

Beyond harm's reach? Submersion of river turtle nesting areas and implications for restoration actions after Amazon hydropower development (#21531)

1

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Beyond harm's reach? Submersion of river turtle nesting areas and implications for restoration actions after Amazon hydropower development

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The global expansion of energy demands combined with abundant rainfall, large water volumes and high flow in tropical rivers have led to an unprecedented expansion of dam constructions in the Amazon. This expansion generates an urgent need for refined approaches to river management; specifically a move away from decision-making governed by overly generalized guidelines. For the first time we quantify direct impacts of hydropower reservoir establishment on an Amazon fresh water turtle. We conducted surveys along 150 km of rivers upstream of a new dam construction during the low water months that correspond to the nesting season of *Podocnemis unifilis* in the study area. Comparison of nest-areas before (2011, 2015) and after (2016) reservoir filling show that reservoir impacts extend 13% beyond legally defined limits. The submerged nesting areas accounted for a total of 3.8 ha of nesting habitat that was inundated as a direct result of the reservoir filling in 2016. Our findings highlight limitations in the development and implementation of existing Brazilian environmental impact assessment process. We also propose potential ways to mitigate the negative impacts of dams on freshwater turtles and the Amazonian freshwater ecosystems they inhabit.

1 **Beyond harm's reach? Submersion of river turtle nesting areas and implications for restoration actions**
2 **after Amazon hydropower development**

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13 **Abstract**

14 The global expansion of energy demands combined with abundant rainfall, large water volumes and high
15 flow in tropical rivers have led to an unprecedented expansion of dam constructions in the Amazon. This
16 expansion generates an urgent need for refined approaches to river management; specifically a move
17 away from decision-making governed by overly generalized guidelines. For the first time we quantify
18 direct impacts of hydropower reservoir establishment on an Amazon fresh water turtle. We conducted
19 surveys along 150 km of rivers upstream of a new dam construction during the low water months that
20 correspond to the nesting season of *Podocnemis unifilis* in the study area. Comparison of nest-areas
21 before (2011, 2015) and after (2016) reservoir filling show that reservoir impacts extend 13% beyond
22 legally defined limits. The submerged nesting areas accounted for a total of 3.8 ha of nesting habitat that
23 was inundated as a direct result of the reservoir filling in 2016. Our findings highlight limitations in the
24 development and implementation of existing Brazilian environmental impact assessment process. We
25 also propose potential ways to mitigate the negative impacts of dams on freshwater turtles and the
26 Amazonian freshwater ecosystems they inhabit.

27 Introduction

28 Freshwater turtles are under threat from the alteration of rivers, habitat loss, climatic changes and
29 anthropogenic changes to the landscape. In aquatic systems, changes in upstream land use and the
30 placement of dams have had significant impacts on ecosystems and turtle populations worldwide
31 (Castello et al. 2013; Lees et al. 2016; Rhodin et al. 2011; Rödder & Ihlow 2013). Despite this, the
32 literature on environmental impact assessments of dams on freshwater turtles is limited, as most dams
33 are constructed before any baseline ecological data were collected (Keck et al. 2017; Poff & Zimmerman
34 2010; Sousa Júnior et al. 2016).

35 There is an urgent need for refined approaches to river management, and a move away from
36 decision-making governed by overly generalized rules of thumb (Keck et al. 2017; Kuehne et al. 2017;
37 Latrubesse et al. 2017). Hydroelectric expansion generates myriad social (Richter & Thomas 2007; Zedler
38 & Callaway 1999), economic and environmental changes (Freeman et al. 2007; Kingsford 2000; Molle
39 2009). Generally dams accumulate toxins and release greenhouse gases, which combined with regional
40 deforestation, can generate drastic changes in local and regional climates (Guimberteau et al. 2017;
41 Stickler et al. 2013). These changes have a disproportionately large effect on communities and
42  diversity most closer to the installation site. This is particularly true in the Amazon basin, where
43 freshwater ecosystems are vital components and hydrological connectivity with both aquatic and
44 terrestrial ecosystems makes them susceptible to a wider range of anthropogenic impacts at local and
45 regional scale (Castello et al. 2013; Latrubesse et al. 2017). Thus, the debate as to whether hydropower is
46 renewable continues (Fearnside 2016; Ferreira et al. 2014; Kahn et al. 2014; Winemiller et al. 2016).

47 Although some nations have started to remove dams (e.g. 1300 dams removed in USA as of 2015)
48 at the global-scale dams and water resources challenges remain all too common in economically
49 developed (e.g. Australia) and developing (e.g. Brazil with its large economy but low per capita GDP)
50 nations (Sousa Júnior et al. 2016; Tundisi et al. 2014). The 6.15 million km² Amazon River basin is by far
51 the largest river basin in the world, with the Amazon River discharge contributing more than 15% of the

52 total discharge of all rivers (FAO 2016)  playing a key role in maintaining global climate and hydrological
53 cycles. Across the Amazon, transnational river systems and a lack of coordinated management of aquatic
54 systems may result in losses to unspecified levels of biodiversity (Castello et al. 2013; Fearnside 2016;
55 Ferreira et al. 2014; Latrubesse et al. 2017; Sousa Júnior et al. 2016; Winemiller et al. 2016). Ensuring the
56 social and environmental sustainability of the myriad developments across Amazon waterways is
57 therefore critical for future regional and global well-being (Kahn et al. 2014; Latrubesse et al. 2017; Tilt et
58 al. 2009).

