

How can we reliably identify a taxon based on humeral morphology? – Comparative morphology of desmostylian humeri

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Desmostylia is a clade of marine mammals belonging to either Tethytheria or Perissodactyla. Rich fossil records of Desmostylia were found in the Oligocene to Miocene strata of the Northern Pacific Rim, especially in the northwestern region, which includes the Japanese archipelago. Fossils in many shapes and forms, including whole or partial skeletons, skulls, teeth, and fragmentary bones have been discovered from this region. Despite the prevalent availability of fossil records, detailed taxonomic identification based on fragmentary postcranial materials has been difficult owing to our limited knowledge of the postcranial diagnostic features of many desmostylian taxa. In this study, I propose the utilization of diagnostic characters found in the humerus to identify desmostylian genus. These characters can be used to identify isolated desmostylian humeri at the genus level, contributing to a better understanding of the stratigraphic and geographic distributions of each genus.

1 **How can we reliably identify a taxon based on humeral**
2 **morphology? –comparative morphology of desmostylian humeri–**

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12

13

Abstract

14

15 Desmostyilia is a clade of marine mammals belonging to either Tethytheria or Perissodactyla.

16 Rich fossil records of Desmostyilia were found in the Oligocene to Miocene strata of the

17 Northern Pacific Rim, especially in the northwestern region, which includes the Japanese

18 archipelago. Fossils in many shapes and forms, including whole or partial skeletons, skulls, teeth,

19 and fragmentary bones have been discovered from this region. Despite the prevalent availability

20 of fossil records, detailed taxonomic identification based on fragmentary postcranial materials

21 has been difficult owing to to our limited knowledge of the postcranial diagnostic features of

22 many desmostylian taxa. In this study, I propose the utilization of diagnostic characters found in

23 the humerus to identify desmostylian genus. These characters can be used to identify isolated

24 desmostylian humeri at the genus level, contributing to a better understanding of the stratigraphic

25 and geographic distributions of each genus.

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Introduction

29

30 Desmostylia is a clade of extinct marine mammals (Repenning, 1965; Inuzuka 1984, 2000a, b;
31 Domning, 2002; Gingerich, 2005). At present, this clade is considered to belong to either
32 Tethytheria (Afrotheria; Domning, Ray & McKenna., 1986) or Perissodactyla (Laurasiatheria;
33 Cooper et al., 2014). Their fossil records range from the Eocene/Oligocene boundary (Barnes
34 and Goedert, 2001) to the late Miocene (Barnes, 2013; Barboza et al., 2017). The last record of a
35 definite desmostylian fossil dates from the late Miocene (Barboza et al., 2017). However,
36 desmostylian remains have been found from Pliocene (Kimura, 1966). Many desmostylian
37 fossils, including whole skeletons, skulls, teeth, and bones, were discovered from both the east
38 and west sides of the North Pacific coast (Mitchell and Repenning, 1963; Mitchell, 1963;
39 Shikama, 1966; Chinzei, 1984; Inuzuka, 1984, 2000a; Barnes and Goedert, 2001; Hasegawa,
40 Kimura & Matsumoto., 2006; Matsui and Kawabe, 2015).

41 Many diagnostic features of desmostylian genera and/or species have been proposed
42 based on the morphology of the skull, including the mandible and molar teeth (e.g. Reinhart,
43 1959; Domning, Ray & McKenna., 1986; Inuzuka, 1989, 2000; Beatty, 2009; Chiba et al., 2016;
44 Beatty and Cockburn, 2016; Santos et al., 2016). Inuzuka (2000, 2013), for example, proposed
45 many diagnostic features in the cranial and postcranial morphology for the genera *Desmostylus*
46 and *Paleoparadoxia*. However, some of the proposed diagnostic features are ambiguous. There
47 were no obvious criteria on qualitative traits. In addition, only remains of *Desmostylus* and
48 *Paleoparadoxia* had been reported from the Miocene in Japan when his papers were published.
49 Subsequently, another genus cf. "*Vanderhoofius*" sp. was described by Chiba et al. (2016) based
50 on material from Hokkaido. Santos et al. (2016) provided an updated ontogenetic sequence for

51 *Desmostylus* as well as features diagnostic of advanced age specimens based on mandibular
52 morphology. Additionally, Santos et al. (2015) also synonymized *Vanderhoofius* with
53 *Desmostylus*. Furthermore, Barnes (2013) divided the genus *Paleoparadoxia* into three genera,
54 *Archaeoparadoxia*, *Paleoparadoxia*, and *Neoparadoxia*. His taxonomic scheme has been
55 accepted in many studies on desmostylians (e.g. Beatty and Cockburn, 2015; Matsui and Kawabe,
56 2015; Chiba et al., 2016). Accordingly, the taxonomy of Japanese desmostylian from the
57 Miocene needs to reflect this scheme, necessitating the establishment of diagnostic features for
58 these three new genera. However, diagnostic features of *Paleoparadoxia* that were previously
59 proposed by Inuzuka (2000, 2005 and 2013) have been applied to be specific for *Neoparadoxia*
60 after Barnes (2013) split the genus into three. Therefore, postcranial diagnostic features of
61 *Paleoparadoxia sensu stricto* have not been discussed in past studies except for those by
62 Shikama (1966) and Matsui and Kawabe (2015). On the other hand, there are some localities
63 where multiple desmostylian genera were found from a single bed (e.g., Akan area; Kimura et al.,
64 1998; Sato and Kimura, 2002; Watanabe and Kimura, 2002; Yoshida and Kimura, 2002) or
65 similar horizons (e.g., Mizunami area, Gifu, Japan; Yoshiwara and Iwasaki, 1902; Tokunaga and
66 Iwasaki, 1914; Ijiri and Kamei, 1960; Shikama, 1966; Kamei and Okazaki, 1974; Okazaki, 1977;
67 Kohno, 2000). In such cases, it is particularly important to precisely identify desmostylian genera
68 for recognizing their taxonomic diversity and establish detailed diagnostic characters for each
69 genus. To rectify the current situation, a detailed comparison was made of the morphology of the
70 humerus in the present study. As a result, diagnostic features in the humerus are proposed for
71 each desmostylian genus.

72 **Institutional Abbreviations**

73 AMP: Ashoro Museum of Paleontology, Hokkaido, Japan; GSJ: Geological Survey of Japan,

74 Ibaraki, Japan; LACM: Los Angeles County Museum, Los Angeles, USA; NMNS, NSMT:

75 National Museum of Nature and Science, Tokyo, Japan; OME: Okhotsk Museum Esashi, 1614-1

76 Mikasa-cho, Esashi, Hokkaido, Japan ;RBCM: Royal British Columbia Museum, Victoria,

77 British Columbia, Canada; SMNH: Saitama Museum of Natural History, Saitama, Japan;

78 UCMP: University of California Museum of Paleontology, Berkeley, California, USA; UHR:

79 Hokkaido University Museum, Sapporo, Japan; UMUT: The University Museum, The

80 University of Tokyo, Tokyo, Japan.

81

82

Materials and Methods

83

84 Specimens and references

85 In this study, I analyzed morphologies of desmostylian humeri, as well as those of potential
86 outgroups of Desmostylia, based on direct examinations of specimens or literature reviews. The
87 following specimens and references were used in this study (Fig 1).

88 1. Desmostylia

89 1-1. Desmostylidae

90 1-1-1. *Ashoroa laticosta*

91 AMP 21, nearly complete left and right humeri of *Ashoroa laticosta* from the late Oligocene
92 Morawan Formation, Kawakami Group, Hokkaido, Japan, described by Inuzuka (2000b, 2011).
93 This specimen is the holotype of *A. laticosta*. AMP 21 shows the epiphyseal fusion in the
94 humerus and is considered as an adult (Hayashi et al., 2013; Barnes, 2013).

95 1-1-2. *Desmostylus hesperus*

96 · UHR 18466, a nearly complete left humerus of *D. hesperus* from the Middle Miocene
97 Uchiboro coal-bearing Formation, Sakhalin, Russia. This specimen was the type specimen
98 for *D. mirabilis* (Nagao, 1935), which was redescribed by Inuzuka (1982) and later
99 synonymized with *D. hesperus* by Inuzuka et al. (1994). UHR 18466 shows the epiphyseal
100 fusion in the humerus and is considered as an adult (Hayashi et al., 2013).

