

Ducks change wintering patterns due to changing climate in the important wintering waters of the Odra River Estuary

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Some species of birds react to climate change by reducing the distance they travel during migration. The Odra River Estuary in the Baltic Sea is important for wintering waterfowl and is where we investigated how waterbirds respond to freezing surface waters. The most abundant birds here comprise two ecological groups: benthic feeders and fish feeders. We showed that numbers of all benthivores, but not piscivores, were negatively correlated with the presence of ice. We showed that, with ongoing global warming, this area is increasing in importance for benthic feeders and decreasing for fish feeders. The maximum range of ice cover in the Baltic Sea has a weak and negative effect on both groups of birds. Five of the seven target species are benthivores (Greater Scaup *Aythya marila*, Tufted Duck *A. fuligula*, Common Pochard *A. ferina*, Common Goldeneye *Bucephala clangula* and Eurasian Coot *Fulica atra*), and two are piscivores (Smew *Mergellus albellus* and Goosander *Mergus merganser*). Local changes at the level of particular species vary for different reasons. Local decline of the Common Pochard may simply be a consequence of its global decline. Climate change is responsible for some of the local changes in the study area, disproportionately favoring some duck species while being detrimental to others.

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20 **Abstract**

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22 migration. The Odra River Estuary in the Baltic Sea is important for wintering waterfowl and is
23 where we investigated how waterbirds respond to freezing surface waters. The most abundant
24 birds here comprise two ecological groups: benthic feeders and fish feeders. We showed that
25 numbers of all benthivores, but not piscivores, were negatively correlated with the presence of
26 ice. We showed that, with ongoing global warming, this area is increasing in importance for
27 benthic feeders and decreasing for fish feeders. The maximum range of ice cover in the Baltic
28 Sea has a weak and negative effect on both groups of birds. Five of the seven target species are
29 benthivores (Greater Scaup *Aythya marila*, Tufted Duck *A. fuligula*, Common Pochard *A. ferina*,
30 Common Goldeneye *Bucephala clangula* and Eurasian Coot *Fulica atra*), and two are piscivores
31 (Smew *Mergellus albellus* and Goosander *Mergus merganser*). Local changes at the level of
32 particular species vary for different reasons. Local decline of the Common Pochard may simply
33 be a consequence of its global decline. Climate change is responsible for some of the local
34 changes in the study area, disproportionately favoring some duck species while being detrimental
35 to others.

36

37 **Introduction**

38 Migration distance has declined in several species of aquatic (and other) birds as a result
39 of climate change (Musil et al. 2011; Lehikoinen et al. 2013; Meller 2016). The distances that

40 birds migrate from their breeding areas in northern and eastern Europe to their central European
41 wintering areas are shorter during mild winters (Lehikoinen et al. 2013; Pavón-Jordan et al.
42 2015); conversely birds may change their wintering sites to warmer regions during colder
43 periods because they may perceive local manifestations of larger scale weather (Newton 2008).
44 Reducing migration distance can provide several benefits associated with earlier arrival at the
45 breeding grounds and greater survival (Coppack and Both 2002; Jankowiak et al. 2015a; 2015b).
46 Food resources of wintering sites may also influence migration decisions (Cresswell 2014;
47 Aharon-Rotman et al. 2016). Although winter site fidelity is usually very strong among
48 waterfowl (Newton 2008), this can change in response to weather, habitat and competition
49 (Cresswell 2014). Changing winter sites may often be a trade-off between the costs of finding a
50 new site and the benefits it offers (Aharon-Rotman et al. 2016). At sub-zero temperatures,
51 shallow waters freeze over; forcing birds to expend more time and energy searching for food in
52 deeper, open waters. Three functional groups of waterbirds forage in the shallow waters of
53 offshore lagoons: piscivores, herbivores and benthivores, for example, large numbers of
54 waterbirds gather to forage in the Odra River Estuary (hereafter ORE) (Marchowski et al. 2015;
55 Marchowski et al. 2016). Two groups of waterbirds – bottom feeders and piscivores – are among
56 those most commonly wintering here. During winter, the study area is subject to wide variation
57 in temperatures, often making surface waters subject to freezing (van Erden and de Leeuw 2010).
58 Yet even relatively small variations in temperature, causing ice cover to form or disappear, can
59 lead to the displacement of waterbirds. Changes in abundance and community structure of birds
60 in the ORE may reflect the impact of climate change. Analysis of the dates of the appearance of
61 ice-related phenomena in the Szczecin Lagoon and of their frequency over time reveals a distinct
62 pattern illustrating recently observed trends in climate warming (Girjatowicz 2011). In this paper

63 we are looking for how abundance of some species in the ORE changes due to climate warming.
64 We demonstrate that because of bottom feeding, benthivorous birds foraging patterns are
65 impacted strongly by surface water freezing, in contrast to the fish feeding, piscivorous birds.
66 Thus, climate change will differentially influence foraging patterns, and consequently
67 overwintering patterns, of these two groups of birds. Thus, increasing temperatures due to
68 climate change, and the shorter time interval with ice cover, will result in increasing numbers of
69 benthivores because they will then migrate shorter distances. Frosts in the study area are never so
70 severe that the water freezes completely to the bottom even in the shallows. But even if ice does
71 cover the surface of shallow water benthic feeders have no access to food and have to move to
72 warmer areas because feeding areas where sedentary mussels are abundant tend to be in shallow
73 waters (Marchowski et al. 2015). Piscivores, on the other hand, can still feed in such conditions
74 (frozen surface of shallows, unfrozen deeper areas - further from the shore, where there are no
75 mussels but there are fish) and remain in the area, e.g. observation of large aggregations of fish
76 feeders during harsh winters (Smew, *Mergellus albellus* and Goosander *Mergus merganser*)
77 (Kaliciuk et al. 2003; Czeraszkiwicz et al. 2004; Marchowski and Ławicki 2011; Guentzel et al.
78 2012; Marchowski and Ławicki 2012; Marchowski et al. 2013). This has consequences for
79 conservation management plans in protected areas. Two different groups of birds react
80 differently to climate warming, showing different patterns of moving closer to their breeding
81 grounds, as a consequence in our area should be more benthivores.

