

# Antitumor activity of *Chlorella sorokiniana* and *Scenedesmus* sp. microalgae native of Nuevo León State, México

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Cancer cases result in 13% of all deaths worldwide. Unwanted side effects in patients under conventional treatments have led to the search for beneficial alternative therapies. Microalgae synthesize compounds with known *in vitro* and *in vivo* biological activity against different tumor cell lines. Therefore, native microalgae from the State of Nuevo Leon, Mexico may become a potential source of antitumor agents. The aim of the present study was to evaluate the *in vitro* cytotoxic effect of Nuevo Leon regional *Chlorella sorokiniana* (Chlorellales: Chlorellaceae) and *Scenedesmus* sp. (Chlorococcales: Scenedesmaceae). Native microalgae crude organic extracts cytotoxicity against murine L5178Y-R lymphoma cell line and normal lymphocyte proliferation were evaluated using the MTT reduction colorimetric assay. Cell death mechanism was analyzed by acridine orange and ethidium bromide staining, DNA degradation in 2% agarose gel electrophoresis and caspases activity. Results indicated significant ( $p < 0.05$ )  $61.89\% \pm 3.26\%$  and  $74.77\% \pm 1.84\%$  tumor cytotoxicity by *C. sorokiniana* and *Scenedesmus* sp. methanol extracts, respectively, at 500  $\mu\text{g/mL}$ , by the mechanism of apoptosis. This study contributes to Mexican microalgae biodiversity knowledge and their potential as antitumor agent sources.

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16 **Short title:** Antitumor activity of two microalgae

17 **Abstract.**

18 Cancer cases result in 13% of all deaths worldwide. Unwanted side effects in patients under  
19 conventional treatments have led to the search for beneficial alternative therapies. Microalgae  
20 synthesize compounds with known *in vitro* and *in vivo* biological activity against different tumor  
21 cell lines. Therefore, native microalgae from the State of Nuevo Leon, Mexico may become a  
22 potential source of antitumor agents. The aim of the present study was to evaluate the *in vitro*  
23 cytotoxic effect of Nuevo Leon regional *Chlorella sorokiniana* (Chlorellales: Chlorellaceae) and  
24 *Scenedesmus* sp. (Chlorococcales: Scenedesmaceae). Native microalgae crude organic extracts  
25 cytotoxicity against murine L5178Y-R lymphoma cell line and normal lymphocyte proliferation  
26 were evaluated using the MTT reduction colorimetric assay. Cell death mechanism was analyzed  
27 by acridine orange and ethidium bromide staining DNA, degradation in 2% agarose gel  
28 electrophoresis and caspases activity.

29 Results indicated significant ( $p < 0.05$ )  $61.89\% \pm 3.26\%$  and  $74.77\% \pm 1.84\%$  tumor cytotoxicity  
30 by *C. sorokiniana* and *Scenedesmus* sp. methanol extracts, respectively, at 500  $\mu\text{g/mL}$ , by the  
31 mechanism of apoptosis. This study contributes to Mexican microalgae biodiversity knowledge  
32 and their potential as antitumor agent sources.

### 33 INTRODUCTION

34 Most commercialized drugs are synthetic derivatives from natural products, or are the  
35 result of the systematic screening of terrestrial organisms, such as plants or microorganisms.

36 Analysis of molecules produced by aquatic organisms has shown that microalgae  
37 synthesize a large number of bioactive compounds, including pigments, sterols, polyphenols,  
38 fatty acids, proteins, vitamins, alkaloids, and sulfated polysaccharides. This group of  
39 microorganisms is extremely diverse and represents a number of unexploited natural sources for  
40 bioactive agents. Furthermore, the microalgae intake of polluting elements such as nitrogen,  
41 phosphorus, and sulphur for their own growing can be considered an advantage, since such  
42 elements can be also metabolized by harmful aquatic weeds to proliferate.

43 Microalgae are unicellular, simple, primitive, and photosynthetic organisms, producing  
44 bioactive compounds for pharmaceutical and biotechnological applications (Shanab et al. 2012,  
45 El Baky, El-Baroty & Ibrahim 2014, Shalaby 2011), which have shown antiviral, antimicrobial,  
46 immunomodulatory, and antitumor properties (Lordan, Ross & Stanton 2011, Teas & Irhimeh  
47 2012).

48 Common failure of conventional therapy against cancer indicates a critical need for  
49 beneficial alternative therapeutic agents (Rengarajan et al. 2013). Antitumor activity of  
50 microalgal compounds can be explained by their ability to cross the lipophilic membranes and  
51 interact with proteins involved in apoptosis. In addition, several microalgal compounds induce  
52 DNA-dependent DNA polymerases inhibition, cyclins expression alteration, or major  
53 transduction pathways interference. Microalgal compounds have been related to immune  
54 response stimulation (Baudelet et al. 2013), as well as cytotoxic against several cancer cell lines  
55 (Shanab et al. 2012, Lin et al. 2017). If any compound shows cytotoxic activity against cancer  
56 cells, it is important to discriminate if this compound does not represent a threat to normal cells

57 and if its cellular toxicity mechanism is via necrosis or apoptosis. Both apoptosis and necrosis  
58 can occur independently, sequentially and/or simultaneously, where the stimuli degree and/or  
59 type determines either apoptotic or necrotic death cell (Elmore 2007). In some cases, cancer  
60 chemotherapy treatments result in DNA damage, leading to apoptotic cell death (Elmore 2007).  
61 Apoptosis involves DNA damage and caspases activation. *Chlorella* spp. extracts have resulted  
62 in cell death via DNA damage (Yusof et al. 2010) and caspases activation, demonstrating the  
63 apoptosis pathway (Lin et al. 2017).

64 The aim of the present study was to evaluate the potential of Nuevo Leon, Mexico native  
65 microalgae, *C. sorokiniana* and *Scenedesmus* sp. extracts, isolated from Nuevo Leon, Mexico,  
66 against murine L5178Y-R lymphoma cells. To our knowledge, this is the first report of antitumor  
67 activity of microalgae isolated from this geographical area.

