

## Biotea, semantics for Pubmed Central

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## ABSTRACT

A significant portion of biomedical literature is represented in a manner that makes it difficult for consumers to find or aggregate content through a computational query. One approach to facilitate reuse of the scientific literature is to structure this information as linked data using standardized web technologies. In this paper we present the second version of Biotea, a semantic, linked data version of the open-access subset of PubMed Central that has been enhanced with specialized annotation pipelines that uses existing infrastructure from the National Center for Biomedical Ontology. We expose our models, services, software and datasets. Our infrastructure enables manual and semi-automatic annotation, resulting data are represented as RDF-based linked data and can be readily queried using the SPARQL query language. We illustrate the utility of our system with several use cases.

Availability: Our datasets, methods and techniques are available at <http://biotea.github.io>

## BACKGROUND

Semantic publishing (Shotton, 2009; Shotton et al., 2009) has been defined as the *enhancement of scholarly publications by the use of modern web standards to improve interactivity, openness and usability, including the use of ontologies to encode rich semantics in the form of machine-readable Resource Description Framework (RDF) metadata* (Shotton and Peroni, 2016; RDF Working Group, 2014). Publishers are actively enriching their content with semantics and generating machine-processable publications; for instance, Springer-Nature has released scigraph.com (Springer Nature, 2017), this is their linked data platform that allows users to search in a more flexible way. Currently, it brings together data on roughly 8,000 proceedings volumes from around 1,200 conference series, including Springer's Lecture Notes in Computer Science (LNCS) (Springer, 2015). The Cochrane society is also working on a linked data platform (Cochrane, 2017); they are focusing in the characterization of the Population, Intervention, Comparison, Outcome (PICO) model (Xiaoli et al., 2006). Both efforts illustrate business models built upon the concept of data as a service; they are also a response to the need for more flexible ways to process scientific content going beyond presenting HTML and PDFs over index based query systems.

In this paper we present Biotea, our contribution to semantic publishing. In the Biotea project we have semantically represented and annotated the full-text open-access subset of PubMed Central (PMC) (NCBI, 2017c); this subset currently includes articles from 7407 journals. PMC is a free full-text archive of biomedical literature; articles under its open-access subset (PMC-OA) are still protected by copyright but are also available under the Creative Commons license, thus, a more liberal redistribution is allowed. We are extracting structured information from articles in PubMed Central and modeling it with general purpose bibliographic ontologies as well as with controlled vocabularies representing sections in combination with biomedical ontologies to semantically represent and annotate the literature. We are reusing existing ontologies in order to represent, title, authors, journal, sections, subsections and paragraphs and,

48 the domain knowledge, e.g., diseases, chemical compounds, reagents, drugs, etc. We identify meaningful  
49 elements, e.g., biomolecules, chemical reagents, drugs, diseases, and other biomedical entities, within  
50 the content and represent these as semantic annotations. The annotations are associated to well-known  
51 biomedical ontologies. Biotea aims to aggregate annotations from different pipelines and have them under  
52 a common representation, that of the Annotation Ontology (AO) (Ciccarese et al., 2011) or the Open  
53 Annotation Data Model (OADM) (Sanderson and Ciccarese, 2013). The provenance of the annotations is  
54 fully identified in our model; thus, making it possible to retrieve annotations from a specific user, in the  
55 case of human annotations or, from a specific annotation pipeline. Currently, we are only working with  
56 annotations from the National Center for Biomedical Ontologies (NCBO) annotator (Jonquet et al., 2009)  
57 as well as with human annotations; future versions of the dataset will include other annotation pipelines.

58  
59 Semantic annotations and linked data technology make it possible to use ontology concepts to formu-  
60 late queries; thus, retrieving papers about *“calcitonin and kidney injury together with Uniprot proteins*  
61 *that have calcitonin binding as molecular function as well as the calcitonin resource description from*  
62 *DBPedia (Bizer et al., 2009)”* is possible. The queries can easily be expanded by adding concepts and  
63 data sources. The biomedical linked data infrastructure facilitates to expand the query by indicating data  
64 sources capable of resolving specific parts of it; this is supported by the SPARQL specification (SPARQL  
65 Working Group, 2013). Semantic annotations also make it possible to compare sections from different  
66 papers with respect to one or more ontologies, e.g., *“what chemical entities do papers have in common*  
67 *in the Methods section”*. Our model facilitates making granular queries focusing on entities in specific  
68 sections; for instance, it allows us to retrieve papers mentioning *“CFTR and bronchial epithelial cell in*  
69 *the Results section”*.

70  
71 Our approach addresses a post publication problem; published papers are primarily available as HTML  
72 and PDF making little use of the available linked data infrastructure. Moreover, published content is not  
73 part of the linked data cloud; bibliographic metadata has been privileged over full content. We make it  
74 possible to expose the content in a format that is more amicable for machines to process and native to the  
75 semantic web. The papers that we are transforming to RDF have been published and deposited in PubMed  
76 Central, they are available as Journal Article Tag Suit files (JATS/XML) (NISO, 1995; National Library of  
77 Medicine, 2017). JATS is an industry standard commonly used in publication workflows. Our methods  
78 and techniques could easily be applied to any publication workflow producing JATS/XML. Throughout  
79 this paper we use RDFize as a verb, meaning (i) to generate an RDF representation of something that was  
80 originally in a different format and (ii) to convert or transform to RDF. We are RDFizing the corpus of  
81 documents, annotating it with biomedical ontologies and exposing the resulting dataset as linked open data.

82  
83 This second version of Biotea is based on our previous work (Garcia Castro et al., 2013) and advances  
84 the state of the art in the following way: i) it delivers a modularized process for generating RDF in  
85 order to make it more manageable -see sections “The Publication Parsing Process” and “The Semantic  
86 Enrichment Process” under “Materials and Methods” for more information; ii) it makes it possible to  
87 generate annotations based on the Open Annotation Data Model (Sanderson and Ciccarese, 2013) in  
88 addition to Annotation Ontology (Ciccarese et al., 2011) that was supported by the first version of  
89 this work -see sections “The Semantic Enrichment Process” under “Materials and Methods” as well  
90 as, “Semantically Enriched Content” under the “Results” section; iii) the model has been simplified by  
91 removing ontologies that are no longer in use, e.g., CNT (Koch et al., 2011), see the “Results” section for  
92 a description of the model; iv) the representation of publishers and provenance has also been modified  
93 and; v) we have added support for human annotations via hypothes.is (Hypothesis Project, 2017), see  
94 “Supporting Human Annotation” under the “Results” section. hypothes.is is an annotation platform that  
95 makes it possible for end users to easily annotate and share annotations for specific parts within the  
96 document. Our current stack of software makes it easier to add other annotation pipelines; in this way the  
97 corpus of annotations can be extended and made more specific, e.g., by adding protein-protein interactions  
98 annotation pipelines. We present examples illustrating the use of our dataset in the section “Using Biotea”.

## 99 MATERIALS AND METHODS

100 The overall RDFization process has two main sub processes, namely, the Publication Parsing and Semantic  
101 Enrichment processes. The Publication Parsing RDFizes metadata, references, structure and content

102 (Biotea, 2017i) while the Semantic Enrichment process uses Named Entity Recognition (NER) systems  
 103 to identify expressions and terminology related to biomedical ontologies that are then RDFized as  
 104 annotations (Biotea, 2017i). The Biotea projects are all MAVEN projects so dependencies are downloaded  
 105 automatically; the software is available at <https://github.com/biotea>, JAVA 1.8 is required. We recommend  
 106 to build and run using any Integrated Development Environment (IDE); we have used Eclipse Luna and  
 107 Eclipse Neon. We tested the software in Ubuntu, Mac OSX Sierra 10.4 and Windows 7. We are also  
 108 providing JAR files, further details about usage and parameters together with some examples are provided  
 109 in the corresponding GitHub repositories. More information about the software, how to use it and latest  
 110 versions can be found at <https://github.com/biotea>; information about the docker container is available at  
 111 <http://biotea.github.io/software/>.