82

83 **Study area**

84 The study area in the south-western Baltic Sea forms the Polish part of the Odra River
85 Estuary system, which includes the Great Lagoon (the Polish part of the Szczecin Lagoon),
86 Świna Backward Delta, Kamień Lagoon, Dziwna Strait and Lake Dąbie (522.58 km², Fig. 1).
87 The area comprises four interconnected Important Bird Areas (IBA) and also a Natura 2000 area
88 (Wilk et al. 2010). The average and maximum depths of the estuary are 3.8 and 8.5 m,
89 respectively; the dredged shipping lane passing through the estuary from the Baltic Sea to the
90 port of Szczecin is 10.5 m deep (Radziejewska and Schernewski 2008). Waters of the Szczecin
91 Lagoon, Kamień Lagoon and Lake Dąbie are brackish. Salinity in the central part of the estuary
92 varies from 0.3 psu to 4.5 psu (mean = 1.4 psu) and declines with increasing distance from the
93 sea (Radziejewska and Schernewski 2008). Average winter temperature is 0.3° C (Weatherbase
94 2016). The ORE is subject to high levels of eutrophication (Radziejewska and Schernewski
95 2008). Communities of benthic organisms are typical of freshwater bodies, and the fauna
96 includes large populations of zebra mussels *Dreissena polymorpha*, which were introduced in the
97 mid-19th century. By the 1960s, the biomass of zebra mussels in the Szczecin (Great) Lagoon
98 was estimated at 110 000 metric tons (Wiktor 1969, Wolnomiejski and Woźniczka 2008). The
99 distribution of the zebra mussel is extremely uneven (see the map in Marchowski et al. 2015).
100 The average density of the zebra mussel in the ORE is 0.18 kg /m², but the vast majority
101 occupies around 10% of the area, where the mean density is 2.05 kg/m² (Stańczykowska et al.
102 2010). Fish are mainly freshwater species such as roach *Rutilus rutilus*, bream *Abramis brama*,
103 pike *Esox lucius*, perch *Perca fluviatilis* and ruff *Gymnocephalus cernua*; there are also
104 anadromous fish including smelt *Osmerus eperlanus* and occasionally herring *Clupea harengus*
105 among others (Wolnomiejski and Witek 2013).
106

107 **Methods**

108 *Bird censusing*

109 Our study covers two functional groups of waterbirds: benthivores (diving birds, bottom
110 feeders, feeding on motionless type of food – mussels) – Greater Scaup (*Aythya marila* –
111 hereafter Scaup), Tufted Duck (*A. fuligula*), Common Pochard (*A. ferina* – hereafter Pochard),
112 Common Goldeneye (*Bucephala clangula* – hereafter Goldeneye) and Eurasian Coot (*Fulica*
113 *atra* – hereafter Coot); piscivores (diving birds, fish feeders, feeding on mobile type of food –
114 fish) – Smew and Goosander (Stempniewicz 1974; Johansgard 1978). Six of our target species
115 belong to the order: Anseriformes, family: Anatidae, subfamily: Anatinae and Tribe: Mergini
116 (Goldeneye, Smew and Goosander), Tribe: Aythyini (Scaup, Pochard and Tufted Duck); one
117 species – Coot belongs to the order: Gruiformes, family: Rallidae (del Hoyo and Collar 2014).
118 Although Coot is not closely related to the rest of our species, we included it into a common
119 group of waterbirds and, due to its behavior and ecology, to the benthivores group
120 (Stempniewicz 1974). These seven species are usually very abundant in the study area (Kaliciuk
121 et al. 2003; Czeraszkiwicz et al. 2004; Wilk et al. 2010; Marchowski and Ławicki 2011;
122 Guentzel et al. 2012; Marchowski and Ławicki 2012; Marchowski et al. 2013). These are
123 important gathering areas for populations of these birds associated with regional migration
124 flyways. These subpopulations (hereafter regional or flyway populations) are: Pochard – north-
125 east Europe / north-west Europe; Tufted Duck – north-west Europe (wintering); Scaup –
126 northern Europe / western Europe; Goldeneye – north-west and central Europe; Smew – north-
127 west and central Europe (wintering); Goosander – north-west and central Europe (wintering);
128 Coot – north-west Europe (wintering) (Wetlands International 2016). Thus, changes in the
129 importance of this wintering ground due to changing surface-water freezing patterns expected

130 under global warming regimes are likely to have important consequences for very large numbers
131 of these birds.

132 Censuses were conducted using standard methods for non-breeding season waterbirds
133 counts (Komdeur et al. 1992; Wetlands International 2010). Birds were counted during 17
134 seasons (1991/1992 to 1993/1994 and 2001/2002 to 2015/2016) during the migration and
135 wintering periods between November and April. Three censuses were carried out per season in
136 November, January, and March or April; there was one midwinter count in January in
137 2001/2002. Altogether we analysed the results of 44 counts. Most counts were done on foot.
138 Each observer was equipped with 10x binoculars and tripod-mounted spotting scopes. Observers
139 walked along the same routes, and the same counting method was used during every census
140 every year. Additionally, fourteen aerial counts were made at an average speed of about 100
141 km/h and an altitude of about 80 m above the water (see supplementary materials – S1 Table for
142 the method of data collection: aerial or ground). In the early 1990s counts were aerial, whereas in
143 2009-2015 parallel aerial and ground counts were carried out (to compare methods). In ice-free
144 conditions the species covered in this study can be assigned to a group with just a small error
145 between methods (<6%), one species – Coot had a moderate error (16%), the ground method
146 estimated greater numbers than the aerial one. During periods with more than 70% ice cover,
147 abundance estimated from the air was greater than that estimated from the ground (Dominik
148 Marchowski pers. com.). Count method was treated as a random effect in the model. The
149 detailed methodology and results of the counts are given elsewhere (Meissner and Kozakiewicz
150 1992; Meissner et al 1994; Kaliciuk et al. 2003; Czeraszkiwicz et al. 2004; Marchowski and
151 Ławicki 2011; Guentzel et al. 2012; Marchowski and Ławicki 2012; Marchowski et al. 2013).
152 Where large numbers of unidentified *Aythya* species were counted – 26 000 ducks in November

153 2009, 13 000 in November 2010, 6 000 in January 2012, 3 300 in March 2012 and 13 500 in
154 November 2015 – they were estimated to be in the ratio of 1:0.8 (scaup:tufted) based on
155 observations in other studies. Observations were always at a distance that does not disturb the
156 birds in any way, and in Poland, this research required no ethical or scientific permits.

