

1 Comparison of pathological characteristics between self-detected and screen-detected invasive
2 breast cancers in Chinese women: a retrospective study

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23 **Abstract**

24 **Background:** In China, there is insufficient evidence to support that screening programs can
25 detect breast cancer earlier and improve outcomes compared with patient self-reporting.
26 Therefore, we compared the pathological characteristics at diagnosis between self-detected and
27 screen-detected cases of invasive breast cancer ~~in Tianjin, China~~at our institution, and
28 determined whether these characteristics were different ~~from before to~~ after the program's
29 introduction (versus[vs] prior to).

30 **Methods:** Three databases were selected (breast cancers diagnosed in 1995–2000, 2010, and
31 2015), which provided a total ~~sample of~~ 3,014 female patients with invasive breast cancer. The
32 ~~g~~Cases were divided into self-detected and screen-detected group. The pathological
33 characteristics were compared between the two groups and multiple imputation and complete
34 randomized imputation were used to deal with missing data.

35 **Results:** Compared with patient self-reporting, screening was associated with the following
36 ~~benefits~~factors: a higher percentage of stage T1 tumors (75.0% vs 17.1%, $P = 0.080$ in 1995–
37 2000; 66.7% vs 40.4%, $P < 0.001$ in 2010; 67.8% vs 35.7%, $P < 0.001$ in 2015); a higher
38 percentage of tumors with stage N0 lymph node status (67.3% vs 48.4%, $P = 0.014$ in 2010); and
39 a higher percentage of histologic grade I tumors (22.9% vs 13.9%, $P = 0.017$ in 2010).

40 **Conclusions:** Screen-detected breast cancer was associated with a greater number of favorable
41 pathological characteristics. However, although screening had a beneficial role in early detection
42 in China, we found fewer patients were detected by screening in this study compared with those
43 in Western and Asian developed countries.

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47 1. Introduction

48 Breast cancer has become the major cause of death in Chinese women [1, 2]. According to
49 Chinese urban cancer registries, the overall incidence of breast cancer has increased at a rate of
50 2%–5% annually, with a peak incidence at an age of approximately 50 years [3–5, 2]. Early
51 tumor detection, before symptoms appear, could significantly improve survival [6–9].

52 The National Health and Family Planning Commission of the People's Republic of China
53 organized a three-year breast cancer screening program for women aged 35 to 69 years between
54 2009 and 2011, with a second phase of screening launched in 2012 [5]. The first phase of the
55 program screened 1.2 million women and detected 440 cases with early-stage lesions, giving a
56 diagnostic rate of 48.0 per 100,000 women [5]. Concurrently, in 2009, the All-China Women's
57 Federation and the National Health and Family Planning Commission organized a screening
58 program that offered free screening for breast and cervical cancer to women in rural China. As of
59 2014, about 48.35 million women in rural China had received free tests since the program's
60 inception. The guidelines for breast cancer screening in China, which were first published in
61 2007 and updated in 2015, recommend ~~only~~ that only the women at average risk of breast cancer
62 undergo opportunistic screening mammography combined with clinical breast examination
63 starting at age 40 years [10]. Zhu et al reported the progress of prognosis in women with breast
64 cancer during the past 40 years [11]. This article was aimed to observe whether the distribution
65 of pathological characteristics at diagnosis had differed since the introduction of limited
66 screening programs.

67 Studies worldwide have indicated that screen-detected patients have more favorable survival
68 outcomes compared with the patients ~~that present~~ with self-discovered breast cancer ~~symptoms~~
69 (i.e., self-detected cancer) [12–14]. Screen-detected cancers tend to be of a smaller size, to have

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better differentiation, and to be at an earlier stage [15]. In a study carried out in a private hospital in Hong Kong, patients with screen-detected breast cancer had greater numbers of favorable pathological characteristics than a self-detected group [16]. Therefore, the second aim of this study was to compare the pathological characteristics ~~of the between patients with~~ self-detected (symptomatic) and screen-detected (asymptomatic) invasive breast cancer in Tianjin, China.

2. Materials and Methods

2.1 Information of database and subjects

This was a retrospective cohort study conducted at the Tianjin Medical University Cancer Institute and Hospital. Since 1995, all cases of breast cancer treated in this hospital have been recorded in a structured database. We identified cases for 1995–2000 (paper documentation), 2010 (half paper and half electronic documentation), and 2015 (electronic documentation), taking care to exclude those cases with ductal carcinoma in situ and bilateral breast cancer. The study was approved by the Ethics Committee in Tianjin Medical University Cancer Institute and Hospital.

