# Cretaceous-Palaeogene incumbent replacement of associations of mollusc plankton and giant filter feeders (#20400)

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# Cretaceous-Palaeogene incumbent replacement of associations of mollusc plankton and giant filter feeders

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Owing to their great diversity and abundance, ammonites and belemnites represented key elements in Mesozoic food webs. Because of their extreme ontogenetic size increase by up to three orders of magnitude, their position in the food webs likely changed during ontogeny. Here, we reconstruct the number of eggs laid by adult females of these cephalopods and discuss these developmental shifts in their ecologic roles. Based on similarities in conch morphology, size, habitat and abundance, we suggest that juvenile ammonites and belemnites were ecologically replaced by holoplanktonic gastropods after the Cretaceous/ Palaeogene mass extinction. As primary consumers, these extinct cephalopod groups were important constituents of the plankton and a principal food source for planktivorous organisms. As victims or, respectively, profiteers of this case of ecological replacement, filter feeding chondrichthyans and cetaceans likely filled the niches formerly occupied by large pachycormid fish during the Jurassic and Cretaceous.

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### 2 associations of mollusc plankton and giant filter feeders

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#### **ABSTRACT**

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- elements in Mesozoic food webs. Because of their extreme ontogenetic size increase by up to
- three orders of magnitude, their position in the food webs likely changed during ontogeny. Here,
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**Subjects** Palaeontology, Developmental Biology, Evolutionary Studies, Marine Biology, 26 Zoology 27 **Keywords** Ammonoidea, Belemnitida, Pachycormiformes, Holoplanktonic Gastropoda, 28 Fecundity, Mass Extinctions, Cretaceous, Palaeogene, Filter Feeders 29 30 31 INTRODUCTION 32 The fate of individual groups of marine organisms at mass extinction intervals is often wellstudied (Jablonski & Raup, 1994; Jablonski, 2008). By contrast, the disappearance of entire 33 communities or ecological associations or food webs or important parts of any of these structures 34 from the geologic past still requires a lot of palaeontological research (Hautmann, 2014; 35 Hoffmann et al., 2014; Roopnarine & Angielczyk 2015). Extinctions of entire communities or 36 ecosystems are most conspicuous during the great mass extinctions, when usually vast new 37 ecospace was freed and thereby, new ecological niches could form, 38 39 Although it is not the most severe of the Big Five, the end-Cretaceous mass extinction is 40 likely the most famous among those with the greatest severity (McGhee et al., 2013). This fame 41 roots in the facts that popular groups of organisms such as dinosaurs (Sloan et al., 1986; Archibald & Fastovsky, 2004) and ammonites (Goolaerts, 2010; Kennedy, 1993; Landman et al., 42 2015) were erased by the consequences of an impact in Mexico and flood-basalt-eruptions in 43 44 India (Keller et al., 2009; Miller et al., 2010; Schulte et al., 2010; Tobin et al., 2012). 45 Marine communities were heavily affected as reflected in the partial or total disappearance of 46 major groups such as ammonoids and belemnites (Doyle, 1992; Marshall & Ward, 1996; Iba et

al., 2011; Olivero, 2012; Landman et al. 2014) as well as foraminifers (Alvarez et al., 1980;

Smit, 1982) and bivalves (Jablonski & Raup, 1994). Ammonoids were both highly diverse and



evolved a great disparity in the course of the Cretaceous (Ward & Signor, 1983; Ward, 1996); some of the most bizarre forms such as Nipponites, Diplomoceras and Didymoceras appeared. Additionally, the largest ammonoids of all times, members of the family Puzosiidae, also lived during Cretaceous times (Landois, 1895; Olivero & Zinsmeister, 1989; Kennedy & Kaplan, 1995). Puzosiids are not only gigantic but they also occurred worldwide and in great numbers. The great abundance, wide geographical distribution, extreme diversity, middle to giant size in combination with the likely high fecundity of ammonites raises questions (i) for Cretaceous marine food webs that partially relied on the adults as planktotrophic consumers, but particularly on their minute offspring as food source and (ii) what groups might have replaced ammonites, belemnites and their predators or had similar ecological roles including their positions in post-Cretaceous food webs. 

