

# How can we reliably identify a taxon based on humeral morphology? – Comparative morphology of desmostylian humeri

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Desmostylia is a clade of marine mammals belonging to either Tethytheria or Perissodactyla. Rich fossil records of Desmostylia were found in the Oligocene to Miocene strata of the Northern Pacific Rim, especially in the northwestern region, which includes the Japanese archipelago. Fossils in many shapes and forms, including whole or partial skeletons, skulls, teeth, and fragmentary bones have been discovered from this region. Despite the prevalent availability of fossil records, detailed taxonomic identification based on fragmentary postcranial materials has been difficult owing to our limited knowledge of the postcranial diagnostic features of many desmostylian taxa. In this study, I propose the utilization of diagnostic characters found in the humerus to identify desmostylian genus. These characters can be used to identify isolated desmostylian humeri at the genus level, contributing to a better understanding of the stratigraphic and geographic distributions of each genus.

1 **How can we reliably identify a taxon based on humeral**  
2 **morphology? –comparative morphology of desmostylian humeri–**

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13

**Abstract**

14

15 Desmostyilia is a clade of marine mammals belonging to either Tethytheria or Perissodactyla.

16 Rich fossil records of Desmostyilia were found in the Oligocene to Miocene strata of the

17 Northern Pacific Rim, especially in the northwestern region, which includes the Japanese

18 archipelago. Fossils in many shapes and forms, including whole or partial skeletons, skulls, teeth,

19 and fragmentary bones have been discovered from this region. Despite the prevalent availability

20 of fossil records, detailed taxonomic identification based on fragmentary postcranial materials

21 has been difficult owing to to our limited knowledge of the postcranial diagnostic features of

22 many desmostylian taxa. In this study, I propose the utilization of diagnostic characters found in

23 the humerus to identify desmostylian genus. These characters can be used to identify isolated

24 desmostylian humeri at the genus level, contributing to a better understanding of the stratigraphic

25 and geographic distributions of each genus.

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## Introduction

29

30 Desmostylia is a clade of extinct marine mammals (Repenning, 1965; Inuzuka 1984, 2000a, b;  
31 Domning, 2002; Gingerich, 2005). At present, this clade is considered to belong to either  
32 Tethytheria (Afrotheria; Domning, Ray & McKenna., 1986) or Perissodactyla (Laurasiatheria;  
33 Cooper et al., 2014). Their fossil records range from the Eocene/Oligocene boundary (Barnes and  
34 Goedert, 2001) to the late Miocene (Barnes, 2013; Barboza et al., 2017). The last record of a  
35 definite desmostylian fossil dates from the late Miocene (Barboza et al., 2017). However,  
36 desmostylian remains have been found from Pliocene (Kimura, 1966). Many desmostylian fossils,  
37 including whole skeletons, skulls, teeth, and bones, were discovered from both the east and west  
38 sides of the North Pacific coast (Mitchell and Repenning, 1963; Mitchell, 1963; Shikama, 1966;  
39 Chinzei, 1984; Inuzuka, 1984, 2000a; Barnes and Goedert, 2001; Hasegawa, Kimura &  
40 Matsumoto., 2006; Matsui and Kawabe, 2015).

41 Many diagnostic features of desmostylian genera and/or species have been proposed  
42 based on the morphology of the skull, including the mandible and molar teeth (e.g. Reinhart,  
43 1959; Domning, Ray & McKenna., 1986; Inuzuka, 1989, 2000; Beatty, 2009; Chiba et al., 2016;  
44 Beatty and Cockburn, 2016; Santos et al., 2016). Inuzuka (2000, 2013), for example, proposed  
45 many diagnostic features in the cranial and postcranial morphology for the genera *Desmostylus*  
46 and *Paleoparadoxia*. However, some of the proposed diagnostic features are ambiguous. There  
47 were no obvious criteria on qualitative traits. In addition, only remains of *Desmostylus* and  
48 *Paleoparadoxia* had been reported from the Miocene in Japan when his papers were published.  
49 Subsequently, another genus cf. "*Vanderhoofius*" sp. was described by Chiba et al. (2016) based  
50 on material from Hokkaido. Santos et al. (2016) provided an updated ontogenetic sequence for

51 *Desmostylus* as well as features diagnostic of advanced age specimens based on mandibular  
52 morphology. Additionally, Santos et al. (2015) also synonymized *Vanderhoofius* with  
53 *Desmostylus*. Furthermore, Barnes (2013) divided the genus *Paleoparadoxia* into three genera,  
54 *Archaeoparadoxia*, *Paleoparadoxia*, and *Neoparadoxia*. His taxonomic scheme has been  
55 accepted in many studies on desmostylians (e.g. Beatty and Cockburn, 2015; Matsui and  
56 Kawabe, 2015; Chiba et al., 2016). Accordingly, the taxonomy of Japanese desmostylian from  
57 the Miocene needs to reflect this scheme, necessitating the establishment of diagnostic features  
58 for these three new genera. However, diagnostic features of *Paleoparadoxia* that were previously  
59 proposed by Inuzuka (2000, 2005 and 2013) have been applied to be specific for *Neoparadoxia*  
60 after Barnes (2013) split the genus into three. Therefore, postcranial diagnostic features of  
61 *Paleoparadoxia sensu stricto* have not been discussed in past studies except for those by  
62 Shikama (1966) and Matsui and Kawabe (2015). On the other hand, there are some localities  
63 where multiple desmostylian genera were found from a single bed (e.g., Akan area; Kimura et  
64 al., 1998; Sato and Kimura, 2002; Watanabe and Kimura, 2002; Yoshida and Kimura, 2002) or  
65 similar horizons (e.g., Mizunami area, Gifu, Japan; Yoshiwara and Iwasaki, 1902; Tokunaga and  
66 Iwasaki, 1914; Ijiri and Kamei, 1960; Shikama, 1966; Kamei and Okazaki, 1974; Okazaki, 1977;  
67 Kohno, 2000). In such cases, it is particularly important to precisely identify desmostylian genera  
68 for recognizing their taxonomic diversity and establish detailed diagnostic characters for each  
69 genus. To rectify the current situation, a detailed comparison was made of the morphology of the  
70 humerus in the present study. As a result, diagnostic features in the humerus are proposed for  
71 each desmostylian genus.

72 **Institutional Abbreviations**

73 AMP: Ashoro Museum of Paleontology, Hokkaido, Japan; GSJ: Geological Survey of Japan,

74 Ibaraki, Japan; LACM: Los Angeles County Museum, Los Angeles, USA; NMNS, NSMT:

75 National Museum of Nature and Science, Tokyo, Japan; OME: Okhotsk Museum Esashi, 1614-1

76 Mikasa-cho, Esashi, Hokkaido, Japan ;RBCM: Royal British Columbia Museum, Victoria,

77 British Columbia, Canada; SMNH: Saitama Museum of Natural History, Saitama, Japan;

78 UCMP: University of California Museum of Paleontology, Berkeley, California, USA; UHR:

79 Hokkaido University Museum, Sapporo, Japan; UMUT: The University Museum, The

80 University of Tokyo, Tokyo, Japan.

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82

## Materials and Methods

83

### 84 Specimens and references

85 In this study, I analyzed morphologies of desmostylian humeri, as well as those of potential  
86 outgroups of Desmostylia, based on direct examinations of specimens or literature reviews. The  
87 following specimens and references were used in this study (Fig 1).

88 1. Desmostylia

89 1-1. Desmostylidae

90 1-1-1. *Ashoroa laticosta*

91 AMP 21, nearly complete left and right humeri of *Ashoroa laticosta* from the late Oligocene  
92 Morawan Formation, Kawakami Group, Hokkaido, Japan, described by Inuzuka (2000b, 2011).  
93 This specimen is the holotype of *A. laticosta*. AMP 21 shows the epiphyseal fusion in the humerus  
94 and is considered as an adult (Hayashi et al., 2013; Barnes, 2013).

95 1-1-2. *Desmostylus hesperus*

96 · UHR 18466, a nearly complete left humerus of *D. hesperus* from the Middle Miocene  
97 Uchiboro coal-bearing Formation, Sakhalin, Russia. This specimen was the type specimen  
98 for *D. mirabilis* (Nagao, 1935), which was redescribed by Inuzuka (1982) and later  
99 synonymized with *D. hesperus* by Inuzuka et al. (1994). UHR 18466 shows the epiphyseal  
100 fusion in the humerus and is considered as an adult (Hayashi et al., 2013).

