

How can we reliably identify a taxon based on humeral morphology? – Comparative morphology of desmostylian humeri

Kumiko Matsui Corresp. 1

¹ Department of Geology and Paleontology, National Museum of Nature and Science, Tsukuba, Japan

Corresponding Author: Kumiko Matsui
Email address: kumiko_matsui@me.com

Desmostylia is a clade of marine mammals belonging to either Tethytheria or Perissodactyla. Rich fossil records of Desmostylia were found in the Oligocene to Miocene strata of the Northern Pacific Rim, especially in the northwestern region, which includes the Japanese archipelago. Fossils in many shapes and forms, including whole or partial skeletons, skulls, teeth, and fragmentary bones have been discovered from this region. Despite the prevalent availability of fossil records, detailed taxonomic identification based on fragmentary postcranial materials has been difficult owing to our limited knowledge of the postcranial diagnostic features of many desmostylian taxa. In this study, I propose the utilization of diagnostic characters found in the humerus to identify desmostylian genus. These characters can be used to identify isolated desmostylian humeri at the genus level, contributing to a better understanding of the stratigraphic and geographic distributions of each genus.

1 **How can we reliably identify a taxon based on humeral**
2 **morphology? –comparative morphology of desmostylian humeri–**

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4 Kumiko Matsui¹

5 ¹Department of Geology and Paleontology, National Museum of Nature and Science, 4-1-1

6 Amakubo, Tsukuba, Ibaraki, 305-0005, Japan.

7

8 Corresponding Author:

9 Kumiko Matsui¹

10 4-1-1 Amakubo, Tsukuba, Ibaraki, 305-0005, Japan.

11 E-mail address: kumiko_matsui@me.com

12

13

Abstract

14

15 Desmostyilia is a clade of marine mammals belonging to either Tethytheria or Perissodactyla.

16 Rich fossil records of Desmostyilia were found in the Oligocene to Miocene strata of the

17 Northern Pacific Rim, especially in the northwestern region, which includes the Japanese

18 archipelago. Fossils in many shapes and forms, including whole or partial skeletons, skulls, teeth,

19 and fragmentary bones have been discovered from this region. Despite the prevalent availability

20 of fossil records, detailed taxonomic identification based on fragmentary postcranial materials

21 has been difficult owing to our limited knowledge of the postcranial diagnostic features of

22 many desmostylian taxa. In this study, I propose the utilization of diagnostic characters found in

23 the humerus to identify desmostylian genus. These characters can be used to identify isolated

24 desmostylian humeri at the genus level, contributing to a better understanding of the stratigraphic

25 and geographic distributions of each genus.

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Introduction

29

30 Desmostylia is a clade of extinct marine mammals (Repenning, 1965; Inuzuka 1984, 2000a, b;
31 Domning, 2002; Gingerich, 2005). At present, this clade is considered to belong to either
32 Tethytheria (Afrotheria; Domning, Ray & McKenna., 1986) or Perissodactyla (Laurasiatheria;
33 Cooper et al., 2014). Their fossil records range from the Eocene/Oligocene boundary (Barnes
34 and Goedert, 2001) to the late Miocene (Barnes, 2013; Barboza et al., 2017). The last record of a
35 definite desmostylian fossil dates from the late Miocene (Barboza et al., 2017). However,
36 desmostylian remains have been found from Pliocene (Kimura, 1966). Many desmostylian
37 fossils, including whole skeletons, skulls, teeth, and bones, were discovered from both the east
38 and west sides of the North Pacific coast (Mitchell and Repenning, 1963; Mitchell, 1963;
39 Shikama, 1966; Chinzei, 1984; Inuzuka, 1984, 2000a; Barnes and Goedert, 2001; Hasegawa,
40 Kimura & Matsumoto., 2006; Matsui and Kawabe, 2015).

41 Many diagnostic features of desmostylian genera and/or species have been proposed
42 based on the morphology of the skull, including the mandible and molar teeth (e.g. Reinhart,
43 1959; Domning, Ray & McKenna., 1986; Inuzuka, 1989, 2000; Beatty, 2009; Chiba et al., 2016;
44 Beatty and Cockburn, 2016; Santos et al., 2016). Inuzuka (2000, 2013), for example, proposed
45 many diagnostic features in the cranial and postcranial morphology for the genera *Desmostylus*
46 and *Paleoparadoxia*. However, some of the proposed diagnostic features are ambiguous. There
47 were no obvious criteria on qualitative traits. In addition, only remains of *Desmostylus* and
48 *Paleoparadoxia* had been reported from the Miocene in Japan when his papers were published.
49 Subsequently, another genus cf. "*Vanderhoofius*" sp. was described by Chiba et al. (2016) based
50 on material from Hokkaido. Santos et al. (2016) provided an updated ontogenetic sequence for

51 *Desmostylus* as well as features diagnostic of advanced age specimens based on mandibular
52 morphology. Additionally, Santos et al. (2015) also synonymized *Vanderhoofius* with
53 *Desmostylus*. Furthermore, Barnes (2013) divided the genus *Paleoparadoxia* into three genera,
54 *Archaeoparadoxia*, *Paleoparadoxia*, and *Neoparadoxia*. His taxonomic scheme has been
55 accepted in many studies on desmostylians (e.g. Beatty and Cockburn, 2015; Matsui and Kawabe,
56 2015; Chiba et al., 2016). Accordingly, the taxonomy of Japanese desmostylian from the
57 Miocene needs to reflect this scheme, necessitating the establishment of diagnostic features for
58 these three new genera. However, diagnostic features of *Paleoparadoxia* that were previously
59 proposed by Inuzuka (2000, 2005 and 2013) have been applied to be specific for *Neoparadoxia*
60 after Barnes (2013) split the genus into three. Therefore, postcranial diagnostic features of
61 *Paleoparadoxia sensu stricto* have not been discussed in past studies except for those by
62 Shikama (1966) and Matsui and Kawabe (2015). On the other hand, there are some localities
63 where multiple desmostylian genera were found from a single bed (e.g., Akan area; Kimura et al.,
64 1998; Sato and Kimura, 2002; Watanabe and Kimura, 2002; Yoshida and Kimura, 2002) or
65 similar horizons (e.g., Mizunami area, Gifu, Japan; Yoshiwara and Iwasaki, 1902; Tokunaga and
66 Iwasaki, 1914; Ijiri and Kamei, 1960; Shikama, 1966; Kamei and Okazaki, 1974; Okazaki, 1977;
67 Kohno, 2000). In such cases, it is particularly important to precisely identify desmostylian genera
68 for recognizing their taxonomic diversity and establish detailed diagnostic characters for each
69 genus. To rectify the current situation, a detailed comparison was made of the morphology of the
70 humerus in the present study. As a result, diagnostic features in the humerus are proposed for
71 each desmostylian genus.

72 **Institutional Abbreviations**

73 AMP: Ashoro Museum of Paleontology, Hokkaido, Japan; GSJ: Geological Survey of Japan,

74 Ibaraki, Japan; LACM: Los Angeles County Museum, Los Angeles, USA; NMNS, NSMT:

75 National Museum of Nature and Science, Tokyo, Japan; OME: Okhotsk Museum Esashi, 1614-1

76 Mikasa-cho, Esashi, Hokkaido, Japan ;RBCM: Royal British Columbia Museum, Victoria,

77 British Columbia, Canada; SMNH: Saitama Museum of Natural History, Saitama, Japan;

78 UCMP: University of California Museum of Paleontology, Berkeley, California, USA; UHR:

79 Hokkaido University Museum, Sapporo, Japan; UMUT: The University Museum, The

80 University of Tokyo, Tokyo, Japan.

81

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Materials and Methods

83

84 Specimens and references

85 In this study, I analyzed morphologies of desmostyian humeri, as well as those of potential
86 outgroups of Desmostylia, based on direct examinations of specimens or literature reviews. The
87 following specimens and references were used in this study (Fig 1).

88 1. Desmostylia

89 1-1. Desmostylidae

90 1-1-1. *Ashoroa laticosta*

91 AMP 21, nearly complete left and right humeri of *Ashoroa laticosta* from the late Oligocene
92 Morawan Formation, Kawakami Group, Hokkaido, Japan, described by Inuzuka (2000b, 2011).
93 This specimen is the holotype of *A. laticosta*. AMP 21 shows the epiphyseal fusion in the
94 humerus and is considered as an adult (Hayashi et al., 2013; Barnes, 2013).

