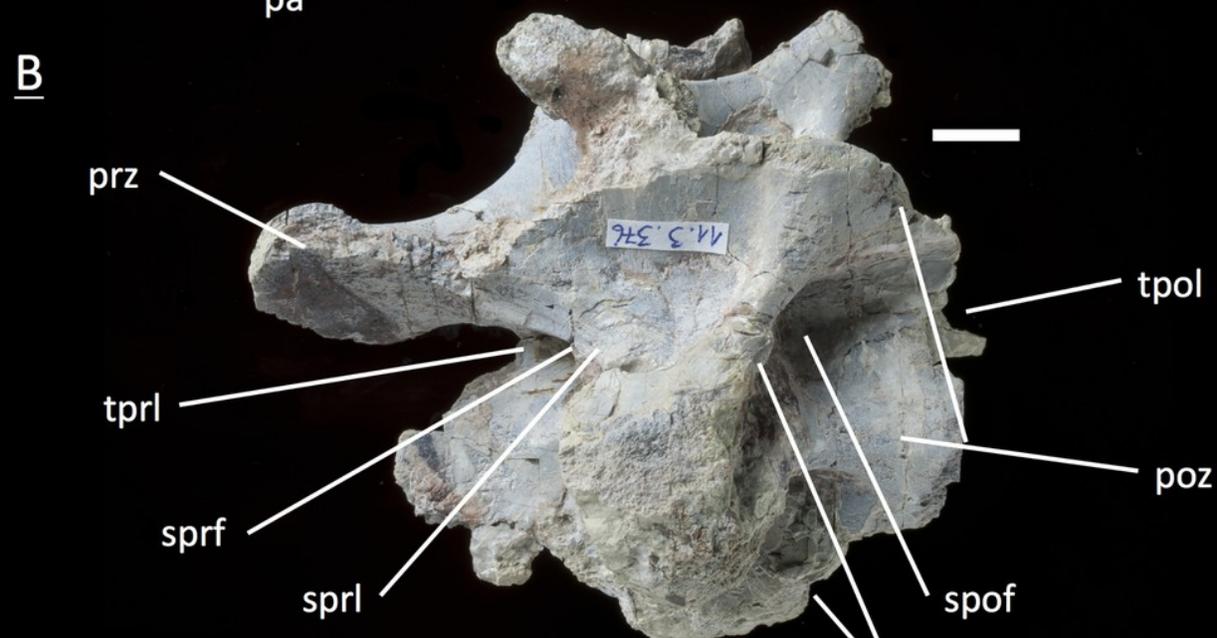
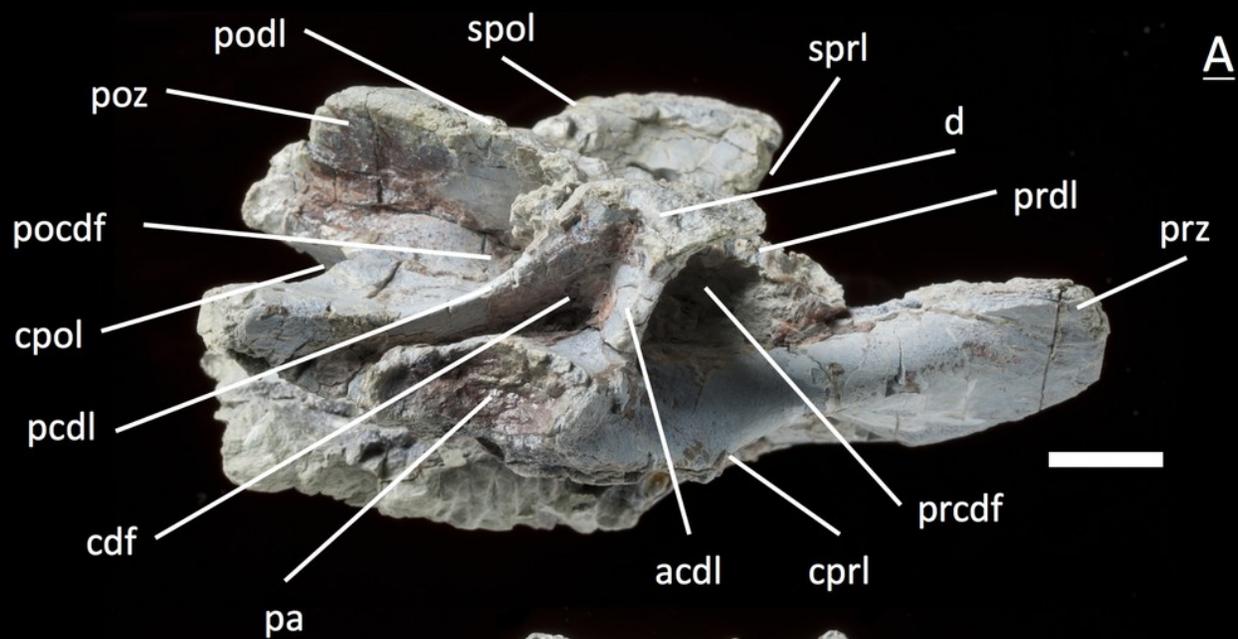


Figure 8

Specimen MSF 11.3.049 (D4).

Plateosaurus engelhardti from Frick, Switzerland. Anterior dorsal neural arch of a late juvenile. MSF 11.3.049 (D4) in A, right lateral view and B, dorsal view. No parapophyses are visible. All of the diapophyseal laminae and fossae are well developed. See text for abbreviations. Scale bars measure 1 cm.

