Biological effects of carbon nanotubes generated in forest wildfire ecosystems rich in resinous trees on native plants

Javier Lara Romero¹, Jesús Campos-García², Nabanita Dasgupta-Schubert³, Salomón Borjas-García³, Dhirendra Kumar Tiwari³, Francisco Paraguay Delgado⁴, Sergio Jiménez-Sandoval⁵, Gabriel Alonso Nuñez⁶, Mariela Gómez-Romero⁷, Roberto Linding Cisneros⁷, Homero Reyes De la Cruz², Javier A. Villegas ^{Corresp. 2}

¹ Facultad de Ingeniería Química, Universidad Michoacana de San Nicolás de Hidalgo, Morelia, Michoacán, México

² Instituto de Investigaciones Químico Biológicas, Universidad Michoacana de San Nicolás de Hidalgo, Morelia, Michoacán, México

³ Instituto de Física y Matemáticas, Universidad Michoacana de San Nicolás de Hidalgo, Morelia, Michoacán, México

⁴ Centro de Investigación en Materiales Avanzados S.C., Chihuahua, Chihuahua, México

⁵ Centro de Investigación y de Estudios Avanzados del IPN, Unidad Querétaro, Querétaro, Querétaro, México

⁶ Centro de Nanociencias y Nanotecnología, Universidad Nacional Autónoma de México, Ensenada, Baja California, Mexico

⁷ Instituto de Investigaciones en Ecosistemas y Sustentabilidad, Universidad Nacional Autónoma de México, Morelia, Michoacán, Mexico

Corresponding Author: Javier A. Villegas Email address: vmoreno@umich.mx

Carbon nanotubes (CNTs) have a broad range of applications and are generally considered human-engineered nanomaterials. However, carbon nanostructures have been found in ice cores and oil wells, suggesting that nature may provide appropriate conditions for CNT synthesis. During forest wildfires, materials such as turpentine and conifer tissues containing iron under high temperatures may create chemical conditions favorable for CNT generation, similar to those in synthetic methods. Here, we show evidence of naturally occurring multiwalled carbon nanotubes (MWCNTs) produced from Pinus oocarpa and Pinus pseudostrobus, following a forest wildfire. The MWCNTs showed an average of 10 walls, with internal diameters of \sim 2.5 nm and outer diameters of \sim 14.5 nm. To verify whether MWCNT generation during forest wildfires has a biological effect on some characteristic plant species of these ecosystems, germination and development of seedlings were conducted. Results show that the utilization of comparable synthetic MWCNTs increased seed germination rates and the development of Lupinus elegans and Eysenhardtia polystachya, two plants species found in the burned forest ecosystem. The finding provides evidence that supports the generation and possible ecological functions of MWCNTs in nature.

1	Biological effects of carbon nanotubes generated in forest wildfire ecosystems rich in
2	resinous trees on native plants
3	
4	Javier Lara-Romero ¹ , Jesús Campos-García ² , Nabanita Dasgupta-Schubert ³ , Salomón Borjas-
5	García ³ , Dhirendra K. Tiwari ³ , Francisco Paraguay-Delgado ⁴ , Sergio Jiménez-Sandoval ⁵ , Gabriel
6	Alonso-Nuñez ⁶ , Mariela Gómez-Romero ^{2,7} , Roberto Lindig-Cisneros ⁷ , Homero Reyes de la
7	Cruz ² , and Javier A. Villegas ²
8	
9	¹ Facultad de Ingeniería Química, Universidad Michoacana de San Nicolás de Hidalgo, Morelia,
10	Michoacán, México.
11	² Instituto de Investigaciones Químico-Biológicas, Universidad Michoacana de San Nicolás de
12	Hidalgo, Morelia, Michoacán, México.
13	³ Instituto de Física y Matemáticas, Universidad Michoacana de San Nicolás de Hidalgo, Morelia,
14	Michoacán, México.
15	⁴ Centro de Investigación en Materiales Avanzados, Unidad Chihuahua, Chihuahua, México.
16	⁵ Centro de Investigación y de Estudios Avanzados del IPN, Unidad Querétaro, Querétaro,
17	México.
18	⁶ Centro de Nanociencia y Nanotecnología, Universidad Nacional Autónoma de México,
19	Ensenada, Baja California, México.
20	⁷ Instituto de Investigaciones en Ecosistemas y Sustentabilidad, Universidad Nacional Autónoma
21	de México, Morelia, Michoacán, México.
~~	

Manuscript to be reviewed

23	*Corresponding author: Javier A. Villegas; Instituto de Investigaciones Químico-Biológicas,
24	Universidad Michoacana de San Nicolás de Hidalgo, Edif. A1', Ciudad Universitaria, C.P.
25	58030, Morelia, Michoacán, México. Tel: (52) 443 326-5788. E-mail: vmoreno@umich.mx
26	
27	
28	
29	
30	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
45	