101 · GSJ-F7743, nearly complete left and right humeri of *D. hesperus* from the middle Miocene
102 Tachikaraushinai Formation, Japan, described by Inuzuka (2009). GSJ-F7743 does not
103 show neurocentral fusion of vertebrae or epiphyseal fusion in long bones and is considered

104 as a juvenile (Hayashi et al., 2013).
105 OME-U-0170, nearly complete but proximal end was lacked, is the right humerus of *D.*
106 *hesperus* from the middle Miocene Tachikaraushinai Formation, Japan. This specimen was
107 described by Inuzuka et al. (2016). OME-U-0170 shows the epiphyseal fusion in the
108 humerus and is considered as an adult.

109 1-1-3. *Desmostylus* sp.

110 *Desmostylus* sp., distal part of the humerys of *Desmostylus* sp. from the Middle Miocene
111 Chikubetsu Formation, Japan, housed in Obira City Historical Museum and reported by Nakaya,
112 Watabe & Akamatsu (1992). This specimen shows epiphyseal fusions in the humerus and is
113 considered as an adult.

114 1-2. Paleoparadoxiinae

115 1-2-1. *Archaeoparadoxia weltoni*

116 UCMP114285, incomplete and fragmentary the right and left humeri of *Archaeoparadoxia*
117 *weltoni* (Clark, 1991) from the late Oligocene or early Miocene Skooner Gulch Formation,
118 California, USA. UCMP114285 has M3 with occlusal surface and is considered as an adult.

119 1-2-2. *Paleoparadoxia tabatai*

120 NMNS PV-5601, an incomplete left humerus of *Paleoparadoxia tabatai* (Tokunaga, 1939) from
121 the early Miocene Mizunami Group, Gifu, Japan, designated as the neotype of this species by
122 Shikama (1966). NMNS PV-5601 shows epiphyseal fusions in the humerus and is considered as
123 an adult (Hayashi et al., 2013; Barnes, 2013).

124 1-2-3. *Paleoparadoxia* sp.

125 SMNH VeF-61, a nearly complete left humerus of *Paleoparadoxia* sp. from the lower
126 Miocene in the Chichibu Basin, Saitama, Japan, described by Saegusa (2002). SMNH VeF-

127 61 shows epiphyseal fusions in the humerus and is considered as an adult.

128 · UMUT CV31059, a proximal part of the right humerus of *Paleoparadoxia* sp. from the
129 early Miocene Sankebetsu Formation, Hokkaido, Japan, described by Matsui and Kawabe
130 (2015). UMUT CV31059 shows epiphyseal fusions in the humerus and is considered as an
131 adult.

132 · AMP AK1002, a right humerus of *Paleoparadoxia* sp. from the middle Miocene Tonokita
133 Formation, Hokkaido, Japan. This specimen was used by Hayashi et al. (2013). AMP
134 AK1002 shows epiphyseal fusions in the humerus and is considered as an adult (Hayashi et
135 al., 2013).

136 1-2-4. *Neoparadoxia cecilialina*

137 LACM 150150, nearly complete the right and left humeri from the lower upper Miocene
138 Monterey Formation in California, USA. Epiphyses in humeri of LACM 150150 are not fused
139 and the specimen is thus considered as a juvenile (Barnes, 2013).

140 1-2-5. *Neoparadoxia repeninngi*

141 NMNS PV 20731, distal end of left humerus from the middle Miocene Ladera Formation in
142 California, USA. Epiphyses of whole skeleton were fused and the specimen is considered as an
143 adult.

144 1-3. family indeterminate

145 1-3-1. *Behemotops cf. proteus* (Beatty and Cockburn, 2015)

146 RBCM.EH2007.008.0001, a nearly complete left humerus from the late Oligocene of Vancouver
147 Island, British Columbia, Canada, reported by Beatty and Cockburn (2015).
148 RBCM.EH2007.008.0001 shows epiphyseal fusions in the humerus and is considered as an adult.

149

- 150 2. Out groups
- 151 2-2. Tethytheria
- 152 2-2-1. Sirenia
- 153 2-2-1-1. Halithriinae gen. sp. indet.
- 154 NMNS PV-20171, a left humerus of Halithriinae from the late Miocene Aoso Formation,
- 155 Miyagi, Japan. NMNS PV-20171 shows epiphyseal fusions in the humerus and is considered as
- 156 an adult.
- 157 2-2-1-2. *Hydrodamalis cuestae*
- 158 NMNS PV-21914, a cast of the right humerus of *Hydrodamalis cuestae* (SDSNH 35293;
- 159 Domning, 1978) from the early Pleistocene San Diego Formation (Member 2), California, USA.
- 160 NMNS PV-21914 shows epiphyseal fusions in the humerus and is considered as an adult.
- 161 2-2-1-3. *Dugong dugon*
- 162 NSMT M-24886, a right humerus. NSMT M-24886 shows epiphyseal fusions in the humerus
- 163 and is considered as an adult.
- 164 2-2-1-4. *Trichechus manatus lastralis*
- 165 NSMT M-35016, a left humerus from USA. NSMT M-35016 shows epiphyseal fusions in the
- 166 humerus and is considered as an adult.
- 167
- 168 2-3. Perissodactyla
- 169 2-3-1. Equidae (Harmanson and MacFadden, 1992; Kato and Yamauchi, 2003)
- 170 *Mesohippus*, *Merychippus*, *Hypohippus*, *Dinohippus* and *Equus* spp. illustrated in Harmanson and
- 171 MacFadden (1992) and Kato and Yamauchi (2003). All specimens are adults.
- 172 2-3-2. Taipiridae (Harmanson and MacFadden, 1992)

173 *Tapirus terrestris*, illustrated in Harmanson and MacFadden (1992). This is an adult specimen.

174 2-3-3. Rhinocerotidae (Harmanson and MacFadden, 1992)

175 *Diceros bicornis*, illustrated in Harmanson and MacFadden (1992). This is an adult specimen.

176 The anatomical terminology follows Kato and Yamauchi (1995). Terminologies of
177 humorous are illustrated in Fig 2.

178

179

Results

180

181 Comparisons of humeral morphology between desmostylians and their outgroups

182

183 In general, the desmostylian humerus has a wide, oval, and large articular surface head of
184 humerus, as well as a large trochlea. The diaphysis of the humerus is straighter than those in
185 Dugongidae and Trichechidae (Sirenia). It is also larger than the one in Dugongidae. The
186 intertubercular groove is shallower and narrower in Desmostyilia than in Perissodactyla. Large
187 Perissodactyla, Equidae (larger species than *Hypohippus*) and Rhinocerotidae (*Diceros bicornis*)
188 have two intertubercular grooves and are thus very distinct from that in desmostylians. In small
189 Perissodactyla (Equidae smaller than *Merychippu* and Tapiridae), the greater tubercle is more
190 developed and extended to cranial side than desmostylians; this is the feature that clearly
191 distinguishes this taxon from desmostylians. The head of humerus of desmostylians are oval-
192 shaped in contrast to the semi-spherical ones in Trichechidae and *Hydrodamalis*. The lesser
193 tubercle is developed in desmostylians, but the one in Trichechidae is fused with the greater
194 tubercle. The greater tubercle is strongly developed and extends to the lateral side of the humerus
195 in Dugongidae, whereas the one in desmostylians is not strongly developed on the lateral side.
196 Additionally, dugongids have a well-developed stylate deltoid tuberosity, whereas desmostylians
197 do not have an apparent deltoid tuberosity as do Dugongidae or Perissodactyla.

198

199 2. *Behemotops*

200 The diaphysis in *Behemotops* is thinner than those in other desmostylians. The greater tubercle
201 extends higher than the head of humerus in *Paleoparadoxia* and *Ashoroa*. The height of this

202 tubercle in *Behemotops* is almost the same as the one in *Ashoroa*, but smaller than the one in
203 *Paleoparadoxia*. The curvature of the diaphysis is the greatest among desmostylians, curved
204 along both the mediolateral side (as in *Ashoroa*) and the caudal side (as in *Trichechus* and
205 *Hydrodamalis*). The angle of the head of humerus is greater than those in *Ashoroa*, *Desmostylus*,
206 *Paleoparadoxia* and is almost the same as that in *Neoparadoxia*. The intertubercular groove and
207 lesser tubercle are not well preserved in the observed specimens of *Behemotops*. The line of
208 attachment for the triceps muscle is not clear, unlike in *Paleoparadoxia* and *Neoparadoxia*, and
209 is rather similar to the one in *Dugong dugon*. The humeral neck of *Behemotops* is shallower than
210 that of other desmostylians. The humeral crest is as weak as that in *Paleoparadoxia* but longer
211 than those in *Paleoparadoxia* and *Neoparadoxia*. However, it is slightly shorter than those in
212 *Ashoroa* and *Desmostylus*.