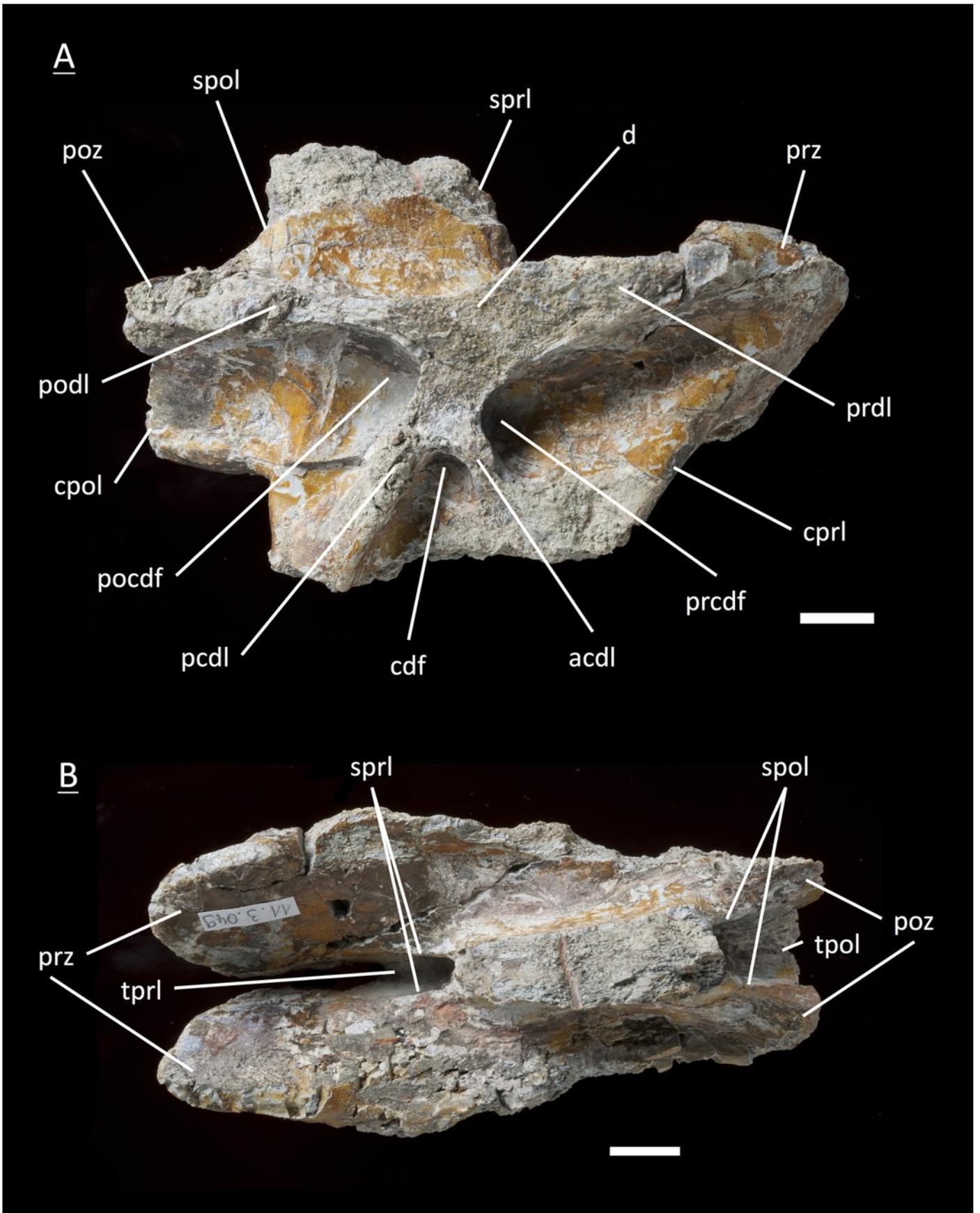
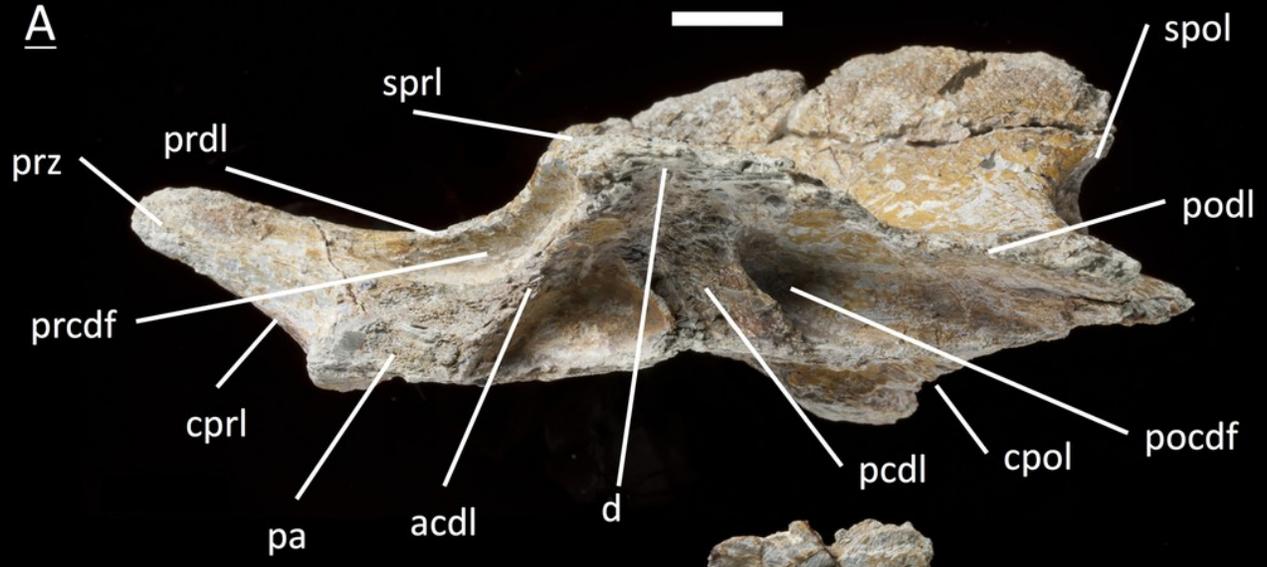


Figure 9

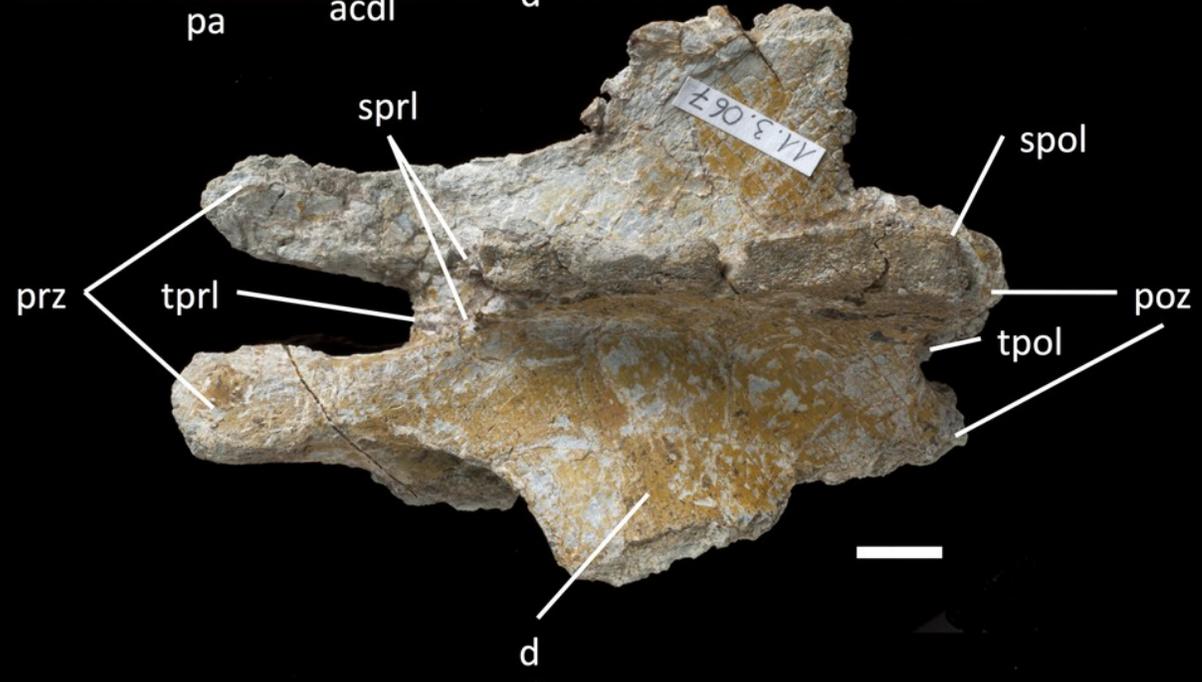
Specimen MSF 11.3.067 (D5).