46 Introduction

47 Carbon nanotubes (CNTs) have been the subject of extensive research in recent years because of their extraordinary properties and broad range of biotechnological applications. Although CNTs 48 49 are commonly considered human-engineered nanomaterials, it has been generally accepted that 50 nature may provide appropriate conditions for their synthesis. CNT occurrences have usually 51 been sought in extreme environments (e.g., at high temperatures and pressures), where evidence 52 has suggested their formation. For example, encapsulated CNTs have been found in the coal-53 petroleum mix of oil wells (Velasco-Santos et al. 2003) and in Greenland ice-core samples dated 54 from the Neolithic Stone Age (10,000 years ago) (Esquivel & Murr 2004); however, the source 55 of these CNTs has not yet been identified. There have also been questions regarding the validity 56 of these reports because of the lack of clear high-resolution transmission electron microscopy 57 (HR-TEM) images, Raman analysis, or diffraction patterns (Mackenzie et al. 2008). 58 Previous studies have speculated that CNTs can form in volcanoes, based on the observation that 59 Mount Etna's lava can catalyze the synthesis of multiwalled CNTs (MWCNTs) (Su et al. 2008; 60 Su & Chen 2007). However, no direct evidence of the formation of CNTs within volcanoes has 61 been confirmed. Further, plant products such as turpentine, eucalyptus oil, neem oil, palm oil, 62 and olive oil have been used as raw materials for chemical vapor deposition (CVD) in CNT 63 synthesis (Afre et al. 2005; Ghosh et al. 2007; Kumar et al. 2011; Suriani et al. 2009). In 64 addition, plant and fungal tissues containing transition metals have been used as natural catalyst 65 precursors in the production of CNTs by CVD (Zhao et al. 2011). Oleoresin extraction is commonly performed in the forestlands of Michoacán, México, where 66 67 oleoresin is collected from the trunks of living pines, and turpentine is obtained from steam 68 distillation. Alpha-pinene, which is used as a raw material for solvent production, is one of the

69 most important components of turpentine documented as an effective compound from which 70 high-quality and high-yield MWCNTs can be synthesized by CVD (Lara-Romero et al. 2011). 71 Pine species such as *Pinus leiophylla*, *Pinus oocarpa*, *Pinus montezumae*, *Pinus pseudostrobus*, 72 and Pinus teocote are considered the most important tree species for oleoresin extraction in the 73 Mexican industry. The ecosystems in Michoacán, México, associated with these species of 74 conifers are prone to wildfires. During the drought season, wildfires can cause temperatures 75 between 600 and 900 °C; this, coupled with the presence of turpentines (or alpha-pinene) and 76 conifer tissues containing iron, provides conditions similar to those required for CNT formation 77 in a process like CVD. 78 Moreover, MWCNTs have also been described as plant growth promoters, favoring seed 79 germination and an increase in the fresh weight of tomato plants by promoting water transport 80 within the seeds (Khodakovskaya et al. 2009). Recently, nanotechnology tools have developed 81 CNTs for potential applications in agriculture, including crop protection, pollution control, waste 82 management, pesticide detection, nanosensing, and as nanofertilizers (De La Torre-Roche et al. 83 2012; Gogos et al. 2012; Hong et al. 2013; Khodakovskaya et al. 2012). Contrary to the 84 beneficial applications of CNTs, negative effects of nanoparticles on edible plants have also been 85 discussed (Miralles et al. 2012); thus, the known effects of MWCNTs on plants are still limited, 86 as are the responses of the natural and agricultural ecosystems to human-engineered 87 nanomaterials. 88 This report, as a first attempt to understand the roles of crystalline nanomaterials in plant 89 ecosystems, provides evidence of spontaneously and naturally occurring MWCNTs in a resinous 90 pine forest ecosystem following wildfire conditions, and discusses the biological impact of these 91 nanomaterials on the resinous forest-associated plants.

n	2
9	Ζ

93 Materials & Methods

94 Sample collection from a pine forest

95 During the dry season (June 2012), samples of burned wood were randomly collected from 96 mature trees of two different pine forest sites in Michoacán, west-central México, which had 97 been recently affected by forest wildfires. The sites were 'Cerro Huashan, Nahuatzen' (19°38'35" 98 N, 101°56'46" W; sampling P. oocarpa 2 weeks after fire extinguishment) and 'Cerro de la Cruz, 99 Uruapan' (19°26'40" N, 102°2'56" W; sampling P. pseudostrobus and P. montezumae 8 weeks 100 after fire extinguishment). Sampling was collected under the supervision of the Ministry of 101 Environment and Natural Resources specifications (Nom-059-SEMARNAT-2010) and the 102 conservation program for flora and fauna of the Pico de Tancítaro (APFFPT) from Michoacán, 103 México; established by the Mexican decree law of august 19, 2009; and the Program for the 104 Sustainable Management of Mountain Ecosystems Pico de Tancítaro, Michoacán, México 105 (APFFPT-2009). Wood samples were ground and thoroughly mixed for further analyses.

106

107 CNT analysis

Samples of burned wood from various types of pine trees were characterized by Raman
spectroscopy, thermogravimetry (TGA), and high-resolution transmission electron microscopy
(HR-TEM). Raman spectroscopy was performed using a micro-Raman spectrometer (Labram
System model Dilor) equipped with a 20 mW He-Ne laser emitting at 514 nm, a holographic
notch filter (supertNotch-Plus, Kaiser Optical Systems, Inc.), and a 256 × 1024 pixel chargecoupled device (CCD) image recorder. All measurements were carried out at room temperature
with no special sample preparation.

115 TGA was carried out using a microbalance (Chan D-200) (Doudrick et al. 2012), where 40–50 116 mg samples of burned wood from the different pine species collected after a natural fire and 117 MWCNTs synthesized by spray pyrolysis of α -pinene/ferrocene were air-heated between 25 to 700 °C at a rate of 5 °C/min, to obtain TGA combustion curves of the samples. 118 119 HR-TEM micrographs were obtained from a Philips CM-200 analytical TEM operating at 200 120 kV. Specimens for HR-TEM analysis were prepared by dispersing the samples in acetone 121 through sonication for 2 min and air-drying a drop of the suspension on a perforated, carbon-122 coated Cu° grid.