### **METHODS**

We estimated the fecundity of large Cretaceous ammonites such as *Parapuzosia seppenradensis* using the following facts, assumptions and measurements. (i) We know that the major part of egg-development happened in the body chamber (*De Baets et al., 2015; Mironenko & Rogov, 2015*); (ii) there is good evidence that the ammonitella represents the embryonic part of the conch (*De Baets et al., 2015*); (iii) we suggest that egg-size only slightly exceeded ammonitellasize because of their dense packing in fossils with embryos preserved in the body chamber (*De Baets et al., 2015; Mironenko & Rogov, 2015*); and (iv) we followed the proportion of 8% of the soft body volume being occupied by the gonads according to the proportions known from Recent *Nautilus (Tanabe & Tsukahara, 1987; Korn & Klug, 2007; De Baets et al., 2015*). As far as (iv) is concerned, there is some uncertainty because the proportions of the ovaries are poorly known



/2	from ammonoids due to the extremely rare and fragmentary preservation of soft parts
73	(Mironenko & Rogov, 2015; Lehmann, 1981; Lehmann, 1985; Klug & Lehmann, 2015; Klug et
74	al., 2012). When regarding the specimens figured by Mironenko & Rogov (2015), one tends to
<del>75</del>	assume that the gonads filled a much larger portion of the body chamber. This hypothesis finds
76	further support in symmetric bulges in the posterior body chamber in mature <i>Pachydesmoceras</i>
77	(Fig. 1) and scaphitid conchs (Kennedy, 1989). These bulges may have offered space for the
78	growing ovaries. Owing to these materials and morphological adult modifications of ammonoid
79	conchs, we calculated alternative maximum egg-numbers using a body chamber volume
30	proportion occupied by gonads of 30%.
31	The largest specimen of the largest ammonite species Parapuzosia seppenradensis is
32	incomplete (Landois, 1895; Kennedy & Kaplan, 1995). We estimated the adult body chamber
33	volume and the surface area of the terminal aperture assuming a body chamber length of about
34	180 degrees because of shell traces of the missing conch part along the umbilical seam.
35	Accordingly, the maximum diameter dm can be reconstructed to have reached 2200 mm with a
36	whorl height wh of about 800 mm and a whorl width ww of about 500 mm. The radiuses would
37	then measure 1250 mm $(r_1)$ at the terminal aperture and 950 mm $(r_2)$ on the opposite side. Using
38	the wh and ww values, we reconstructed a whorl cross section in CorelDraw and measured the
39	area; accordingly, the cross section area K amounts to almost 320'000'mm².
90	As demonstrated by De Baets et al. (2012), derived ammonoids likely had a high fecundity.
91	This is corroborated by the great differences between embryo size and adult conch size. For
92	example, in the largest specimen of Parapuzosia seppenradense from the Late Cretaceous of
93	Germany, the embryonic conch measured about one millimeter in diameter at hatching, while the
94	adult conch exceeded two meters in diameter (Kennedy & Kaplan 1995; De Baets et al., 2012;



- 95 De Baets et al., 2015; Korn & Klug, 2007; Landman et al., 1996; Tanabe et al., 2008). This
- 96 implies a factor of at least 2000 in diameter increase between embryos and adult macroconchs.
- 97 Embryonic conch size (ammonitella size) is well documented for most ammonoid clades (De
- 98 Baets et al., 2015). In Cretaceous ammonoids, ammonitella size ranges between 0.5 and 1.5 mm
- 99 with the average being smaller than 1 mm (*De Baets et al., 2015*).
- In order to estimate the absolute gonad volume, we determined the body chamber volume
- VBC, which can be achieved by applying an equation introduced by *Raup & Chamberlain*
- 102 (1967) and also used by *De Baets et al.* (2012):

103 (1) 
$$V_{BC} = 2 / 3 * \pi * (K * Ra / lnW) * (1 - W^{-3\theta/2\pi})$$

- with K area of the last aperture, Ra distance coiling axis to center of mass (estimated 200
- mm based on comparisons with species with similar conch shape: Tajika et al. 2015; Naglik et
- 106 al., 2016),  $\theta$  angular length of the body chamber in radians (equals  $\pi$  here, because the body
- 107 chamber is about 180° long), the whorl expansion rate for this particular body chamber length
- 108 (2)  $W = (r_1 / r_2)^{2\pi/\theta}$
- with  $r_1$  maximum conch diameter and  $r_2$  conch diameter 180° behind the aperture.