101 · GSJ-F7743, nearly complete left and right humeri of *D. hesperus* from the middle Miocene  
102 Tachikaraushinai Formation, Japan, described by Inuzuka (2009). GSJ-F7743 does not show  
103 neurocentral fusion of vertebrae or epiphyseal fusion in long bones and is considered as a

104 juvenile (Hayashi et al., 2013).  
105 · OME-U-0170, nearly complete but proximal end was lacked, is a right humerus of *D.*  
106 *hesperus* from the middle Miocene Tachikaraushinai Formation, Japan. This specimen was  
107 described by Inuzuka et al. (2016). OME-U-0170 shows the epiphyseal fusion in the humerus  
108 and is considered as an adult.

109 1-1-3. *Demostylus* sp.

110 *Demostylus* sp., distal part of the humerys of *Demostylus* sp. from the Middle Miocene  
111 Chikubetsu Formation, Japan, housed in Obira City Historical Museum and reported by Nakaya,  
112 Watabe & Akamatsu (1992). This specimen shows epiphyseal fusions in the humerus and is  
113 considered as an adult.

114 1-2. Paleoparadoxiinae

115 1-2-1. *Archaeoparadoxia weltoni*

116 UCMP114285, incomplete and fragmentary right and left humeri of *Archaeoparadoxia weltoni*  
117 (Clark, 1991) from the late Oligocene or early Miocene Skooner Gulch Formation, California,  
118 USA. UCMP114285 has M3 with occlusal surface and is considered as an adult.

119 1-2-2. *Paleoparadoxia tabatai*

120 NMNS PV-5601, an incomplete left humerus of *Paleoparadoxia tabatai* (Tokunaga, 1939) from  
121 the early Miocene Mizunami Group, Gifu, Japan, designated as the neotype of this species by  
122 Shikama (1966). NMNS PV-5601 shows epiphyseal fusions in the humerus and is considered as  
123 an adult (Hayashi et al., 2013; Barnes, 2013).

124 1-2-3. *Paleoparadoxia* sp.

125 · SMNH VeF-61, a nearly complete left humerus of *Paleoparadoxia* sp. from the lower  
126 Miocene in the Chichibu Basin, Saitama, Japan, described by Saegusa (2002). SMNH VeF-

127 61 shows epiphyseal fusions in the humerus and is considered as an adult.

128 · UMUT CV31059, a proximal part of the right humerus of *Paleoparadoxia* sp. from the early  
129 Miocene Sankebetsu Formation, Hokkaido, Japan, described by Matsui and Kawabe (2015).

130 UMUT CV31059 shows epiphyseal fusions in the humerus and is considered as an adult.

131 · AMP AK1002, a right humerus of *Paleoparadoxia* sp. from the middle Miocene Tonokita  
132 Formation, Hokkaido, Japan. This specimen was used by Hayashi et al. (2013). AMP

133 AK1002 shows epiphyseal fusions in the humerus and is considered as an adult (Hayashi et  
134 al., 2013).

135 1-2-4. *Neoparadoxia cecialina*

136 LACM 150150, nearly complete right and left humeri from the lower upper Miocene Monterey  
137 Formation in California, USA. Epiphyses in humeri of LACM 150150 are not fused and the  
138 specimen is thus considered as a juvenile (Barnes, 2013).

139 1-2-5. *Neoparadoxia repeninngi*

140 NMNS PV 20731, distal end of left humerus from the middle Miocene Ladera Formation in  
141 California, USA. Epiphyses of whole skeleton were fused and the specimen is considered as an  
142 adult.

143 1-3. family indeterminate

144 1-3-1. *Behemotops cf. proteus* (Beatty and Cockburn, 2015)

145 RBCM.EH2007.008.0001, a nearly complete left humerus from the late Oligocene of Vancouver  
146 Island, British Columbia, Canada, reported by Beatty and Cockburn (2015).

147 RBCM.EH2007.008.0001 shows epiphyseal fusions in the humerus and is considered as an adult.

148

149 2. Out groups

- 150 2-2. Tethytheria
- 151 2-2-1. Sirenia
- 152 2-2-1-1. Halithriinae gen. sp. indet.
- 153 NMNS PV-20171, a left humerus of Halitheriinae from the late Miocene Aoso Formation, Miyagi,
- 154 Japan. NMNS PV-20171 shows epiphyseal fusions in the humerus and is considered as an adult.
- 155 2-2-1-2. *Hydrodamalis cuestae*
- 156 NMNS PV-21914, a cast of the right humerus of *Hydrodamalis cuestae* (SDSNH 35293;
- 157 Domning, 1978) from the early Pleistocene San Diego Formation (Member 2), California, USA.
- 158 NMNS PV-21914 shows epiphyseal fusions in the humerus and is considered as an adult.
- 159 2-2-1-3. *Dugong dugon*
- 160 NSMT M-24886, a right humerus. NSMT M-24886 shows epiphyseal fusions in the humerus and
- 161 is considered as an adult.
- 162 2-2-1-4. *Trichechus manatus lastralis*
- 163 NSMT M-35016, a left humerus from USA. NSMT M-35016 shows epiphyseal fusions in the
- 164 humerus and is considered as an adult.
- 165
- 166 2-3. Perissodactyla
- 167 2-3-1. Equidae (Harmanson and MacFadden, 1992; Kato and Yamauchi, 2003)
- 168 *Mesohippus*, *Merychippus*, *Hypohippus*, *Dinohippus* and *Equus* spp. illustrated in Harmanson and
- 169 MacFadden (1992) and Kato and Yamauchi (2003). All specimens are adults.
- 170 2-3-2. Tapiridae (Harmanson and MacFadden, 1992)
- 171 *Tapirus terrestris*, illustrated in Harmanson and MacFadden (1992). This is an adult specimen.
- 172 2-3-3. Rhinocerotidae (Harmanson and MacFadden, 1992)

173 *Diceros bicornis*, illustrated in Harmanson and MacFadden (1992). This is an adult specimen.

174           The anatomical terminology follows Kato and Yamauchi (1995). Terminologies of

175 humorous are illustrated in Fig 2.

176

177

## Results

178

### 179 Comparisons of humeral morphology between desmostylians and their outgroups

180

181 In general, the desmostylian humerus has a wide, oval, and large articular surface, as well as a  
182 large trochlea. The diaphysis of the humerus is straighter than those in Dugongidae and  
183 Trichechidae (Sirenia). It is also larger than the one in Dugongidae. The intertubercular groove is  
184 shallower and narrower in Desmostylia than in Perissodactyla. Large Perissodactyla, Equidae  
185 (larger species than *Hypohippus*) and Rhinocerotidae (*Diceros bicornis*) have two intertubercular  
186 grooves and are thus very distinct from that in desmostylians. In small Perissodactyla (Equidae  
187 smaller than *Merychippu* and Tapiridae), the greater tubercle is more developed and extended to  
188 the cranial side than in desmostylians; this is the feature that clearly distinguishes this taxon from  
189 desmostylians. The humeral heads of desmostylians are oval-shaped in contrast to the semi-  
190 spherical ones in Trichechidae and *Hydrodamalis*. The lesser tubercle is developed in  
191 desmostylians, but the one in Trichechidae is fused with the greater tubercle. The greater tubercle  
192 is strongly developed and extends to the lateral side of the humerus in Dugongidae, whereas the  
193 one in desmostylians is not strongly developed on the lateral side. Additionally, dugongids have  
194 a well-developed stylate deltoid tuberosity, whereas desmostylians do not have an apparent  
195 deltoid tuberosity as do Dugongidae or Perissodactyla.