## 112 The Publication Parsing Process

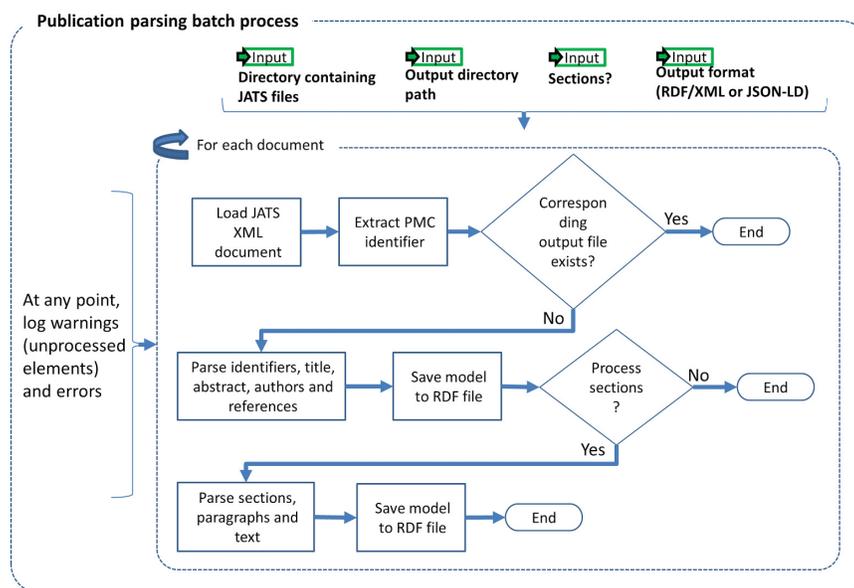


Figure 1. Publication parsing process.

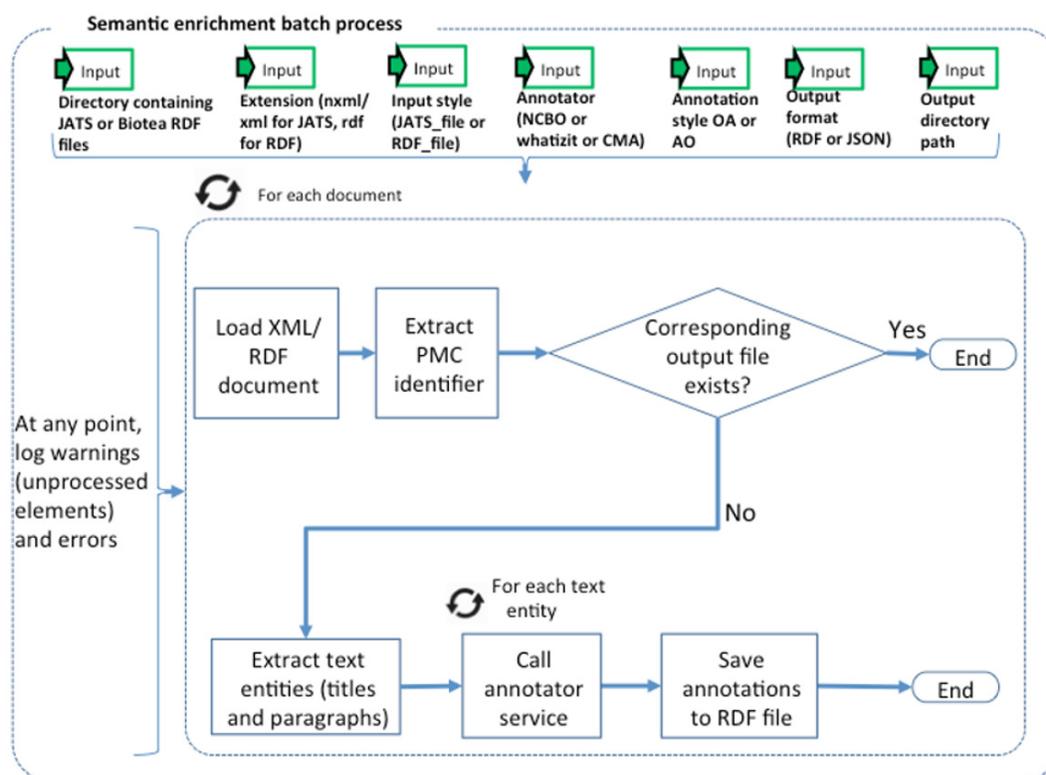
113 The input to our Publication Parsing process are the articles from PMC-OA (NCBI, 2017b) in the  
 114 Journal Articles Suite (JATS) format (National Library of Medicine, 2017), i.e., XML files following a  
 115 specific meta model. Our RDFization entails generating one RDF to represent the metadata and references  
 116 and another one to represent the structure –sections and paragraphs, and content –actual text. Figure 1  
 117 illustrates the Publication Parsing process. We are representing sections, e.g., material and methods, as  
 118 well as structural elements, e.g., citations, authors, of the paper. The Publication Parsing process brings  
 119 together several ontologies into the Biotea model, see Table 1 for a detailed description of the ontologies.  
 120 We are using BIBO (D’Arcus and Giasson, 2009), DoCO (Constantin et al., 2016) and Dublin Core Terms  
 121 (DCTERMS) (DCMI Usage Board, 2012) to represent the structure of the document. For instance, a set  
 122 of sections is represented as several `doco:Section` elements aggregated in a section list (`rdf:Seq`)  
 123 that keeps the order as defined in the input JATS/XML document. The hierarchical structure amongst the  
 124 section list, the sections and the subsections is represented using the `dcterms:hasPart` property.

Ontology	Purpose	Main elements used in Biotea
Bibliographic ontology (D'Arcus and Giasson, 2009)	Metadata	bibo:AcademicArticle, bibo:Document, bibo:doi, bibo:identifier, bibo:issn, bibo:Issue, bibo:issue, bibo:Journal, bibo:numPages, bibo:pageEnd, bibo:pageStart, bibo:pmid, bibo:shortDescription, bibo:volume
	References	bibo:AcademicArticle, bibo:Book, bibo:Chapter, bibo:citedBy, bibo:cites bibo:Document, bibo:Proceedings
Biotea (Garcia Castro et al., 2013)	Metadata (list of elements)	biotea:authorList
	Structure (list of elements)	biotea:paragraphList, biotea:sectionList
Document ontology (Constantin et al., 2016)	Structure and content	doco:Figure, doco:Section, doco:Paragraph, doco:Table
Dublin core terms (DCMI Usage Board, 2012)	Metadata	dcterms:description, dcterms:issued, dcterms:publisher, dcterms:title
	Provenance	dcterms:creator, dcterms:hasFormat, dcterms:isFormatOf, dcterms:references, dcterms:source
Friend of a friend ontology (Brickley and Miller, 2014)	Metadata	foaf:familyName, foaf:givenName, foaf:name, foaf:OnlineAccount, foaf:Organization, foaf:Person, foaf:publications
	References	foaf:familyName, foaf:givenName, foaf:name, foaf:OnlineAccount, foaf:Organization, foaf:Person, foaf:publications
OWL (OWL Working Group, 2012)	Link to other semantic representations	owl:sameAs
Provenance ontology (Belhajjame et al., 2013)	Provenance	prov:generatedAtTime, prov:wasAttributedTo, prov:wasDerivedFrom
RDF (RDF Working Group, 2014)	Content (text in paragraphs)	rdf:value
RDFS (RDFS Working Group, 2014)	Link to related web pages	rdfs:seeAlso
Semantic science integrated ontology (Dumontier et al., 2014)	Provenance	sio:is_data_item_in

**Table 1.** Ontologies used for metadata, structure, content and references.

125 **The Semantic Enrichment Processes**

126 We identify and annotate meaningful fragments within paragraphs by using the NER service provided by  
 127 the NCBO Annotator. The NCBO Annotator (Jonquet et al., 2009; NCBI, 2017a) is part of the BioPortal  
 128 platform (Whetzel et al., 2011), it provides access to more than 350 ontologies and terminologies.  
 129 The NCBO annotator makes it possible to semantically annotate text by recognizing the entities and  
 130 establishing a link to an ontology. When doing ontology-based indexing, one might use thingse  
 131 annotations to “bring together” the data elements from different resources. The NCBO Annotator is based  
 132 on Mgrep (Dai et al., 2008); it recognizes and associates expressions in the text with unique concepts from  
 133 biomedical ontologies. The NCBO Annotator utilizes to its advantage the hierarchy in the vocabularies  
 134 used for the association. The annotation process is illustrated in Figure 2.