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#### RESULTS

### Estimating ammonoid fecundity

- Applying the data and calculations listed in the methods section to the lectotype of *Parapuzosia*
- seppenradense, we obtain a whorl expansion rate W of 1.73 and then an according body chamber
- volume V<sub>BC</sub> of 137'075'470 mm<sup>2</sup>. Depending on the proportion of the gonads (between 8 and
- 116 30%; see discussion in methods), we obtain gonad volumes varying between about 10'000'000
- 117 mm<sup>2</sup> and 40'000'000 mm<sup>2</sup>. Assuming an egg-volume of 1 mm<sup>2</sup>, we obtain numbers of



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10'000'000 to 40'000'000 eggs per adult female *Parapuzosia seppenradensis* if they were semelparous. If we assume iteroparity, these numbers increase by the factor of the number of reproductive cycles. Also, if we assume that the eggs and embryos continued to grow after they were laid, ammonoid fecundity would further increase, but evidence for this is missing in ammonoids (Mironenko & Rogov, 2015). For an adult female of half the diameter, we would obtain egg-numbers of between 3'000'000 (8% gonad volume) and 10'000'000 eggs (30% gonad volume) at semelparity. Puzosiids and other large Cretaceaous ammonoids in the size range between 500 and 1000 mm are quite common worldwide (e.g. *Pachydesmoceras*). The role of r-strategy in ammonite and belemnite ecology Depending on the proportional gonad size and whether or not ammonites were semelparous or iteroparous, it appears likely that adult females of the largest puzosiid ammonites such as Parapuzosia seppenradensis laid between 10'000'000 and 100'000'000 eggs and ammonoids about half the size still over 1'000'000 eggs. The simple calculation above itself highlights the likelihood that derived ammonites were extreme r-strategists, which produced vast amounts of offspring, likely contributing an important part of the plankton in size at the limit from micro- to macroplankton. High fecundity corresponded with high mortality and it is likely that hatchlings and juveniles of ammonites formed a major source of food in the marine realm. As far as belemnites are concerned, their global abundance had decreased in the Late Cretaceous already, freeing ecospace for, e.g., other coleoids (*Iba et al., 2011*). Nevertheless, coleoids with conical phragmocones such as belemnites, diplobelids, Groenlandibelus or Naefia share a small initial chamber and likely small embryonic conchs (Bandel et al., 1984).

Accordingly, we can assume that their fecundity was also high, although much lower than those



of the puzosiid ammonites because of the much lower size difference between adults and embryos (about 100 to 1000 eggs per female).

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#### Which animals ate ammonites?



Evidence for successful and unsuccessful predation on medium to large-sized ammonites is not rare but identifying the actual predator is possible only in very few cases (Keupp, 2012; Hoffmann & Keupp, 2015). Additionally, most hard parts of ammonites (conch and lower jaw) were likely crushed by the predators and quickly dissolved in the digestive tract, making ammonites as fossilized stomach contents improbable, although a few cases have been reported where juvenile ammonoid remains are preserved in stomachs of Jurassic ammonites (Klug & Lehmann, 2015). It is even more difficult to find evidence for predators that fed on hatchlings and neanic juveniles of ammonites (dm < 10 mm), which must have occurred in vast numbers in the world's oceans of the Mesozoic. These early post-hatching developmental stages probably lived in the water column because their conchs already had functional phragmocones and they are often found in black shales, which were deposited under hypoxic to anoxic bottom water conditions and therefore, a strictly benthic mode of life was impossible (Nützel & Mapes, 2001; Mapes & Nützel, 2008). Thus, pelagic nektonic animals (including older growth stages of ammonites) are the likeliest candidates as predators feeding on these young ammonites (Fig. 2). For abundant and easy prey like juvenile ammonites, a broad range of predators can be hypothesized. Like plankton today, these masses of juvenile ammonites represent perfect food sources for medium-sized to large suspension feeders (invertebrates and vertebrates). From the Cretaceous, giant planktivorous bony fishes (pachycormids: Friedman et al., 2010) have been suggested to be nektonic suspension feeders, which might have fed on plankton comprising a





