

Ten years of progress and promise of induced pluripotent stem cells: historical origins, characteristics, mechanisms, limitations, and potential applications

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The discovery of induced pluripotent stem cells (iPSCs) by Shinya Yamanaka in 2006 was heralded as a major breakthrough of the decade in stem cell research. The ability to reprogram human somatic cells to a pluripotent embryonic stem cell-like state through the ectopic expression of a combination of embryonic transcription factors was greeted with great excitement by scientists and bioethicists. The reprogramming technology offers the opportunity to generate patient-specific stem cells for modeling human diseases, drug development and screening, and individualized regenerative cell therapy. However, fundamental questions have been raised regarding the molecular mechanism of iPSCs generation, a process still poorly understood by scientists. The efficiency of reprogramming of iPSCs remains low due to the effect of various barriers to reprogramming. There is also the risk of chromosomal instability and oncogenic transformation associated with the use of viral vectors, such as retrovirus and lentivirus, which deliver the reprogramming transcription factors by integration in the host cell genome. These challenges can hinder the therapeutic prospects and promise of iPSCs and their clinical applications. Consequently, extensive studies have been done to elucidate the molecular mechanism of reprogramming and novel strategies have been identified which help to improve the efficiency of reprogramming methods and overcome the safety concerns linked with iPSC generation. Distinct barriers and enhancers of reprogramming have been elucidated, and non-integrating reprogramming methods have been reported. Here, we summarize the progress and the recent advances that have been made over the last 10 years in the iPSC field, with emphasis on the molecular mechanism of reprogramming, strategies to improve the efficiency of reprogramming, characteristics and limitations of iPSCs, and the progress made in the applications of iPSCs in the field of disease modelling, drug discovery and regenerative medicine. Additionally, this study appraises the role of genomic editing technology in the generation of healthy iPSCs.

1 **Review**

2 **TEN YEARS OF PROGRESS AND PROMISE OF INDUCED PLURIPOTENT STEM CELLS:**
3 **HISTORICAL ORIGINS, CHARACTERISTICS, MECHANISMS, LIMITATIONS, AND**
4 **POTENTIAL APPLICATIONS.**

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16 **Abstract**

17 The discovery of induced pluripotent stem cells (iPSCs) by Shinya Yamanaka in 2006 was heralded as a
18 major breakthrough of the decade in stem cell research. The ability to reprogram human somatic cells to a
19 pluripotent embryonic stem cell-like state through the ectopic expression of a combination of embryonic
20 transcription factors was greeted with great excitement by scientists and bioethicists. The reprogramming
21 technology offers the opportunity to generate patient-specific stem cells for modeling human diseases, drug
22 development and screening, and individualized regenerative cell therapy. However, fundamental questions
23 have been raised regarding the molecular mechanism of iPSCs generation, a process still poorly understood
24 by scientists. The efficiency of reprogramming of iPSCs remains low due to the effect of various barriers to
25 reprogramming. There is also the risk of chromosomal instability and oncogenic transformation associated

26 with the use of viral vectors, such as retrovirus and lentivirus, which deliver the reprogramming transcription
27 factors by integration in the host cell genome. These challenges can hinder the therapeutic prospects and
28 promise of iPSCs and their clinical applications. Consequently, extensive studies have been done to elucidate
29 the molecular mechanism of reprogramming and novel strategies have been identified which help to improve
30 the efficiency of reprogramming methods and overcome the safety concerns linked with iPSC generation.
31 Distinct barriers and enhancers of reprogramming have been elucidated, and non-integrating reprogramming
32 methods have been reported. Here, we summarize the progress and the recent advances that have been made
33 over the last 10 years in the iPSC field, with emphasis on the molecular mechanism of reprogramming,
34 strategies to improve the efficiency of reprogramming, characteristics and limitations of iPSCs, and the
35 progress made in the applications of iPSCs in the field of disease modelling, drug discovery and regenerative
36 medicine. Additionally, this study appraises the role of genomic editing technology in the generation of
37 healthy iPSCs.

38

39 **1. Introduction**

40 The development of iPSCs in 2006 by Shinya Yamanaka was a remarkable breakthrough that was made
41 possible by many research findings by past and current scientists in related fields. In 1962, Sir John Gurdon
42 achieved the first example of cellular reprogramming by reporting the generation of tadpoles from enucleated
43 unfertilized frog egg cells that had been transplanted with the nucleus from intestinal epithelial somatic cells
44 of tadpoles¹. This remarkable method of reprogramming somatic cells to the pluripotent embryonic state with
45 the same genetic makeup was termed somatic cell nuclear transfer (SCNT). This discovery led to the birth of
46 cloning. Thirty-five years later, Sir Ian Wilmut and his team used the same SCNT strategy of cellular
47 reprogramming in the cloning of Dolly the sheep, the first mammalian to be generated by somatic cloning².
48 These two scientific breakthroughs in somatic cloning proved that the nuclei of differentiated somatic cells
49 contain all the necessary genetic information to generate a whole organism and that the egg cell contains the
50 necessary factors to bring about such reprogramming. In 2001, Tada et al. further lent credence to the somatic
51 cloning hypothesis through another novel strategy of reprogramming termed cell fusion³. The cell fusion of
52 somatic cells with embryonic stem cells (ESCs) to generate cells capable of expressing pluripotency-related
53 genes showed that ESCs do contain some factors that can reprogram somatic cells³. There are two other
54 important landmarks- the generation of mouse ESCs cell lines in 1981 by Sir Martin Evans, Matthew
55 Kaufman and Gail R. Martin and the subsequent generation of human ESCs in 1998 by James Thomson^{4,5,6}.

56 The ESCs are developed from pre-implantation embryos and are capable of generating any cell type in the
57 body; an inherent characteristic termed pluripotency. Their discoveries shed light on the appropriate culture
58 conditions and transcription factors necessary for the maintenance of pluripotency. The merging of all these
59 essential historical landmarks led to the discovery of iPSCs (**Figure 1**).

60 But why the need for iPSCs since they are pluripotent just like ESCs? Firstly, the use of ESCs is fraught
61 with strong ethical concerns related to embryo destruction, and this has hindered its clinical application.
62 Secondly, there are the safety concerns related to the immune rejection of the ESCs. Finally, due to its source
63 from the embryo, ESCs are limited in supply, and this will limit broader therapeutic application. Hence, there
64 was an urgent need for another substitute for ESCs that bypasses these important drawbacks. Indeed, the
65 iPSCs serve as an alternative source of pluripotent stem cells with the same differentiation potential as
66 embryonic stem cells while avoiding the ethical issues associated with the latter.

67

68

69 **Figure 1.** Historical timeline is showing events that led to the development of iPSCs.

70

71 Shinya Yamanaka and Kazutoshi Takahashi developed the mouse iPSCs in 2006 through a different
72 method of reprogramming: the use of a retrovirus to deliver into a somatic cell (mouse fibroblast), a
73 combination of 4 reprogramming transcription factors, including Oct 3/4 (Octamer-binding transcription
74 factor-3/4), Sox2 (Sex-determining region Y)-box 2, Klf4 (Kruppel Like Factor-4), and c-Myc nicknamed
75 the “OSKM factors”⁷. A year later in 2007, Yamanaka and his team applied the same reprogramming method
76 for adult human fibroblast to generate human iPSCs (hiPSCs) and James Thomson’s group reported the
77 generation of the same hiPSCs though using a different delivery system, the lentivirus and a different set of 4
78 factors: Oct 3/4, Sox2, Nanog and Lin 28^{8,9}. For their remarkable revolutionary discoveries, Shinya
79 Yamanaka and John B. Gurdon were awarded the 2012 Nobel prize in Physiology or Medicine¹⁰. Like ESCs,
80 the iPSCs have a self-renewal capability in culture and can differentiate into cell types from all three germ cell
81 layers (ectoderm, mesoderm, and endoderm). The iPSC technology holds great promise for personalized cell-
82 based therapy, human disease modeling and drug development and screening. However, this technology is
83 by no means free of its challenges. The reprogramming efficiency is low and tedious, and there is associated
84 risk of chromosomal instability and tumorigenesis from insertional mutagenesis due to the viral vector
85 delivery method^{7,8,9}. These drawbacks will have a significant impact on the clinical application of iPSCs.

86 Much progress has since been made to improve the efficiency of reprogramming and to reduce the risk

87 associated with the technology. Novel strategies already employed to improve reprogramming include the
88 inhibition of barriers to reprogramming, use of non-integrative delivery methods, overexpression of
89 enhancing genes and the use of certain small molecules which enhanced reprogramming. Factors that
90 influence the reprogramming process have been studied, namely, the choice of the somatic cell source,
91 reprogramming transcription factors, delivery methods and culture conditions. Extensive research on the
92 molecular mechanisms of reprogramming has significantly improved its efficiency.

93 In this review, we provide an overview of the progress made in iPSC technology in the last decade. First,
94 we briefly define iPSCs by providing a summary of Yamanaka's key findings and the characterization of
95 iPSCs and then summarize the current knowledge on the molecular mechanism of reprogramming, the
96 limitations and the various strategies employed to address the drawbacks of this technology. We will then
97 briefly discuss the potential application of iPSCs in the field of disease modeling, drug development, and
98 regenerative medicine.

99

100 **2. Methods**

101 The data for this review were obtained from Medline on OvidSP, which includes PubMed, Embase by the
102 US National Library of Medicine as well as a search through the University of Bristol Library services.

103

104 **2.1. Search strategy**

105 A thorough search was carried out by signing into Ovid, Wolters, and Kluwer portal and "All Resources" was
106 selected. Three separate keywords were used for the search. The first search with the keyword "induced
107 pluripotent stem cells" yielded a total number of 5,975 publications. The second search with the keyword
108 "cellular reprogramming" gave a total number of 3,002 publications. The third search with the keyword
109 "transcription factors" gave a total number of 299,870 publications.

110 A combination of the search for "induced pluripotent stem cells" using the Boolean operator "AND" with
111 "cellular reprogramming" and "transcription factor" yielded a total number of 200 publications. We next hand
112 screened these 200 publications to see those that fit into the inclusion criteria for this study, and we arrived at
113 a total of 114 publications.

114 Furthermore, other data were included in this review, and these were obtained from the University of Bristol
115 Library services using the search phrase "induced pluripotent stem cells," "cellular reprogramming" and
116 "transcription factors." The publications generated were hand screened to fit the inclusion criteria, and 61
117 publications were selected. Also included were relevant references from previously selected publications as

118 well as many other recommended publications. A total of 228 articles were reviewed.

119

120 **2.2. Inclusion criteria**

121 The publications selected were thoroughly analyzed to ensure they focused on the study objectives which are
122 on the molecular mechanism of cellular reprogramming of somatic cells into induced pluripotent stem cells
123 using transcription factors and other small molecules. We included studies that focused on the barriers and
124 enhancers of cellular reprogramming and those that emphasized the various novel strategies for enhancing
125 the kinetics and efficiency of the process. Also considered were articles on the limitations and potential of
126 induced pluripotent stem cells and the progress made to address such limitations. Publications that included
127 the role of genomic editing technology in the generation of iPSCs were also considered.

128

129 **3. Generation of iPSCs: A brief overview**

130 Briefly, iPSCs can be defined as ‘embryonic stem cell-like’ cells derived from the reprogramming of adult
131 somatic cells by the introduction of specific pluripotent-associated genes. Prior to the discovery of iPSCs,
132 ESCs which are derived from the inner cell mass (ICM) of a blastocyst of pre-implantation stage embryo,
133 was the most well known pluripotent stem cells. Just like ESCs, iPSCs can proliferate extensively in culture
134 and can give rise to the three germ cell layers, namely, endoderm, mesoderm, and ectoderm.

135 Takahashi and Yamanaka set out to identify the genes that help in the maintenance of pluripotency in mouse
136 ES cells. Their search led to a list of 24 candidate reprogramming factors chosen for their links to ES-cell
137 pluripotency. A screening method was developed to test a pool of 24 pluripotency-associated candidate
138 factors for the ability to induce pluripotency. These genes were transduced into mouse embryonic fibroblast
139 (MEFs) using a retroviral delivery system. The mouse fibroblast was generated by the fusion of the mouse F-
140 box only protein 15 (Fbxo15) gene locus with a β -galactosidase (β -geo) cassette. The expression of β -geo is
141 used as a reporter of Fbxo15 expression and activity, as cells expressing β -geo are resistant to the selection
142 marker geneticin (G418). The ESC-specific Fbxo-15 locus is not expressed in normal somatic cells which are
143 not resistant to G418 treatment. The Fbxo15- β -geo MEFs was used to screen the pool of 24 transcription
144 factors by transducing different combinations of the candidate genes and assessing the capability of the MEFs
145 to survive in G418 treatment (**Figure 2**). Consecutive rounds of elimination of each factor then led to the
146 identification of a minimal core set of four genes, comprising Oct3/4, Sox2, Klf4 and c-Myc (OSKM
147 cocktail/factors)⁷. These factors were already shown to be important in early embryonic development and
148 vital for ES cell identity¹¹⁻¹⁴. The reprogrammed cell colonies, which were named as iPSCs, demonstrated ES

149 cell-like morphology, express major ES cell marker genes like SSEA-1 and Nanog and formed teratomas
150 upon injection into immunocompromised mice⁷ (**Table 1**).

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156 **Figure 2.** Generation of iPSCs from MEF cultures via 24 factors by Yamanaka.

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161 **Table 1.** The characterization of iPSCs. Adapted from (82).

162

163 Takahashi and Yamanaka demonstrated that ectopic expression of defined transcription factors was able to
164 reprogram mouse fibroblasts back to a pluripotent state thus circumventing the ethical concerns surrounding
165 the use of ESCs. However, these “first generation” iPSCs demonstrated a lower level of key ES pluripotency
166 gene expression and failed to generate adult chimeras or contribute to the germline⁷. These latter
167 characteristics suggest that the iPSCs were only partially reprogrammed. In 2007, Yamanaka and other
168 laboratories modified the induction protocols to generate fully reprogrammed iPSCs that are competent for
169 adult chimera and germline transmission¹⁵⁻¹⁷. The technology has also been successfully translated to human
170 fibroblasts^{8-9,18} and then to other somatic cell types, such as pancreatic β cells¹⁹, neural stem cells^{20,21}, stomach
171 and liver cells²², mature B lymphocytes²³, melanocytes²⁴, adipose stem cells²⁵ and keratinocytes²⁶,
172 demonstrating the universality of cellular reprogramming. The advantages of iPSC technology are its
173 reproducibility and simplicity, thus encouraging many laboratories to modify and improve upon the
174 reprogramming technique. Consequently, remarkable progress has been made in the last decade in the field
175 of iPSC technology.

176 **4. Technical advances and progress in iPSC generation.**

177 If iPSCs are to fulfill their promise (that they are viable and possibly superior substitutes for ESCs in disease
178 modeling, drug discovery and regenerative medicine), limitations and obstacles on the road to their clinical
179 application need to be overcome. The initial reports of iPSC generation were inefficient ($\sim 0.001-1\%$)^{7,8,16,27,28},
180 that is, on average only 1 out of 10,000 somatic cells formed iPSCs. The overexpression of oncogenes such

181 as c-Myc and Klf4 during the generation of iPSCs raises safety concerns. Indeed, in the original report of
182 germline-competent iPSCs, ~20% of the offspring developed tumors attributable to the reactivation of the c-
183 Myc transgene¹⁶. Furthermore, there is the risk of insertional mutagenesis due to virus-based delivery
184 methods^{7,8,9}. Much progress has been made in the past decade to address these limitations and to improve the
185 reprogramming technique. New methods for induced reprogramming have been developed. The following
186 sections present an overview of the advancement made to improve the reprogramming technique, with
187 emphasis on the reprogramming factors and the delivery systems for iPSC generation.

