Comparison of human papillomavirus (HPV) detection in urine and cervical swab samples using the HPV GenoArray Diagnostic assay

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Human papillomavirus (HPV) is the leading cause of cervical cancer. Urine-based HPV testing would be a simple and non-invasive method. It may have the advantage of increasing acceptance of screening. A total of 164 pairs of cervical swab and urine samples from Thai women who underwent cancer screening were used for HPV testing with HPV GenoArray Diagnostic Kits. The overall concordance percentage for HPV detection in the cervical swab and urine samples was 65.2%. The HPV genotypes most commonly detected were HPV16 and HPV18. An analysis of the urine samples and a second analysis of the cervical swab samples showed that the differences in the overall HPV detection rate between women with normal and abnormal cytology were not significant (p > 0.05). Urine samples processed with the HPV GenoArray assay may be useful for the clinical management of HPV infection and the technique could be an accurate, noninvasive method for monitoring HPV infections in women.

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21 ABSTRACT

22 Human papillomavirus (HPV) is the leading cause of cervical cancer. Urine-based HPV testing 23 would be a simple and non-invasive method. It may have the advantage of increasing acceptance of screening. A total of 164 pairs of cervical swab and urine samples from Thai women who 24 underwent cancer screening were used for HPV testing with HPV GenoArray Diagnostic Kits. 25 The overall concordance percentage for HPV detection in the cervical swab and urine samples 26 27 was 65.2%. The HPV genotypes most commonly detected were HPV16 and HPV18. An analysis 28 of the urine samples and a second analysis of the cervical swab samples showed that the differences in the overall HPV detection rate between women with normal and abnormal 29 30 cytology were not significant (p > 0.05). Urine samples processed with the HPV GenoArray assay may be useful for the clinical management of HPV infection and the technique could be an 31 accurate, noninvasive method for monitoring HPV infections in women. 32 33 34 35 36 37 38 39

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41 **INTRODUCTION**

42 Human papillomavirus (HPV) causes cervical cancer (Koutsky, 1997). Approximately 170 genotypes have been identified (De Villiers et al., 2004) and at least 40 genotypes infect the 43 human anogenital tract (De Villiers, 2013). The genital HPVs are classified into high-risk and 44 low-risk genotypes depending on their association with uterine cervical cancer (Muñoz et al., 45 2003). The high-risk genotypes most commonly detected in uterine cervical cancer are HPV16, 46 47 18, 31, 33, 35, 45, 52, 58, 39, 51, 56, and 59 (Bouvard et al., 2009). The Papanicolaou (Pap) test is a cost-effective way to screen for cervical cancer. The test 48 results help physicians to detect precancerous lesions and determine the course of treatment. Pap 49 50 test has been shown to reduce the incidence of mortality from cervical cancer (Mählck et al., 1994). However, it is primarily used for detecting invasive cervical cancer and cannot identify 51 asymptomatic HPV infection (Safaeian et al., 2007; Levden et al., 2005). Nevertheless, 52 53 supplementary HPV DNA assays are often used in combination with the traditional Pap smear test (Cox et al., 1995). 54

HPV DNA detection in urine samples may be a feasible alternative to HPV DNA detection in 55 cervical specimens. Urine collection could provide an especially simple, non-invasive method 56 for screening (Prusty et al., 2005). The benefits of using urine for HPV DNA detection have 57 been evaluated in disease surveillance and screening for cervical cancers involving specific 58 genotypes. HPV DNA urine testing can be used to identify abnormal cells in adolescent girls and 59 young women who do not wish to have a vaginal examination (Vorsters et al., 2014; Enerly et 60 al., 2013). Some studies have reported a high HPV detection sensitivity for urine-based assays 61 (Forslund et al., 1993; Hagihara et al., 2016; Bernal et al., 2014), while other studies have 62

reported a low HPV detection sensitivity from urine-based assays (*D'Hauwers et al., 2007; Nilyanimit et al., 2013*).