Legend: *Plateosaurus engelhardti* from Frick, Switzerland. Anterior dorsal neural arch of a late juvenile. MSF 11.3.067 (D5) in A, left lateral view; B, dorsal view and C, ventral view. All laminae and fossae are still well developed, but the prcdf begins to decrease in size and extent due to the parapophysis articular facets moving upwards onto the neural. Specimen MSF 11.3.067 shows dessication cracks in dorsal view on the left lateral prezygapophysis and on the right lateral diapophysis. See text for abbreviations. Scale bars measure 1 cm.

A



B



C



Figure 10

Specimen MSF 11.3.167 (D5).

Plateosaurus engelhardti from Frick, Switzerland. Anterior dorsal neural arch of a late juvenile. MSF 11.3.167 (D5) in A, right lateral view; B, dorsal view and C, ventral view. On the neural arch of this specimen the parapophysis articular facet is well visible. The cdf contains crushed bone caused by crushing. Dessication cracks are present in dorsal view on the right lateral prezygapophysis and in ventral view on the pedicels on both sides. See text for abbreviations. Scale bars measure 1 cm.

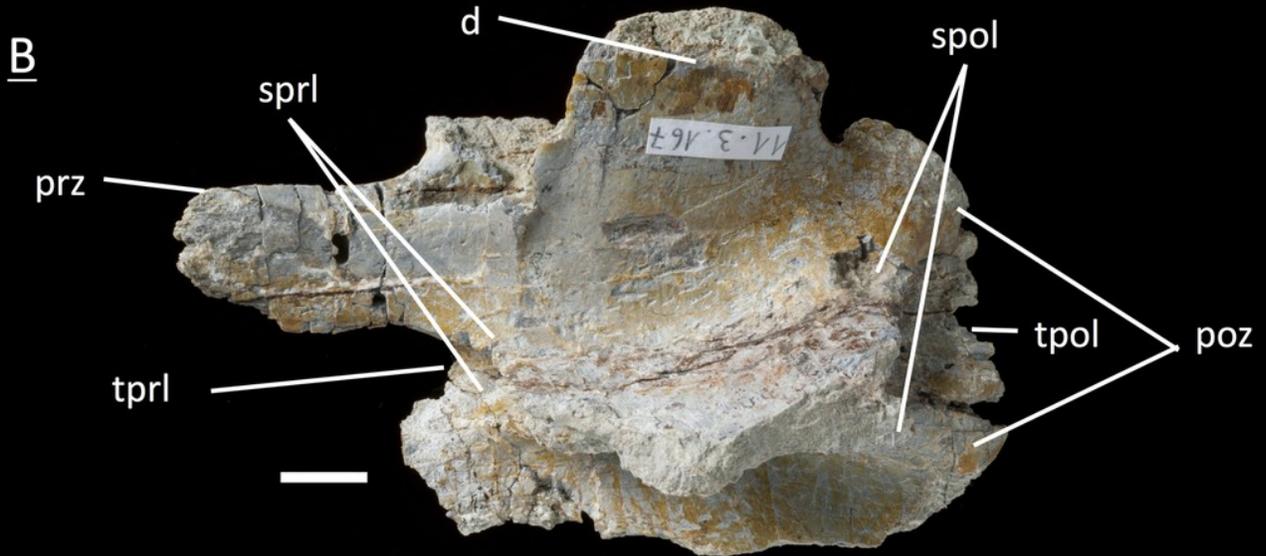
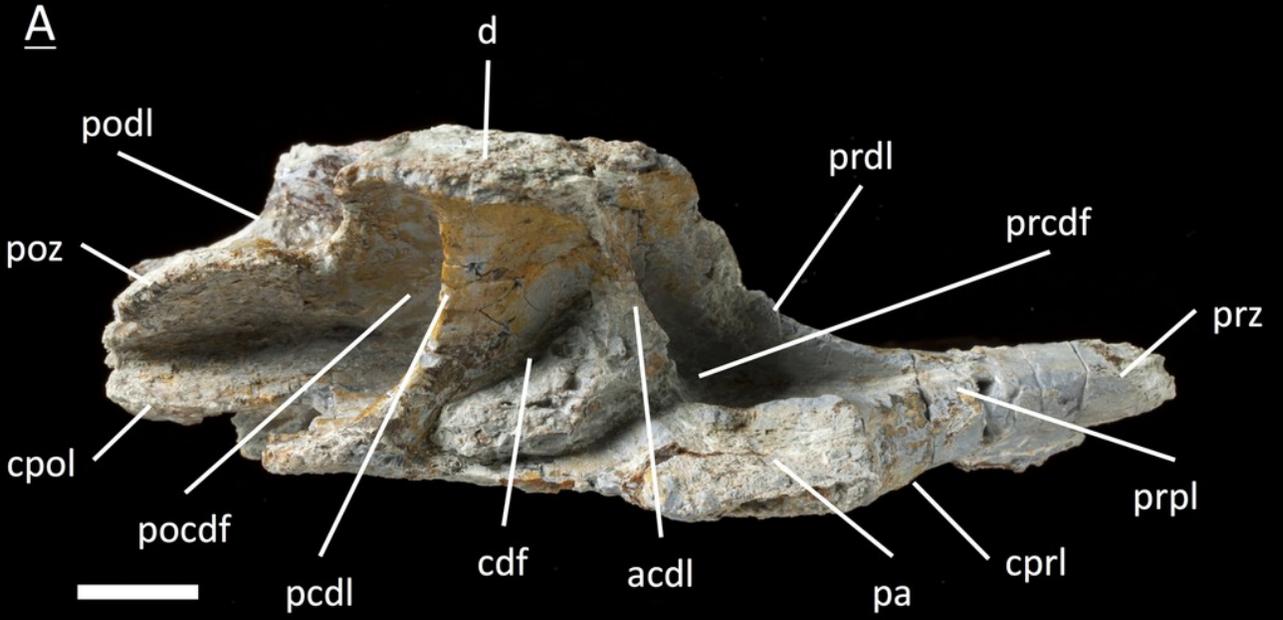


Figure 11

Specimen MSF 11.3.095 (D6).

Plateosaurus engelhardti from Frick, Switzerland. Middle dorsal neural arch of a late juvenile. MSF 11.3.095 (D6) in A, left lateral view; B, dorsal view and C, ventral view. The parapophysis articular facet displaces the acdl, giving rise to the ppdl, the acpl and prpl. Due to this change of laminae the prcdf becomes smaller in extent. Specimen MSF 11.3.095 shows dessication cracks in left lateral view, dorsal view as well as ventral view. See text for abbreviations.

Scale bars measure 1 cm.