123

124 Seed germination and plant pot-growing using synthetic MWCNTs

125 Seeds of *Lupinus elegans* and *Eysenhardtia polystachya*, collected from the pine forest of

126 Michoacán, México, were sterilized with 95% sulfuric acid for 20 min and by soaking in 1%

127 sodium hypochlorite (NaOCl) for 3 min, respectively; both were then rinsed with sterile distilled

128 water. The seeds of each species were divided into six separate sets of 100 seeds and incubated

129 in a suspension of 0 (Control), 10, 20, 30, 40, or 50 µg/mL MWCNTs (Sigma-Aldrich, St. Louis,

130 MO, USA; Cat. No. 698849; CVD-produced synthetic multiwalled CNTs, OD = 6.0–13.0 nm,

131 ID = 2.0-6.0 nm length = $2.5-20 \mu$ m, average wall thickness 7–13 graphene layers, > 98%

132 purity) for 10 min. Each seed set was then placed on moistened filter papers in five Petri plates

133 (20–30 seeds per plate) and randomly distributed in a germination chamber. Germination was

134 evaluated after 10 days of incubation at 26 °C with a 12:12 light/dark cycles.

135 Pot-growing tests examined samples from all six treatments, each with 12 replicates (72 plants in

136 total for each seed type). Previously sterilized seeds (as described above) were directly planted in

137 5-cm-diameter polyethylene containers filled with 375 mL of the growth medium (Creci-root)

138 provided by a local nursery. These containers were then divided into six separate sets and seeds 139 were treated directly with 1.0 mL of a suspension of either 0 (Control), 10, 20, 30, 40, or 50 140 μ g/mL MWCNTs, then covered with ~1.0 cm of plant growth substrate. The containers were 141 arranged at random in trays and watered on alternate days for 5 weeks. At the end of the 5-week 142 period, the plants were harvested, and biometric variables (leaf area and, fresh and dry weights of 143 shoots and roots) were recorded. Statistical analysis was carried out using analysis of variance (ANOVA), and mean were compared using Tukey's multiple range test at a significance level of 144 145 p < 0.05. 146 **Results** 147 148 Identification and characterization of CNTs in the burned wood of resinous forests, after 149 wildfire 150 Burned wood samples were collected after an intense wildfire in a resinous pine forest in the 151 Michoacán state of Mexico (June 2012). This forest mainly comprised P. oocarpa, P. 152 pseudostrobus, and P. montezumae. Samples of the carbonized trees of these species were first 153 analyzed by Raman spectroscopy. The Raman spectra of three different burned wood samples 154 indicate that *P. oocarpa* and *P. pseudostrobus* samples show characteristic bands for CNTs, *i.e.*, 155 the D and G bands (Fig. 1a). The D band was observed at approximately 1370 cm⁻¹, and the G 156 band, also known as the tangential band, was observed at approximately 1600 cm⁻¹, which arises from the E_{2g} mode of the graphite plane and confirms the presence of sp² electronic hybridization 157 158 in the carbon bond network. Unexpectedly, the 2D(G') band, which is associated with the source

159 or metal load and temperature during synthesis, was not found in the Raman spectra. Moreover,

- 160 no CNT signals were detected in the samples of burned tree bark obtained from *P. montezumae*161 (Fig. 1a).
- 162 Thermogravimetric analysis (TGA) was used to determinate the amount of MWCNTs in the 163 burned wood of P. oocarpa, P. pseudostrobus, and P. montezumae (Fig. 1b). Weight losses up to 164 \sim 150 °C correspond to the release of water contained in the samples, whereas weight losses in 165 the range of 200–300 °C and 300–400 °C are attributed to the degradation of hemicellulose and 166 cellulose, respectively. Weight losses in the range of 370–550 °C are attributed to the ligneous 167 components such as biochar (Esquivel & Murr 2004; Mackenzie et al. 2008; Velasco-Santos et 168 al. 2003). Relevantly, the weight loss detected at 610 °C in the *P. oocarpa* samples, which 169 coincides with that in a synthetic-origin MWCNTs sample, corresponds to CNT combustion. 170 Thus, according to the TGA analysis, P. montezumae contains approximately 10% (w/w) 171 moisture, 38% (w/w) hemicellulose, 46% (w/w) cellulose, and 4% (w/w) ligneous species; P. pseudostrobus is composed of approximately 5% (w/w) moisture, 7% (w/w) hemicellulose, 22% 172 173 (w/w) cellulose, and 66% (w/w) of ligneous components; and *P. oocarpa* is composed of 174 approximately 14% (w/w) moisture, 18% (w/w) hemicellulose and cellulose, and 60% (w/w) 175 ligneous components. Relevantly, the TGA plot indicates that the burned wood samples of P. 176 oocarpa contained $\sim 2.8\%$ (w/w) of CNTs and P. pseudostrobus less than 0.1% (w/w), and the 177 remaining weight of $\sim 5-10\%$ (w/w) is attributed to metals and elements. 178 HR-TEM images and fast Fourier transforms (FFTs) of the *P. oocarpa* samples clearly indicated 179 the presence of CNTs. HR-TEM images and their corresponding FFTs show diffraction patterns 180 characteristic of graphitic crystalline carbon (Fig. 2a-c). HR-TEM data obtained from P. 181 *oocarpa* samples revealed the presence of highly crystalline MWCNTs, consisting of 10 walls 182 with inner and outer diameters of ~ 2.52 nm and $\sim 12-15$ nm, respectively (Fig. 2c). The FFT