**Figure 2.** Semantic Enrichment.

135 We are representing the identified entities by using either the Annotation Ontology (AO) (Ciccarese  
 136 et al., 2011) or the Open Annotation Data Model (OADM) (Sanderson and Ciccarese, 2013). These  
 137 annotation ontologies are used to semantically represent the annotations coming from the annotator as well  
 138 as, their links to ontological concepts in biomedical vocabularies. In this way, for each PMC article, we  
 139 are generating RDF representing the structure and domain knowledge. The ontologies used for annotating  
 140 are listed in Table 2.

Ontology	Purpose	Main elements used in Biotea
Annotation ontology (Ciccarese et al., 2011)	Annotation	ao:Annotation, aot:ExactQualifier, ao:body
	Link to biomedical ontologies	ao:hasTopic
	Link to RDFized publication	ao:annotatesResource, ao:context, ao:onResource
Biotea (Garcia Castro et al., 2013)	Frequency (occurrences and inverse document frequency)	biotea:idf, biotea:tf
Open AnnotationData Model (Sanderson and Ciccarese, 2013)	Annotation	oa:Annotation, oa:hasBody (with a oa:TextualBody)
	Link to biomedical ontologies	oa:hasBody (with a direct link to the ontological concept)
	Link to RDFized publication	oa:hasSource, oa:hasTarget
Provenance, authoring and versioning ontology (Ciccarese and Soiland-Reyes, 2013)	Provenance	pav:authoredBy, pav:createdBy
Provenance ontology (Belhajjame et al., 2013)	Provenance	prov:generatedAtTime

**Table 2.** Ontologies used to support the annotation process.

141 The methods that we have developed for annotating allow parameterization. The users define the  
142 ontologies to be used, the list of stop words, the URL of service instance to use and the output format  
143 (AO or OADM, RDF-XML or JSON-LD). In addition, users can also parametrize what parts of an article  
144 to annotate, e.g., titles and abstracts only or full text.

## 145 RESULTS

146 Our RDF data model follows the principles proposed by Tim Berners-Lee for publishing Linked Data  
147 (Berners-Lee, 2006), namely: (i) using Uniform Resource Identifiers (URIs) to identify things, (ii) using  
148 Hypertext Transfer Protocol (HTTP) URIs to enable things to be referenced and looked up by software  
149 agents, (iii) representing things in RDF and providing a SPARQL endpoint, and (iv) providing links to  
150 external URIs in order to facilitate knowledge discovery. The resulting dataset is available at (Biotea,  
151 2017b). Our dataset comprises 1623541 articles from PMC, distributed across 7407 journals. We are  
152 modeling relations to other resources representing the same entity as `owl:sameAs`; we link to the same  
153 article in the Bio2RDF PubMed dataset, the Document Object Identifier (DOI), and the identifiers.org  
154 (Juty et al., 2012) representation. Relations to web pages are included as `rdfs:seeAlso`; we also  
155 include links to the article in the PubMed repository and the information service of identifiers.org. An  
156 example is provided in the following RDF/XML excerpt corresponding to the RDFization of the article  
157 “An Improved Protocol for Intact Chloroplasts and cpDNA Isolation in Conifers” (Vieira et al., 2014). The  
158 Biotea RDFized version is linked via `owl:sameAs` to Bio2RDF, identifiers.org and DOI, all of them  
159 providing versions of the corresponding article in PubMed.

```
160 <bibo:AcademicArticle rdf:about="http://linkingdata.io/pmcdoc/pmc/3879346">
161   <owl:sameAs rdf:resource="http://bio2rdf.org/pubmed:24392157"/>
162   <owl:sameAs rdf:resource="http://identifiers.org/pubmed/24392157"/>
163   <owl:sameAs rdf:resource="http://dx.doi.org/10.1371/journal.pone.0084792"/>
164   <rdfs:seeAlso rdf:resource="http://info.identifiers.org/pubmed/24392157"/>
165   <rdfs:seeAlso rdf:resource="http://www.ncbi.nlm.nih.gov/pubmed/24392157"/>
166 </bibo:AcademicArticle>
```

**Listing 1.** RDF Example

167 A general overview of our model is presented in Fig. 3. Our model describes identifiers, publication  
 168 data, links, provenance, authors, references and sections. These are the structural elements of scientific  
 169 papers.

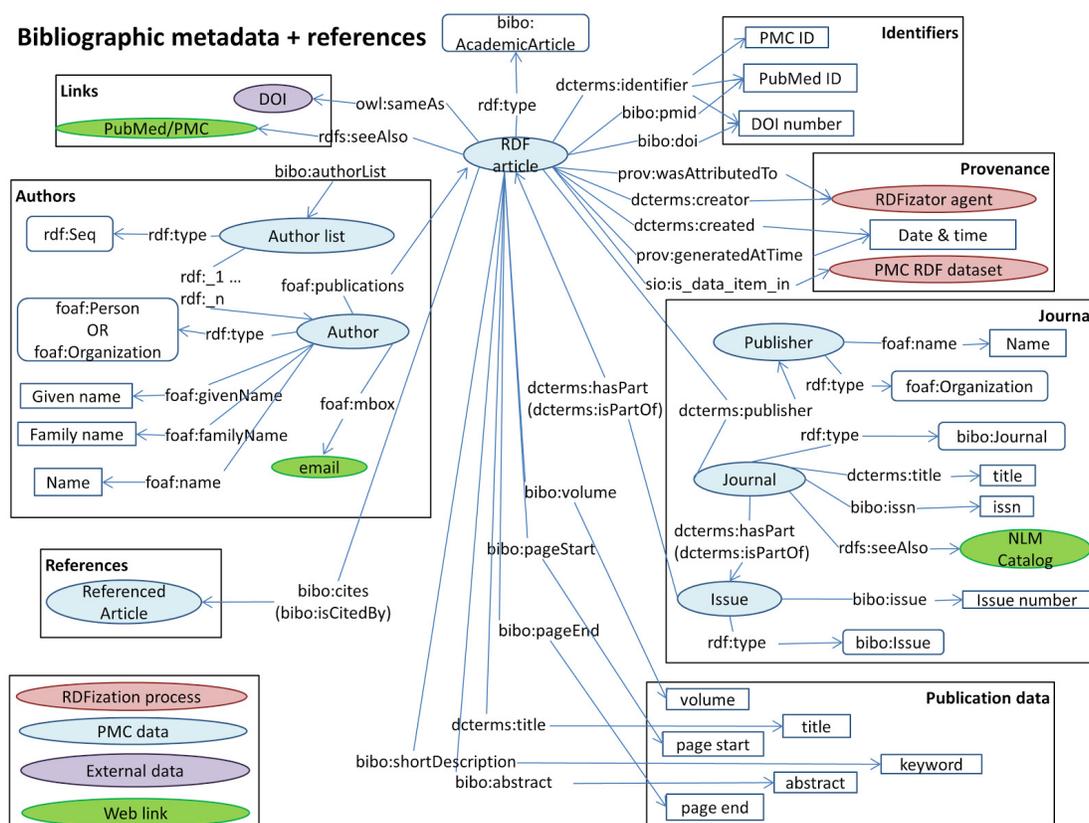
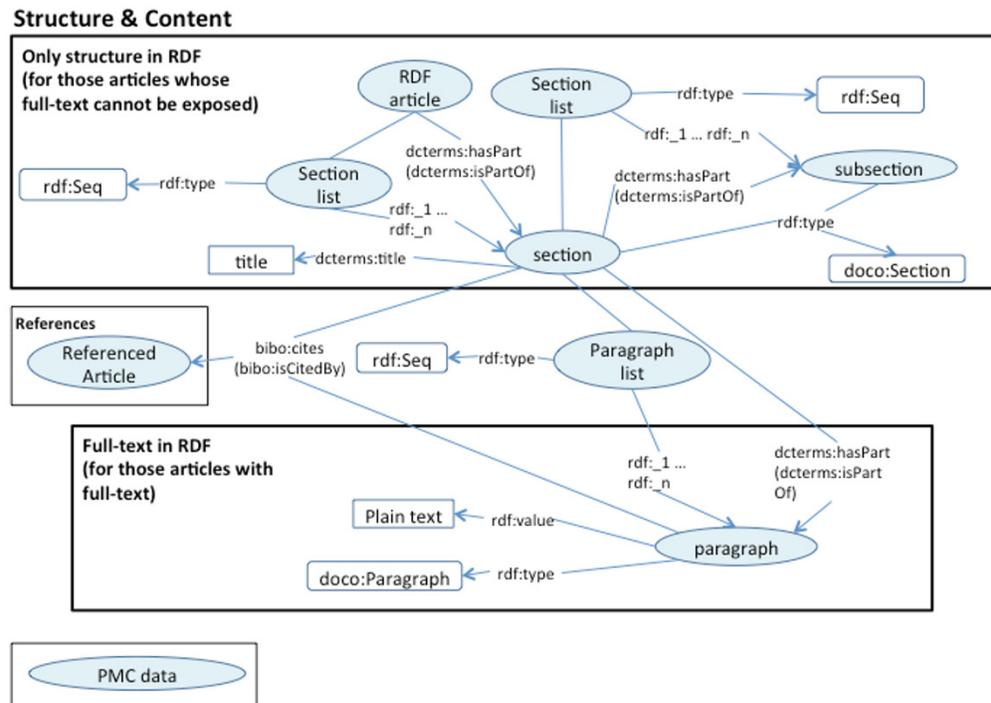


