

# Pulmonary transcriptomic responses indicate a dual role of inflammation in pneumonia development and viral clearance during 2009 pandemic influenza infection

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**Background:** The interaction between influenza virus and the host response to infection clearly plays an important role in determining the outcome of infection. While much is known on the participation of inflammation on the pathogenesis of severe A (H1N1) pandemic 09-influenza virus, its role in the course of non-fatal pneumonia has not been fully addressed.

**Methods:** A systems biology approach was used to define gene expression profiles, histology and viral dynamics in the lungs of healthy immune-competent mice with pneumonia caused by a human influenza A (H1N1) pdm09 virus, which successfully resolved the infection.

**Results:** Viral infection activated a marked pro-inflammatory response at the lung level paralleling the emergence of histological changes. Cellular immune response and Cytokine Signaling were the two signaling pathway categories more representative of our analysis. This transcriptome response was associated to viral clearance, and its resolution was accompanied by resolution of histopathology.

**Discussion:** These findings suggest a dual role of pulmonary inflammation in viral clearance and development of pneumonia during non-fatal infection caused by the 2009 pandemic influenza virus. Understanding the dynamics of the host's transcriptomic and virological changes over the course of the infection caused by A (H1N1) pdm09 virus may help identifying the immune response profiles associated to an effective response against influenza virus.

1 **Pulmonary transcriptomic responses indicate a dual role of inflammation in pneumonia**  
2 **development and viral clearance during 2009 pandemic influenza infection**

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23 **Abstract**

24 **Background:** The interaction between influenza virus and the host response to infection clearly  
25 plays an important role in determining the outcome of infection. While much is known on the  
26 participation of inflammation on the pathogenesis of severe A (H1N1) pandemic 09-influenza  
27 virus, its role in the course of non-fatal pneumonia has not been fully addressed.

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29 and viral dynamics in the lungs of healthy immune-competent mice with pneumonia caused by a  
30 human influenza A (H1N1) pdm09 virus, which successfully resolved the infection.

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34 transcriptome response was associated to viral clearance, and its resolution was accompanied by  
35 resolution of histopathology.

36 **Discussion:** These findings suggest a dual role of pulmonary inflammation in viral clearance and  
37 development of pneumonia during non-fatal infection caused by the 2009 pandemic influenza  
38 virus. Understanding the dynamics of the host's transcriptomic and virological changes over the  
39 course of the infection caused by A (H1N1) pdm09 virus may help identifying the immune  
40 response profiles associated to an effective response against influenza virus.

## 42 **Introduction**

43 Influenza is one of the most common respiratory infectious diseases and a worldwide public  
44 health concern. The World Health Organization (WHO) estimates that influenza viruses infect  
45 around 5%–15% of the global population, resulting into 250,000 to 500,000 deaths each year.  
46 (Vemula et al., 2016).

47 At the beginning of 2009, a new influenza virus of the subtype H1N1, [A (H1N1) pdm09], was  
48 detected in Mexico. The vast majority of infections caused by this new strain were mild and self-  
49 limiting upper respiratory tract illness. However, a small percentage of patients infected by the A  
50 H1N1 pm09 virus developed primary viral pneumonia, resulting in respiratory failure, acute  
51 respiratory distress, multi-organ failure and death (Health Protection Agency et al., 2009). A  
52 large proportion of these severe cases occurred in young adults with accompanying co-  
53 morbidities (chronic respiratory disease, cardiovascular disease, hypertension, obesity and  
54 diabetes) (Jain et al., 2009).

55 The host response to the infection clearly plays an important role in determining the outcome of  
56 the patients infected by influenza viruses (Almansa, Bermejo-Martín & de Lejarazu Leonardo,  
57 2012). In this regard, severely infected patients by the influenza A (H1N1) pdm09 virus was  
58 characterized by the presence of high plasmatic levels of cytokines, chemokines and other  
59 immune mediators accompanying the presence of pneumonic infiltrates (Bermejo-Martin et al.,  
60 2009), (Hagau et al., 2010), (To et al., 2010). Moreover, we have shown that systemic levels of  
61 these mediators were directly associated with viral levels secreted by the respiratory tract from  
62 the beginning of the disease (Almansa et al., 2011a). In addition, persistence of viral secretion  
63 has been found in the patients with the worst outcomes (Lee et al., 2009), paralleling the  
64 presence of impaired expression of a number of genes participating in adaptive immune

65 responses. Depression of adaptive immunity response has been previously correlated with poor  
66 control of infection and maintenance of inflammation, and secondarily with the generation of  
67 damage to the infected tissues with the development of further respiratory failure (Bermejo-  
68 Martin et al., 2010).

69 While much is known about the immune alterations and the participation of inflammation on the  
70 pathogenesis of severe A (H1N1) pandemic influenza, their role in the course of non-fatal  
71 pneumonia has not been sufficiently studied. Aimed to clarify this role, we employed a systems  
72 biology approach to study gene expression profiles and its relation to histology and viral  
73 dynamics in the lungs of healthy immune-competent mice with pneumonia caused by human  
74 influenza A (H1N1) pdm09 virus, which successfully resolved the infection.

76 **Material and methods**

77 ***Ethics statement***

78 The ethical protocol and the research were reviewed and approved by the Animal and human  
79 Experimentation Ethical Committee of the Autonomous University of Barcelona (Internal  
80 Register Number 1124M2R) and the Ethical Animal Experimentation Commission of the  
81 Catalan Government (Register Number: 5767).

82 All the animal experiments were done at the Biosafety level 3 (BSL3) facilities of the Centre de  
83 Recerca en Sanitat Animal (CRESA, Barcelona, Spain). Animal care was performed according to  
84 the standard procedures of the center (Martínez-Orellana et al., 2015). Seven weeks-old  
85 C57BL6/J0laHsd (C57BL6) female mice (Harlan Laboratories, Barcelona, Spain) were housed  
86 in groups in experimental isolation cages for one week in acclimation (72 animals in total).  
87 Throughout the experiment, all mice were provided with commercial food pellets and tap water  
88 *ad libitum*.

89 ***A (H1N1) pdm 2009 Catalanian virus and mice infection.***

90 A human pandemic Influenza A virus, A/Catalonia/63/2009 (CAT09) (GenBank accession  
91 numbers GQ464405-GQ464411 and GQ168897) was used for animal infection (Busquets et al.,  
92 2010). CAT09 was passaged in MDCK two times and the viral stock had a titer of  
93 106 PFU/ml. Animals were divided into two groups of 32 mice each; distribution was done as  
94 follows: untreated control group (mock group) and pdmH1N1 2009 infected-group (CAT09). To  
95 evaluate the pathogenicity mice were infected through intranasal instillation with 50 µL CAT09  
96 at 10<sup>4</sup> PFU/mice as described previously (Itoh et al., 2009). Successful CAT09 mice infection  
97 and pathogenicity was previously confirmed by our experimental work (Orellana-Martínez,

98 2014). Control non-infected mice were treated with 50  $\mu$ L phosphate-buffered saline (mock  
99 infection) to reproduce CAT09 infection.

