Edits by L. H. Fisk

Tiny pollen grains: first evidence of Saururaceae from the Late Cretaceous of western North America

Fridgeir Grimsson Corresp. 1, Guido W Grimm 1.2, Reinhard Zetter 1

Corresponding Author: Fridgeir Grimsson Email address: fridgeir.grimsson@univie.ac.at

Background. The Saururaceae, a very small family of Piperales comprising only six species in four genera, have a relatively scanty fossil record outside of Europe. The phylogenetic relationships of the four genera to each other are resolved, with the type genus *Saururus* occurring in both eastern North America and East Asia. No extant species occurs in western Eurasia. Recent dated trees indicate an Eocene or younger crown age for the family. The most exceptional find so far has been an inflorescence with *in-situ* pollen, *Saururus tuckerae* S.Y.Sm. & Stockey from Eocene of North America with strong affinities to extant species of *Saururus*.

Methods. Dispersed fossil pollen grains from the Campanian (82–81 Ma) of North America are compared to dispersed pollen grains from the Eocene strata comprising 5. tuckerae the Miocene of Europe, and extant members of the family using combined LM and SEM imaging.

Results. The unambiguous fossil record of the Saururaceae can be pushed back into the Campanian (82-81 Ma). Comparison with re-investigated pollen from the Eocene of North America, the Miocene of Europe, and of the modern species of the family shows that pollen morphology in Saururaceae is highly conservative, and remained largely unchanged for the last 80 myrs.

Discussion. The pollen rejects young (Eocene or younger) estimates for the Saururaceae root and crown age, but is in-line with maximum age scenarios. *Saururus*-type pollen appear to represent the primitive pollen morphology of the family. Often overlooked because of its size, dispersed Saururaceae pollen may provide a unique opportunity to map the geographic history of a small but old group of Piperales, and should be sought out in Paleogene and Cretaceous sediment samples.



Department of Palaeontology, University of Vienna, Wien, Austria

Unaffiliated, None, Orléans, France

1	Tiny pollen grains: first evidence of Saururaceae from the Late Cretaceous of
2	western North America
3	Friðgeir Grímsson ¹ , Guido W. Grimm ^{1,2} , Reinhard Zetter ¹
4	
5	¹ University of Vienna, Department of Palaeontology, Vienna
6	² Unaffiliated, Orléans, France
7	
8	Corresponding author:
9	Friðgeir Grímsson
10	Althanstraße 14, UZA II, 1090 Vienna
11	Email: fridgeir.grimsson@univie.ac.at

- 13 Abstract [500 words, 3000 characters]
- 14 Background. The Saururaceae, a very small family of Piperales comprising only six species in
- 15 four genera, have a relatively scanty fossil record outside of Europe. The phylogenetic
- 16 relationships of the four genera to each other are resolved, with the type genus Saururus occurring
- in both eastern North America and East Asia. No extant species occurs in western Eurasia. Recent 17
- dated trees indicate an Eocene or younger crown age for the family. The most exceptional find so 18
- 19 far has been an inflorescence with in-situ pollen, Saururus tuckerae S.Y.Sm. & Stockey from
- 20 Eocene of North America with strong affinities to extant species of Saururus.
- 21 Methods. Dispersed fossil pollen grains from the Campanian (82–81 Ma) of North America are
- 22 compared to dispersed pollen grains from the Eocene strata comprising S. tuckerae, the Miocene
- 23 of Europe, and extant members of the family using combined LM and SEM imaging.
- 24 Results. The unambiguous fossil record of the Saururaceae can be pushed back into the Campanian
- 25 (82-81 Ma). Comparison with re-investigated pollen from the Eocene of North America, the
- 26 Miocene of Europe, and of the modern species of the family shows that pollen morphology in
- 27 Saururaceae is highly conservative, and remained largely unchanged for the last 80 myrs.
- 28 Discussion. The pollen rejects young (Eocene or younger) estimates for the Saururaceae root and
- 29 crown age, but is in-line with maximum age scenarios. Saururus-type pollen appear to represent
- the primitive pollen morphology of the family. Often overlooked because of its size, dispersed 30
- 31 Saururaceae pollen may provide a unique opportunity to map the geographic history of a small but
- 32 old group of Piperales, and should be sought out in Paleogene and Cretaceous sediment samples.
- 34 Keywords. Angiosperm evolution; conservative traits; magnoliids; Molecular dating;
- 35 Saururus; paleophytogeography; Piperales