196

#### 197 2. *Behemotops*

198 The diaphysis in *Behemotops* is thinner than those in other desmostylians. The greater tubercle  
199 extends higher than the head of the humerus in *Paleoparadoxia* and *Ashoroa*. The height of this

200 tubercle in *Behemotops* is almost the same as the one in *Ashoroa*, but smaller than the one in  
201 *Paleoparadoxia*. The curvature of the diaphysis is the greatest among desmostylians, curved along  
202 both the mediolateral side (as in *Ashoroa*) and the caudal side (as in *Trichechus* and  
203 *Hydrodamalis*). The angle of the head of the humerus is greater than those in *Ashoroa*,  
204 *Desmostylus*, *Paleoparadoxia* and is almost the same as that in *Neoparadoxia*. The intertubercular  
205 groove and lesser tubercle are not well preserved in the observed specimens of *Behemotops*. The  
206 line of attachment for the triceps muscle is not clear, unlike in *Paleoparadoxia* and *Neoparadoxia*,  
207 and is rather similar to the one in *Dugong dugon*. The humeral neck of *Behemotops* is shallower  
208 than that of other desmostylians. The humeral crest is as weak as that in *Paleoparadoxia* but longer  
209 than those in *Paleoparadoxia* and *Neoparadoxia*. However, it is slightly shorter than those in  
210 *Ashoroa* and *Desmostylus*.

211

### 212 3. *Archaeoparadoxia*

213 The preservation condition of *Archaeoparadoxia* humeri is poor, so parts available for  
214 comparison are limited. The diaphyses of the right and the left humeri are not preserved  
215 completely and thus incomparable. The humeral morphology of *Archaeoparadoxia* is similar to  
216 that of *Ashoroa* and *Paleoparadoxia* in general. The diaphyses of the right and the left humeri  
217 are curved less craniomedially than *Ashoroa* and *Behemotops*, different from *Neoparadoxia*,  
218 *Paleoparadoxia*, and *Desmostylus*. The head of the humerus is oval-shaped and slightly convex  
219 at the distal end, similar to that in *Paleoparadoxia*. The lesser tubercle is distinct and medially  
220 projected, located on the medial side like *Paleoparadoxia* and different from that in *Ashoroa*.  
221 The greater tubercle is wider than that of *Behemotops* but more slender than that of  
222 *Neoparadoxia*. The lateral epicondyle is more developed and medially projected than that in

223 *Ashoroo*. The trochlea is incomplete, smaller than that of paleoparadoxiids and desmostylids, and  
224 obliquely tilted. However, it is unknown whether the original characters are preserved in this  
225 fossil specimen.

226

#### 227 4. *Neoparadoxia*

228 The lesser and greater tubercle epiphyses are not preserved in *N. ceciliolina* and *N. repenningi*,  
229 but the direction of development and approximate size are comparable. The humeral morphology  
230 of *Neoparadoxia* is similar to that of *Paleoparadoxia* in general. The humerus of *Neoparadoxia*  
231 has a thick shaft, similar to the one found in *Paleoparadoxia*. The humeral crest is longer,  
232 extends more distally, and is more strongly developed than that in *Paleoparadoxia*. The head of  
233 the humerus is oval in shape and is horizontally longer than those in *Paleoparadoxia*, *Ashoroo*,  
234 and *Desmostylus*.

235

#### 236 5. *Ashoroo*

237 In general, the humeral morphology of *Ashoroo* is similar to that of *Paleoparadoxia* and  
238 *Archaeoparadoxia*. The lesser tubercle does not project to the medial side and is developed on  
239 the cranial side. The lesser tubercle is developed to cover the intertubercular groove and is  
240 morphologically similar to those in small-sized equids (e.g., *Meshippus* and *Merychippus*). The  
241 humeral crest of *Ashoroo* is prominent and is developed higher and longer than in  
242 *Paleoparadoxia* and *Neoparadoxia*. It is also more robust than that in *Paleoparadoxia* and  
243 *Behemotops*.

244

#### 245 6. *Desmostylus*

246 The humeral morphology of *Desmostylus* is very different from that in other desmostylians,  
247 especially its intertubercular groove. The intertubercular groove of *Desmostylus* is located behind  
248 the head of the humerus. It is also wider and more shallow than the ones found in other  
249 desmostylians. In addition, the lesser tubercle is not knobby, unlike those in other desmostylians.  
250 The humeral crest extends distally more than the proximal half of the diaphysis and thus  
251 different from those in *Paleoparadoxia* and *Neoparadoxia*. However, it appears to be similar to  
252 those in *Behemotops* and *Ashorooa*. The development of the humeral crest is greater than in  
253 *Paleoparadoxia* and *Behemotops*. The height of the greater tubercle is the same as that of the  
254 head of the humerus, differentiating it from those in *Paleoparadoxia*, *Ashorooa*, and *Behemotops*.  
255 The constriction of the diaphysis is less developed than that in *Ashorooa*, *Behemotops*,  
256 *Neoparadoxia*, and *Paleoparadoxia*.

257

### 258 **Diagnostic characters of desmostylian humeri**

259 Based on the description and comparison presented above, the following combinations of  
260 diagnostic characters are proposed for each taxon.

261

#### 262 1. Desmostylian (Figure 3)

- 263 1. Humerus diaphysis thicker than that in other relatives
- 264 2. Head of humerus larger than that in other relatives
- 265 3. Articular facet of head of humerus wider than in other relatives
- 266 4. Greater tubercle larger than other that in relatives
- 267 5. Almost straight humerus diaphysis

- 268 6. Trochlea larger than that in other relatives  
269
- 270 2. *Behemotops* (Figure 4)
- 271 1. Humeral diaphysis thinner than that in other desmostylians  
272 2. Diaphysis curved on both mediolateral and caudal sides as in *Trichechus*  
273 3. Head of humerus with larger angle than that in other desmostylians  
274 4. Shortest intertubercular groove in desmostylians  
275 5. Greater tubercle extending dorsally higher than head of humerus (lower than that in  
276 *Paleoparadoxia*, higher than that in *Desmostylus*, and similar to that in *Ashoroa*)  
277 6. Humeral neck shallower than that in other desmostylians  
278
- 279 3. *Archaeoparadoxia* (Figure 5)
- 280 1. Greater tubercle extending toward proximal side above the head of the humerus as in  
281 *Paleoparadoxia*  
282 2. Wider greater tubercle than that in *Desmostylus* and *Behemotops*  
283 3. Lesser tubercle distinct and smaller than that in *Paleoparadoxia* and medially projected,  
284 located on medial side like that in *Paleoparadoxia*  
285 4. Intertubercular groove located on medial side and shallower than that in *Neoparadoxia*  
286 5. Trochlea smaller than that in desmostylids and other paleoparadoxiids, but slightly larger  
287 than trochlea of *Behemotops*  
288 6. Diaphysis slightly curved mediolaterally and caudally, unlike those of *Paleoparadoxia* and  
289 *Desmostylus*, but weaker than those of *Ashoroa* and *Behemotops*  
290

291 4. *Paleoparadoxia* (Figure 6; proposed by Matsui and Kawabe, 2015)

292 1. Greater tubercle extending toward proximal side above the head of the humerus

293 2. Greater tubercle wider than that in *Desmostylus* and *Behemotops*

294 3. Lesser tubercle distinct and medially projected, located on medial side

295 4. Intertubercular groove located on medial side

296 5. Shallow and narrow intertubercular groove

297 6. Head of humerus oval-shaped and slightly convex at distal end

298 7. Absence of well-developed deltoid tuberosity

299

300 5. *Neoparadoxia* (Figure 7)

301 1. Greater tubercle developed as crest, stronger than that in *Paleoparadoxia*

302 2. Humeral crest strongly developed and extending distally over half of whole humerus

303 3. Head of humerus oval, wider than that in *Paleoparadoxia*, and not convex at distal end

304 unlike in the *Paleoparadoxia*

305 4. Intertubercular groove wider than that in *Paleoparadoxia*, but narrower than that in

306 *Desmostylus*

307

308 6. *Ashoroa* (Figure 8)

309 1. Constriction of humeral neck shallower in desmostylians, but deeper than that in

310 *Behemotops*

311 2. Lesser tubercle only slightly less developed than that in *Archaeoparadoxia*,

312 *Paleoparadoxia*, and *Neoparadoxia*

- 313 3. Intertubercular groove shorter than that in *Archaeoparadoxia*, *Paleoparadoxia*,  
314 *Neoparadoxia*, and *Desmostylus*
- 315 4. Diaphysis loosely curved like that in *Behemotops*, but stronger than that in  
316 *Archaeoparadoxia*
- 317 5. Humeral crest more strongly developed than that in *Paleoparadoxia* and extending distally  
318 just above trochlea
- 319 6. Lesser tubercle located and developed on cranial side  
320
- 321 7. *Desmostylus* (Figure 9)
- 322 1. Intertubercular groove located just behind head of humerus on cranial side
- 323 2. Shallow and v-shaped intertubercular groove
- 324 3. Lesser tubercle smaller than that in other desmostylians
- 325 4. Lesser tubercle not projecting to medial and cranial sides
- 326 5. Crest of lesser tubercle well-developed and extending ventrally
- 327 6. Greater tubercle and head of humerus almost the same height (= greater tubercle not  
328 projecting higher than head of humerus)
- 329
- 330