wealth of juvenile ammonites. In the SOM of their paper, Friedman et al. (2010) show a
fragment of the gill rakers; their filaments have a spacing of about 1 mm, which is suitable to
filter out hatchlings and juvenile ammonites with conchs of a few millimeter diameter (Fig. 3).
This trophic relationship is further corroborated by the extinction of this group synchronous with
the demise of the Ammonoidea and Belemnitida but direct evidence is missing. Taking the direct
fossil evidence from the Jurassic into account, it appears likely that ammonites also played a role
as micropredators feeding on early juvenile ammonite offspring (Klug & Lehmann, 2015; Keupp
2012; Kruta et al. 2011).
The extreme differences in size (up to three orders in magnitude) between adults and juveniles
in large ammonites indicate that the range of potential predators changed significantly
throughout the life history of these cephalopods. As hatchlings and small juvenile planktonic
forms, moderate-sized to large suspension feeders and small predators likely used them as a food
source but for adult puzosiids, only large predators such as mosasaurs, pliosaurs and large fishes
can be considered, although the seeming direct evidence for such a trophic relationship is still
under debate (Kauffman & Kesling 1960). Late Cretaceous ammonites were probably not the
primary food source of ichthyosaurs since the latter became extinct already in the Cenomanian
whereas ammonites persisted to be diverse and abundant; in spite of a better link of their demise
with the extinction of belemnites in the North Pacific near the end of the Early Cretaceous (Iba e
al. 2011), belemnite decline in the Tethys at the CTB (Doyle, 1992; Christensen, 2002) and
direct evidence for a trophic relationship between phragmocone-bearing coleoids and
ichthyosaurs (Kear et al., 1995, and references therein), Acikkol (2015) suggested that a link
between the severe reduction of belemnite diversity and ichthyosaur extinction is unlikely.

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Which groups filled the ecospace freed by the extinction of ammonite hatchlings 187 and planktivorous actinopterygians? 188 Association of the extinctions of large marine reptiles, large planktivorous fish and those of 189 ammonites suggest trophic relationships between these groups; their extinction freed ecospace 190 for both small zooplankton and suspension feeders. This association coincides with other major 191 192 changes in the planktonic realm, especially the rise of holoplanktonic gastropods. Although a few Early Jurassic to Cretaceous heteropods are known (Bandel & Hemleben, 1995; Nützel, 193 2014; Teichert & Nützel, 2015; Nützel et al. 2016), the major expansion of heteropods and 194 'pteropods' falls into the Cenozoic (*Tracey et al., 1993*). 195 In size and their coiled form, many fossil Limacinidae (Thecosomata, planktonic 196 opisthobranch gastropods) resemble ammonites. Similarly, the conchs of fossil Creseidae 197 morphologically and in size (at least roughly) correspond to hatchlings of belemnites, diplobelids 198 and other phragmocone-bearing coleoids of the Cretaceous (Bandel et al., 1984; Lokho & 199 200 Kumar, 2008). In addition to these morphologic similarities, these groups shared the planktonic habitat. According to Janssen & King (1988, 2013), 'pteropods' were already present as early as 201 the latest Palaeocene. A number of Eocene pteropod occurrences is known worldwide (Bristow 202 203 et al., 1980; King, 1981; Curry, 1982; Zorn, 1991; Hodgkinson et al., 1992; Janssen et al., 2007; Lokho & Kumar, 2008; Ando et al., 2009; Cahuzac & Janssen, 2010). An early 204 Palaeogene origin is supported by a combination of palaeontological and molecular clock data 205 206 published by Corse et al. (2013). The latter authors even compare the uncoiling of the conch of The cosomata with the coiling of ammonites, but they did not discuss macroecological 207 208 implications. As far as abundance of these fossils is concerned, pteropods are much less frequent 209 than subadult to adult ammonites and belemnites, while their hatchlings are similarly rare. This is