59 Environmental impact assessment (EIA) systems in Brazil are at a crossroads (Ferreira et al. 2014).
60 Environmental impact assessments are the mechanism used to ensure environmental sustainability of
61 major development projects. The purpose of impact assessment is to evaluate whether a stressor has
62 and/or will change the environment, which components are adversely affected, and to estimate the
63 magnitude of the effects. Impact assessments are best based on before-after control-impact (BACI)
64 design (Smith 2006). An appropriately applied BACI design is considered optimal to help isolate the effect
65 of the development from natural variability. Yet, due to myriad financial, logistical and political reasons
66 BACI-designed studies are relatively rare and empirical impact assessments are most commonly based on
67 so-called space-for-time substitutions. There is increasing evidence that such space-for-time assessments
68 can systematically underestimate impacts of stressors/changes (França et al. 2016; Gonzalez et al. 2016).

69 Effective EIAs of hydropower development require quantification of how far upstream and
70 downstream impacts can reach, as this knowledge is required to directly inform specific strategies and
71 solutions to mitigate the myriad adverse effects on biodiversity and freshwater ecosystems. The spatial
72 extent of upstream impacts depends on the local system channel geometry, channel slope, and height of
73 the dam. New dams present a unique challenge to freshwater turtles in the region as reservoir formation
74 and flow changes can drastically alter feeding and breeding habits, due to irreversible changes in
75 phenological rhythms of the flooded forest, and submerging of nesting beaches used by Amazonian
76 freshwater turtles (Castello et al. 2013; Lees et al. 2016).

77 Our objective was to identify the distance at which the reservoir from a medium-sized dam
78 impacted populations of the yellow spotted river turtle (*Podocnemis unifilis*). We quantified and mapped
79 nesting areas pre- and post-reservoir formation to identify the spatial limit of reservoir flooding on this
80 species. To maximize strength of inference our study employed a before-after comparison, which is
81 lacking in most studies of hydropower development (Poff & Zimmerman 2010). Based on project
82 outcomes, we discuss potential ways to mitigate the negative effects of hydropower development on
83 Amazonian freshwater turtles.

84 Ethical statement

85 Ethical approval was not required for our noninvasive study, as we did not collect any biological sample
86 nor interfere with the behavior of the study species. Permission to collect observational data from river
87 turtle nest-areas was provided by research permit number IBAMA/SISBIO 49632-1 and 49632-2 to DN
88 and FM, issued by the Instituto Chico Mendes de Conservação da Biodiversidade (ICMBio). Interviews
89 with local residents were approved by IBAMA/SISBIO (permits 45034-1, 45034-2, 45034-3) and the Ethics
90 Committee in Research from the Federal University of Amapá (UNIFAP) (CAAE 42064815.5.0000.0003,
91 Permit number 1.013.843).

92 Material and methods

93 Study area

94 The study was conducted in the Araguari River basin, upriver of the newly installed Cachoeira Caldeirão
95 Dam, in the state of Amapá, Brazil (N 0.77327, W 51.58064; Fig 1). The regional climate is classified by
96 Köppen-Geiger as Am (Equatorial monsoon) (Kottek et al. 2006), with an annual rainfall greater than 2000
97 mm (ANA 2016). The driest months are September to November (total monthly rainfall < 150 mm) and
98 the wettest months (total monthly rainfall > 300 mm) from February to April (S1 Fig in Paredes et al.

99 (2017)). The Araguari River rises in the Guianan Shield at the base of the Tumucumaque uplands and until
100 recently discharged directly into the Atlantic Ocean (i.e. was not part of the Amazon River basin).
101 However, in 2015 the river course changed due to as yet undefined anthropogenic effects and the
102 Araguari River now discharges directly into both the Atlantic Ocean (120 km east from the Cachoeira
103 Caldeirão Dam) and the Amazon River (100 km south from the Cachoeira Caldeirão Dam).

104 The Cachoeira Caldeirão is a large run-of-river dam (219 MW, dam height 20.6 m), with three
105 turbines that became fully operational in January 2017 (EDP energy 2017). The Cachoeira Caldeirão is the
106 third and most recent addition to a series of dams along a 20 km stretch of the Araguari River. The
107 reservoir was filled in January 2016, and the testing of the first installed turbine started on 24 February
108 2016 (ANEEL 2016). The approved Cachoeira Caldeirão reservoir extended to an altitude of 58.3 masl,
109 with a volume of 230.56 hm³, covering an area of 47.99 km² (including 24 km² of flooding beyond the
110 original river channels) and an average depth of 4.8 m (EDP energy 2017).

111 The Cachoeira Caldeirão dam and hydropower plant was built, installed and is currently operated
112 by the Empresa de Energia Cachoeira Caldeirão S.A, which is a joint venture between China Three Gorges
113 Brasil (member of the China Three Gorges Corporation) and EDP - Energias do Brasil S.A (subsidiary of
114  EDP - Energias de Portugal) (Bloomberg L.P. 2017). Environmental Impact Assessments (EIAs) are required
115 before any of the installation work can be started (Fearnside 2016). These EIAs are paid for by the
116 operating company (Empresa de Energia Cachoeira Caldeirão S.A), who contract companies (in this case
117 Eletronorte, Odebrecht and Neoenergia) to evaluate the overall viability of the project. These companies
118 subsequently subcontract the EIA to specialized consultancy firms.