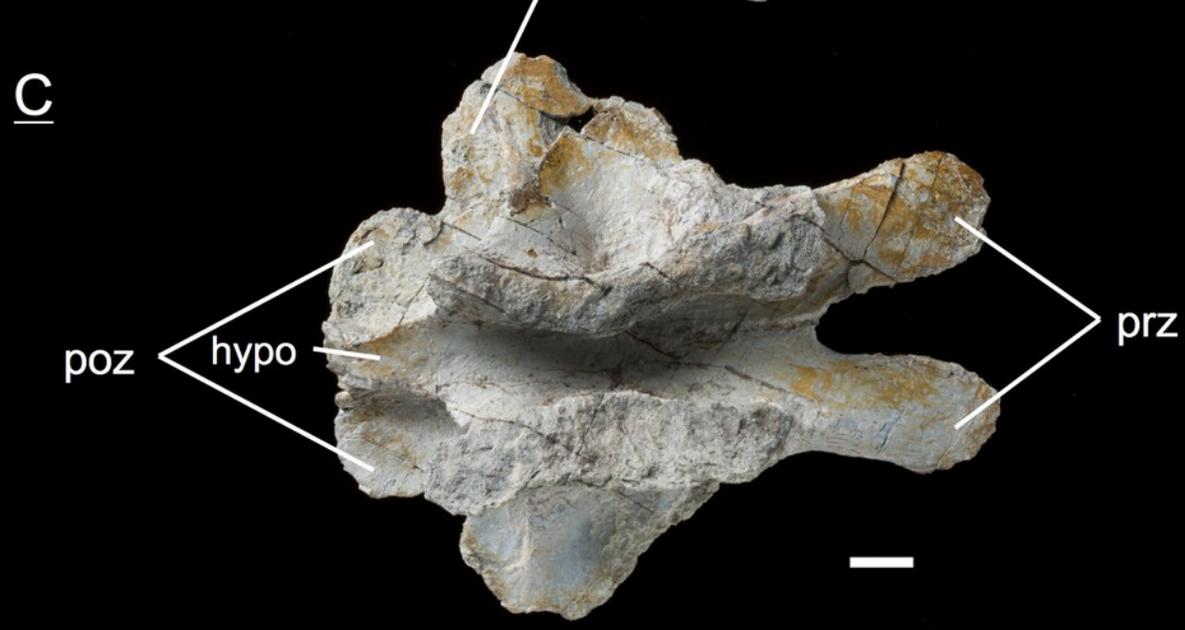
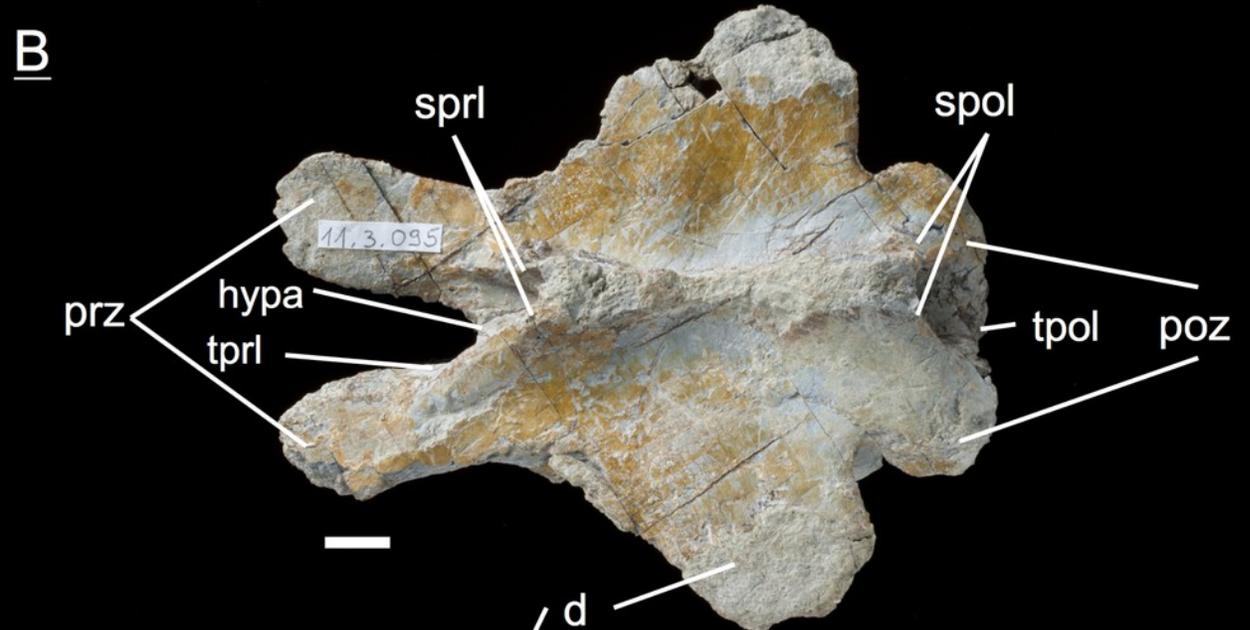
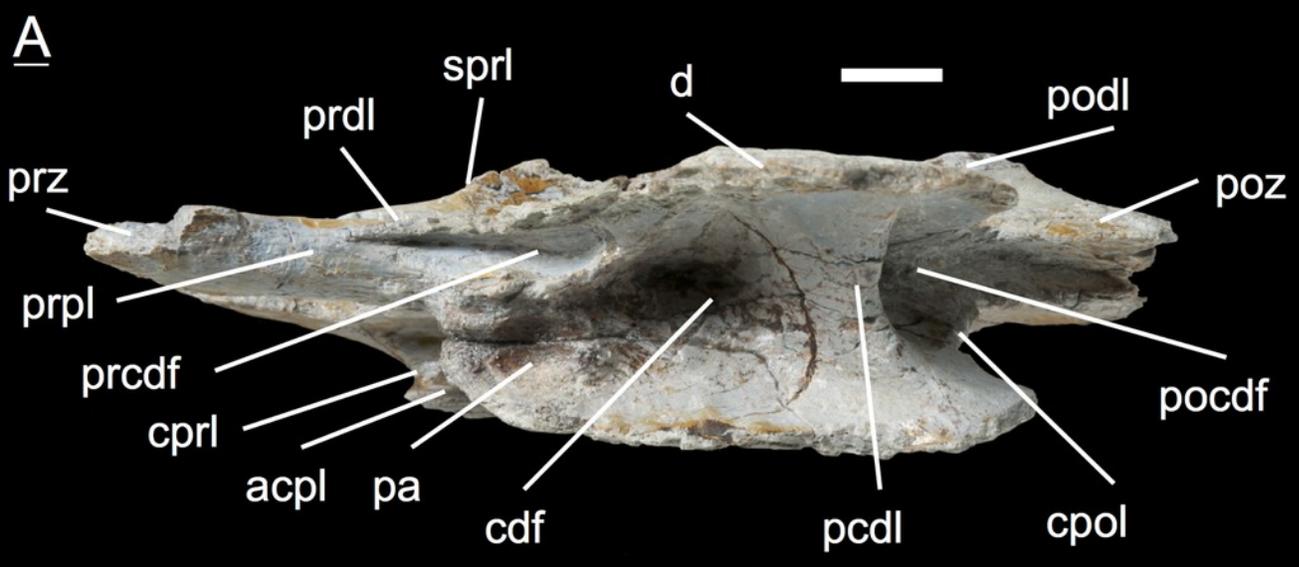


Figure 12

Specimen MSF 11.3.107 (D6).

Plateosaurus engelhardti from Frick, Switzerland. Middle dorsal neural arch of a late juvenile. MSF 11.3.107 (D6) in A, right lateral view; B, ventral view and C, posterior view. All of the characters found coincide with those of MSF 11.3.095. See text for abbreviations. Scale bars measure 1 cm.