Figure 3. The Biotea model.

170 We are using DOIs and PubMed IDs as identifiers for the articles. We use DCTERMS to represent  
 171 titles and keywords. The abstracts are represented as BIBO elements, `bibo:abstract`. Authors are  
 172 represented as a `bibo:authorList`; we use FOAF (Brickley and Miller, 2014) to fully represent  
 173 authors, e.g., `foaf:givenName`, `foaf:mbox`. Authors may also be organizations, `foaf:Person`,  
 174 `foaf:Organization`. By using these data elements we can support queries such as “retrieve the  
 175 papers from PlosOne with Shun-Fa Yang as an author” or, “retrieve the DOIs authored by Shun-Fa Yang”.  
 176 The graph for sections and paragraphs is illustrated in Fig. 4. Sections include a title and a sequence of  
 177 paragraphs modeled as `doco:Paragraphs`; the actual text is modeled as `rdf:value`. References include  
 178 meta-data similar to that of the main article. This granularity in the representation of sections makes it  
 179 possible to focus on specifics within sections; thus, retrieving “materials and methods using chloroplast  
 180 DNA isolation methods” can be processed by the query illustrated below.



**Figure 4.** Text structure RDF model.

```

181 PREFIX doco: <http://purl.org/spar/doco/>
182 PREFIX dcterms: <http://purl.org/dc/terms/>
183 PREFIX oa: <http://www.w3.org/ns/oa#>
184
185 SELECT ?content
186 {
187   ?annotationChloroplastDNA a oa:Annotation .
188   ?annotationChloroplastDNA oa:hasBody ?bodyChloroplastDNA .
189   ?bodyChloroplastDNA rdf:value "Chloroplast DNA" .
190
191   ?annotationIsolation a oa:Annotation .
192   ?annotationIsolation oa:hasBody ?bodyIsolation .
193   ?bodyIsolation rdf:value "Isolation" .
194
195   ?annotationChloroplastDNA oa:hasTarget ?paragraph .
196   ?annotationIsolation oa:hasTarget ?paragraph .
197   ?section dcterms:hasPart ?paragraph .
198   ?section dcterms:title "Materials and Methods" .
199   ?paragraph rdf:value ?content .
200 }

```

**Listing 2.** SPARQL query

201 The positions within the text in the resulting RDF files vary depending on the input, these are different  
 202 from those in the corresponding HTML or PDF. We are localizing the annotations with respect to the  
 203 RDFized paragraph rather than to the original positions. In this way it is easier to query for annotations  
 204 within the same paragraph or section. In order to select an RDF element, we use the class `ElementSelector`  
 205 as defined in the Biotea Ontology (Biotea, 2017g); this class is used as a domain for `oa:onResource`  
 206 and as range for `oa:context`, the excerpt of code below illustrates this. Context identification is only  
 207 required in AO. The OADM provides a simpler model where the publication, section or paragraph are

```

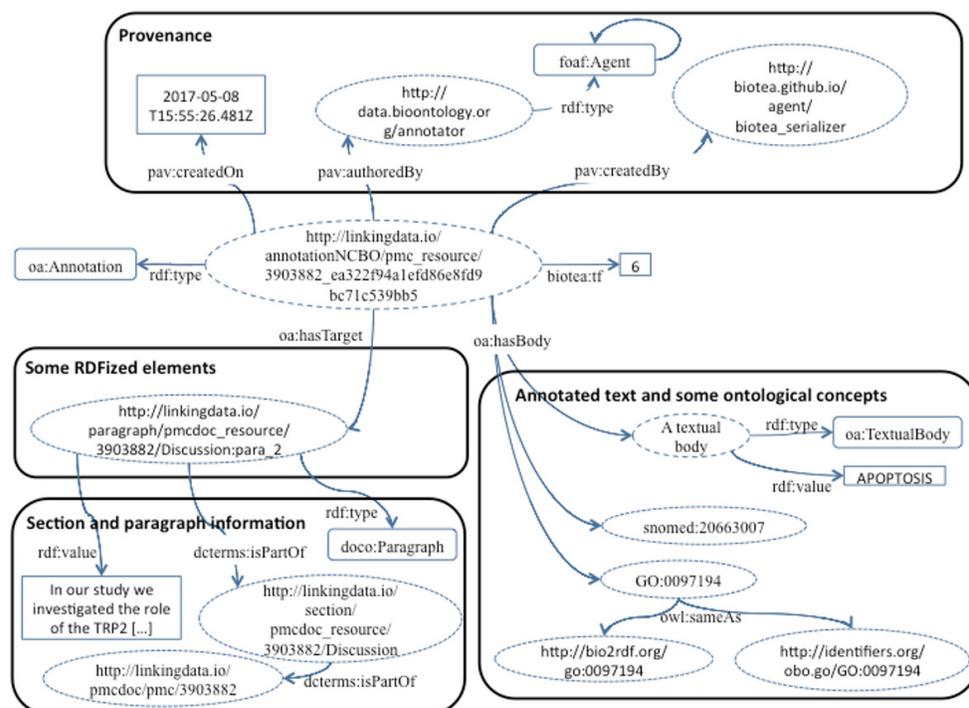
208 linked via oa:hasTarget.
209 <aot:ExactQualifier rdf:about="http://bio2rdf.org/pmc_resource:annotationNCBO_1">
210   <ao:annotatesResource rdf:resource="http://bio2rdf.org/pmc:3879346"/>
211   <ao:context>
212     <biotea:ElementSelector rdf:about="http://bio2rdf.org/pmc_resource:selector_1">
213       <dcterms:references
214 rdf:resource="http://bio2rdf.org/pmc_resource:3879346_paragraph_Introduction_para_1"/>
215       <ao:onResource rdf:resource="http://bio2rdf.org/pmc:3879346"/>
216     </biotea:ElementSelector>
217   </ao:context>
218   <ao:body rdf:datatype="http://www.w3.org/2001/XMLSchema#string">GENES</ao:body>
219 </aot:ExactQualifier>

```

**Listing 3.** Using RDF element selectors in AO annotations

## 220 Semantic Enrichment

221 Our current implementation makes it possible to express the annotations generated by the NCBO Annotator  
 222 using either the AO or the OADM. Figure 5 illustrates an example expressing the annotation in the OADM  
 223 model; this is the default annotation ontology used in our RDFization process. In both cases we are  
 224 making explicit the relation between the annotation and the location, e.g., section and document identifier;  
 225 thus, making it possible to limit the query for an entity in a specific section of a document. We are using 20  
 226 domain ontologies from Bioportal to support the annotation, the ontologies are listed at (Biotea, 2017c).