119 Study species

120 The semi-aquatic yellow spotted river turtle (*P. unifilis*) is widely distributed across lentic and lotic
121 waterways in the Amazon and Orinoco river basins (Brazil, Venezuela, Columbia, Ecuador, Peru, Bolivia,

122 Guyana, French Guiana and Suriname) (Vogt 2008). Although *P. unifilis* is widespread, anthropogenic
123 impacts such as hunting, nest harvesting, and deforestation means that the species is classified as
124 Vulnerable (A1acd) by the IUCN (Tortoise & Freshwater Turtle Specialist Group 1996; Vogt 2008). As
125 found in many turtle species, nest site selection is an important component of *P. unifilis* demographics
126 (Escalona et al. 2009; Iverson 1991). Females are thought to lay eggs once a year, with the timing of
127 nesting synchronized with seasonal periods of low water levels (Ojasti 1996; Vogt 2008). Females can lay
128 nests in a wide variety of substrates (Escalona et al. 2009; Foote 1978; Pignati et al. 2013), with nesting
129 recorded in pasture (Ramon dos Santos 2013) and even on top of caiman nests (Maffei & Da Silveira
130 2013). However, such examples are atypical. More typically *P. unifilis* nesting shows a nonrandom
131 pattern; with nesting areas sharing similar environmental characteristics *i.e.* dry relatively exposed sandy-
132 silty substrates (Escalona et al. 2009; Pignati et al. 2013).

133 **Nest-area surveys**

134 Surveys were conducted between September and December in three nesting seasons, two pre- (2011,
135 2015) and one post- (2016) reservoir formation. These months correspond to low water and include the
136 complete nesting and first half of the hatching season in the study area (D. Norris pers. obs.). Nesting
137 area data from 2011 were obtained from a previous study along 66 km of river (Arraes 2012). In 2015 and
138 2016, we then repeated and extended the methodologies applied in 2011, along 150 km of river
139 upstream of the Cachoeira Caldeirão dam (Fig 1).

140 Surveys started 12 km upstream of the dam. This start point was established in 2011, and in
141 2015/2016 it was not possible to safely conduct monthly surveys closer to the dam due to the operations
142 that coincided with the survey period (e.g. involving boats, dredgers, and construction). Surveys were
143 used to identify and map nesting areas. Originally in 2011, two separate 33 km river sections were
144 surveyed for nesting areas, the first starting 12 km upstream from the dam. The 2011 survey

145 methodology was extended in 2015 and 2016, when we surveyed a continuous 150 km stretch of river
146 and also considered both potential and actual nesting areas. Potential nesting areas are all locations that
147  suitable habitat conditions for nesting and actual nesting areas those locations where females
148 actually nested.

149 Methods used to identify turtle nest-areas were standardized among years. To minimize possible
150 detectability bias related to the searches of turtle nesting areas and nests we maintained at least one
151 observer in the team constant while conducting searches in all years (2011, 2015, and 2016). Monthly
152 boat surveys were used to identify nesting areas. While navigating along the rivers in a motorized boat at
153 a constant speed (ca. 10 km/h) we performed an extensive search for nesting areas that involved
154 identifying potentially suitable areas through visually searching river banks, circling islands, stopping to
155 search among boulders and rapids. These searches were conducted together with local residents with
156 over 30 years of knowledge of nesting areas. We identified potential areas (Figure S1 A-B) where
157 environmental conditions matched those described in the literature (Escalona et al. 2009; Pignati et al.
158 2013) and found at the nesting areas from 2011. Potential areas were repeatedly surveyed to locate nests
159 (each area being surveyed three times per season with an interval of 20 – 30 days between visits), once a
160 nest was confirmed the area was considered as an actual nesting area. Sites that remained waterlogged
161 (on or close to the water level) or predominantly covered with an inappropriate substrate type (e.g. clay)
162 were characterized as not suitable for nesting (Figure S1 D-E). All nesting areas were characterized in
163 terms of size and shape by mapping in the field using a handheld GPS and confirmed against high-
164 resolution satellite images [RapidEye, 5 m resolution, downloaded from <http://geocatalogo.mma.gov.br>;
165 Tile IDs: 2239415 (date 10/09/2015), 2239412 (date 22/09/2015) and 2239413 (date 20/10/2015)]. We
166 mapped only the surface area considered to be suitable for turtle nesting, i.e. excluding areas covered by
167 rock/prominent boulders/roots/clay substrates.

168 To locate river turtle nests we conducted monthly surveys of all nesting areas. Nests were located
169 by following turtle tracks on the sandy/gravel substrates and systematic substrate searches. Searches

170 were conducted by a team of three observers at a standardized speed (mean 0.8, range 0.2 – 1.3 km per
171 hour) and the time spent searching sites ranged from 10 to 97 minutes depending on the size of the site.
172 To identify actual nest-areas we considered the presence of all nests including those predated by humans
173 or wildlife. Depredated nests were identified by the presence of broken eggshells outside the nest,
174 disturbed/uncovered nests and the presence of excavation marks.