Introduction

33

36

37

- 38 Smith & Stockey (2007a) described inflorescences and flowers with in-situ pollen from the
- Eocene of North America that they could assign to the modern genus Saururus (S. tuckerae 39
- S.Y.Sm. & Stockey). Saururaceae are a very small magnoliid family included in the Piperales 40
- 41 (APG III 2009), with six currently accepted species in four genera. In addition to Saururus cernuus
- 42 L. and S. chinensis (Lour.) Baill., these are: Anemopsis californica Hook. & Arn., Gymnotheca

43 chinensis Decne., Gymnotheca involucrata Pei, and Houttuynia cordata Thunb. An interesting 44 pattern is the modern disjunct distribution of both of the two mutually monophyletic lineages in the Saururaceae (Anemopsis + Houttuynia vs. Gymnotheca + Saururus; (Massoni, Forest & 45 Sauquet 2014) in North America and South/East Asia, indicating that the family probably had a 46 much wider distribution in the past (Table 1). The fossil record of Saururaceae is scanty (Table 2). 47 Most of the fossils fruits/seeds are from the Eocene to Pliocene of western Eurasia and have been 48 affiliated with Saururus (S. bilobatus [Nikitin] Mai). In addition Mai (1999) described fruits/seeds 49 from the lower Miocene of Germany as Houttuynia bavarica Mai. The oldest fossil record so far 50 is fossil wood from the Upper Cretaceous (no detailed stratigraphic information available) of 51 52 Hokkaido described as Saururopsis niponensis Stopes & Fujii (1910, p. 58ff); the authors discuss 53 carefully the affinity of the fossil and suggest that it could represent an ancestral member of the Saururaceae combining wood features typical for either Saururus or Houttuynia. Likewise, the 54 Eocene Saururus tuckerae (Smith & Stockey 2007a) is so far the only fossil reported from North 55 56 America. Though scanty, the fossil record confirms that Saururaceae were widespread by the 57 Paleogene. The fossil record is also in line with the latest molecular dating estimates of a magnoliid dataset. According to the dating analyses of Massoni, Couvreur & Sauquet (2015b), the divergence 58 59 between the two clades of the Saururaceae (Anemopsis + Houttuynia vs. Gymnotheca + Saururus) 60 was established at the latest by the Eocene (> 45 Ma), and the modern genera (and disjunctions) by the late Miocene (> 10 Ma) or much earlier (Table 3). Two nodes in the phylogenetic 61 neighbourhood of the Saururaceae were constrained using fossil age priors: the Saururus (≥ 44.3 62 63 Ma; 'safe' minimum constraint with reference to S. tuckerae) and Winteraceae root ages (= Canellales crown age; ≥ 126 Ma; Massoni, Doyle & Sauguet 2015). Here, we document fossil 64 pollen from the middle Upper Cretaceous Eagle Formation (Fm) of Wyoming, western North 65 America, that is very similar to those of extant Saururus and nearly identical to that of pollen 66 associated with Saururus tuckerae from the Eocene of British Columbia. Our find is discussed on 67 the backdrop of newly documented dispersed Saururus pollen from the Eocene of British 68 Columbia and Miocene of Central Europe (Austria), and the recent dating estimates for the family. 69

Material & Methods

70

71

Palaeopalynological samples

- The sedimentary rock samples containing the dispersed fossil *Saururus* pollen grains presented in this study originate from three different localities:
- 1) the Elk basin, Wyoming, north-western United States (44°59′N/108°52′W); the sediment sample comes from the Campanian Eagle Fm and was provided by the late Leo Hickey (1940–2013). For detailed chronometric (absolute dating of the overlying benthonite; Hicks 1993) and stratigraphic information and palaeobotanical background of this locality see
- Hicks (1993), Van Boskirk (1998), Manchester, Grímsson & Zetter (2015), and Grímsson
- 79 et al. (2016a).
- 80 2) an outcrop of the Princeton Chert beds, Similkameen River, British Columbia, Canada
- 81 (49°22'N, 120°32'W). The Princeton Chert beds are part of the middle Eocene Allenby Fm
- and comprise at least 49 rhythmically bedded cherts, interbedded by carbonaceous layers
- 83 (e.g. Read 2000; Smith & Stockey 2007a; Mustoe 2011). The sample originates from chert-
- bed 43 (uppermost quarter of the Princeton Chert unit) and was provided by Ruth Stockey.
- Overlaying and underlying beds have been chronometrically dated. According to Moss,
- Greenwood & Archibald (2005, fig. 2) an age of ca 48 Ma can be assumed for this part of
- 87 the formation.

