

# FUSTr: a tool to find gene families under selection in transcriptomes

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**Background:** The recent proliferation of large amounts of biodiversity transcriptomic data has resulted in an ever-expanding need for scalable and user-friendly tools capable of answering large scale molecular evolution questions. FUSTr identifies gene families involved in the process of adaptation. This is a tool that finds genes in transcriptomic datasets under strong positive selection that automatically detects isoform designation patterns in transcriptome assemblies to maximize phylogenetic independence in downstream analysis.

**Results:** When applied to previously studied spider transcriptomic data as well as simulated data, FUSTr successfully grouped coding sequences into proper gene families as well as correctly identified those under strong positive selection in relatively little time.

**Conclusions:** FUSTr provides a useful tool for novice bioinformaticians to characterize the molecular evolution of organisms throughout the tree of life using large transcriptomic biodiversity datasets and can utilize multi-processor high-performance computational facilities.

# 1 FUSTr: a tool to find gene Families Under Selection in Transcriptomes

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5

## 6 **Abstract**

7 **Background:** The recent proliferation of large amounts of biodiversity transcriptomic  
8 data has resulted in an ever-expanding need for scalable and user-friendly tools capable of  
9 answering large scale molecular evolution questions. FUSTr identifies gene families involved in  
10 the process of adaptation. This is a tool that finds genes in transcriptomic datasets under strong  
11 positive selection that automatically detects isoform designation patterns in transcriptome  
12 assemblies to maximize phylogenetic independence in downstream analysis.

13 **Results:** When applied to previously studied spider transcriptomic data as well as  
14 simulated data, FUSTr successfully grouped coding sequences into proper gene families as well  
15 as correctly identified those under strong positive selection in relatively little time.

16 **Conclusions:** FUSTr provides a useful tool for novice bioinformaticians to characterize  
17 the molecular evolution of organisms throughout the tree of life using large transcriptomic  
18 biodiversity datasets and can utilize multi-processor high-performance computational facilities.

## 19 **Background**

20 Elucidating patterns and processes involved in the adaptive evolution of genes and  
21 genomes of organisms is fundamental to understanding the vast phenotypic diversity found in  
22 nature. Recent advances in RNA-Seq technologies have played a pivotal role in expanding  
23 knowledge of molecular evolution through the generation of an abundance of protein coding  
24 sequence data across all levels of biodiversity (Todd, Black & Gemmell, 2016). In non-model  
25 eukaryotic systems, transcriptomic experiments have become the *de facto* approach for functional  
26 genomics in lieu of whole genome sequencing. This is due largely to lower costs, better targeting  
27 of coding sequences, and enhanced exploration of posttranscriptional modifications and  
28 differential gene expression (Wang, Gerstein & Snyder, 2009). This influx of transcriptomic data  
29 has resulted in a need for scalable tools capable of elucidating broad evolutionary patterns in  
30 large biodiversity datasets.

31 Billions of years of evolutionary processes gave rise to remarkably complex genomic  
32 architectures across the tree of life. Numerous speciation events along with frequent whole  
33 genome duplications have given rise to a myriad of multigene families with varying roles in the  
34 processes of adaptation (Benton, 2015). Grouping protein encoding genes into their respective  
35 families *de novo* has remained a difficult task computationally. This typically entails homology  
36 searches in large amino acid sequence similarity networks with graph partitioning algorithms to  
37 cluster coding sequences into transitive groups (Andreev & Racke, 2006). This is further  
38 complicated in eukaryotic transcriptome datasets that contain several isoforms via alternative  
39 splicing (Matlin, Clark & Smith, 2005). Further exploration of Darwinian positive selection in  
40 these families is also nontrivial, requiring robust Maximum Likelihood and Bayesian  
41 phylogenetic approaches.

42 Here we present a fast tool for finding Families Under Selection in Transcriptomes  
43 (FUSTr), to address the difficulties of characterizing molecular evolution in large-scale  
44 transcriptomic datasets. FUSTr can be used to classify selective regimes on homologous groups of

45 phylogenetically independent coding sequences in transcriptomic datasets and has been verified  
46 using large transcriptomic datasets and simulated datasets. The presented pipeline implements  
47 simplified user experience with minimized third-party dependencies, in an environment robust to  
48 breaking changes to maximize long-term reproducibility.