175 Female turtles are thought to repeatedly use the same nesting areas, but considering the
176 possibility that there could be differences in the locations of nest-areas used between years and that
177 despite our intensive effort we may have failed to detect some nests, we also conducted interviews with
178 local residents to identify actual river turtle nest-areas during the last 5 years (Norris & Michalski 2013).
179 Thus, nest areas that were reported by interviewees and those where nests were identified during field
180 surveys were both included as actual areas in our study. Sites with river turtle tracks but no confirmed
181 nesting were included in the potential nesting area count.

182 **Data analysis**

183 All statistical analyses were undertaken within the R language and environment for statistical computing
184 (R Core Team 2017). Variation in the number of nesting areas per km was examined using a generalized
185 linear model (GLM) with Tweedie error distribution family (Dunn 2017). To represent the BACI design, the
186 response of actual nesting beaches per km was modelled against two fixed factors, i) before-after and ii)
187 control-impact. The impacted area corresponds to 33 km of the Araguari River, within the area of direct
188 impact (as defined by the EIA (Ecotumucumaque 2013; EDP energy 2017)), 12-45 km upstream of the
189 dam (Fig 1). For control areas, we used a 33 km stretch of the Falsino river 61-94 km upstream of the dam
190 (Fig 1), which corresponds to a region with little anthropogenic disturbance, with less than five
191 households (Norris & Michalski 2013) and fisherman are not allowed to enter. For the GLM analysis each
192 area (control, impact) was divided into five subsections with an equal length of 6.6 km (Table S1). This
193 distance was chosen to provide a representative number of spatially independent replicates for model

194 estimation. Greater numbers of shorter sections resulted in higher proportions of river sections with zero
195 nesting areas, which consequently reduced the performance of GLM estimation and fit. We also included
196 the interaction between factors, where a significant interaction represents differences between the
197 impacted area compared with the control after the reservoir filling (Underwood 1993).

198 To examine the spatial extent of submersion we considered potential and actual nest-areas up to
199 the farthest submerged nest-area. To determine the distance of each nest-area from the dam, we
200 obtained the center of each nest-area polygon and then calculated the distance along the river between
201 each center point and the dam using functions available in the R (R Core Team 2017) package *riverdist*
202 (Tyers 2017). As continuous surveys of nesting areas were not conducted in 2011, analysis of the spatial
203 extent of nest-area submersion was conducted by comparing data collected in 2015 (pre-reservoir
204 formation) and 2016 (post-reservoir formation). A nesting area could be either potential or actual during
205 the nesting period ~~e.g.~~ if a potential area was identified and in a subsequent visit a nest was found then
206 the area was counted only as actual during the nesting season.

207 Results

208 Prior to reservoir filling we encountered on average 0.5 river-turtle nesting areas per km along 66 km of
209 rivers (Fig 2). Although there tended to be more nesting areas in control sections (0.7 per km compared
210 with 0.4 per km in the impacted sections), there was no significant difference between the mean
211 numbers of nest-areas encountered in control and impacted river sections prior to reservoir filling [Table
212 1, Table S1, Fig 2 (95% confidence intervals overlap sample means)]. Following reservoir filling there was
213 a drastic reduction in the number of nesting areas encountered along the 33 km within the impacted
214 area (0.065 areas per km, Fig. 2). This decline was a clearly different pattern from the relatively stable
215 number of nesting areas encountered in the control sections (Fig 2, Table 1 GLM interaction term $P =$
216 0.041).

217 Reservoir filling reduced the overall (potential and actual) nesting area to 17% of the pre-filling
218 baseline along 57.4 km of river upstream of the dam (Table 2). A total of 3.8 ha of nest-areas (both
219 potential and actual) were submerged as a direct result of the reservoir filling in 2016 (Fig 3, Table S2). Of
220 this total, 1.6 ha of actual nest-areas were submerged and 13% (0.2 ha) of these actual nest-area losses
221 occurred beyond the legally defined limit of direct impact (Fig 3). The farthest submerged nest-area was a
222 520 m² actual nest-area, located 57.4 km from the dam and 20.2 km upstream of the limit of direct
223 impact defined by the environmental impact assessment (Fig 3). Beyond this point none of the areas
224 recorded in 2015 were submerged. Repeating the same nest surveys as in 2015, we also found three new
225 actual nest-areas in 2016.

226 In addition to complete submersion, there was a drastic reduction in the size of the remaining
227 actual nesting areas (Table 2). Overall fewer nests were encountered in 2016, but the density of nests at
228 the remaining sites increased nearly three-fold. This increased density was correlated with both a
229 reduction in nest-area area and an increased number of nests per area, with the mean number of nests
230 encountered increasing by 50% from the 2015 baseline (from 1.4 to 2.1 nests per area in 2016). Overall
231 nest mortality was high, with only one nest successfully hatching in 2015 and none in 2016. In both years
232 the majority of nests were removed by humans (Table 2). Areas with multiple nests were most strongly
233 affected by humans (mean removal 98%), whereas there was no human removal of nests from areas with
234 only one nest.