## Discussions

331

332

333 Humeral characteristics of desmostylians differ in each genus. These characters are thus  
334 sufficient for genus-level identification. The morphologies of the *Desmostylus* humerus are quite  
335 different from those in other desmostylians. The extension of the greater tubercle is shorter than  
336 that in other desmostylians. Additionally, the position of the intertubercular groove is right  
337 behind the head of humerus and very shallow compared to that in other desmostylians. These  
338 differences approximately correspond to the differences between the humeri of manatees and  
339 dugongs. Dugongs have a greater tubercle that is higher than the head of humerus and do not  
340 have an intertubercular groove that is opened right at the back of the head of the humerus, unlike  
341 manatees. The humeri of manatees show some morphological variability. Florida manatees  
342 (*Trichechus manatus*) exhibit variation in the intertubercular groove. Nineteen percent of the  
343 Florida manatees and all Amazon manatees (*Trichechus inunguis*) have an intertubercular  
344 groove, while it is absent from in other manatees (Domning and Hayak, 1986). The  
345 intertubercular grooves of Amazon manatees are more distinct than those of Florida manatees  
346 (Domning and Hayek, 1986). These differences result from distinct biceps brachii muscles in  
347 Amazon manatees (Domning and Hayek, 1986). In sirenians, the hind limbs are virtually absent  
348 and locomotion is accomplished by vertical movement of the tail (Berta et al., 2016). However,  
349 their locomotory use of flippers is different. Dugongs swim in the sea and use their forelimbs  
350 only for cruising (Berta et al., 2016), but manatees use their forelimb to “walk” on the sea floor  
351 (Hartman, 1979). In Desmostylia, Inuzuka (2013) indicated that Paleoparadoxiinae has more  
352 movable coxae than do *Desmostylus*. However, differences in hind limbs locomotion among  
353 desmostylians have not been reported. Therefore, it has been suggested that the hind limbs of

354 desmostylians have similar movements (Inuzuka, 2005). Based on fossil evidence, the humeral  
355 characteristics between *Desmostylus* and other desmostylian would likely lead to differences in  
356 swimming behavior, similar to what we observe in dugongs and manatees.

357

### 358 **Remaining issues**

359 The holotype of *Desmostylus hesperus*, the type species of the genus, includes only a  
360 fragmentary molar and also does not include a humerus. Therefore, it is impossible to distinguish  
361 the proposed species of *Desmostylus* based solely on the observed diagnostic features of the  
362 holotype specimens. Accordingly, re-designating a specimen with skulls and forelimbs bearing  
363 sufficient diagnostic characters as neotypes for species of *D. hesperus* should be considered. A  
364 similar issue has been discussed for *Coelophysis bauri*, a theropod dinosaur (Hunt and Lucas,  
365 1991; Colbert et al. 1992).

366 In addition, there are only six desmostylian genera, for which humeri were found in  
367 association with molars or skulls that allow us to realize taxonomic identification at the genus or  
368 species level. In other words, no postcranial skeletons are known for many desmostylian genera  
369 or species. Accordingly, when new specimens are found in the future, the diagnostic characters  
370 proposed here would need to be evaluated and revised to reflect the new information.

372

## Conclusion

373

374 Here I present the newly established diagnostic features of desmostylian humeri. There were not  
375 many differences observed between humeral morphologies of different species of desmostylians,  
376 except for *Desmostylus*. However, these minor differences are enough to distinguish different  
377 desmostylian genera. This study will be important for taxonomic corrections and detailed  
378 classifications. Higher resolution and accurate classification than that has been previously  
379 accomplished, even for partial postcranial skeletons, would be able to achieve if new postcranial  
380 elements are identified that have highly diagnostic features. This will provide useful information  
381 for the paleogeography and distribution range of Desmostylia.

382

383

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393

394

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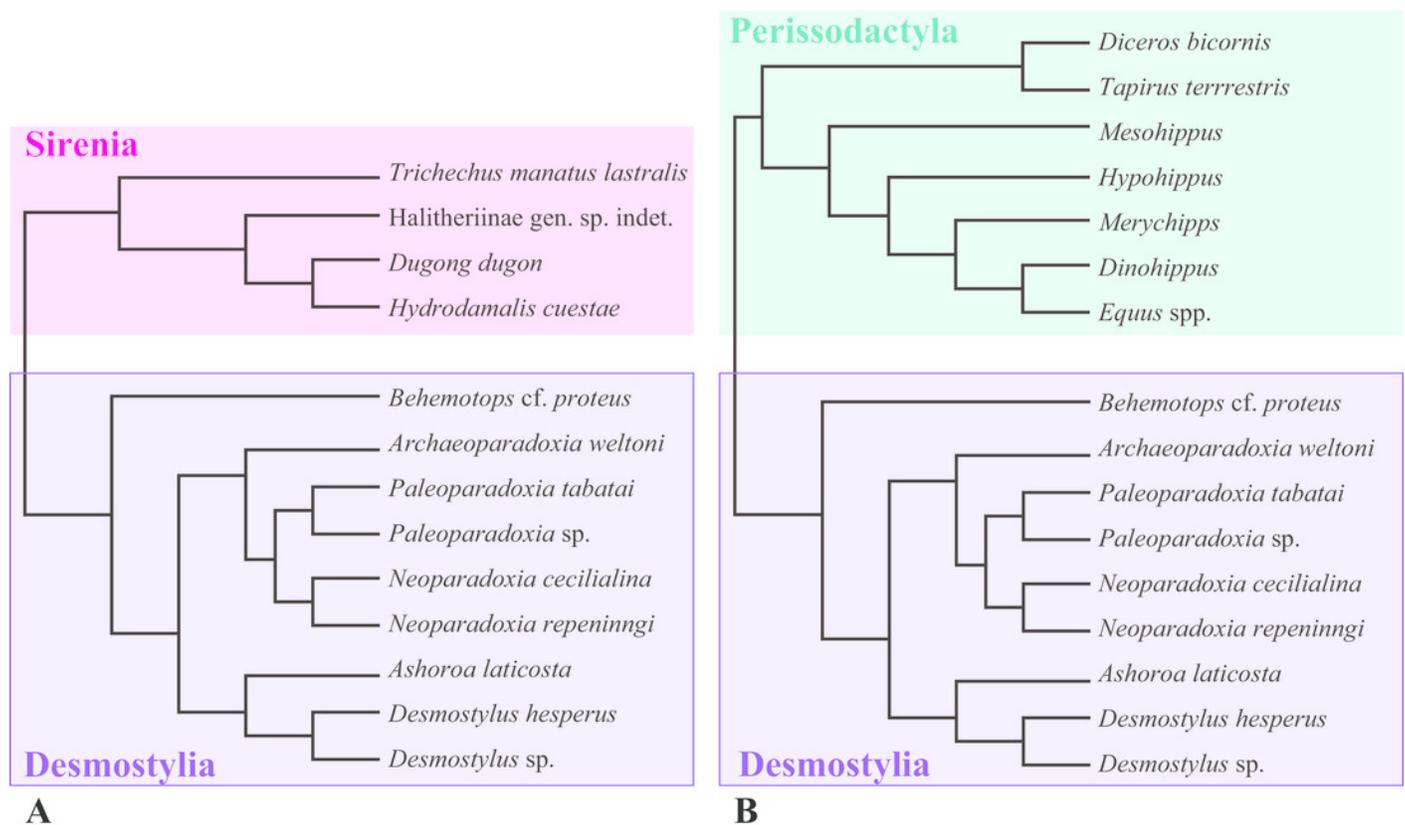
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# Figure 1

Composite cladogram showing the phylogenetic relationship among taxa examined in this study.