49         While FUSTr fills a novel niche among sequence evolution pipeline, a recent tool, VESPA  
50 (Webb et al., 2017), performs several similar functions. Our tool differs in that it can accept *de*  
51 *novo* transcriptome assemblies that are not predicted ORFs. VESPA requires nucleotide data to be  
52 in complete coding frames, and does not filter isoforms or utilize transitive clustering to deal with  
53 domain chaining. Additionally, VESPA makes use of slow maximum likelihood methods for tests  
54 of selection and provides no information about purifying selection, whereas FUSTr utilizes a Fast  
55 Unconstrained Bayesian Approximation (FUBAR) (Murrell et al., 2013) to analyze both  
56 pervasive and purifying regimes of selection.

## 57 **Implementation**

58         FUSTr is written in Python with all data filtration, preparation steps, and command line  
59 arguments/parameters for external programs contained in the workflow engine Snakemake  
60 (Köster & Rahmann, 2012). Snakemake allows FUSTr to operate on high performance  
61 computational facilities, while also maintaining ease of reproducibility. FUSTr and all third-party  
62 dependencies are distributed as a Docker container (Merkel, 2014). FUSTr contains ten  
63 subroutines that takes transcriptome assembly FASTA formatted files from any number of taxa as  
64 input and infers gene families that are either under diversifying or purifying selection. A graphical  
65 overview of this workflow and parallelization scheme has been outlined in Fig. 1.

66         *Data Preprocessing* The first subroutine of FUSTr acts as a quality check step to ensure  
67 input files are in valid FASTA format. Spurious special characters resulting from transferring text

68 files between multiple operating system architectures are detected and removed to facilitate  
69 downstream analysis.

70 *Isoform detection* Header patterns are analyzed to auto-detect whether the given assembly  
71 includes isoforms by detecting naming convention redundancies commonly used in isoform  
72 designations, in addition to comparing the header patterns to common assemblers such as Trinity  
73 *de novo* assemblies (Haas et al., 2013) and Cufflinks reference genome guided assemblies  
74 (Trapnell et al., 2014).

75 *Gene prediction* Coding sequences are extracted from transcripts using Transdecoder  
76 v3.0.1 (Haas et al., 2013). Transdecoder predicts Open Reading Frames (ORFs) using likelihood-  
77 based approaches. A single best ORFs for each transcript with predicted coding sequence is  
78 extracted providing nucleotide coding sequences (CDS) and complementary amino acid  
79 sequences. This facilitates further analyses requiring codon level sequences while using the more  
80 informative amino acid sequences for homology inferences and multiple sequence alignments. If  
81 the data contain several isoforms of the same gene, at this point only the longest isoform is kept  
82 for further analysis to ensure phylogenetic independence. The user may customize the use of  
83 Transdecoder by changing minimum coding sequence length (default: 30 codons) or strand-  
84 specificity (default: off). Users also have the option to only retain ORFs with homology to known  
85 proteins through a BLAST search against Uniref90 or Swissprot in addition to searching PFAM  
86 to identify common protein domains.

87 *Homology search* All coding sequences are assigned a unique identifier and then  
88 concatenated into one FASTA file. Homology of peptide sequences is assessed via BLASTP  
89 acceleration through DIAMOND (v.0.9.10) with an e-value cutoff of  $10^{-5}$ .

90 *Gene Family inference* The resulting homology network is grouped into putative gene  
91 families using transitive clustering with SiLiX v.1.2.11, which is faster and has better memory  
92 allocation than other clustering algorithms such as MCL, and greatly reduces the problem of

93 domain chaining (Miele, Penel & Duret, 2011). Sequences are only added to a family with 35%  
94 minimum identity, 90% minimum overlap, with minimum length to accept partial sequences in  
95 families as 100 amino acids, and minimum overlap to accept partial sequences of 50%. These are  
96 the optimal configurations of SiLiX (Bernardes et al., 2015), but the user is free to configure  
97 these options.

98 *Multiple sequence alignment and phylogenetic reconstruction* Multiple amino acid  
99 sequence alignments of each family are then generated using the appropriate algorithm  
100 automatically detected using MAFFT v7.221 (Kato & Standley, 2013). Spurious columns in  
101 alignments are removed with Trimal v1.4.1's *gappyout* algorithm (Capella-Gutiérrez & Silla-  
102 Martínez, 2009). Phylogenetic reconstruction of each family's untrimmed protein multiple  
103 sequence alignment using FastTree v2.1.9 (Price, Dehal & Arkin, 2010). Trimmed multiple  
104 sequence codon alignments are then generated by reverse translation of the amino acid alignment  
105 using the CDS sequences.