213

214 3. *Archaeoparadoxia*

215 The preservation condition of *Archaeoparadoxia* humeri is poor, so parts available for
216 comparison are limited. The diaphysis of the right and the left humeri are not preserved
217 completely and thus incomparable. The humeral morphology of *Archaeoparadoxia* is similar to
218 that of *Ashoroa* and *Paleoparadoxia* in general. The diaphysis of the right and the left humeri is
219 curved less craniomedially than *Ashoroa* and *Behemotops*, different from *Neoparadoxia*,
220 *Paleoparadoxia*, and *Desmostylus*. The head of humerus is oval-shaped and slightly convex at
221 the distal end, similar to that in *Paleoparadoxia*. The lesser tubercle is distinct and medially
222 projected, located on the medial side like *Paleoparadoxia* and different from that in *Ashoroa*.
223 The greater tubercle is wider than that of *Behemotops* but more slender than that of
224 *Neoparadoxia*. The lateral epicondyle is more developed and medially projected than that in

225 *Ashoroo*. The trochlea is incomplete, smaller than that of paleoparadoxiids and desmostylids, and
226 obliquely tilted. However, it is unknown whether the original characters are preserved in this
227 fossil specimen.

228

229 4. *Neoparadoxia*

230 The lesser and greater tubercle epiphyses are not preserved in *N. ceciliolina* and *N. repenningi*,
231 but the direction of development and approximate size are comparable. The humeral morphology
232 of *Neoparadoxia* is similar to that of *Paleoparadoxia* in general. The humerus of *Neoparadoxia*
233 has a thick shaft, similar to the one found in *Paleoparadoxia*. The humeral crest is longer,
234 extends more distally, and is more strongly developed than that in *Paleoparadoxia*. The head of
235 humerus is oval in shape and is horizontally longer than those in *Paleoparadoxia*, *Ashoroo*, and
236 *Desmostylus*.

237

238 5. *Ashoroo*

239 In general, the humeral morphology of *Ashoroo* is similar to that of *Paleoparadoxia* and
240 *Archaeoparadoxia*. The lesser tubercle does not project to the medial side and is developed on
241 the cranial side. The lesser tubercle is developed to cover the intertubercular groove and is
242 morphologically similar to those in small-sized equids (e.g., *Meshippus* and *Merychippus*). The
243 humeral crest of *Ashoroo* is prominent and is developed higher and longer than in
244 *Paleoparadoxia* and *Neoparadoxia*. It is also more robust than that in *Paleoparadoxia* and
245 *Behemotops*.

246

247 6. *Desmostylus*

248 The humeral morphology of *Desmostylus* is very different from that in other desmostylians,
249 especially its intertubercular groove. The intertubercular groove of *Desmostylus* is located behind
250 the head of humerus. It is also wider and more shallow than the ones found in other desmostylians.
251 In addition, the lesser tubercle is not knobby, unlike those in other desmostylians. The humeral
252 crest extends distally more than the proximal half of the diaphysis and thus different from those
253 in *Paleoparadoxia* and *Neoparadoxia*. However, it appears to be similar to those in *Behemotops*
254 and *Ashoroa*. The development of the humeral crest is greater than in *Paleoparadoxia* and
255 *Behemotops*. The height of the greater tubercle is the same as that of the head of humerus,
256 differentiating it from those in *Paleoparadoxia*, *Ashoroa*, and *Behemotops*. The constriction of
257 the diaphysis is less developed than that in *Ashoroa*, *Behemotops*, *Neoparadoxia*, and
258 *Paleoparadoxia*.

259

260 **Diagnostic characters of desmostylian humeri**

261 Based on the description and comparison presented above, the following combinations of
262 diagnostic characters are proposed for each taxon.

263

264 1. Desmostylian (Figure 3)

- 265 1. Humerus diaphysis thicker than that in other relatives
- 266 2. Head of humerus larger than that in other relatives
- 267 3. Articular facet of head of humerus wider than in other relatives
- 268 4. Greater tubercle larger than other that in relatives
- 269 5. Almost straight humerus diaphysis

270 6. Trochlea larger than that in other relatives

271

272 2. *Behemotops* (Figure 4)

273 1. Humeral diaphysis thinner than that in other desmostylians

274 2. Diaphysis curved on both mediolateral and caudal sides as in *Trichechus*

275 3. Head of humerus with larger angle than that in other desmostylians

276 4. Shortest intertubercular groove in desmostylians

277 5. Greater tubercle extending dorsally higher than head of humerus (lower than that in

278 *Paleoparadoxia*, higher than that in *Desmostylus*, and similar to that in *Ashoroa*)

279 6. Humeral neck shallower than that in other desmostylians

280

281 3. *Archaeoparadoxia* (Figure 5)

282 1. Greater tubercle extending toward proximal side above the head of humerus as in

283 *Paleoparadoxia*

284 2. Wider greater tubercle than that in *Desmostylus* and *Behemotops*

285 3. Lesser tubercle distinct and smaller than that in *Paleoparadoxia* and medially projected,

286 located on medial side like that in *Paleoparadoxia*

287 4. Intertubercular groove located on medial side and shallower than that in *Neoparadoxia*

288 5. Trochlea smaller than that in desmostylids and other paleoparadoxiids, but slightly larger

289 than trochlea of *Behemotops*

290 6. Diaphysis slightly curved mediolaterally and caudally, unlike those of *Paleoparadoxia* and

291 *Desmostylus*, but weaker than those of *Ashoroa* and *Behemotops*

292

293 4. *Paleoparadoxia* (Figure 6; proposed by Matsui and Kawabe, 2015)

294 1. Greater tubercle extending toward proximal side above the head of humerus

295 2. Greater tubercle wider than that in *Desmostylus* and *Behemotops*

296 3. Lesser tubercle distinct and medially projected, located on medial side

297 4. Intertubercular groove located on medial side

298 5. Shallow and narrow intertubercular groove

299 6. Head of humerus oval-shaped and slightly convex at distal end

300 7. Absence of well-developed deltoid tuberosity

301

302 5. *Neoparadoxia* (Figure 7)

303 1. Greater tubercle developed as crest, stronger than that in *Paleoparadoxia*

304 2. Humeral crest strongly developed and extending distally over half of whole humerus

305 3. Head of humerus oval, wider than that in *Paleoparadoxia*, and not convex at distal end

306 unlike in the *Paleoparadoxia*

307 4. Intertubercular groove wider than that in *Paleoparadoxia*, but narrower than that in

308 *Desmostylus*

309

310 6. *Ashoroa* (Figure 8)

311 1. Constriction of humeral neck shallower in desmostylians, but deeper than that in

312 *Behemotops*

313 2. Lesser tubercle only slightly less developed than that in *Archaeoparadoxia*, *Paleoparadoxia*,

314 and *Neoparadoxia*

- 315 3. Intertubercular groove shorter than that in *Archaeoparadoxia*, *Paleoparadoxia*,
316 *Neoparadoxia*, and *Desmostylus*
- 317 4. Diaphysis loosely curved like that in *Behemotops*, but stronger than that in
318 *Archaeoparadoxia*
- 319 5. Humeral crest more strongly developed than that in *Paleoparadoxia* and extending distally
320 just above trochlea
- 321 6. Lesser tubercle located and developed on cranial side
322
- 323 7. *Desmostylus* (Figure 9)
- 324 1. Intertubercular groove located just behind head of humerus on cranial side
- 325 2. Shallow and v-shaped intertubercular groove
- 326 3. Lesser tubercle smaller than that in other desmostylians
- 327 4. Lesser tubercle not projecting to medial and cranial sides
- 328 5. Crest of lesser tubercle well-developed and extending ventrally
- 329 6. Greater tubercle and head of humerus almost the same height (= greater tubercle not
330 projecting higher than head of humerus)