188

189 **4.1. Reprogramming factors**

190 The conventional OSKM cocktail by Yamanaka's group has been used extensively by researchers on a wide
191 range of human somatic cells and delivery systems²⁹. Thomson's group provided an alternative combination
192 of four factors: Oct 3/4, Sox2, Nanog and Lin 28 (OSNL)⁹. The generation of iPSCs by Yamanaka's and
193 Thomson's groups using different cocktails of transcription factors may suggest that different transcription
194 factors activate the same reprogramming pathway by reinforcing each other's synthesis. The OSKM and
195 OSNL reprogramming cocktails have proved efficient on a wide range of delivery systems, albeit at a variably
196 low-efficiency rate^{29,30}. Consequently, researchers have sought to discover new molecules that will enhance
197 the reprogramming technique and improve its efficiency (**Table 2**). We will refer to these molecules as
198 reprogramming 'enhancers.' Some other molecules discovered are 'barriers' of reprogramming technique.
199 So the strategy employed to increase the efficiency of reprogramming includes the inhibition of such barriers
200 and the overexpression and administration of the enhancers.

201

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203

204 ***Pluripotency-associated transcription factors.*** Many of the transcription factors used for reprogramming
205 somatic cells are part of a core pluripotency circuitry. These factors are pluripotency-associated genes
206 expressed early during embryonic development and are involved in the maintenance of pluripotency and self-
207 renewal. The expression of other pluripotency-associated genes along with the minimal pluripotency factors
208 (OSKM) can enhance the reprogramming efficiency or even replace some of the reprogramming factors. For
209 example, the expression of undifferentiated embryonic cell transcription factor 1 (UTF1) or sal-like protein 4
210 (SALL4) with OSKM/OSK, improved the reprogramming efficiency^{31,32}. Non-coding RNA's like LincRoR
211 and Let7 are involved in the regulation of expression of core transcriptional factors. LincRoR is a

212 reprogramming enhancer while *Let7* acts as a barrier by blocking the activation of pluripotency factors c-
213 Myc, Lin 28 and SALL4. Thus, *Let7* inhibition and the expression of LincRoR both enhance reprogramming
214 efficiency^{33,34,35,36}. Nanog and Lin 28 can replace Klf4 and c-Myc respectively, and estrogen-related receptor
215 beta (ESRR β) can replace Klf4^{9,37}. A recent single-cell gene expression study of partially reprogrammed cells
216 showed that SALL4, ESRR β , Nanog and Lin 28 (rather than OSKM) was enough for reprogramming
217 fibroblasts into iPSCs, albeit with low efficiency³⁸. These observations suggest that most of these enhancer
218 genes are possibly part of the reprogramming circuitry network activated by OSKM. Consequently, a detailed
219 analysis of the downstream targets of OSKM may help us understand the molecular mechanisms of
220 reprogramming, thus opening the way to increasing its efficiency.

221

222 ***Cell cycle-regulating genes.*** As they move towards pluripotency, somatic cells also gain the ability to
223 proliferate indefinitely. Not surprisingly, two of the minimal pluripotency factors, c-Myc, and Klf4, are
224 oncogenes that enhance cellular proliferation. Apparently, there will be other regulators in this cell cycle
225 pathway. The p53 tumor suppressor protein promotes senescence and inhibits growth, thus having an
226 inhibitory effect on iPSCs generation³⁹⁻⁴³. Many studies have shown that p53 inhibition can greatly enhance
227 reprogramming efficiency³⁹⁻⁴³. Cell cycle-dependent kinase inhibitors like INK4A and ARF (which are linked
228 to the p53-p21 pathway) can block iPSC reprogramming⁴⁴. Conversely, overexpression of p53 inhibitor
229 proteins (such as SV40 large T antigen, REM2, and MDM2), increased the efficiency of reprogramming (up
230 to 23-fold compared to OSKM alone)^{18,42,45,46}. So researchers have used the strategy of overexpressing
231 reprogramming enhancers to eliminate the barriers on the road toward pluripotency.

232

233 ***Epigenetic modifiers.*** The reprogramming of somatic cells into iPSCs is characterized by epigenetic changes,
234 from DNA methylation to histone modifications. Chromatin remodeling is a rate-limiting step in the
235 reprogramming process, and thus researchers have studied chemical compounds that modify the epigenetic
236 process⁴⁷. For example, DNA methyltransferase inhibitor 5-azacytidine and histone deacetylase (HDAC)
237 inhibitors (like suberoylanilide hydroxamic acid (SAHA), trichostatin A (TSA) and valproic acid (VPA))
238 enhanced reprogramming efficiency in MEFs⁴⁷. VPA also promotes somatic cell reprogramming with Oct4
239 and Sox2 alone⁴⁸. The combination of CHIR99021 (a GSK3 inhibitor) with Parnate (a lysine-specific
240 demethylase one inhibitor) causes the reprogramming of human keratinocytes with only Oct4 and Klf4⁴⁹.
241 Similarly, G9a histone methyltransferase promotes epigenetic repression of Oct4 during embryonic
242 development⁵⁰, which is why a G9a inhibitor (BIX-01294) enhances MEF reprogramming with only Oct4

243 and Klf4⁵¹. Disruptor of telomeric silencing 1-like (DOT1L)⁵², methyl-CpG binding domain protein 3
244 (MBD3)⁵³, rest corepressor 1 (RCOR2)⁵⁴, sirtuin 6 (Sirt6), and miR766 (a Sirt6 inhibitor)⁵⁵ are all involved
245 in chromatin remodeling, thus affecting the efficiency of reprogramming when inhibited or overexpressed.
246 Vitamin C improves cellular reprogramming efficiency, in part by promoting the activity of histone
247 demethylases JHDM1A (KDM2A) and JHDM1B (KDM2B)⁵⁶, alleviating cell senescence⁵⁷ and inducing
248 DNA demethylation⁵⁸.

249 In conclusion, microRNA (miRNA) have been used to increase reprogramming efficiency. The miRNA's
250 mostly work by inhibiting the TGFβ signaling pathway, thereby inhibiting the epithelial to mesenchymal
251 transition (EMT). The combination of miR-291-3p, miR-294, and miR-295 with OSK cocktail promotes
252 iPSC generation⁵⁹. More recently, miR302, miR367, miR369, miR372, and miR200c have been used either
253 alone or in combinations to enhance the reprogramming process in humans by replacing the traditional
254 OSKM nuclear factors⁶⁰⁻⁶⁴. The miRNAs can specifically target multiple pathways thus reducing the need
255 and amount of transcription factors for reprogramming⁶⁴. In the near future, miRNA-based reprogramming
256 may provide a more effective way of cellular reprogramming than traditional nuclear factor (OSKM)
257 methods.

258

259 **Table 2.** Reprogramming factors capable of reprogramming human cells. Adapted from (82).

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263 **4.2. Delivery methods**

264 A number of different delivery methods have been used to introduce reprogramming factors into somatic cells
265 (**Figure 3**). The reprogramming methods can be grouped into two categories -**Integrative systems** (involving
266 the integration of exogenous genetic material into the host genome) and **Non-Integrative systems** (involving
267 no integration of genetic material into the host genome). The integrative delivery methods include the use of
268 viral vectors (retrovirus, lentivirus, and inducible lentivirus) and non-viral vectors (linear/plasmid DNA
269 fragments and transposons). Similarly, the non-integrative delivery methods include the use of viral vectors
270 (adenovirus and Sendai virus) and non-viral vectors (episomal DNA vectors, mRNA, and proteins). This
271 section is focused on the reprogramming methods currently available.

272

273 **4.2.1. Integrative delivery systems**

274 **4.2.1.1. Viral integrative vectors. Retroviruses** were used for the delivery of transcription factors in the
275 original studies on iPSC generation^{7,8,15-17}. Retroviruses are an efficient and relatively easy form of the delivery
276 system. They require an actively dividing somatic cell to integrate well in the genome. iPSC is considered to
277 be fully reprogrammed only after the upregulation of endogenous pluripotency genes and the downregulation
278 or silencing of the integrated transgene expression. Though retroviral vectors are usually silenced in ESCs^{65,66}
279 and iPSCs^{18,67}, the silencing is not always efficient, and the silenced transgenes may be reactivated later on.
280 They can integrate randomly into the host genome leading to an increased risk of insertional mutagenesis.
281 Certainly, in the original report of germline-competent iPSCs, ~20% of the offspring developed tumor
282 attributable to the reactivation of c-Myc transgene¹⁶.

283 **Lentivirus** has also been successfully used for the introduction of transgenes during cellular
284 reprogramming^{9,68}. Like retroviral vectors, lentivirus integrates into the host genome with the risk of
285 insertional mutagenesis, and inefficient silencing and transgene reactivation are possible. Unlike retroviruses,
286 they can integrate into both dividing and non-dividing cells. Thus iPSCs can be generated from most somatic
287 cell types. The original studies on iPSC generation by Yamanaka involved the use of different types of
288 retroviruses, each delivering only one type of transcription factor⁸. This can create many uncontrollable
289 integration events with increased risks of transgene reactivation, inefficient transgene silencing and
290 diminished efficiency of reprogramming. The creation of *polycistronic* viral vectors (for retrovirus⁶⁹ and
291 lentivirus^{70,71}) allowed the expression of all reprogramming factors driven by a single promoter, with the genes
292 separated by self-cleaving peptide sequences. This method remarkably reduces the number of genomic
293 insertions thus improving the safety and efficiency of the reprogramming process. Moreover, the introduction
294 of both the excisable (*Cre/loxP*) vector system^{72,73} and inducible (*tetracycline/doxycycline-inducible*) vector
295 system^{26,74-76} has allowed for a better control of transgene expression thus reducing the effects of inefficient
296 silencing and transgene reactivation.

297

298 **4.2.1.2. Non-viral integrative vectors.** An alternative to viral vectors is the transfection of **DNA**
299 (**plasmid/linear**) into cells using liposomes or electroporation. Using this method, the transduction efficiency
300 is much lower with only a few cells capturing the full set of reprogramming factors. However, the use of
301 polycistronic vectors to express all cDNAs from a single promoter has helped to improve the reprogramming
302 efficiency. Kaji *et al.* successfully generated iPSCs from mouse fibroblasts with a non-viral polycistronic
303 vector combined with an excisable Cre/loxP system for deleting the reprogramming construct⁷⁷.

304 **Transposons.** Kaji *et al.* and Woltjen *et al.* applied the non-viral single vector system for the generation

305 of human iPSCs using a **piggybac** (PB) transposon-based delivery system^{77,78}. The PB is a mobile genetic
306 element which includes an enzyme PB transposase (that mediates gene transfer by insertion and excision).
307 Co-transfection of a donor plasmid (transposon) with a helper plasmid expressing the transposase enzyme
308 leads to the efficient integration of the transposon²⁹. Once the reprogramming is achieved, the enzyme can
309 precisely delete the transgenes without any genetic damage thus avoiding the risk of insertional mutagenesis.
310 Drawbacks to the use of PB systems include the risks of integrating back into the genome, and the potential
311 that the human genome contains endogenous PB transposon elements which may be acted upon by the
312 transposase enzyme essential for the transgene excision⁷⁹⁻⁸². The recent introduction of another transposon,
313 **Sleeping Beauty** (SB), has helped to overcome many of the limitations of the PB transposon^{83,84}. SB
314 integrates less compared to the PB, and there are no SB-like elements in the human genome. However, the
315 reprogramming efficiency of transposons is low compared to viral vectors, and their use involves multiple
316 rounds of excision, thus increasing the risk of re-integration.
317 Overall, integrative delivery systems come with a risk of integration into the genome leading to insertional
318 mutagenesis. This lack of safety may limit their therapeutic application. Non-integrative delivery systems will
319 later address this major limitation.

320

321 **4.2.2. Non-Integrative delivery systems**

322 **4.2.2.1. Non-integrative viral vectors.** Stadtfeld *et al.* reported the generation of the first integration-free
323 iPSCs from adult mouse hepatocytes using nonintegrating **adenovirus**⁸⁵. Transgene-free iPSCs were later
324 generated from human fibroblasts by Zhou *et al.* using similar adenoviral vectors⁸⁶. However, the
325 reprogramming process requires multiple viral infections, and the production of adenovirus is very labor-
326 intensive. Most importantly, the reprogramming efficiency using adenoviruses is several orders of magnitude
327 lower compared to lenti- or retroviruses.

328 Another non-integrating viral vector that has been successfully used for iPSC generation is the **Sendai**
329 **virus** (SeV)⁸⁷⁻⁹³. These are very efficient in transferring genes (in the form of negative-strand single-stranded
330 RNA) into a wide range of somatic cells⁹⁴⁻⁹⁷. Although they are very effective, the viral vector's RNA
331 replicase is very sensitive to the transgene sequence content. Additionally, because they constitutively
332 replicate, these vectors may be difficult to eliminate from the somatic cells⁸⁷. A new improved Sendai virus
333 (**SeV dp**) has since been developed⁹⁸⁻⁹⁹.

334

335 **4.2.2.2. Non-integrative non-viral delivery. Episomal vectors** provide another alternative to the

336 integrative-defective viruses. Episomes are extrachromosomal DNAs capable of replicating within a cell
337 independently of the chromosomal DNA. The reprogramming factors can be directly and transiently
338 transfected into the somatic cells using episomal vectors as **plasmids**¹⁰⁰⁻¹⁰⁷ or as **minicircle** DNA^{108,109}. Unlike
339 retro- and lentiviruses, this technique is relatively simple and easy to use and does not involve integration into
340 the host genome. However, since episomal vector expression is only transient, they require multiple
341 transfections. In general, their reprogramming efficiency is low, although when compared to plasmids, the
342 minicircle DNA has a higher transfection efficiency (probably due to it is smaller size) and a longer ectopic
343 expression of the transgenes (due to lowered silencing mechanisms)^{110,111}.

344 **RNA delivery.** iPSCs have been generated by the direct delivery of synthetic mRNA into somatic
345 cells^{112,113}. This method has the highest reprogramming efficiency when compared with other non-integrative
346 delivery systems. RNA have short half-lives. Thus repeated transfection is required to sustain the
347 reprogramming process. RNA-based methods are also highly immunogenic⁸².

348 **Protein delivery.** Reprogramming factors can be directly delivered as recombinant proteins into somatic
349 cells for iPSC generation^{114,115}. The reprogramming efficiency is low and repeated transfection is also required
350 to maintain the intracellular protein level for reprogramming.

351 Overall, integrative delivery methods have a higher reprogramming efficiency than non-integrating
352 methods, but they are less safe due to the risk of insertional mutagenesis. Therefore, the use of non-integrating
353 methods will appeal more for iPSC generation and use in a clinical setting.

354

355

356

357 **Figure 3.** Schematic representation of various delivery methods of iPSC induction.

358

359 **5. Molecular mechanism of induced pluripotency**

360 The reprogramming of somatic cells into iPSCs is a long and complex process involving the activation of ES-
361 cell-specific transcription network, combinatorial overexpression of multiple transcription factors and
362 epigenetic modifications. Understanding the molecular mechanisms of cellular reprogramming is critical for
363 the generation of safe and high-quality iPSCs for therapeutic applications. This section reviews the molecular
364 mechanisms leading to induced pluripotency.