95 1-1-2. *Desmostylus hesperus*

96 · UHR 18466, a nearly complete left humerus of *D. hesperus* from the Middle Miocene
97 Uchiboro coal-bearing Formation, Sakhalin, Russia. This specimen was the type specimen
98 for *D. mirabilis* (Nagao, 1935), which was redescribed by Inuzuka (1982) and later
99 synonymized with *D. hesperus* by Inuzuka et al. (1994). UHR 18466 shows the epiphyseal
100 fusion in the humerus and is considered as an adult (Hayashi et al., 2013).

101 · GSJ-F7743, nearly complete left and right humeri of *D. hesperus* from the middle Miocene
102 Tachikaraushinai Formation, Japan, described by Inuzuka (2009). GSJ-F7743 does not
103 show neurocentral fusion of vertebrae or epiphyseal fusion in long bones and is considered

104 as a juvenile (Hayashi et al., 2013).
105 OME-U-0170, nearly complete but proximal end was lacked, is right humerus of *D.*
106 *hesperus* from the middle Miocene Tachikaraushinai Formation, Japan. This specimen was
107 described by Inuzuka et al. (2016). OME-U-0170 shows the epiphyseal fusion in the
108 humerus and is considered as an adult.

109 1-1-3. *Desmostylus* sp.

110 *Desmostylus* sp., distal part of the humerys of *Desmostylus* sp. from the Middle Miocene
111 Chikubetsu Formation, Japan, housed in Obira City Historical Museum and reported by Nakaya,
112 Watabe & Akamatsu (1992). This specimen shows epiphyseal fusions in the humerus and is
113 considered as an adult.

114 1-2. Paleoparadoxiinae

115 1-2-1. *Archaeoparadoxia weltoni*

116 UCMP114285, incomplete and fragmentary right and left humeri of *Archaeoparadoxia weltoni*
117 (Clark, 1991) from the late Oligocene or early Miocene Skooner Gulch Formation, California,
118 USA. UCMP114285 has M3 with occlusal surface and is considered as an adult.

119 1-2-2. *Paleoparadoxia tabatai*

120 NMNS PV-5601, an incomplete left humerus of *Paleoparadoxia tabatai* (Tokunaga, 1939) from
121 the early Miocene Mizunami Group, Gifu, Japan, designated as the neotype of this species by
122 Shikama (1966). NMNS PV-5601 shows epiphyseal fusions in the humerus and is considered as
123 an adult (Hayashi et al., 2013; Barnes, 2013).

124 1-2-3. *Paleoparadoxia* sp.

125 SMNH VeF-61, a nearly complete left humerus of *Paleoparadoxia* sp. from the lower
126 Miocene in the Chichibu Basin, Saitama, Japan, described by Saegusa (2002). SMNH VeF-

127 61 shows epiphyseal fusions in the humerus and is considered as an adult.

128 · UMUT CV31059, a proximal part of the right humerus of *Paleoparadoxia* sp. from the
129 early Miocene Sankebetsu Formation, Hokkaido, Japan, described by Matsui and Kawabe
130 (2015). UMUT CV31059 shows epiphyseal fusions in the humerus and is considered as an
131 adult.

132 · AMP AK1002, a right humerus of *Paleoparadoxia* sp. from the middle Miocene Tonokita
133 Formation, Hokkaido, Japan. This specimen was used by Hayashi et al. (2013). AMP
134 AK1002 shows epiphyseal fusions in the humerus and is considered as an adult (Hayashi et
135 al., 2013).

136 1-2-4. *Neoparadoxia cecilialina*

137 LACM 150150, nearly complete right and left humeri from the lower upper Miocene Monterey
138 Formation in California, USA. Epiphyses in humeri of LACM 150150 are not fused and the
139 specimen is thus considered as a juvenile (Barnes, 2013).

140 1-2-5. *Neoparadoxia repeninngi*

141 NMNS PV 20731, distal end of left humerus from the middle Miocene Ladera Formation in
142 California, USA. Epiphyses of whole skeleton were fused and the specimen is considered as an
143 adult.

144 1-3. family indeterminate

145 1-3-1. *Behemotops cf. proteus* (Beatty and Cockburn, 2015)

146 RBCM.EH2007.008.0001, a nearly complete left humerus from the late Oligocene of Vancouver
147 Island, British Columbia, Canada, reported by Beatty and Cockburn (2015).
148 RBCM.EH2007.008.0001 shows epiphyseal fusions in the humerus and is considered as an adult.

149

- 150 2. Out groups
- 151 2-2. Tethytheria
- 152 2-2-1. Sirenia
- 153 2-2-1-1. Halithriinae gen. sp. indet.
- 154 NMNS PV-20171, a left humerus of Halithriinae from the late Miocene Aoso Formation,
- 155 Miyagi, Japan. NMNS PV-20171 shows epiphyseal fusions in the humerus and is considered as
- 156 an adult.
- 157 2-2-1-2. *Hydrodamalis cuestae*
- 158 NMNS PV-21914, a cast of the right humerus of *Hydrodamalis cuestae* (SDSNH 35293;
- 159 Domning, 1978) from the early Pleistocene San Diego Formation (Member 2), California, USA.
- 160 NMNS PV-21914 shows epiphyseal fusions in the humerus and is considered as an adult.
- 161 2-2-1-3. *Dugong dugon*
- 162 NSMT M-24886, a right humerus. NSMT M-24886 shows epiphyseal fusions in the humerus
- 163 and is considered as an adult.
- 164 2-2-1-4. *Trichechus manatus lastralis*
- 165 NSMT M-35016, a left humerus from USA. NSMT M-35016 shows epiphyseal fusions in the
- 166 humerus and is considered as an adult.
- 167
- 168 2-3. Perissodactyla
- 169 2-3-1. Equidae (Harmanson and MacFadden, 1992; Kato and Yamauchi, 2003)
- 170 *Mesohippus*, *Merychippus*, *Hypohippus*, *Dinohippus* and *Equus* spp. illustrated in Harmanson and
- 171 MacFadden (1992) and Kato and Yamauchi (2003). All specimens are adults.
- 172 2-3-2. Taipiridae (Harmanson and MacFadden, 1992)

173 *Tapirus terrestris*, illustrated in Harmanson and MacFadden (1992). This is an adult specimen.

174 2-3-3. Rhinocerotidae (Harmanson and MacFadden, 1992)

175 *Diceros bicornis*, illustrated in Harmanson and MacFadden (1992). This is an adult specimen.

176 The anatomical terminology follows Kato and Yamauchi (1995). Terminologies of

177 humorous are illustrated in Fig 2.

178

179

Results

180

181 Comparisons of humeral morphology between desmostylians and their outgroups

182

183 In general, the desmostylian humerus has a wide, oval, and large articular surface caput, as well

184 as a large trochlea. The diaphysis of the humerus is straighter than those in Dugongidae and

185 Trichechidae (Sirenia). It is also larger than the one in Dugongidae. The intertubercular groove is

186 shallower and narrower in Desmostylia than in Perissodactyla. Large Perissodactyla, Equidae

187 (larger species than *Hypohippus*) and Rhinocerotidae (*Diceros bicornis*) have two intertubercular

188 grooves and are thus very distinct from that in desmostylians. Except for small Perissodactyla

189 (Equidae smaller than *Merychippus*), the intertubercular groove of Perissodactyla developed the

190 intertubercular groove mentioned above; this is the feature that clearly distinguishes this taxon

191 from desmostylians. The caput humeri of desmostylians are oval-shaped in contrast to the semi-

192 spherical ones in Trichechidae and *Hydrodamalis*. The lesser tubercle is developed in

193 desmostylians, but the one in Trichechidae is fused with the greater tubercle. The greater tubercle

194 is strongly developed and extends to the lateral side of the humerus in Dugongidae, whereas the

195 one in desmostylians is not strongly developed on the lateral side. Additionally, dugongids have

196 a well-developed stylate deltoid tuberosity, whereas desmostylians do not have an apparent

197 deltoid tuberosity as do Dugongidae or Perissodactyla.