331

332

Discussions

333

334

335 Humeral characteristics of desmostylians differ in each genus. These characters are thus
336 sufficient for genus-level identification. The morphologies of the *Desmostylus* humerus are quite
337 different from those in other desmostylians. The extension of the greater tubercle is shorter than
338 that in other desmostylians. Additionally, the position of intertubercular groove is the right
339 behind the head of humerus and very shallow compared to that in other desmostylians. These
340 differences approximately correspond to the differences between the humeri of manatees and
341 dugongs. Dugongs have a greater tubercle that is higher than the head of humerus and do not
342 have an intertubercular groove that is opened the right at the back of the head of humerus, unlike
343 manatees. The humeri of manatees show some morphological variability. Florida manatees
344 (*Trichechus manatus*) exhibit variation in the intertubercular groove. Nineteen percent of the
345 Florida manatees and all Amazon manatees (*Trichechus inunguis*) have an intertubercular groove,
346 while it is absent from in other manatees (Domning and Hayak, 1986). The intertubercular
347 grooves of Amazon manatees are more distinct than those of Florida manatees (Domning and
348 Hayek, 1986). These differences result from distinct biceps brachii muscles in Amazon manatees
349 (Domning and Hayek, 1986). In sirenians, the hind limbs are virtually absent and locomotion is
350 accomplished by vertical movement of the tail (Berta et al., 2016). However, their locomotory
351 use of flippers is different. Dugongs swim in the sea and use their forelimbs only for cruising
352 (Berta et al., 2016), but manatees use their forelimb to “walk” on the sea floor (Hartman, 1979).
353 In Desmostylia, Inuzuka (2013) indicated that Paleoparadoxiinae has more movable coxae than
354 do *Desmostylus*. However, differences in hind limbs locomotion among desmostylians have not
355 been reported. Therefore, it has been suggested that the hind limbs of desmostylians have similar

356 movements (Inuzuka, 2005). Based on fossil evidence, the humeral characteristics between
357 *Desmostylus* and other desmostylian would likely lead to differences in swimming behavior,
358 similar to what we observe in dugongs and manatees.

359

360 **Remaining issues**

361 The holotype of *Desmostylus hesperus*, the type species of the genus, includes only a
362 fragmentary molar and also does not include a humerus. Therefore, it is impossible to distinguish
363 the proposed species of *Desmostylus* based solely on the observed diagnostic features of the
364 holotype specimens. Accordingly, re-designating a specimen with skulls and forelimbs bearing
365 sufficient diagnostic characters as neotypes for species of *D. hesperus* should be considered. A
366 similar issue has been discussed for *Coelophysis bauri*, a theropod dinosaur (Hunt and Lucas,
367 1991; Colbert et al. 1992).

368 In addition, there are only six desmostylian genera, for which humeri were found in
369 association with molars or skulls that allow us to realize taxonomic identification at the genus or
370 species level. In other words, no postcranial skeletons are known for many desmostylian genera
371 or species. Accordingly, when new specimens are found in the future, the diagnostic characters
372 proposed here would need to be evaluated and revised to reflect the new information.

374

Conclusion

375

376 Here I present the newly established diagnostic features of desmostylian humeri. There were not
377 many differences observed between humeral morphologies of different species of desmostylians,
378 except for *Desmostylus*. However, these minor differences are enough to distinguish different
379 desmostylian genera. This study will be important for taxonomic corrections and detailed
380 classifications. Higher resolution and accurate classification than that has been previously
381 accomplished, even for partial postcranial skeletons, would be able to achieve if new postcranial
382 elements are identified that have highly diagnostic features. This will provide useful information
383 for the paleogeography and distribution range of Desmostylia.

384

385

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Figure 1

Composite cladogram showing the phylogenetic relationship among taxa examined in this study.

A: Cladogram of Desmostyilia with Sirenia (Tethyteria) as an outgroup. B: Cladogram of Perissodactyla as an outgroup. Compiled from numerous sources, including Velez-Juarbe et al. (2012), Macfadden (1994) and Beatty (2009).

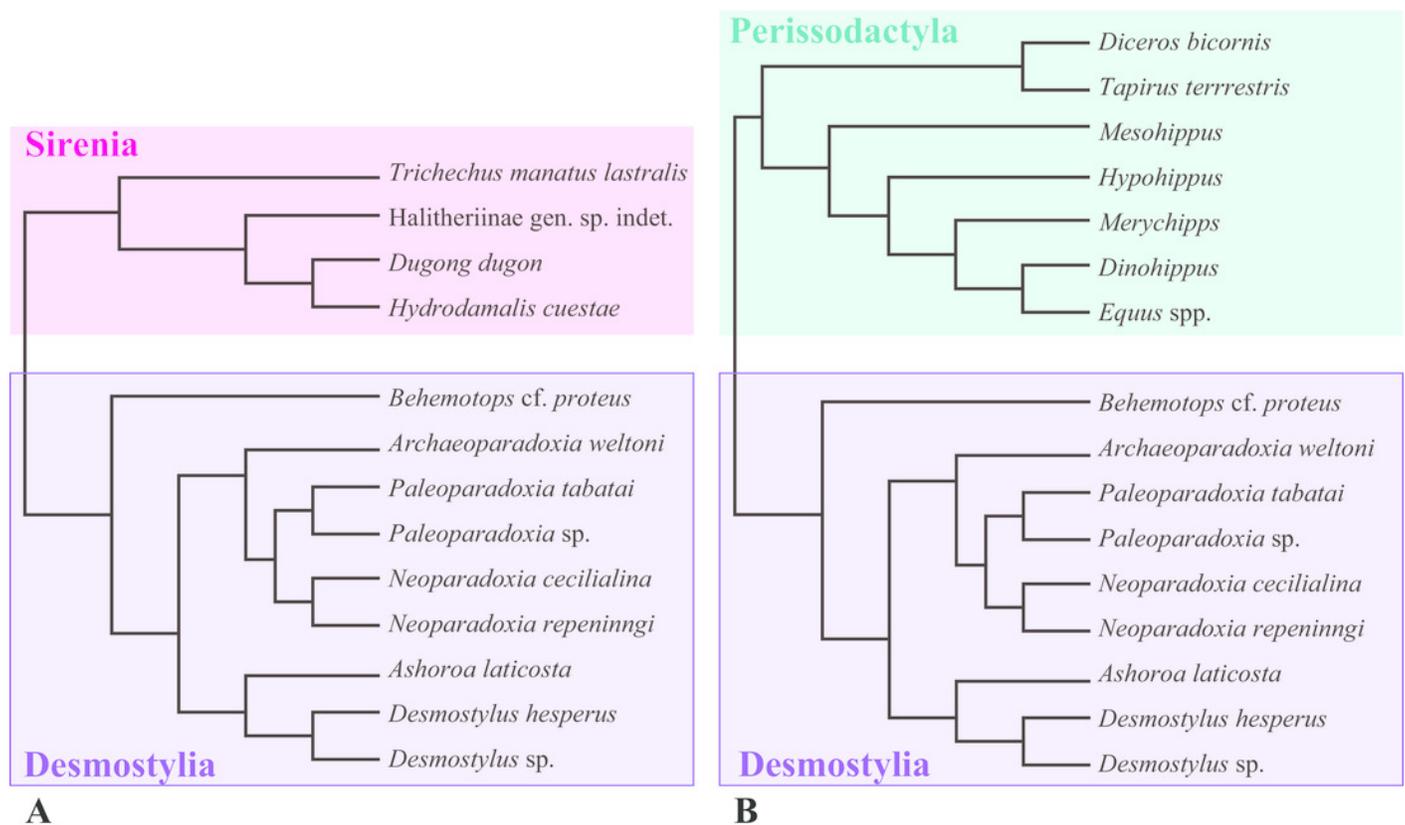


Figure 2

Nomenclatures of humerus (based on *Paleoparadoxia tabatai*, NMNS PV 5601, and *Paleoparadoxia* sp., UMUT CV31059).

A: cranial side; B: lateral side; C: medial side; D: caudal side.