235 Discussion

236 For the first time we present a representative and robust before-after control-impact comparison that
237 establishes a minimum value for the spatial extent of the direct impacts of a hydropower development
238 on an Amazon river turtle. Our findings support a growing body of research that shows that without
239 direct conservation intervention river turtles are unlikely to survive the severity and speed of
240 environmental changes caused by hydroelectric development (Ihlow et al. 2012; Rhodin et al. 2011;

241 Rödder & Ihlow 2013). We first explore the extent of nest-area lost due to submersion, and then turn to
242 explore how negative impacts caused by a dam reservoir can extend beyond environmental impact
243 assessment limits. Finally, we discuss ways to mitigate the negative effects of dam construction in
244 freshwater river turtles.

245 **Extent of nest-area loss/submersion**

246 Our results showed that a total of 3.8 ha of nest-areas were submerged as a direct result of the new
247 hydropower reservoir filling in 2016, which accounted for the loss of 85 (25.4%) actual/potential nest-
248 areas in the study area. We cannot attribute nest-area differences across years to detectability bias of
249 observers as we maintained at least one observer from the local community constant in all three survey
250 years (pre- and post-dam). Thus, we can assume that differences reported here between year
251 comparisons are not related to any systematic bias in methods and/or observers.

252 Nest-areas are representative of river turtle populations and have been studied for several
253 purposes but mainly for population estimation (Pignati et al. 2013) and evaluation of sustainable harvest
254 (Caputo et al. 2005). Yet, traditional population demographic parameters are time consuming to obtain at
255 the scale of areas affected by hydropower developments and will not necessarily provide information
256 that is urgently needed to inform actual conservation actions and solutions. We, therefore, present a
257 representative data on nest-area submersion that reflects negative effects caused by the new
258 hydropower dam construction on freshwater turtles.

259 We found that the dam of a hydropower development permanently submerged actual and
260 potential nest-areas where *P. unifilis* used to make nests. Similar results have been found across the
261 Amazon, with studies showing that hydropower disruption of flows and natural seasonal flood-pulses
262 severely compromises biodiversity along Amazon rivers (Castello et al. 2013; Fearnside 2009; Latrubesse
263 et al. 2017; Lees et al. 2016; Sousa Júnior et al. 2016; Winemiller et al. 2016). Thus, with a quarter of the
264 actual/potential nest-areas used by turtles in our study area directly affected by water submersion, we

265 showed that females lost areas used for nesting and will need to find new nesting sites. As female turtles
266 are thought to exhibit a strong degree of nest-area fidelity (Valenzuela & Janzen 2001) we anticipate
267 increased adult mortality as a direct result of loss of nest areas. Females will have to search for new
268 areas, which increases exposure to predators and energy expenditure at a time when females need to
269 invest in development of eggs. Additionally, dispersal movements used to fulfill reproductive life
270 requirements due to the new reservoir filling may generate conflicts with other resident turtles (Alho
271 2011), rendering energy demand and turtle density alterations with potential conflict for food resource
272 and non-flooded potential nest-areas.

273 Environmental changes caused by hydropower development will not only reduce nesting success
274 but will also cause outright loss of population segments. For example, in North America, approximately 6-
275 98 m of land is required to encompass each consecutive 10% segment of a freshwater turtle nesting
276 population up to 90% coverage, with ca. 424 m being required to encompass the remaining 10% (Steen
277 et al. 2012). While some freshwater turtles require modest terrestrial areas (<200 m zones) for 95% nest
278 coverage, others require larger zones (Steen et al. 2012). Additionally, a 30 year study of Blanding's
279 Turtles indicated that 39% of females and 50% of males captured, maintained the same residence
280 wetland for over 20 years in southeastern Michigan (Congdon et al. 2011).

281 We expect that loss of areas will concentrate and intensify human nest removal on remaining
282 areas. We found that the density of nests at the remaining un-flooded areas increased by nearly three-
283 fold with an increased number of nests per area. Changes in turtle nest densities have been shown to
284 increase predation, with clumped nests depredated at a greater rate than scattered nests (Marchand et
285 al. 2002). Considering that *P. unifilis* is widely consumed and traded in the Amazon region (Peres 2000;
286 Pezzuti et al. 2010; Smith 1979) and eggs and adults are also being consumed in our study region (Norris
287 & Michalski 2013) we anticipate that human nest removal is likely to increase. Within our study area
288 humans are by far the dominant predator of turtle nests. Currently, the local riverine people remove 76-
289 77% of turtle nests. Therefore, the reductions in areas where nests can be laid coupled with increased

290 nest density in a smaller number of areas will likely concentrate and intensify human nest removal on the
291 few remaining areas. The consumption of river turtle eggs by local people may also increase due to the
292 complex social impacts associated with dam and reservoir creation, such as the resettlement of displaced
293 populations, loss of fish and other resources by riverine communities (Fearnside 2001; Tilt et al. 2009),
294 coupled with the destruction of the previously occupied physical space (Finley-Brook & Thomas 2010).