198

199 2. *Behemotops*

200 The diaphysis in *Behemotops* is thinner than those in other desmostylians. The greater tubercle

201 extends higher than the caput of the humerus in *Paleoparadoxia* and *Ashoroa*. The height of this

202 tubercle in *Behemotops* is almost the same as the one in *Ashoroa*, but smaller than the one in
203 *Paleoparadoxia*. The curvature of the diaphysis is the greatest among desmostylians, curved
204 along both the mediolateral side (as in *Ashoroa*) and the caudal side (as in *Trichechus* and
205 *Hydrodamalis*). The angle of the caput of the humerus is greater than those in *Ashoroa*,
206 *Desmostylus*, *Paleoparadoxia* and is almost the same as that in *Neoparadoxia*. The
207 intertubercular groove and lesser tubercle are not well preserved in the observed specimens of
208 *Behemotops*. The line of attachment for the triceps muscle is not clear, unlike in *Paleoparadoxia*
209 and *Neoparadoxia*, and is rather similar to the one in *Dugong dugon*. The humeral neck of
210 *Behemotops* is shallower than that of other desmostylians. The humeral crest is as weak as that in
211 *Paleoparadoxia* but longer than those in *Paleoparadoxia* and *Neoparadoxia*. However, it is
212 slightly shorter than those in *Ashoroa* and *Desmostylus*.

213

214 3. *Archaeoparadoxia*

215 The preservation condition of *Archaeoparadoxia* humeri is poor, so parts available for
216 comparison are limited. The diaphysis of right and left humeri are not preserved completely and
217 thus incomparable. The humeral morphology of *Archaeoparadoxia* is similar to that of *Ashoroa*
218 and *Paleoparadoxia* in general. The diaphysis of right and left humeri is curved less
219 craniomedially than *Ashoroa* and *Behemotops*, different from *Neoparadoxia*, *Paleoparadoxia*,
220 and *Desmostylus*. The capiti of the humerus is oval-shaped and slightly convex at the distal end,
221 similar to that in *Paleoparadoxia*. The lesser tubercle is distinct and medially projected, located
222 on the medial side like *Paleoparadoxia* and different from that in *Ashoroa*. The greater tubercle
223 is wider than that of *Behemotops* but more slender than that of *Neoparadoxia*. The lateral
224 epicondyle is more developed and medially projected than that in *Ashoroa*. The trochlea is

225 incomplete, smaller than that of paleoparadoxiids and desmostylids, and obliquely tilted.

226 However, it is unknown whether the original characters are preserved in this fossil specimen.

227

228 4. *Neoparadoxia*

229 The lesser and greater tubercle epiphysis are not preserved in *N. cecialina* and *N. repeninngi*,

230 but the direction of development and approximate size are comparable. The humeral morphology

231 of *Neoparadoxia* is similar to that of *Paleoparadoxia* in general. The humerus of *Neoparadoxia*

232 has a thick shaft, similar to the one found in *Paleoparadoxia*. The humeral crest is longer,

233 extends more distally, and is more strongly developed than that in *Paleoparadoxia*. The caput

234 humerus is oval in shape and is horizontally longer than those in *Paleoparadoxia*, *Ashoroa*, and

235 *Desmostylus*.

236

237 5. *Ashoroa*

238 In general, the humeral morphology of *Ashoroa* is similar to that of *Paleoparadoxia* and

239 *Archaeoparadoxia*. The lesser tubercle does not project to the medial side and is developed on

240 the cranial side. The lesser tubercle is developed to cover the intertubercular groove and is

241 morphologically similar to those in small-sized equids (e.g., *Mesohippus* and *Merychippus*). The

242 humeral crest of *Ashoroa* is prominent and is developed higher and longer than in

243 *Paleoparadoxia* and *Neoparadoxia*. It is also more robust than that in *Paleoparadoxia* and

244 *Behemotops*.

245

246 6. *Desmostylus*

247 The humeral morphology of *Desmostylus* is very different from that in other desmostylians,
248 especially its intertubercular groove. The intertubercular groove of *Desmostylus* is located behind
249 the caput of the humerus. It is also wider and more shallow than the ones found in other
250 desmostylians. In addition, the lesser tubercle is not knobby, unlike those in other desmostylians.
251 The humeral crest extends distally more than the proximal half of the diaphysis and thus
252 different from those in *Paleoparadoxia* and *Neoparadoxia*. However, it appears to be similar to
253 those in *Behemotops* and *Ashorooa*. The development of the humeral crest is greater than in
254 *Paleoparadoxia* and *Behemotops*. The height of the greater tubercle is the same as that of the
255 caput of the humerus, differentiating it from those in *Paleoparadoxia*, *Ashorooa*, and *Behemotops*.
256 The constriction of the diaphysis is less developed than that in *Ashorooa*, *Behemotops*,
257 *Neoparadoxia*, and *Paleoparadoxia*.

258

259 **Diagnostic characters of desmostylian humeri**

260 Based on the description and comparison presented above, the following combinations of
261 diagnostic characters are proposed for each taxon.

262

263 1. Desmostylian (Figure 3)

- 264 1. Humerus diaphysis thicker than that in other relatives
- 265 2. Caput humeri larger than that in other relatives
- 266 3. Articular facet of caput humeri wider than that in other relatives
- 267 4. Greater tubercle larger than other that in relatives
- 268 5. Almost straight humerus diaphysis

- 269 6. Trochlea larger than that in other relatives
270
- 271 2. *Behemotops* (Figure 4)
- 272 1. Humeral diaphysis thinner than that in other desmostylians
273 2. Diaphysis curved on both mediolateral and caudal sides as in *Trichechus*
274 3. Caput humeri with larger angle than that in other desmostylians
275 4. Shortest intertubercular groove in desmostylians
276 5. Greater tubercle extending dorsally higher than caput humeri (lower than that in
277 *Paleoparadoxia*, higher than that in *Desmostylus*, and similar to that in *Ashorooa*)
278 6. Humeral neck shallower than that in other desmostylians
279
- 280 3. *Archaeoparadoxia* (Figure 5)
- 281 1. Greater tubercle extending toward proximal side above caput as in *Paleoparadoxia*
282 2. Wider greater tubercle than that in *Desmostylus* and *Behemotops*
283 3. Lesser tubercle distinct and smaller than that in *Paleoparadoxia* and medially projected,
284 located on medial side like that in *Paleoparadoxia*
285 4. Intertubercular groove located on medial side and shallower than that in *Neoparadoxia*
286 5. Trochlea smaller than that in desmostylids and other paleoparadoxiids, but slightly larger
287 than trochlea of *Behemotops*
288 6. Diaphysis slightly curved mediolaterally and caudally, unlike those of *Paleoparadoxia* and
289 *Desmostylus*, but weaker than those of *Ashorooa* and *Behemotops*
290
- 291 4. *Paleoparadoxia* (Figure 6; proposed by Matsui and Kawabe, 2015)

- 292 1. Greater tubercle extending toward proximal side above caput
293 2. Greater tubercle wider than that in *Desmostylus* and *Behemotops*
294 3. Lesser tubercle distinct and medially projected, located on medial side
295 4. Intertubercular groove located on medial side
296 5. Shallow and narrow intertubercular groove
297 6. Caput oval-shaped and slightly convex at distal end
298 7. Absence of well-developed deltoid tuberosity
299
300 5. *Neoparadoxia* (Figure 7)
301 1. Greater tubercle developed as crest, stronger than that in *Paleoparadoxia*
302 2. Humeral crest strongly developed and extending distally over half of whole humerus
303 3. Caput humeri oval, wider than that in *Paleoparadoxia*, and not convex at distal end unlike
304 in the *Paleoparadoxia*
305 4. Intertubercular groove wider than that in *Paleoparadoxia*, but narrower than that in
306 *Desmostylus*
307
308 6. *Ashoroa* (Figure 8)
309 1. Constriction of humeral neck shallower in desmostylians, but deeper than that in
310 *Behemotops*
311 2. Lesser tubercle only slightly less developed than that in *Archaeoparadoxia*, *Paleoparadoxia*,
312 and *Neoparadoxia*
313 3. Intertubercular groove shorter than that in *Archaeoparadoxia*, *Paleoparadoxia*,
314 *Neoparadoxia*, and *Desmostylus*