365

366 **5.1. The Fantastic Four (OSKM)**

367 Takahashi and Yamanaka showed that four exogenous reprogramming factors, Oct4, Sox2, Klf4 and c-Myc,
368 all have key roles in iPSC generation⁷. They discovered Oct4, Sox2, Klf4, and c-Myc were essential for iPSC
369 generation while Nanog was dispensable⁷. Though exogenous Nanog (not part of the “fantastic four”) is not
370 an essential factor and is not required to initiate the reprogramming process, it is possible that exogenous Oct
371 4, Sox2 and other reprogramming factors induce expression of endogenous Nanog to levels adequate to
372 achieve full reprogramming^{116,117}.

373 Genetic studies have shown that Oct4, Sox2, and Nanog (OSN) are key regulators of embryonic
374 development and they are critical for pluripotency maintenance¹¹⁸⁻¹²³. These factors are expressed both in
375 pluripotent ESCs and in the ICM of blastocysts. Oct 3/4, Sox2 and Nanog knockout embryos die at the
376 blastocyst stage and when cultured in vitro, their ESCs lose pluripotency and differentiate¹²⁰⁻¹²³. Klf4 plays
377 key roles in cellular processes, like development, proliferation, differentiation, and apoptosis¹²⁴. It is expressed
378 in ESCs and can interact with Oct4-Sox2 complexes to activate certain ESCs genes¹²⁵. Klf4 can revert
379 epiblast-derived stem cells to the ESC state¹²⁶. Its interaction with Oct4-Sox2 complexes and its tumor
380 suppressor activity are thought to be important in iPSCs generation. c-Myc is a potent oncogene associated
381 with apoptosis, cell proliferation, and cell cycle regulation¹²⁷⁻¹²⁹. Though iPSCs can be generated without Klf4
382 and c-Myc, the marked reduction in the efficiency of the process greatly emphasizes their importance in
383 cellular reprogramming.

384

385 **5.2. Autoregulatory loops driving pluripotency**

386 Experimental studies using chromatin immunoprecipitation and genome-wide localization analysis in human
387 and murine ESCs to identify genes occupied by Oct4, Sox2 and Nanog have provided a better understanding
388 of how these transcription factors contribute to pluripotency^{130,131}. The studies reveal that Oct4, Sox2, and
389 Nanog bind together to activate the promoters of both their genes and those of each other, hence forming an
390 autoregulatory loop (**Figure 4**). The three factors function cooperatively to maintain their expression, thus
391 enhancing the stability of pluripotency gene expression. Since the initial hypothesis, several other studies have
392 provided strong verifiable evidence for the existence of the autoregulatory circuitry^{118,132-135}.

393

394 **5.3. Transcriptional regulatory network**

395 The experimental studies also demonstrated that Oct4, Sox2 and Nanog target several hundred other ESC
396 genes, collectively co-occupying these genes cooperatively to maintain the transcriptional regulatory network
397 required for pluripotency^{130,131}. This may explain why efficient iPSC generation seems to require the

398 combinatorial overexpression of multiple transcription factors. The cascades of genes targeted were found to
399 be both transcriptionally active and inactive genes (**Figure 5**). The actively transcribed genes all have a key
400 role in the maintenance of ESC pluripotency and self-renewal. They include various ESC transcription factors,
401 chromatin modifying enzymes, and ESC-signal transduction genes. Conversely, the inactive genes are
402 essentially developmental transcription factors that are silent in ESCs, whose expression is associated with
403 cellular differentiation and lineage commitment^{130,131}. Altogether, Oct4, Sox2, and Nanog appear to be master
404 regulators of induced pluripotency by enhancing transcription of pluripotency genes, while at the same time
405 silencing genes related to development and differentiation. Therefore, to achieve pluripotency, the
406 autoregulatory loops and the transcriptional regulatory network need to be resuscitated in reprogrammed
407 somatic cells.

408
409

410 **Figure 4.** The autoregulatory loop. Oct4, Sox2, and Nanog form an interconnected autoregulatory circuit, by binding together to
411 activates the promoters of both their genes and the genes of each other. The three master regulators can maintain their own expression,
412 thus maintaining pluripotency. Proteins are depicted as brown colors and gene promoters as dark slate gray. Adapted from (117).

413
414
415

416 **Figure 5.** The Oct4, Sox2 and Nanog trio contributes to ES cell pluripotency by repressing genes linked to lineage commitment and
417 activating genes involved in pluripotency. Proteins are depicted as brown colors and gene promoters as dark slate gray. Adapted from
418 (117).

419

420 **5.4. Epigenetic changes during iPSC reprogramming**

421 iPSCs have a unique epigenetic signature that distinguishes them from differentiated somatic cells (**Figure**
422 **6**). Pluripotent stem cells have open, active chromatin conformations, with activating histone H3 lysine-4
423 trimethylation marks (H3K4me3), histone acetylation and hypomethylated DNA around the pluripotency
424 genes. In contrast, lineage-commitment leads to the silencing of these pluripotency genes, with repressive
425 H3K27me3 and H3K9me3 histone marks, hypermethylated DNA and a closed heterochromatin
426 conformation. During the reprogramming process, the epigenetic signature of the somatic cell must be erased
427 to adopt a stem cell-like epigenome. These epigenetic changes include chromatin remodeling, DNA
428 demethylation of promoter regions of pluripotency genes, reactivation of the somatically silenced X
429 chromosome and histone post-translational modifications^{8,15,17, 136-138}.

430

431

432 **Figure 6.** A model of the sequential steps in the reprogramming of somatic cells. (A) Sequential changes in phenotype and activation
433 of Oct4, Sox2, and Nanog. Following transduction with OSKM factors, the infected fibroblasts assume a transformed phenotype. The
434 endogenous Oct4 or Nanog genes become transcribed at a low level that is sufficient enough for drug resistance in cells carrying the
435 *neo* gene but not sufficient to produce GFP expression in Oct4-GFP or Nanog-GFP cells. After 2-3 weeks endogenous Oct4 and
436 Nanog genes become fully activated as shown by the appearance of GFP⁺ iPSCs in Oct4-GFP or Nanog-GFP fibroblasts¹⁵⁻¹⁷. (B)
437 During the reprogramming progress, repressive H3K9me3 histone marks are gradually replaced by the transcriptionally active
438 H3K4me3 histone marks while the DNA is gradually demethylated (open lollipop). (C) Molecular circuitry during reprogramming.
439 During reprogramming process, the de novo methyltransferases Dnmt3a and Dnmt3b become activated and in turn de novo methylate
440 and silence the virally transduced factors. The pluripotent state is now maintained by the autoregulatory loop of expression of the three
441 master regulatory factors, Oct4, Sox2, and Nanog. Adapted from (116).

442

443 ***DNA methylation in iPSC reprogramming.*** DNA methylation is an epigenetic barrier of iPSC
444 generation¹³⁹⁻¹⁴¹. The methylation occurs at the C5 position of cytosine on the target gene promoters in
445 mammalian somatic cells¹³⁸. Promoter DNA methylation is inversely associated with gene expression¹⁴². The
446 epigenome of iPSCs are transcriptionally active and are characterized by demethylation at the promoter
447 regions of key pluripotency genes, like Oct4, Sox2, and Nanog (**Figure 6**). These genes are silenced by *de*
448 *novo* DNA methylation during lineage commitment and differentiation. The methylation is established by *de*
449 *novo* methyltransferases Dnmt3a and Dnmt3b and preserved by the maintenance methyltransferase
450 Dnmt1¹⁴³. During reprogramming, the methylation marks are removed from these endogenous pluripotency
451 genes to allow for their transcription, and tissue-specific genes are hypermethylated^{144,145}. Indeed,
452 manipulation of the DNA and chromatin modifications by certain small molecules can significantly improve
453 iPSC formation⁴⁷⁻⁵⁰. (See [Reprogramming factors-epigenetic modifiers](#)). Likewise, mice lacking DNA
454 methyltransferases remain non-viable or die within weeks¹⁴⁶⁻¹⁴⁷. These observations show that epigenetic
455 modifications are key to cellular differentiation, and it is reasonable to conclude that these same events have
456 to be reversed during induced reprogramming.

457 ***Histone modifications in iPSC reprogramming.*** Histone modification patterns differ between pluripotent
458 stem cells and differentiated somatic cells. The silencing of developmental genes in pluripotent stem cells is
459 controlled remarkably. The differentiation-related genes carry 'bivalent' domains (i.e., repressive histone H3
460 lysine-27 trimethylation marks (H3K27me3) and activating histone H3 lysine-4 trimethylation marks
461 (H3K4me3)) in their genome loci¹⁴⁸. The H3K4me3 marks the bivalent domains allow for transcription
462 initiation of the developmental genes. Transcription of these genes is repressed by the action of Polycomb

463 group, a family of proteins that regulate developmental gene expression through gene silencing by binding to
464 repressive H3K27me3 marks. Thus, lineage-commitment genes with bivalent domains can have their
465 expression quickly turned on or switched off via erasure of H3K27me3 or H3K4me3, respectively. The
466 bivalent domains are almost exclusively found in pluripotent stem cells, and their restoration represents a vital
467 step in the reprogramming process. During reprogramming, repressive H3K9me3 marks present on the
468 endogenous pluripotency genes (Oct4, Sox2, and Nanog) are gradually replaced by the transcriptionally
469 active H3K4me3¹⁴⁴ (**Figure 6 & 7**). The loss of the H3K9me3 marks allows access of OSKM transgenes to
470 their target regions thus activating the autoregulatory loop.

471

472 **5.5. Role of microRNAs in iPSC reprogramming.**

473 miRNA are small non-coding RNA molecules that bind to protein-coding messenger RNA (mRNA) to
474 regulate their degradation or translation. They regulate gene expression by post-transcriptional gene
475 silencing¹⁴⁹. Some miRNA promote iPSC reprogramming (See [Reprogramming factors-epigenetic](#)
476 [modifiers](#)), while others are barriers to iPSC reprogramming. Let-7 miRNAs are expressed in somatic cells
477 and upregulated in ES cell differentiation¹⁵⁰. Lin 28 (one of the factors used by Thomson *et al.* to substitute
478 for c-Myc and Klf4)⁹, promotes reprogramming by inhibiting let-7 miRNAs¹⁵¹.

479

480

481 **Figure 7.** Schematic representation of the chromatin rearrangement occurring during somatic cell reprogramming and differentiation
482 of pluripotent stem cells. Adapted from (144).

483

484 **5.6. The role of Reprogramming factors in iPSC reprogramming.**

485 Following the introduction of exogenous OSKM factors into the somatic cells, exogenous Oct4 and Sox2
486 may directly induce the expression of endogenous Oct4, Sox2 and Nanog via the autoregulatory circuitry,
487 through which they continue to maintain their expression. After that, these factors activate the pluripotent
488 transcriptional network. Hence, the autoregulatory loop and the transcriptional network that are repressed in
489 somatic cells, are now 'resuscitated' during the reprogramming process (**Figure 8**).

490 c-Myc is a vital component of active chromatin and associates with histone acetyltransferase (HAT)
491 complexes. Thus, it facilitates an open chromatin conformation through global histone acetylation, thereby
492 allowing Oct4 and Sox2 to target their genomic loci^{21,117,152}. As a well-known oncogene, c-Myc facilitates the
493 cancer-like transformation of somatic cells, conferring immortality and rapid proliferative potential on the

494 pluripotent stem cells¹⁵³. Thus, cellular division driven by c-Myc may provide somatic cells an opportunity to
495 reset their epigenome, thereby enhancing their reprogramming¹¹⁶. As was mentioned in [Reprogramming](#)
496 [factors-cell cycle regulating genes](#), p53 tumor suppressor proteins have inhibitory effects on iPSC generation
497 by promoting senescence, apoptosis and cell cycle inhibition³⁹⁻⁴³. Hyperexpression of c-Myc can lead to
498 increases in p53 levels, and Klf4 can block the resulting apoptotic effect of c-Myc by suppressing p53
499 levels¹⁵⁴. Furthermore, Klf4 can suppress proliferation by activating p21 (a cyclin-dependent kinase inhibitor),
500 and c-Myc can inhibit this anti-proliferative effect of Klf4 by suppressing p21^{155,156}. Thus, we can conclude
501 that c-Myc and Klf4 are mutually complementary and a balance between their expression is necessary for
502 successful reprogramming^{117,153}. The overall summary of the roles of reprogramming factors is shown in
503 [Figure 9](#).

504

505 **Figure 8.** Exogenous Oct4 and Sox2 resuscitate the interconnected autoregulatory loop during reprogramming. In infected fibroblasts,
506 endogenous Oct4, Sox, and Nanog are reactivated by ectopic expression of Oct4, Sox2, and other factors. The endogenous genes (in
507 dark slate gray) continue to maintain their expression while the transgene expression is gradually silenced by de novo DNA
508 methylation. This indicates that exogenous factors are required only for the induction of pluripotency. Adapted from (117).

509

510

511

512

513 **Figure 9.** The roles of OSKM factors in the induction of iPSCs. Pluripotent stem cells are immortal with open and active chromatin
514 structure. It is probable that c-Myc induces these two properties by binding to several sites on the genome and by the recruitment of
515 multiple histone acetylase complexes. However, c-Myc also induces apoptosis and senescence, and this effect may be antagonized by
516 Klf4. Oct3/4 probably changes the cell fate from tumor cells to ES-like cells while Sox2 helps to drive pluripotency.

517

518

519 **5.7. Two-phase Model of Induced Reprogramming: A gradual, stochastic process.**

520 Several studies have shown exactly how the ectopic expression of OSKM in somatic cells induces the
521 transition to a pluripotent state¹⁵⁷⁻¹⁶². Based on these studies, we now know the order of events in the
522 reprogramming process, and we can posit that the reprogramming process consists of two broad phases: An
523 initial, stochastic **early** phase (phase 1) and a more deterministic and hierarchical **late** phase (phase 2) ([Figure](#)
524 [10](#)).

525

526 **Phase 1**

527 The earliest event in phase 1 is the **downregulation of lineage-specific genes**. This may be due to the direct
528 repression effect of OSKM on these developmental genes or indirectly through the restoration of bivalent
529 histone marks on the same genes¹¹⁷. The next event is the **upregulation of a subset of ESC-specific genes,**
530 **such as alkaline phosphatase (AP), Fbx15 and SSEA1**. These two events may produce a partially
531 reprogrammed iPSC with ESC-like morphology, but can quickly revert to the differentiated state once the
532 transgene expression is terminated. The next step is the **global chromatin remodeling of the full array of**
533 **pluripotency genes**. This event involves the gradual unfolding of condensed heterochromatin to form an
534 open euchromatin conformation and the removal of repressive H3K9me3 histone marks. The latter event is
535 brought on by the effect of c-Myc, Klf4, histones modification enzymes (acetyltransferases and demethylases)
536 and other small molecules. The removal of the repressive histone marks requires multiple rounds of cell
537 division and explains why reactivation of endogenous Oct4, Sox2, and Nanog occurs late in the
538 reprogramming process.

539

540 **Phase 2**

541 After the completion of global chromatin remodeling, exogenous Oct4 and Sox2 are now able to target and
542 activate the loci of endogenous Oct4, Sox2 and Nanog genes leading to the **resuscitation of the**
543 **autoregulatory loop**. The completion of chromatin remodeling at other pluripotency genes further leads to
544 the gradual **restoration of the full ESC transcription network**. This leads to the establishment of full-blown
545 pluripotency, characterized by reactivation of telomerase, inactivated X chromosome and ESC signaling
546 cascades. As the autoregulatory loops continue to self-maintain the expression of the endogenous pluripotency
547 genes, the **transgene silencing** previously initiated in phase 1 comes to completion. The pluripotent state is
548 now completely dependent on the endogenous autoregulatory circuitry.