## 68 MATERIALS AND METHODS

### 69 Reagents, culture media, and tumor cells.

70 L-glutamine and penicillin-streptomycin solutions were purchased from Life Technologies  
71 (Grand Island, NY). Concanavalin A (Con A), RPMI 1640 medium, fetal bovine serum (FBS),  
72 sodium dodecyl sulfate (SDS), *N, N*-dimethylformamide (DMF), phosphate buffered saline  
73 (PBS), and 3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyltetrazolium bromide (MTT) were obtained  
74 from Sigma-Aldrich (St. Louis, MO). Vincristine was obtained from Vintec (Columbia, S.A. de  
75 C.V., Ciudad de México). Extraction buffer was prepared by dissolving 20% (wt/vol) SDS at  
76 37°C in a solution of 50% each DMF and demineralized water, and the pH was adjusted to 4.7.  
77 The tumor cell line L5178Y-R (mouse DBA/2 lymphoma) was purchased from the American  
78 Type Culture Collection (LY-R, ATCC® CRL-1722™, Rockville, MD), maintained in culture  
79 flasks with RPMI 1640 medium supplemented with 10% FBS, 1% L-glutamine, and 0.5%

80 penicillin-streptomycin solution (referred as complete RPMI medium) at 37°C, in a humidified  
81 atmosphere of 5% CO<sub>2</sub> in air. Cellular density was kept between 10<sup>5</sup> and 10<sup>6</sup> cells/mL.

## 82 **Microalgae strains and culture.**

83 *C. sorokiniana* was isolated from San Juan River in the municipality of Cadereyta  
84 ([26°21'55"N 98°51'15"O](#)), whereas *Scenedesmus* was obtained from Pesquería River in the  
85 municipality of Apodaca ([25°47'06"N 100°03'04"O](#)) Nuevo Leon, Mexico. *C. sorokiniana*  
86 molecular identification using the P2F (5'-GGC TCA TTA AAT CAG TTA TAG-3') and P2R (5'-  
87 CCT TGT TAC GA(C/T) TTC TCC TTC-3') primers (Lee & Hur 2009), which amplifies for a  
88 1700 bp fragment of the 18S gene, as previously reported by Cantú-Bernal (2017). Amplification  
89 conditions were an initial denaturation cycle at 95°C for 5 min, 30-35 denaturation cycles at 95°C  
90 for 30 sec, alignment at 50-55°C for 30 sec, and an extension process at 72°C for 105 sec,  
91 followed by a final extension at 72°C for 7 min. The PCR product was confirmed by  
92 electrophoresis on 1.5% agarose gel at 100 Volts for 35 min, where the expected 1700 bp band was  
93 observed. Once the PCR product was confirmed, the band was purified, for which the Wizard SV  
94 Gel and PCR clean-up system kit (Promega, Invitrogen) was used. For the band sequencing, the  
95 product was sent to the synthesis and sequencing unit of the Institute of Biotechnology,  
96 Universidad Nacional Autónoma de México. The edition and analysis of the *Chlorella* sp.  
97 sequence similarity percentage was carried out using the program Bioedit Sequence Alignment  
98 Editor v. 7.1.9 by sequence identity matrix means, after being compared with sequences reported  
99 in the GenBank.

100 For microalgae culture, water samples were taken on 50 mL sterile Falcon tubes and kept  
101 at 5°C ± 2°C on ice. Then, 5 mL were transferred to 250 mL Erlenmeyer flasks, containing 100  
102 mL of LC culture medium, as developed and reported by López-Chuken, Young & Guzman-Mar  
103 (2010). Flasks were then incubated at room temperature (25°C ± 3°C) in a continuous shaker at

104 120 x g and under light radiation using 100 Watt white fluorescent light bulb as a continuous  
105 artificial light source (1000 lux approximately). Flasks were incubated for 14 d until green  
106 growth was observed, after which, 100  $\mu$ L were transferred to Petri dishes containing the same  
107 culture medium, but solidified with 1.5% of bacteriological agar. Inoculated dishes were  
108 incubated at  $30^{\circ}\text{C} \pm 2^{\circ}\text{C}$  by using a 100 watt white fluorescent light bulb as a continuous  
109 artificial light until isolated green colonies were observed. Single colonies were collected using a  
110 bacteriological loop and placed in Erlenmeyer flasks containing 100 mL of algal LC liquid  
111 culture medium. Next, flasks were incubated under the same conditions described above. This  
112 process allowed us selecting a single microalgae genus by picking up a single colony; however,  
113 given that microalgae tend to grow in consortia with bacteria and yeasts, microalgal cultures were  
114 treated with an antibiotic and antimycotic solution containing 500 UI/mL penicillin, 500  $\mu\text{g}/\text{mL}$   
115 streptomycin, 50  $\mu\text{g}/\text{mL}$  gentamicin, and 1.25  $\mu\text{g}/\text{mL}$  fungizone. For this, 5 mL of LC liquid  
116 culture medium with antibiotics were placed in 15 mL conical tubes, after which 0.25 mL of the  
117 algal culture were added and tubes were incubated for 48 h, under same shaking and lighting  
118 conditions described above. After the incubation period, 500  $\mu$ L of the cultures were transferred  
119 into 50 mL of sterilized LC liquid culture medium without antibiotics producing axenic cultures  
120 of *C. sorokiniana* and *Scenedesmus* sp. isolates. Each axenic culture was grown for 14 d in 1-L  
121 Erlenmeyer flasks containing 500 mL of LC liquid culture medium, until exponential growth  
122 phase was reached (based on growth curve, supplemental Fig. S1). Next, each complete culture  
123 was transferred to individual bioreactor tanks containing 14.5 L of LC culture medium.  
124 Photobioreactor tanks were designed by López-Chuken work team, and consisted of circular  
125 acrylic tanks of 30 cm of diameter and height; aeration was supplemented by air pumps with an  
126 adapted 0.2  $\mu\text{m}$  filter at 1-L/min flow rate, radiated by continuous artificial LED white lights at  
127 1500 lux of intensity, and agitation by rotary plastic pallets at 50 rpm (supplementary material  
128 Fig. S2). Biomass production in bioreactors was monitored every 2 d (Tuesdays, Thursdays, and

129 Saturdays) by taking a 10 mL sample with a sterile pipette and filtering through a previously  
130 weighed 0.7  $\mu\text{m}$ -pore size microfiber paper. Then the paper was dried at 70°C inside an oven and  
131 weighed again; this monitoring process was repeated until the biomass production showed no  
132 increase. Once the maximum biomass production was reached, bioreactor tanks were stored at  
133 4°C  $\pm$  2°C, until most microalgae biomass precipitated, then, the supernatant was decanted  
134 (supplementary material Fig. S3). The collected wet biomass was the centrifuged at 9000 rpm for  
135 10 min (ST16R model, Thermo Fisher Scientific, Waltham MA) and frozen dried (Labconco,  
136 Kansas City, MO).