- 315 4. Diaphysis loosely curved like that in *Behemotops*, but stronger than that in
316 *Archaeoparadoxia*
- 317 5. Humeral crest more strongly developed than that in *Paleoparadoxia* and extending distally
318 just above trochlea
- 319 6. Lesser tubercle located and developed on cranial side
320
- 321 7. *Desmostylus* (Figure 9)
- 322 1. Intertubercular groove located just behind caput humeri on cranial side
- 323 2. Shallow and v-shaped intertubercular groove
- 324 3. Lesser tubercle smaller than that in other desmostylians
- 325 4. Lesser tubercle not projecting to medial and cranial sides
- 326 5. Crest of lesser tubercle well-developed and extending ventrally
- 327 6. Greater tubercle and caput humeri almost the same height (= greater tubercle not projecting
328 higher than caput humeri)
- 329
- 330

Discussions

331

332

333 Humeral characteristics of desmostylians differ in each genus. These characters are thus
334 sufficient for genus-level identification. The morphologies of the *Desmostylus* humerus are quite
335 different from those in other desmostylians. The extension of the greater tubercle is shorter than
336 that in other desmostylians. Additionally, the position of intertubercular groove is right behind
337 the caput humeri and very shallow compared to that in other desmostylians. These differences
338 approximately correspond to the differences between the humeri of manatees and dugongs.
339 Dugongs have a greater tubercle that is higher than the caput humeri and do not have an
340 intertubercular groove that is opened right at the back of the caput humeri, unlike manatees. The
341 humeri of manatees show some morphological variability. Florida manatees (*Trichechus*
342 *manatus*) exhibit variation in the intertubercular groove. Nineteen percent of the Florida
343 manatees and all Amazon manatees (*Trichechus inunguis*) have an intertubercular groove, while
344 it is absent from in other manatees (Domning and Hayak, 1986). The intertubercular grooves of
345 Amazon manatees are more distinct than those of Florida manatees (Domning and Hayek, 1986).
346 These differences result from distinct biceps brachii muscles in Amazon manatees (Domning and
347 Hayek, 1986). In sirenians, the hind limbs are virtually absent and locomotion is accomplished
348 by vertical movement of the tail (Berta et al., 2016). However, their locomotory use of flippers is
349 different. Dugongs swim in the sea and use their forelimb only for cruising (Berta et al., 2016),
350 but manatees use their forelimb to “walk” on the sea floor (Hartman, 1979). In Desmostylia,
351 Inuzuka (2013) indicated that Paleoparadoxiinae has more movable coxae than do *Desmostylus*.
352 However, differences in hind limbs locomotion among desmostylians have not been reported.
353 Therefore, it has been suggested that the hind limbs of desmostylians have similar movements

354 (Inuzuka, 2005). Based on fossil evidence, the humeral characteristics between *Desmostylus* and
355 other desmostylian would likely lead to differences in swimming behavior, similar to what we
356 observe in dugongs and manatees.

357

358 **Remaining issues**

359 The holotype of *Desmostylus hesperus*, the type species of the genus, includes only a
360 fragmentary molar and also does not include a humerus. Therefore, it is impossible to distinguish
361 the proposed species of *Desmostylus* based solely on the observed diagnostic features of the
362 holotype specimens. Accordingly, re-designating a specimen with skulls and forelimbs bearing
363 sufficient diagnostic characters as neotypes for species of *D. hesperus* should be considered. A
364 similar issue has been discussed for *Coelophysis bauri*, a theropod dinosaur (Hunt and Lucas,
365 1991; Colbert et al. 1992).

366 In addition, there are only six desmostylian genera, for which humeri were found in
367 association with molars or skulls that allow us to realize taxonomic identification at the genus or
368 species level. In other words, no postcranial skeletons are known for many desmostylian genera
369 or species. Accordingly, when new specimens are found in the future, the diagnostic characters
370 proposed here would need to be evaluated and revised to reflect the new information.

372

Conclusion

373

374 Here I present the newly established diagnostic features of desmostylian humeri. There were not
375 many differences observed between humeral morphologies of different species of desmostylians,
376 except for *Desmostylus*. However, these minor differences are enough to distinguish different
377 desmostylian genera. This study will be important for taxonomic corrections and detailed
378 classifications. Higher resolution and accurate classification than that has been previously
379 accomplished, even for partial postcranial skeletons, would be able to achieve if new postcranial
380 elements are identified that have highly diagnostic features. This will provide useful information
381 for the paleogeography and distribution range of Desmostylia.

382

383

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Figure 1

Composite cladogram showing the phylogenetic relationship among taxa examined in this study.

A: Cladogram of Desmostyilia with Sirenia (Tethyteria) as an outgroup. B: Cladogram of Perissodactyla as an outgroup. Compiled from numerous sources, including Velez-Juarbe et al. (2012), Macfadden (1994) and Beatty (2009).

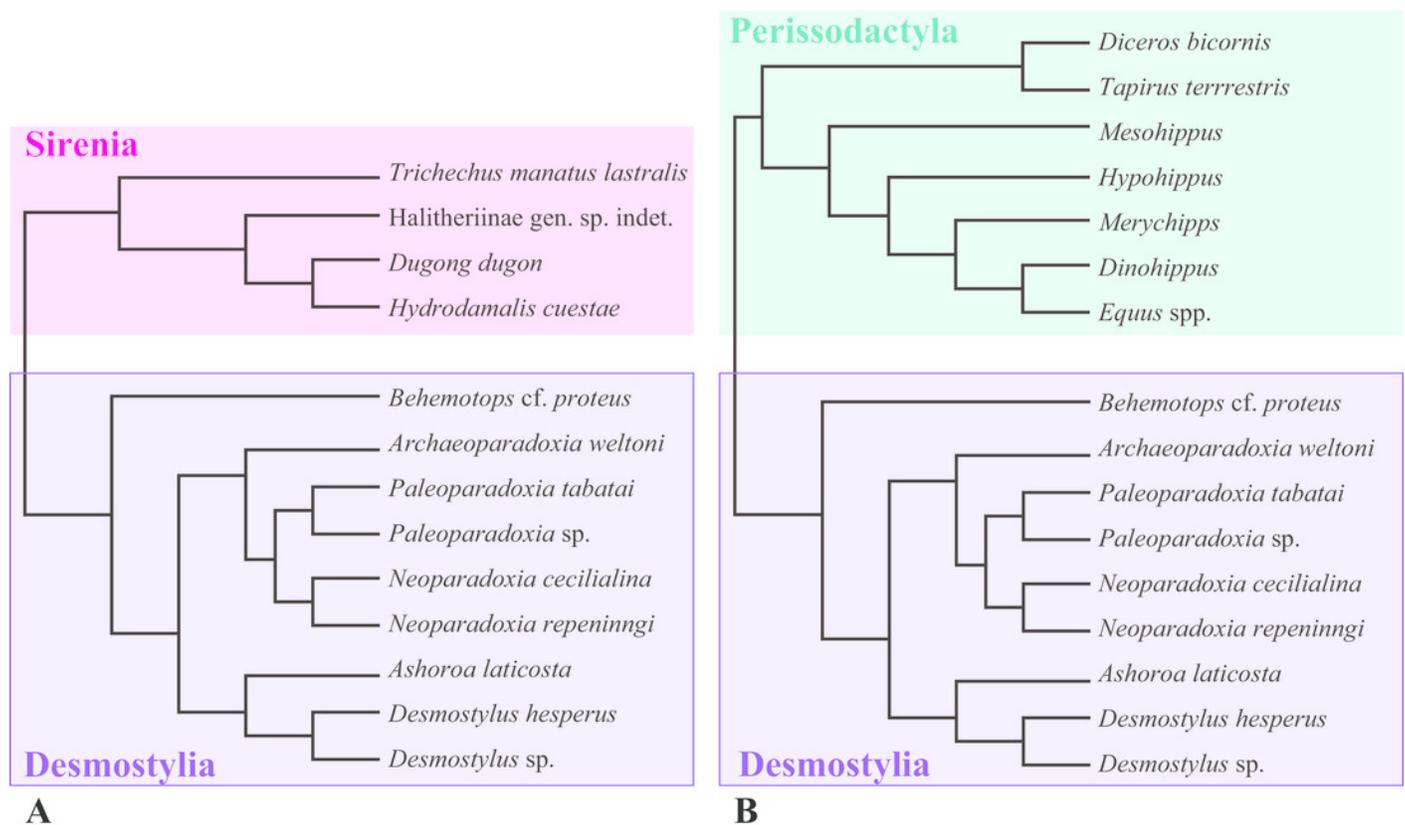


Figure 2

Nomenclatures of humerus (based on *Paleoparadoxia tabatai*, NMNS PV 5601, and *Paleoparadoxia* sp., UMUT CV31059).