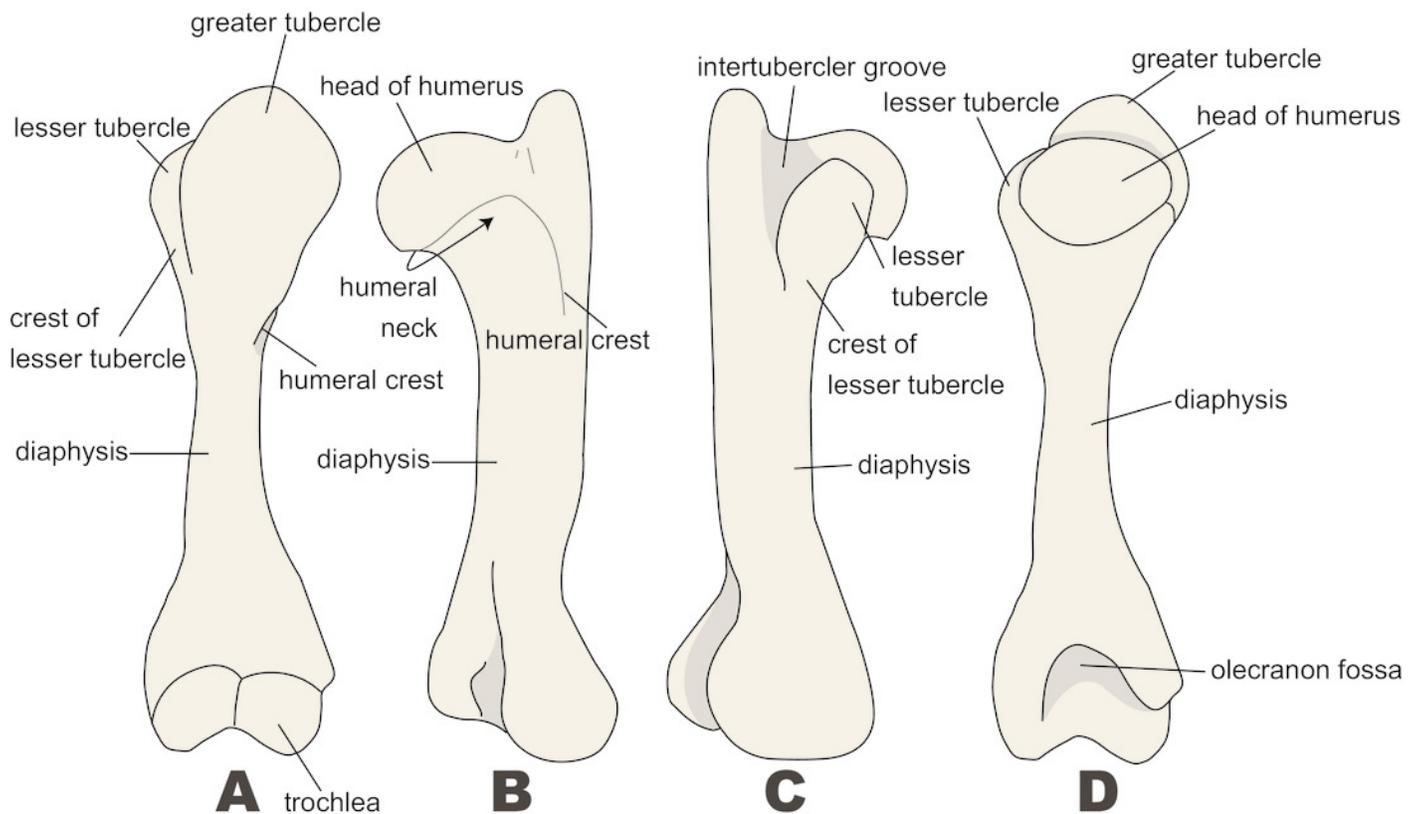


Figure 3

Diagnostic features of *Desmostylia* (based on *Paleoparadoxia tabatai*, NMNS PV 5601, and *Paleoparadoxia* sp., UMUT CV31059).

The distal part is illustrated based on NMNS PV 5601, and the proximal part is illustrated based on UMUT CV31059. Numbers are corresponding to the numbers in the text. 1: Humerus diaphysis thicker than that in other relatives (red box); 2: Head of humerus larger than that in other relatives (green box); 3: Articular facet of head of humerus wider than in other relatives (yellow curve line); 4: Greater tubercle larger than other that in relatives (sky blue box); 5: Almost straight humerus diaphysis (salmon pink dotted line); 6: Trochlea larger than that in other relatives (dark blue box). A: cranial side; B: lateral side; C: medial side; D: caudal side.

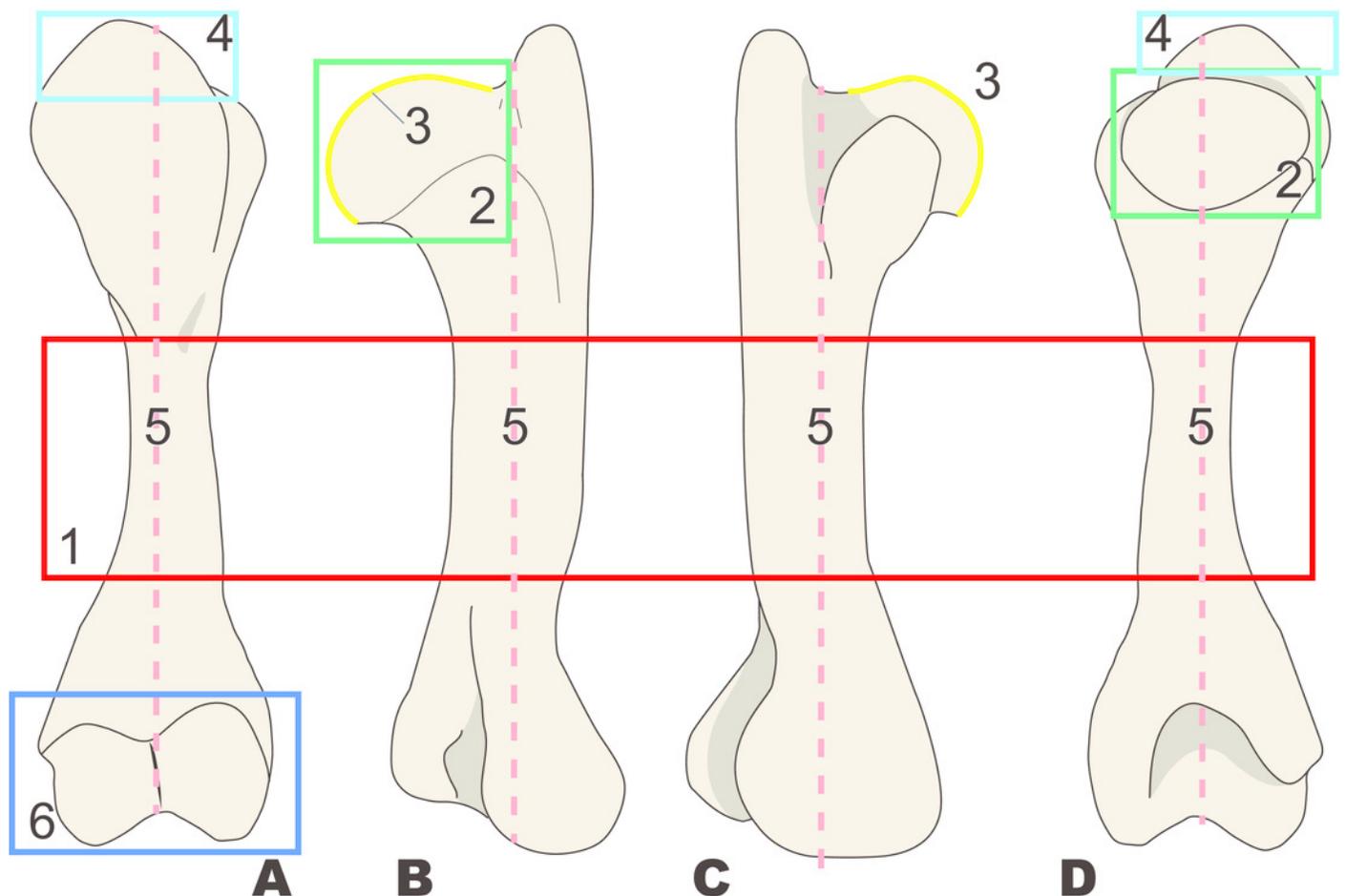


Figure 4

Diagnostic features of *Behemotops* (based on Beatty and Cockburn, 2015).