90

- 88 3) An opencast clay pit, Stoob-Warasdorf-Forest, Burgenland, Austria. No chronometric
- 89 datings are available; bio- and lithostratigraphy indicate a Miocene age (Klaus 1982).

Sample preparation and the single grain method

- The palynological samples were processed according to the protocol outlined in Grímsson,
- 92 Denk & Zetter (2008). The fossil Saururaceae pollen grains were investigated both by light
- 93 microscopy (LM) and scanning electron microscopy (SEM) using the single grain method x
- 94 described in Zetter (1989).

95 **Pollen descriptions and comparison to extant material**

- The description of the fossil pollen grains includes diagnostic features observed both in LM and
- 97 SEM. Some grains were deliberately broken to expose the pollen wall to measure the exine and

nexine thickness using SEM. TEM measurements for Saururus tuckerae are based on Smith & Stockey (2007a, fig. 29) and Smith & Stockey (2007b, fig. 12B). Pollen terminology follows Punt et al. (2007) and Hesse et al. (2009). The fossil pollen grains were compared to all previously published Saururaceae pollen that have been documented using LM and SEM (Xi 1980; Takahashi 1986; Pontieri & Sage 1999; Sampson 2000; Furness, Rudall & Sampson 2002; Smith & Stockey 2007a; Smith & Stockey 2007b; Lu et al. 2015). Additional material (Table S1) from the herbarium of the University of Vienna (WU) was used for a more detailed comparison (pollen figured in File S1).

Preparation of extant material

A single or a few anthers from each sample were placed into drops of acetolysis liquid (nine to one mix of 99% acetic anhydride and 95–97% sulphuric acid) on microscopic glass slides to soften up the anthers, release the pollen grains from anthers, dissolve extra organic material on pollen grain surfaces, rehydrate pollen grains and release their cell contents, and finally, to stain the grains for LM photography. The slides were heated over a candle flame to speed up the process. Pollen grains were then transferred into fresh drops of glycerine and photographed under LM and then transferred to SEM stubs using a micromanipulator and washed with drops of absolute ethanol. Stubs were sputter-coated with gold and the pollen grains photographed under a JEOL 6400 SEM.

Conservation of fossil and extant pollen material

SEM stubs produced under this study are stored in the collection of the Department of Palaeontology, University of Vienna, Austria, under accession numbers IPUW 7513/101–130.

Systematic palaeobotany

Nomenclatural note. We believe that a fossil name should reflect the biological affinity indicated by the morphology of the fossil. Take together all evidence, our Cretaceous pollen grains either represent an ancestral lineage within the Saururaceae that shared the primitive pollen morphology of extant and Cenozoic Saururus (hypothesis 1 below) or an early member of the Saururus-lineage (hypothesis 2). A name best reflecting hypothesis 1 would be to erect a new genus named e.g. "Protosaururus". However, this is impractical. The genus diagnosis could only

126 be based on the Cretaceous pollen grains, and would be non-exclusive regarding pollen of the 127 actual Saururus-lineage. If the currently prevalent cladistic-phylogenetic nomenclature that only 128 accepts taxa that have a (putative) inclusive common origin should be followed, i.e. are 129 'monophyletic' in a strict sense (Hennig 1950; Hennig & Schlee 1978), termed also 'holophyletic' 130 by (Ashlock 1971), the Cretaceous fossils would need to be addressed as "Saururaceae gen. et sp. 131 indet." (hypothesis 1) or Saururus (hypothesis 2). For consistency, our and future Saururus-type 132 pollen grains would need to be named based on the currently accepted divergence ages for the 133 Saururaceae (Fig. 1). An alternative solution that serves the requirements of the Botanical Code 134 for unambiguous diagnoses is to follow the concept of "evolutionary classification" (e.g. Mayr & 135 Bock 2002; Hörandl 2006; Hörandl 2007), which allows naming also 'paraphyletic' groups to 136 avoid that groups of directly related organisms with a non-inclusive common origin and similar or 137 identical morphology are addressed by different names (Fig. 1). In this case, one does not need to 138 decide which hypothesis (paraphyletic Saururus pollen vs. holophyletic Saururus) applies when 139 naming the pollen; and all Saururus-type pollen can be addressed as Saururus spp.