2.2 Data extraction

Clinical histories and pathological characteristics were obtained from the three databases by two authors individually (Zhang Q. and Ding L.), including the age of patients at initial diagnosis and the cancer detection method. Different records between authors were re-checked. Pathological characteristics included tumor size staging and lymph node staging and histologic grade based, respectively, on the tumor-node-metastasis classification system of the American Joint Committee on Cancer [17] and the World Health Organization classification of tumors [18].

2.3 Methods of detection

Cases were divided into two groups, based on method of detection: a self-detected group and

a screen-detected group. Patients in the screen-detected group were primarily screened by population-based or opportunistic screening with mammography, ultrasound, or clinical breast examination. Patients in the self-detected group were defined as those with obvious clinical symptoms at presentation, including nipple discharge, pain, a palpable axillary lump, a palpable breast lump, or a combination of those symptoms.

2.4 Statistical analysis

Descriptive statistics were used to show the demographic and pathological characteristics of the patients. Pearson's chi-square or Fisher's exact test was used to analyze categorical variables, and the Mann-Whitney U test was used to analyze ordinal variables. When comparing pathological differences between the two groups, stepwise binary logistic regression model was used to adjust tumor size stage, node lymph stage, histologic grade and age. The null hypothesis was that there would be no significant difference between variables. A significance level of 0.05 was used for two-tailed P values.

2.5 Techniques of dealing with missing data

To maximize the likelihood of comparability and equivalence, four methods were used to deal with missing data based on a missing-at-random assumption. These were as follows: (A) multiple imputation by chained equation (five times) (by R Project, version 3.3.2) [19, 20], with age group, T stage, N stage, histologic grade and detection modes included into multivariate regression model; (B) complete randomized imputation (five times), stratified by year [21]; (C) arbitrarily replacing all missing values for the detection methods into the self-detected mode and deleting other missing values in the group; (D) arbitrarily replacing a missing mode of detection into the screen-detected mode and deleting other missing values in the group.

3. Results

3.1 Pathological characteristics of breast cancer patients

We identified 1,086, 1,053, and 1,047 female cases from databases in 1995–2000, 2010, and 2015, respectively. From these, we excluded 172 women with ductal carcinoma in situ or bilateral breast cancer. The final study therefore included 3,014 cases of invasive breast cancer: 1,060 in 1995–2000, 946 in 2010, and 1,008 in 2015. The median (range) ages at presentation were 48.0 (19–80) years in 1995–2000, 51.0 (22–82) years in 2010, and 52.0 (18–82) years in 2015. The general pathological characteristics of the cancers, including T stage, N stage, and histologic grade, are shown in Table 1 for each period.

3.2 Pathological differences between the self-detected and screen-detected groups

The screen-detected group had a higher proportion of stage T1 tumors than the self-detected group in each database (75.0% vs 17.1%, $P = 0.081$ in 1995–2000; 66.7% vs 40.4%, $P < 0.001$ in 2010; and 67.8% vs 35.7%, $P < 0.001$ in 2015) (Table 2 and Fig.1-a). The proportion with negative lymph nodes (N0) was also slightly higher in the screen-detected group than in the self-detected group in each database (50.0% vs 47.4%, 67.3% vs 48.4%, and 55.2% vs 48.5% in 1995–2000, 2010, and 2015, respectively), although statistical significance was only reached for 2010 ($P = 0.014$) (Table 2 and Fig.1-b). The percentages of histologic grade I tumors were significant higher in screen-detected group than that in self-detected group (22.9% vs 13.9%, $P = 0.017$ in 2010) (Table 2 and Fig.1-c). After adjusting tumor size stage, lymph node stage, histologic grade and age distribution, screen-detected group had smaller tumor size than the self-detected group in 2010 (OR [95%CI]: 0.517, [0.287, 0.930], $P = 0.028$) and 2015 (OR [95%CI]: 0.330, [0.187, 0.583], $P < 0.001$), and had lower histologic grade in 2010 (OR [95%CI]: 0.547, [0.305, 0.982], $P = 0.043$). The age distribution showed no significant difference between self-detected and screen-detected group (Table 2 and Fig.2).

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142 4. Discussion

143 In this study, we retrospectively compared the differences in pathological characteristics
144 between self-detected and screen-detected breast cancers. The proportion of cases identified by
145 the screening program increased significantly ~~from before~~ ~~to after~~ the introduction of screening.
146 The screen-detected group had smaller tumor sizes and tended to have less lymph node
147 involvement and lower histologic grades compared with the self-detected group.