549

550

551 **Figure 10.** Two-phase model of induced reprogramming. Adapted from (117).

552

553

554 **5.8. iPSC Reprogramming- An inefficient process**

555 As mentioned above in [Technical advances and progress in iPSC generation](#), low reprogramming efficiency
556 is one of the limitations of induced reprogramming^{7,8,16,27,28}. The *Elite*, *Stochastic* and *Deterministic* models
557 have been posited to explain the reason why only a small part of the transduced cells become pluripotent.

558

559 **Elite model.** This model postulates that only a few, rare, ‘elite’ somatic cells (with stem cells characteristics)
560 present within the somatic cell population, can be induced towards pluripotency^{163,164}. In contrast to these
561 ‘special’ cells, differentiated cells within the population are resistant to OSKM-mediated induction (**Figure**
562 **11a**). Although somatic cell populations are heterogeneous and contain stem cells¹⁶⁵, we now know that fully
563 differentiated cells can be reprogrammed, thus disproving the elite model^{19,22,23}. Most of the somatic cells
564 initiate the reprogramming process, but the majority never complete it.

565

566 **Stochastic and Deterministic models.** Assuming all somatic cells are transduced by the OSKM, the next path
567 to pluripotency could occur by two mechanisms: a “**stochastic**” manner in which iPSCs appear at different,
568 random, unpredictable periods; or a “**deterministic**” manner in which iPSCs appear at a fixed, predictable
569 period (**Figures 11b and 11c**). Both types of mechanism might be involved in the reprogramming process.

570

571

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575

576 **Figure 11.** Mechanistic insights into transcription factor-mediated reprogramming. (a) The Elite model, (b) The Deterministic model,
577 and (c) The Stochastic model.

578

579

580 The generation of iPSCs requires a precise, limited-level expression of the transduced factors and the
581 process involve tightly regulated levels of pluripotency genes. The specific stoichiometric balance of the
582 OSKM factors is fundamental to successful reprogramming^{166,167}. Thus, maintaining this delicate balance
583 appropriately can be a difficult, even rare event. Additionally, somatic cells have to overcome many barriers
584 on the road to pluripotency (See [Two-phase Model of Induced Reprogramming: A gradual, stochastic process](#)). Furthermore, random transgene integration can result in heterogeneous transgene expression that is
585 achieved by very few cells. The lower chance of completing these stochastic reprogramming events and the
586 need to overcome reprogramming barriers altogether contribute to the low efficiency of reprogramming.

588 There are other variables that can affect the efficiency of induced reprogramming such as the choice of;

589 reprogramming factors, delivery methods, donor cell types and culture conditions^{29,82}. We have already
590 considered the effects of [Reprogramming factors](#) and [Delivery methods](#) earlier in this review. Under the same
591 culture conditions, keratinocytes reprogramme 100 times more efficiently and two times faster than
592 fibroblasts¹⁶⁸. Haematopoietic stem cells generate iPSC colonies 300 times more than B and T cells, suggesting
593 that the differentiation status of the donor cell type is important¹⁶⁹. Hypoxic culture conditions (5% O₂) greatly
594 enhances reprogramming efficiency in mouse and human cells¹⁷⁰. Taken together, donor cell types and culture
595 conditions can modulate reprogramming efficiencies.

596

597 **6. iPSCs versus ESCs**

598 Are iPSCs different from ESCs? Some recent comprehensive studies reveal only a *few* differences in global
599 gene expression and DNA methylation patterns, which were more obvious in early passages of iPSCs¹⁷¹⁻¹⁷³.
600 However, comparison studies with relatively smaller cell clones of iPSCs and ESCs revealed *more* significant
601 differences in either gene expression or DNA methylation patterns¹⁷⁴⁻¹⁷⁶. Some of the differences were
602 attributed to differential activation of promoters by pluripotency factors and variables such as the exogenous
603 factor combinations, culture conditions, and delivery methods. Altogether, these studies have conflicting
604 conclusions. Thus the answer to the question raised above is not straightforward. One study revealed a
605 similarity in DNA methylation patterns between the iPSCs and the donor somatic cells, suggesting that iPSCs
606 have a residual epigenetic ‘memory’ marks^{177,178}. Even among ESC populations, there exist epigenetic
607 heterogeneity and variable differentiation potential¹⁷⁹⁻¹⁸⁰. Thus, the current consensus is that iPSCs and ESCs
608 are neither identical or distinct, but are overlapping cell populations with genetic and epigenetic differences
609 that reflect their origins. Further experiments are essential to ascertain if these noticeable differences have any
610 impact on the potential therapeutic utility of iPSCs.

611 Though iPSCs offer many advantages when compared with ESCs, there are some limitations associated
612 with iPSCs as well. [Table 3](#) below shows the advantages and limitations of the iPSC technology when
613 compared to ESCs.

614 **Table 3.** Advantages and limitations of iPSC technology.

615

616

617

618

619 **7. Potential applications of iPSCs.**

620 The iPSC technology offers the opportunity to generate disease-specific and patient-specific iPSCs for

621 *modeling human diseases, drug development and screening, and individualized regenerative cell therapy.*
622 These three concepts are illustrated in **Figure 12** and are discussed in this section.

623

624 **7.1. Disease modeling**

625 Genetically matched iPSC lines can be generated in unlimited quantities from patients afflicted with diseases
626 of known or unknown causes. These cells can be differentiated *in vitro* into the affected cell types, thus
627 recapitulating the ‘disease in a Petri dish’ model. The differentiated, specialized cells or disease models offer
628 the opportunity to gain mechanistic insights into the disease and to use the cells to identify novel disease-
629 specific drugs to treat the disorder; for example, drugs to prevent the death of medium spiny neurons in
630 patients suffering from Huntington’s disease (**Figure 12**). The ability of iPSCs to proliferate extensively in
631 culture and differentiate into all types of cells in the human body ensures that they can be used as disease
632 models to study many diseases. Certainly, many studies have demonstrated the generation of iPSC lines from
633 patients with various genetically inherited and sporadic diseases (**Table 4**)¹⁹⁰. These *in vitro* studies give the
634 first proof of principle that disease modeling using iPSC technology is a viable option. However, the aim of
635 disease modeling is to understand the molecular mechanism of diseases, with the ultimate goal of developing
636 drugs for their treatment.

637

638

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640

641 **Figure 12.** A schematic showing the potential applications of human iPSC technology for disease modeling, drug discovery and cell
642 therapy using Huntington’s disease (HD) as an example. In HD patients, there is a progressive loss of striatal GABAergic medium
643 spiny neurons (MSNs). HD-specific iPSCs generated by cellular reprogramming can be differentiated into striatal MSNs to establish
644 an *in vitro* model of the disease, and potential drugs can be screened leading to the discovery of novel drugs that will prevent the
645 degenerative process. Alternatively, if known, the disease-causing mutation (i.e., mutant HTT gene) could be repaired in iPSCs by
646 gene targeting prior to their differentiation into healthy MSNs, followed by transplantation into the patient’s brain.

647

648 **7.2. Drug development and cytotoxicity studies**

649 Lee *et al.* utilized iPSCs to show disease modeling and drug screening for familial dysautonomia, a rare
650 genetic disorder of the peripheral nervous system (**Table 4**)¹⁹¹. The generated familial dysautonomia-iPSCs
651 were screened with multiple compounds, and the authors revealed that a plant hormone, kinetin, can partly
652 normalize the disease phenotype¹⁹¹. Loss of neurons following *in vitro* differentiation of spinal muscular

653 atrophy-iPSCs was ameliorated by exposure to experimental drugs¹⁹². These studies and many others (see
654 **Table 4**) show that iPSCs can facilitate drug screening and discovery. Indeed, several clinical drug candidates
655 have been derived from iPSC studies and are currently in clinical trials¹⁹³⁻¹⁹⁶. iPSCs are also used for testing
656 for the toxic and non-toxic effect of therapeutic drugs. Itzhaki and colleagues used long QT 2 syndrome
657 cardiomyocytes-iPSCs to test the potency and efficacy of existing and new pharmacological drugs and to
658 assess the cardiotoxic effects and safe dose levels of drugs¹⁹⁷. As a powerful tool for disease models, drug
659 discovery and cytotoxicity studies, iPSCs offer more advantages over animal models and clinical testing.
660 Animal models do not perfectly mirror the true human disease phenotype, and iPSCs toxicity models are less
661 expensive and save time when compared with conventional testing systems. Additionally, a different response
662 to drug toxicity in animals, due to species differences, could prevent the recapitulation of the full human
663 disease phenotype.

664

665

666 **Table 4.** Summary of published human iPSC disease models. Adapted from (190). ND- not determined.

667

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669

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671 **7.3. Regenerative medicine.**

672 The iPSC technology offers an exciting opportunity for generating patient-specific stem cells for autologous
673 transplantation. In regenerative medicine, the stem cells are used to promote endogenous regenerative repair
674 or to replace injured tissues after cellular transplantation. The clinical translation of iPSC-based cell therapy is
675 no longer futuristic, as the dream has now been realized. Two ground-breaking preclinical studies provided a
676 proof-of-concept that led to the realization of this dream. In 2007, Jaenisch and colleagues used homologous
677 recombination (gene targeting method) to repair the disease-causing mutations in iPSCs generated from a
678 humanized mouse model of sickle cell anemia (SCA)¹⁹⁸. The repaired SCA-iPSCs were differentiated into
679 hematopoietic progenitor cells and subsequently transplanted into the affected transgenic mice. This resulted
680 in the rescue and correction of the disease phenotype. The following year, Wernig and colleagues (from
681 Jaenisch's research group) reported an improvement in the dopaminergic function and behavioral symptoms
682 in a rat model of Parkinson's disease, after the transplantation of iPSC-derived dopaminergic neurons¹⁹⁹.
683 These two successful iPSC-based cell therapies spurred the stem cell research community into exploring

684 iPSCs therapy in humans. The first clinical trial using human iPSC was initiated in 2014 by transplanting
685 human iPSC-derived retinal pigment epithelial (RPE) cells to treat macular degeneration²⁰⁰. The progression
686 of the macular degeneration was halted in the first patient, with improved vision²⁰¹. However, the trial was
687 placed on hold due to the discovery of mutations in the iPSCs of the second patient²⁰⁰. The researchers at
688 RIKEN institute are hoping to resume the study using HLA-matched allogeneic iPSCs^{202,203}.

689 The recent advances in genome editing technology now allow for the introduction of genetic changes into
690 iPSCs in a site-specific manner. We can now repair disease-causing gene mutations in patient-derived iPSCs,
691 thus generating genetically healthy human iPSCs lines for iPSC-based cell therapy (See **Figure 12**). Similarly,
692 we can also introduce specific mutations into non-diseased iPSCs, and generate genetically-matched isogenic
693 iPSC lines that mimic the true pathology of the disease of interest, to be used for human iPSC-based disease
694 models. Gene editing technologies like *zinc-finger nucleases*^{204,205}, *transcription activator-like effector*
695 *nucleases (TALENs)*²⁰⁶⁻²⁰⁸, and *CRISPR-Cas9*²⁰⁹⁻²¹² technology have greatly improved the efficiency of gene
696 editing in both human ESCs and iPSCs via DNA double-stranded breaks at the site of gene alteration. The
697 combination of human iPSC platform with gene editing technologies can make iPSC-based cell therapy a
698 more powerful and viable stem cell therapy option. The following section presents an in-depth analysis
699 regarding gene editing technology in iPSCs generation.

700

701 **8. Genome editing technology in iPSCs generation**

702 iPSCs have been indisputably proven to be a discovery that will transform medicine with respect to
703 understanding the genetic etiology of diseases while equally providing the much needed genetic therapies. Its
704 current combination with genome editing has further enhanced the diagnostic and therapeutic power of the
705 iPSCs²¹³. Several methods have been used in the past to genetically target pluripotent stem cells. The process
706 of gene targeting means modifying a specific genomic locus on a host DNA, and the locus is replaced with
707 an exogenous sequence by supplementation with a targeting vector. The technique of gene targeting has
708 availed scientists with the ability to control cellular genomes²¹³. Gene targeting has however been shown to
709 be way more challenging in human pluripotent stem cells than in mouse ES cells²¹³, and this has been
710 attributed to differences in developmental stages rather than species-related differences²¹⁴. Conventional gene
711 targeting has recorded only a limited amount of success²¹⁵ hence the drive towards developing better methods
712 of gene targeting.

713 Gene editing technologies have remarkably improved over the years with the recent technologies able to
714 introduce genetic changes in a site-specific manner in iPSCs²¹⁶. The more recent technologies induce double-
715 stranded DNA breaks in the region of gene modification²¹⁶. These programmable site-specific nucleases have
716 evolved from Zinc-finger nucleases (ZFN)^{204,205} to transcription activator-like effector nucleases
717 (TALENs)^{207,208} and the RNA guided engineered nucleases (RGEN) gotten from the bacterial clustered

718 regularly interspaced short palindromic repeat (CRISPR)-Cas (CRISPR-associated) 9 system^{210,211}. These
719 technologies can easily correct pathology-causing genetic mutations derived from diseased patients and
720 similarly can be used to induce specific mutations in disease-free wild-type iPSCs²¹⁶. Thus with this approach,
721 genetically matched, isogenic iPSCs can be generated while ensuring that true pathologies are reliably
722 identified and not confused with genetic background variations or epiphenomena associated with line-to-line
723 disparities²¹⁶. In as much as the three nucleases possess a similar mechanism of action which is the cleavage
724 of chromosomal DNA in a location-specific manner, each of the nucleases still has its unique
725 characteristics²¹⁷. The well-documented study done by Kim et al.²¹⁷ on the nucleases has been briefly
726 summarized in **Table 5**. Of the three nucleases, the CRISPR-Cas9 system has however gained wide
727 acceptance and usage in the editing of human iPSC because it is simple to design and use²¹⁶, thus necessitating
728 a little more review below.

729 Cas9 is a large multifunctional protein having two putative nuclease domains, the HNH and RuvC-like²¹⁸.
730 The HNH and the RuvC-like domains cleave the complementary 20-nucleotide sequence of the crRNA and
731 the DNA strand opposite the complementary strand respectively²¹⁸. Several variants of the CRISPR-Cas9
732 system exists and hence the subtle diversity to their modes of action: (1) The original CRISPR-Cas9 system
733 functions by inducing DNA double-stranded breaks which are triggered by the wild-type Cas9 nuclease
734 directed by a single RNA²¹⁶. However, its major challenge is the possibility of off-target effects²¹⁶, (2). The
735 nickase variant of Cas9(D10A mutant) which is generated by the mutation of either the Cas9 HNH or the
736 RuvC-like domain^{219,220} is directed by paired guide RNAs, (3) Engineered nuclease variant of Cas9 with
737 enhanced specificity (eSpCas9)^{221,222}. The nickase (D10A mutant) and the eSpCas9 variants have both been
738 shown to substantially reduce off-target effects while still maintaining their meticulous on-target
739 cleavage^{221,222}, (4) Catalytically dead Cas9 (dCas9) variant is generated by mutating both domains (HNH and
740 RuvC-like)²¹⁹⁻²²⁰. dCas9, when merged with a transcriptional suppressor or activator can be used to modify
741 transcription of endogenous genes (CRISPRa or CRISPRi) or when fused with fluorescent protein can be
742 used to image genomic loci²²¹⁻²²³, (5). A modified CRISPR-Cas9 variant has been used to efficiently
743 introduce DNA sequences in an exact monoallelic or biallelic manner²²⁴, and (6) CRISPR-Cas9 fused with
744 cytidine deaminase, results in a variant which induces the direct conversion of cytidine to uridine, hence
745 circumventing the DNA double-stranded break²²⁵.