Manuscript to be reviewed

183 image displayed one pair of sharp spots, and a line scan along those spots confirmed the presence 184 of sharp spots corresponding to highly ordered carbon (narrow spots). The estimated plane-to-185 plane distance between the walls is 0.335 nm, which is in agreement with the nominal distance 186 between the planes in crystalline CNTs (Fig. 2d-e). The bright spots in the dark-field HR-TEM 187 images indicate the presence of metals on the carbon tubes, and the corresponding energy-188 dispersive X-ray spectroscopy (EDS) analysis confirmed the presence of iron (Fig. 2f), 189 suggesting that this iron could have acted as a catalyst during CNT formation. 190 For the burned wood samples of *P. pseudostrobus*, HR-TEM images and the corresponding FFT 191 data show preferential formation of coil-shaped nanoparticles consisting of curved crystalline 192 multiwalled carbon layers (Fig. 3a). The FFT images of these MWCNTs reveal one pair of sharp 193 spots and a line scan along those spots confirmed the presence of highly ordered carbon. The 194 estimated distance between the lattice fringes of the carbon walls is 0.335 nm, which is in 195 agreement with the nominal distance between the planes of graphite (Fig. 3b-c). The 196 corresponding EDS analysis reveals the presence of several elements such as calcium, potassium, 197 and phosphorous, but no evidence of the presence of iron or other transition metals is found (Fig. 198 3d). Unexpectedly, no evidence of CNT structures was found in *P. montezumae* samples; 199 however, amorphous carbon structures were abundant (Fig. 4a–b). The FFT spectrum displayed 200 diffuse spots, characteristic of amorphous carbon, and EDS analysis confirmed the presence of 201 iron, calcium, and phosphorous (Fig. 4c–e). These findings provided evidence of naturally 202 occurring MWCNTs from Pinus species after forest wildfire events. 203

204 Synthetic multiwalled CNTs increase seed germination in plants growing in resinous Pinus
205 forests

206	The MWCNTs found in burned <i>P. oocarpa</i> and <i>P. pseudostrobus</i> wood samples had ~10 layers,
207	with an inner diameter of ~2.52 nm and an outer diameter of ~14.59 nm. To investigate if
208	MWCNTs with structural features similar to those found in the natural samples could have a
209	biological effect over some plants species characteristic of these ecosystems (L. elegans and E.
210	polystachya), we conducted a germination and development of seedlings test. This assay was
211	based on previous studies on the positive or negative effects on plant germination and the
212	development of seedlings grown by MWCNT treatment (Hong et al. 2013; Khodakovskaya et al.
213	2009; Khodakovskaya et al. 2012; Miralles et al. 2012). We supplemented the seed germination
214	and early seedling growth with 10–50 μ g/mL of the synthetic MWCNTs with structural features
215	similar to those found in the P. oocarpa and P. pseudostrobus wood samples of burned forest
216	(average wall thickness \sim 7–13 layers; inner diameter of \sim 2–6 nm; outer diameter of \sim 12–20 nm;
217	length of 2.5–20 μm).
218	Seed germination results showed that the addition of MWCNTs increased the number of
219	germinated seeds and significantly shortened the germination period (Fig. 5a). Seeds of L.
220	elegans and E. polystachya treated with MWCNTs exhibited increased germination rates
221	compared to untreated seeds. For L. elegans, a prolific plant in this forest, seed germination rates
222	were 62.5% higher after the addition of 30 μ g/mL of the synthetic MWCNTs compared to those
223	of untreated plants. Moreover, E. polystachya seeds treated with MWCNTs reached germination
224	rates 40% higher than those of the untreated seeds (Fig. 5a-c).
225	We further investigated the effects of MWCNTs on the growth and development of L. elegans
226	and E. polystachya seedlings by growing them in a medium supplemented with different
227	concentrations of the synthetic nanoparticles and measuring the yield of variables such as fresh
228	and dry plant biomass, number of lateral roots, and foliar area. L. elegans plants germinated and

229 grown in the MWCNT dose range of 10 to 50 µg/L exhibited a significant amount of vegetative 230 biomass at 30 µg/L and a decrease at 50 µg/L of MWCNTs (Fig. 6). Significant increases in the 231 fresh weight of the shoot and root, dry weight of the shoot, number of lateral roots, and foliar 232 area (90.23%, 132.59%, 84.51%, 91.05%, and 93.72%, respectively) were observed in treated 233 plants, compared to the untreated plants (Fig. 6c-f). Results also suggest that the plant growth 234 stimulation correlates with the increment in the shoot and root dry weights; when plants were 235 treated with 30 µg/L of MWCNTs these variables reached a maximum of 45.2% and 120.46%, 236 respectively, compared to those of the untreated plants (Fig. 6g-h). E. polystachya plants grown 237 in media supplemented with increasing doses of MWCNTs (10–50 μ g/L) showed a large 238 vegetative biomass at 50 μ g/L and no negative effects of these nanotubes were recorded at any 239 dosage level tested (Fig. 6c). The maximum increases in the shoot and root fresh and dry weight, 240 number of lateral roots, and foliar area (87.8%, 302.78%, 148%, 114.54%, 313.66%, and 241 150.39%, respectively) were observed in treated plants, compared to the untreated ones (Fig. d-242 i). These results show that synthetic MWCNTs increase seed germination and plant growth in 243 two plant species growing in the studied resinous Pinus forests ecosystem. 244

245 Discussion

Our observations clearly support the hypothesis that MWCNTs can be formed spontaneously in nature and are capable of self-assemble without human interference. Although the process was not directly studied, the formation of MWCNTs during a resinous forest wildfire could be the consequence of a synthetic CVD-like mechanism. Production of MWCNTs by CVD requires the presence of volatile carbon compounds, which may act as precursors, in the gaseous state. *P. oocarpa* is a species rich in turpentine, and its oleoresin is a mixture of highly volatile

252 monoterpenes, including α - and β -pinenes, which have been identified as highly effective

253 MWCNT precursors capable of providing a high yield (Lara-Romero et al. 2011). According to

254 previous studies, coil-shaped crystalline nanoparticles cannot be synthesized by processes other

than CVD (Fejes & Hernádi 2010; Mhlanga et al. 2011). Therefore, the above hypothesis is also

256 supported by the detection of coil-shaped crystalline carbon nanoparticles in the HR-TEM

257 images of the burned *P. oocarpa* and *P. pseudostrobus* wood.