295 **Implications for restoration of freshwater ecosystems**

296 Our findings support the view that when placed within scenarios of rapid and poorly planned
297 anthropogenic development, the recovery of biodiversity (from ecosystems to populations) is unlikely
298 without direct interventions (Latrubesse et al. 2017; Lees et al. 2016; Tundisi et al. 2014). BACI is not
299 required for Brazilian environmental impact assessments. This means that environmental impact
300 assessments generally lack scientific rigor, depending largely on simulations to predict impacts and space-
301 for-time comparisons for evaluation and monitoring. Remote sensing is a step forward and has been used
302 to generate detailed understanding of broad regional scale impacts and consequences of hydropower
303 developments in the Amazon basin (Fearnside 2009; Fearnside & Pueyo 2012; Latrubesse et al. 2017;
304 Stickler et al. 2013). Yet, lack of BACI studies at the local scale limits our ability to generate biodiversity
305 compensation or restoration actions. Recent reviews demonstrate a lack of robust data (Alho 2011; Lees
306 et al. 2016). Such data is necessary to inform effective impact assessments, and its absence limits the
307 efficiency of proposed management procedures for conservation, restoration and sustainable use.

308 Our results show that restoration actions for our study area must replace at least 1.5 ha of suitable
309 substrate for actual river turtle nest areas. If we consider the total area (actual + potential), then at least
310 3.8 ha of suitable nesting habitat must be restored. The enhancement/formation of reservoir islands for
311 conservation is also necessary and has already been proposed to mitigate negative effects of dams
312 (McCartney 2009). Such actions enable the integration of social (as points for leisure and environmental
313 education) and biodiversity conservation objectives. Yet, such restoration actions are unlikely to succeed

314 in isolation. Considering the levels of human nest removal, additional measures will be necessary for the
315 long term conservation of river turtles in the area. Environmental education campaigns aiming to reduce
316 turtle egg consumption, a cultural habit established in the study area (Norris & Michalski 2013), will be a
317 critical component for the timely mitigation of the negative impacts of dam constructions.

318 Humans are compensated (albeit often unfairly/incompletely) when their land has been
319 completely or partially submerged by a hydropower reservoir (Fearnside 2001; Fearnside 2016; Tilt et al.
320 2009). Yet restoration actions have not been anticipated and/or implemented for this widespread semi-
321 aquatic species of turtle, which holds cultural, economic and ecological significance. Brazil has the legal
322 structure to implement statutes and legally oblige developments to implement
323 biodiversity/environmental compensation actions. A recent example of Brazilian legislation generating
324 positive biodiversity outcomes is the case where anticipated hydropower development impacts on
325 indigenous lands halted (at least temporarily) one hydropower development in Mato Grosso State
326 (Bergen 2016). But the impacts of other neighboring dams in this same area show the harsh reality.
327 Whilst other nations strengthen environmental laws, Brazilian legislation allows sacred areas to be
328 dynamited and provides awards to those responsible (Branford & Torres 2017). Unfortunately, recent
329 proposals, under consideration in the Brazilian congress (“Câmara dos Deputados”) are likely to further
330 weaken existing environmental legislation (Azevedo-Santos et al. 2017; Fearnside 2016). These cases
331 highlight the continued need for robust science, including data collected from BACI designs to inform
332 ongoing political debates within Brazil.

333 **Conclusions**

334 We conclude that freshwater turtles considered in this study were highly vulnerable to nest-area losses
335 due to submersion of actual and potential nest-areas in 2016 as a direct result of river level rises caused
336 by the Cachoeira Caldeirão Dam. The synergistic effects of the construction of the new reservoir coupled
337 with the alterations in nest density in the remaining nest-areas will likely affect negatively freshwater

338 turtles. Additionally, the social impacts on human riverine populations and the loss of resources such as
339 fish are likely to increase the consumption of freshwater turtle eggs. These factors are likely to act
340 synergistically with nest area losses to drastically reduce freshwater turtle populations. Despite the at
341 least temporary persistence of *P. unifilis* nests in the study area, there is little evidence that this species
342 will be able to cope with the severe reduction in nest-areas associated with human removal of nests in
343 the near future. Populations of freshwater turtles are likely to succumb if mitigation is not undertaken
344 both during and after reservoir formation. However, additional research on post-dam construction effects
345 such as population level information on how freshwater turtles deal with such drastic environmental
346 changes are necessary to support the development of effective conservation solutions. As a minimum
347 conservation strategy, mitigation of pervasive negative effects of the new reservoir should be
348 implemented to ameliorate devastating effects on turtles and other semi-aquatic species.

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Table 1 (on next page)

Comparison of nesting areas in control and impacted river sections before and after reservoir filling.

Results from GLM used to explain the variation in the number of nesting areas per km of river, recorded before (2011, 2015) and after (2016) hydropower reservoir filling. Nesting area surveys were conducted along 33 km in both control (61 – 94 km upstream) and directly impacted (12 – 45 km upstream) river sections in all years

Source of variation	Actual nesting areas per kilometer			
	Estimate	SE	T value	P^a
(Intercept)	1.08	0.05	21.90	<0.001
Before-after (compared with 2011)				
2015	-0.04	0.06	-0.66	0.519
2016	0.01	0.07	0.18	0.857
Control-impact (impact vs control)	0.05	0.08	0.68	0.506
Interaction (Before-after:Control-impact)				
2015:Impact	0.02	0.10	0.25	0.804
2016:Impact	0.37	0.14	1.89	0.041
Observations	30			
Model deviance explained (%)	37.0			
Model P^b	0.0398			

1 ^aFactor P values obtained from comparison against the t statistic probability distribution.