probably due to the combination of their small body size as well as their thin and fragile
aragonitic shells (Janssen & King, 1988), which did not provide a high fossilization potential.
The great majority of these thin aragonitic shells was undoubtedly rapidly dissolved during early
diagenesis and as a consequence not fossilized. Nevertheless, the fact that quite a few pteropods
have been reported from the Eocene implies that they were abundant and widely distributed since
that period of time.
Similarities in size, overall morphology, habitat, abundance as well as the timing of their
respective extinction and origination suggest that hatchlings and small individuals of ammonites
as well as belemnites were ecologically replaced by planktonic opisthobranchs (Thecosomata)
and other holoplanktonic gastropods. In turn, the ecological instalment of the Thecosomata
contributed to the dietary basis for the evolution of new groups of large planktivorous suspension
feeders. As suggested by Friedman et al. (2010), the Cretaceous 'giant planktivorous bony
fishes2 found an ecological replacement in both large suspension-feeding chondrichthyans and
baleen whales. Several of these groups are known to take in important amounts of planktonic
gastropods, although not exclusively. Today, the cosomes may contribute up to 50% of the
zooplanktonic biomass and thus are ecologically important (Mackas & Galbraith, 2012).
However, today's Manta rays (Mobulidae) are known to feed predominantly on small
Crustaceans and the same holds true for several baleen whales. Nevertheless it is somewhat
unclear what these early Palaeogene suspension feeders ate, but at least the filter mesh spacing of
both planktivorous chondrichthyans and several baleen whales fits well with the size range of
thecosomes.

### **CONCLUSIONS**

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Large late Cretaceous ammonites such as puzosiids reached sizes exceeding two meters in diameter. Their offspring has a conch size that is in stark contrast to the adult size; the embryonic conchs of many Cretaceous ammonites measure only about 1 mm in diameter at the time of hatching. This size relationship, conch geometry and anatomical proportions allow estimates of the number of offspring per female. Accordingly, the largest females might have laid between 10'000'000 (semelparity, small gonads) and 100'000'000 eggs (iteroparity, large gonads). Apart from this extreme example, the great abundance of ammonites, many of them of considerable size as adults, throughout the Mesozoic and the generally small size of their offspring implies that juvenile ammonites and belemnites played a fundamental role near the base of Mesozoic food webs, both as primary consumers and as food source for secondary consumers. We assume that Mesozoic oceans were full of small hatchlings and juveniles of ammonites and belemnites in the mm to cm size range. This part of the planktonic food chain vanished with the extinction of ammonites and belemnites but may have enabled the evolutionary and ecological rise of holoplanktonic gastropod, which occupy a similar size range, conch morphologies (coiled and straight) and trophic role. This underlines the importance of ecological differentiation between different ontogenetic stages. Gill raker filament spacing in huge pachycormids correspond in size to these juvenile ammonites, suggesting a trophic link in the light of the synchronous extinction at the end of the Cretaceous. Here, we suggest that the ecospace formerly occupied by ammonite and belemnite juveniles was filled during the post-Mesozoic rise of holoplanktonic gastropods like, e.g., the Palaeocene expansion of the Thecosomata (holoplanktonic heterobranchs). As far as the incumbent replacement of the pachycormids is concerned, it is a bit more difficult. During the early Palaeogene, three important large planktivorous lineages of chondrichthyans occur; however,