258 The presence of iron in the samples of *P. oocarpa* containing MWCNTs suggests that this metal 259 provided catalytically active sites for the CNT synthesis. Previous studies that used plant tissue 260 precursors to catalyze CNT growth have suggested that iron catalytic sites are uniformly 261 distributed in plant cells (Zhao et al. 2011). Consequently, CNTs formed in plant tissues are 262 expected to have uniform diameters. This is consistent with our HR-TEM observations, which 263 revealed that the MWCNTs had same number of layers and external diameters. In addition, the 264 TGA results indicated that the burned wood samples of *P. oocarpa* after pyrolysis degradation 265 contain ~2.8% wt of CNTs. Although it has been generally accepted that nature could provide 266 the conditions for their synthesis, there is scarce evidence of naturally formed MWCNTs in the 267 biosphere. Therefore, we provide evidence of spontaneously and naturally produced MWCNTs 268 from *Pinus* species, following forest wildfires.

In another context, the effects of nanomaterials such as CNTs on plant growth and development has been documented, and it has been suggested that their effects are because of factors such as the type of nanoparticles, concentration, plant species, and experimental conditions, including the method of nanoparticle uptake (Tiwari et al. 2014); in contrast, studies indicate that some CNTs nanomaterials show toxic effects on several plant models (Miralles et al. 2012).With respect to human engineered MWCNTs, several molecular mechanisms involved in their

Manuscript to be reviewed

275 biological effects have been described. Genomic analyses of *Lycopersicon esculentum* have 276 indicated that exposure to MWCNTs altered the total gene expression, with up-regulation of 277 stress-related genes (Lahiani et al. 2015; Mohamed et al. 2016), while that in Nicotiana tabacum 278 has been found to cause alterations in total gene expression, with up-regulation of genes related 279 to cell-wall assembly/cell growth, regulation of cell cycle progression, and aquaporin production 280 (Lahiani et al. 2015; Miralles et al. 2012; Mukherjee et al. 2016). Thus, the authors have 281 suggested that size, composition, and specific surface characteristics of the engineered 282 nanomaterials may play important roles in their phytotoxicity (Hong et al. 2013; Mukherjee et al. 283 2016). 284 In our work, the effect of MWCNTs was evaluated using two plant species found in the burned 285 forest ecosystem, although the MWCNTs utilized are of synthetic origin; these were acquired 286 with structural characteristics similar to those of CNTs found in burned wood samples from the 287 resinous forest. Interestingly, seed germination and growth promotion were observed in both the 288 L. elegans and E. polystachya plant species tested, with the influence of all the quantified 289 biometrical plant variables; it was unlikely that seed germination and growth promotion occurred 290 exclusively owing to water retention in the plant tissues (Fig. 6). In addition, the results did not 291 indicate that CNTs had toxic effects on seed germination or plant development in the

292 concentration range used, suggesting that at low dosages, MWCNTs function as plant-growth

293 promoters. The plant growth dose-dependence also suggests that the concentrations at which

294 CNTs exert their maximum plant growth-promoting effect depend on the plant species. Although

the mechanisms of the observed biological effects were not investigated, the findings indicate

that the seed germination and plant-growth promotion was due to the activation of the cell

- 297 division and nutrient uptake and also increased water influx as previously suggested 298 (Khodakovskaya et al. 2009), rather than an increase in the cell volume. 299 Forest fires are known to enhance the recruitment of a number of important native species 300 associated with forest ecosystems (Keeley & Fotheringham 1998; Keeley et al.; Turner et al. 301 1997), including E. polystachya (Orozco 2008) and L. elegans (Díaz-Rodriguez B 2013). In 302 addition, products resulting from the combustion of wood, such as ash (Keeley & Fotheringham 303 1998) and charred wood (Roy & Sonie 1992), have also been shown to trigger germination and 304 plant growth after forest fire events. The influence of MWCNTs formed in burned wood after 305 forest wildfires on plant growth or other post-fire characteristic events in terrestrial ecosystems 306 requires extensive studies. 307 CNT formation has usually been associated with extreme environments; however, we have 308 provided evidence that MWCNTs can be found in biotic environments after atmospheric events. 309 MWCNTs, formed in forest wildfires could be introduced into the soil by burned plant material 310 such as smoke or solid particles. If this is true, then MWCNTs have been interacting with soil 311 organisms and plant species since a long time. This may explain our findings, which strongly 312 suggest that MWCNTs produced in resinous forest wildfires promote seed germination and 313 growth of native plants in forest ecosystems. 314 In conclusion, we show direct evidence of MWCNT generation during forest wildfires as a 315 natural phenomenon, suggesting a possible impact on natural plants of the resinous forest 316 ecosystems through their effects on seed germination and plant growth promotion. 317 318 319
- 320