140 Saururus aquilae sp. nov. (Figures 2-4A-G)

- 141 **Holotype.** IPUW 7513/101 (Figs 2A, 4E,F)
- 142 Paratypes. IPUW 7513/102–111 (Figs 2B–H, 3, 4A–D,G,H)
- 143 Type locality. Elk Basin, Wyoming, United States.
- 144 Stratigraphy and age. Lettered Sands Member, Upper Eagle beds, Eagle Fm, Upper
- 145 Cretaceous (Campanian); 82–81 Ma (Hicks 1993; Van Boskirk 1998).
- Species diagnosis. Sculpture perforate, psilate to granulate; proximal face with about five
- 147 perforations per µm²; perforations can have lobate outlines and up to six free-standing and/or
- protruding columellae; exine ≤ 400 nm and nexine < 200 nm thick. All other pollen features that
- can be observed under LM and SEM as in the two modern species of the genus.
- Description. Pollen, monad, shape oblate, form boat-like to globose, outline elliptic in
- 151 equatorial and polar view; size very small, polar axis 3–5 μm long in SEM, equatorial diameter 6–
- 152 11 μm in SEM; sulcate, sulcus with rounded ends (SEM); tectate; exine c. 400 nm thick, nexine c.
- 153 140 nm thick, nexine thinner than sexine (SEM); sculpture psilate in LM, perforate, psilate to
- 154 granulate in SEM, 20–25 perforations per 4 µm², perforations tiny to small, circular, elliptic,
- 155 irregular, irregular elongated to lobate in outline, perforations fewer and smaller on distal polar

face (SEM), perforations are characterized by 1–6 free-standing and/or protruding columellae, free-standing columellae at periphery of perforations or sometimes filling them completely (SEM); sulcus membrane microechinate, microechini mostly with blunt apex, microechini densely packed to segregated (SEM).

160 Remarks. The description is based on c. 50 individual dispersed pollen grains studied both in 161 LM and SEM. The Cretaceous S. aquilae pollen grains are very similar to or indistinguishable 162 from the Eocene pollen of S. tuckerae that has been found both in situ in inflorescences/flowers 163 (Smith & Stockey 2007a) and dispersed in the same sediments (Zetter 2006; this study). The only 164 differences are found in the sculpture of the sulcus membrane: in some grains of S. aquila, the 165 microechini can be densely packed (Fig. 2A,C; Fig. 4C,E,F), whereas they are widely spaced in S. 166 tuckerae and the two modern species of Saururus (Table 4; Supplementary File S1). The pollen 167 grains of both taxa are even smaller than pollen of Miocene (S. stoobensis sp. nov., below) and 168 extant Saururaceae except for Gymnotheca. They show the same basic SEM sculpture ranging 169 from perforate, psilate to granulate; a variation also seen in the Miocene pollen but not to the same 170 degree in extant members of the Saururaceae. The main diagnostic feature distinguishing S. from the Cvot acoes aquilae, and S. tuckerae from the Miocene and modern species of the genus is their high density of 171 perforations (\geq 20 per 4 μ m² on the proximal pollen face compared to \leq 10 per 4 μ m² in S. 172 173 stoobensis, S. cernuus, and S. chinensis). Furthermore, they both show up to six free-174 standing/protruding columellae at the periphery of perforations compared to a maximum of four 175 in extant species of the Saururaceae. Occasionally lobate perforations in addition to the more 176 common circular, elliptical and irregular perforations represent a feature seen only in the fossil 177 Saururus pollen and the extant S. chinensis. Exine and nexine in both taxa are slightly but 178 consistently thinner than in extant Sauruaceae. The S. aquilae pollen grains differ from those of Gymnotheca, Houttuynia, Anemopsis. Pollen grains of Gymnotheca differ from S. aquilae and 179 180 fossil and extant Saururus by their prominently striate and nanoechinate SEM sculpture; their 181 perforations are without free-standing/protruding columellae. Houttuynia pollen grains are 182 considerably larger than pollen of S. aquilae, and are unique within Saururaceae in having a 183 microverrucate sulcus membrane; their exine is much thicker than in S. aquilae. Anemopsis pollen 184 grains have sulcus membranes that are echinate to rugulate, a feature not seen in any other fossil 185 or extant Saururaceae.