2 ^bModel P value obtained from comparison against single factor (control-impact) null model.

Table 2 (on next page)

Nesting areas encountered along the Araguari river basin.

Comparison of nesting areas recorded before (2015) and after (2016) hydropower reservoir filling. Potential nest areas had suitable habitat for nesting but no nests were detected and actual areas are where females nested in 2015 and/or in the previous five years (2010 - 2014).

1

Year	No. nesting areas (potential, actual)	Total nesting area (ha) (potential, actual)	Mean nesting area (ha) (potential, actual)	Nest density ^a (N)	Human removal (N)
2015	114 (80, 34)	5.2 (2.61, 2.60)	0.03 (0.03, 0.08)	18.9 (49)	76% (37)
2016	29 (15, 14)	0.9 (0.38, 0.56)	0.04 (0.03, 0.04)	53.6 (30)	77% (23)

2 ^aNests per ha of actual nesting areas.

3

Figure 1

Study area.

(A) State of Amapá in Brazil. (B) Location within Amapá. (C) Showing location of submerged (triangles) and unsubmerged (circles) *Podocnemis unifilis* nest-areas. Yellow shading delimits the area directly impacted by the Cachoeira Caldeirão Dam (blue square) as defined by the environmental impact assessment. Location of the multiyear (2011, 2015 and 2016) control-impact survey areas are indicated along the rivers. Impact surveys were conducted along the directly impacted river section and control surveys along a river section between 22.9 and 55.9 km upstream of the directly impacted zone.

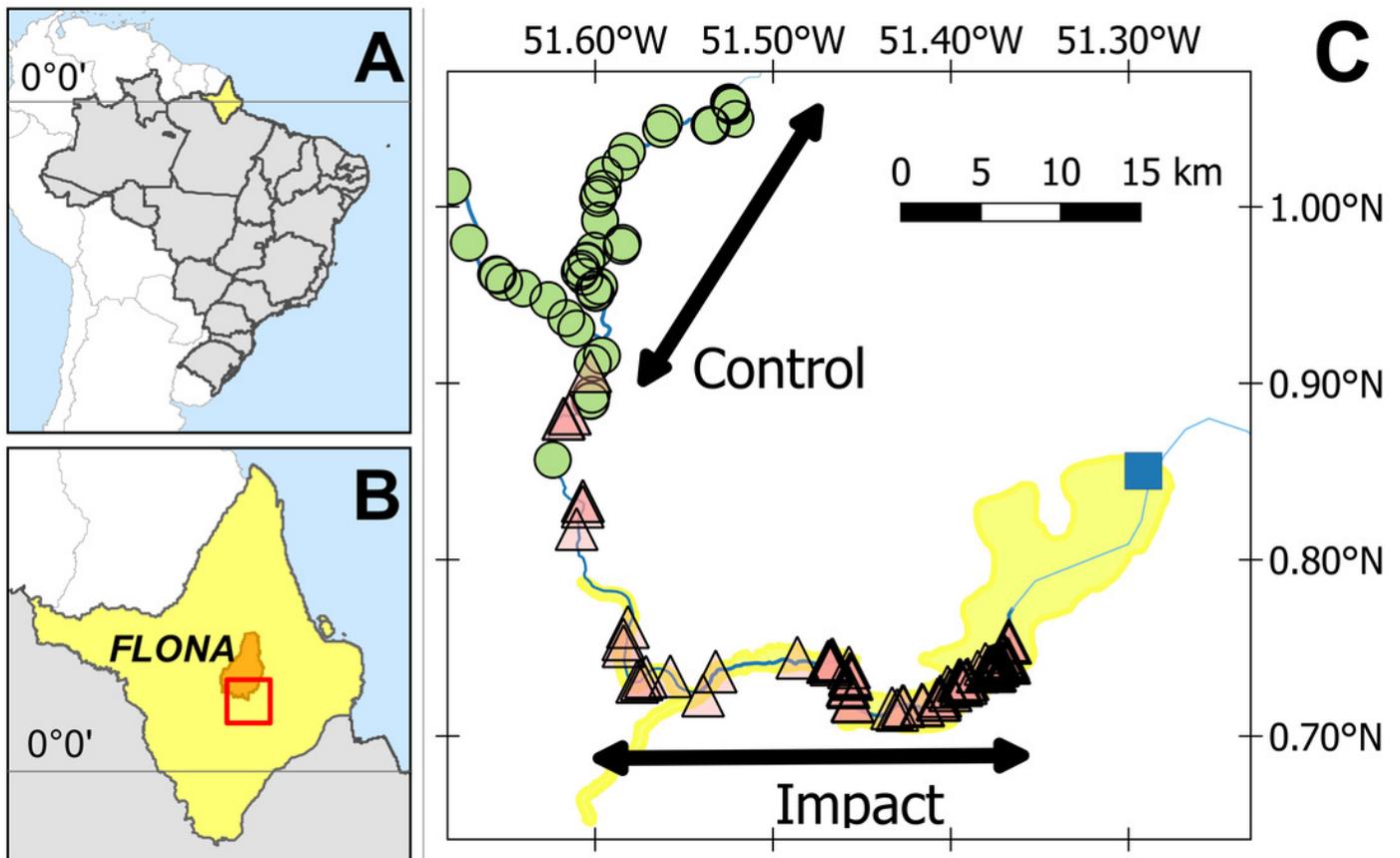


Figure 2 (on next page)

Nesting areas before and after reservoir filling

Number of yellow spotted river turtle nesting areas encountered during two nesting seasons before (2011, 2015) and one nesting season following (2016) reservoir filling of the Cachoeira Caldeirão Dam. Nesting area surveys were conducted along 33 km in both control (61 – 94 km upstream) and directly impacted (12 – 45 km upstream) river sections. Points show means and solid vertical lines are 95% confidence limits estimated via nonparametric bootstrap. Surveys were conducted simultaneously but points have been dodged along the x-axis for clarity. Dashed vertical line represents when the reservoir was filled.