256	modern mobulids (Manta rays), for instance, are known to feed on planktonic Crustaceans.
257	Perhaps, stomach contents of exceptionally preserved specimens of Palaeogene planktivorous
258	chondrichthyans will shed more light on the suspension feeders that, at least in their function as
259	primary consumers, profited from the thecosomes that ecologically replaced juvenile ammonites.
260	Independent of the filter feeder-side, we conclude that in r-strategists, the young offspring can
261	play a more important ecological role than their large adults. This case of incumbent replacement
262	underlines the significance of differences at which developmental stage the acme in ecological
263	importance of an organism occurs.
264	
265	Acknowledgements
266	The Swiss National Science Foundation SNF (project No. 200021_149119) kindly supported this
267	study. Jakob Vinther (Bristol) generously provided an illustration, which was a valuable basis for
268	one of our illustrations. We thank C. Steinweg, L. Schöllmann and JO. Kriegs (all Münster),
269	Kishor Kumar (Uttarakhand, India), Kazushige Tanabe (Tokyo), and Klaus Bandel (Hamburg)
270	for providing illustrations and allowing us to use them.
271	
272	ADDITIONAL INFORMATION AND DECLARATIONS
273	Funding
274	This study is supported by the Swiss National Science Foundation SNF (project number
275	200021_149119). The funders had no role in study design, data collection and analysis, decision
276	to publish, or preparation of the manuscript.
277	
278	Grant Disclosures



279	The following grant information was disclosed by the authors:
280	Swiss National Science Foundation SNF 200021_149119.
281	
282	Competing Interests
283	All authors confirm that there are no competing financial interests.
284	
285	Author contributions
286	• Amane Tajika wrote much of the first version and produced parts of the illustrations.
287	• Christian Klug formulated the initial hypotheses, contributed to the first draft and produced
288	parts of the illustrations.
289	• Alexander Nützel provided data and information related to gastropods and wrote parts of the
290	final version.
291	• All authors were included in several rounds of revisions of the manuscript.
292	
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496	Figure Captions
497	Figure 1 Adult ammonites (A-C), juvenile ammonites (D, E), and an embryonic belemnite
498	(F) compared to fossil conchs of Thecosomata from the Eocene of India (G-J). 0.1 mm-scale
499	bare applies to figures D to J. Photo in A courtesy C. Steinweg, L. Schöllmann and JO. Kriegs
500	(all Münster); D and E from Tanabe et al. (2008); F from Bandel et al. (1984); G to J from
501	Lokho & Kumar (2008). A. Parapuzosia seppenradensis, Campanian, Seppenrade. B, C.
502	Pachydesmoceras sp., Campanian, Hokkaido, diameter 1.3 m, D. Aiba (Mikasa) for scale. Note
503	the symmetrical bulges in the posterior body chamber in C. D, juvenile conch of Scaphites
504	whitfieldi, AMNH 44833, Turonian, U.S.A. E, embryonic conch of Aconeceras cf. trautscholdi,
505	UMUT MM 29439-4, Aptian, Russia. F, embryonic conch of <i>Hibolithes</i> sp., GPIT Ce 1599,
506	Callovian, Lithuania. G to J, Upper Disang Formation, Phek District, Nagaland. G, H,
507	Limacinidae spp. I, J, Creseidae spp.
508	
509	Figure 2 Occurrences, extinctions, originations and diversity changes in plankton and
510	large planktotrophic suspension feeders from the Cretaceous to the Palaeogene (mass
511	extinction marked by red bar). Data from Friedmann et al. (2010), Bristow et al. (1980),
512	Corse et al. (2013), Yacobucci (2015) and Jarman (2001).
513	
514	Figure 3 Zooplankton size ranks and filter mesh spacing of planktivorous filter feeders.
515	Modified after Vinther et al. (2014), using data from Lokho & Kumar (2008), Friedman et al.
516	(2010) and De Baets et al. (2015).
517	