137 Biomass dried samples of *C. sorokiniana* and *Scenedesmus* sp. were placed in separate Whatman  
138 cellulose extraction thimbles (33×80 mm, thickness 1.5 mm) (Sigma-Aldrich) and placed in a  
139 Soxhlet extraction apparatus (Reyna-Martinez et al. 2014), which is a continuous system  
140 consisting of a flat bottomed round flask, an extraction chamber with a siphon, and a condenser.  
141 This method was selected since this extraction is very practical and recommended by most of the  
142 methanol-soluble compounds for biological material recovering. A round flask filled with 600  
143 mL of methanol was used and the extraction lasted 48 h for each microalgae. Methanol was  
144 selected based on preliminary results where methanol extracts showed the highest cytotoxic  
145 activity against L5178Y-R cell line; whereas chloroformic extracts did not show cytotoxic effects  
146 and hexane itself showed cytotoxicity against the tumor cell line tested. After the biological  
147 material compounds were extracted with methanol, the solutions were filtered using Whatman  
148 filter paper, and solvent was evaporated using a rotary evaporator, leaving approximately 10 to 15  
149 mL of liquid material. Remaining solvent was further removed by a vacuum desiccator. Extracts  
150 were dissolved in RPMI medium at a concentration of 1 mg/mL and kept frozen until use. From  
151 this stock, serial 1:1 dilutions from 500 to 7.8  $\mu\text{g}/\text{mL}$  were prepared.

152 The tumor cell line L5178Y-R (mouse DBA/2 lymphoma) was purchased from the American  
153 Type Culture Collection (LY-R, ATCC® CRL-1722™, Rockville, MD), maintained in culture  
154 flasks with RPMI 1640 medium supplemented with 10% FBS, 1% L-glutamine, and 0.5%  
155 penicillin-streptomycin solution (referred as complete RPMI medium) at 37°C, in a humidified  
156 atmosphere of 5% CO<sub>2</sub> in air. Cellular density was kept between 10<sup>5</sup> and 10<sup>6</sup> cells/mL.

### 157 **Tumor cytotoxicity and apoptosis assays.**

158 To determine the cytotoxic effect of *C. sorokiniana* and *Scenedesmus* sp. methanol extracts  
159 against L5178Y-R tumor cells, cell cultures were collected and washed three times in RPMI  
160 medium, then suspended and adjusted to 5x10<sup>4</sup> cells/mL with complete RPMI medium. One  
161 hundred microliters of the cell suspensions were then added to flat-bottomed 96-well plates  
162 (Becton Dickinson, Cockeysville, MD), containing 100 µL of complete RPMI, methanol  
163 microalgae extracts at various concentrations, vincristine (250 µg/mL) as positive control, and  
164 RPMI medium as negative control; all treatments were tested in triplicate. Microplates were  
165 incubated for 48 h at 37°C with 5% CO<sub>2</sub>, then 15 µL of MTT were added (0.5 µg/mL, final  
166 concentration), and cultures were incubated for 3 additional hours. After this, supernatant was  
167 removed and 80 µL of DMSO were added to all wells. Optical densities, resulting from dissolved  
168 formazan crystals, were then read in a microplate reader (DTX 880 Multimode detector, Becton  
169 Dickinson, Austria) at 570 nm (Gomez-Flores et al. 2009). The percentage of cytotoxicity was  
170 calculated as follows:

$$171 \text{ \% Cytotoxicity} = 100 - [(A_{570} \text{ in extract-treated cells} / A_{570} \text{ in untreated cells}) \times 100].$$

172 Apoptosis induction by *C. sorokiniana* and *Scenedesmus* sp. methanol extracts against L5178Y-R  
173 cell line was evaluated *in vitro* by acridine orange and ethidium bromide staining. For this, 1x10<sup>6</sup>

174 L5178Y-R tumor cells were placed in 24-well plates in the presence of 500  $\mu\text{g}/\text{mL}$  methanol  
175 extracts, and incubated for 24 h. Then, 500  $\mu\text{L}$  of RPMI, plus 1- $\mu\text{L}$  of acridine orange and 100  
176  $\mu\text{g}/\text{mL}$  ethidium bromide (1:1 ratio) were added to the wells. Next, cultured cells were incubated  
177 for 5 min, washed with 1-mL PBS, and suspended in 100  $\mu\text{L}$  of RPMI medium; after incubation  
178 period, 10  $\mu\text{L}$  of cell suspension were placed between a slide and a coverslip for fluorescence  
179 microscope visualization (Inverted Tissue Culture Fluorescence Microscope Olympus IX-70,  
180 **Representaciones y Distribuciones FAL, S.A. de C.V., Naucalpan, Estado de México**).

181 Acridine orange stains viable cells and dead cells (green cells), whereas ethidium bromide only  
182 stains those cells that have lost the integrity of their membrane (orange cells). Therefore, viable  
183 cells appear in a uniform green tone, cells found in apoptosis appear in a spotty green or granular  
184 in the center due to the condensation of chromatin and fragmentation of the nucleus, whereas  
185 cells in necrosis appear in a uniform orange hue (Coligan et al. 1995).

186 In addition, apoptosis induction was evaluated by DNA degradation (Orozco-Flores et al. 2017).  
187 For this, cells were incubated for 48 h with *C. sorokiniana* and *Scenedesmus* sp. methanol  
188 extracts at 500  $\mu\text{g}/\text{mL}$ , testing their respective negative (culture medium) and positive (20  $\mu\text{g}/\text{mL}$   
189 Actinomycin D) controls. After the incubation period, cells were collected and centrifuged at  
190 2000 rpm for 10 min, then washed with PBS and extracted using the AxyPrep Multisource  
191 Genomic DNA Miniprep Kit (Axygen, MA). In order to visualize the extracted DNA, the sample  
192 was separated by 2% agarose gel electrophoresis, using SB buffer for the electrophoretic shift at  
193 70V for 20 min and 110V for 1 h. After this, gel was stained with 5 ng/mL ethidium bromide and  
194 photographs were documented under High Performance Ultraviolet Transilluminator (UVP, LLC,  
195 Upland, CA) light. DNA like-ladder fragmentation indicates apoptotic activity, whereas DNA  
196 smear represents cell death by necrosis.