Derivation of name. The species is named after the Eagle (lat. aquila) Fm.

186

187 Saururus tuckerae S.Y.Sm. & Stockey (Figures 4H, 5, 6, 7A-D)

- 188 2007 "Anemopsipollis sp." (nomen nudum) Ferguson et al., pl. 2, figs 5-8.
- 189 2007a Saururus tuckerae Smith & Stockey, figs 21, 22, 26, 29.
- 190 2007b Saururus tuckerae Smith & Stockey, figs 11A-E, 12A-C.
- 191 Age. Middle Eocene, c. 48 Ma (Moss, Greenwood & Archibald 2005).
- 192 Description. Pollen, monad, shape oblate, form boat-like, outline elliptic in equatorial and polar
- 193 view; size very small, polar axis 3–5 μm long in SEM, equatorial diameter 6–11 μm in SEM;
- 194 sulcate, sulci with rounded ends (SEM); tectate; exine c. 370 nm thick, nexine c. 150 nm thick,
- nexine thinner than sexine (TEM); sculpture psilate in LM, perforate, psilate to granulate in SEM,
- 196 23–26 perforations per 4 μm², perforations tiny to small, circular, elliptic, irregular, irregular
- 197 elongated or lobate in outline, perforations fewer and smaller on distal polar face (SEM),
- 198 perforations are characterized by 2-6 freestanding and/or protruding columellae, freestanding-
- 199 columellae at periphery of perforations or sometimes filling it completely (SEM); sulcus
- 200 membrane microechinate, microechini mostly with blunt apex, microechini segregated (SEM).
- 201 Remarks. The description is based on c. 200 individual dispersed pollen grains studied under
- 202 LM and SEM, and compared with the in-situ grains figured in Smith & Stockey (2007a); Smith &
- 203 Stockey (2007b). For additional remarks see remarks for Saururus aquilae.

204 Saururus stoobensis sp. nov. (Figure 7E–G)

- 205 2007 "Saururipollis sp." (nomen nudum) Ferguson et al., Pl. 2, figs 1–4 (same grain).
- 206 **Holotype.** IPUW 7513/124 (Fig. 7E–G).
- 207 **Type locality.** Opencast clay pit, Stoob-Warasdorf-Forest, Burgenland, Austria.
- 208 Age. Miocene (Pannonian?; = Tortonian to Messinian, c. 12–6 Ma; Klaus 1982)
- Species diagnosis. Sculpture perforate, psilate to granulate; perforations occasionally with
- 210 lobate outlines. All other pollen features (size, form, sculpture of sulcus membrane, number of
- 211 perforations per μm²) that can be observed under LM and SEM as in the two modern species of
- 212 the genus.
- 213 **Description.** Pollen, monad, shape oblate, form boat-like, outline elliptic in equatorial view;
- size very small, polar axis 4–5 μm long in SEM, equatorial diameter 10–11 μm in SEM; sulcate,
- sulci with rounded ends (SEM); tectate; sculpture psilate in LM, perforate, psilate to granulate in
- 216 SEM, 7–10 perforations per 4 μm², perforations tiny to small, circular, elliptic or irregular in

outline, perforations fewer and smaller on distal polar face (SEM), perforations are characterized by 2-4 freestanding and/or protruding columellae, freestanding columellae at periphery of perforations (SEM).

Remarks. The Miocene Saururus stoobensis pollen is more similar to the pollen of extant Saururus (Table 4; Supplementary File S1) than the Cretaceous and Eocene Saururus pollen. It is of similar size, has the same density of perforations and the same number of free-standing columellae. It slightly differs from both modern species in the variation of the sculpture seen in SEM, and the occasional occurrence of perforations with lobate outline, which can be found in S. chinensis and the older fossil taxa, but has so far not been observed in S. cernuus or other Saururaceae genera.