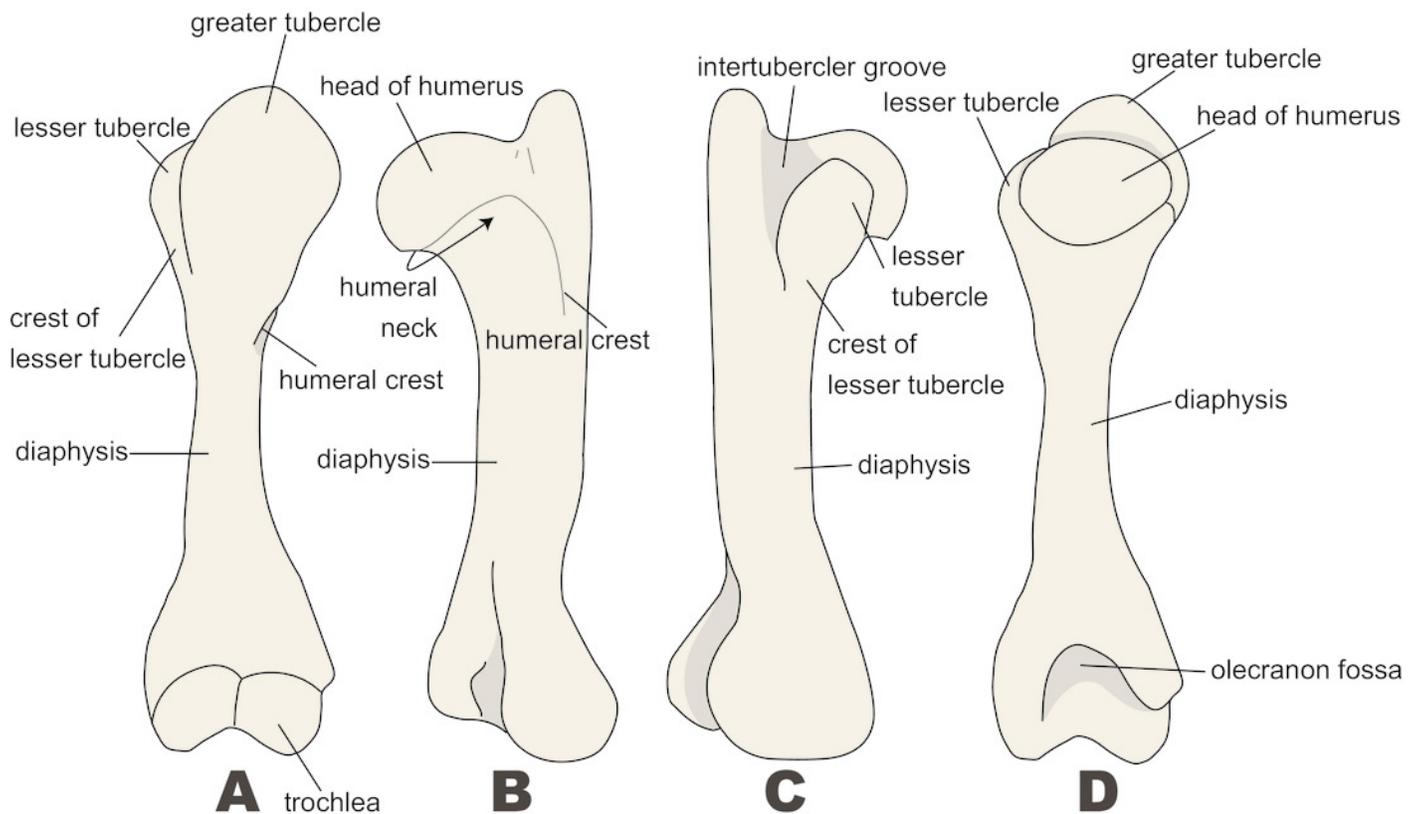
A: Cladogram of Desmostyilia with Sirenia (Tethyteria) as an outgroup. B: Cladogram of Perissodactyla as an outgroup. Compiled from numerous sources, including Velez-Juarbe et al. (2012), Macfadden (1994) and Beatty (2009).



## Figure 2

Nomenclatures of humerus (based on *Paleoparadoxia tabatai*, NMNS PV 5601, and *Paleoparadoxia* sp., UMUT CV31059).

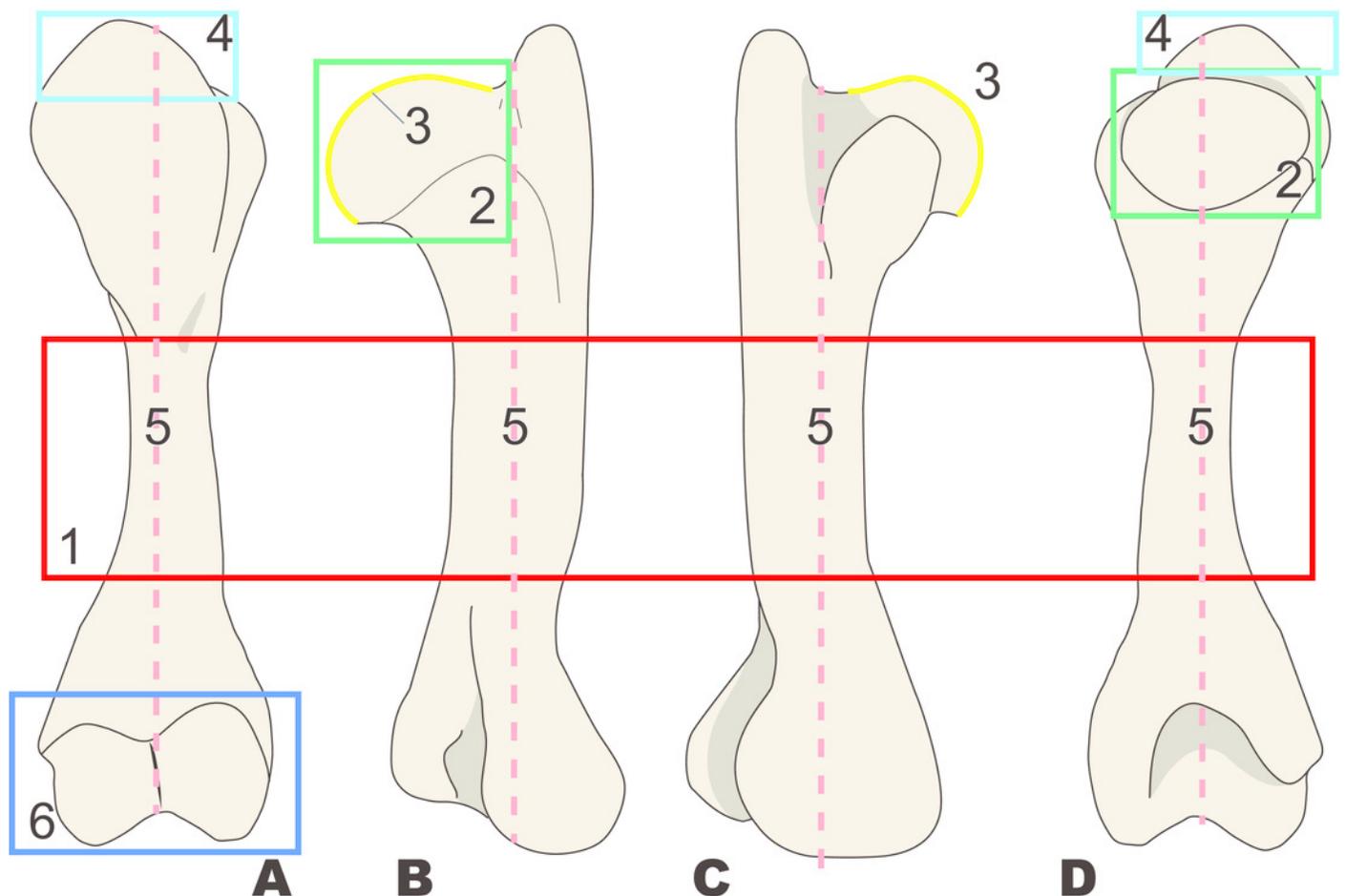
A: cranial side; B: lateral side; C: medial side; D: caudal side.



## Figure 3

Diagnostic features of *Desmostylia* (based on *Paleoparadoxia tabatai*, NMNS PV 5601, and *Paleoparadoxia* sp., UMUT CV31059).