A: cranial side; B: lateral side; C: medial side; D: caudal side.

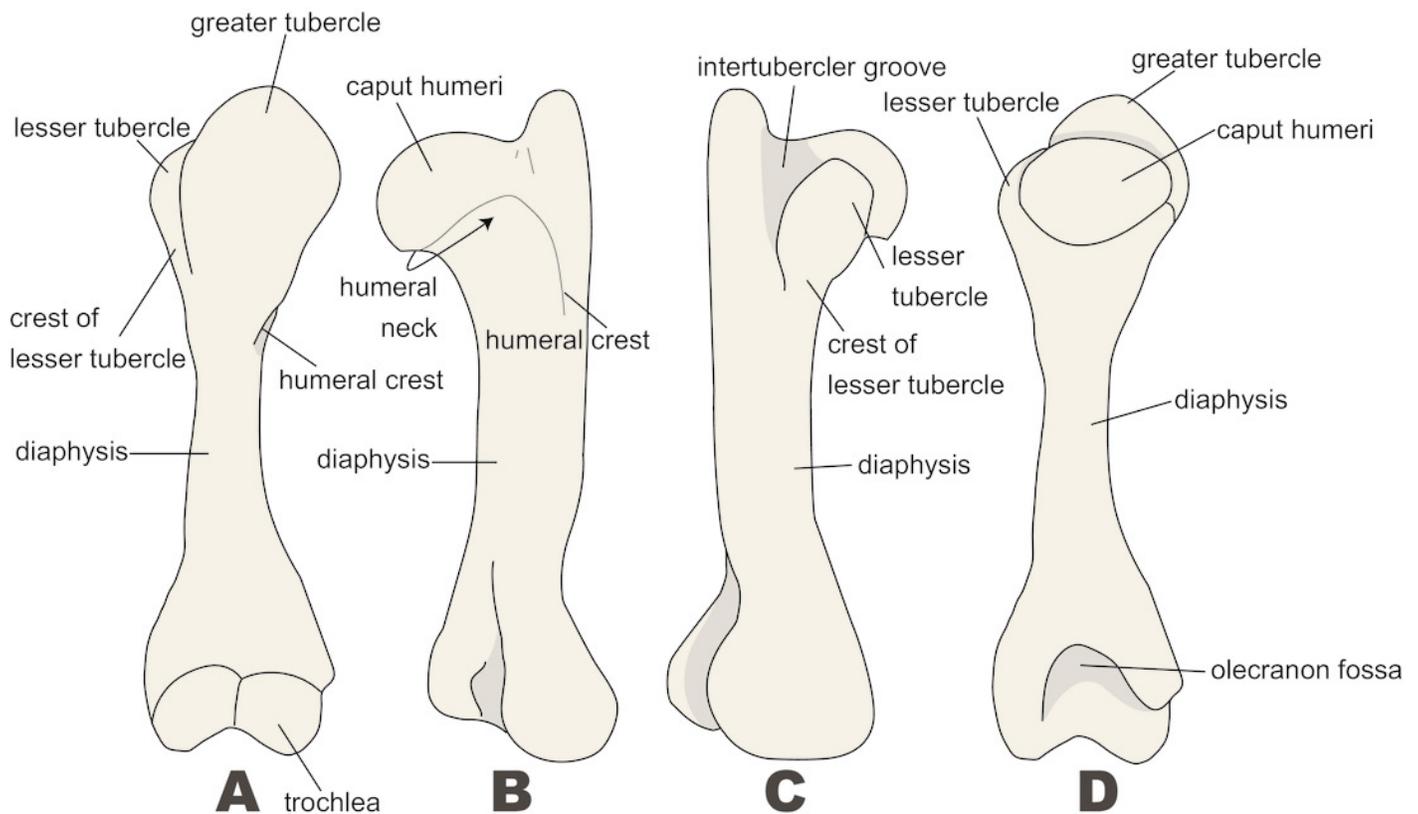


Figure 3

Diagnostic features of *Desmostylia* (based on *Paleoparadoxia tabatai*, NMNS PV 5601, and *Paleoparadoxia* sp., UMUT CV31059).

The distal part is illustrated based on NMNS PV 5601, and the proximal part is illustrated based on UMUT CV31059. Numbers are corresponding to the numbers in the text. 1: Humerus diaphysis thicker than that in other relatives (red box); 2: Caput humeri larger than that in other relatives (green box); 3: Articular facet of caput humeri wider than that in other relatives (yellow curve line); 4: Greater tubercle larger than other that in relatives (sky blue box); 5: Almost straight humerus diaphysis (salmon pink dotted line); 6: Trochlea larger than that in other relatives (dark blue box). A: cranial side; B: lateral side; C: medial side; D: caudal side.

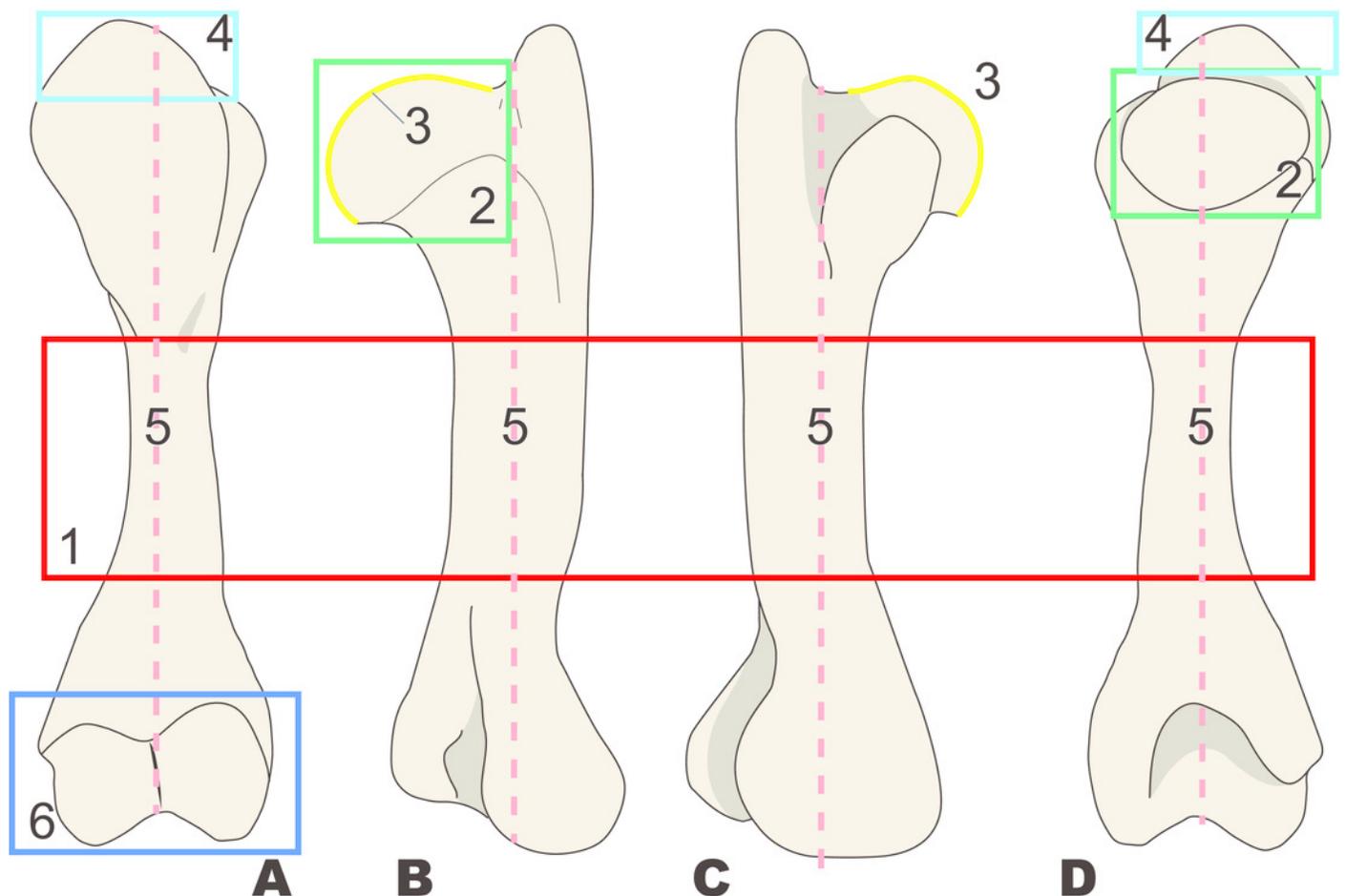


Figure 4

Diagnostic features of *Behemotops* (based on Beatty and Cockburn, 2015).