**Figure 5.** Annotations based on the OADM model.

## 227 Supporting Human Annotation

228 We are now supporting human annotations coming from hypothes.is. Hypothes.is is an open source web  
 229 based annotation platform; it allows us to annotate PDFs as well as HTML. We have integrated hypothes.is  
 230 into the LENS Reader interface (Schekman et al., 2013); this user interface makes it possible for us to  
 231 load JATS/XML from the PMC collection of documents and render it as HTML. The integration between  
 232 Hypothes.is and LENS delivers a unified user experience (UX); researchers load the integrated interface,

233 log in the annotator and then annotation is a simple process of selecting text and annotating. Annotations  
 234 coming from our instance of `hypothes.is` become part of the annotation cloud for the document via an  
 235 identifier, e.g., DOI or PMC. The annotator is modeled as a `foaf:Person` who has a `foaf:mbox`. We  
 236 are currently supporting only annotations from predefined vocabularies; Figure 6 illustrates the interface,  
 237 an on line demo with LENS and `hypothes.is` is available at (Biotea, 2017f).

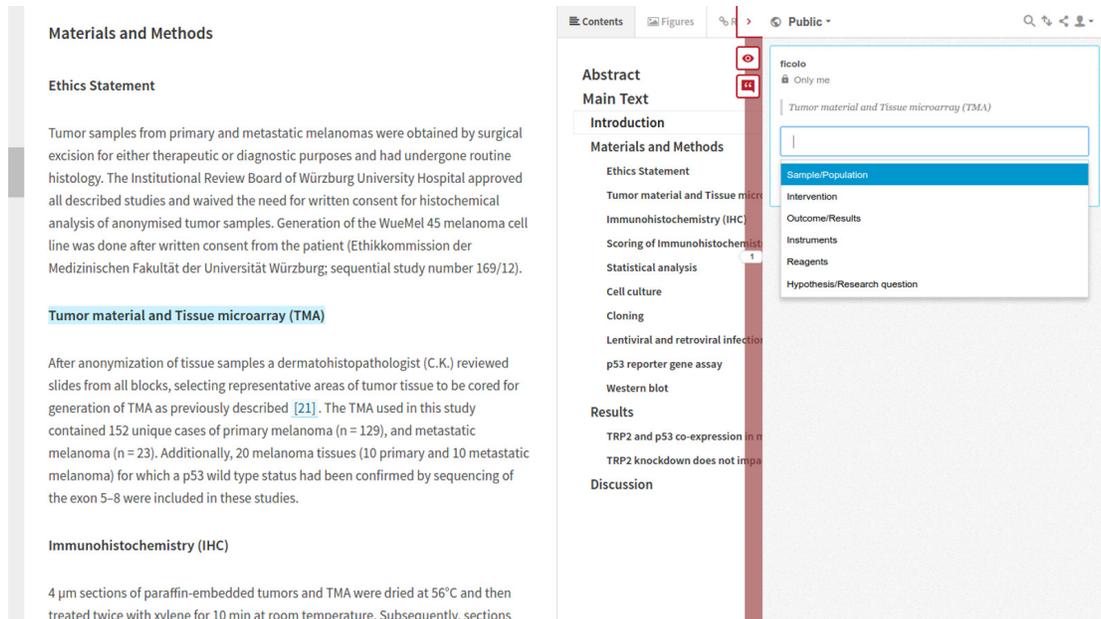


Figure 6. Human annotation interface.

### 238 Integration with Bio2RDF

239 Bio2RDF (Belleau et al., 2008) makes biomedical data available by using Semantic Web technologies  
 240 such as RDF and SPARQL. Bio2RDF brings together information from diverse public databases such as  
 241 DrugBank (Wishart et al., 2006; Law et al., 2014), MeSH (Rogers, 1963) and OMIM (Amberger et al.,  
 242 2015) amongst others. Bio2RDF does not just provide a single entry point for all of these resources; it  
 243 also transforms them into a common data model based on the Semantic science Integrated Ontology (SIO)  
 244 (Dumontier et al., 2014). Our semantically enriched information layer for PMC articles, i.e., annotated  
 245 content, makes extensive use of biomedical ontologies in similar ways to those in Bio2RDF. Having SIO  
 246 compliant annotations simplifies the process of relating both datasets; our mappings address metadata,  
 247 structural elements in the paper, content and, annotations.

248  
 249 We provide a mapping file for Bio2RDF in the form of a Java properties file. Classes and ob-  
 250 ject properties from Biotea are mapped to SIO concepts, see (Biotea, 2017g). For instance, the class  
 251 `bibo:AcademicArticle` is mapped to `sio:peer-reviewed-article`, the object property  
 252 `bibo:cites` is mapped to `sio:cites`. SIO only has one data type property `-sio:has-value`; in order  
 253 to map datatype properties from Biotea to SIO we are converting these properties to object properties and  
 254 then linking them to the most appropriate class depending on the mapping at hand. In this way we are  
 255 encapsulating the original data type property value; thus, a `bibo:pmid` with the value “28300141” is  
 256 mapped to the object property `sio:has-identifier`, this is linked to the class `sio:identifier`  
 257 that is related by means of `sio:has-value` to the actual PMID “pmid:28300141”.

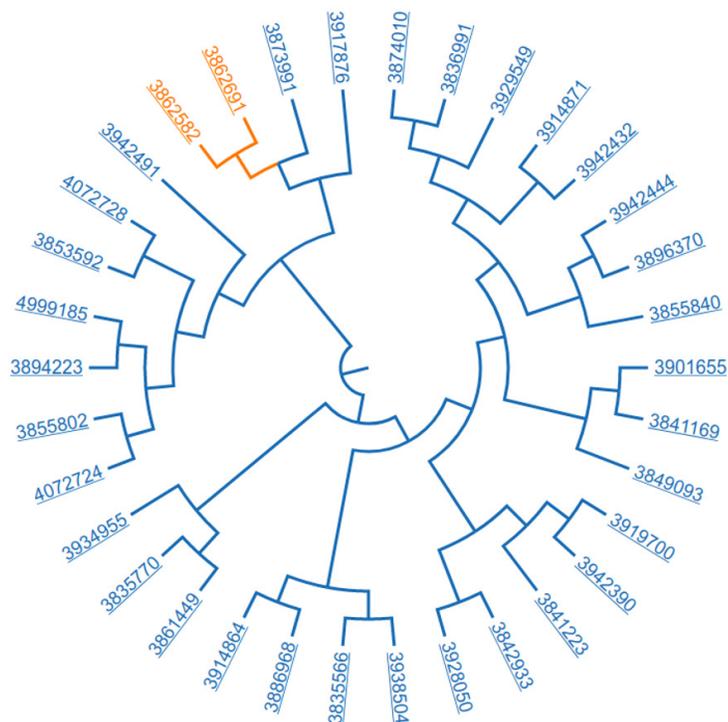
258  
 259 Defining mappings to other models is also possible. In order to do so, a new Java property file  
 260 has to be defined; in this file, the mappings will indicate the relations to elements in the Biotea model.  
 261 The Bio2RDF mapping file can be used as a template for generating other mappings. The 1-to-1  
 262 nature of our mapping process poses a limitation; if a model has two classes to represent patents, e.g.,  
 263 `a_model:scientificPatent` and `a_model:industrialPatent`, then `bibo:Patent` will

264 be mapped to only one of them. Such scenarios require adjustments in the ontology, BIBO in this case,  
 265 being used by Biotea.