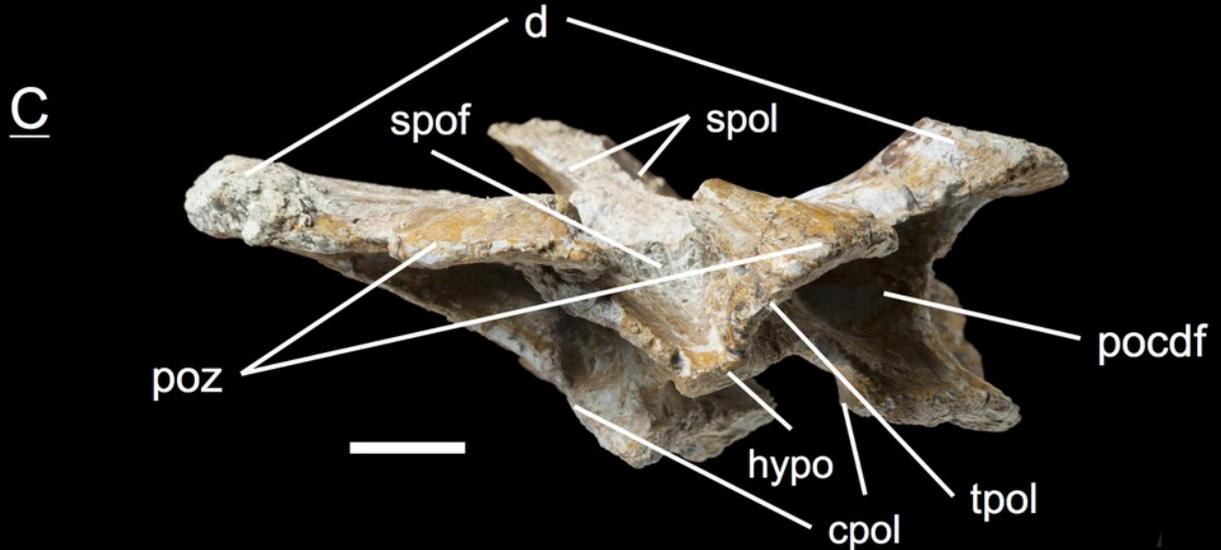
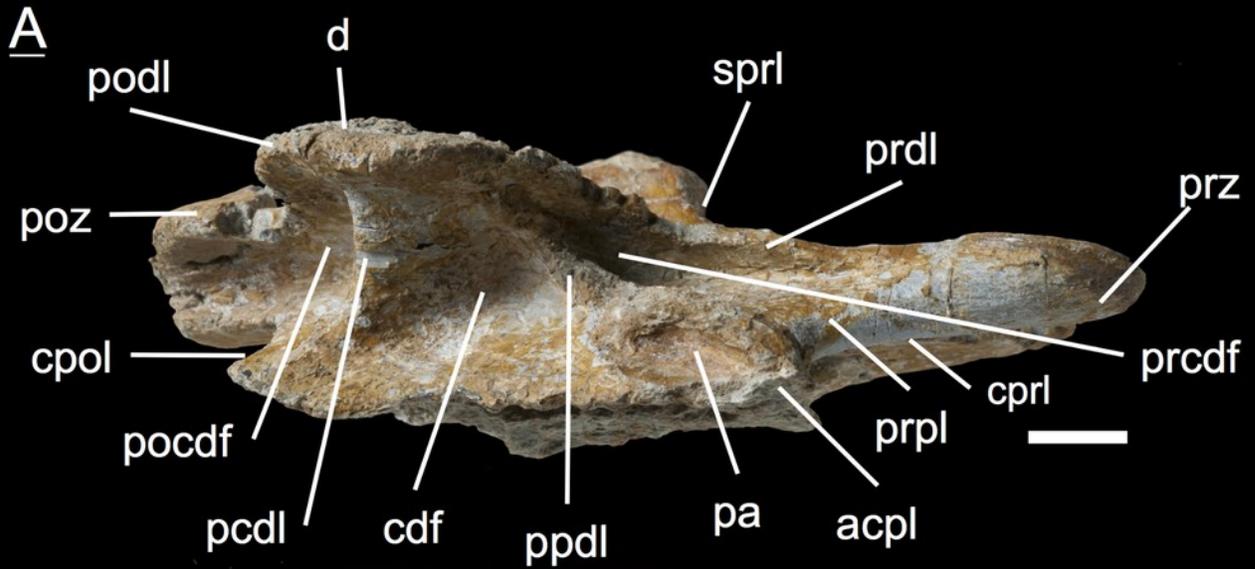


Figure 13

Specimen MSF 11.3.339 (D7).

Plateosaurus engelhardti from Frick, Switzerland. Middle dorsal neural arch of a late juvenile. MSF 11.3.339 (D7) in A, right lateral view; B, dorsal view and C, ventral view. The prcdf is extremely diminished in comparison to anterior dorsal neural arches. The articular surfaces of the prezygapophyses, postzygapophyses, and diapophyses display very rough articular surfaces once being covered by cartilage. The abrasion is an indicator of osteological immaturity. Specimen MSF 11.3.339 shows dessication cracks in ventral view on the left lateral prezygapophysis. See text for abbreviations. Scale bars measure 1 cm.