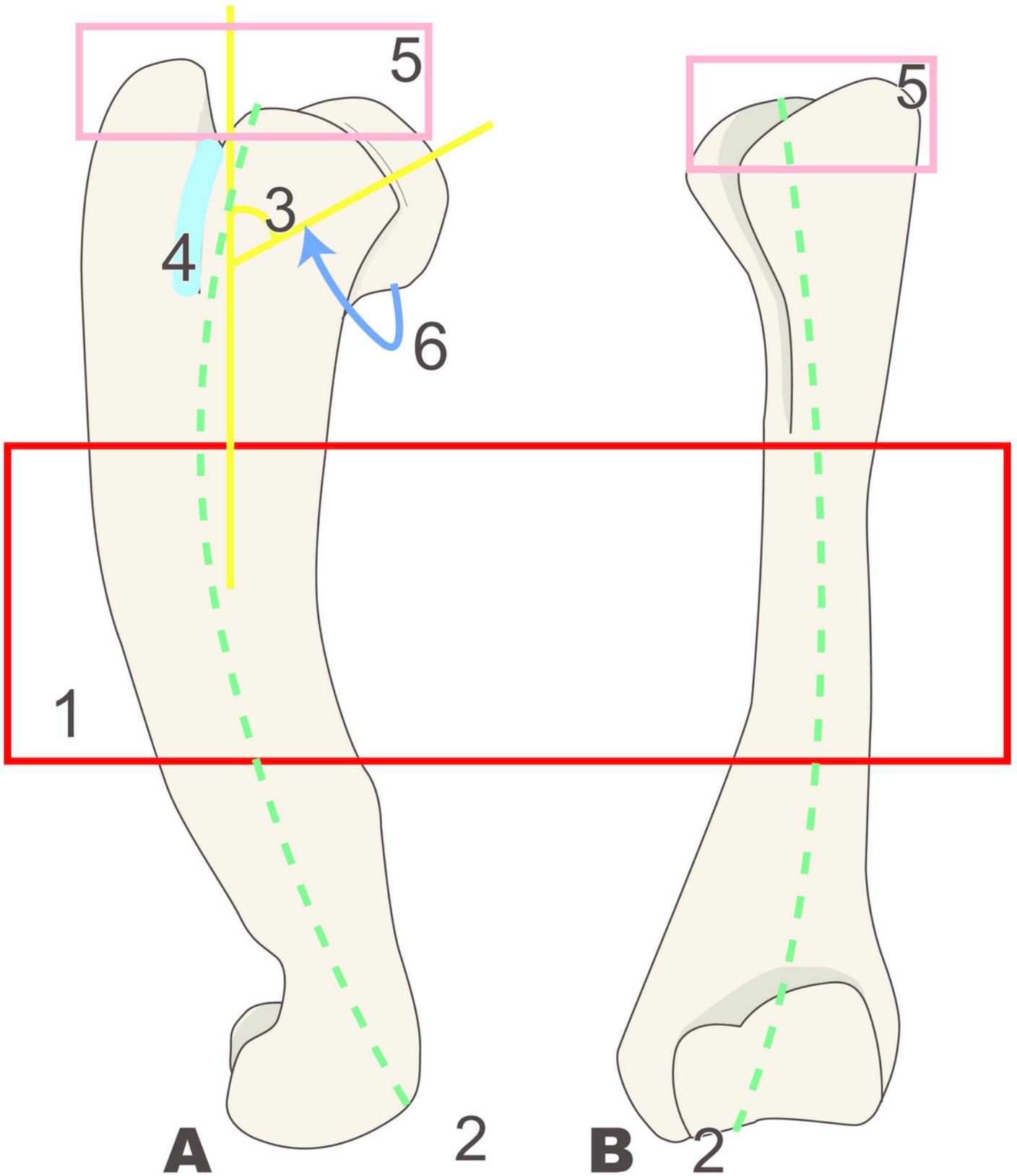
The distal part is illustrated based on NMNS PV 5601, and the proximal part is illustrated based on UMUT CV31059. Numbers are corresponding to the numbers in the text. 1: Humerus diaphysis thicker than that in other relatives (red box); 2: Head of humerus larger than that in other relatives (green box); 3: Articular facet of head of humerus wider than in other relatives (yellow curve line); 4: Greater tubercle larger than other that in relatives (sky blue box); 5: Almost straight humerus diaphysis (salmon pink dotted line); 6: Trochlea larger than that in other relatives (dark blue box). A: cranial side; B: lateral side; C: medial side; D: caudal side.



## Figure 4

Diagnostic features of *Behemotops* (based on Beatty and Cockburn, 2015).

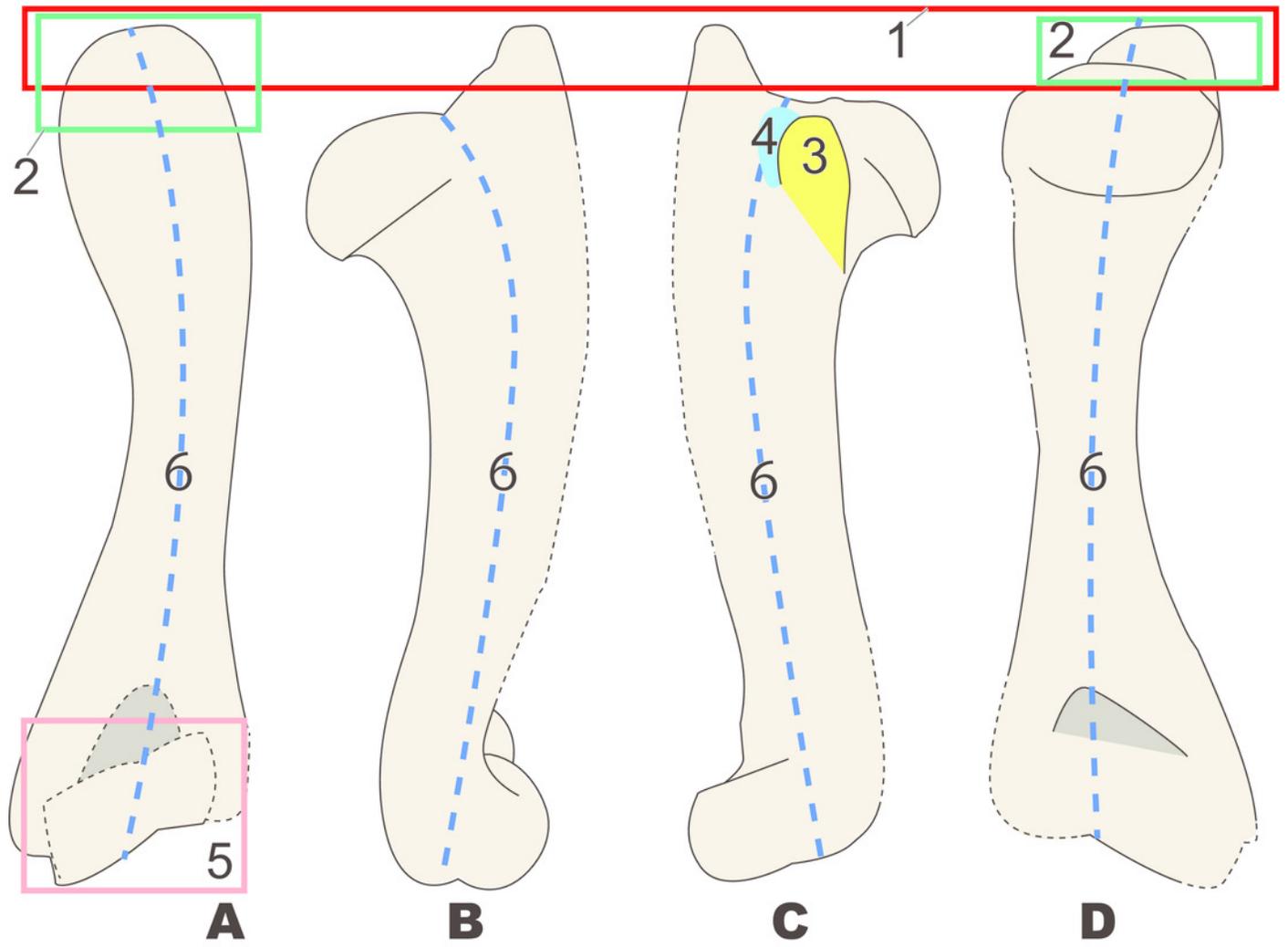
Numbers are corresponding to the numbers in the text. Humeral diaphysis thinner than that in other desmostylians (red box); 2: Diaphysis curved on both mediolateral and caudal sides as in *Trichechus* (green dot line); 3: Head of humerus with larger angle than that in other desmostylians (yellow angle); 4: Shortest intertubercular groove in desmostylians (sky blue area); 5: Greater tubercle extending dorsally higher than head of humerus (lower than that in *Paleoparadoxia*, higher than that in *Desmostylus*, and similar to that in *Ashorooa*) (salmon pink box); 6: Humeral neck shallower than that in other desmostylians (dark blue arrow line). A: lateral side, B: cranial side.