Molecular methods for HPV testing have been explored, such as PCR/sequencing (De Roda 65 Husman et al., 1995), the INNO-LiPA HPV Assay (Van Hamont et al., 2006), and the Hybrid 66 Capture 2 test (HCII) assay (Kubota et al., 1998). In addition, the HPV GenoArray Diagnostic 67 68 Kit (Hybribio Ltd., Sheung Wan, Hong Kong) is a recently developed PCR-based HPV genotyping assay, which uses L1 consensus primers to amplify 21 HPV genotypes. It is then 69 followed by flow-through hybridization with immobilized genotype-specific probes. This test is 70 71 currently used in several hospitals in China (Liu et al., 2010). A previous study showed that the sensitivity of the HPV GenoArray assay was 97.8% and the specificity was 100%. (Juan et al., 72 2013). 73

The aim of this study was to evaluate the use of a urine-based assay as a non-invasive method
for HPV detection and to genotype the samples using the HPV GenoArray assay.

76 MATERIALS & METHODS

The research protocol was approved by the Ethics Committee of the National Cancer Institute,
Bangkok, Thailand (number EC COA 037/2012), and the Institutional Review Board of the
Faculty of Medicine, Chulalongkorn University, Bangkok, Thailand (number 389/2555). The
objective of the study was explained to the patients, and written consent was obtained from all
participants. Each specimen was sent to be tested in an anonymized way, with a participantspecific numerical code and the participant's age.

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84 Clinical specimens

The 164 pairs of specimens (a Pap smear sample from the cervix and a first-void urine sample) were divided into three groups: 95 samples indicating normal cytology, 50 samples indicating low-grade squamous intraepithelial lesions (LSIL), and 19 samples indicating high-grade squamous intraepithelial lesions (HSIL). The ages of the patients enrolled in this study were between 19 and 69 years.

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91 Sample preparation

Each Pap smear sample (which are the standard samples for HPV genotyping) was evaluated by
a specialized cytotechnologist and the results were confirmed by a pathologist. All the Pap smear
samples were kept in liquid-based cytology (LBC) buffer (ThinPrep, Hologic, Marlborough,
MA, USA).

The participants were asked to collect first-void urine (FVU) samples of 30–50 mL in a sterile

- 97 Cell PrepPlus (Biodyne, Gyeonggi-do, Korea) urine bottle. Samples were stored at 4 °C and
- processed within 3 days. Approximately 15 mL of each FVU was centrifuged at 3000 rpm for 5

min, and the supernatant was removed. Subsequently, 800 µL of the sample was added to a 1.5mL tube to wash the pellet, the sample was centrifuged at 8000 rpm for 5 min, and the
supernatant was removed. The DNA was extracted from the cervical swabs and urine pellets
using a DNA Prep Kit (Chaozhou Hybribio Biochemistry Ltd., Guangdong, China) and stored at
-20°C until testing.

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105 HPV GenoArray Diagnostic Assay

The extracted DNA from the cervical swab and urine samples was subjected to an HPV 106 genotyping assay using HPV GenoArray Diagnostic Kits (Hybribio Ltd., Sheung Wan, Hong 107 Kong). These kits utilized both DNA amplification and a flow-through hybridization technique 108 to identify 21 HPV genotypes including 13 high-risk types (HPV 16, 18, 31, 33, 35, 39, 45, 51, 109 52, 56, 58, 59, and 68), two probable high-risk types (HPV 53 and 66), and six low-risk or 110 unknown risk types (HPV 6, 11, 42, 43, 44, and CP8304 [HPV-81]). This assay uses an L1 111 consensus primer-based PCR and is different from the Linear Array HPV Genotyping Test, 112 which uses MY09 and MY11 primers (Liu et al., 2010). Subsequently, a flow-through 113 hybridization on a nylon membrane covered in immobilized HPV genotype-specific 114 115 oligonucleotides probes was performed. The presence of a positive result for the internal control and the biotin dots within the membrane indicates DNA quality, good enzyme conjugate, and 116 117 successful hybridization process. Results were manually interpreted using the manufacturer's 118 guidelines. The normal detection limit is \sim 500 copies/µL of target HPV DNA. Cross-reactivity from the amplification/detection of the 21 HPV genotypes was not reported. 119