Manuscript to be reviewed

321	
322	
323	
324	
325	
326	References
327	
328	Afre RA, Soga T, Jimbo T, Kumar M, Ando Y, and Sharon M. 2005. Growth of vertically
329	aligned carbon nanotubes on silicon and quartz substrate by spray pyrolysis of a natural
330	precursor: Turpentine oil. Chemical Physics Letters 414:6-10.
331	https://doi.org/10.1016/j.cplett.2005.08.040
332	De La Torre-Roche R, Hawthorne J, Deng Y, Xing B, Cai W, Newman LA, Wang C, Ma X, and
333	White JC. 2012. Fullerene-enhanced accumulation of p,p'-DDE in agricultural crop
334	species. Environ Sci Technol 46:9315-9323. 10.1021/es301982w
335	Díaz-Rodriguez B dVE, Gómez-Romero M, Gómez-Ruíz PA, Lindig-Cisneros R 2013.
336	Conditions for establishment of a key restoration species, Lupinus elegans Kunth, in a
337	Mexican temperate forest. Botanical Sciences 91:8.
338	Doudrick K, Herckes P, and Westerhoff P. 2012. Detection of Carbon Nanotubes in
339	Environmental Matrices Using Programmed Thermal Analysis. Environmental Science &
340	Technology 46:12246-12253. 10.1021/es300804f
341	Esquivel EV, and Murr LE. 2004. A TEM analysis of nanoparticulates in a Polar ice core.
342	Materials Characterization 52:15-25. https://doi.org/10.1016/j.matchar.2004.02.005
343	Fejes D, and Hernádi K. 2010. A Review of the Properties and CVD Synthesis of Coiled Carbon
344	Nanotubes. Materials 3:2618.
345	Ghosh P, Afre RA, Soga T, and Jimbo T. 2007. A simple method of producing single-walled
346	carbon nanotubes from a natural precursor: Eucalyptus oil. Materials Letters 61:3768-
347	3770. https://doi.org/10.1016/j.matlet.2006.12.030
348	Gogos A, Knauer K, and Bucheli TD. 2012. Nanomaterials in plant protection and fertilization:
349	current state, foreseen applications, and research priorities. J Agric Food Chem 60:9781-
350	9792. 10.1021/jf302154y

351	Hong J, Peralta-Videa JR, and Gardea-Torresdey JL. 2013. Nanomaterials in Agricultural
352	Production: Benefits and Possible Threats? Sustainable Nanotechnology and the
353	Environment: Advances and Achievements: American Chemical Society, 73-90.
354	Keeley JE, and Fotheringham CJ. 1998. Smoke-Induced Seed Germination in California
355	Chaparral. Ecology 79:2320-2336. 10.2307/176825
356	Keeley JE, Pausas JG, Rundel PW, Bond WJ, and Bradstock RA. Fire as an evolutionary
357	pressure shaping plant traits. Trends in Plant Science 16:406-411.
358	10.1016/j.tplants.2011.04.002
359	Khodakovskaya M, Dervishi E, Mahmood M, Xu Y, Li Z, Watanabe F, and Biris AS. 2009.
360	Carbon Nanotubes Are Able To Penetrate Plant Seed Coat and Dramatically Affect Seed
361	Germination and Plant Growth. ACS Nano 3:3221-3227. 10.1021/nn900887m
362	Khodakovskaya MV, de Silva K, Biris AS, Dervishi E, and Villagarcia H. 2012. Carbon
363	nanotubes induce growth enhancement of tobacco cells. ACS Nano 6:2128-2135.
364	10.1021/nn204643g
365	Kumar R, Tiwari RS, and Srivastava ON. 2011. Scalable synthesis of aligned carbon nanotubes
366	bundles using green natural precursor: neem oil. Nanoscale Research Letters 6:92.
367	10.1186/1556-276X-6-92
368	Lahiani MH, Chen J, Irin F, Puretzky AA, Green MJ, and Khodakovskaya MV. 2015. Interaction
369	of carbon nanohorns with plants: Uptake and biological effects. Carbon 81:607-619.
370	https://doi.org/10.1016/j.carbon.2014.09.095
371	Lara-Romero J, Calva-Yañez JC, López-Tinoco J, Alonso-Nuñez G, Jiménez-Sandoval S, and
372	Paraguay-Delgado F. 2011. Temperature Effect on the Synthesis of Multi-Walled Carbon
373	Nanotubes by Spray Pyrolysis of Botanical Carbon Feedstocks: Turpentine, α -pinene and
374	β-pinene. Fullerenes, Nanotubes and Carbon Nanostructures 19:483-496.
375	10.1080/1536383X.2010.494785
376	Mackenzie KJ, See CH, Dunens OM, and Harris AT. 2008. Do single-walled carbon nanotubes
377	occur naturally? Nat Nanotechnol 3:310. 10.1038/nnano.2008.139
378	Mhlanga SD, Witcomb MJ, Erasmus RM, and Coville NJ. 2011. A novel Ca3(PO4)2–CaCO3
379	support mixture for the CVD synthesis of roughened MWCNT-carbon fibres. Journal of
380	Experimental Nanoscience 6:49-63. 10.1080/17458081003793313