## 266 Using Biotea

267 In our first experience with Biotea we explored the use of annotations as part of Graphical User Interfaces  
 268 (GUIs). We built a simple prototype that facilitated the conceptual exploration of a paper via available  
 269 annotations; the user could position the mouse over a cloud of annotations and then interactively see  
 270 the text in which the annotation is located (García-Castro et al., 2012). For this new release, we are  
 271 searching over the dataset by establishing filters based on ontologies and then, visualizing and exploring  
 272 the similarity of the resulting dataset. Initially, the dataset is filtered based on the selection of ontological  
 273 concepts; these concepts belong to one or more of the ontologies used to annotate the dataset. For the  
 274 resulting dataset, an ontology is selected for building the feature vector to be used as the basis for the  
 275 clustering process. The final result indicates how closely related are the papers. The visualization is built  
 276 upon a zoom-able dendrogram that makes it easy for the end-user to explore the dataset and inspect the  
 277 tree of similarity, this prototype is available at (Biotea, 2017e).

278 Lets consider the following workflow, “retrieve papers annotated with the SNOMED CT term “American  
 279 Joint Committee on Cancer” and then use SNOMED CT (U.S. National Library of Medicine, 2017) to  
 280 cluster the resulting dataset.” We are using hierarchical agglomerative clustering with a complete linkage  
 281 strategy using the cosine distance as metric for building the clusters. Figure 7 illustrates the resulting  
 282 cluster.



**Figure 7.** Resulting dataset; 34 papers related “American Joint Committee on Cancer” and clustered based on SNOMED CT annotations.

283 We have manually analyzed the two papers that are closest to each other, see the first two rows in  
 284 Table 3. We also analyzed one paper that is far apart from the first pair, see the last row in Table 3.

Document	PMCID	Title
doc1 (Tsai et al., 2013)	3862691	Impact of Interleukin-18 Polymorphisms -607A/C and -137G/C on Oral Cancer Occurrence and Clinical Progression
doc2 (Wang et al., 2013)	3862582	Impacts of CA9 Gene Polymorphisms on Urothelial Cell Carcinoma Susceptibility and Clinicopathologic Characteristics in Taiwan
doc3 (Fan et al., 2014)	3942390	The has-miR-526b Binding-Site <i>rs8506G &gt; A</i> Polymorphism in the lincRNA-NR_024015 Exon Identified by GWASs Predispose to Non-Cardia Gastric Cancer Risk

**Table 3.** Two PMC papers classified with a “middle similarity” and one paper with a distant similarity.

285 We found commonalities in the bibliographic information. The two related papers were published  
 286 in the same date, December 13, 2013; they share one author, Shun-Fa Yang and he is affiliated to the  
 287 Institute of Medicine, Chung Shan Medical University, Taichung, Taiwan. The commonalities across  
 288 these papers also include:

- 289 1. Type of research: cancer. The SNOMED CT terms found in both papers, see Table 4, that helped  
 290 us to identify that both articles are about cancer include:

SNOMED CT term	ID
Carcinoma	snomedct:68453008
Malignant neoplastic disease	snomedct:363346000
Neoplasm	snomedct:108369006
Neoplasm, malignant (primary)	snomedct:86049000

**Table 4.** SNOMED CT terms related to cancer

- 291 2. Patients studied

292 The patients are Taiwanese. Both papers addressed the consumption of tobacco. In addition, both  
 293 papers report using the AJCC staging system; this is a classification system developed by the  
 294 American Joint Committee on Cancer, hence the acronym, for describing the extent of disease  
 295 progression in cancer patients (e.g., Tumor size, Lymph Nodes affected, Metastases). The SNOMED  
 296 CT terms, see Table 5, related to the description of the patients are:

SNOMED CT term	ID
Tobacco user	snomedct:110483000
Tobacco	snomedct:39953003
Taiwanese	snomedct:63736003
AJCC	snomedct:258236004

**Table 5.** SNOMED CT terms describing the patients

- 297 3. Collecting and treating the sample

298 The type of sample collected from patients, treatment and storage conditions for the sample  
 299 were the same: whole-blood placed in tubes containing ethylenediaminetetraacetic acid (EDTA),  
 300 immediately centrifuged, and stored at  $-80^{\circ}\text{C}$ ; see Table 6 for the corresponding SNOMED CT  
 301 IDs. .

SNOMED CT term	ID
Whole blood	snomedct:420135007
Ethylenediamine tetra-acetate	snomedct:69519002

**Table 6.** SNOMED CT terms related to the sample

302 4. Molecular methods used to identify the target genes

303 In order to find the associations between the gene of interest and predisposition to cancer, the  
 304 authors used similar methods: i) Genomic DNA extraction, ii) Real-time PCR and iii) Statistical  
 305 analysis. The SNOMED CT terms found in both papers about the methods are listed in Table 7.

SNOMED term	ID
Probe with target amplification	snomedct:702675006
Polymerase chain reaction	snomedct:258066000
Deoxyribonucleic acid extraction technique	snomedct:702943006

**Table 7.** SNOMED CT terms related to methods

306 From the cluster presented in Fig. 7 we selected the paper, “The has-miR-526b Binding-Site  
 307 rs8506G>A Polymorphism in the lincRNA-NR\_024015 Exon Identified by GWASs Predispose to Non-  
 308 Cardia Gastric Cancer Risk” (Fan et al., 2014), see third row, Table 3. It bears a weak relation with  
 309 respect to those previously analyzed; this study provided evidence that genetic polymorphisms in the  
 310 exonic regions of long intergenic noncoding RNAs (lincRNAs) play a role in mediating susceptibility  
 311 to Non-Cardia Gastric Cancer (NCGC). The three papers share carcinoma. In addition, the tumor node  
 312 metastasis (TNM) classification and tumor staging were evaluated in the three papers according to the  
 313 American Joint Committee on Cancer Staging system; this is consistent with the initial query “retrieve  
 314 papers annotated with the SNOMED CT term “American Joint Committee on Cancer”. However, they  
 315 differ significantly in the population, Taiwanese (doc1, 2) vs Chinese (doc 3). They also differ in the  
 316 techniques, the doc 3 includes a SNP selection, genotyping analysis, cell culture, subcellular fractionation,  
 317 construction of reporter plasmids, transient transfections and luciferase assays, expression vector con-  
 318 struction, RNA isolation and Quantitative RT-PCR analysis and a cell visibility assay to demonstrate that  
 319 the G to A base change at rs8506G>A disrupts the binding site for has-miR-526b, thereby influencing the  
 320 transcriptional activity of lincRNA-NR\_024015 and affecting cell proliferation.