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121 Statistical methods

- 122 A statistical analysis was performed using SPSS version 17.0 (SPSS Inc., Chicago, IL, USA).
- 123 Pearson's chi-square test for matched pairs was used to compare the performance of the two
- 124 types of samples regarding the detection of HPV genotypes. Statistical significance was defined
- as *p*<0.05.

126 **RESULTS**

The mean age of the 164 participants was 45.8 years. Among the women with normal cytology, the mean age was 50.5 years, while among those with abnormal cytology (LSIL or HSIL), the mean age was 41.1 years. The pairs of cervical swab and urine samples were suitable for analysis for this study because the biotin and internal controls were positive, which means that PCR

amplification would be effective.

According to the cervical swab samples, 65 of the 164 samples (39.6%) were HPV DNA

positive (Table 1). In total, 18 (11.0%) contained multiple HPV genotypes. The most common

HPV genotypes detected were HPV16 (12 samples) and HPV18 (8 samples). Thus, 20 of the 164

samples (12.2%) contained either HPV16 or HPV18. In the normal cytology group, 11 of the 95

samples (11.6%) were HPV DNA positive. In contrast, the LSIL and HSIL groups had 35

137 (10.0%) and 19 (100.0%) HPV DNA-positive samples, respectively.

138 Regarding the urine samples, 53 of the 164 samples (32.3%) were HPV DNA positive (Table

139 1). Of all the urine samples, 13 (7.9%) had multiple HPV genotypes. The most commonly

140 detected HPV genotypes were HPV18 (17 samples) and HPV16 (four samples). In total, 20 of

141 the 164 samples contained HPV16 or HPV18 (12.2%). In the normal cytology group, 10 of the

142 95 samples (10.5%) were HPV DNA positive. In contrast, the LSIL and HSIL groups had 35

143 (10.0%) and 8 (42.1%) HPV DNA-positive samples, respectively.

144 Pap smear samples have been used as standard samples for detecting HPV. The aim of this

study was to evaluate the efficacy of detection using urine compared with detection using the

standard sample. Comparing the cervical swab and urine specimen results, the overall

147 concordance was 65.2% (107/164). There was a concordance of 71.6% (68/95) in the normal

148 cytology group and a concordance of 56.5% (39/69) in the abnormal cytology group (Table 1).

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149 The sensitivity and specificity of the urine-based assay (using HPV GenoArray Detection Kits),

using the Pap smear results as the reference, were 56.5% and 70.6%, respectively. The sensitivity

and specificity from this study was lower than other studies (Table 2). The positive and negative

152 predictive values were 53.8% (95% CI = 41.9 to 65.4) and 72.7% (95% CI = 63.2 to 80.5),

153 respectively.

154 Regarding multiple HPV infections, the cervical swab-based assays were able to detect more

155 HPV genotypes in each sample. However, in the normal cytology group, for each pair of

156 biospecimens, the most common number of genotypes per sample was one. Similarly, in the

abnormal cytology group, 36 of the 69 cervical swab samples (52.2%) and 31 of the 69 urine

samples (44.9%) had a single genotype (Table 3).

159 An analysis of the urine samples and a second analysis of the cervical swab samples 160 showed that the differences in the overall HPV detection rate between the normal and abnormal 161 cytology groups were not significant (p > 0.05).