157 *Statistical analysis*

158 Absolute numbers of birds can vary widely and independently, and so we use the
159 proportion of the local population size (in our study area) in relation to flyway population for
160 each species as our dependent variable (Nagy et al. 2014; Wetland International 2016). Thus, if
161 we showed the trend of absolute numbers in our area, the resulting error would be the larger, the
162 greater the changes in the size of the flyway population. Therefore, we indicate the numbers of a
163 species by means of a coefficient calculated as the percentage of the regional population present
164 in the study area during a particular count. We obtained the regional population size estimates
165 from 1992 to 2012 from Nagy et al. (2014); for the period 2013-2016 we used the flat trend
166 calculated by Nagy et al. (2014) (Table 1). Initially, we placed the different species in ecological
167 groups. The benthivores (denoted by B) included Scaup, Tufted Duck, Pochard, Goldeneye and
168 Coot, and the piscivores (P) contained Smew and Goosander. We used the minimum
169 temperatures averaged over the 15 days leading up to the count day. The climate data were
170 obtained from the Szczecin weather station (53.395 N, 14.6225 E, <http://tutiempo.net>). Another
171 climate covariate was ice cover in the study area; data relating to this were published by the
172 Polish Institute of Meteorology and Water Management. These data are from the observation
173 point at Miroszewo on the shore of the Szczecin Lagoon (53.734 N, 14.331 E,
174 <http://www.imgw.pl/>). We compared the number of days with 100% ice cover in the period from
175 0 to 15 days prior to the bird counts. The ice cover of 100% refers specifically to the Miroszewo

176 observation point. This estimate is a good approximation for the region. In practice, however, the
177 ORE is never completely covered by ice (Girjatowicz 1991; 2005; see the Discussion for an
178 explanation) and birds are still present in such conditions. We also utilized the maximum ice
179 extent in the Baltic Sea (max ice; data obtained from the website of The European Environment
180 Agency; EEA 2017). Apart from climatic variables, we also wanted to test the changes in species
181 occurrence during the survey years, so we used season as covariate. Prior to the final analysis,
182 we checked multicollinearity between the above variables using the variation inflation factor
183 (VIF). VIFs of all variables were in acceptable limits, minimum temperatures (VIF = 2.1), max
184 ice (VIF = 1.03), ice cover (VIF = 2.07) and season (VIF = 1.04). However, we found a
185 moderate linear significant relationship between minimum temperature and ice cover ($r = 0.52$, p
186 < 0.001) and after exclusion of minimal temperature VIF showed no multicollinearity issue
187 between variables – ice cover (VIF = 1.04), max ice (VIF = 1.03), season (VIF = 1.03) – and
188 these were used in the subsequent analyses. Frozen water by definition impedes bottom-feeding
189 duck foraging, we are testing whether that pattern is changing in association with climate change.
190 We used a general linear mixed model (GLMM) to test above described relationship in our study
191 area. The percentage of the flyway population present in the study area, estimated by species,
192 was used as a target variable using the normal distribution response distribution and identity link
193 function. Mixed models permitted repetition across survey months, methods (aerial and ground
194 counts) and species (random intercept). Thus, to test our assumptions we included the following
195 interactions: feeding group*season, feeding group*ice cover and feeding group*max ice.
196 Selection of the best model structure for the dependent variable was based on the Akaike
197 information criterion (AIC) (Zuur et al. 2009). All possible models were carried out (they are
198 listed in Table S1 in Supplementary material). As the final models we assumed those in which

199 $\Delta AIC < 2$ (Burnham & Anderson 2002) and in our case it was only a general model with all the
200 tested variables. To test relationship between explanatory variables and particular species
201 abundance we performed for each species GLMM model, where random effects were month and
202 method. We checked also relationship between winter year and ice cover. To test it we used
203 generalized linear model with negative binomial error distribution. We used IBM SPSS Statistic
204 version 20 software for the statistical analysis. $P < 0.05$ was considered statistically significant.

205 **Results**

206 Bellow, we present the results of our analyzes, the main one jointly examines two whole
207 groups - piscivores and benthivores and the second one where each species were analyzed. The
208 first analysis shows that bird numbers by feeding group were different in their relationships with
209 ice cover, benthic feeding species in the study area were more sensitive to lower temperatures
210 and left sooner when colder weather increased ice cover, whereas numbers of piscivorous species
211 had higher tolerance to the extent of ice cover (Table 2). Interactions between feeding group and
212 season, feeding group and ice cover, and feeding group and maximum ice extent on the Baltic
213 sea were all important (Table 2). However, the strongest effects were interactions with ice cover,
214 then interaction with season, this translates into increases of the importance of the site for
215 benthivores. The effect of maximum ice extent was very small (Table 2). Our results show
216 changes in population indices in the ORE over the last 25 years, these indices increased in the
217 case of benthic feeding species but decreased for fish feeders (Table 2). Ice cover across the
218 whole Baltic Sea had the same, though weak, impact on both functional groups of birds.
219 Numbers of birds in the ORE declined with expanding ice cover in the Baltic (Table 2).