Numbers are corresponding to the numbers in the text. Humeral diaphysis thinner than that in other desmostylians (red box); 2: Diaphysis curved on both mediolateral and caudal sides as in *Trichechus* (green dot line); 3: Head of humerus with larger angle than that in other desmostylians (yellow angle); 4: Shortest intertubercular groove in desmostylians (sky blue area); 5: Greater tubercle extending dorsally higher than head of humerus (lower than that in *Paleoparadoxia*, higher than that in *Desmostylus*, and similar to that in *Ashoroa*) (salmon pink box); 6: Humeral neck shallower than that in other desmostylians (dark blue arrow line). A: lateral side, B: cranial side.

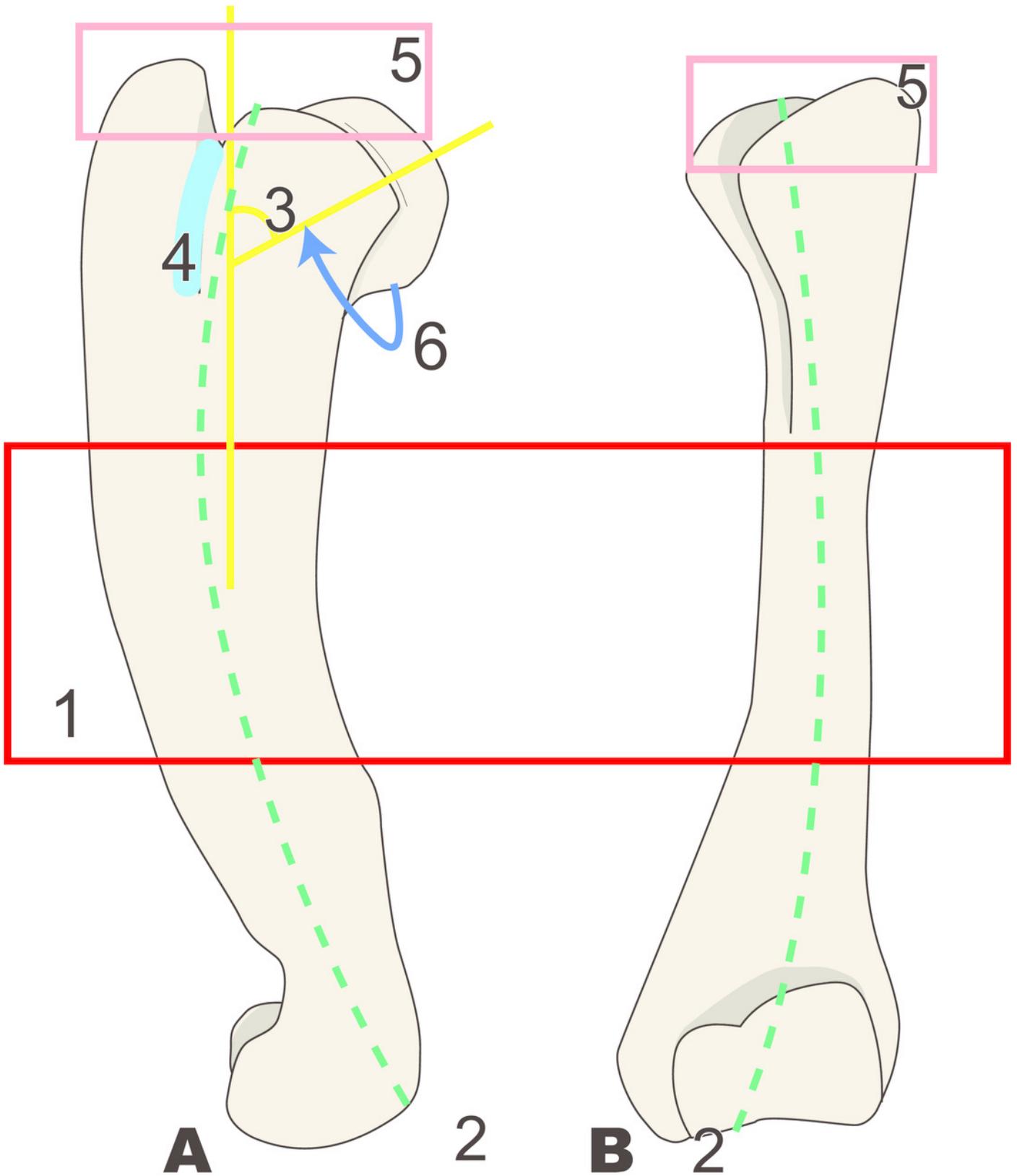


Figure 5

Diagnostic features of *Archaeoparadoxia* (based on UCMP114285). Numbers are corresponding to the numbers in the text.

1: Greater tubercle extending toward proximal side above the head of humerus as in *Paleoparadoxia* (red box); 2: Wider greater tubercle than that in *Desmostylus* and *Behemotops* (green boxes); 3: Lesser tubercle distinct and smaller than that in *Paleoparadoxia* and medially projected, located on medial side like that in *Paleoparadoxia* (yellow area); 4: Intertubercular groove located on medial side and shallower than that in *Neoparadoxia* (sky blue box); 5: Trochlea smaller than that in desmostylids and other paleoparadoxiids, but slightly larger than trochlea of *Behemotops* (dark blue circle); 7: Diaphysis slightly curved mediolaterally and caudally, unlike those of *Paleoparadoxia* and *Desmostylus*, but weaker than those of *Ashoroa* and *Behemotops* (purple boxes). A: cranial side; B: lateral side; C: medial side; D: caudal side.