#### 100 ***Mice monitoring and sampling***

101 During ten days, mice were observed daily to record changes in body weight and clinical signs.  
102 Based on our previous experimental work, the day showing the most important histological  
103 changes in the lung following infection caused by CAT09 is day 5, while resolution of  
104 histological changes occurs by day 10. Consistently with our previous experience (Orellana-  
105 Martínez, 2014), necropsies of 12 animals per group were performed at days 1, 5 and 10 post  
106 infection (dpi). Animals were euthanized with intraperitoneal inoculation of pentobarbital under  
107 anesthesia with 5% isoflurane and tissue samples of lung were dissected from dead animals  
108 using the standard surgical procedures. Lung samples of six animals per group were used for  
109 viral load determination and histological examination. Lung samples were snap frozen on dry ice  
110 and stored at  $-80^{\circ}\text{C}$  until further processing. Gene expression profiling was performed for whole  
111 lungs of the other six animals per group by using microarrays.

#### 112 ***Determination of viral load***

113 Viral quantification was determined by plaque assay determining Plaque forming units (PFU)  
114 following our laboratory standard operating procedures (Martínez-Orellana et al., 2015). Briefly,  
115 supernatants were obtained after weighing, homogenizing and centrifuging lung samples. 0.1 ml  
116 of 10-fold supernatant dilutions were incubated with MDCK cells plated in 12-well tissue  
117 cultures plates for 1 hour. Then, cells were washed with phosphate buffer saline and plates were  
118 overlaid with 1.4% noble agar (Becton Dickinson, France), mixed 1:1 with 0.5  $\mu\text{g/ml}$  of bovine  
119 trypsin and Minimum essential medium eagle (MEM) (both of Sigma-Aldrich SA, Madrid,  
120 Spain) supplemented with 100 UI/ml penicillin and 100 $\mu\text{g/ml}$  streptomycin (Invitrogen  $\text{\textcircled{R}}$ ,

121 Barcelona, Spain). After 4 days of incubation, cells were fixed for 20 min using 10% formalin  
122 (Sigma-Aldrich SA, Madrid, Spain) and then overlaid with 1% crystal violet (Anorsa, Barcelona,  
123 Spain). Finally, cells were washed with water in order to visualized plaques, which were counted  
124 and compared to uninfected cells.

### 125 ***Histopathology***

126 Lung samples were collected for macroscopical and histological examination according to our  
127 laboratory standard operating procedures (Martínez-Orellana et al., 2015). The procedures  
128 involved lung sample fixation using neutral-buffered 10% formalin for 48 hours, followed by  
129 embedment in paraffin wax. Next, sections of 3  $\mu\text{m}$  were stained using haematoxylin and eosin  
130 (HE). Cross sections of the lungs were analysed separately. A semi-quantitative assessment of  
131 IAV-associated microscopic lesions in the lungs was performed for each animal. The lesional  
132 scoring was graded on the basis of lesion severity as previously described by Vidaña et al  
133 (Vidaña et al., 2014).

### 134 ***RNA extraction and microarray processing and analyzing***

135 At designated time points (1, 5 and 10 dpi), C57BL6 mice were euthanized and lung tissue was  
136 collected in RNA-later and stored at  $-80^{\circ}\text{C}$  until further processing. Total RNA was extracted  
137 from lung samples using the Ribopure kit (Ambion, Life technology). RNA integrity and  
138 concentration were evaluated as previously described (Almansa et al., 2015). A total amount of  
139 100 ng of mRNA was processed as described to obtain Cyanine 3-CTP-labeled cRNA (Almansa  
140 et al., 2015). Next cRNA was hybridized with Mouse GE 4x44K v2 Microarray Kit (Agilent p/n  
141 G4846A) overnight (17hrs) at  $65^{\circ}\text{C}$  on a rotator. Image acquisition was performed using an  
142 Agilent Microarray Scanner (Agilent G2565CA) and data were extracted using the Agilent  
143 Feature Extraction Software 10.7.1.1 following the Agilent protocol GE1-107\_Sep09. Raw data

144 were collected and preprocessed by using the GeneSpring GX 12.0 software (Almansa et al.,  
145 2015). This software was employed also to perform the statistical analysis, which involved the  
146 use of a moderate T test to identify those genes showing significant differences between their  
147 expression levels fixing a  $p$ -value  $< 0.05$  with further application of the Benjamini-Hochberg  
148 correction for multiple comparisons. A fold change in gene expression  $\geq 2$  was used to obtain the  
149 list of those genes showing the more important variations in their expression levels between  
150 groups along time (1, 5 and 10 dpi). Ingenuity pathway analysis (IPA) (Ingenuity Systems-  
151 Quiagen, Redwood City, CA) was employed to determine whether a canonical pathway is  
152 enriched with genes of interest by using Fisher's exact test.

### 153 ***Microarray Data Accession Number.***

154 Microarray expression data sets were uploaded at the Array Express microarray data repository  
155 and are available publicly under accession number E-MTAB-3866.

### 156 ***Validation of gene expression results from microarrays.***

157 Results of gene expression obtained using microarrays were confirmed by using a next  
158 generation PCR technology, droplet digital PCR (ddPCR), using the Bio-Rad QX200™ Droplet  
159 Digital™ PCR system. 5ng of total mRNA were retro-transcribed to cDNA and analysed by  
160 ddPCR using a Bio-Rad QX200™ platform as previously described (Tamayo et al., 2014).  
161 Quantification of expression levels of target mRNAs was performed using pre-designed  
162 TaqMan® Assay Primer/Probe Sets, (FAM labelled MGB probes, Thermo Fisher/Scientific- Life  
163 Technologies, Waltham, MA, USA): IL6 gene; interleukin 6 (Reference: Mm00446190\_m1) and  
164 IFNB1 gene; interferon beta 1 (Reference: Mm00439552\_s1). The droplet reader used at least  
165 10000 droplets to determine the percentage of positive droplets and calculation of copy number

166 of cDNA per ng of initial mRNA. Spearman correlation between ddPCR and microarrays results  
167 was performed using SPSS 15.0. (Fig. S1).

168 *Statistical analysis*

169 SPSS 15.00 software was employed for perform statistical comparison of weight loss and viral  
170 load between groups at all sampling times (SPSS Inc., Chicago, IL, USA). The statistical test  
171 used was the U Mann-Whitney, and the significance level ( $\alpha$ ) was set at 0.05. All graphs used for  
172 represent the variations on weight loss and viral load were performed using GraphPad Prism 6  
173 (GraphPad Software, La Jolla, CA, USA).

175 **Results**

176 *A (H1N1) pdm09 virus infection induced moderate weight loss during the first five days of*  
177 *infection.*

178 Weight was evaluated each day during the first 10 days following infection with the pandemic  
179 CAT09 virus. Even though the percentage of body weight loss in CAT09-infected animals was  
180 not dramatic, CAT09-infected mice showed significantly greater weight loss on the first five  
181 days compared to uninfected controls ( $p < 0.05$ ). After 5 dpi, infected mice began to recover  
182 their normal weight with no significant differences compared to mock mice (Figure 1a).

183 *Human A (H1N1) pdm09 virus causes a productive infection in the lower respiratory tract of*  
184 *mice.*

185 Virus titers in lung homogenates measured on 1, 5 and 10 dpi are shown in Figure 1b (n= 6 mice  
186 per group). The highest value in viral load detected was one day after infection (average:  
187  $1.08E+05$  PFU/g, SD:  $1.43E+05$ ). However, day 5 pi, infected animals were still secreting virus  
188 in lungs [ $1.01E+04$  PFU/g,  $0.86E+04$ ], becoming undetectable at day 10 p.i.