## Figure 5

Diagnostic features of *Archaeoparadoxia* (based on UCMP114285). Numbers are corresponding to the numbers in the text.

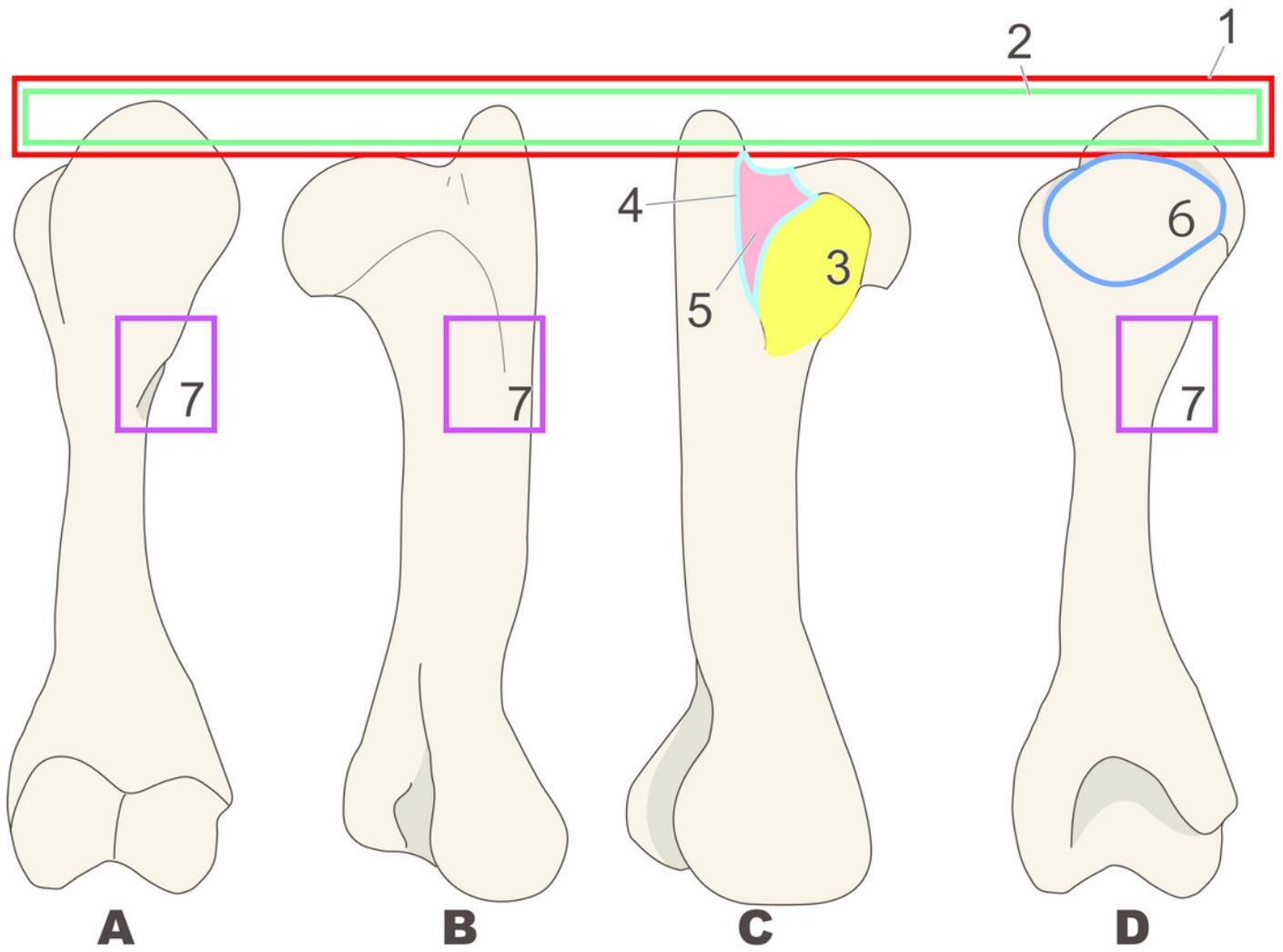
1: Greater tubercle extending toward proximal side above the head of the humerus as in *Paleoparadoxia* (red box); 2: Wider greater tubercle than that in *Desmostylus* and *Behemotops* (green boxes); 3: Lesser tubercle distinct and smaller than that in *Paleoparadoxia* and medially projected, located on medial side like that in *Paleoparadoxia* (yellow area); 4: Intertubercular groove located on medial side and shallower than that in *Neoparadoxia* (sky blue box); 5: Trochlea smaller than that in desmostylids and other paleoparadoxiids, but slightly larger than trochlea of *Behemotops* (dark blue circle); 7: Diaphysis slightly curved mediolaterally and caudally, unlike those of *Paleoparadoxia* and *Desmostylus*, but weaker than those of *Ashoroa* and *Behemotops* (purple boxes). A: cranial side; B: lateral side; C: medial side; D: caudal side.



## Figure 6

Diagnostic features of *Paleoparadoxia* (based on NMNS PV 5601 and UMUT CV31059).

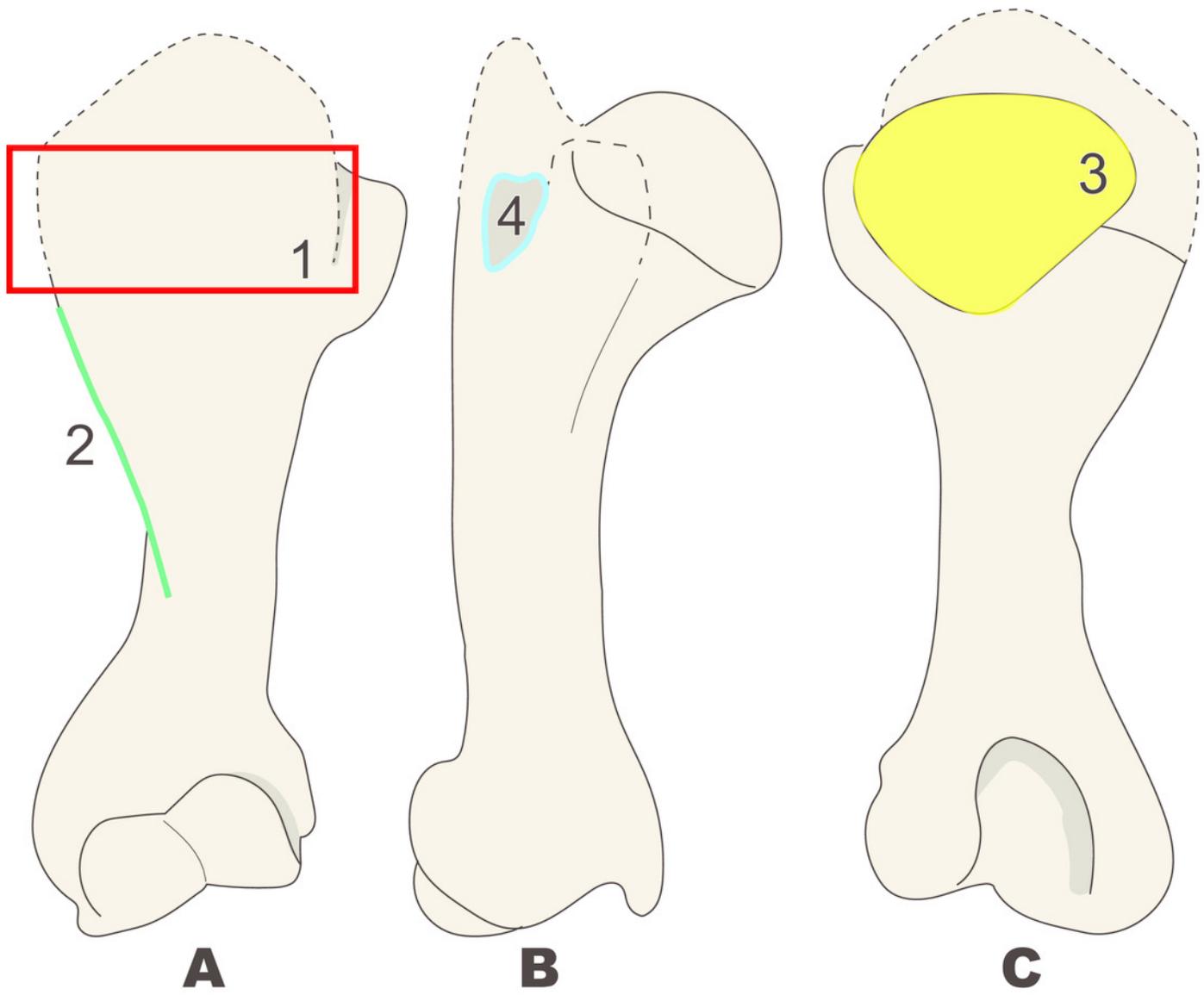
The distal part is illustrated based on NMNS PV 5601, and the proximal part is illustrated based on UMUT CV31059. Numbers are corresponding to the numbers in the text. 1: Greater tubercle extending toward proximal side above the head of humerus (red box); 2: Greater tubercle wider than that in *Desmostylus* and *Behemotops* (green boxes arrow line); 3: Lesser tubercle distinct and medially projected, located on medial side (yellow area); 4: Intertubercular groove located on medial side (sky blue); 5: Shallow and narrow intertubercular groove (salmon pink area); 6: Head of humerus oval-shaped and slightly convex at distal end (dark blue circle); 7: Absence of well-developed deltoid tuberosity (purple boxes). A: cranial side; B: lateral side; C: medial side; D: caudal side.