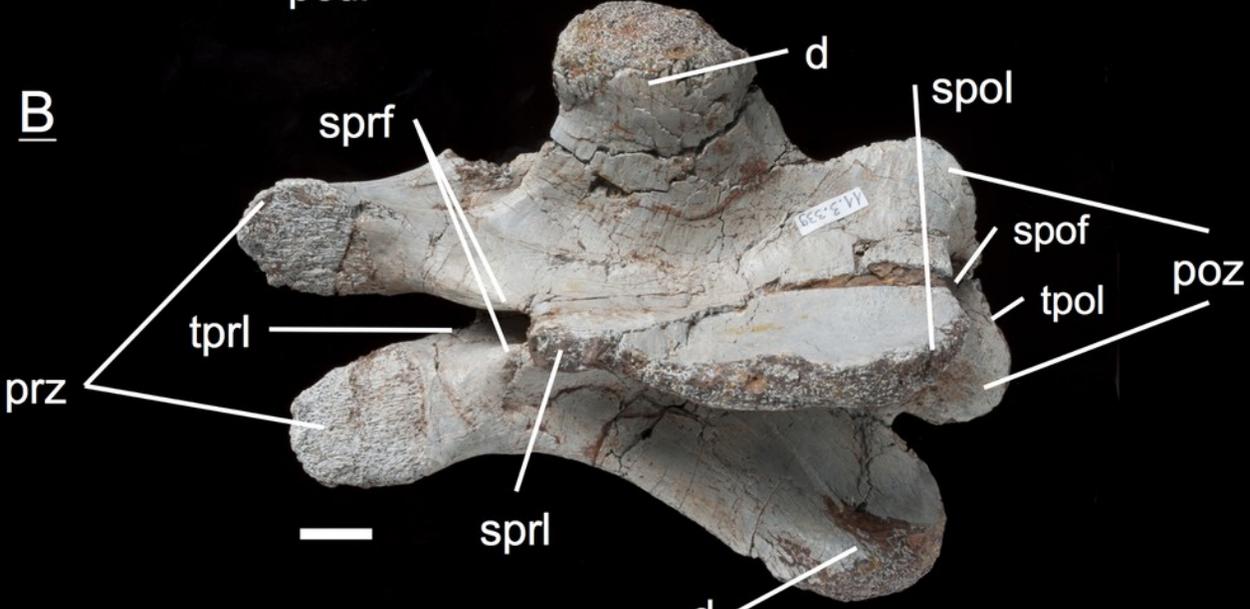
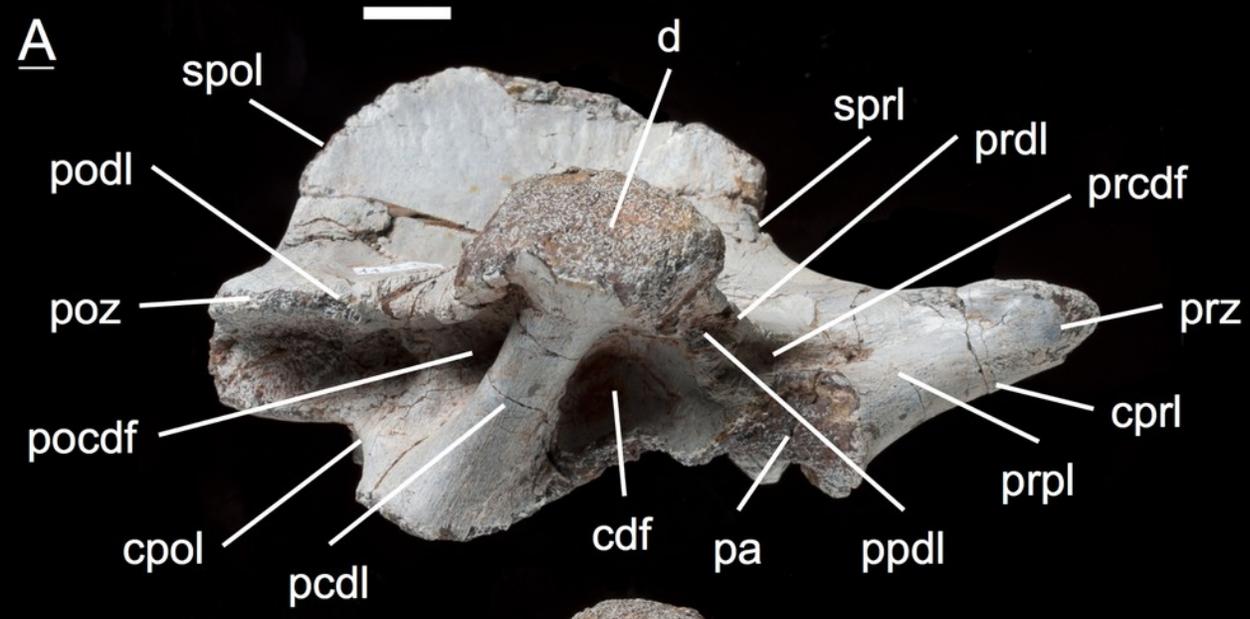


Figure 14

Specimen MSF 11.3.241 (D10/D11).

Plateosaurus engelhardti from Frick, Switzerland. Middle/Posterior dorsal neural arch of a late juvenile. MSF 11.3.241 (D10/D11) in A, right lateral view; B, dorsal view and C, ventral view. The diapophyses are broad and extensive. Only two diapophyseal fossae are present (cdf and pocdf). Hyposphene and hypantrum are very distinctive. See text for abbreviations. Scale bars measure 1 cm.

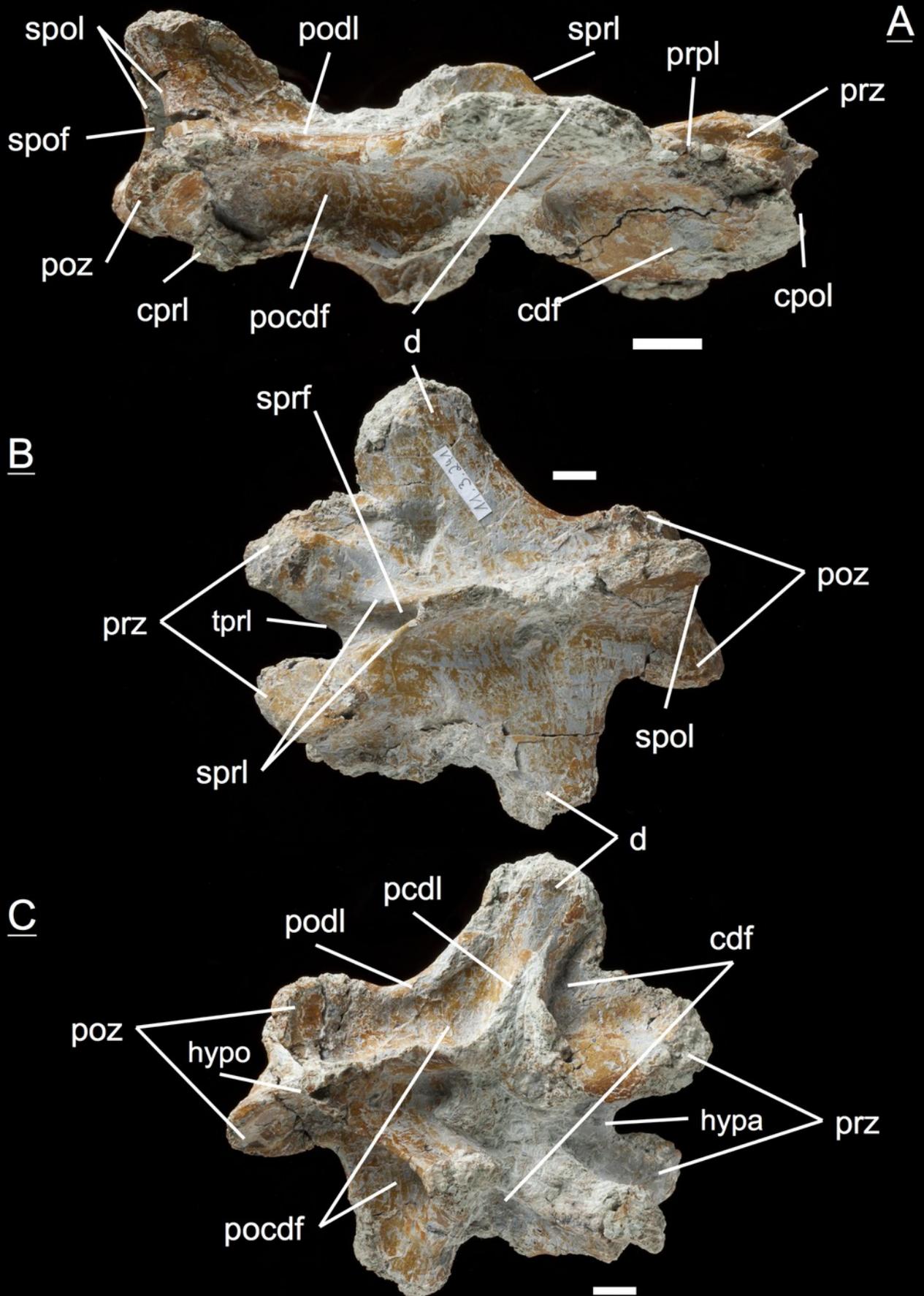


Figure 15

Specimen MSF 11.3.303 (D10/D11).