189 *CAT09-infected mice developed pneumonia at day 5 post-infection, fully recovering at day 10*  
190 *post infection.*

191 Lung tissues from 6 animals per group were histopathologically examined at day 1, 5 and 10 pi.  
192 As expected, control animals showed no histopathological lesions (Figure 2). Microscopic  
193 lesional scores were assigned for each animal (Figure 2 B). At 1 dpi, three of six infected mice  
194 presented histopathological lesions, two of them exhibited necrotizing bronchiolitis and the other  
195 one presented bronchointerstitial pneumonia. At day 5 pi, five of six animals presented severe  
196 bronchointerstitial pneumonia consisting of moderate to high numbers of lymphoplasmacytic  
197 cells and neutrophils infiltrated the bronchiole and surrounding alveoli (Figure 2). Nevertheless,

198 day 10 pi was characterized by the total resolution of lung lesions in the CAT09-infected  
199 animals.

200 ***A (H1N1) pdm09 virus induced changes in gene expression levels in the lungs***

201 Gene expression profiles (GEP) at lungs were compared between six infected animals and six  
202 mock mice at days 1, 5 and 10 pi. No differences in GEP were found at day 1 pi (Figure 3a), but  
203 important differences were observed at day 5 pi, paralleling the development of histological  
204 pneumonia (Figure 3b and Table S1). In the CAT09-infected mice group, 1264 genes showed a  
205 significant variation of their expression levels by day 5 pi compared to the control group (418  
206 up-regulated and 847 down expressed) (Figure 3b and Table S1). Genes showing the most  
207 important differences between both groups were interleukin 6 (IL6) (Fold change FC: 86.6),  
208 interferon beta 1 (IFN $\beta$ ) (FC: 62.6) and chemokine (C-X-C motif) ligand 10 (IP10), (FC: 43.3)  
209 (Figure 3 e,f and Table S1). Expression levels of the vast majority of genes normalized by day  
210 10, coinciding with virus clearance and resolution of histological changes (Figure 3 c, e, f and  
211 Table S1). Only 30 out of the 1264 genes kept on showing altered expression levels by day 10 p.i  
212 (Figure S2 and Table S1). Interestingly, expression levels of IL6 persisted remarkably high by  
213 this time point (FC:10.91) along with those of granzyme K (Gzmk) (FC:15.8) (Figure S2).

214 ***A (H1N1) pdm09 infection turned on the expression of genes involved in the innate response  
215 and in the switch to adaptive immunity by day 5 pi.***

216 Since most differences in gene expression were found by day 5 pi, we focused the Ingenuity  
217 pathway analysis (IPA) on that day. The list of 1264 genes (either up and down regulated) was  
218 analyzed by IPA in order to identify the canonical pathways that were enriched at day 5 pi.  
219 Notably, Cellular immune response and Cytokine Signaling were the two signaling pathway

220 categories more representative of our analysis (Table S2). The most significant canonical  
221 pathways identified by IPA are described in table 1 and figure 3d.

222 Most of these pathways were involved in the innate immune response and inflammation: [Role  
223 of Hypercytokinemia/ hyperchemokineemia in the Pathogenesis of Influenza (Figure 4), Hepatic  
224 Fibrosis/Hepatic Stellate Cell Activation, Agranulocyte Adhesion and Diapedesis, TREM1  
225 Signaling, Differential Regulation of Cytokine Production in Intestinal Epithelial Cells by IL-  
226 17A and IL-17F, Granulocyte Adhesion and Diapedesis, Altered T Cell and B Cell Signaling in  
227 Rheumatoid Arthritis, Differential Regulation of Cytokine Production in Macrophages and T  
228 Helper Cells by IL-17A and IL-17F, Role of IL-17F in Allergic Inflammatory Airway Diseases,  
229 Graft-versus-Host Disease Signaling, Role of Macrophages, Fibroblasts and Endothelial Cells in  
230 Rheumatoid Arthritis, Role of Pattern Recognition Receptors in Recognition of Bacteria and  
231 Viruses and Wnt/ $\beta$ -catenin Signaling pathway]. The vast majority of the genes involved in these  
232 pathways coded for cytokines (Table S2). H1N1 virus also induced alterations in pathways  
233 participating in the switch from innate to adaptive immunity: [Communication between Innate  
234 and Adaptive Immune Cells, Crosstalk between Dendritic Cells and Natural Killer Cells, T  
235 Helper Cell Differentiation]. Supplementary table S3 and figure 3 e,f show the variation of the  
236 genes participating in these pathways along the study course.

237

**239 Discussion**

240 The overarching aim of this work was to study the role of inflammation at pulmonary level  
241 during a non-fatal infection caused by the 2009 pandemic influenza virus using the mice model.  
242 In this sense, we analyzed the gene expression profiles (GEP) and its relation to histology and  
243 viral dynamics in the lungs of healthy immune-competent mice with pneumonia caused by  
244 human influenza A (H1N1) pdm09 virus.

245 Our GEP analysis allowed us to identify the presence of marked activation of innate immunity  
246 genes by day 5post infection, paralleling the existence of extensive pneumonic/cellular infiltrates  
247 in the lung, and active viral replication. The innate immune response is the first line of defence  
248 against invading viruses (Iwasaki & Pillai, 2014). Infection of the respiratory tract induced thus a  
249 typical antiviral response characterised by the activation of pro-inflammatory cytokines and  
250 interferon (IFNs) response genes (ISGs). In our analysis, the genes showing higher differences  
251 for their expression levels between infected mice and controls were IL6, IFN $\beta$ , and IP10. These  
252 molecules, along with TNF and IL1 $\beta$  (also over-expressed at day 5), are the major cytokines  
253 limiting viral replication during influenza infection, recruiting immune cells to the sites of  
254 infection and producing inflammation (Nicholls, 2013).

255 IL6 is a pro-inflammatory cytokine which role in the pathogenesis of the A (H1N1) pdm09  
256 remains unclear. There is a consensus in the literature about the existence of high systemic levels  
257 of IL6 in severe patients infected by A (H1N1) pdm09 virus (Bermejo-Martin et al., 2009) (To et  
258 al., 2010) (Zúñiga et al., 2011). This molecule induces pro-inflammatory responses such as  
259 leukocyte recruitment into the lung. Excessive production of IL6 has been associated with  
260 several pathological manifestations(Ho, Luo & Lai, 2015) (Baillet et al., 2015). However,  
261 Paquette et al demonstrated in IL6 deficient mice infected with A (H1N1) pdm09, that no

262 significant differences in survival, weight loss, viral load, or pathology were observed between  
263 IL6 deficient and wild-type mice following infection. Based in our results, presence of high  
264 expression levels of this cytokine in the lung at day 10 could indicate that this cytokine plays a  
265 role in viral clearance and tissue repair after pneumonia. Other mouse models support the idea of  
266 a protective role of IL6 in influenza infections (Lauder et al., 2013).

267 IFN $\beta$  is a cytokine member of type I interferon family. It induces an antiviral state in infected  
268 and neighbouring cells (Ramos & Fernandez-Sesma, 2015). To do so, IFNs induce the  
269 transcription of hundreds of ISGs, which leads to numerous changes in the transcriptome of the  
270 cell. Interestingly, in our analysis, some OAS genes (OAS1a, OAS1f, OASL1 and OAS2), IFIT  
271 genes (IFIT1, IFIT2 and IFIT3), MX1, SOCS1 and CXCL10, all of them ISG genes, showed  
272 high expression levels in the infected mice compared with controls. The antiviral interferon  
273 response increased at day 5 pi. but decreased at day 10 pi. coinciding with viral clearance. These  
274 results are similar to the observations reported in previous studies using ferrets infected by A  
275 (H1N1) pdm09 virus (León et al., 2013) (Rowe et al., 2010b). This authors showed an early  
276 robust innate ISG and chemokine response that shut down on day 7-10 pi, when viral load was  
277 undetectable.