220 Where particular species are concerned, the situation is more complex. The population
221 indices of Scaup and Tufted Duck in the ORE exhibited an increasing trend

222 ($\beta_{\text{Scaup}}=0.026\pm 0.010\text{s.e.}, p=0.011$; however for Tufted Duck marginally insignificant
223 $\beta=0.008\pm 0.004\text{ s.e.}, p=0.058$), despite the general decline in their entire northern and western
224 European populations; numbers of both species in the ORE were adversely affected by ice cover
225 in that region ($\beta_{\text{Scaup}}=-0.065\pm 0.011\text{s.e.}, p<0.001$; $\beta_{\text{Tufted}}=0.032\pm 0.005\text{s.e.}, p<0.001$) but not by ice
226 cover in the whole Baltic ($\beta_{\text{Scaup}}=-0.099\pm 0.074\text{s.e.}, p=0.186$; $\beta_{\text{Tufted}}=0.010\pm 0.029\text{s.e.}, p=0.735$).
227 Relative numbers of Pochard in the ORE have declined ($\beta=-0.009\pm 0.003\text{s.e.}, p=0.005$), but so has
228 the whole northern European population, abundance was negatively impacted by ice cover in
229 both the study area ($\beta=-0.015\pm 0.003\text{s.e.}, p<0.001$); and in the entire Baltic ($\beta=-$
230 $0.053\pm 0.023\text{s.e.}, p=0.023$). For Goldeneye, the index for the ORE population was unchanged ($\beta=-$
231 $0.004\pm 0.004\text{s.e.}, p=0.275$), despite the increase in the European population, abundance was
232 negatively impacted by ice cover in the study area ($\beta=-0.016\pm 0.004\text{s.e.}, p<0.001$), but not by ice
233 cover in the entire Baltic ($\beta=-0.044\pm 0.027\text{s.e.}, p=0.107$). Relative numbers of Coot in the ORE
234 remained unchanged ($\beta<0.001\pm 0.002\text{s.e.}, p=0.915$), despite the slight increase in the European
235 population, abundance was negatively impacted by ice cover in both the study area ($\beta=-$
236 $0.014\pm 0.003\text{s.e.}, p<0.001$), and in the entire Baltic ($\beta=-0.038\pm 0.016\text{s.e.}, p=0.019$). The ORE
237 population index for Smew decreased ($\beta=-0.020\pm 0.008\text{s.e.}, p=0.024$), despite the increase in its
238 flyway population, abundance in the ORE was unaffected by ice cover either in the study area
239 ($\beta=-0.013\pm 0.010\text{s.e.}, p=0.183$), or in the Baltic as a whole ($\beta=-0.079\pm 0.061\text{s.e.}, p=0.204$). Finally,
240 relative numbers of Goosander in the ORE remained unchanged ($\beta=-0.005\pm 0.008\text{s.e.}, p=0.572$),
241 like those of the whole population wintering in north-western and central Europe; abundance in
242 the ORE was unaffected by ice cover either in the study area ($\beta=0.018\pm 0.009\text{s.e.}, p=0.063$) or in
243 the Baltic as a whole ($\beta=-0.111\pm 0.057\text{s.e.}, p=0.057$). The details relating to all these species are
244 listed in Table 1, showed in Fig. 2A and Table S3. Table 3 summarizes the changes in the

245 importance of the ORE for wintering populations of diving waterbirds in the last 25 years. We
246 also found positive relationship between year and winter ice ($\beta_0 = 82.011$, $s.e. = 28.49$, β_1
247 $\text{winter} = -0.040$, $s.e. = 0.0142$, $\text{Chi-square} = 8.001$, $df = 1$, $p = 0.005$; Figure 2B).

248

249 **Discussion**

250 The phenomenon of freezing in our study area has decreased over time (Girjatowicz
251 2011, Fig 2 B), so that target birds species should tend to feed more recently more often than in
252 the past. However, two functional groups of waterbirds – benthivores and piscivores – react
253 differently to ice cover, a factor that is directly connected to climate change; this has
254 consequences for the wintering patterns of these species. Benthic feeding birds (Scaup, Tufted
255 Duck, Pochard, Goldeneye and Coot) tend to be more sensitive to ice cover in the study area than
256 fish feeders (Smew and Goosander). Piscivores can survive in colder areas, closer to their
257 breeding ranges, but benthivores have to move further south and west. This phenomenon
258 indicates that piscivores are declining in our study site because they are shifting further north and
259 east in order to stay closer to their breeding areas. Benthivores are increasing their number for
260 the same reason – they, too, are moving further north and east – but in their case the result is a
261 greater number in our study area and a smaller one in areas further west and south. Benthivorous
262 birds feed in the ORE mainly on mussels of the genus *Dreissena* (Marchowski et al. 2015, 2016);
263 the highest quality of this food resource is found primarily in water 1-2 m deep (Wolnomiejski
264 and Witek 2013). Shallow water freezes over faster, displacing birds to deeper unfrozen areas
265 where food is accessible only with difficulty. In addition, when ice cover is present, the
266 abundance of food in unfrozen areas declines owing to its greater exploitation, because the birds
267 congregate on a limited area. In the case of piscivorous birds we predicted that increasing ice

268 cover would not affect their numbers negatively: our results substantiate that prediction. The
269 ORE is never completely covered by ice: the shipping lane between Świnoujście and Szczecin is
270 kept free of ice (Girjatowicz 1991; 2005), and there are always other areas free of ice, especially
271 at the mouths of the small rivers flowing into the estuary. These ice-free areas may still abound
272 in fish and provide food for fish feeders. In general, we have demonstrated the growing
273 importance of the study area for the benthivores. We made two analysis, the main one jointly
274 examines two whole groups – piscivores and benthivores; the results of this analysis is the
275 positive effect of not freezing for benthivores and negative effect for piscivores, also positive
276 effect of season for benthivores, and negative effect for piscivores (see Table 2). In the other
277 analysis, which examined each species separately, there are differences between them (see Fig.
278 2A). As far as the most numerous species – Scaup and Tufted Duck – are concerned, the results
279 tally with those of the first analysis, i.e. their numbers are increasing (correlation with season). In
280 the case of the less abundant species, the result of the second analysis is different: Pochard
281 numbers, for example, are decreasing (negative effect). In the case of piscivores the first
282 analysis showed the negative correlation between season and abundance among the fish-eaters.
283 In the second analysis, where we assessed each species separately, Goosander showed no change
284 (no correlation between season and abundance) whereas Smew did reveal a change (there was a
285 correlation between season and abundance, tending towards a reduction in numbers). In fact, the
286 strongest effect of the first years of the study relates to the fish-eaters, especially Smew, If we
287 take the whole period from 1992 to 2016, we see a fall in numbers of this species (as shown in
288 the model results), this was due to its very large numbers in the early 1990s. But if we take the
289 period from 2002 to 2016 we find that its numbers are stable.