106 *Tests for selective regimes* Families containing at least 15 sequences have the necessary  
107 statistical power for tests of adaptive evolution (Wong et al., 2004). Tests of pervasive positive  
108 selection at site specific amino acid level are implemented with FUBAR (Murrell et al., 2013).  
109 Unlike codeml, FUBAR allows for tests of both positive and negative selection using an ultra-fast  
110 Markov chain Monte Carlo routine that averages over numerous predefined site-classes. When  
111 compared to codeml, FUBAR performs as much as 100 times faster (Murrell et al, 2013). Default  
112 settings for FUBAR, as used in FUSTr, include twenty grid points per dimension, five chains of  
113 length 2,000,000, with the first 1,000,000 used as burn-in, 100 samples drawn from each chain,  
114 and concentration parameter of the Dirichlet prior set to 0.5.

115 Users have the option to also run tests for pervasive selection using the much slower  
116 CODEML v4.9 (Yang, 2007) with the codon alignments and inferred phylogeny. Log-likelihood  
117 values of codon substitution models that allow positive selection are then compared to respective

118 nested models not allowing positive selection (M0/M3, M1a/M2a, M7/M8, M8a/M8), Bayes  
119 Empirical Bayes (BEB) analysis then determines posterior probabilities that the ratio of  
120 nonsynonymous to synonymous substitutions ( $d_N/d_S$ ) exceeds one for individual amino acid sites.

121 *Final output and results* The final output is a summary file describing what gene families  
122 were detected, and those that are under strong selection and the average  $d_N/d_S$  per family. A CSV  
123 file for each family under selection is generated giving the following details per codon position of  
124 the family alignment : alpha mean posterior synonymous substitution rate at a site; beta mean  
125 posterior non-synonymous substitution rate at a site; mean posterior beta-alpha; posterior  
126 probability of negative selection at a site; posterior probability of positive selection at a site;  
127 Empirical Bayes Factor for positive selection at a site; potential scale reduction factor; and  
128 estimated effective sample size for the probability that beta exceeds alpha.

## 129 **Validation**

130 We tested FUSTr on six published whole body transcriptome sequences from an adaptive  
131 radiation of Hawaiian *Tetragnatha* spiders (NCBI Short Read Archive Assesion numbers:  
132 SRX612486, SRX612485, SRX612477, SRX612466, SRX559940, SRX559918) assembled  
133 using the same methods from the original publication (Brewer et al., 2015). Spider genomes  
134 contain numerous gene duplications lending to gene family rich transcriptomes. Additionally, this  
135 adaptive radiation has been shown to facilitate strong, positive, sequence-level selection in these  
136 transcriptomes (Brewer et al., 2015). This dataset provides an ideal case use for FUSTr.

137 A total of 273,221 transcripts from all six *Tetragnatha* samples were provided as input for  
138 FUSTr, a total of 4,258 isoforms were removed leaving 159,464 coding sequences for analysis  
139 after gene prediction. The entire analysis ran in 13.7 core hours, completing within an hour when  
140 executed on a 24-core server. Time of completion and memory usage for each of FUSTr's

141 subroutines performance in this analysis is reported in Table 1. FUSTr recovered 134 families  
142 containing at least 15 sequences, of these 46 families contained sites under pervasive positive  
143 selection while all families also contained sites under strong purifying selection. This can be  
144 contrasted to the analysis by Brewer et al. (2015) which found 2,647 one-to-one six-member  
145 orthologous loci (one ortholog per each of the same samples), with 65 loci receiving positive  
146 selection based on branch-specific analysis. The original analysis did not allow paralogs whereas  
147 FUSTr does not reconstruct one-to-one orthogroups but entire putative gene families, and the  
148 selection analysis utilized by FUSTr is site-specific and not branch-specific. Thus, it is not  
149 expected that the results from FUSTr would perfectly match up with the original analysis,  
150 however five of the 46 families FUSTr found to be under selection included loci from Brewer et  
151 al.'s (2015) original 65 under selection based on branch-specific analysis.

152         The same 273,221 transcripts were entered as input for VESPA as a comparative analysis.  
153 Because VESPA cannot filter Open Reading Frames in transcripts, it was unable to infer proper  
154 coding sequences. In its first phase of cleaning input fasta files, 86,269 transcripts were wrongly  
155 removed for having “internal stop codons” via improper reading frame inference, and 182,000  
156 transcripts were removed due to “abnormal sequence length”. Approximately 98% of the  
157 transcripts were removed in the first phase of VESPA with no gene predictions, rendering further  
158 analysis unnecessary for proper comparison of the performance of the two pipelines.