278 The activation of a group of genes involved in the “Role of  
279 hypercytokinemia/hyperchemokemia in the Pathogenesis of Influenza” pathway, evidence the  
280 existence of a local “cytokine storm” in the lung, following infection by A (H1N1) pdm09 virus.  
281 Hypercytokinemia/hyperchemokemia is a common finding that characterized an influenza  
282 infection at transcriptomic level (Morrison et al., 2014), (Ma et al., 2011), (León et al., 2013),  
283 (Rowe et al., 2010b) . Several experimental studies suggested that cytokine storm correlated  
284 directly with tissue injury and an unfavorable prognosis of severe influenza (Liu, Zhou & Yang,

285 2016). In our study, concomitant with high expression levels of IL6, IFN $\beta$  and ISGs, the virus  
286 activated Th1 and chemokine responses mediated by IL1a, IL1b, IL12b, TNF, MCP1 and  
287 RANTES. These results are similar to those found at systemic level in patients with primary viral  
288 pneumonia (Bermejo-Martin et al., 2009) (Hagau et al., 2010) (To et al., 2010). In our model, the  
289 marked inflammatory program observed by day 5 in the lung got deactivated by day 10,  
290 paralleling resolution of histological changes and viral replication. Moreover, evaluation of gene  
291 expression levels along time in the infected group confirmed the appearance of a strong pro-  
292 inflammatory response at day 5 that is downmodulated at day 10 (Figure 3e,f and Supplementary  
293 table S3). Similar results were also found in other experimental studies (Josset et al., 2012b),  
294 (León et al., 2013), (Rowe et al., 2010b), where the decreased of cytokine expression levels  
295 characterized the recovery phase of the disease. Therefore, a failure to effectively regulation of  
296 excessive inflammation may be, in part, responsible for severe cases of 2009-H1N1.

297 In turn, the activation of genes involved in “Agranulocyte Adhesion and Diapedesis”, “TREM1  
298 Signaling”, “Granulocyte Adhesion and Diapedesis”, “Graft-versus-Host Disease Signaling and  
299 “Role of Pattern Recognition Receptors in Recognition of Bacteria and Viruses” might confirm  
300 the existence of a transcriptomic program aimed to recruit lymphocytes, monocytes and  
301 neutrophils to the site of infection. Histological studies at day 5 pi confirmed the presence of  
302 extensive pneumonic/cellular infiltrates into the lung. Although the primary role of the innate  
303 immune response is limiting viral replication, excessive activation of innate immunity could  
304 induce tissue damage (Vidaña et al., 2014) (de Jong et al., 2006). This phenomenon seems to  
305 occur also in the context of autoimmunity diseases such as Rheumatoid Arthritis (Catrina et al.,  
306 2016). In fact, “Altered T Cell and B Cell Signaling in Rheumatoid Arthritis” and “Role of  
307 Macrophages, Fibroblasts and Endothelial Cells in Rheumatoid Arthritis” are two of the

308 significant pathways identified by IPA in our analysis. In influenza disease, an exaggerated  
309 inflammatory response has been cited as the cause of pulmonary oedema, alveolar haemorrhage  
310 and acute respiratory distress syndrome, conditions associated with necrosis and tissue  
311 destruction (To et al., 2001). Most of the genes participating in these pathways decreased their  
312 expression levels on day 10 pi. paralleling resolution of pneumonia, reinforcing the idea that a  
313 correct modulation of inflammatory response is essential for recovery in this disease.

314 IPA identified also three pathways related to interleukin 17: “Differential Regulation of Cytokine  
315 Production in Intestinal Epithelial Cells by IL-17A and IL-17F, “Differential Regulation of  
316 Cytokine Production in Macrophages and T Helper Cells by IL-17A and IL-17F and “Role of IL-  
317 17F in Allergic Inflammatory Airway Diseases”. Th-17 immunity participates in clearing  
318 pathogens during host defence reactions but is involved also in tissue inflammation in several  
319 autoimmune diseases, allergic diseases, and asthma (Nalbandian, Crispin & Tsokos, 2009)  
320 (Cheung, Wong & Lam, 2008). In severe influenza it has been proposed to play a beneficial role  
321 (Iwakura et al., 2008) (Bermejo-Martin et al., 2009) (Almansa et al., 2011b).

322 IPA also identified low expression levels of a group of genes involved in the “Wnt/ $\beta$ -catenin  
323 Signaling pathway” at 5 pi. It has been previously described that influenza virus down-regulates  
324 the expression of proteins of this pathway like FZD (Shapira et al., 2009). This is consistent with  
325 low expression levels of FZD2 and FZD7 genes found in our analysis. The biological  
326 repercussion associated to down-modulation of this pathway remains to be elucidated.

327 Finally, the activation of those cytokine genes involved in the [Communication between Innate  
328 and Adaptive Immune Cells, Crosstalk between Dendritic Cells and Natural Killer Cells, T  
329 Helper Cell Differentiation] signalling pathways at day 5 pi. could be reflecting the development  
330 of the adaptive immune response against the virus. Later

331 activation of the adaptive immune response was previously supported by increased levels of  
332 granzyme mRNAs in blood cells (Rowe et al., 2010a). In our study, the virus induced the  
333 expression of granzyme A, B, and K at day 5 pi. Moreover, expression levels of granzyme K  
334 persisted remarkably high at day 10 pi, which is consistent with the data published in ferret  
335 infected by A (H1N1) pdm09 virus (Rowe et al., 2010a).

336 There are several works evaluating host transcriptomic responses to A (H1N1) pdm09 virus  
337 using animal models (Powell & Waters, 2017), with different scope, but not of all them properly  
338 integrate the gene expression profiles induced by the infection with the pathogenic events to build  
339 a comprehensive model to improve our understanding on the events underlying the appearance  
340 and resolution of pneumonia caused by influenza (see table S4). The vast majority of these  
341 experimental models have focused on studying the host immune responses to the virus only on  
342 the acute phase of infection (Ma et al., 2011), (Camp et al., 2012), (Josset et al., 2012a), (Zou et  
343 al., 2013), (Morrison et al., 2014). The present work studies the relationship between host  
344 transcriptomic responses and the progression and resolution of infection caused by the A (H1N1)  
345 pdm09 influenza virus. The most similar study to the one we present here is that published by  
346 Rowe et al, 2010. In that paper, the authors employ ferrets, which is one of the best models to  
347 reproduce human pathology in the context of influenza but, at the same time it is not an easily  
348 available model, being expensive and complicated to manage. Our study employed a mouse  
349 model, which is a more affordable, but nonetheless reproduced the major findings of Rowe *et al*,  
350 who evidenced the existence of a exuberant cytokine and chemokines response at the lungs  
351 paralleling histological changes, which was down-modulated following resolution of these  
352 changes (Rowe et al., 2010b). Our results support thus the potential use of this mice model for  
353 the study of immunopathology in influenza infection and for those works evaluating

354 immunomodulators for the treatment of this disease. While other studies **uses a mice adapted**  
355 **influenza strain such as PR8 (Pommerenke et al., 2012) or** other mouse passaged 2009 strains,  
356 **(Josset et al., 2012a), (Manchanda et al., 2016),** our study employs a strain obtained directly from  
357 a human patient. Mouse adaptation results in increased virulence and lung pathology and also  
358 induces a strong host transcriptional response after infection compared with non adapted  
359 influenza strains (Josset et al., 2012a). In our opinion, our model could help to better understand  
360 the immune-pathogenic events on the basis of the most common scenario during the pandemics,  
361 which was that corresponding to a non severe infection.