148 The coverage of the breast cancer screening remains low in Chinese population. From 2009
149 to 2011, a breast cancer screening program, which was launched by the Chinese Anti-Cancer
150 Association with the permission of the Chinese government, only covered 1.46 million women
151 and only 631 with breast cancer [5]. As of 2014, the total number of screened women had risen
152 to 48.35 million, but this still accounts for less than 5% of the population. Another possible
153 explanation for the low percentage of screen-detected cancer may relate to the theory and
154 technology underpinning existing screening programs and guidelines, typically relying on a lack
155 of indigenous studies. Moreover, the Chinese guidelines for breast cancer screening were not
156 published by the Chinese Anti-Cancer Association Breast Cancer Society until 2007 [22] and
157 have been updated four times over the last decade. These guidelines recommend that women at
158 average risk of breast cancer only undergo opportunistic screening mammography. However,
159 ultrasound and parallel clinical breast examination are the primary screening tools in second-
160 generation screening programs [5].

161 Consistent with the findings of previous studies from Japan, Singapore, Korea, and some
162 Western countries, we confirmed the benefits of screening when seeking to detect breast cancer
163 at an early stage [13–15, 23, 24]. Specifically, we identified the prognostic advantages, based on
164 pathological findings at diagnosis, for asymptomatic patients with screen-detected cancers.

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165 Comparable to our results (66.7%–75.0% vs 17.1%–40.4%), higher proportions of screen-
166 detected patients were reported to have stage T1 cancer compared with self-detected groups in
167 studies in both Korea (59.2% vs 31.7%) [14] and Hong Kong (44.7% vs 33.4%) [16]. A study in
168 Singapore also indicated that screening was an independent factor for better clinical status at
169 presentation, after adjusting for race and menopausal status [12]. However, although there were
170 trends, we did not find any statistically significant differences for lymph node status or histologic
171 grade between the groups in this study, unlike previous relevant studies [13–15, 23, 24].

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172 In this study, long-term information of 3,014 breast cancer patients from Tianjin Medical
173 University Cancer Institute and Hospital were collected. Because the breast cancer patients at our
174 hospital came from all over the country of China, this database represent a trend of Chinese
175 breast cancer. However, this study has two main limitations. The first is that it was retrospective
176 and that approximately 12% of values were missing in the detection mode due to the use of
177 electronic documentation. Hence, four imputation methods were used to ascertain whether major
178 differences occurred on the comparison of pathological characteristics between self-detected and
179 screen-detected breast cancer. When using multiple imputation by chained equations, the missing
180 values were completed depending on the interdependency between values [19]. In this regard,
181 more preferable results tended to be classified into the screen-detected group. When using
182 completely randomized imputation stratified by year, no tendency was seen in either direction.
183 When the missing detection mode values were replaced by “self-detected,” the pathological
184 advantage of the screen-detected group was attributed to the self-detected group. The differences
185 between the two groups may therefore have been underestimated. When the missing detection
186 mode values were replaced by “screen-detected,” the disadvantage in the self-detected group was
187 attributed to the screen-detected group, also potentially leading to an underestimation of the

188 differences between the two groups. The second limitation is that there was no information about
189 the follow-up or survival status of the patients, for which further studies would be required. A
190 study from the UK reported that the impact of introducing such a screening program on survival
191 was small but significant, and that most of the improved survival was due to a shift in the
192 Nottingham Prognostic Index (used to determine prognosis following surgery for breast cancer)
193 [25]. Similar shifts in pathologic characteristics of prognosis were identified both in this
194 retrospective investigation and in previous studies [26].

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196 **5. Conclusion**

197 This study indicates that the proportion of screen-detected patients in parts of China remains
198 lower than that in other Asian developed countries and regions.

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202 **Authors' contributions**

203 Qi Zhang and Lanjun Ding contributed to the acquisition and interpretation of the data, the
204 drafting and revision of the manuscript, and the final approval of the manuscript. Xuan Liang
205 and Dr. Yuan Wang contributed to the analysis and interpretation of the data, the drafting and
206 revision of the manuscript, and the final approval of the manuscript. Dr. Xiaojing Guo and Dr.
207 Wenli Lu contributed to the study design, formulating the research question, drafting and
208 revising the manuscript, and to the final approval of the manuscript.

209 **Conflicts of interest**

210 None.

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