Plateosaurus engelhardti from Frick, Switzerland. Middle/Posterior dorsal neural arch of a late juvenile. MSF 11.3.303 (D10/D11) in A, right lateral view; B, dorsal view and C, ventral view. In ventral view a partly preserved posterior caudal vertebrae (MSF 11.3.304) is cemented to specimen MSF 11.3.303. See text for abbreviations. Scale bars measure 1 cm.

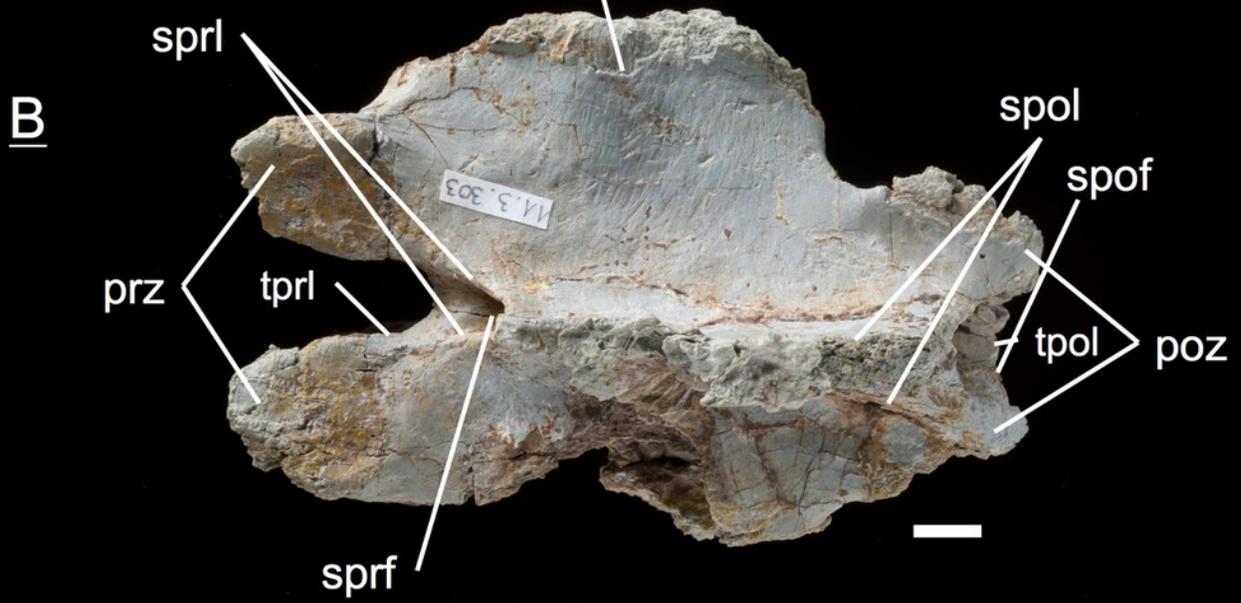
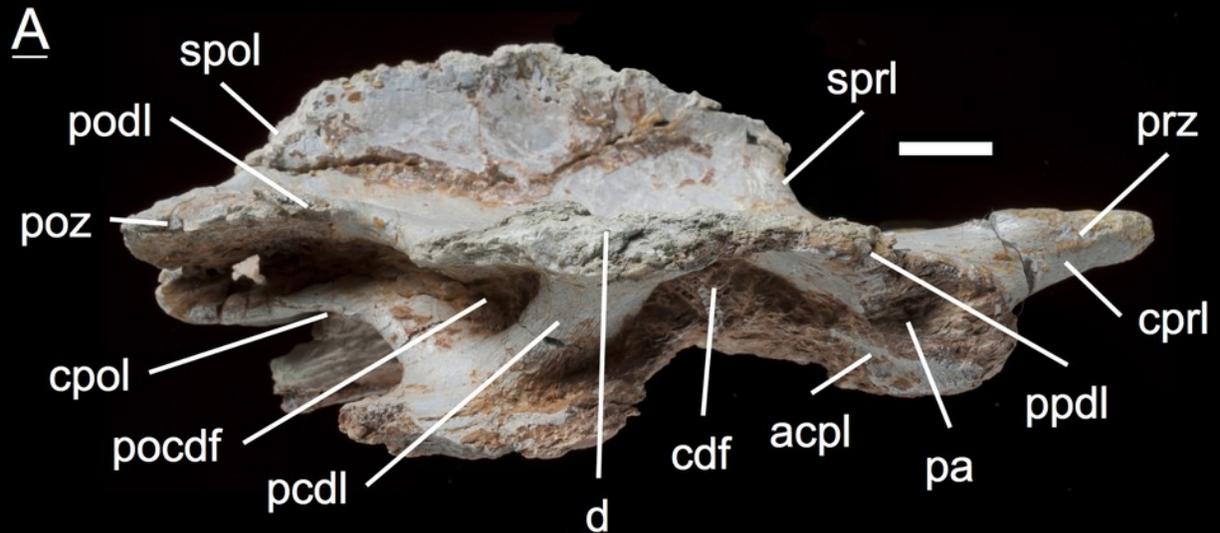


Figure 16

Zygapophyseal lengths in the vertebral column of specimen MSF 11.3., MSF 5B, MSF 23 and SMNS 13200.

The zygapophyseal lengths of all specimens follow a distinct pattern throughout the vertebral column. The zygapophyseal lengths show a sharp increase in the anterior cervical series. The posterior cervicals decrease in length reaching their minimum length at the third dorsal neural arch. Afterwards they increase at a much lower rate than in the anterior cervical series. Specimen SMNS 13200 with the greatest femur length out of all specimens studied, also shows greater zygapophyseal lengths. The juvenile MSF 11.3. specimens generally show a zygapophyseal length placed below of those from the mature specimens and only intervene with those of MSF 23 at some positions in the posteriormost cervical series.