## 362 **Conclusions**

363 In conclusion, our findings suggest a dual role of pulmonary inflammation during non-fatal  
364 infection caused by the 2009 pandemic influenza virus. On one side, the activation in the lung of  
365 a marked innate immunity transcriptomic program was associated to the appearance of  
366 pneumonia, but on the other hand, activation of this program paralleled viral clearance (Figure  
367 5). Understanding the dynamics of the host's transcriptomic and virus changes over the course of  
368 the infection caused by A (H1N1) pdm09 might help to identify the immune response profiles  
369 associated to effective / balanced responses against influenza virus.

370

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607  
608

609 **Figure legends:**

610 ***Figure 1: Changes in body weight and lung viral load induced by A (H1N1) pdm09 virus.***

611 **A)** Average weight curve for C57BL6 mice infected through intranasal instillation with 50  
612  $\mu\text{L}$  CAT09 at  $10^4$  PFU A/Catalonia/63/2009 (H1N1pdm) and mock.

613 **B)** Viral load in lung homogenates collected at days 1, 5 and 10 pi. (n=6 for all groups).  
614 Infection of Madin-Darby Canine Kidney cells was employed to measure viral titers.

615 The U Mann-Whitney test was used to compare weight loss and viral load between groups at  
616 all sampling time. The significance level ( $\alpha$ ) was set at 0.05. Asterisks indicate significant  
617 differences between groups (A) or between times points (B).

618

619 ***Figure 2: Histopathology of mice belonging to control and CAT09 groups at day 1, 5 and 10***

620 ***pi.***

621 **A)** Hematoxilin/Eosin stain. Arrows indicate the infiltrate in the viral infected lungs.

622 **B)** Microscopic lesional scores: grade 0 (no histopathological lesions observed), grade 1  
623 (mild to moderate necrotising bronchiolitis), grade 2 (bronchointerstitial pneumonia  
624 characterised by necrotising bronchiolitis and diffuse alveolar damage in adjacent  
625 alveoli), and grade 3 (necrotising bronchiolitis and diffuse alveolar damage in the  
626 majority of the pulmonary parenchyma) (Vidaña et al., 2014).

627

628 ***Figure 3: Pulmonary gene expression profiles at day 1, 5 and 10 post infection.***

629 **A,B,C)** Volcano plots for the representation of the number of genes with significant  
630 variation of their expression levels between CAT09 and mock groups, at different time

631 points (1 (A), 5 (B) and 10 (C) dpi). The level of significance was fixed in  $p < 0.05$ , with  
632 Benjamini-Hochberg multiple testing corrections and Fold change  $\geq 2$ . The list of genes  
633 differentially expressed between groups is shown in table S1.

634

635 D) *Top 20 Canonical signaling pathways altered by A (H1N1)pdm 09 virus*. The x-axis  
636 represents the percent of genes of each *pathway whose expression levels were altered by*  
637 *the virus*. Genes involved in the top 20 canonical signalling pathways are shown in table  
638 S2.

639

640 E, F) Gene expression levels of cytokines, chemokines (E) and IFN-stimulated genes (F)  
641 during infection with A (H1N1) pdm09 influenza virus. The heat map depicts the most  
642 representative immune response-related genes (yellow and blue coloured genes in Table  
643 S3) that were differentially expressed between infection conditions at different time  
644 points. Colours represent the average value of gene expression levels of infected animals  
645 for each time point.

646

647 ***Table 1: Top 20 Canonical signaling pathways altered by A (H1N1)pdm 09 virus.***

648 This table summarized the most significant canonical pathways identify by “Ingenuity pathway  
649 analysis”. The IPA system implements Fisher's exact test to determine whether a canonical  
650 pathway is enriched with genes of interest (the level of significance was fixed in  $p < 0.05$ ). The  
651 ratio shows the number of genes whose expression levels were different between CAT09 and  
652 mock groups, of the total of genes that have been described previously in each pathway.

653

654 **Figure 4: Role of Hypercytokinemia/hyperchemokinememia in the Pathogenesis of Influenza**  
655 **signaling pathway.**

656 “Ingenuity pathway analysis” identified this route as the most altered pathway of the analysis.

657 Red: genes up-regulated in the infected group compared with non-infected mice.

658

659 **Figure 5: Model of uncomplicated A (H1N1) pdm09 viral infection:**

660 The virus induced the activation of a marked pro-inflammatory program at the lung level

661 paralleling the emergence of histological changes. This program was associated to viral

662 clearance, and its resolution was accompanied by resolution of pneumonia.

663

664 **Supplemental information:**

665 **Fig. S1: Droplet digital PCR validation of microarray data:** Expression values obtained from  
666 the microarrays for IFNB1 and IL6 genes showed a significant positive correlation, confirmed by  
667 using digital droplet PCR.

668

669 **Table S1: List of genes differentially expressed between infected mice and controls.** FC: fold  
670 change. Highlighted genes in colour represent genes involved in the top 20 canonical pathways  
671 identified by IPA. Orange: represent cytokines or chemokines genes and blue represent  
672 interferon stimulated genes. Red represents granzyme molecules.

673

674 **Fig. S2: Pulmonary gene expression profiles at day 5 and 10 post infection**

675 A) Venn diagram showing those genes whose expression levels differed from controls  
676 either at day 5 and day 10, and those which differed only at one time point.

677 B) Heatmap of the common signature across different time points. The colour is  
678 proportional to their fold change (FC) compared to mock group, with the scale  
679 ranging from -4.2 FC (blue) to 4.2 FC (red).

680

681 ***Table S2: Genes involved in the top 20 canonical signalling pathways altered by A (H1N1)***  
682 ***pdm 09 virus at day 5 post infection.***

683 FC: fold change.

684

685 ***Table S3: Variation of gene expression along time in the infected group:*** Highlighted genes in  
686 colour represent genes involved in the top 20 canonical pathways identified by IPA. Orange:  
687 represent cytokines or chemokines genes and blue represent interferon stimulated genes. Red  
688 represents granzyme molecules.

689

690 ***Table S4: Studies evaluating host transcriptomic responses to A (H1N1) pdm09 influenza***  
691 ***virus in animal models.***

692 This table summarized the most significant previous studies evaluating the transcriptomic  
693 response to A (H1N1) pdm09 virus in different animal models.

694 EID<sub>50</sub>: 50% Egg Infective Dose, TCID<sub>50</sub>: 50% Tissue Culture Infective Dose, PFU: Plaque  
695 Forming Unit, dpi: days post infection.