381	Miralles P, Church TL, and Harris AT. 2012. Toxicity, Uptake, and Translocation of Engineered
382	Nanomaterials in Vascular plants. Environ Sci Technol 46:9224-9239.
383	10.1021/es202995d
384	Mohamed HL, Enkeleda D, Ilia I, Jihua C, and Mariya K. 2016. Comparative study of plant
385	responses to carbon-based nanomaterials with different morphologies. Nanotechnology
386	27:265102.
387	Mukherjee A, Majumdar S, Servin AD, Pagano L, Dhankher OP, and White JC. 2016. Carbon
388	Nanomaterials in Agriculture: A Critical Review. Frontiers in Plant Science 7:172.
389	10.3389/fpls.2016.00172
390	Orozco SJ. 2008. Forest Fire Risk Model for Michoacan, Mexico: ITC.
391	Roy J, and Sonie L. 1992. Germination and Population Dynamics of Cistus Species in Relation
392	to Fire. Journal of Applied Ecology 29:647-655. 10.2307/2404472
393	Su DS, Chen X, Liu X, Delgado JJ, Schlögl R, and Gajović A. 2008. Mount-Etna-Lava-
394	Supported Nanocarbons for Oxidative Dehydrogenation Reactions. Advanced Materials
395	20:3597-3600. 10.1002/adma.200800323
396	Su DS, and Chen XW. 2007. Natural lavas as catalysts for efficient production of carbon
397	nanotubes and nanofibers. Angew Chem Int Ed Engl 46:1823-1824.
398	10.1002/anie.200604207
399	Suriani AB, Azira AA, Nik SF, Md Nor R, and Rusop M. 2009. Synthesis of vertically aligned
400	carbon nanotubes using natural palm oil as carbon precursor. Materials Letters 63:2704-
401	2706. https://doi.org/10.1016/j.matlet.2009.09.048
402	Tiwari DK, Dasgupta-Schubert N, Villaseñor Cendejas LM, Villegas J, Carreto Montoya L, and
403	Borjas García SE. 2014. Interfacing carbon nanotubes (CNT) with plants: enhancement
404	of growth, water and ionic nutrient uptake in maize (Zea mays) and implications for
405	nanoagriculture. Applied Nanoscience 4:577-591. 10.1007/s13204-013-0236-7
406	Turner MG, Romme WH, Gardner RH, and Hargrove WW. 1997. Effects of Fire Size and
407	Pattern on Early Succession in Yellowstone National Park. Ecological Monographs
408	67:411-433. 10.2307/2963464
409	Velasco-Santos C, Martı, amp, x, nez-Hernández AL, Consultchi A, Rodrı, amp, x, guez R, and
410	Castaño VM. 2003. Naturally produced carbon nanotubes. Chemical Physics Letters
411	373:272-276. https://doi.org/10.1016/S0009-2614(03)00615-8

Manuscript to be reviewed

- Zhao J, Guo X, Guo Q, Gu L, Guo Y, and Feng F. 2011. Growth of carbon nanotubes on natural
 organic precursors by chemical vapor deposition. *Carbon* 49:2155-2158.
 https://doi.org/10.1016/j.carbon.2011.01.030
- 415
- 416
- 417



Figure 1(on next page)

Analysis of the burned wood samples of *Pinus* species collected after a forest wildfire event.

(a) Raman scattering spectra (He-Ne laser emitting at 514 nm) of the *Pinus* burned wood samples of *P. oocarpa* (red), *P. pseudostrobus* (blue), and *P. montezumae* (green). The characteristic bands of CNTs, *i.e.*, the D band (1370 cm⁻¹) and G band (1600 cm⁻¹) are shown.
(b) Thermogravimetric analysis (TGA) of the burned wood samples from *P. oocarpa*, *P. pseudostrobus*, *P. montezumae*, and synthetic MWCNTs (pyrolyzed at 610 °C).

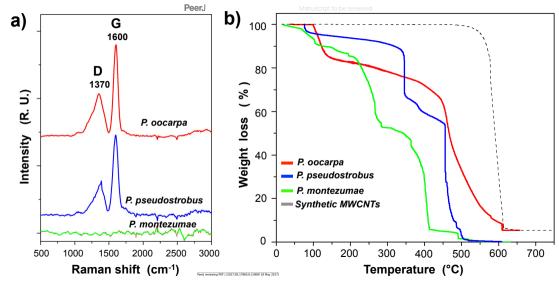




Figure 2(on next page)

Identification of MWCNTs in the burned wood samples of *Pinus oocarpa* collected after a forest wildfire event.

(a-c) HR-TEM images of the burned wood samples at different magnifications, (d) FFT image,
 (e) analysis of the FFT image, and (f) EDS analysis. Representative images are shown.

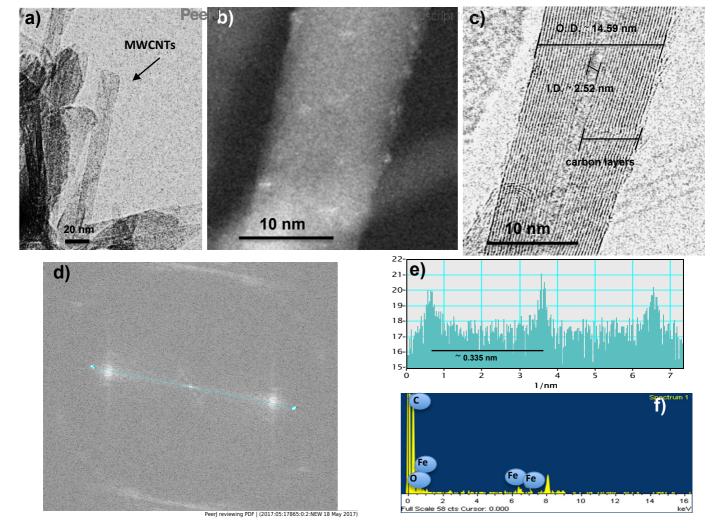
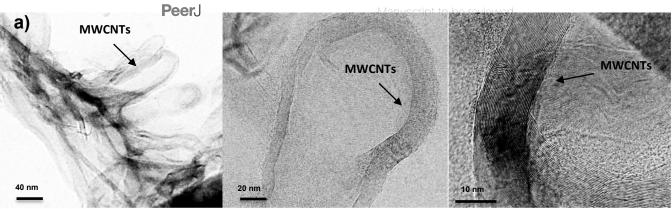


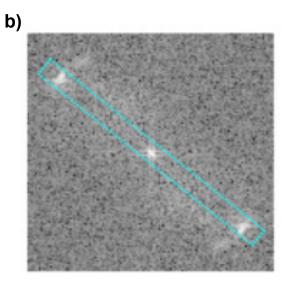


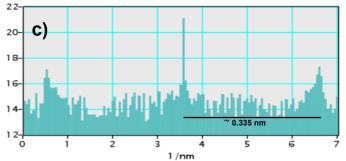
Figure 3(on next page)

Identification of MWCNTs in the burned wood samples of *Pinus pseudostrobus* collected after a forest wildfire event.