290 An interesting result is the negative effect of maximum ice cover in the entire Baltic Sea
291 on the numbers of all species in our study. This is unexpected, since our study area is in the
292 warmer south-western Baltic, where one would anticipate an increase in the number of
293 waterbirds in such circumstances (Alerstam 1990). The explanation for this relationship is not
294 easy and certainly goes far beyond the scope of this work, but it may inspire further research.
295 However, we can speculate on possible scenarios. Maps showing the maximum range of ice
296 cover in the Baltic Sea show clearly that when the northern Baltic, i.e. the Gulf of Bothnia and
297 the Gulf of Finland, is completely frozen over, the entire Pomeranian Bay (SW Baltic) (see the
298 map – Fig.1) together with the ORE is also covered with ice (Finnish Meteorological Institute
299 2017). These areas freeze over quickly because of their shallowness and low salinity, the latter
300 being due to the considerable influence of fresh water from the Odra river basin. Consequently,
301 during harsh winters, birds from northern Baltic move to the south and west, but they by-pass our
302 study area as it is covered by ice. Under such circumstances there may sometimes be better
303 conditions for waterbirds in areas farther north, e.g. the southern coast of Sweden, where there is
304 no ice cover (Finnish Meteorological Institute 2017). Worth noting here, however, is that such
305 cold weather causing the entire Pomeranian Bay and Odra River Estuary to freeze over is rare
306 and becoming rarer (EEA 2017). Nevertheless, if we consider the impact of ice cover of the
307 whole Baltic within species, we can see differences between them and the non-significant impact
308 of this phenomenon on e.g. Smew and Goosander, which corresponds with the local results
309 (Table S3).

310 The global temperature has risen about 1°C over the last 130 years, and Northern
311 Hemisphere temperatures of the last 30 years have been the highest in over 800 years (Stocker et
312 al. 2013). The extent and duration of ice cover in the Baltic have decreased on average by 50%

313 over the last 36 years (Schröder 2015). There is evidence that the range and occurrence of
314 migratory birds have changed in response to climate change and that some species have
315 shortened their migratory movements by wintering closer to their breeding areas (Musil et al.
316 2011; Lehtikoinen et al. 2013; Pavon-Jordan et al. 2015; Meller 2016). Assuming continued
317 climate warming, the negative correlation between numbers of benthic feeding birds and the
318 number of days with ice cover indicates that the ORE is becoming more important for this group
319 of birds. Climate change seems to be the primary reason for increases (in the study area) in
320 numbers of Scaup and Tufted Duck and decreases in numbers of Smew; this corresponds with
321 the findings of Lehtikoinen et al. (2013) in the case of Tufted Duck and of Pavón-Jordan et al.
322 (2015) in the case of Smew. Our results are important for conservation planning. Declines in the
323 populations of species such as Scaup and Tufted Duck, even though the importance of our study
324 area to these species is increasing, but at the same time there is an increase in exposure to locally
325 emerging threats. The biggest threats to these species in the area include fishery bycatches
326 (Žydelis et al. 2009; Bellebaum et al. 2012). The ecology of diving birds makes this type of
327 threat responsible for the extra mortality of all species covered by this study. Comparison of a
328 species' estimated total population numbers (Nagy et al. 2014) with numbers for the ORE is
329 interesting, since local trends and European trends do not always concur. The different responses
330 of particular species to the factors investigated are also worth examining. We grouped the
331 species by trends in the study area and discuss these for each species below.

332 *Species with increasing population index in the study area*

333 Between the late 1980s and 2012, the population of Scaup wintering in northern and
334 western Europe declined at an annual rate of 3.57%/year (Nagy et al. 2014). Around 41% of the
335 Scaup from this population spent the winter in the Baltic Sea region (Skov et al. 2011), and this,

336 in turn, declined by 60% from 1991 to 2010 (Aunins et al. 2013). At the same time we found that
337 the importance of the ORE for this species was increasing. Scaup numbers increased by 300% in
338 the Szczecin Lagoon (the biggest part of Odra River Estuary – see the map – Fig. 1) and the
339 eastern coastal areas of Germany, as opposed to declines further west along the German coast,
340 where some areas (Wismar Bay and Travelförde) had fewer birds than 15 years earlier (Skov et
341 al. 2011). A similar trend was found in Sweden, where the number of wintering Scaup increased
342 between 1971 and 2015 (Nilsson and Haas 2016). But farther west, in the Netherlands, Hornman
343 et al. (2012) recorded decreases at the most important wintering sites since 1980/1981. All of
344 these studies confirm that Scaup is shifting its wintering range northwards and eastwards, closer
345 to its breeding areas: this is the reason for the heightened importance to this species of the ORE,
346 even as its overall population wintering in northern and western Europe is declining.