321 ***In and out the content, making use of Linked Data***

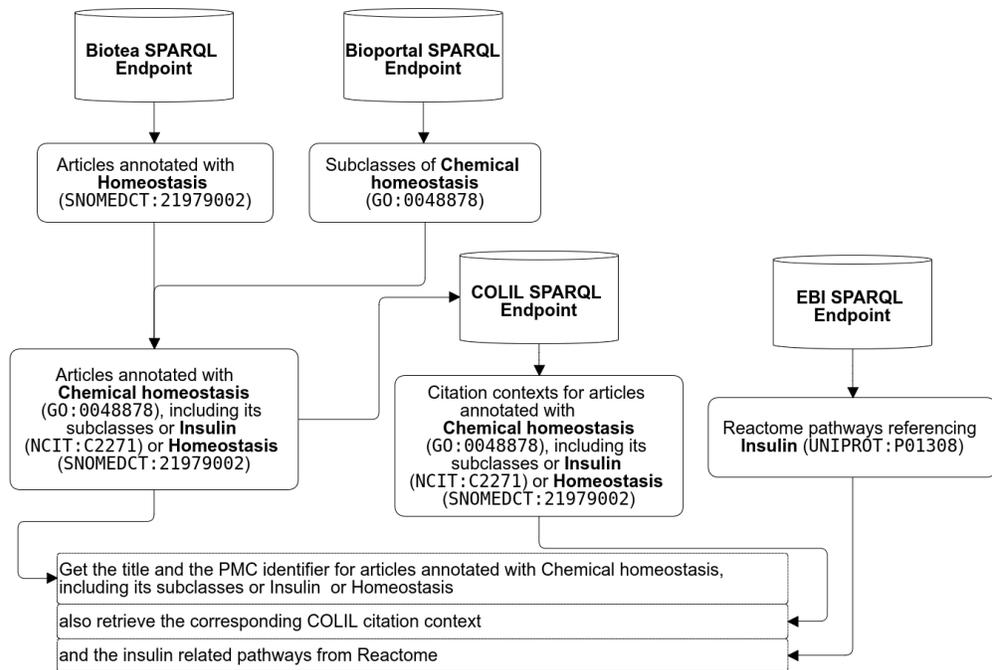
322 Biotea makes it easy to integrate the literature, e.g., PubMed Central, into more complex queries. Table 8  
 323 presents sample queries, some of them making use of external resources -e.g., Uniprot. Our SPARQL  
 324 endpoint is accessible at (Biotea, 2017d), all queries are available at (Biotea, 2017h).

Queries	Federated Y/N	Ontologies	Endpoints
Get the title and the PMC identifier for articles annotated with Chemical homeostasis, including its subclasses or Insulin or Homeostasis as well as their COLIL citation context and the Insulin related pathways from Reactome	Y	SNOMED CT, GO, NCIT	Biotea, Reactome, COLIL
Retrieve all the articles containing Placebo Control, Crossover Study, Glucose tolerance test, Insulin secretion, glucose metabolic process and the entries from Uniprot related with glucose metabolic process, response to insulin and Diabetes mellitus, non-insulin-dependent (NIDDM)	Y	NCIT, SNOMED CT, GO, Uniprot	Biotea, Uniprot
Get all the annotations from GO and ChEBI in articles containing “American Joint Committee on Cancer”	N	GO, ChEBI, SNOMED CT	Biotea
Common SNOMED CT tags for articles pmc:3875424 and pmc:3933681	N	SNOMED CT	Biotea
Get all the annotations for the article pmc:3865095	N	Multiples vocabularies	Biotea
Get all the articles annotated with “Calcitocin” and “Injury of kidney” with it’s PMC links and the DBPedia “Calcitocin” description as well as the Uniprot entries classified with “Calcitocin binding”	Y	Biotea, SNOMED CT, GO, Uniprot, DBPEDIA	Biotea, Uniprot, DBPedia
Retrieve all the articles annotated with “Renal cell carcinoma” and that cite them in the Open Citations dataset	Y	Open Citations	Biotea, Open Citations

**Table 8.** Queries against Biotea

325 A researcher may be interested in the following workflow "retrieve all the pathways referencing  
326 "insulin" from Reactome (Fabregat et al., 2016); from this resulting dataset then retrieve the literature an-  
327 notated with GO (Ashburner et al., 2000) terms like "chemical homeostasis" or any of its subclasses, e.g.,  
328 "lipid homeostasis" and "triglyceride catabolic process" as well as the NCIT terms "insulin" and "insulin  
329 signaling pathway" as well as the the SNOMED term "homeostasis". While semantic annotations make  
330 it possible to define very specific queries, federated SPARQL makes it possible merge data distributed  
331 across the web. The researcher may also be interested in complementing the results with information  
332 from the Colil database (Fujiwara and Yamamoto, 2015). Colil searches for a cited paper in the Colil  
333 database and then returns a list of the citation contexts and relevant papers based on co-citations. The  
334 entire query is illustrated in Figure 8 and the SPARQL code is available at (Biotea, 2017h).

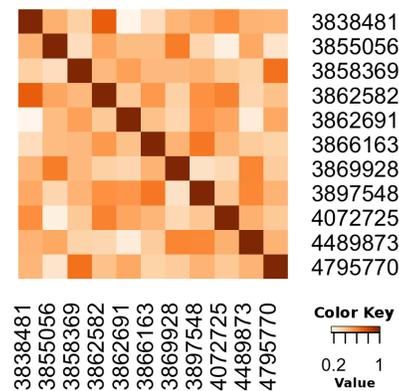
335



**Figure 8.** Example of federated SPARQL Query.

### 336 *Biotea and R*

337 We also illustrate how to calculate the cosine similarity between pairs of papers with R, see (Biotea, 2017a).  
 338 In this example, we first retrieve all the articles annotated with SNOMEDCT:63736003 (Taiwanese),  
 339 SNOMEDCT:110483000 (Tobacco user), SNOMEDCT:702675006 (Probe with target amplification)  
 340 then, we calculate the Cosine Similarity between any pair of articles in the resulting dataset. The Cosine  
 341 Similarity (Jannach et al., 2010; Armstrong, 2013) calculates the distance between two articles taking into  
 342 account only the annotations in the documents. We visualize the results using a heatmap matrix; the darker  
 343 the cell, the more similar the articles. Unlike the previous example, in this case we are only calculating the  
 344 similarity; we are not using any clustering algorithm. The heat-map, see Figure 9, illustrates the Cosine as  
 345 a metric for semantic distance/similarity.



**Figure 9.** Calculating the distance between pairs of articles using annotations.

## 346 DISCUSSION

347 We have generated linked data for PMC-OA; we are reusing existing ontologies for modeling the anno-  
348 tations, structure, metadata and the content in these documents. This new version of the dataset makes  
349 it possible for researchers to generate annotations using the AO or the OADM models; furthermore,  
350 annotations can now be generated from either XML or RDF files. The resulting dataset is over 150  
351 Gigabytes in size and covers 7407 journals. Our model uses domain ontologies that are widely used in  
352 biomedical databases; these databases have endpoints exposing their content as RDF and linked data.  
353 For instance, the EBI RDF platform makes it possible for researchers to query across RDF datasets  
354 for resources such as Ensembl (Aken et al., 2016), BioModels (Li et al., 2010), Reactome, UniProt  
355 (Consortium, 2017), etc. The use of common vocabularies makes it easier to define the queries and thus  
356 relate information from heterogeneous sources via federated queries.

357  
358 Our RDFization process is now more flexible as it has been divided into smaller tasks. This makes  
359 it easier for the of metadata, content and annotation to evolve independently as processes may be paral-  
360 lelized. Modularization also makes it easier to control the process; with more than one million documents  
361 to RDFize and annotate, managing the process is important. We have also added full support for the  
362 generation of Bio2RDF compliant outputs using the SIO ontology; it is possible to produce the RDF  
363 following the Biotea or the SIO compliant model or, both. Our mapping is not hard coded, it is expressed  
364 in a configurable file; this makes it easier for us to maintain the code independently from the changes on  
365 either model or simply adding new mappings to other models.