# Figure 1

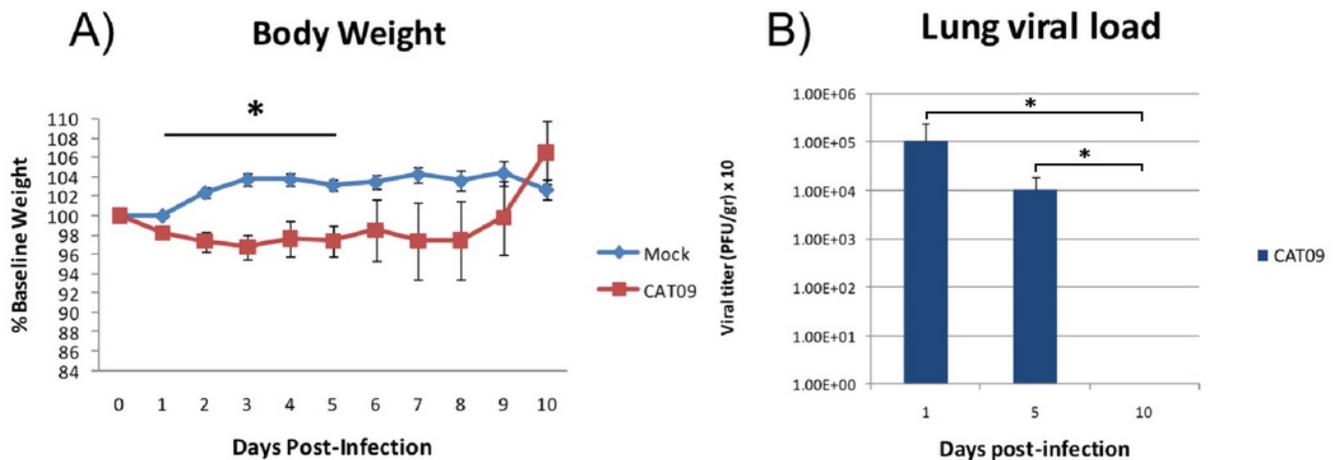
Changes in body weight and lung viral load induced by A (H1N1) pdm09 virus.

**A)** Average weight curve for C57BL6 mice infected through intranasal instillation with 50  $\mu$ L CAT09 at  $10^4$  PFU A/Catalonia/63/2009 (H1N1pdm) and mock.

**B)** Viral load in lung homogenates collected at days 1, 5 and 10 pi. (n = 6 for all groups). Infection of Madin-Darby Canine Kidney cells was employed to measure viral titers.

The U Mann-Whitney test was used to compare weight loss and viral load between groups at all sampling time. The significance level ( $\alpha$ ) was set at 0.05. Asterisks indicate significant differences between groups (A) or between times points (B).

\*

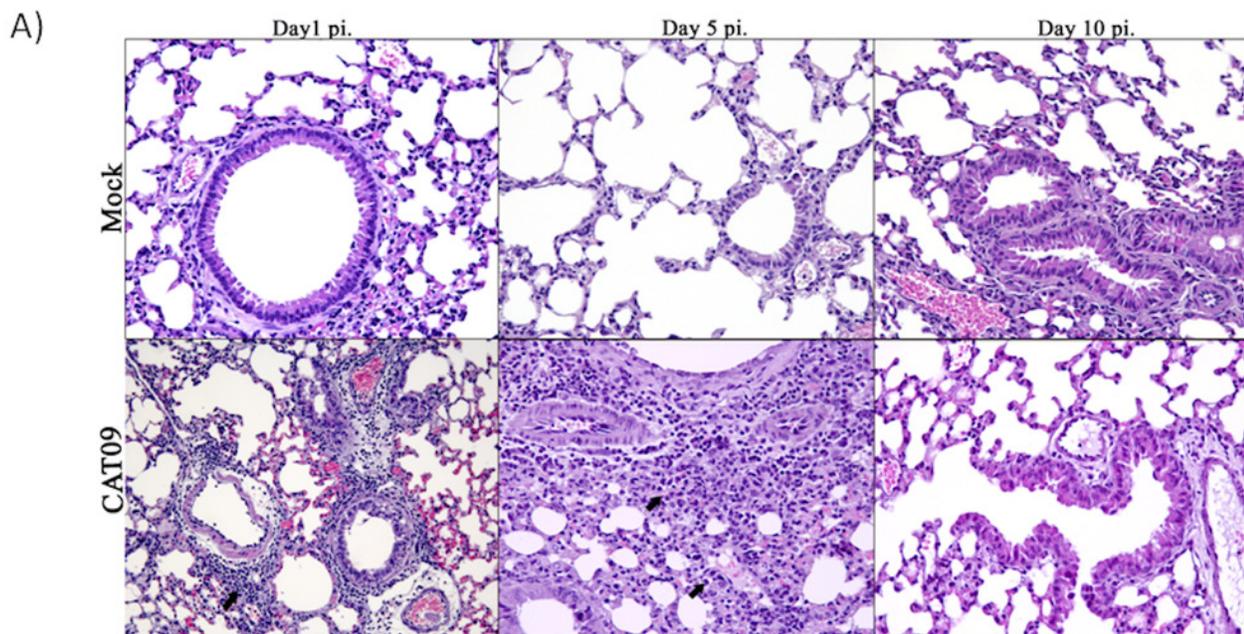


## Figure 2

Histopathology of mice belonging to control and CAT09 groups at day 1, 5 and 10 pi.

A) Hematoxylin/Eosin stain. Arrows indicate the infiltrate in the viral infected lungs.

B) Microscopic lesional scores: grade 0 (no histopathological lesions observed), grade 1 (mild to moderate necrotising bronchiolitis), grade 2 (bronchointerstitial pneumonia characterised by necrotising bronchiolitis and diffuse alveolar damage in adjacent alveoli), and grade 3 (necrotising bronchiolitis and diffuse alveolar damage in the majority of the pulmonary parenchyma) (Vidaña et al., 2014) .



B)

	Experimental group	Hystological severity score				Average
		0	1	2	3	
Day 1pi.	Mock	6				0
	CAT09	3	2	1		0,7
Day 5pi.	Mock	6				0
	CAT09	1		5		1,7
Day 10 pi.	Mock	6				0
	CAT09	6				0

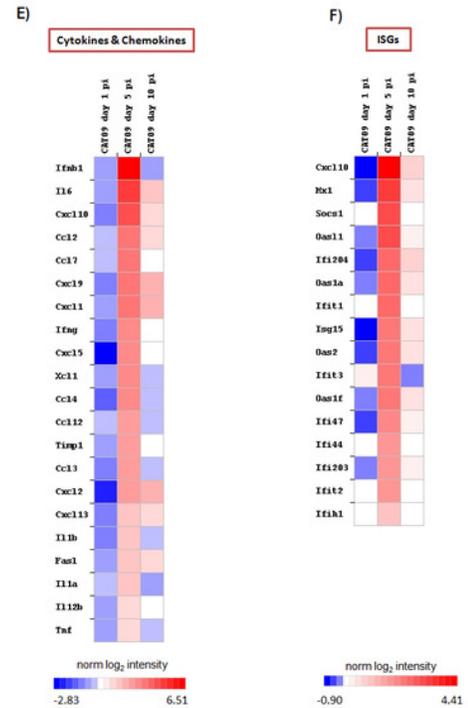
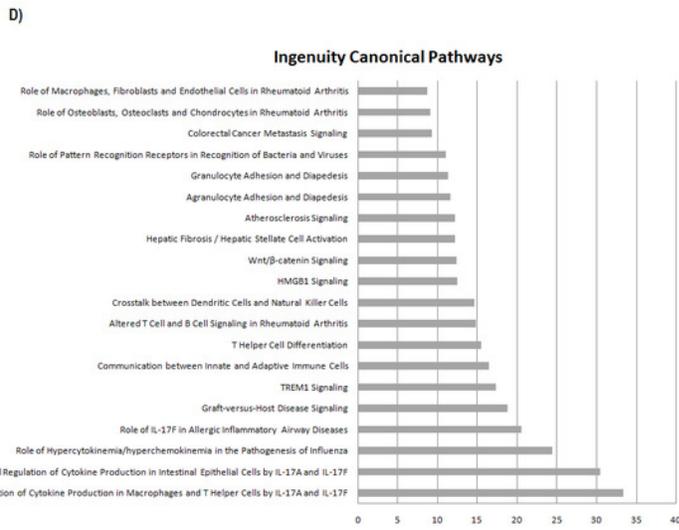
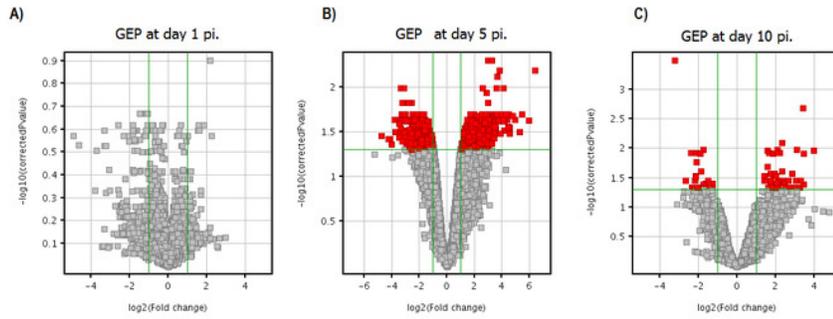
## Figure 3