Numbers are corresponding to the numbers in the text. Humeral diaphysis thinner than that in other desmostylians (red box); 2: Diaphysis curved on both mediolateral and caudal sides as in *Trichechus* (green dot line); 3: Caput humeri with larger angle than that in other desmostylians (yellow angle); 4: Shortest intertubercular groove in desmostylians (sky blue area); 5: Greater tubercle extending dorsally higher than caput humeri (lower than that in *Paleoparadoxia*, higher than that in *Desmostylus*, and similar to that in *Ashoroa*) (salmon pink box); 6: Humeral neck shallower than that in other desmostylians (dark blue arrow line). A: lateral side, B: cranial side.

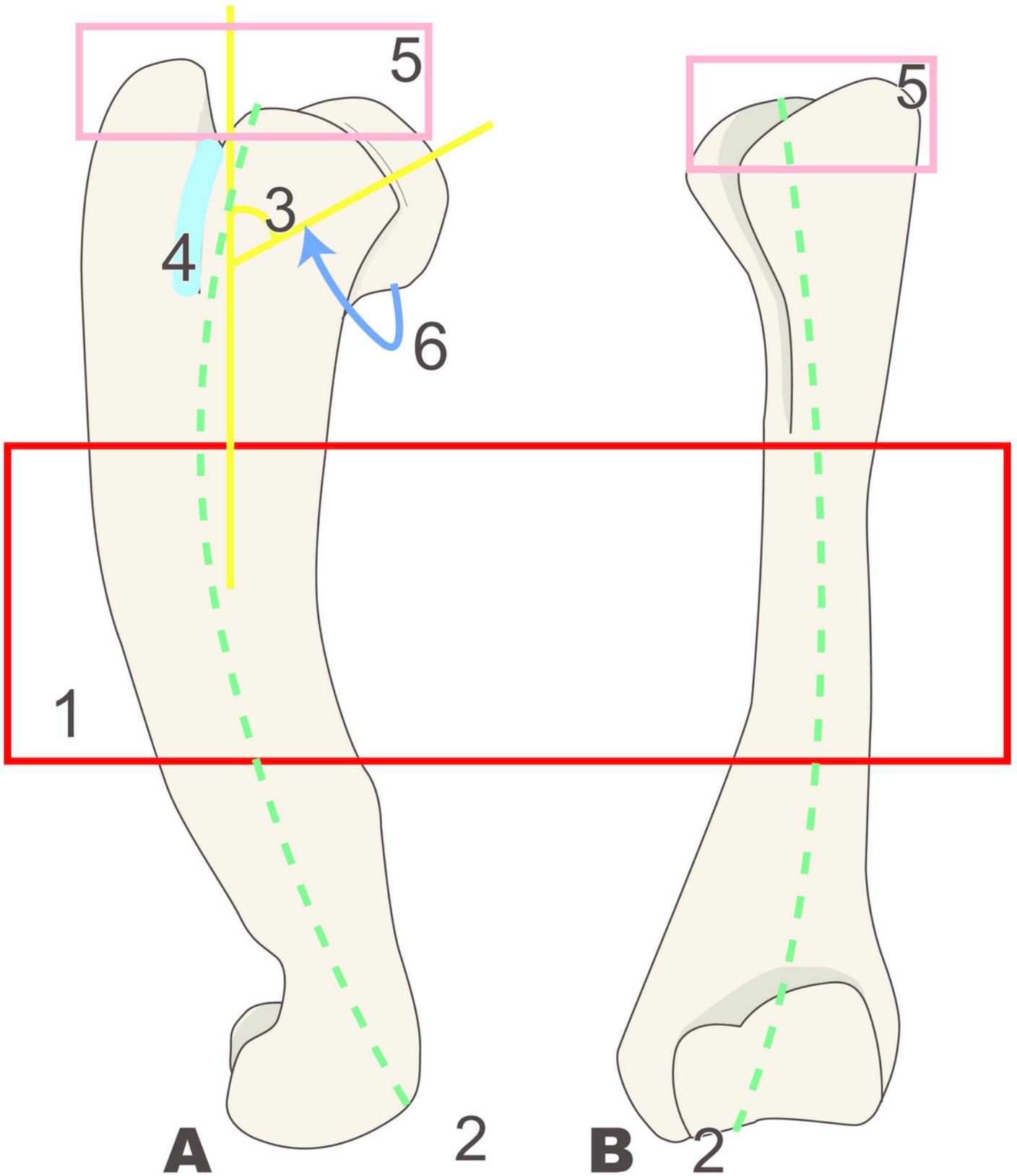


Figure 5

Diagnostic features of *Archaeoparadoxia* (based on UCMP114285). Numbers are corresponding to the numbers in the text.

1: Greater tubercle extending toward proximal side above caput as in *Paleoparadoxia* (red box); 2: Wider greater tubercle than that in *Desmostylus* and *Behemotops* (green boxes); 3: Lesser tubercle distinct and smaller than that in *Paleoparadoxia* and medially projected, located on medial side like that in *Paleoparadoxia* (yellow area); 4: Intertubercular groove located on medial side and shallower than that in *Neoparadoxia* (sky blue box); 5: Trochlea smaller than that in desmostylids and other paleoparadoxiids, but slightly larger than trochlea of *Behemotops* (dark blue circle); 7: Diaphysis slightly curved mediolaterally and caudally, unlike those of *Paleoparadoxia* and *Desmostylus*, but weaker than those of *Ashoroa* and *Behemotops* (purple boxes). A: cranial side; B: lateral side; C: medial side; D: caudal side.