746 Hotta and Yamanaka have extensively reviewed how these nucleases have been used to mediate gene
747 editing in pluripotent stem cells²¹³. Thus it is anticipated that the combination of these two technologies (gene
748 editing and iPSCs) might be the dawn of a new phase of gene therapy.

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755 **Table 5.** Summary of the nucleases used in genome editing for iPSCs generation. a) ZFN b) TALENs c) RGEN

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758 Table 5a

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760 Table 5b

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762 Table 5c

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765 9. Future perspective

766 The promise that iPSCs are viable and possibly superior substitutes for ESCs in disease modeling, drug
767 discovery and regenerative medicine has not yet been fulfilled. Despite great success in animal models, there
768 are still many obstacles on the road to the clinical application of iPSCs. A major limitation is the heterogeneous
769 nature of the cell population and differentiation potential of iPSCs. Hopefully, the *CRISPR-Cas9* system can
770 be used to address this limitation since the technology can improve the disease phenotype of differentiated
771 cells^{213,226}. Another major limitation is the lack of robust lineage-specific differentiation protocols to generate
772 large quantities of purified and matured iPSC-differentiated cells. More basic research on reprogramming
773 technology is critical for the development of novel protocols for the generation of standardized human iPSC.
774 A more current biotechnology, the microRNA switch²²⁷, is expected to facilitate the maturation and
775 purification of iPSC-differentiated cells and to reduce clonal variation.

776 While we wait for these limitations to be addressed, it will be wise to bank iPSCs from patients with
777 specific diseases. Doing so will allow us the time to guarantee the quality of these cells thus saving time and
778 cost when they are made available for transplantation.

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780 10. Conclusion

781 The discovery of iPSCs by Takahashi and Yamanaka is truly a breakthrough of the decade in stem cell
782 science. The year 2016 marked the 10th anniversary of this landmark discovery. The last decade has witnessed
783 remarkable advancement in our understanding of the molecular mechanisms of induced pluripotency, and we
784 moved from the 'bench to the bedside' in 2014. The more recent long-term study involving the application of

785 human iPSC-derived dopaminergic neurons in primate Parkinson's disease (PD) models at the Center for iPSC
786 Cell Research and Application, Kyoto University, Japan, reveals that human iPSCs are clinically applicable
787 for the treatment of patients with PD²²⁸. The iPSC-based cell therapy is still at its infant stage. The remaining
788 barriers blocking the path to successful translation of this technology into clinical therapy have to be
789 overcome. We believe many of these challenges are only technical and with time '*this too shall pass away.*'
790 The combination of the human iPSC technology with genome-editing technologies may trigger a new era of
791 gene therapy utilizing iPSCs.

792

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Figure 1

Historical timeline showing events that led to the development of iPSCs.

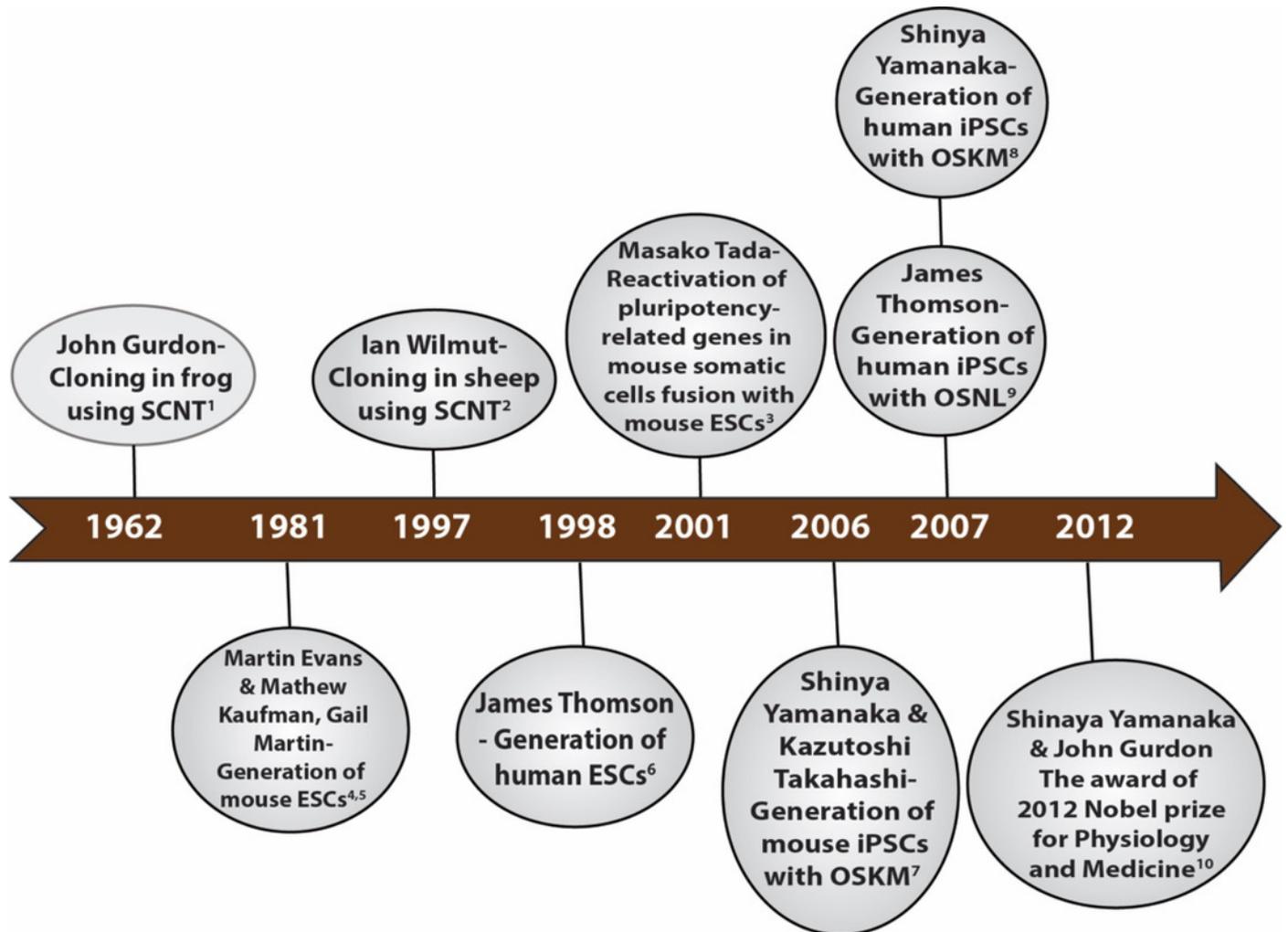


Figure 2

Generation of iPSCs from MEF cultures via 24 factors by Yamanaka.

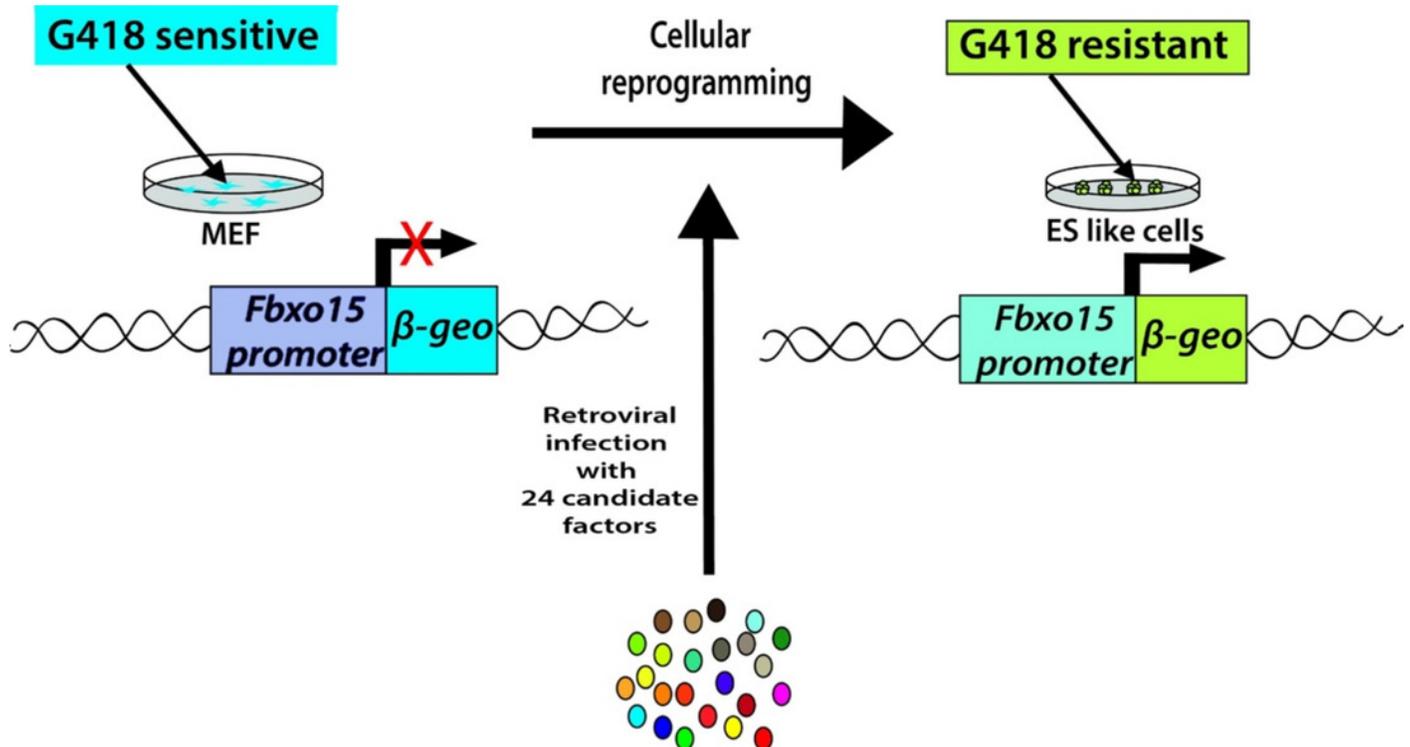


Figure 3

Schematic representation of various delivery methods of iPSC induction.

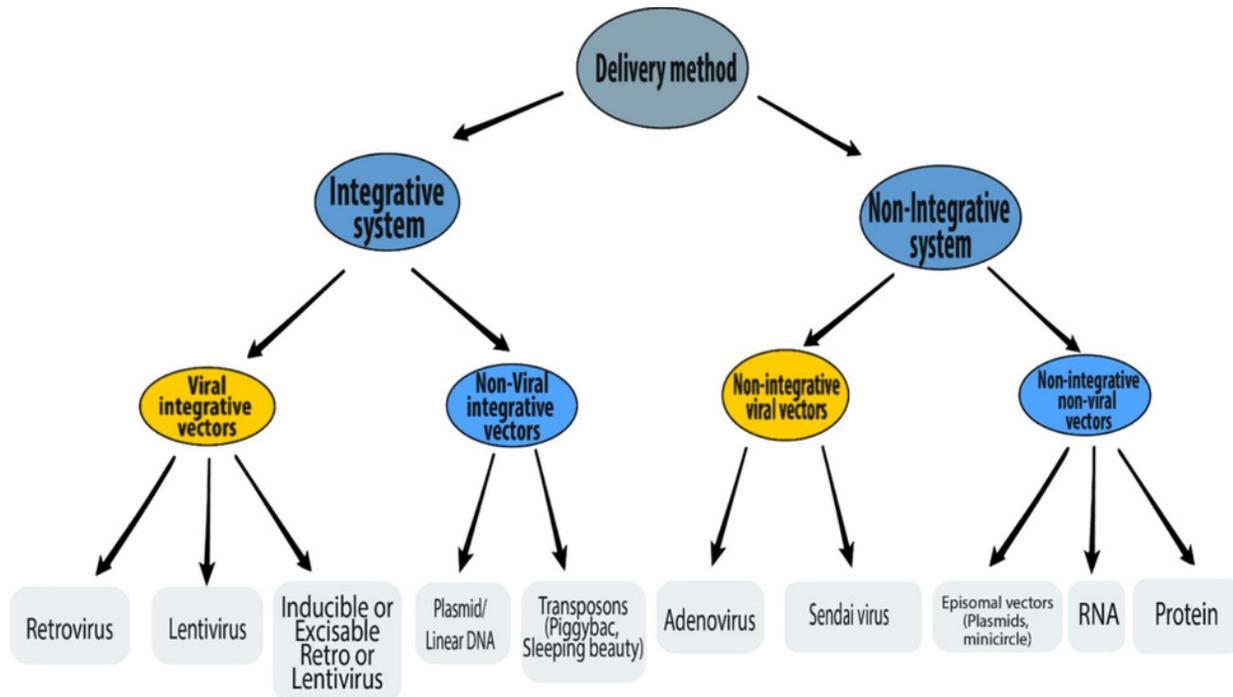


Figure 4

The autoregulatory loop.

Oct4, Sox2 and Nanog form an interconnected autoregulatory circuit, by binding together to activates the promoters of both their own genes and the genes of each other. The 3 master regulators are able to maintain their own expression, thus maintaining pluripotency. Proteins are depicted as brown colors and gene promoters as dark slate gray. Adapted from (117).

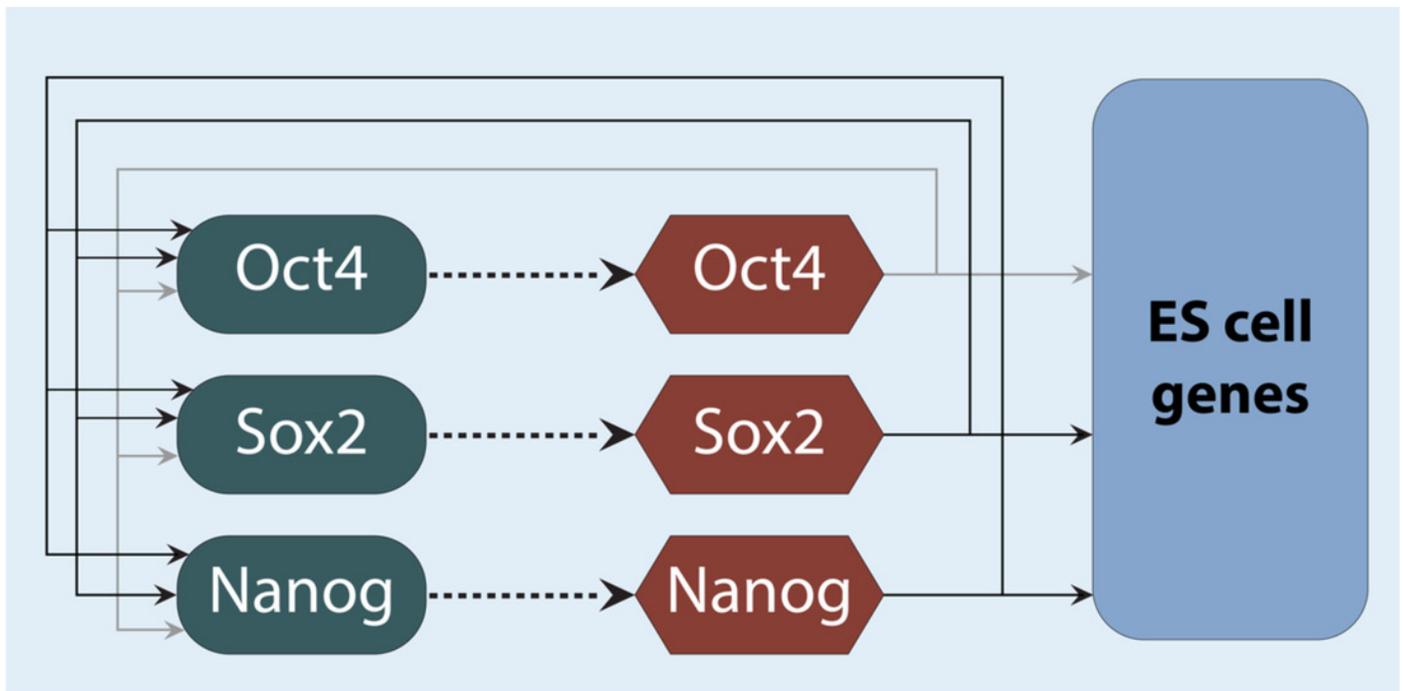


Figure 5

The Oct4, Sox2 and Nanog trio contributes to ES cell pluripotency by repressing genes linked to lineage commitment, and activating genes involved in pluripotency.