347 Tufted Duck populations wintering in north-western Europe have recently been
348 decreasing by 0.98%/year (Nagy et al. 2014). Lehtikoinen et al. (2013) showed that the
349 population estimated for the North-West Europe flyway remained relatively stable between 1987
350 and 2009, a situation confirmed by Wetlands International (2016). In the Baltic Sea region, too,
351 there were no significant changes in numbers between 1991 and 2010 (Aunins et al 2013). We
352 have found that our study area has increased in importance for this species, although not to the
353 same extent as for Scaup. By comparison, Nilsson and Haas (2016) showed Swedish populations
354 to have increased between 1971 and 2015, and Lehtikoinen et al. (2013) reported a rapid increase
355 in the last three decades for Finland. Tufted Ducks in the ORE behave in the same way as Scaup
356 in that they form mixed flocks consuming the same type of food (Marchowski et al. 2016). At a
357 larger scale, Tufted Ducks have a different migration and wintering strategy: Scaup concentrate
358 in a few hot spots, moving jump-wise between them, whereas the distribution of Tufted Ducks is

359 more diffuse (van Erden and de Leeuw 2010; Skov et al. 2011; Carboneras and Kirwan 2016a;
360 Carboneras and Kirwan 2016b). This could cause Tufted Ducks to disperse to smaller water
361 bodies outside our study area, e.g. the numerous lakes in the Pomeranian Lake District in
362 northern Poland (~34 000 km²), whereas Scaup remain almost exclusively in the ORE (e.g.
363 Marchowski and Ławicki 2011; Marchowski et al. 2013). The results of the Wintering Waterbird
364 Monitoring programme also show the greater prevalence in Poland of Tufted Duck (29.5%) than
365 Scaup (7.8%) (Neubauer et al. 2015). Scaup is known to concentrate in big flocks during
366 migration and wintering, and the whole flyway population may be concentrated in a few hot-
367 spots such the ORE (Marchowski et al. 2015): this is important in the context of species
368 conservation planning. We have shown an increase in the importance of ORE for Scaup, but at
369 the same time there is an increase in exposure to locally emerging threats such as bycatches in
370 fishing nets (Bellebaum et al. 2012). Taking into account the above pattern of Scaup behaviour
371 and our results, there is a justified fear that locally operating threats in the ORE may affect the
372 entire flyway population of the species. This is one of the most important messages of our work.

373 *Species with decreasing population index in the study area*

374 Pochard populations from north-east / north-west Europe have declined rapidly at an
375 annual rate of 3.35%/year (Nagy et al. 2014). Pochard numbers in the Baltic Sea region also
376 declined by 70% between 1991 and 2010 (Aunins et al. 2013). In 1995 there were an estimated
377 300 000 Pochard in the north-east/north-west European population (Delany et al. 1999). With a
378 constant decline of 3.35%/year, the total population should now be less than 150 000 (Nagy et al.
379 2014). Numbers of Pochard were expected to be higher in the ORE because of the reduced ice
380 cover. However, we found a reduction in the importance of the estuary to this species (Table 3),
381 corresponding with its global decline (Aunins et al. 2013; Nagy et al. 2014; Wetlands

382 International 2016). Pochard behaves more like Tufted Duck than Scaup over winter in being
383 more dispersed and occurring on smaller bodies of water (e.g. Marchowski and Ławicki 2011;
384 Marchowski et al. 2013; Neubauer et al. 2015). This implies that individuals may also be
385 wintering outside the study area, e.g. on the numerous water bodies of the Pomeranian Lake
386 District, like Tufted Duck. This local decline, however, seems to be driven by the species' global
387 decline, despite the emergence of better conditions for wintering that might favour population
388 growth.

389 Smew populations wintering in northern, western and central Europe increased at
390 1.97%/year between the late 1980s and 2012 (Nagy et al. 2014); in the Baltic Sea region
391 numbers increased by 30% between 1991 and 2010 (Aunins et al. 2013). Although Smew cannot
392 be classified as a piscivore in the same way as Goosander (and Red-breasted Merganser *M.*
393 *serrator*), it does feed on very small fish and on small invertebrates (Carboneras and Kirwan
394 2016 c). Though more dependent on shallow water than Goosander, Smew generally forages on
395 mobile types of food. So even if shallow waters freeze over, it may remain on site and search for
396 food in deeper water, which is what we have observed. We found that today, the ORE is of less
397 importance to Smew (Table 3) as illustrated by the northward and eastward shift in wintering
398 area boundaries due to climate warming (Pavon-Jordan et al. 2015). Confirmation of this process
399 is provided by the significant increase in numbers of Smew in 1971-2015 in places to the north
400 of our study area, in Sweden (Nilsson and Haas 2016).

401 ***Species with no changes in the population index in the study area***

402 Coot populations wintering in north-west Europe increased by 0.19%/year between the
403 late 1980s and 2012 (Nagy et al. 2014), but in the Baltic region there was a 60% decline between
404 1991 and 2010 (Aunins et al. 2013). We have found no changes in Coot numbers in the ORE

405 over the last 25 years (Table 3). Likewise, no changes in numbers were recorded between 1975
406 and 2010 at wintering sites in warmer areas to the south-west (the Netherlands) (Hornman et al.
407 2012). Long-term figures for Sweden (1971-2015), while not revealing any distinct increase, do
408 show that Coot populations fluctuated, rising during mild periods and falling during cold periods
409 (Nilsson and Haas 2016). The expected increase in numbers due to improvements in habitat
410 quality did not happen. Factors such as pressure from American mink *Neovison vison*, which are
411 responsible for the decline of Coot in many places (e.g. Ferreras and Macdonald 1999), may
412 have held back potential increases. Moreover, compared to the bottom-diving ducks, Coot is
413 more sensitive to cold weather: a study by Fredrickson (1969) demonstrated high mortality after
414 periods of severe weather (also reflected in the results of Swedish breeding bird surveys – Leif
415 Nilsson pers. com.) but that the population recovered during mild winters. This factor may also
416 be the reason for the different reactions of Coot and diving ducks to the cold.