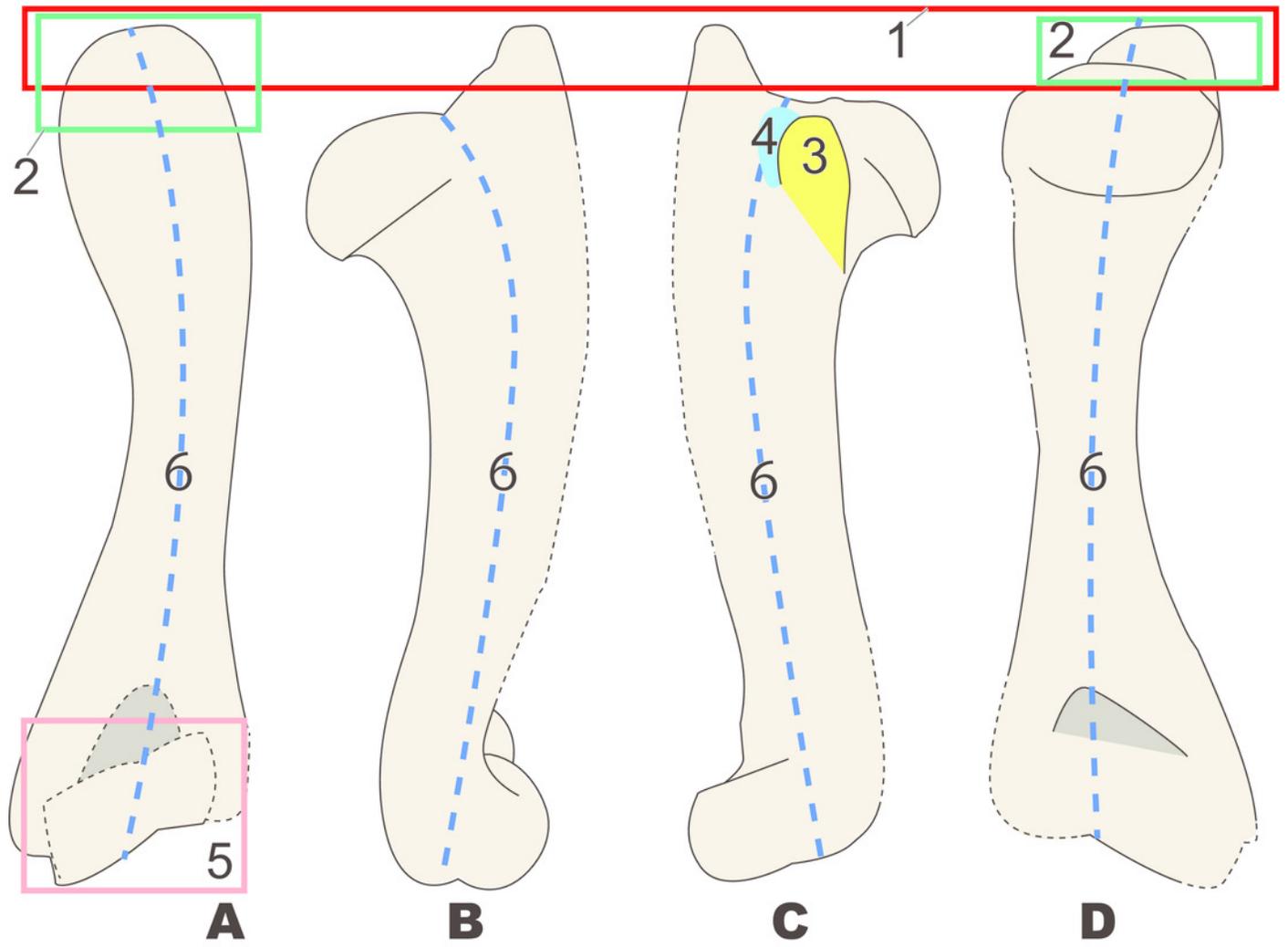


Figure 6

Diagnostic features of *Paleoparadoxia* (based on NMNS PV 5601 and UMUT CV31059).

The distal part is illustrated based on NMNS PV 5601, and the proximal part is illustrated based on UMUT CV31059. Numbers are corresponding to the numbers in the text. 1: Greater tubercle extending toward proximal side above caput (red box); 2: Greater tubercle wider than that in *Desmostylus* and *Behemotops* (green boxes arrow line); 3: Lesser tubercle distinct and medially projected, located on medial side (yellow area); 4: Intertubercular groove located on medial side (sky blue); 5: Shallow and narrow intertubercular groove (salmon pink area); 6: Caput oval-shaped and slightly convex at distal end (dark blue circle); 7: Absence of well-developed deltoid tuberosity (purple boxes). A: cranial side; B: lateral side; C: medial side; D: caudal side.

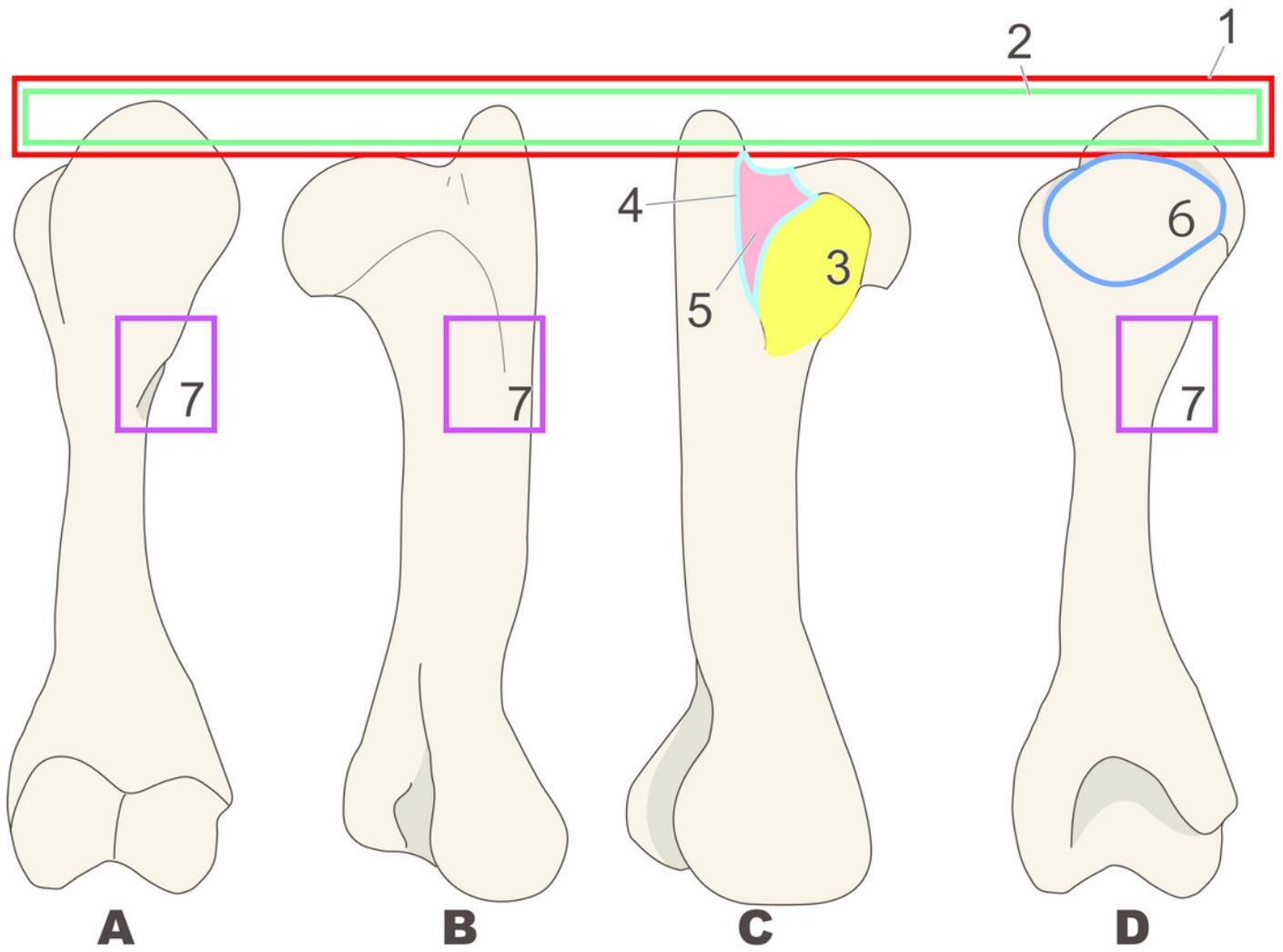


Figure 7

Diagnostic features of *Neoparadoxia* (based on LACM 150150 and NMNS PV 20731).

The proximal part is illustrated based on LACM 150150, and the distal part is illustrated based on NMNS PV 20731. Numbers are corresponding to the numbers in the text. 1: Greater tubercle developed as crest, stronger than that in *Paleoparadoxia* (red box); 2: Humeral crest strongly developed and extending distally over half of whole humerus (green line); 3: CCaput humeri oval, wider than that in *Paleoparadoxia*, and not convex at distal end unlike in the *Paleoparadoxia* (yellow area); 4: Intertubercular groove wider than that in *Paleoparadoxia*, but narrower than that in *Desmostylus* (sky blue line). A: cranial side; B: lateral side; C: caudal side.