197 In early apoptosis stages caspase enzymes are activated. Caspase participate in the cleavage of  
198 protein substrates leading to cell disassembly. Cleavage of protein substrates leads to a

199 fluorescent monoamide formation and finally to a rhodamine 110 conversion. For apoptotic  
200 pathway involving caspases, caspase can be monitored by measuring fluorescence intensity using  
201 microplate wells (Towhid et al. 2013). For this, L5178Y-R cells ( $5 \times 10^5$  cells/well) were seeded  
202 in a 48 wells plate, and treated with actinomycin D (800 ng/mL) as positive control, or *Chlorella*  
203 and *Scenedesmus* methanolic extracts at 500  $\mu\text{g/mL}$ . Cultures were then incubated for 24 h at 37°  
204 C, after which, activated caspases were detected with the CaspGLOW™ red active caspase  
205 staining kit following manufacturer's instructions. Fluorescence intensity was measured at  
206 Ex/EM= 540/570 nm in a Varioskan Lux Multimode Reader (Thermo Fisher Scientific).

#### 207 **Animals.**

208 Six- to eight-week old Balb/c female mice were purchased from Harlan Mexico S.A. de  
209 C.V. (Mexico, D.F.). They were kept in a pathogen- and stress-free environment at 24°C, under a  
210 light-dark cycle (light phase, 06:00-18:00 h) in a One Cage 2100™ System (Lab Products, Inc.,  
211 Seaford, DE), and given water and food *ad libitum*. Animals were euthanized by asphyxiation in  
212 a 100% CO<sub>2</sub> chamber. Experiments involving the use of animals were reviewed and approved by  
213 our institutional animal care and use committee before being initiated, and were performed in  
214 accordance with the Guiding Principles in the Use of Animals in Toxicology, adopted by the  
215 Society of Toxicology in March 1999.

#### 216 **Murine thymus lymphocyte viability assay.**

217 Thymus was immediately removed after mouse death. Single-cell suspensions were  
218 prepared by disrupting the organ in RPMI 1640 medium. Cell suspensions were washed three  
219 times in this medium, and suspended and adjusted at  $1 \times 10^7$  cells/ml in complete RPMI medium.  
220 Thymus lymphocyte viability was determined by a colorimetric technique using MTT (Gomez-  
221 Flores et al. 2009). Thymus suspensions (100  $\mu\text{g}$  of  $1 \times 10^7$  cells/mL) were added to flat-

222 bottomed 96-well plates (Becton Dickinson) containing triplicate cultures (100  $\mu\text{g}/\text{well}$ ) of  
223 complete RPMI medium (unstimulated control), or 100  $\mu\text{L}$  of *C. sorokiniana* and *Scenedesmus*  
224 sp. methanol extracts at various concentrations, for 48 h at 37°C in 95% air-5%  $\text{CO}_2$  atmosphere.  
225 After incubation for 44 h, MTT (0.5 mg/ml final concentration) was added, and cultures were  
226 additionally incubated for 4 h. Cell cultures were then incubated for 16 h with extraction buffer  
227 (100  $\mu\text{L}/\text{well}$ ), and optical densities, resulting from dissolved formazan crystals, were then read  
228 in a microplate reader (Becton Dickinson) at 570 nm (Gomez-Flores et al. 2009).

229 All experiments were repeated at least three times with similar results. The results were  
230 expressed as means  $\pm$  SEM of triplicate determinations from a representative experiment.  
231 Statistical significance was assessed by one-way analysis of variance and by the Student's *t* test.

## 232 RESULTS

233 Microscopic evaluation revealed the presence of *C. sorokiniana* and *Scenedesmus* sp.  
234 (Table 1), whose isolated colony cultures were then produced under axenic conditions. Culture of  
235 both microalgae in photobioreactor tanks showed that the exponential growth phase started after  
236 12 d by *C. sorokiniana*, whereas for *Scenedesmus* sp., that started after 19 d (Fig. 1). Once the  
237 biomass was dried, collected, and weighed separately for each bioreactor, the yield by *C.*  
238 *sorokiniana* was of 0.24 g/L ( $\pm$  0.01), whereas for *Scenedesmus* sp. was of 0.30 ( $\pm$  0.01) g/L.

239 Microalgae methanol extracts tested *in vitro* against tumor cell resulted in concentration-  
240 dependent activity against the murine tumor cell line L5178Y-R. *C. sorokiniana* extract caused  
241 significant ( $p < 0.05$ ) 17% and 61% tumor cell toxicity at concentrations of 250 and 500  $\mu\text{g}/\text{mL}$ ,  
242 respectively, whereas that of *Scenedesmus* sp. induced 15%, 25%, and 75% cytotoxicity at  
243 concentrations of 125, 250, and 500  $\mu\text{g}/\text{mL}$ , respectively (Fig. 2). Collected data were used to  
244 determine the inhibitory concentration mean ( $\text{IC}_{50}$ ) of *C. sorokiniana* and *Scenedesmus* sp.,

245 methanolic extracts. The observed  $IC_{50}$  for *C. sorokiniana*, *Scenedesmus* sp., and vincristine were  
246  $460.0 \pm 21.5$ ,  $362.9 \pm 13.5$ , and  $76.83 \pm 2.55$   $\mu\text{g/mL}$ , respectively.

247 *C. sorokiniana* and *Scenedesmus* sp. methanol extracts were shown to cause DNA  
248 fragmentation in L5178Y-R cells, with the typical ladder pattern, after 24 h of treatment, which  
249 was comparable with the results obtained with actinomycin D (Fig. 3A). Caspase activity assay  
250 showed that *Scenedesmus* sp. resulted in significantly higher ( $P < 0.05$ ) apoptosis compared with  
251 the control (Fig. 3B). The AOPI staining analysis revealed that *C. sorokiniana* extract resulted in  
252 74.4% tumor cell toxicity, 66% apoptosis, and 9% necrosis, whereas *Scenedesmus* sp. extract  
253 caused 54% tumor cell toxicity, 51% apoptosis, and 3% necrosis (Fig. 3C and 3D).