417 Goldeneye populations wintering in north-west and central Europe increased at
418 0.26%/year between the late 1980s and 2012 (Nagy et al. 2014) and increased in the Baltic Sea
419 region by 50% between 1991 and 2010 (Aunins et al. 2013). This corresponds to the data
420 provided by Lehikoinen et al (2013), which show an increase in numbers in the northern Baltic
421 wintering area (Finland and N Sweden), but a decline in the southern part of its wintering range
422 (Switzerland, France). In our work we found the relative number of Goldeneye in the ORE to be
423 stable in the period 1992-2016 (Table 3). This again tallies with the findings of Lehikoinen et al.
424 (2013) that duck abundances are independent of temperature in the central part of the flyway.
425 This is probably why the shift in wintering range is not perceptible in our study area but is more
426 pronounced at other, e.g. Swedish wintering sites, where numbers have increased (Nilsson and
427 Haas 2016) but not in the Netherlands, where they have declined (Hornman et al. 2012).

428 Goosander populations wintering in north-west and central Europe have been stable since
429 the early 1990s (Nagy et al. 2014); moreover, numbers in the Baltic Sea between 1991 and 2010
430 did not change significantly (Aunins et al. 2013). We also found non-significant changes in the
431 ORE, so it must be regarded as stable (Table 3). As in the case of Goldeneye, the explanation is
432 that in the central part of the flyway, species abundances are independent of temperature. In other
433 areas, observations indicate a shift farther to the north and east in the wintering range as a result
434 of climate warming (Hornman et al. 2012; Lehtikoinen et al. 2013; Nilsson and Haas 2016).

435 **Conclusion**

436 Our study shows that climate change can influence distribution of overwintering
437 waterbirds. Apart from climate changes, however, feeding ecology, interspecific competition,
438 fishery and other human-related disturbance may be also important and should be taken into
439 consideration (Quan et al. 2002, Žydelis et al. 2009, Clavero et al., 2011; Eglington & Pearce-
440 Higgins, 2012). We show that the protected areas covered by our study will be more important
441 for some species (Scaup and Tufted Duck) but less so for others (Smew). Taking into account the
442 large abundance of the target species regularly present in the ORE, conservation measures
443 applied here will have a large impact on whole populations and will be particularly important for
444 Scaup. Shifts in species distributions should be accounted for in future management plans for
445 Special Protection Areas of the European Natura 2000 network. We believe that our results add
446 new insight to the problem of wintering waterbirds protection and can help to shape conservation
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457

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680 Table 1. Regional flyway populations and annual trends (after Nagy et al. 2014) for seven
 681 species of waterbirds using the Odra River Estuary.

682 (1) Target species. (2) Functional group: B – benthivores, P – piscivores. (3) Estimated number of
 683 individuals from regional flyway population in 1992, the numbers are presented in thousands. (4)
 684 Estimated number of individuals from regional flyway population in 2012, the numbers are presented in
 685 thousands. (5) Population trend % per annum - long term assessment. (6) Significances of changes.
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Species (1)	Functional group (2)	Number of individuals (1992) (3)	Number of individuals (2012) (4)	Population trend % p.a. (5)	Significance of changes (6)
Greater Scaup	B	300	150	-3.57	Large decline
Common Pochard	B	280	150	-3.35	Large decline
Tufted Duck	B	1,100	820	-0.98	Large decline
Goosander	P	130	100	-0.09	Stable
Eurasian Coot	B	990	950	+0.19	Moderate increase
Common Goldeneye	B	210	240	+0.26	Moderate increase
Smew	P	13	24	+1.97	Large increase

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713 Table 2. Results of general linear mixed models for seven species showing the influence of ice cover,
714 maximum ice extent [km²] in the Baltic Sea (max ice) and season on the percentage of occurrence of
715 benthivores (denoted by B, Scaup, Tufted Duck, Pochard, Goldeneye, Coot) and piscivores (denoted by
716 P, Smew, Goosander) in the Odra River Estuary. Species, method and month were treated as random
717 effects.

Model Term	Coefficient	Std. Error	t	<i>P</i>
Intercept	26.553	11.619		
Ice cover	0.014	0.006	2.375	0.018
Season	-0.013	0.006	-2.204	0.028
Max ice	-0.114	0.040	-2.824	0.005
Feed[B]	-38.751	11.959	-3.240	0.001
Season*Feed[B]	0.019	0.006	3.212	0.001
Ice cover*Feed[B]	-0.044	0.007	-6.623	<0.001
Max ice*Feed[B]	0.094	0.046	2.071	0.039
Species (r)	0.074	0.048		
Method (r)	0.015	0.020		