Proteins are depicted as brown colors and gene promoters as dark slate gray. Adapted from (117).

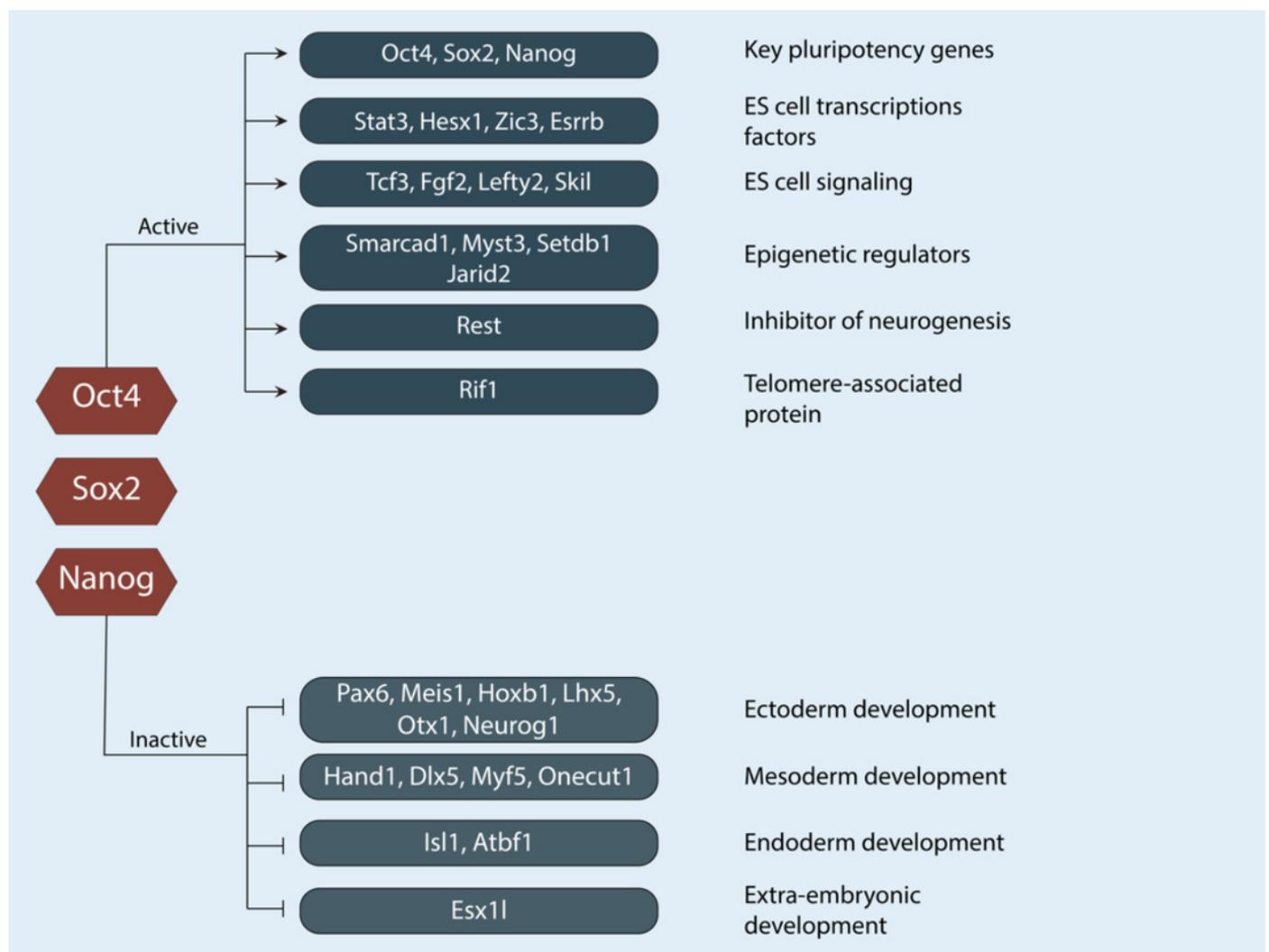


Figure 6

Model of sequential steps in the reprogramming of somatic cells.

(A) Sequential changes of phenotypes and activation of Oct4, Sox2 and Nanog. Following transduction with OSKM factors, the infected fibroblasts assumed a transformed phenotype. The endogenous Oct4 or Nanog genes become transcribed at a low level that is sufficient enough for drug resistance in cells carrying the *neo* gene but not sufficient to produce GFP expression in Oct4-GFP or Nanog-GFP cells. After 2-3 weeks endogenous Oct4 and Nanog genes become fully activated as shown by the appearance of GFP⁺ iPSCs in Oct4-GFP or Nanog-GFP fibroblasts¹⁵⁻¹⁷. (B) During the reprogramming progress, repressive H3K9me3 histone marks are gradually replaced by the transcriptionally active H3K4me3 histone marks while the DNA are gradually demethylated (open lollipop). (C) Molecular circuitry during reprogramming. During reprogramming process, the de novo methyltransferases Dnmt3a and Dnmt3b become activated and in turn de novo methylate and silence the virally transduced factors. The pluripotent state is now maintained by the autoregulatory loop of expression of the three master regulatory factors, Oct4, Sox2 and Nanog. Adapted from (116).

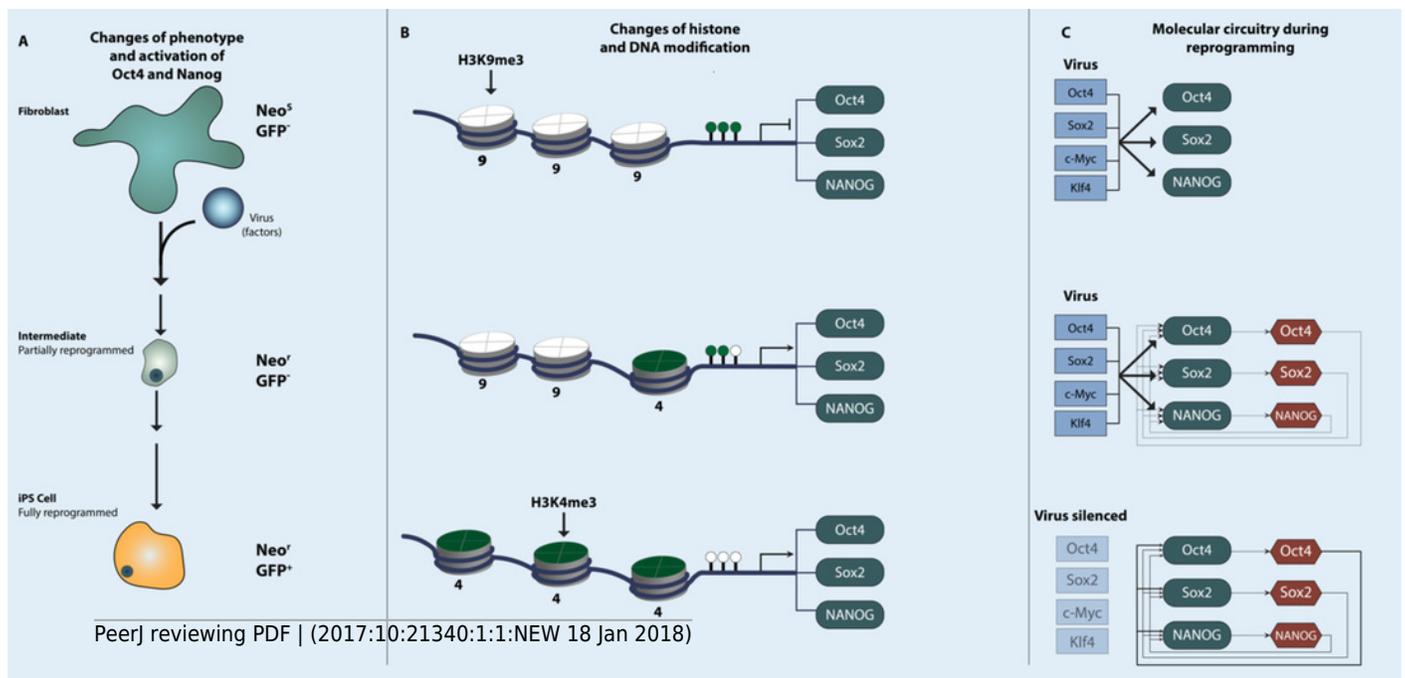


Figure 7

Schematic representation of the chromatin rearrangement occurring during somatic cell reprogramming and differentiation of pluripotent stem cells. Adapted from (144).

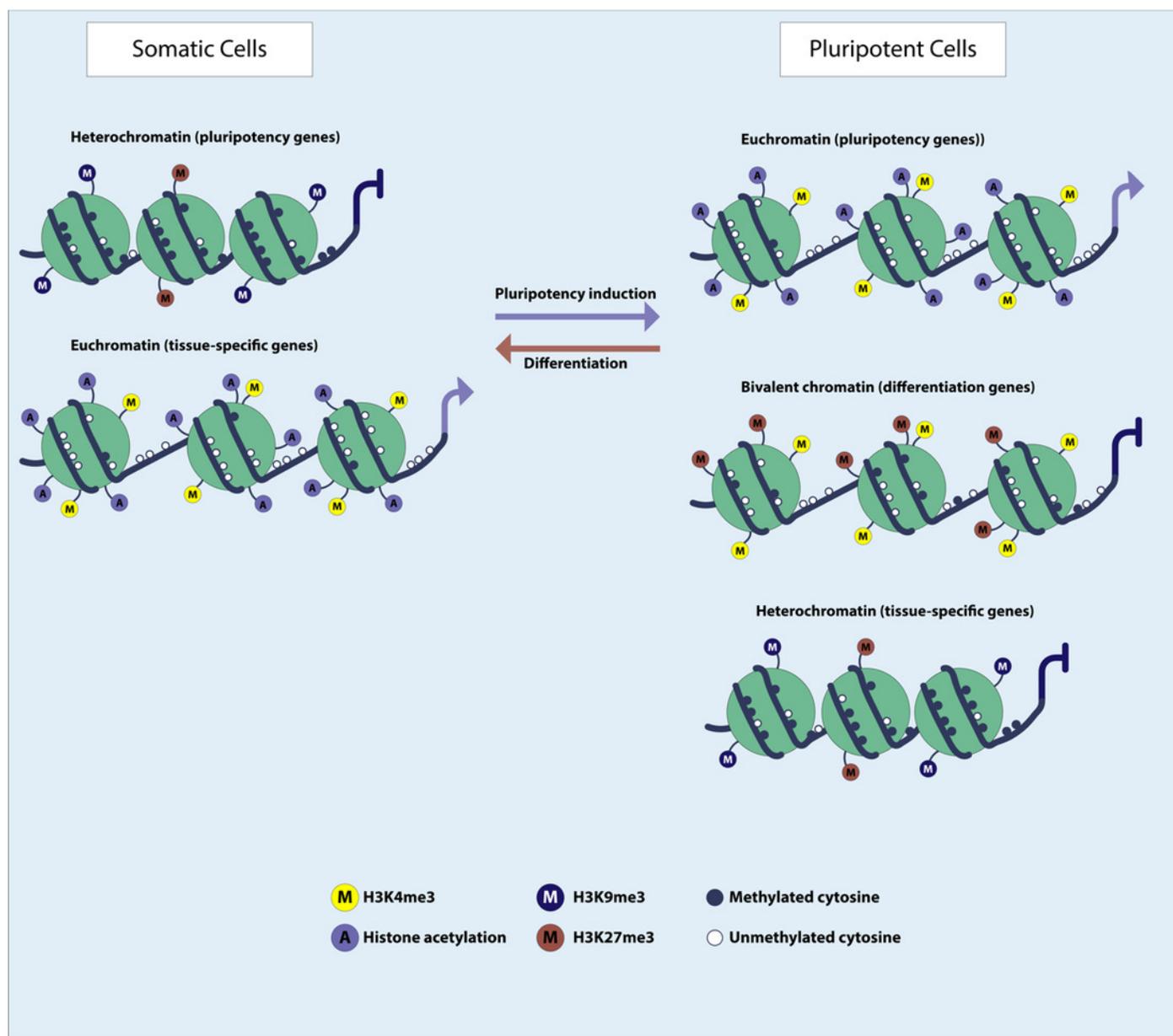


Figure 8

Exogenous Oct4 and Sox2 resuscitate the interconnected autoregulatory loop during reprogramming.

In infected fibroblasts, endogenous Oct4, Sox and Nanog are reactivated by ectopic expression of Oct4, Sox2 and other factors. The endogenous genes (in dark slate gray) continue to maintain their own expression while the transgene expression is gradually silenced by de novo DNA methylation. This indicate that exogenous factors are required only for the induction of pluripotency. Adapted from (117).