254 Cytotoxicity of *C. sorokiniana* and *Scenedesmus* sp. methanol extracts did not  
255 significantly alter normal murine thymus lymphocyte viability, resulting in up to 26% and 19%  
256 not significant lymphocyte toxicity (Fig. 4).

## 257 DISCUSSION

258 To our knowledge, this is the first report of Nuevo Leon, Mexico native microalgae,  
259 identified as *C. sorokiniana* and *Scenedesmus* sp., showing cytotoxicity against a murine  
260 lymphoma tumor cell line. Previous reports have shown microalgae potential for wastewater  
261 treatment and biodiesel production (Reyna-Martínez et al. 2014, Beltrán-Rocha et al. 2017). In  
262 the present study, microalga isolates were grown under artificial light, closed photobioreactors,  
263 and previously established physicochemical conditions. Biomass production was monitored  
264 every 2 d by taking a 10 mL sample, filtered, dried, and weighed during the fermentation time-  
265 course. Biomass calculated from time-course data indicated that *Scenedesmus* sp. resulted in  
266 higher (twice as much) biomass production compared with that produced by *C. sorokiniana*,  
267 since values were higher than 0.8 and up to 0.4, respectively (Fig. 1). Nonetheless, after

268 collecting the final biomass produced by each microalgae, *Scenedesmus* sp. resulted in only 18%  
269 more biomass, compared with *C. sorokiniana*, for a total of 13.74 g and 11.21 g dried biomass,  
270 respectively. *C. sorokiniana* and *Scenedesmus* sp. production in the photobioreactors was  
271 stopped after 29 d, because no additional biomass production was observed. Biomass production  
272 was lower compared with other reports using batch culture and phototrophic conditions (Brennan  
273 & Owende 2010, Yeh et al. 2011). However, sufficient biomass was produced to obtain an  
274 adequate amount methanol extract to perform biological assays.

275 *In vitro* tumor cell toxicity assays resulted in concentration-dependent activity against the  
276 murine tumor cell line L5178Y-R (up to 61.9% and 74.8% cytotoxicity at 500 µg/mL *C.*  
277 *sorokiniana* and *Scenedesmus* sp. extracts, respectively). These results are comparable with other  
278 reports showing about 50% *in vitro* cytotoxicity by microalga extracts against cervical cancer  
279 (Yusof et al. 2010, Kyadari et al. 2013).

280 Apoptosis is the best known pathway for programmed cell death. Apoptosis and necrosis  
281 can occur independently, sequentially or simultaneously. The type and/or the stimuli degree may  
282 determine if cells die by apoptosis or necrosis. At low doses, a variety of injurious stimuli such as  
283 heat, radiation, hypoxia, and cytotoxic anticancer drugs can induce apoptosis, or lead to necrosis  
284 at higher doses (Elmore 2007). After cells enter the apoptotic process, their DNA degrades,  
285 showing a ladder pattern of multiples of approximately 200 base pairs, which can be observed  
286 when extracting the DNA and making an agarose gel electrophoresis. Apoptosis involves the  
287 activation of caspases enzymes linked to the initiating stimuli. Caspase-3 is required for  
288 apoptosis-associated chromatin margination, DNA fragmentation, and nuclear collapse of the cell  
289 (Mantena, Sharma & Katiyar 2006). After testing *C. sorokiniana* and *Scenedesmus* sp.  
290 methanolic extracts, using the caspase-3/7 microplate assay, results demonstrated that only the  
291 *Scenedesmus* sp. methanolic extract was significantly different compared with the untreated cells

292 (negative control), whereas no differences were observed with either actinomycin D or *C.*  
293 *sorokiniana* methanolic extract. Microalgae-induced tumor cytotoxicity was observed to be  
294 mediated by apoptosis, as determined by the acridine orange and ethidium bromide staining, as  
295 well as DNA fragmentation (ladder pattern) (Nagata 2000). In fact, microalga isolates methanol  
296 extracts resulted in similar effects against the cell line compared with actinomycin D, compound  
297 that resulted in cellular apoptosis (Quintanilla-Licea et al. 2012). After testing crude extracts of  
298 the cyanobacteria *Nostoc* sp., against human pancreatic tumor cells PaTu 8902, Voráčová et al.  
299 (2017) found that apoptosis was mostly mediated by caspases 3 and 7. In summary, DNA  
300 fragmentation, acridine orange/ ethidium bromide staining, and caspases results support apoptosis  
301 as the cell-death mechanism by the tested microalgae methanolic extracts (Towhid et al. 2013).

302 Tumor cancer cells may develop as a result of *in situ* formation of nitrosamines from  
303 secondary amines and nitrite in an acidic environment of the stomach. There are chemical agents  
304 known as chemopreventers, which help to reverse, suppress or prevent these nitrosamines  
305 formation. In fact, ascorbic acid or phenolic compounds are chemopreventers, since they prevent  
306 or reduced nitrosamines formation (Jahan et al. 2017). It has been shown that microalgae  
307 synthesize a number of bioactive compounds, including bioactive peptides, fucans, galactans,  
308 alginates, phenolic compounds, phycocyanins, phycobiliproteins, eicosapentanoic and  
309 arachidonic acids, carotenoids, tocopherols, sterols, and terpenoids (Lordan, Ross & Stanton  
310 2011). Some of these compounds may be responsible for the cytotoxicity induced by *C.*  
311 *sorokiniana* and *Scenedesmus* sp. methanol extracts, against the murine lymphoma cell line  
312 L5178Y-R.

313 In a recent report, *C. sorokiniana* water extracts were evaluated against two human non-  
314 small cell lung cancer (A549 and CL1-5 human lung adenocarcinoma cells) cell lines using a  
315 subcutaneous xenograft tumor model. Results demonstrated the tumors growth inhibition after  
316 extract oral intake *in vivo*, through mitochondrial-mediated apoptosis (Lin et al. 2017).

317 In the present study, no significant lymphocyte cytotoxicity was observed by *C.*  
318 *sorokiniana* and *Scenedesmus* sp. methanol extracts. Nevertheless, results were comparable with  
319 other reports, which show low than 20% lymphocyte cytotoxicity but around 50% cytotoxicity  
320 against cervical cancer cells by microalga extracts *in vitro* (Yusof et al. 2010, Kyadari et al.  
321 2013).

322 The bio-guided fractionation of these extracts is ongoing, and further studies of the  
323 isolated pure compounds will be performed.