## Figure 7

Diagnostic features of *Neoparadoxia* (based on LACM 150150 and NMNS PV 20731).

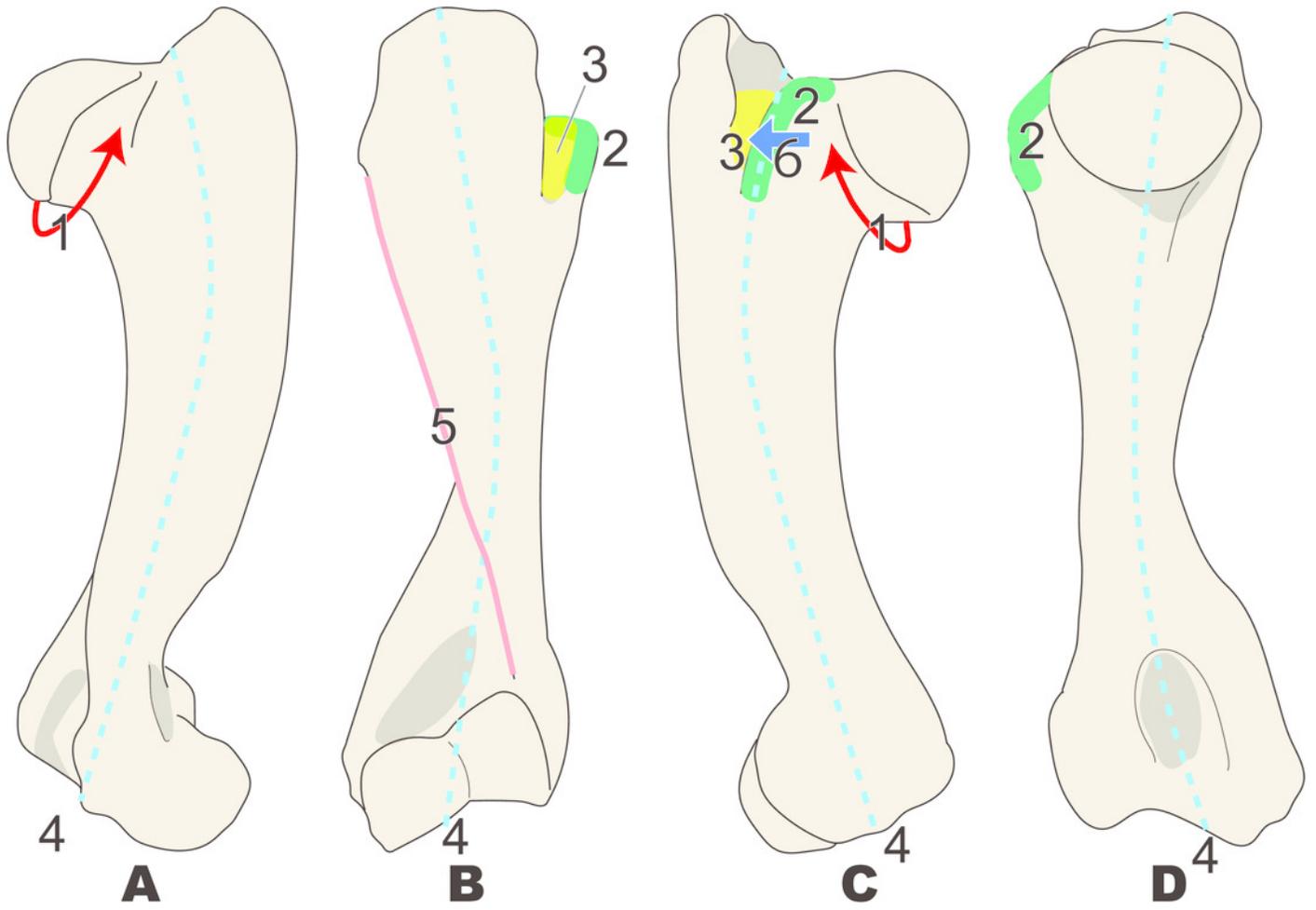
The proximal part is illustrated based on LACM 150150, and the distal part is illustrated based on NMNS PV 20731. Numbers are corresponding to the numbers in the text. 1: Greater tubercle developed as crest, stronger than that in *Paleoparadoxia* (red box); 2: Humeral crest strongly developed and extending distally over half of whole humerus (green line); 3: Head of humerus oval, wider than that in *Paleoparadoxia*, and not convex at distal end unlike in the *Paleoparadoxia* (yellow area); 4: Intertubercular groove wider than that in *Paleoparadoxia*, but narrower than that in *Desmostylus* (sky blue line). A: cranial side; B: lateral side; C: caudal side.



## Figure 8

Diagnostic features of *Ashoroa* (based on AMP21). Numbers are corresponding to the numbers in the text.

Numbers are corresponding to the numbers in the text. 1: Constriction of humeral neck shallower in desmostylians, but deeper than that in *Behemotops* (red arrow line); 2: Lesser tubercle only slightly less developed than that in *Archaeoparadoxia*, *Paleoparadoxia*, and *Neoparadoxia* (green area); 3: Intertubercular groove shorter than that in *Archaeoparadoxia*, *Paleoparadoxia*, *Neoparadoxia*, and *Desmostylus* (yellow area); 4: Diaphysis loosely curved like that in *Behemotops*, but stronger than that in *Archaeoparadoxia* (sky blue dot line); 5: Humeral crest more strongly developed than that in *Paleoparadoxia* and extending distally just above trochlea (salmon pink line); 6: Lesser tubercle located and developed on cranial side (dark blue). A: cranial side; B: lateral side; C: medial side; D: caudal side.



## Figure 9

Diagnostic features of *Desmostylus* (based on UHR 18466, GSJ-F7743, and OME-U-0170).

The proximal sides of the dorsal and ventral views are illustrated based on UHR 18466, the medial side and distal part is illustrated based on UHR 18466 but has been slightly modified based on OME-U-0170 and GSJ-F7743. Numbers are corresponding to the numbers in the text. 1: Intertubercular groove located just behind head of humerus on cranial side (red circle); 2: Shallow and v-shaped intertubercular groove (green area); 3: Lesser tubercle smaller than that in other desmostylians (yellow area); 4: Lesser tubercle not projecting to medial and cranial sides (sky blue arrow line); 5: Crest of lesser tubercle well-developed and extending ventrally (salmon pink area); 6: Greater tubercle and head of humerus almost the same height (= greater tubercle not projecting higher than head of humerus) (dark blue box). A: cranial side; B: caudal side; C: lateral side.