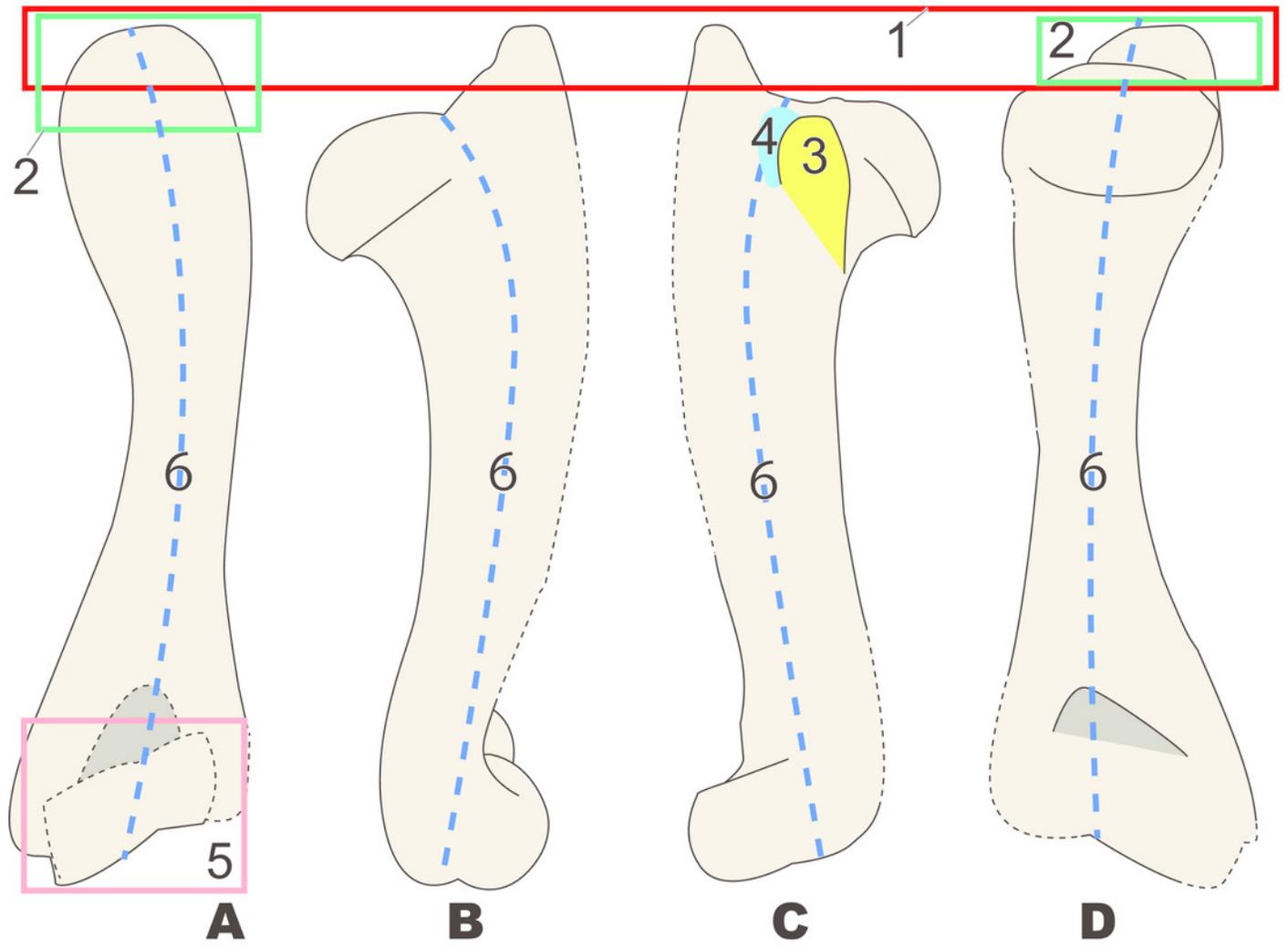


Figure 6

Diagnostic features of *Paleoparadoxia* (based on NMNS PV 5601 and UMUT CV31059).

The distal part is illustrated based on NMNS PV 5601, and the proximal part is illustrated based on UMUT CV31059. Numbers are corresponding to the numbers in the text. 1: Greater tubercle extending toward proximal side above the head of humerus (red box); 2: Greater tubercle wider than that in *Desmostylus* and *Behemotops* (green boxes arrow line); 3: Lesser tubercle distinct and medially projected, located on medial side (yellow area); 4: Intertubercular groove located on medial side (sky blue); 5: Shallow and narrow intertubercular groove (salmon pink area); 6: Head of humerus oval-shaped and slightly convex at distal end (dark blue circle); 7: Absence of well-developed deltoid tuberosity (purple boxes). A: cranial side; B: lateral side; C: medial side; D: caudal side.

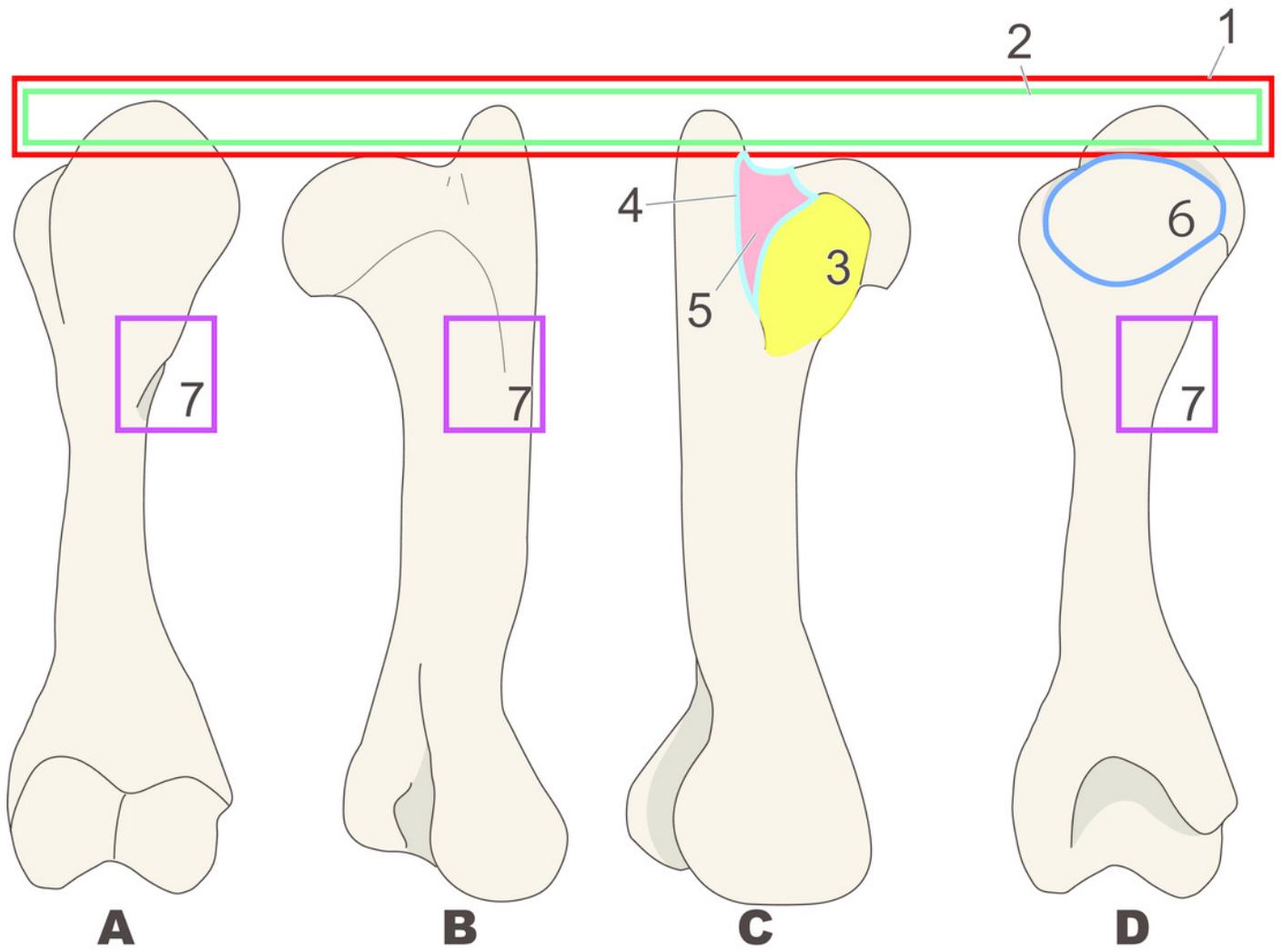


Figure 7

Diagnostic features of *Neoparadoxia* (based on LACM 150150 and NMNS PV 20731).

The proximal part is illustrated based on LACM 150150, and the distal part is illustrated based on NMNS PV 20731. Numbers are corresponding to the numbers in the text. 1: Greater tubercle developed as crest, stronger than that in *Paleoparadoxia* (red box); 2: Humeral crest strongly developed and extending distally over half of whole humerus (green line); 3: Head of humerus oval, wider than that in *Paleoparadoxia*, and not convex at distal end unlike in the *Paleoparadoxia* (yellow area); 4: Intertubercular groove wider than that in *Paleoparadoxia*, but narrower than that in *Desmostylus* (sky blue line). A: cranial side; B: lateral side; C: caudal side.