## 324 CONCLUSION

325 The native microalgae *C. sorokiniana* and *Scenedesmus* sp. isolates from Nuevo Leon,  
326 Mexico water bodies were produced under a semi-pilot level using closed photobioreactors, with  
327 artificial illumination and aeration. The produced microalgae methanol extracts were cytotoxic  
328 against the murine tumor cell line L5178Y-R *in vitro*, by the mechanism of apoptosis, without  
329 affecting normal murine lymphocytes.

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416 **Figures legends**

417 Fig. 1. Biomass production time-course by *Chlorella sorokiniana* and *Scenedesmus* sp. isolates.

418 Data represent means  $\pm$  SEM.

419 Fig. 2. L5178Y-R tumor cell toxicity of *Chlorella sorokiniana* and *Scenedesmus* sp. methanol

420 extracts. Microalgae methanol extracts were tested against L5178Y-R cells *in vitro* at

421 concentrations ranging from 7.8 to 500  $\mu\text{g/mL}$ , as detailed in the text. Positive control

422 vincristine caused  $85\% \pm 1.22$  cytotoxicity at 250  $\mu\text{g/mL}$ . Data represent means  $\pm$  SEM.

423 \* $P < 0.05$ ; \*\* $P < 0.01$ .

424 Fig. 3. Apoptosis of L5178Y-R tumor cells. (A) Agarose gel showing the cellular DNA

425 fragmentation by line L5178Y-R after treatment with microalgae methanolic extracts.

426 Lane 1, 100 bp molecular weight marker; lanes 2 and 3, cellular DNA after treatment with

427 *Chlorella sorokiniana* methanolic extracts at 500 and 250  $\mu\text{g/mL}$ , respectively; 4 and 5

428 cellular DNA after treatment with *Scenedesmus* sp. methanolic extracts at 500 and 250

429  $\mu\text{g/mL}$ , respectively; lane 6, cellular DNA treated with actinomycin D at 20  $\mu\text{g/mL}$ . (B)

430 Detection of caspase 3/7 enzymes activity in L5178Y-R cells (CaspGLOW™), testing

431 500,000 cells per well on the same day, untreated or treated with Actinomycin D (800

432  $\text{ng/mL}$ ) as positive control, and *C. sorokiniana* or *Scenedesmus* sp. methanol extracts at

433 500  $\mu\text{g/mL}$ , incubated by 24 h at 37°C and reading fluorescence intensity at Ex/EM =

434 540/570 nm. (C) Effects of *C. sorokiniana* and *Scenedesmus* sp. methanol extracts on

435 percent viable, apoptotic, and necrotic cells. Percentage of viable, apoptotic, and necrotic

436 L5178Y-R cells after 24 h treatment with 500  $\mu\text{g/mL}$  *C. sorokiniana* and *Scenedesmus* sp.

437 methanol extracts, and actinomycin D (20  $\mu\text{g/mL}$ ). (D) L5178Y-R cells stained with

438 acridine orange/ethidium bromide used to discriminate viable, apoptotic and necrotic cells

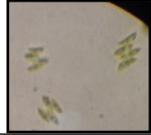
439 after *C. sorokiniana* and *Scenedesmus* sp. methanol extracts treatment.

440 Fig. 4. Effects of *Chlorella sorokiniana* and *Scenedesmus* sp. methanol extracts on viability of  
441 normal murine thymus lymphocytes. Thymus lymphocyte viability was determined by a  
442 colorimetric technique using MTT (Gomez-Flores et al. 2009). Thymus suspensions were  
443 incubated with culture medium alone or with *C. sorokiniana* and *Scenedesmus* sp.  
444 methanol extracts at various concentrations, for 48 h at 37°C, and cell viability was  
445 determined as detailed in the text.

**Table 1** (on next page)

Locations from Nuevo Leon state, Mexico, where microalgae were isolated.

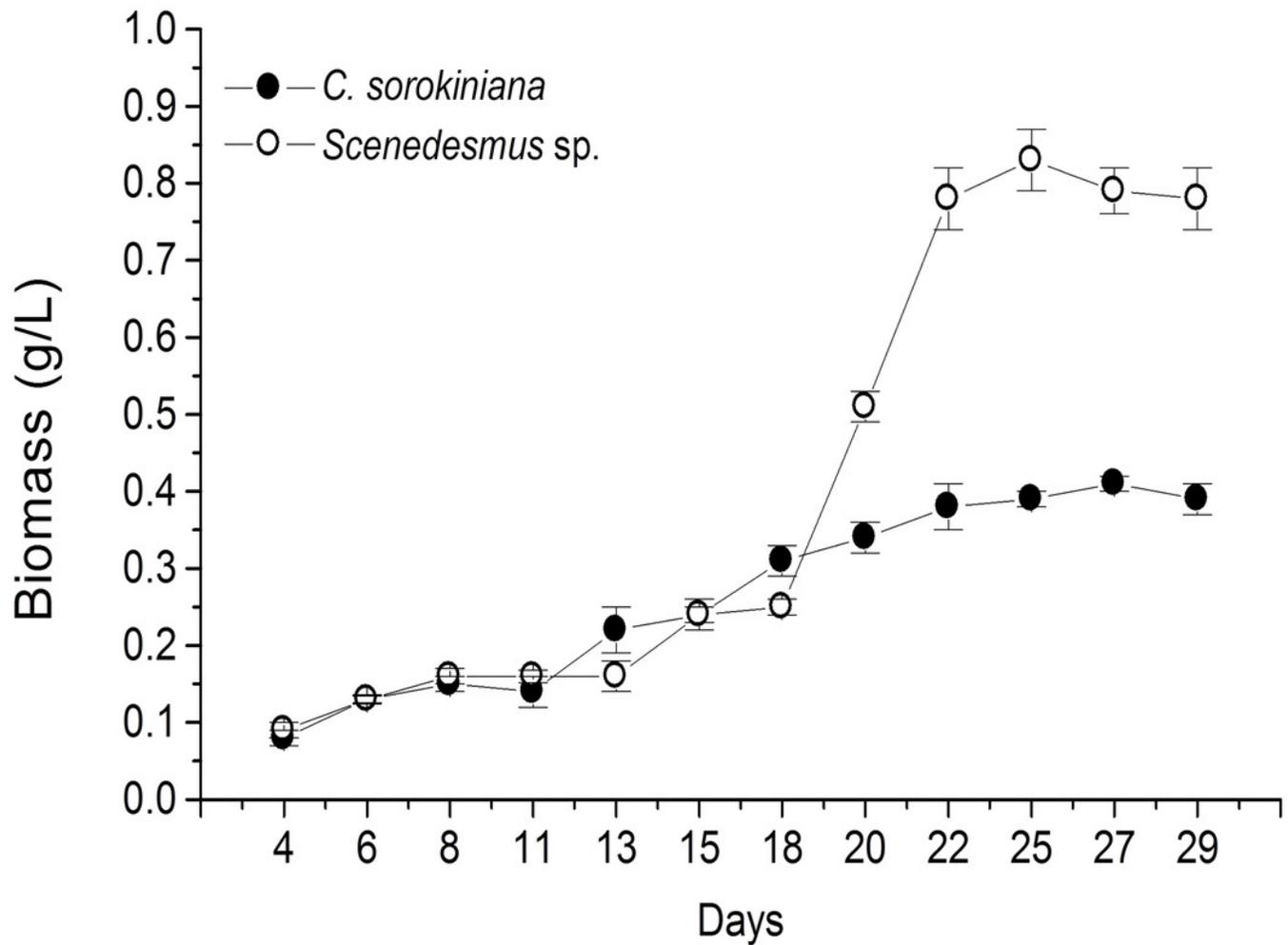
**Table 1.** Locations from Nuevo Leon state, Mexico, where microalgae were isolated