Pulmonary gene expression profiles at day 1, 5 and 10 post infection.

A,B,C) Volcano plots for the representation of the number of genes with significant variation of their expression levels between CAT09 and mock groups, at different time points (1 (A), 5 (B) and 10 (C) dpi). The level of significance was fixed in  $p < 0.05$ , with Benjamini-Hochberg multiple testing corrections and Fold change  $> 2$ . The list of genes differentially expressed between groups is shown in table S1.

D) *Top 20 Canonical signaling pathways altered by A (H1N1)pdm 09 virus*. The x-axis represents the percent of genes of each pathway whose expression levels were altered by the virus. Genes involved in the top 20 canonical signalling pathways are shown in table S2.

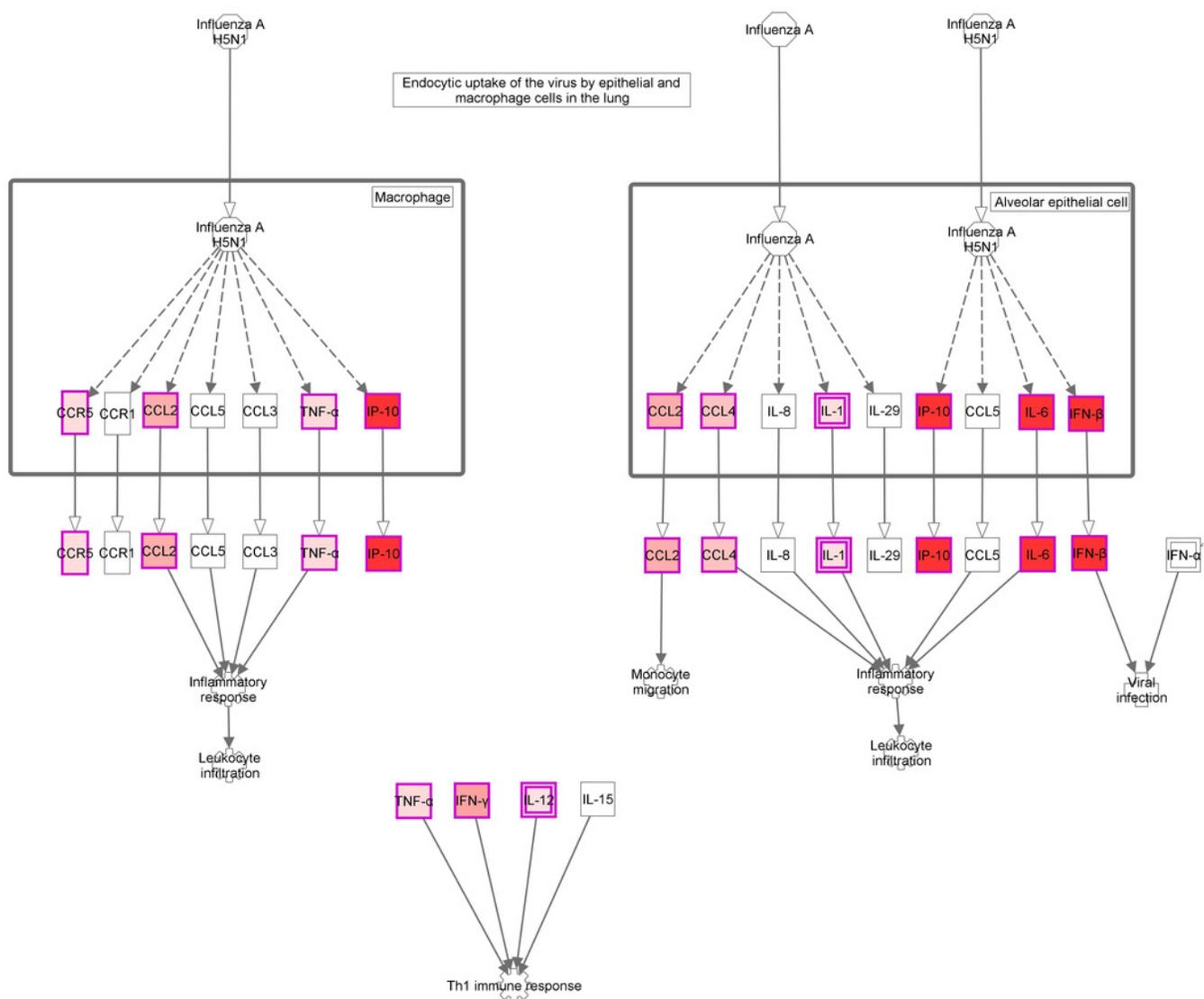
E, F) Gene expression levels of cytokines, chemokines (E) and IFN-stimulated genes (F) during infection with A (H1N1) pdm09 influenza virus. The heat map depicts the most representative immune response-related genes (yellow and blue coloured genes in Table S3) that were differentially expressed between infection conditions at different time points. Colours represent the average value of gene expression levels of infected animals for each time point.



## Figure 4

Role of Hypercytokinemia/hyperchemokinema in the Pathogenesis of Influenza signaling pathway.