162 **DISCUSSION**

As a result of the use of the Pap test, screening attendance remains low (Gakidou et al., 2008), 163 while the estimated incidence of invasive cervical cancer remains high (Levden et al., 2005). In 164 Thailand, 25–38% of women aged 30–65 years have had only one Pap test (Sriamporn et al., 165 2006). When cervical testing for HPV is required, these results suggest that urine sample 166 167 collection could be an alternative non-invasive sampling method for monitoring HPV infection in women. In a previous study, the overall percentage agreement between HPV detection in urine 168 and cervical samples was 88% (Bernal et al., 2014) and, in this study, the percentage was 65.2%. 169 However, the results must be interpreted with caution owing to variation between the studies in 170 terms of the participant characteristics, surrogate nature of using cervical HPV detection to 171 screen for cervical disease, and lack of standardized urine testing methods. 172 Urine sample assays cannot be used to detect all of the genital HPV infections, but these 173 assays provide an alternative for use in epidemiological surveys in which invasive sampling is 174 difficult to perform; in these cases, testing urine for HPV DNA could be considered (Prusty et 175 al., 2005). Previous studies have compared HPV detection rates between cervical and urine 176 samples in order to evaluate the ability of urine-based assays to detect the prevalence of HPV 177 178 independently of cervical cytology assays (Daponte et al., 2006; Munoz et al., 2013). Research indicates that the sensitivity of urine testing for HPV 16 and 18 was higher for participants with 179 cervical cancer (88.8%) than for those with high- and low-grade lesions (Daponte et al., 2006). 180 181 These data showed that HPV DNA detection in urine samples from most groups of patients (HSIL, Normal) was lower than detection in cervical swabs. The reasons for this could be low-182 183 efficiency amplification (due to the presence of inhibitory substances in the urine), HPV DNA

loss during urine processing, or the urine samples being truly HPV DNA negative (*Brinkman et al., 2004*).

The HPV DNA analysis of urine samples needs to be developed further before a urine-based 186 assay can replace the Pap smear test. It is possible that a greater amount of urethral cells in the 187 urine samples could increase the sensitivity of the test. An analysis of the urine samples and a 188 189 second analysis of the cervical swab samples showed that the differences in the overall HPV detection rate between women with normal and abnormal cytology were not significant (p >190 0.05). This result suggests that urine could be used as a substitute for cervical swabs. However, 191 the urine samples should be optimized by preventing DNA degradation during extraction and 192 storage, recovering cell-free HPV DNA in addition to cell-associated DNA, processing a 193 sufficient volume of urine, and collecting the first portion of the urine stream in the morning 194 (Vorsters et al., 2014). 195

Using traditional cytological analysis, it is difficult to determine accurate screening results for 196 HPV-associated anogenital tumors. Therefore, HPV genotyping is an alternative screening 197 method to be used in combination with traditional cytology for identifying patients at high risk of 198 developing squamous cell carcinoma (Saslow et al., 2012; WHO, 2013). Nowadays, there are 199 200 many HPV genotyping techniques for detecting HPV DNA, such as PCR, real-time PCR, restriction fragment length polymorphism (RFLP), Hybrid Capture, and Linear Array (Bernard 201 et al., 1994; Cox et al., 1995; Castle et al., 2008). However, PCR and real-time PCR need 202 203 specific expensive equipment (such as a thermal cycler), and these methods have not yet become common procedures in hospital laboratories (*Hagiwara et al., 2007*). This study used the HPV 204 GenoArray Diagnostic Kit for HPV genotyping, which is a commercial kit that has recently been 205 206 started to be used, especially in China (Liu et al., 2010). The results from the HPV GenoArray