<b>Location</b>	<b>Microalga</b>	<b>Microscopic shape (100x)</b>
San Juan River, Cadereyta, N.L. 25° 31' 17" – 100° 0' 34"	<i>Chlorella sorokiniana</i>	
Pesquería River, Apodaca, N.L. 25° 46' 34" – 100° 12' 35"	<i>Scenedesmus</i> sp.	

# Figure 1

Biomass production time-course by *Chlorella sorokiniana* and *Scenedesmus* sp. isolates.

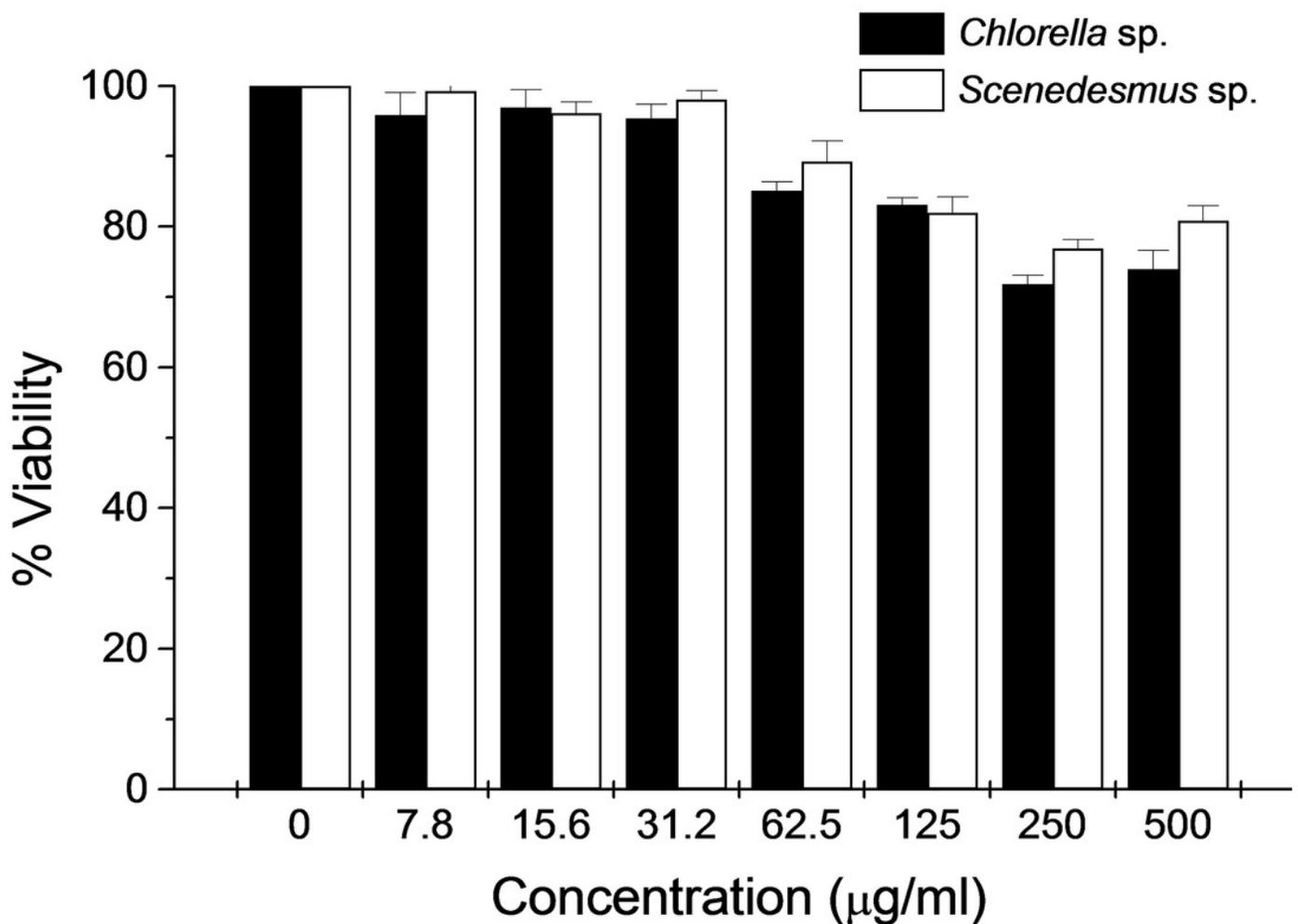
Data represent means  $\pm$  SEM.



## Figure 2

L5178Y-R tumor cell toxicity of *Chlorella sorokiniana* and *Scenedesmus* sp. methanol extracts.