Month (r)	0.001	0.002
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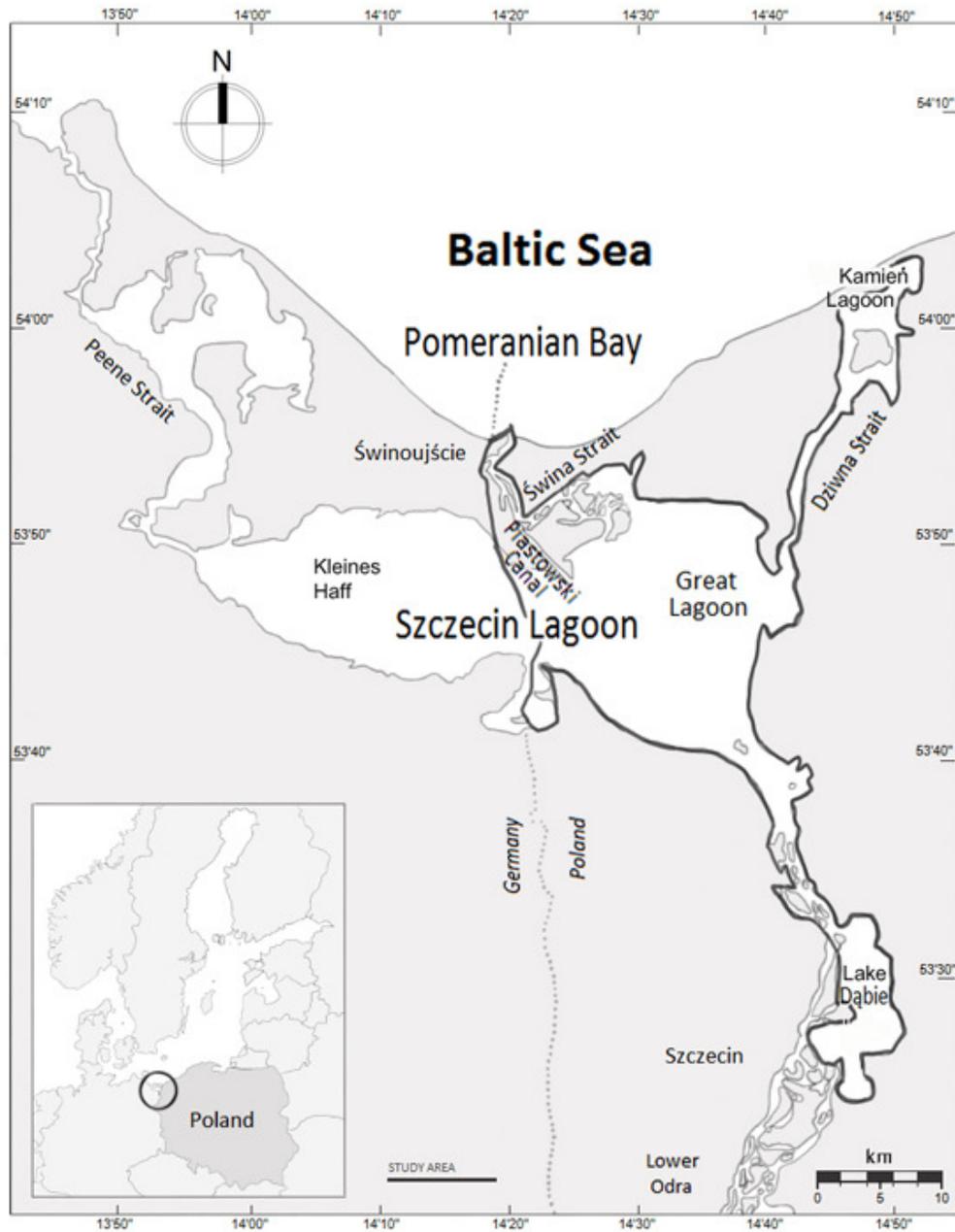
724 Table 3. Population index trends in the Odra River Estuary (ORE) for the regional biogeographic (flyway)
 725 population (b.p.) of diving waterbirds showing the percentage of the flyway population in 1992; the
 726 percentage of the flyway population in 2016; the mean percentage of the flyway population in the period
 727 1992 – 2016 ± standard error; and the trend in the period 1992 – 2016.

Species	%b.p.1992	%b.p.2016	Mean1992– 2016±SE	Trend in ORE
Greater Scaup	5.68	12.60	14.17±2.84	↑
Tufted Duck	2.87	4.79	2.61±0.25	↑
Common Goldeneye	4.48	0.63	1.21±0.14	→
Eurasian Coot	0.86	0.68	0.61±0.07	→
Goosander	12.59	1.80	6.85±1.01	→
Smew	7.04	2.76	7.01±1.27	↓
Common Pochard	1.84	0.20	0.62±0.09	↓

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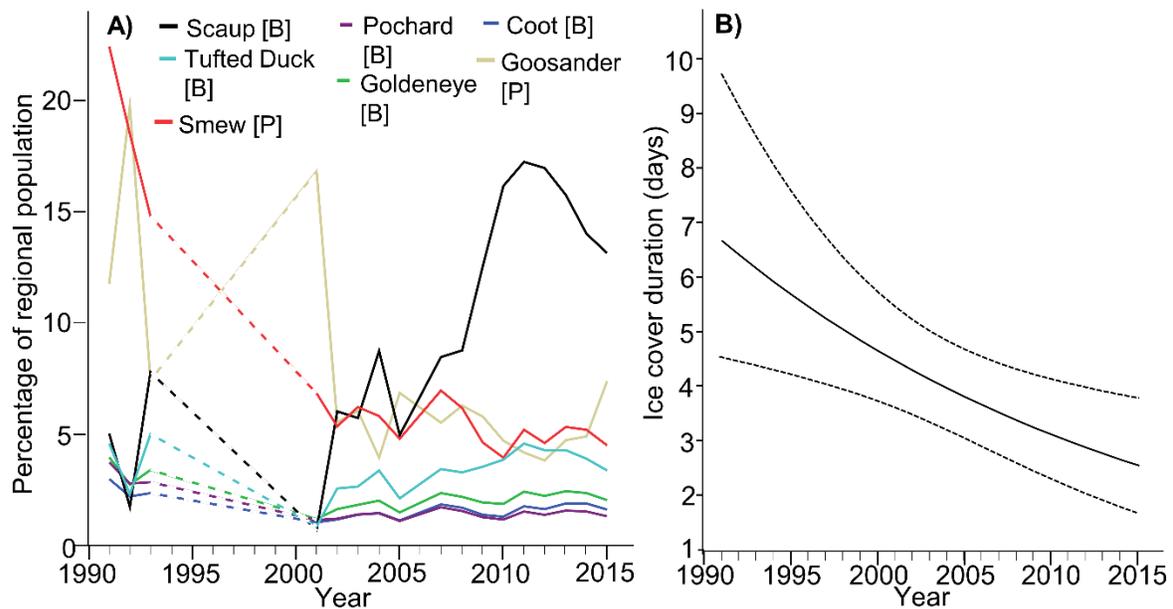
Figure 1. The Odra River Estuary, north-western Poland.



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778 Figure 2 A. Predicted results of the general linear mixed model showing the changes of the percentage of
779 the target species population in the Odra River Estuary during years 1992 - 2016. The predicted values
780 were obtained from the model where we added species as a fixed variable. The model's parameters are
781 listed in Table S3 in the Supplementary material. Dashed lines – the gap with birds data. B) Changes in
782 the ice cover duration in the Odra River Estuary during years 1992 – 2016. Results of a generalized linear
783 model (with negative binomial error distribution) - correlation between year and winter ice. Dotted lines
784 show 95% confidence intervals bounds.



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