assay used in this study were a percentage-point (39.6%) higher compared to the results from a 207 previous survey of Thai women (7.6%) (Chansaenroj et al., 2010) and one of Japanese women 208 (22.5%) (Onuki et al., 2009). The higher percentage may be due to the small number of 209 participants in our study sample. 210 The Linear Array HPV Genotyping Test has been widely used as a standard reference method 211 212 for evaluating new methods. However, the HPV GenoArray Diagnostic Kit is an alternative technique for studies conducted in resource-limited laboratories because the cost of the HPV 213 GenoArray Diagnostic Kit is lower than that of the Linear Array HPV Genotyping Test and the 214 hybridization time is also lower (Li et al., 2012; Liu et al., 2010). Moreover, the HPV GenoArray 215 assay can distinguish and identify HPV 52, which is one of the most common high-risk HPV 216 genotypes in women in eastern and southeastern Asia (Sukvirach et al., 2003; Takehara et al., 217 2011). 218 In conclusion, the HPV GenoArray assay was shown to possess reliable clinical performance 219 for HPV genotyping both cervical swab and urine samples. Urine assays could be a useful 220

noninvasive method for monitoring HPV infection in women and the use of this method may
increase the number of women who undergo cervical cancer screening, as some women avoid
this critical preventive screening because of the embarrassment and discomfort associated with
the traditional Pap smear method. Additional research based on a larger sample from a general
screening population is required.

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249 The authors have no conflicts of interest to declare.

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395	TABLES
396	Table 1. Detection of HPV genotypes and concordance between cervical swab and urine
397	samples.
398	Table 2. Studies of human papillomavirus DNA detected in paired urine and cervical samples
399	from females of all ages.
400	Table 3. Number of HPV genotypes detected using the HPV GenoArray assay.

401 **Table 1.** Detection of HPV genotypes and concordance between cervical swab and urine

402 samples.

403

Cytology		Concordance			
	Any HPV positive		HPV16	(percentage)	
	Cervical swab	Urine	Cervical swab	Urine	
Normal (N=95)	11 (11.6)	10 (10.5)	1 (1.1)	4 (4.2)	68 (71.6)
LSIL (N=50)	35 (10.0)	35 (10.0)	11 (22.0)	13 (26.0)	31 (62.0)
HSIL (N=19)	19 (100.0)	8 (42.1)	8 (42.1)	3 (15.8)	8 (70.5)
Total (N=164)	65 (39.6)	53 (32.3)	20 (12.2)	20 (12.2)	107 (65.2)

Author	Country	HPV detection assay	Age, years range	Total sample size	Lesion/HPV types	Sensitivity (%)	Specificity (%)	Concordance (%)
Strsuss et al. (1999)	UK	PCR with MY and GP primers	16–57	144	All/any type	76.4	73.3	75.7
Daponte et al. (2006)	Greece	In house type- specific primers and commercial	N/A	77	All/HPV16/18	70.3	100.0	85.7
Gupta et al. (2006)	India	In house L1 consensus primers	N/A	30	All/any type	100.0	100.0	100.0
Cuschieri et al. (2011)	UK	HPV INNO-LiPA	16–25	90	All/any type	90.5	67.6	59.8
Nilyanimit et al. (2013)	Thailand	Electrochemical DNA chip	27–61	116	All/HR-HPV	64.3	100.0	75
Bernal et al. (2014)	Spain	Cobas 4800HPV test	21–65	125	All/any type	90.5	85	88
Hagihara et al. (2016)	Japan	Anyplex II HPV28	19–58	240	All/any type	68.4	99.9	98.4
This study	Thailand	Hybribio GenoArray	19–69	164	All/any type	56.5	70.6	65.2

405 **Table 2.** Studies of human papillomavirus DNA detected in paired urine and cervical samples from females of all ages.

406

407 N/A, not applicable.

408

409

410

No. of HPV genotypes	Normal (N	(=95)	Abnormal (N=69)		
detected	Cervical swab	Urine	Cervical swab	Urine	
0 ^a	84 (88.4)	85 (89.5)	15 (21.7)	26 (37.7)	
1	11 (11.5)	9 (9.4)	36 (52.2)	31 (44.9)	
2	-	1 (1.1)	14 (20.3)	7 (10.1)	
≥3	-	-	4 (5.8)	5 (7.3)	

411	Table 3.Number of HPV	genotypes detected	using the HPV	GenoArray assay.
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412 ^a samples were HPV DNA-negative

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