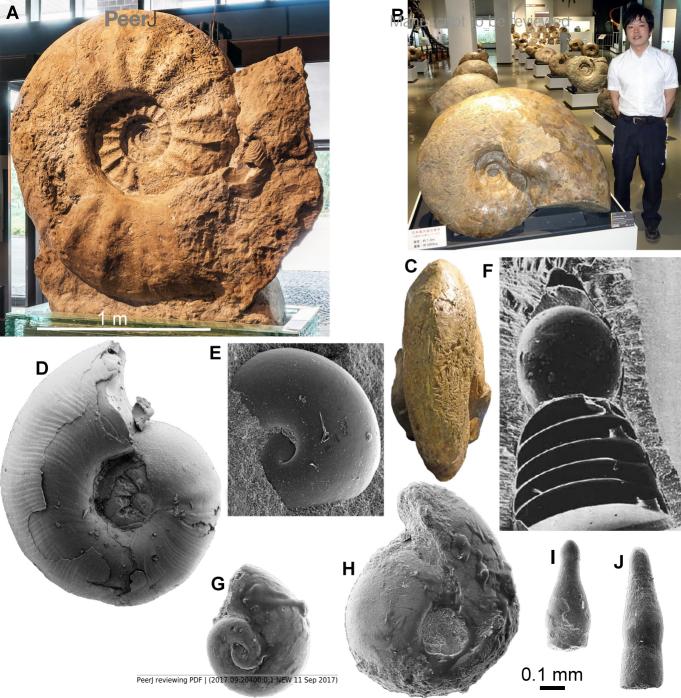


### Figure 1(on next page)

Adult ammonites (A-C), juvenile ammonites (D, E), and an embryonic belemnite (F) compared to fossil conchs of Thecosomata from the Eocene of India (G-J).

Figure 1 Adult ammonites (A-C), juvenile ammonites (D, E), and an embryonic belemnite (F) compared to fossil conchs of Thecosomata from the Eocene of India (G-J).

0.1 mm-scale bare applies to figures D to J. Photo in A courtesy C. Steinweg, L. Schöllmann and J.-O. Kriegs (all Münster); D and E from *Tanabe et al.* (2008); F from *Bandel et al.* (1984); G to J from *Lokho & Kumar* (2008). A. *Parapuzosia seppenradensis*, Campanian, Seppenrade. B, C. *Pachydesmoceras* sp., Campanian, Hokkaido, diameter 1.3 m, D. Aiba (Mikasa) for scale. Note the symmetrical bulges in the posterior body chamber in C. D, juvenile conch of *Scaphites whitfieldi*, AMNH 44833, Turonian, U.S.A. E, embryonic conch of *Aconeceras* cf. *trautscholdi*, UMUT MM 29439–4, Aptian, Russia. F, embryonic conch of *Hibolithes* sp., GPIT Ce 1599, Callovian, Lithuania. G to J, Upper Disang Formation, Phek District, Nagaland. G, H, Limacinidae spp. I, J, Creseidae spp.

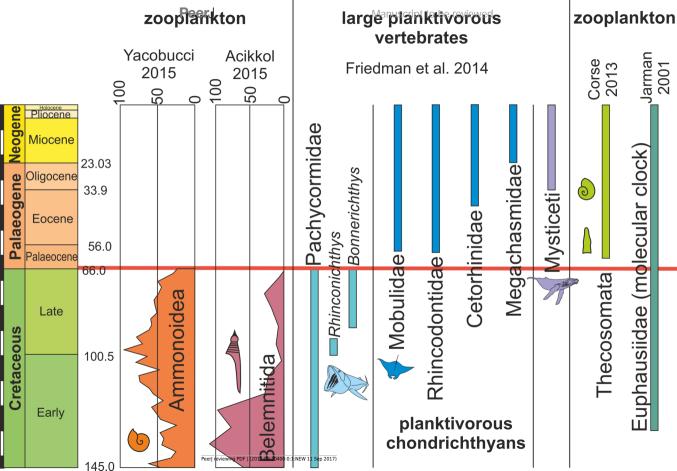




### Figure 2(on next page)

Zooplankton size ranks and filter mesh spacing of planktivorous filter feeders.

Figure 3 Zooplankton size ranks and filter mesh spacing of planktivorous filter feeders. Modified after *Vinther et al.* (2014), using data from *Lokho & Kumar* (2008), *Friedman et al.* (2010) and *De Baets et al.* (2015).





### Figure 3(on next page)

Occurrences, extinctions, originations and diversity changes in plankton and large planktotrophic suspension feeders from the Cretaceous to the Palaeogene

Figure 2 Occurrences, extinctions, originations and diversity changes in plankton and large planktotrophic suspension feeders from the Cretaceous to the Palaeogene (mass extinction marked by red bar).

Data from Friedmann et al. (2010), Bristow et al. (1980), Corse et al. (2013), Yacobucci (2015) and Jarman (2001).