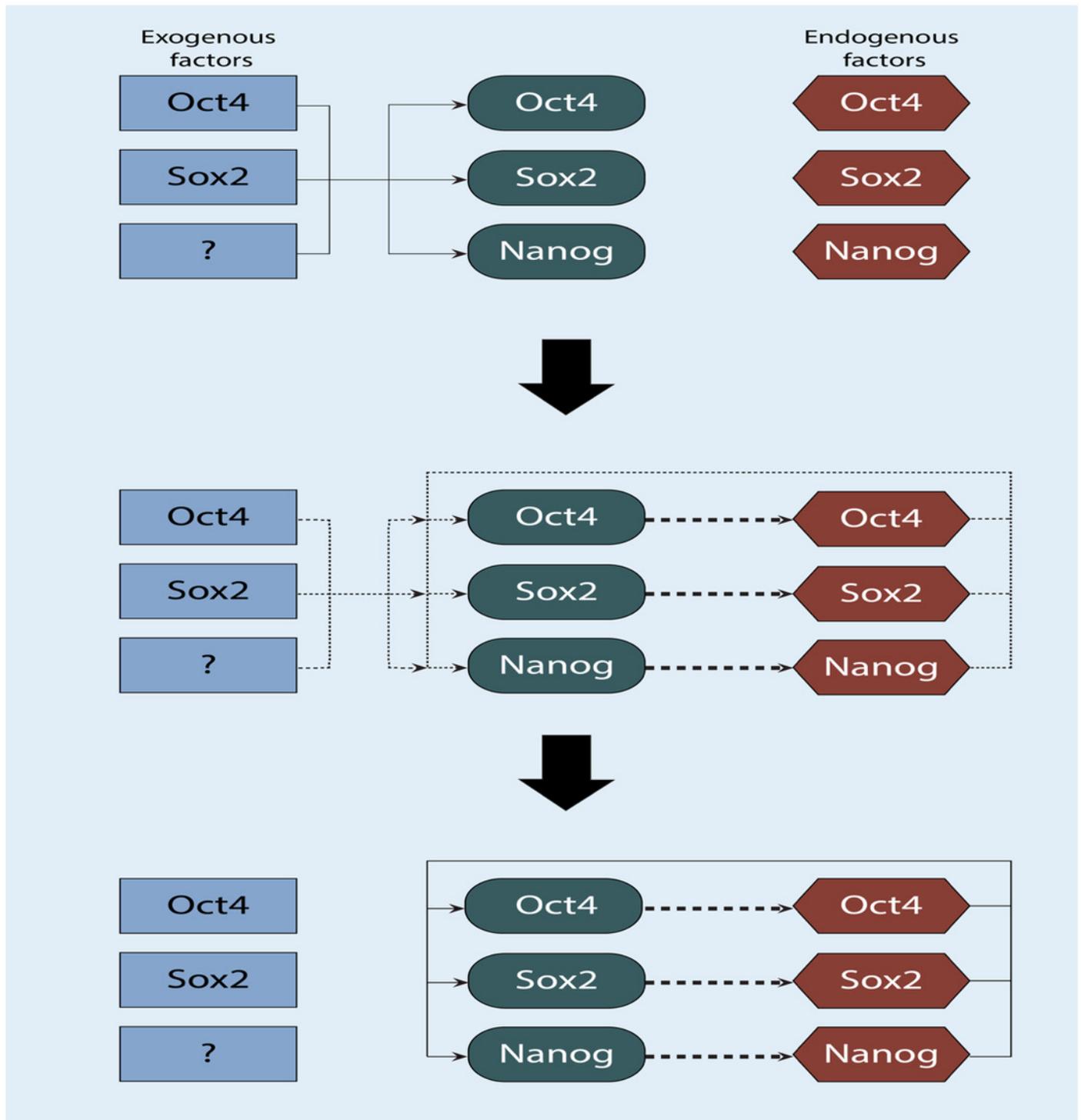


Figure 9

The roles of OSKM factors in the induction of iPSCs.

Pluripotent stem cells are immortal with open and active chromatin structure. It is probable that c-Myc induce these two properties by binding to several sites on the genome and by the recruitment of multiple histone acetylase complexes. However, c-Myc also induces apoptosis and senescence and this effect may be antagonized by Klf4. Oct3/4 probably changes the cell fate from tumor cells to ES-like cells while Sox2 helps to drive pluripotency. Adapted from (157).

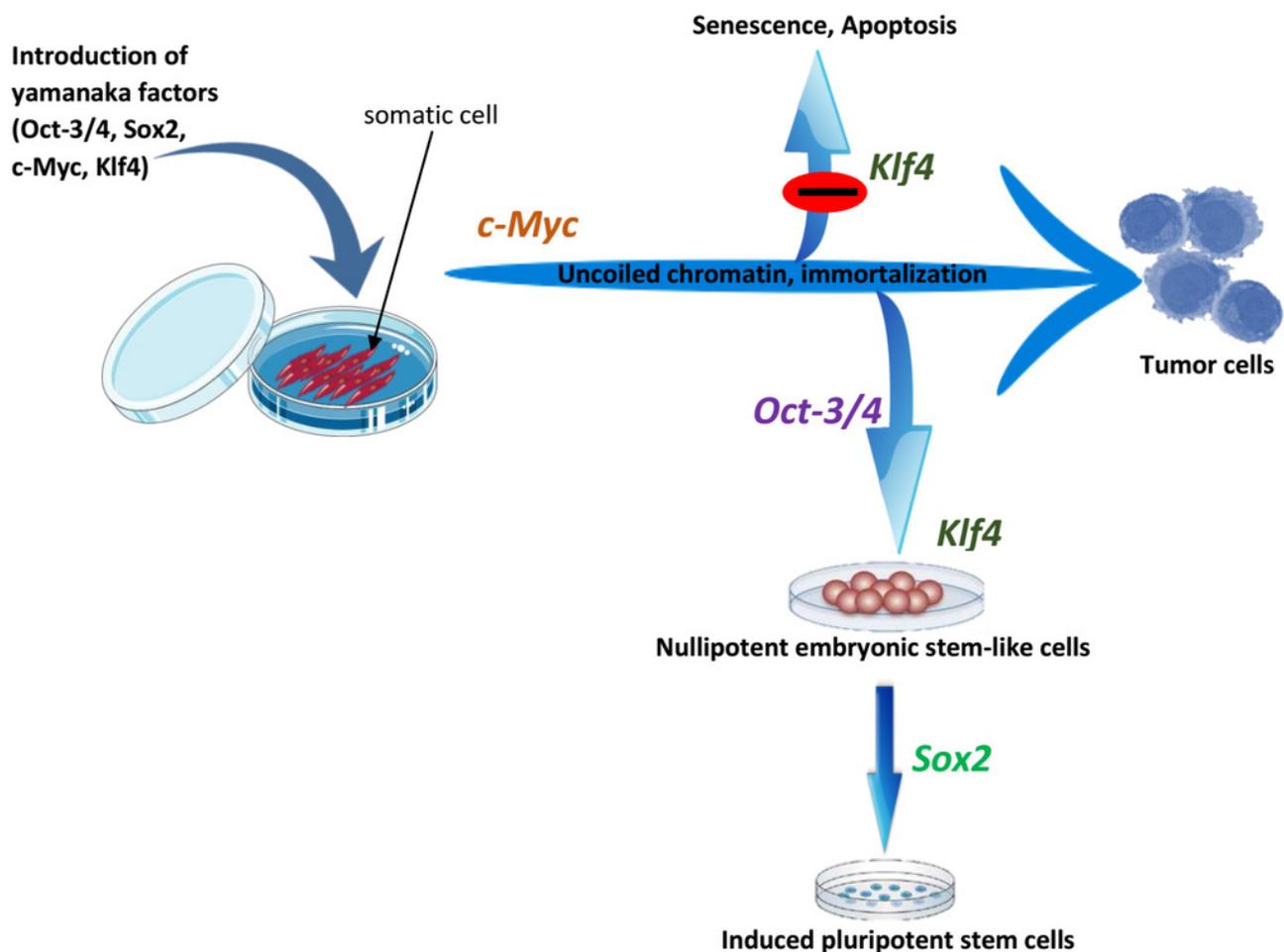


Figure 10

Two-phase model of induced reprogramming. Adapted from (117).

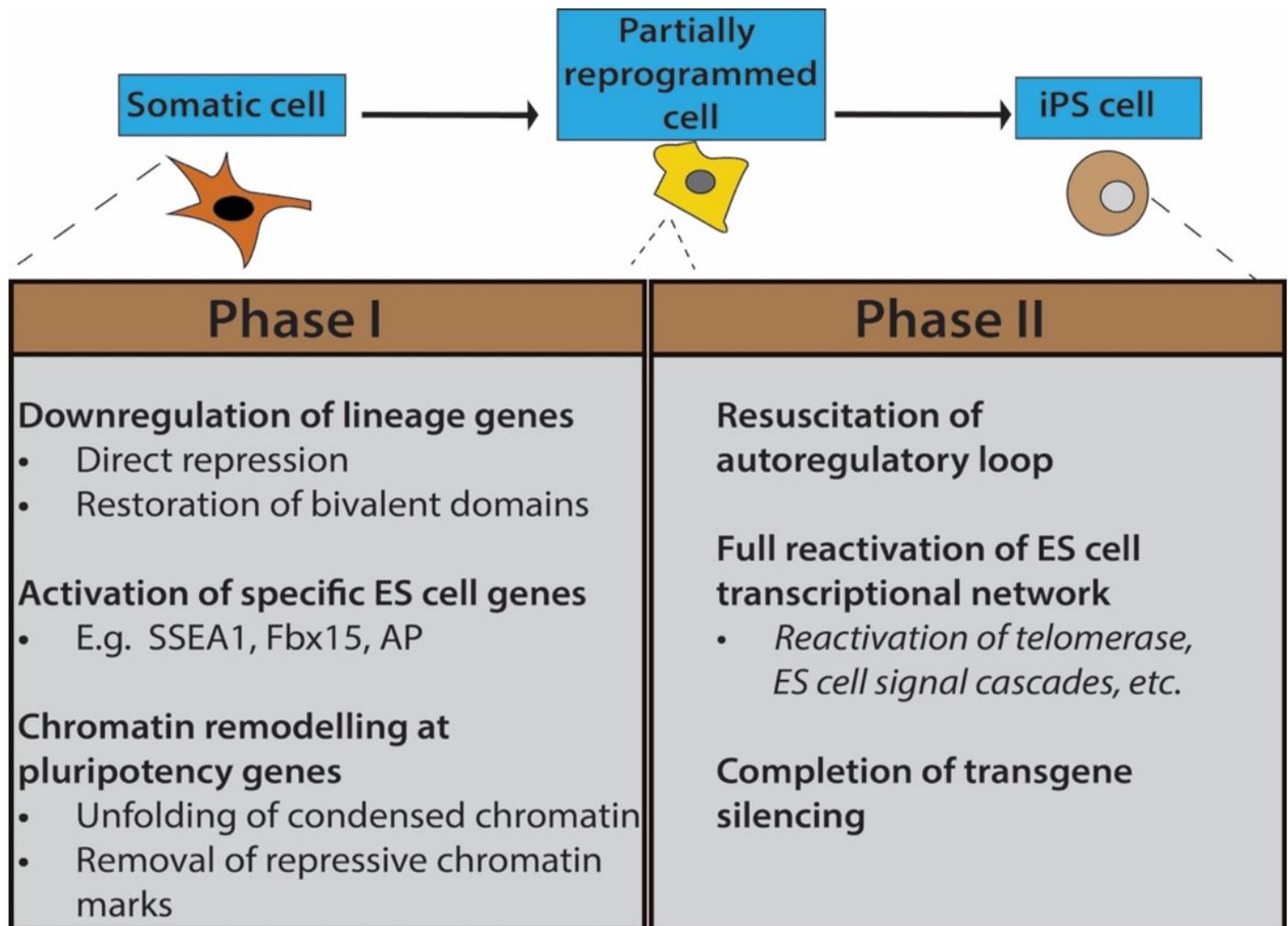


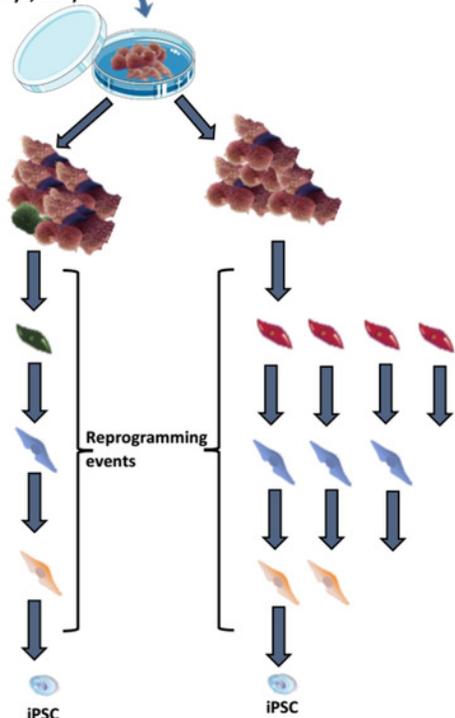
Figure 11

Mechanistic insights into transcription factor-mediated reprogramming.

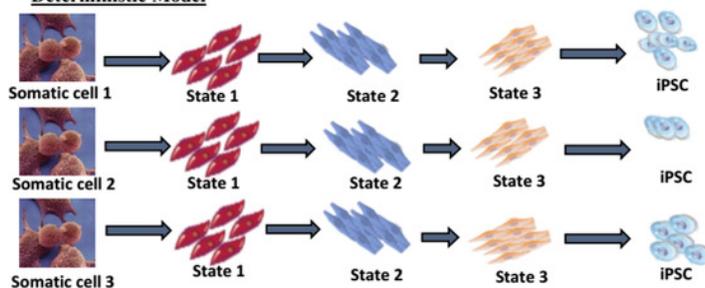
(a) The Elite model, (b) The Deterministic model, and (c) The Stochastic model. Adapted from (164).

A. Elite Model

Introduction of yamanaka factors (Oct-3/4, Sox2, c-Myc, Klf4)



B. Deterministic Model



C. Stochastic Model

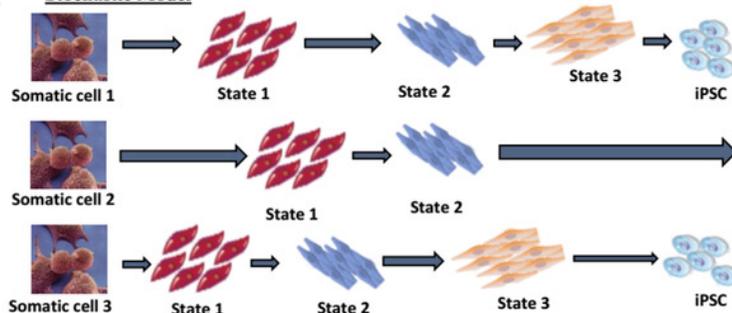


Figure 12

A schematic showing the potential applications of human iPSC technology for disease modelling, drug discovery and cell therapy using Huntington's disease (HD) as an example.

In HD patients, there is progressive loss of striatal GABAergic medium spiny neurons (MSNs). HD-specific iPSCs generated by cellular reprogramming can be differentiated into striatal MSNs in order to establish an *in vitro* model of the disease, and potential drugs can be screened leading to discovery of novel drugs that will prevent the degenerative process. Alternatively, if known, the disease-causing mutation (i.e. mutant HTT gene) could be repaired in iPSCs by gene targeting prior to their differentiation into healthy MSNs, followed by transplantation into the patient's brain.