159         We further validated FUSTr by utilized coding sequences from simulated gene families  
160 with predetermined selective regimes. We used EvolveAGene (Hall, 2007) on 3,000 random  
161 coding sequences of a random length of 300-500 codons to generate gene families containing 16  
162 sequences evolved along a symmetric phylogeny each with average branch lengths chosen  
163 randomly between 0.01-0.20 evolutionary units. Selective regimes with a selection modifier of  
164 3.0 were randomly chosen for each family so that a random 10% partition of the family receive  
165 pervasive positive selection, purifying selection, or constant selection. All other settings for

166 EvolveAGene were left as their defaults: the probability of accepting an insertion was set to the  
167 default 0.1, the probability of accepting a deletion defaulted to 0.025, the probability of accepting  
168 a replacement was left at 0.016, no recombination was allowed. A visual schema for these  
169 simulations can be found in Fig. 2.

170           The resulting 48,000 simulated sequences were used as input for FUSTr with  
171 Transdecoder set to be strand-specific. FUSTr correctly recovered all 3,000 families, and all 975  
172 that were randomly selected to undergo strong positive selection were correctly classified as  
173 receiving pervasive positive selection. Additionally, the families selected to undergo purifying  
174 selection were correctly classified, and families not selected receive constant selection were  
175 classified as not having any specific sites undergoing purifying or pervasive positive selection.  
176 Scripts for these simulations can be found at <https://github.com/tijeco/FUSTr>.

## 177 **Conclusions**

178           Current advances in RNA-seq technologies have allowed for a rapid proliferation of  
179 transcriptomic datasets in numerous non-model study systems. It is currently the only tool  
180 equipped to deal with the nuances of transcriptomic data, allowing for proper prediction of gene  
181 sequences and isoform filtration. FUSTr provides a fast and useful tool for novice  
182 bioinformaticians to detect gene families in transcriptomes under strong selection. Results from  
183 this tool can provide information about candidate genes involved in the processes of adaptation,  
184 in addition to contributing to functional genome annotation.

185 **Availability:** FUSTr is freely available under a GNU license and can be downloaded at  
186 <https://github.com/tijeco/FUSTr>.

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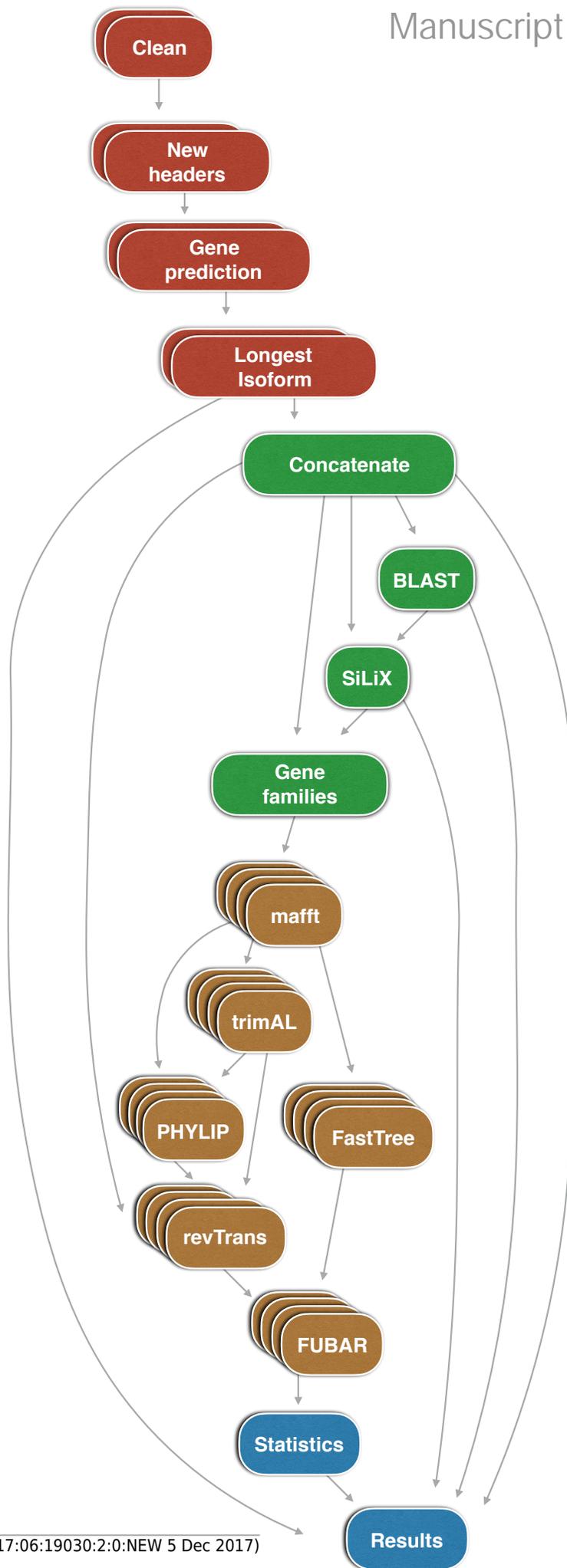
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**Figure 1**(on next page)

Parallelization scheme and workflow of FUSTr.