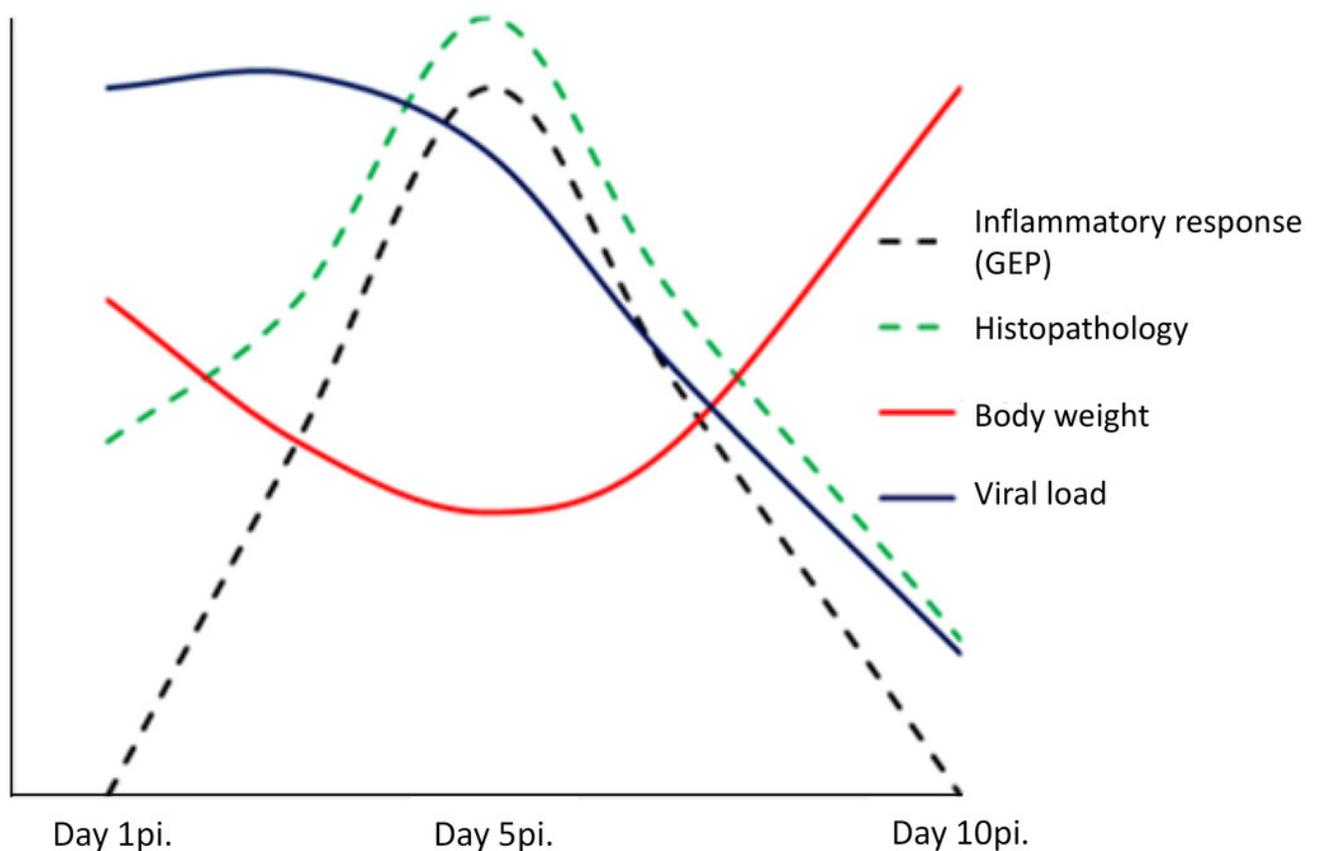
“Ingenuity pathway analysis” identified this route as the most altered pathway of the analysis. Red: genes up-regulated in the infected group compared with non-infected mice.



## Figure 5

Model of uncomplicated A (H1N1) pdm09 viral infection

The virus induced the activation of a marked pro-inflammatory program at the lung level paralleling the emergence of histological changes. This program was associated to viral clearance, and its resolution was accompanied by resolution of pneumonia.



**Table 1** (on next page)

Top 20 Canonical signaling pathways altered by A (H1N1)pdm 09 virus.

This table summarized the most significant canonical pathways identify by “Ingenuity pathway analysis”. The IPA system implements Fisher's exact test to determine whether a canonical pathway is enriched with genes of interest (the level of significance was fixed in  $p < 0.05$ ). The ratio show the number of genes whose expression levels were different between CAT09 and mock groups, of the total of genes that have been described previously in each pathway.

1 **Top 20 Canonical signaling pathways altered by A (H1N1)pdm 09 virus**

<b>Ingenuity Canonical Pathways</b>	<b>p value</b>	<b>Ratio</b>	<b>Top Functions &amp; Diseases</b>
Role of Hypercytokinemia/hyperchemokine in the Pathogenesis of Influenza	<0.001	0.244	Cell-To-Cell Signaling and Interaction; Cellular Movement; Hematological System Development and Function
Hepatic Fibrosis / Hepatic Stellate Cell Activation	<0.001	0.122	Organismal Injury and Abnormalities; Cardiovascular System Development and Function; Organismal Development
Communication between Innate and Adaptive Immune Cells	<0.001	0.165	Cell-To-Cell Signaling and Interaction; Cellular Growth and Proliferation; Hematological System Development and Function
Wnt/ $\beta$ -catenin Signaling	<0.001	0.124	Gene Expression; Cellular Development; Tissue Development
Agranulocyte Adhesion and Diapedesis	<0.001	0.116	Cell-To-Cell Signaling and Interaction; Tissue Development; Hematological System Development and Function
TREM1 Signaling	<0.001	0.173	Cell-To-Cell Signaling and Interaction; Hematological System Development and Function; Immune Cell Trafficking
Differential Regulation of Cytokine Production in Intestinal Epithelial Cells by IL-17A and IL-17F	<0.001	0.304	Cell-To-Cell Signaling and Interaction; Hematological System Development and Function; Immune Cell Trafficking
Granulocyte Adhesion and Diapedesis	<0.001	0.113	Cell-To-Cell Signaling and Interaction; Hematological System Development and Function; Immune Cell Trafficking
Altered T Cell and B Cell Signaling in Rheumatoid Arthritis	<0.001	0.148	Hematological System Development and Function; Tissue Morphology; Cellular Development
Differential Regulation of Cytokine Production in Macrophages and T Helper Cells by IL-17A and IL-17F	<0.001	0.333	Cell-To-Cell Signaling and Interaction; Hematological System Development and Function; Immune Cell Trafficking
Role of IL-17F in Allergic Inflammatory Airway Diseases	<0.001	0.205	Connective Tissue Disorders; Immunological Disease; Inflammatory Disease
Crosstalk between Dendritic Cells and Natural Killer Cells	<0.001	0.146	Cell-To-Cell Signaling and Interaction; Cellular Growth and Proliferation; Hematological System Development and Function
HMGB1 Signaling	<0.001	0.125	Cell-To-Cell Signaling and Interaction; Cellular Movement; Hematological System Development and Function
Graft-versus-Host Disease Signaling	<0.001	0.188	Cellular Immune Response; Disease-Specific Pathways
T Helper Cell Differentiation	<0.001	0.155	Cell-mediated Immune Response; Cellular Development; Cellular Function and Maintenance
Atherosclerosis Signaling	<0.001	0.122	Cell-To-Cell Signaling and Interaction; Cellular Movement; Hematological System Development and Function
Role of Macrophages, Fibroblasts and Endothelial Cells in Rheumatoid Arthritis	<0.001	0.087	Cell Death and Survival; Cellular Development; Cellular Growth and Proliferation
Colorectal Cancer Metastasis Signaling	<0.001	0.093	Cell Death and Survival; Cell Cycle; Cellular Development
Role of Osteoblasts, Osteoclasts and Chondrocytes in Rheumatoid Arthritis	0.001	0.091	Hematological System Development and Function; Tissue Morphology; Cellular Development
Role of Pattern Recognition Receptors in Recognition of Bacteria and Viruses	0.001	0.110	Antimicrobial Response; Inflammatory Response; Infectious Disease