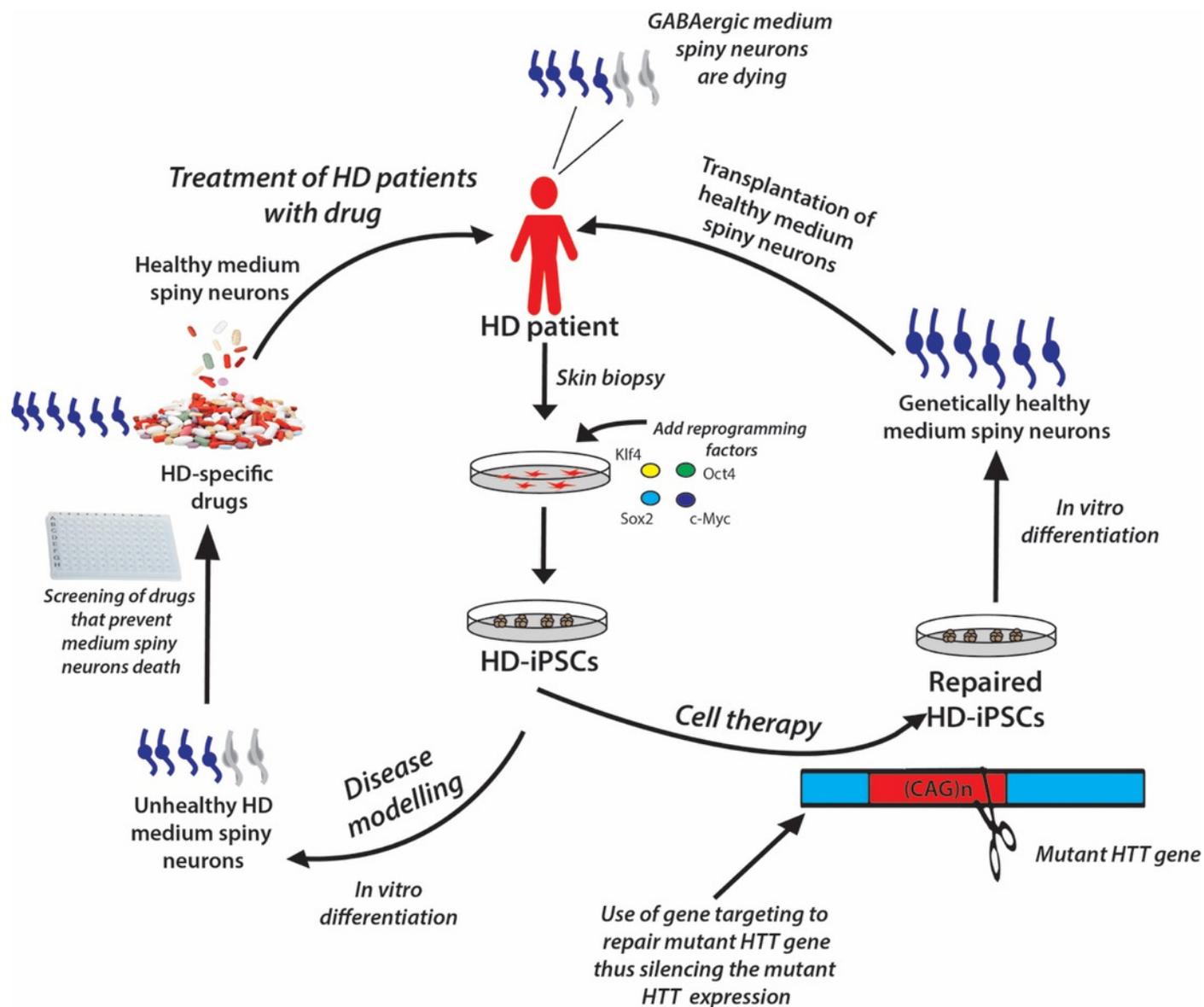


Table 1 (on next page)

The characterization of iPSCs. Adapted from (82).

Morphology	Flat, cobblestone-like cells, ES like morphology
	Tightly packed colonies with sharp edges
Pluripotency markers	Alkaline phosphatase assay (as a live marker)
	Increase levels of pluripotency proteins such as Oct4, Nanog, SSEA3/4, TRA-1-60 and TRA-1-81.
Differentiation potential	Teratoma formation- can form ectoderm, mesoderm and endoderm, the three germ layers.
	Embryoid body formation-can form ectoderm, mesoderm and endoderm, the three germ layers.
Genetic Analyses	Diploid karyotype.
	Transgene silencing after reprogramming.
Epigenetic Analyses	DNA methylation of lineage-committed genes
	DNA demethylation of key pluripotency genes like Oct4, Sox2, Nanog

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Table 2 (on next page)

Reprogramming factors capable of reprogramming human cells. Adapted from (82).

Reprogramming factors	Function	Affected pathway	Effect on pluripotency	References
Oct4	Maintenance of pluripotency and self-renewal.	Core transcriptional circuitry	+	8
Sox2	Maintenance of pluripotency and self-renewal	Core transcriptional circuitry	+	8
Klf4	Maintenance of pluripotency and self-renewal	Core transcriptional circuitry	+	124,125, 126
c-Myc	Maintenance of pluripotency and self-renewal	Core transcriptional circuitry	+	8
Lin28	Maintenance of pluripotency, translational enhancer, inhibits let7	Core transcriptional circuitry	+	9,38
Nanog	Maintenance of pluripotency and self-renewal	Core transcriptional circuitry	+	9,38
Sall4	Maintenance of pluripotency and self-renewal	Core transcriptional circuitry	+	32,38
Utf1	Maintenance of pluripotency	Core transcriptional circuitry	+	31,38
p53	Induces senescence, tumor suppressor	Apoptosis/ cell cycle	-	39-43

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Non-coding RNA				
Reprogramming factors	Function	Affected pathway	Effect on pluripotency	References
miR367	Inhibits EMT	TGF β	+	60
LincRNA-ROR	Regulates expression of core transcriptional factors	Core transcriptional circuitry	+	33,34,35,36
miR302	Inhibits EMT/stimulates oct4 expression	TGF β ; Core transcriptional circuitry; apoptosis	+	60-62, 64
miR766	Inhibits Sirt6	Chromatin remodeling	-	55
miR200c	Inhibits EMT/TGF β pathway	TGF β	+	63
miR369	Inhibits EMT/TGF β pathway	TGF β	+	63
miR372	Inhibits EMT/TGF β pathway	TGF β	+	64
Let7	Regulates expression of core transcriptional factors and prodifferentiation genes	Core Transcriptional circuitry/ TGF β	-	33,34,35,36

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Small molecules				
Reprogramming factors	Function	Affected pathway	Effect on pluripotency	Reference
Vitamin C	Alleviates cell senescence/ antioxidant	Hypoxia response	+	56-58
Valproic acid	Inhibits histone deacetylases	Chromatin remodeling	+	47
CHIR99021	GSK 3-inhibitor	PI3k; Wnt/ β -catenin	+	49
Parnate	Lysine-specific demethylase 1 inhibitor	Chromatin remodeling	+	49
BIX-01294	Methyltransferase G9a inhibitor	Chromatin remodeling	+	50,51
5-azacytidine	DNA methyltransferase inhibitor	Chromatin remodeling	+	47
Trichostatin A	Inhibits histone deacetylases	Chromatin remodeling	+	47

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Table 3 (on next page)

Advantages and limitations of iPSCs technology

Advantages	Limitations
Eliminates ethical issues and religious concerns associated with ESCs use	Efficiency of reprogramming is generally low ^{7,8,27,28}
Risk of immune rejection is reduced ¹⁸¹	Tumorigenesis ¹⁶
Donor cell is easily and non-invasively obtained, no embryo destruction	Risk of insertional mutagenesis from virus based delivery methods ^{7,8,9,16}
Accessible to large number of patients, unlike ESCs limited by ethical concerns	Increased chances of development of diseases due to factors used ¹⁸⁵⁻¹⁸⁸
Personalization of treatment with patient-specific stem cells and drugs ¹⁸²	Very early days in this field, more basic research are needed
Use for disease modelling-they carry the same disease-causing factor as the patient	Complex and polygenic diseases are difficult to be modeled.
High-throughput screening for drugs and toxicity prediction ^{183,184}	High costs associated with production and characterization of each cell line
Allows for gene targeting and gene editing technology to correct mutations ¹⁸⁴	Suboptimal standardization ¹⁸⁹ . Stringent protocols are still needed.

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Table 4(on next page)

Summary of published human iPSC disease models. Adapted from (190). ND- not determined.

Disease type	Disease name	Genetic cause	Number of lines	Cell type	Control line	Phenotype	Drug test	PMID
Neurological	Parkinson's disease	Polygenic	23	Dopaminergic neurons	hiPSC	No obvious defect	ND	19269371
		Polygenic (with LRRK2 mutation)	4	Dopaminergic neurons	hiPSC	Neuronal death with chemicals	Yes	21362567
	Amyotrophic lateral sclerosis	Polygenic	3	Motor neurons	hESC	ND	ND	18669821
	Spinal muscular atrophy	Monogenic	2	Motor neurons	hiPSC	Loss of neuron formation, loss of SMN gene expression	Yes	19098894
	Familial dysautonomia	Monogenic	2	Neural crest cells	hiPSC, hESC	Loss of neural crest cells	Yes	19693009
	RETT syndrome	Monogenic	4	Neurons	hiPSC	Loss of synapses, reduced spine density, smaller soma size	Yes	21074045
	Huntington's disease	Monogenic	2	ND	hiPSC, hESC	ND	ND	18691744
	Friedreich ataxia	Monogenic	6+	ND	hESC	Changes GAA-TTC repeat	ND	21040903
Blood	Fanconi anaemia	Monogenic	19	Blood cells	hiPSC, hESC	Corrected loss of FANCA function	ND	19483674
	Fragile X syndrome	Monogenic	11	ND	hiPSC, hESC	Loss of FMR1 expression	ND	20452313

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Disease type	Disease name	Genetic cause	Number of lines	Cell type	Control line	Phenotype	Drug test	PMID	
Cardiac and Vascular	Long QT 1 syndrome	Monogenic	6	Cardiomyocytes	hiPSC	Increased cardiomyocyte depolarization	Yes	20660394	
	Long QT 2 syndrome	Monogenic	Not reported	Cardiomyocytes	hiPSC	Increased cardiomyocyte depolarization	Yes	21240260	
	LEOPARD syndrome	Monogenic	6	Cardiomyocytes	hiPSC, hESC	Increased cardiomyocyte size, decreased MAPK signalling	ND	20535210	
	Timothy syndrome	Monogenic	16	Cardiomyocytes	hiPSC	Increased cardiomyocyte depolarization	Yes	21307850	
	Hutchinson Gilford Progeria		Monogenic	4	Smooth muscle cells, mesenchymal stem cells	hiPSC, hESC	Smooth muscle and mesenchymal cells apoptosis	ND	21185252
			Monogenic	6	Smooth muscle cells	hiPSC	Smooth muscle cell nuclear morphology and ageing phenotype	ND	21346760
Duchenne muscular dystrophy	Monogenic	2	ND	hiPSC, hESC	ND	ND	18691744		
Pancreatic	Type 1 diabetes	Polygenic	4	Insulin- and glucagon-producing cells	hESC	ND	ND	19720998	
Hepatic	A1-antitrypsin deficiency	Monogenic	19	Hepatocytes	hiPSC	Loss of A1-antitrypsin expression	Yes	20739751	
Others	Prader-Willi syndrome	Monogenic	4	Neurons	hiPSC, hESC	Imprint disorder	ND	20956530	
	Angelman and Prader-Willi syndrome	Monogenic	13	Neurons	hiPSC, hESC	Loss of paternal UBE3A expression	ND	20876107	
	Down syndrome	Monogenic	2	ND	hiPSC, hESC	ND	ND	18691744	

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Table 5 (on next page)

Summary of the nucleases used in genome editing for iPSCs generation.

a) ZFN b) TALENS c) RGEN.

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Nuclease	Composition	Availability	Targetable sites	Pitfalls
ZFN	<p>ZFN is composed of a modular structure which has two domains: a DNA-binding Zinc-finger protein (ZFP) domain and a nuclease domain gotten from the <i>FokI</i> restriction enzyme.</p> <p>The <i>FokI</i> nuclease domain has to dimerize in order to cleave DNA.</p> <p>ZFPs determines the ZFNs sequence specificity, which comprise of C2H2 zinc-fingers tandem arrays-the DNA-binding motif that is most common in higher eukaryotes.</p>	<p>By modular assembly of pre-characterized zinc-fingers, it is quite convenient to construct new ZFPs with desired specificities.</p> <p>Available resources for programmable nucleases have been extensively elucidated by Kim et al.²¹⁷</p>	<p>Sites that can be successfully targeted are often rich in guanines and consists of 5'-GNN-3' (where N stands for nucleotide) repeat sequences.</p>	<p>The ZFNs created through the convenient method of zinc-fingers pre-characterization are often devoid of DNA targeting activity or are often cytotoxic owing to off-target effects.</p> <p>Constructing ZFNs with high activity and low cytotoxicity still remains a challenge with the use of publicly available resources.</p> <p>The use of ZFNs are hampered by poor targeting densities</p> <p>Presently no available open-source collection of 64 zinc-fingers that can cover all the likely combinations of triplet sites.</p> <p>Chromosomal DNA cannot be cleaved efficiently by all newly assembled ZFNs, especially those having 3 zinc-fingers.</p>

3 Table 5a

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5 Table 5b

Nuclease	Composition	Availability	Targetable Sites	Pitfalls
TALENs	<p>Although the TALENs use a different category of DNA-binding domains named transcription activator-like effectors (TALEs), they however, still contain the <i>FokI</i> nuclease domain at their carboxyl termini.</p> <p>The TALEs are made up of 33-35 amino acid repeats</p> <p>Repeat variable diresidues (RVDs) determines the nucleotide specificity of each repeat domain. The 4 different RVDs include: Asn-Ile, His-Asp, Asn-Asn, Asn-Gly-these are most widely used to recognize adenine, cytosine, guanine and thymine respectively.</p>	<p>New TALENs with desired sequence specificities can be easily designed because of the one-to-one correspondence between the 4 bases and the 4 RVD modules.</p> <p>Available resources for programmable nucleases have been extensively elucidated by Kim et al.²¹⁷</p>	<p>The crucial advantage of TALENs over the other nucleases is that it can be designed to target almost any desired DNA sequence</p> <p>Although conventional TALENs do not cleave target DNA containing methylated cytosine, interestingly, a methylated cytosine is identical to thymine in the major groove. Therefore, Asn-Gly RVD repeat (which recognizes thymines) can be used to replace His-Asp RVD repeat (which recognizes cytosines) and thus generate TALENs that cleave methylated DNA.</p>	<p>The fact that TALENs frequently consists of about 20 RVDs and that highly homologous sequences can fuse with one another in cells, make the construction of DNA segments that encode TALE arrays challenging and time-consuming.</p> <p>The need for a thymine to be at the 5' of the target sequence for recognition by two amino-terminal cryptic repeat folds appear to be the only limitation to the construction of the TALENs.</p>

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Nuclease	Composition	Availability	Targetable Sites	Pitfalls
RGEN	<p>The organisms bacteria and archaea capture small fragment of the DNA (~20bp) from the DNA of invading plasmids and phages and fuses these sequences (named protospacers) with their own genome thus forming a CRISPR</p> <p>For type II CRISPR, the CRISPR sites are first transcribed as pre-CRISPR RNA (pre-crRNA) and further processed to form target-specific CRISPR RNA (crRNA).</p> <p>Also contributing to the processing of the pre-crRNA is the invariable target-independent trans-activating crRNA (tracrRNA), which is also transcribed from the locus.</p> <p>An active DNA endonuclease (termed dualRNA-Cas9) is formed from when Cas9 is complexed with both crRNA and tracrRNA.</p> <p>A single-chain guided RNA can be formed by linking crRNA and</p>	<p>20-bp guide DNA sequences can be cloned into vectors that encode either crRNA or sgRNA and this easily generates new RGEN plasmids.</p> <p>New RGEN formation does not require complicated protein engineering because Cas9 stays the same.</p> <p>Available resources for programmable nucleases have been extensively elucidated by Kim et al.²¹⁷</p>	<p>A 23 –bp target DNA sequence is cleaved by the formed DNA endonuclease, this target DNA sequence is made up of the 20-bp guide sequence in the crRNA (which is the protospacer) and the 5'-NGG-3', also 5'-NAG-3' (but to a lesser degree) a sequence regarded as the protospacer adjacent motif (PAM), recognizable by Cas9 itself.</p> <p>RGENs cleave methylated DNA as opposed to TALENs and ZFNs.</p>	<p>The need for a PAM sequence is a limitation for the RGEN target sites.</p> <p>The need for guanine to be at the 5' end is also another limitation for the targetable sites as RNA polymerase III transcribes guide RNAs under the guidance of the U6 promoter in cells.</p> <p>RGENs in cells do not efficiently cleave all sequences that contain the PAM sequence.</p>

	tracrRNA, this simplifies the RGEN components.			
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8 Table 5c