(a) HR-TEM images of the burned wood samples at several magnifications, (b) FFT image, (c)
 FFT analysis, and (d) EDS analysis. Representative images are shown.







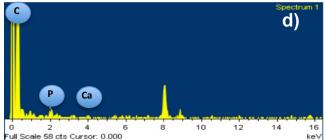


Figure 4(on next page)

Identification of the carbon structures in the burned wood samples of *Pinus montezumae* collected after a forest wildfire event.

(a) HR-TEM images of the burned wood samples at several magnifications, (b) FFT image, (c)
 FFT analysis, and (d) EDS analysis. Representative images are shown.

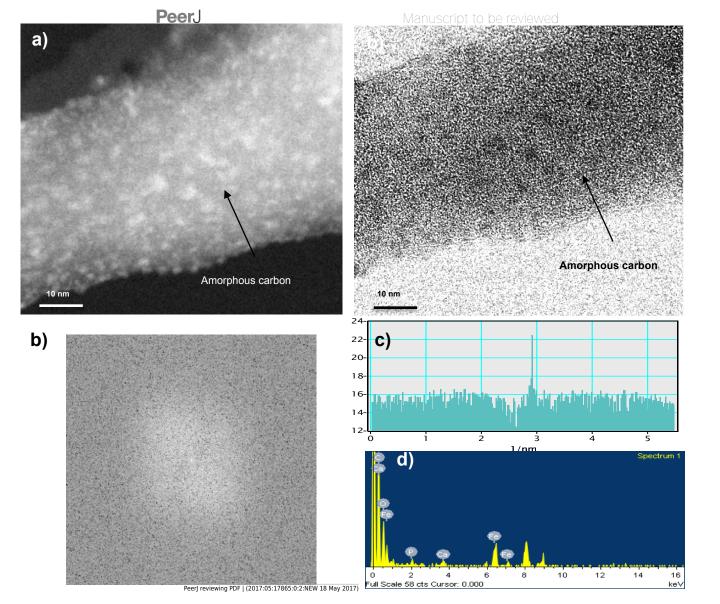


Figure 5(on next page)

Effect of synthetic MWCNTs on the seed germination rate of *Lupinus elegans* and *Eysenhardtia polystachya*.

Seed germination of the native plants from the *Pinus* forest was evaluated after 10 days with MWCNTs treatment and recorded after 5-week of cultivation. (**a**) *L. elegans* seed germination, (**b**) *E. polystachya* seed germination, (**c**) quantitative data (**a**) and (**b**) assays. Bars represent mean \pm standard error of three independent experiments, *n* = 30 each. Oneway analysis of variance (ANOVA) was carried out with Tukey's *post hoc* test; statistical significance (*P* <0.05) between treatments with respect to control is indicated with different lowercase letters.

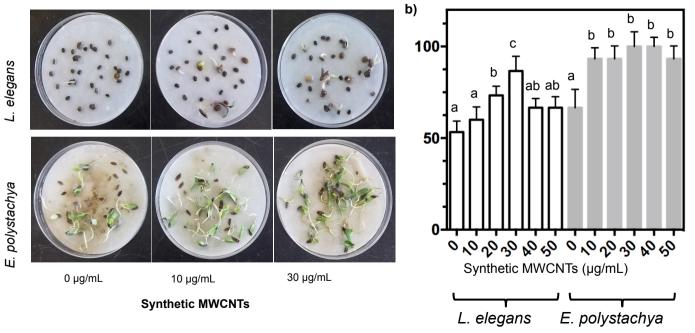
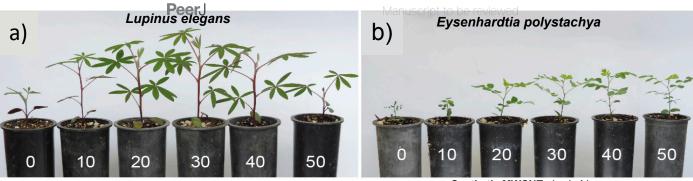


Figure 6(on next page)

Effect of synthetic MWCNTs on the plant growth rate of *Lupinus elegans* and *Eysenhardtia polystachya*.

After seed germination of the native plants from the *Pinus* forest (as described above), they were planted in 5-cm-diameter polyethylene containers filled with growth medium (Creciroot). These containers were then divided into six separate sets and the seedlings were treated directly with 1.0 mL of the suspension consisting of either 0 (control), 10, 20, 30, 40, or 50 µg/mL of synthetic MWCNTs. At the end of the 5-week period, the plants were harvested, and biometric variables were recorded. (a) *L. elegans* plant growth, (b) *E. polystachya* plant growth, (c) determination of the growth variables (a) and (b) assays: (c) shoot in fresh weight, (d) root in fresh weight, (e) shoot in dry weight, (f) root in dry weight, (g), lateral roots number, and (h) foliar area. Bars represent mean \pm standard error of three independent assays, n = 72. One-way analysis of variance (ANOVA) was carried out with Tukey's *post hoc* test; statistical significance (P < 0.05) between treatments with respect to control is indicated with different lowercase letters.



Synthetic MWCNTs (µg/mL)

Synthetic MWCNTs (µg/mL)