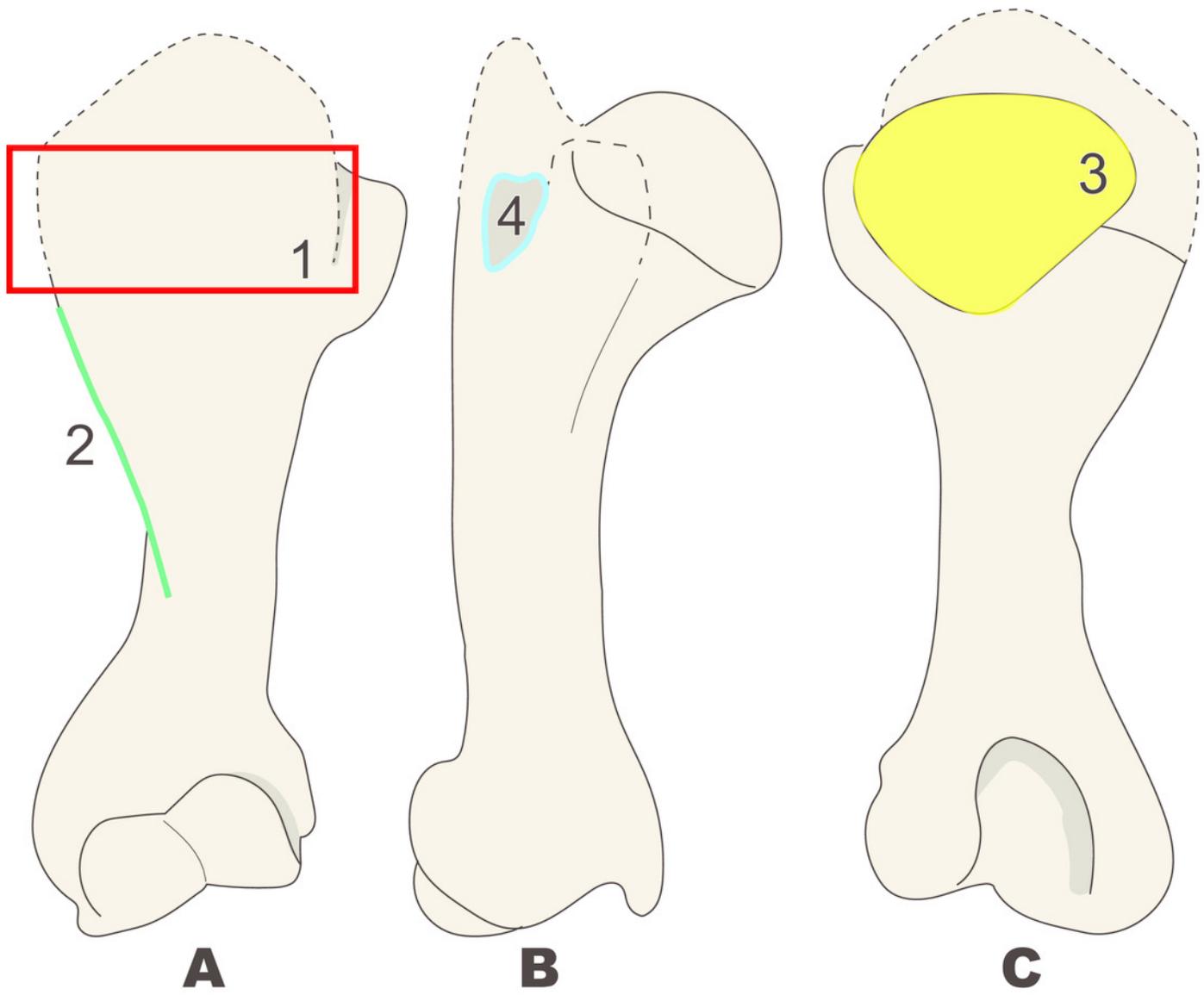


Figure 8

Diagnostic features of *Ashoroa* (based on AMP21). Numbers are corresponding to the numbers in the text.

Numbers are corresponding to the numbers in the text. 1: Constriction of humeral neck shallower in desmostylians, but deeper than that in *Behemotops* (red arrow line); 2: Lesser tubercle only slightly less developed than that in *Archaeoparadoxia*, *Paleoparadoxia*, and *Neoparadoxia* (green area); 3: Intertubercular groove shorter than that in *Archaeoparadoxia*, *Paleoparadoxia*, *Neoparadoxia*, and *Desmostylus* (yellow area); 4: Diaphysis loosely curved like that in *Behemotops*, but stronger than that in *Archaeoparadoxia* (sky blue dot line); 5: Humeral crest more strongly developed than that in *Paleoparadoxia* and extending distally just above trochlea (salmon pink line); 6: Lesser tubercle located and developed on cranial side (dark blue). A: cranial side; B: lateral side; C: medial side; D: caudal side.

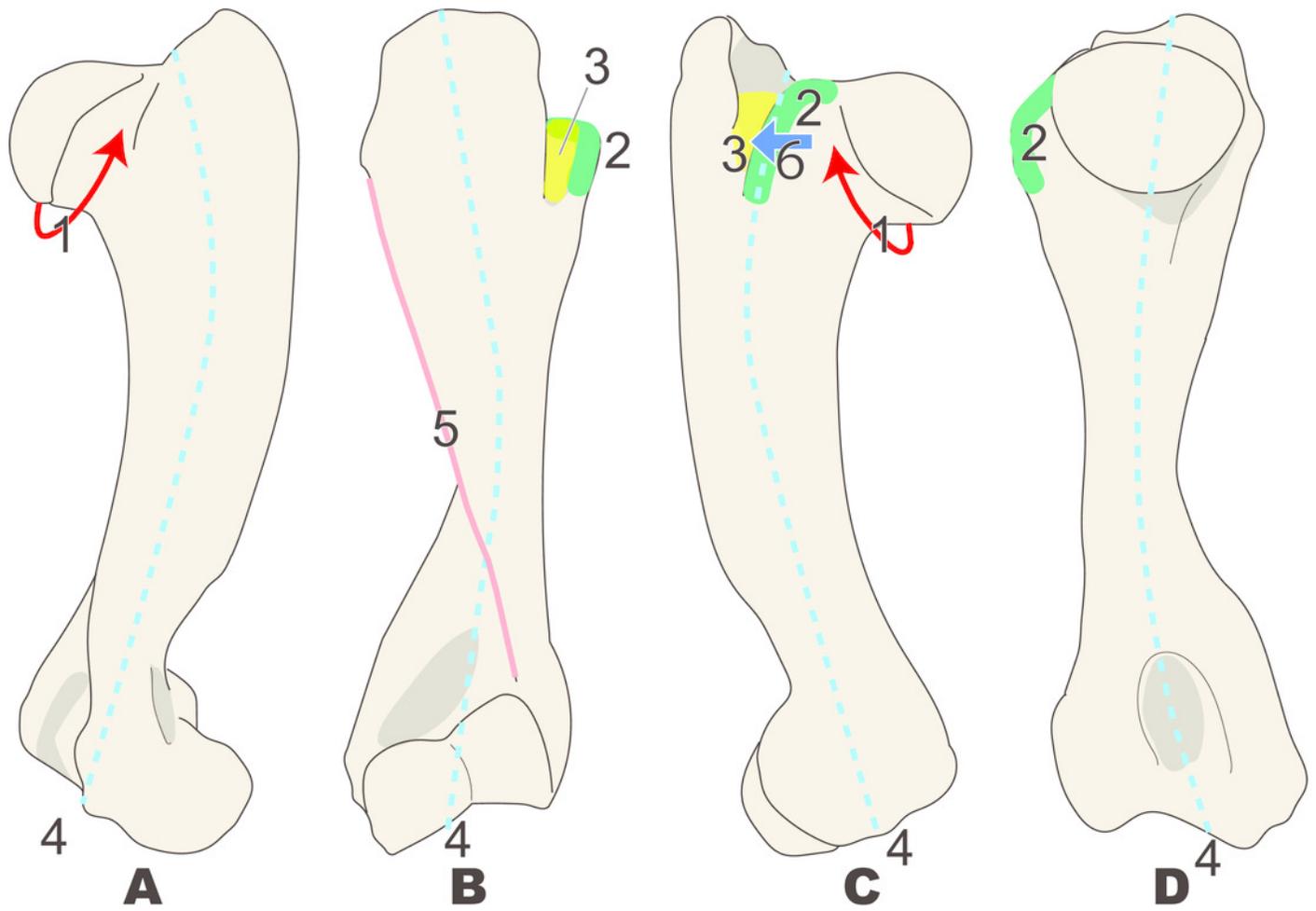


Figure 9

Diagnostic features of *Desmostylus* (based on UHR 18466, GSJ-F7743, and OME-U-0170).

The proximal sides of the dorsal and ventral views are illustrated based on UHR 18466, the medial side and distal part is illustrated based on UHR 18466 but has been slightly modified based on OME-U-0170 and GSJ-F7743. Numbers are corresponding to the numbers in the text. 1: Intertubercular groove located just behind caput humeri on cranial side (red circle); 2: Shallow and v-shaped intertubercular groove (green area); 3: Lesser tubercle smaller than that in other desmostylians (yellow area); 4: Lesser tubercle not projecting to medial and cranial sides (sky blue arrow line); 5: Crest of lesser tubercle well-developed and extending ventrally (salmon pink area); 6: Greater tubercle and caput humeri almost the same height (= greater tubercle not projecting higher than caput humeri) (dark blue box). A: cranial side; B: caudal side; C: lateral side.