Color coding denotes functional subroutines in the pipeline: preparation and open reading frame prediction (red); homology inference and gene family clustering (green); multiple sequence alignment, phylogenetics, and selection detection (brown); and model selection and reconciliation (blue).



**Figure 2** (on next page)

Schematice used for EvolveAGene.

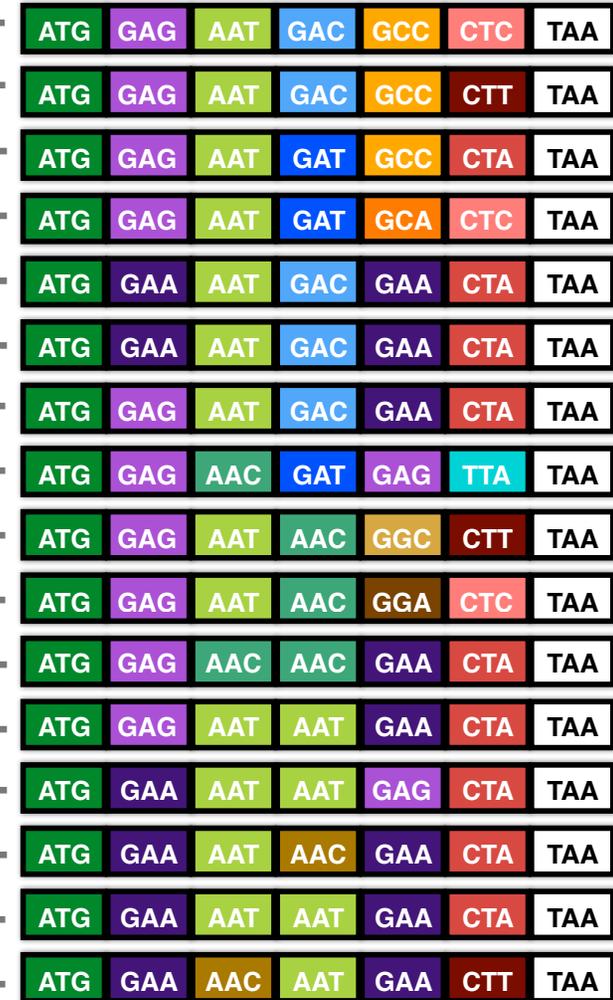
Randomly generated sequence is evolved along a symmetric phylogeny of a given selective regime.

1. Seed sequence (300-500 codons)

ATG GAA AAT GAT GAG TTA TAA

2. Evolve gene along 16 node phylogeny  
( $\bar{x}$  branch length of 0.01-0.20)

3. Predefine selective regime  
(positive, purifying, constant)  
across sequences



**Table 1** (on next page)

Benchmarks for each subroutines' time and memory used for the *Tetragnatha* transcriptome assembly analysis.

Red highlighted row represents subroutine consuming the most memory and time per task, blue highlighted row represents subroutine consuming the most memory and time in total.

1  
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3  
4  
5  
6  
7

subroutine	tasks	$\bar{x}$ seconds per task	total seconds	$\bar{x}$ RAM per task (MiB)	total RAM (MiB)
Clean fastas	6	1.40	8.38	46.5	278.9
New headers	6	1.65	9.90	43.6	261.5
Long isoform	6	0.512	3.07	51.5	309.13
<b>Transdecoder</b>	<b>1</b>	<b>10,436.7</b>	<b>10,436.7</b>	<b>3,249.8</b>	<b>3,249.8</b>
Diamond	1	32.1	32.1	234.0	234.0
SiLiX	1	4.51	4.51	22.8	22.8
Mafft	135	3.24	437.8	18.3	2,466.5
FastTree	135	3.09	417.4	18.5	2,491.3
TrimAL	135	1.87	252.2	17.9	2,415.6
<b>FUBAR</b>	<b>135</b>	<b>278.6</b>	<b>37,605.5</b>	<b>28.8</b>	<b>3,886.2</b>

8