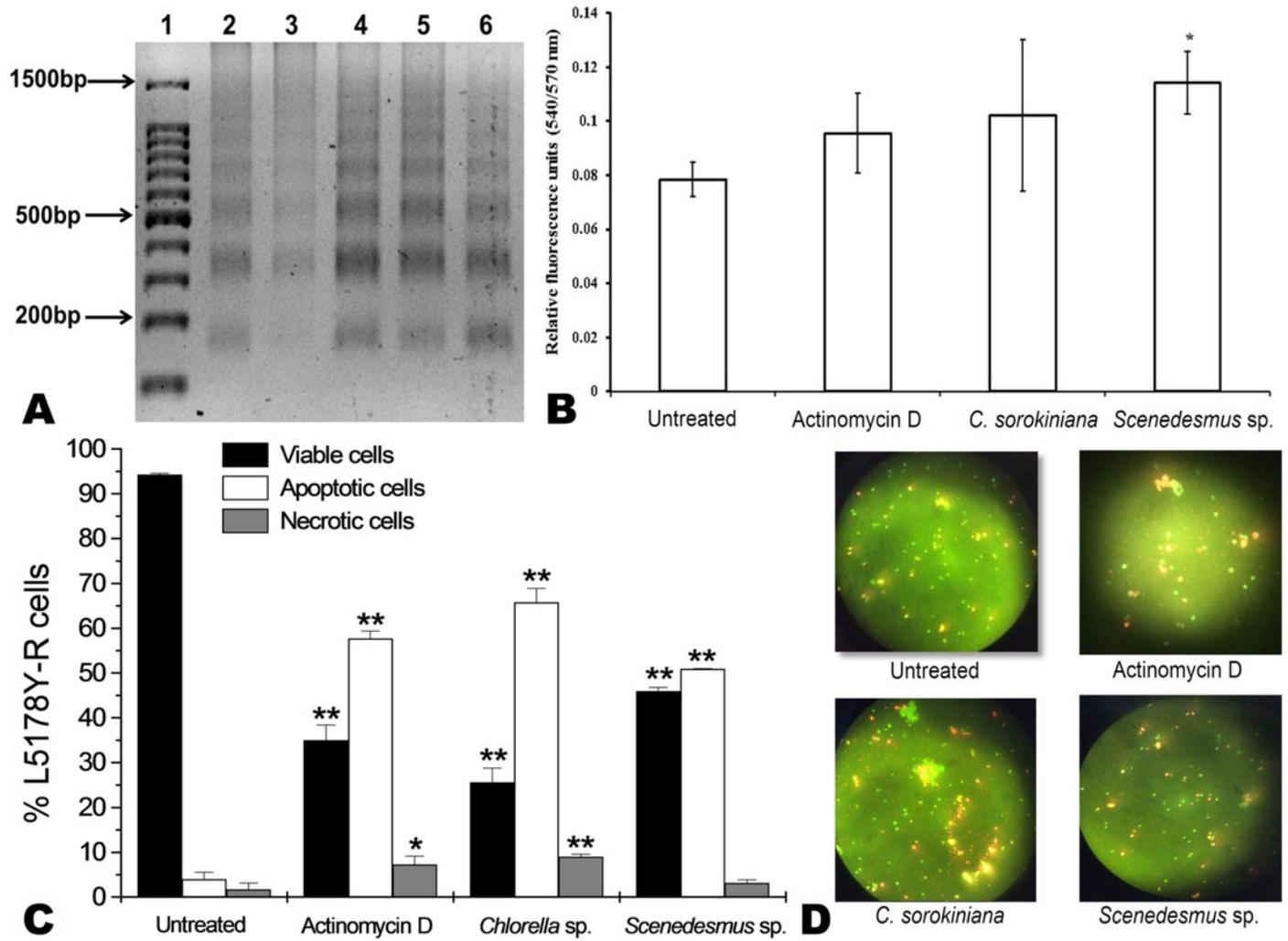
Microalgae methanol extracts at concentrations ranging from 7.8 to 500  $\mu\text{g/ml}$  were tested against L5178Y-R cells *in vitro*, as detailed in the text. Positive control vincristine caused 85%  $\pm$  1.22 cytotoxicity at 250  $\mu\text{g/ml}$ . Data represent means  $\pm$  SEM. \* $P < 0.05$ ; \*\* $P < 0.01$ .



## Figure 3

Apoptosis of L5178Y-R tumor cells.

(A) Agarose gel showing the cellular DNA fragmentation by line L5178Y-R after treatment with microalgae methanolic extracts. Lane 1, 100 bp molecular weight marker; lanes 2 and 3, cellular DNA after treatment with *Chlorella sorokiniana* methanolic extracts at 500 and 250  $\mu\text{g}/\text{mL}$ , respectively; 4 and 5 cellular DNA after treatment with *Scenedesmus* sp. methanolic extracts at 500 and 250  $\mu\text{g}/\text{mL}$ , respectively; lane 6, cellular DNA treated with actinomycin D at 20  $\mu\text{g}/\text{mL}$ . (B) Detection of caspase 3/7 enzymes activity in L5178Y-R cells (CaspGLOW™), testing 500,000 cells per well on the same day, untreated or treated with Actinomycin D (800 ng/mL) as positive control, and *C. sorokiniana* or *Scenedesmus* sp. methanol extracts **at** 500  $\mu\text{g}/\text{mL}$ , incubated by 24 h at 37°C and reading fluorescence intensity at Ex/EM = 540/570 nm. (C) Effects of *C. sorokiniana* and *Scenedesmus* sp. methanol extracts on percent viable, apoptotic, and necrotic cells. Percentage of viable, apoptotic, and necrotic L5178Y-R cells after 24 h treatment with 500  $\mu\text{g}/\text{mL}$  *C. sorokiniana* and *Scenedesmus* sp. methanol extracts, and actinomycin D (20  $\mu\text{g}/\text{mL}$ ). (D) L5178Y-R cells stained with acridineorange/ethidiumbromide used to discriminate viable, apoptotic and necrotic cells after *C. sorokiniana* and *Scenedesmus* sp. methanol extracts treatment.



## Figure 4

### Thymus lymphocyte viability

Effects of *Chlorella sorokiniana* and *Scenedesmus* sp. methanol extracts on viability of normal murine thymus lymphocytes. Thymus lymphocyte viability was determined by a colorimetric technique using MTT (Gomez-Flores et al. 2009). Thymus suspensions were incubated with culture medium alone or with *C. sorokiniana* and *Scenedesmus* sp. methanol extracts at various concentrations, for 48 h at 37°C, and cell viability was determined as detailed in the text.