Actual nesting areas per km

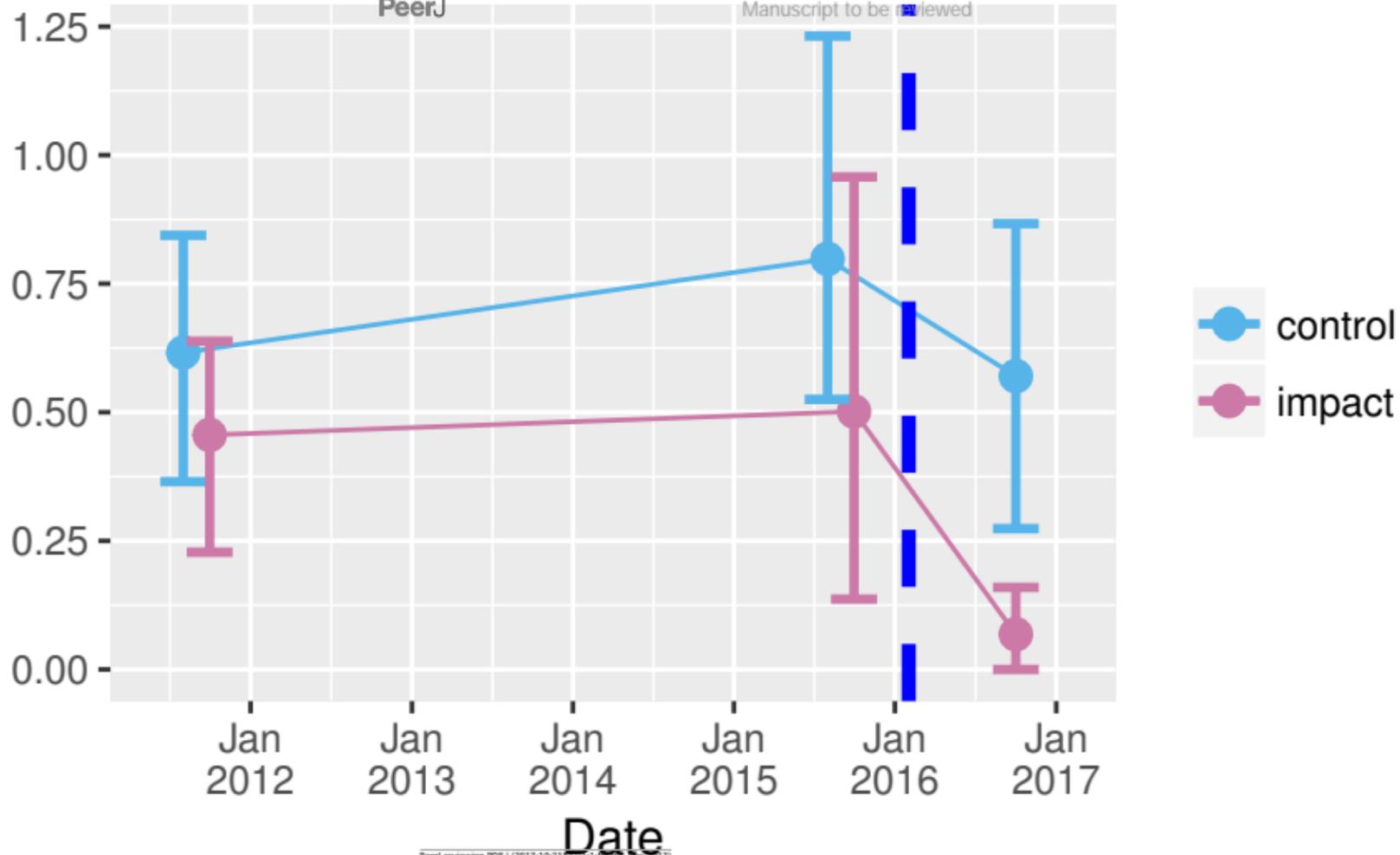


Figure 3

Submersion of river turtle nest-areas.

(A) cumulative area (ha) and (B) cumulative count of nest-areas submerged by reservoir formation at the Cachoeira Caldeirão Dam, Amapá, Brazil. Potential nest-areas had suitable habitat for nesting but no nests were detected and actual are those areas where females nested in 2015 and/or in the previous five years (2010 - 2014). Dashed vertical line represents the limit of direct impact defined by the environmental impact assessment. Lines and shaded areas are mean values and 95% confidence intervals from  models that are added as a visual aid to illustrate trends in the cumulative values.