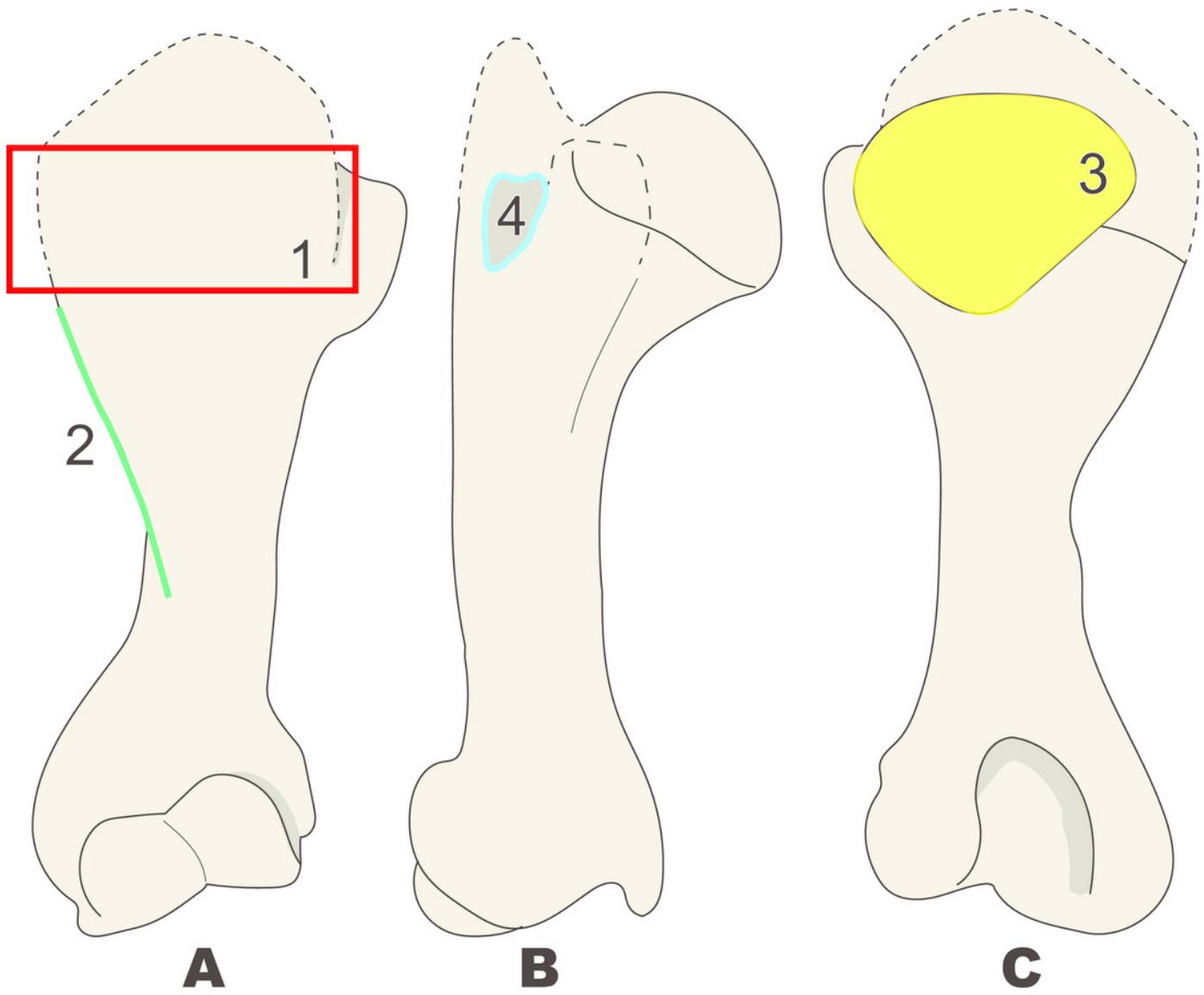


Figure 8

Diagnostic features of *Ashoroa* (based on AMP21). Numbers are corresponding to the numbers in the text.

Numbers are corresponding to the numbers in the text. 1: Constriction of humeral neck shallower in desmostylians, but deeper than that in *Behemotops* (red arrow line); 2: Lesser tubercle only slightly less developed than that in *Archaeoparadoxia*, *Paleoparadoxia*, and *Neoparadoxia* (green area); 3: Intertubercular groove shorter than that in *Archaeoparadoxia*, *Paleoparadoxia*, *Neoparadoxia*, and *Desmostylus* (yellow area); 4: Diaphysis loosely curved like that in *Behemotops*, but stronger than that in *Archaeoparadoxia* (sky blue dot line); 5: Humeral crest more strongly developed than that in *Paleoparadoxia* and extending distally just above trochlea (salmon pink line); 6: Lesser tubercle located and developed on cranial side (dark blue). A: cranial side; B: lateral side; C: medial side; D: caudal side.

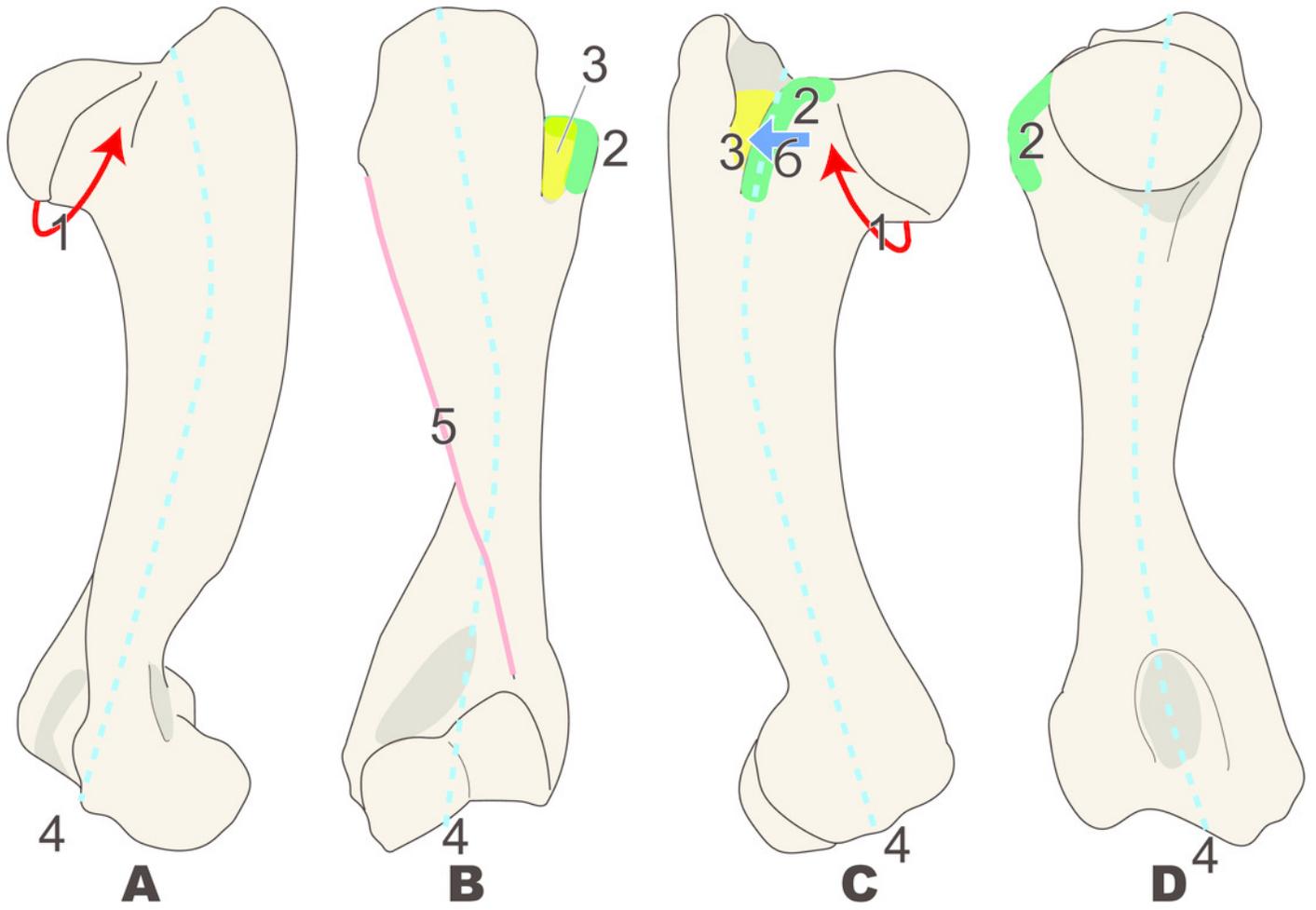


Figure 9

Diagnostic features of *Desmostylus* (based on UHR 18466, GSJ-F7743, and OME-U-0170).

The proximal sides of the dorsal and ventral views are illustrated based on UHR 18466, the medial side and distal part is illustrated based on UHR 18466 but has been slightly modified based on OME-U-0170 and GSJ-F7743. Numbers are corresponding to the numbers in the text. 1: Intertubercular groove located just behind head of humerus on cranial side (red circle); 2: Shallow and v-shaped intertubercular groove (green area); 3: Lesser tubercle smaller than that in other desmostylians (yellow area); 4: Lesser tubercle not projecting to medial and cranial sides (sky blue arrow line); 5: Crest of lesser tubercle well-developed and extending ventrally (salmon pink area); 6: Greater tubercle and head of humerus almost the same height (= greater tubercle not projecting higher than head of humerus) (dark blue box). A: cranial side; B: caudal side; C: lateral side.