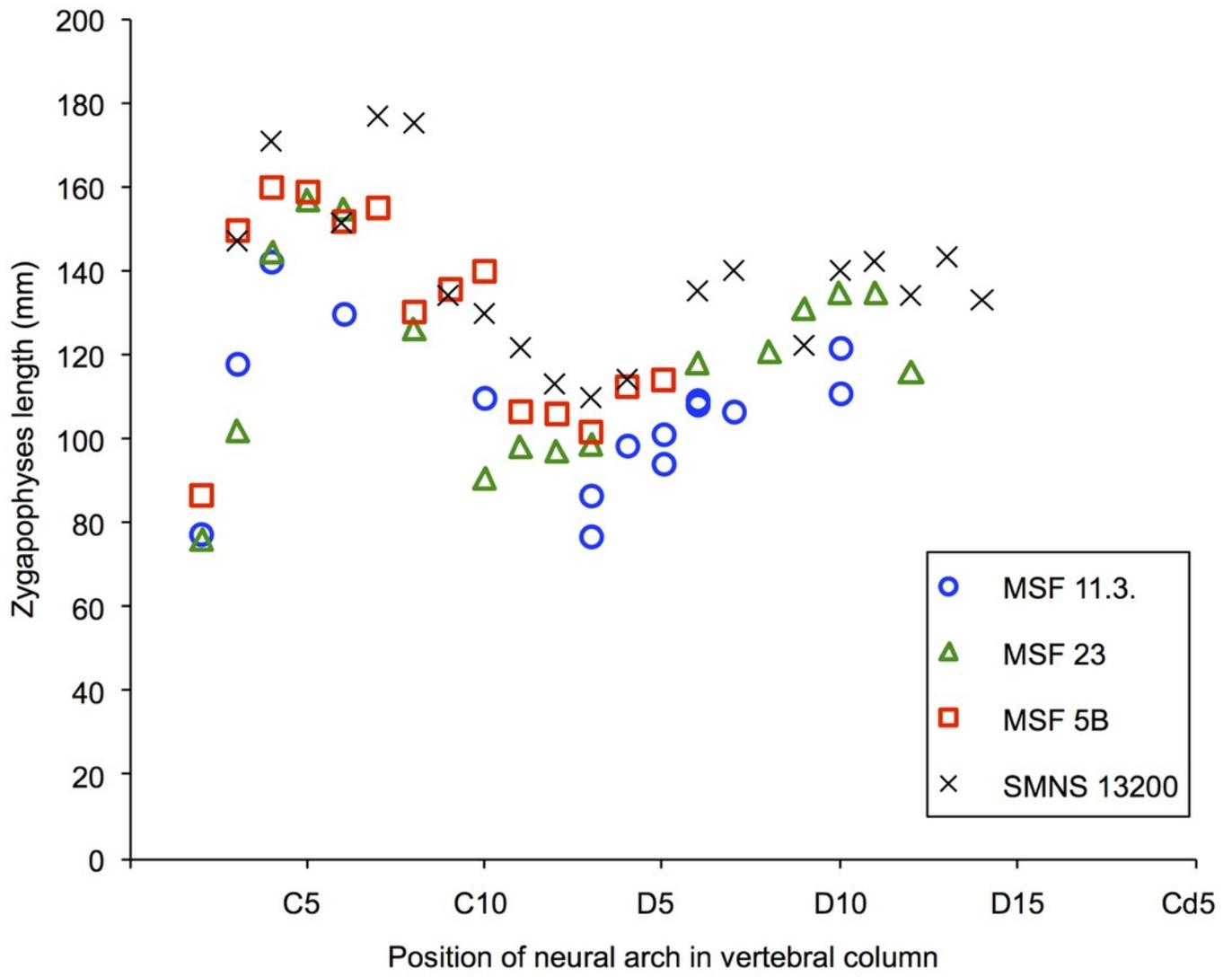


Figure 17

Size and maturity stage corroborating developmental plasticity.

The femur lengths of the juvenile specimens of bone field 11.3. (blue) have been combined with the femur lengths of osteologically mature specimens studied: MSF 5B (red/black), MSF 23 (green/black) and SMNS 13200 (gray/black); and the femur lengths of histologically mature specimens (black) from Sander & Klein (2005). The femur length range of the juveniles has been divided up into 10 mm intervals to make it more practicable in the diagram. The column diagram clearly shows the juvenile specimens and mature specimens merging into one another. The striking outlier of the whole diagram is specimen IFG with a remarkable great femur length of 990 mm. Nevertheless the diagram illustrates poor correlation between age (maturity) and size. Developmental plasticity is supported by histology as well as morphology.

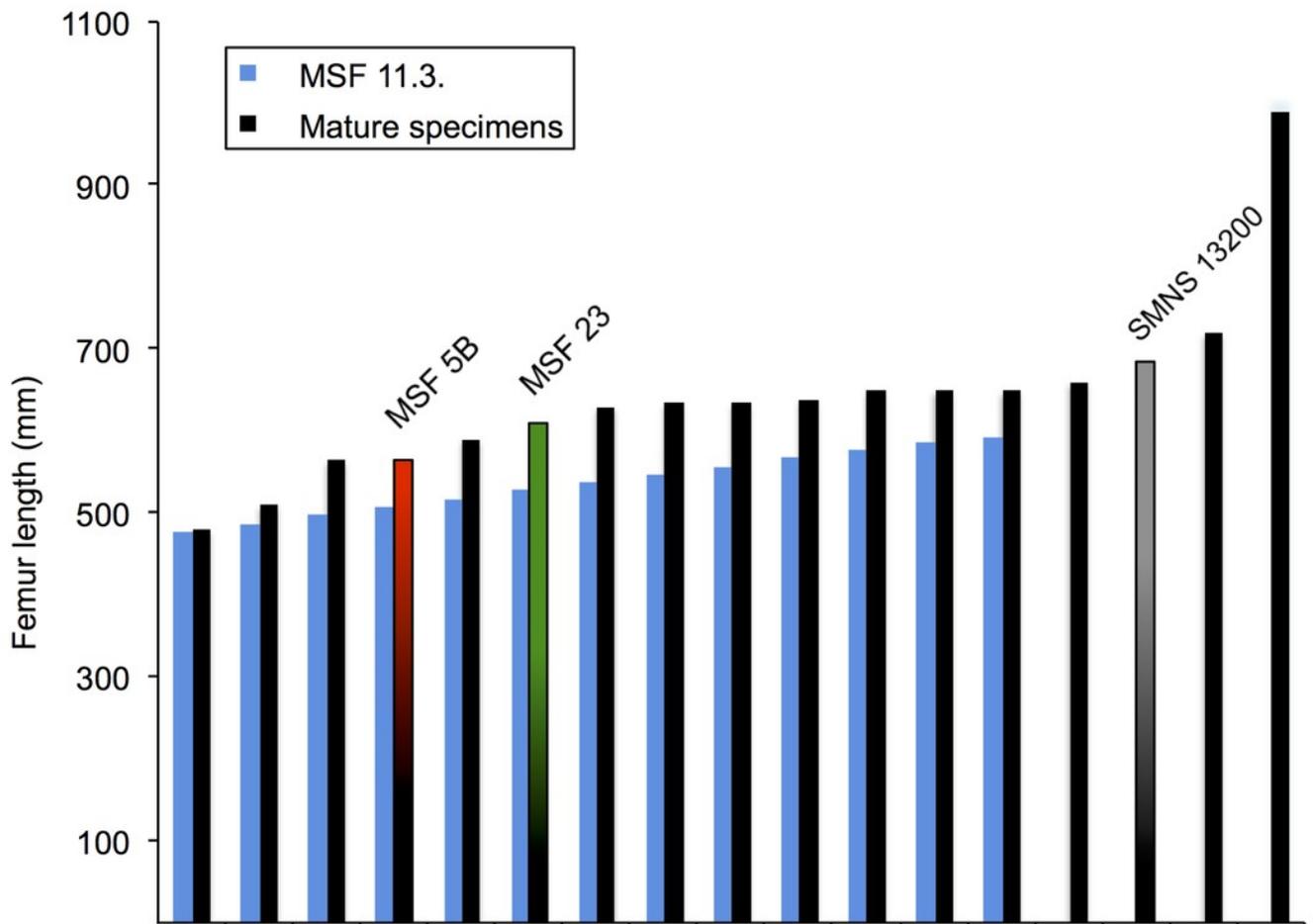


Figure 18

Caudal vertebra MSF 11.3.348.

MSF 11.3.348 is one of the caudal vertebrae in left lateral view found on bone field 11.3. The only morphological characters being present are the pre- and postzygapophyses. The neurocentral suture is completely closed as indicated by the dashed line drawn. The whole caudal is interveined with dessication cracks. The scale bar measures 1 cm.