366  
367 The availability of semantic annotations, the use of existing ontologies and, the RDFization of the  
368 content are key differences between scigraph.com and Biotea. The scigraph.com dataset makes use of a  
369 proprietary vocabulary; for interoperability purposes they also provide mappings to other vocabularies.  
370 The Biotea model is currently mapped to BIBO, DCTERMS, Dublin Core (DC), VIVO (VIVO, 2017),  
371 Publishing Requirements for Industry Standard Metadata (PRISM), as well as to other vocabularies.  
372 The one-to-one nature of these mappings imposes the same limitation as that described earlier for our  
373 dataset -see "Integration with Bio2RDF", last paragraph. Moreover, the use of different vocabularies  
374 to describe the same entity makes mapping based approaches expensive in terms of maintenance and  
375 flexibility. Whenever possible it is a good practice to reuse existing vocabularies instead of creating new  
376 ones. Furthermore, the scigraph.com model is not as granular as that of Biotea; it models the journal  
377 and the paper but it does not addresses the content. In general, both data sets are compatible via the  
378 use of identifiers, e.g., DOIs. Our dataset complements that of scigraph; for instance, the sg:subject (sg  
379 is the prefix for scigraph.com) is defined as a "Subject" class that represents a topic. This is a field of  
380 study or research area that can be used to categorize the content of a publication; our annotations can  
381 be used to extend this class in the scigraph.com dataset. Also, our dataset links to external resources  
382 and supports the representation of manual annotations. An interesting aspect in scigraph.com is the use  
383 of sg:hasCrossrefFunderID for modeling funding information; this is an interesting addition that we  
384 are considering to reuse. Instances for "funders" may also come from repositories such as OpenAIRE  
385 (OpenAIRE, 2017) and SHARE (SHARE, 2017).

386  
387 Our dataset is fairly sizeable; updating the dataset with only the most recent papers being added to the  
388 PMC collection was not initially addressed by our work. For this release we have tested the PubRunner  
389 (Anekalla et al., 2017) in order to periodically process only the most recent entries to PMC. In order to  
390 make it easier for us to release updates of the dataset we are modifying PubRunner and adapting it to  
391 our case. In this way we will be able to automatically focus on new data; thus, making it easier for us  
392 to manage the process and for consumers to use only the latest datasets. The size of our dataset is due  
393 to the verbosity implicit in the RDF/XML serialization. We are considering HDT (Header, Dictionary,  
394 Triples) (Fernández and D., 2012) a solution for this problem; HDT is a compact data structure and binary  
395 serialization format for RDF that keeps big datasets compressed to save space while maintaining search  
396 and browse operations without prior decompression.

397  
398 The Biotea dataset inherits the limitations from the annotations pipelines used to produce it -namely  
399 the NER service provided by the NCBO. For instance, the disambiguation of "harbor" as a verb and  
400 "harbor" as a noun with a meaningful context from SNOMED (snomed:257621007) in a sentences like

401 “direct sequencing of exons 5–8 which harbor 95% of the known...” poses a challenge to the NCBO  
402 annotation system. Generating lists with words that should be excluded from the annotation pipeline, e.g.,  
403 stop words, is possible; the configuration file in Biotea (see (Biotea, 2017i)) makes it easy to generate  
404 such lists. Although we are following the best practices suggested by the NCBO annotator, using online  
405 services for such large datasets is not advisable. We had better results, less error due to communication  
406 problems and better performance, when we used a local appliance of the annotator.

407  
408 Our choice of the NER service provided by Bioportal was influenced by the results presented by  
409 Funk et al (Funk et al., 2014; Jovanović and Bagheri, 2017); the NCBO annotator, built upon MGREP,  
410 delivers good precision of matching compared to MetaMAP (Aronson and Lang, 2010; NLM, 2017).  
411 Also, the NCBO annotator delivers reliable programmatic access as well as a virtual appliance that  
412 can run locally with very little effort; moreover, as the single entry point for most biomedical ontologies,  
413 the NCBO annotator makes it unnecessary to search and install, with the consequent reformatting and  
414 parsing, ontologies and vocabularies. In addition, the NCBO annotator is very well supported; not only  
415 with extensive documentation but also with a community that facilitates the problem solving process. In  
416 this release of the dataset we didn’t consider Machine Learning (ML) methods. For our task, annotating  
417 the open access full text subset of PMC with several ontologies, there are no comprehensive datasets  
418 that can be used to train the models; existing annotated corpora focus on specific annotation targets -e.g.,  
419 drug-drug, protein-protein interactions, identification of diseases, etc.

420  
421 The current version of Biotea was not annotated with Whatizit (Rebholz-Schuhmann et al., 2008)  
422 because it is no longer available. This limits the knowledge encoded in our annotations as we are  
423 missing Whatizit annotations pipelines such as those for UMLS diseases and UniProtKB proteins. These  
424 workflows were giving us direct links to databases such as UniProt. Some of these direct links are, however,  
425 resolvable, simply by using the endpoints available for the corresponding databases. For instance, "insulin"  
426 is currently linked to PR:000009054 in the Protein Ontology (PR) while via Whatizit it would have  
427 been related to UniProtKB proteins such as up:P01308 (INS\_HUMAN), up:P01317 (INS\_BOVIN)  
428 and up:P67970 (INS\_CHICKEN). We can reach some of those links by getting the direct children of  
429 PR:000009054 which includes PR:P01308 and PR:P67970; both of them are linked to UniProtKB  
430 proteins by means of the PR property *database\_cross\_reference*. On a different scenario, if we  
431 are interested in "high-density lipoprotein", Whatizit would have associated this term to proteins such as  
432 up:Q9D1N2 and up:Q8IV16. We are exploring different alternatives so the missing annotations, w.r.t.  
433 the first Biotea dataset, can be automatically added. The RESTful web services available at EuropePMC  
434 (Europe PMC, 2017) make it possible to retrieve most of the annotations we were getting from Whatizit,  
435 we are working on methods that allow us to use these annotations. The problem is that our model anchors  
436 the annotations to sections within the document whilst for EuropePMC these annotations are part of the  
437 document as a whole. We are evaluating Neji (BMD Software, 2016) and EuropePMC RESTful services  
438 as possible alternatives for replacing Whatizit.

## 439 CONCLUSIONS

440 By delivering a semantic dataset for PMC-OA we are making it easier for agents in the web to process  
441 biomedical literature. Having entities semantically characterized makes it possible for software agents to  
442 process them in various ways, e.g., using the association diseases-populations-interventions in order to  
443 link to health records or, by using the association gene-protein-disease to link to metabolic pathways. We  
444 are also making it possible for researchers to express queries using ontological concepts; these queries  
445 can be expanded against federated linked data resources in the web - hence improving recall. Semantic  
446 annotations are highly structured digital marginalia; these are usually invisible in the human-readable  
447 part of the content. In Biotea annotations are represented using a machine-interpretable formalism. As  
448 illustrated in the prototype, notes are then used for classifying, linking, interfacing, searching and filtering.

449  
450 Our approach is useful for both open and non-open access datasets; since the content is clearly identi-  
451 fied and enriched with specialized vocabularies, publishers may decide what to expose as linked data. For  
452 instance, annotations may be published while the content may be kept hidden; in this way the benefits of  
453 conceptual queries could be made available over a SPARQL endpoint without compromising the content  
454 of the document. Having self describing documents, as we propose in this paper, also makes it easier

455 to establish comparisons across documents; these should go beyond what we currently make possible.  
 456 For instance, if tables were dynamically generated from semantically annotated data then researchers  
 457 could easily establish comparisons across datasets reported in the literature. Such comparisons could  
 458 also include annotations from one or more ontologies; in this way it could be possible to discern the  
 459 differences and similarities with respect to, for instance, GO annotations. Self descriptive documents  
 460 could also enrich the user experience when searching and interacting with the document, as it is suggested  
 461 in our prototype as well as in our earlier experiments (Garcia Castro et al., 2013).

462  
 463 The Biotea dataset will continue to grow by adding new sources of annotations for our corpus. We will  
 464 focus on maintaining Biotea as a resource where researchers are able to find annotations for biomedical  
 465 literature -full content, open access. Annotation pipelines and NER systems will always have advantages  
 466 and disadvantages with respect to each other; by having annotations under one roof the Biotea data  
 467 set simplifies the process of benchmarking and using annotations for particular purposes. Our next  
 468 release will include annotations from the Whatizit pipelines as well as disease-gene associations from  
 469 (Pletscher-Frankild et al., 2015). By adding new annotations we will also improve the quality and quantity  
 470 of links between the content and web based information resources. Enhanced associations between genes,  
 471 proteins and specialized databases will also be the focus of our next release. In our next release we will  
 472 also continue exploring the use of annotations in supporting better user experiences; we will focus on  
 473 query composition and data exploration.

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