227

228

229

230

220

221

222

223

224

225

226

Discussion

Fossil records of Saururaceae on the backdrop of latest molecular age estimates (Massoni, Couvreur & Sauquet 2015b)

231 Until now the fossil record of Saururaceae has been confined to the Cenozoic except for wood 232 remains from the Late Cretaceous of Japan described a century ago (Stopes & Fujii 1910). Most 233 specimens have been linked to the extant genus Saururus (Table 2). Figure 8 shows the fossil 234 record in comparison to the magnoliid subtree that includes the Saururaceae, extracted from the 235 dated trees provided by Massoni, Couvreur & Sauquet (2015a). The fossil pollen described here from the middle Late Cretaceous (Campanian) of Wyoming, S. aquilae, conflicts with the youngest 236 dating estimates, which inferred a Late Cretaceous to Paleocene root age for the Saururaceae. 237 238 Under the oldest age scenario (Massoni, Couvreur & Sauquet 2015a; Massoni, Couvreur & 239 Sauquet 2015b), the Wyoming pollen falls (time-wise) in the (arithmetic) middle between the 240 Saururaceae root and crown divergence ages. On the backdrop of the dating estimates, S. aquilae 241 could be the pollen produced by a potential precursor of all extant Saururaceae genera (hypothesis 242 1). Hypothesis 1 would fit also with the interpretation of the Cretaceous fossil wood described as 243 Saururopsis nipponensis from Japan (Stopes & Fujii 1910). Although being more similar to wood of Saururus, Stopes and Fujii state that some features are reminiscent of Houttuynia, which 244 245 belongs to the second lineage of extant Saururaceae (e.g. Massoni, Forest & Sauquet 2014), and 246 discussed the possibility that the wood comes from an ancestral member of the family. On the 247 other hand, the tip ages are poorly constrained (likely too young) using Massoni et al.'s (2015b) 248 dataset, who focussed on (much) deeper nodes. Hence, S. aquilae could represent an early member 249 of the Saururus-lineage (hypothesis 2). Notably, the age of S. aquilae is close to the lower 250 boundary of the highest posterior density (HPD) intervals for the older age scenarios (Fig. 8; 251 Massoni, Couvreur & Sauquet 2015a). Being interested in large-scale magnoliid processes, 252 Massoni, Couvreur & Sauguet (2015b) did not use any age priors from within the Piperales subtree 253 (Massoni, Doyle & Sauquet 2015) and relied on relatively slow-evolving gene regions. It is a 254 common observation that divergence ages towards the leaves of a tree tend to be (severely) 255 underestimated in studies using large datasets when compared to focused studies that rely on 256 ingroup constraints. Typically, the latter are in better agreement with the fossil record, as e.g. in 257 the case of the Fagaceae (Hubert et al. 2014; Grimsson et al. 2015; Grimsson et al. 2016a; Renner 258 et al. 2016). A similar observation can be made in the sister group of the Piperales, the Canellales. Figure 8 also shows the oldest records of the Winteraceae, which are much older than the age 259 estimates by Massoni, Couvreur & Sauquet (2015a), Massoni, Couvreur & Sauquet (2015b). 260 261 Studies focusing on either Canellaceae (Müller et al. 2015) or Winteraceae (Marquínez et al. 2009; 262 Thomas et al. 2014), using different sets of age priors including Canellaceae and Winteraceae 263 crown group fossils, obtained (much) older ages than the oldest age scenario (angiosperm root 264 fixed to max. 200 Ma) in the set of analyses performed by Massoni, Couvreur & Sauquet (2015b). 265 Differences range from at least 13 Ma for the Canellaceae and Winteraceae roots to more than 40 266 Ma for close-to-tips nodes. Based on pollen morphology, neither hypotheses can be rejected. Saururus aquilae is 267 268 essentially indistinguishable from pollen linked to the c. 30 Ma younger S. tuckerae, and all 269 modern Saururaceae pollen types (≥ 35 Ma younger) differ only in a few characters (Table 4; File 270 S1). The differences are often expressed as a range of variability (such as outlines of perforations 271 or sculpture of the pollen surface). This demonstrates that pollen morphology is a very 272 conservative trait in the lineage, and provides an argument for hypothesis 2 that S. aquilae was 273 produced by an early member of the genus Saururus. On the other hand, the extant six species are 274 clearly only the last survivors of a once more widespread group (Table 1), and may be 275 unrepresentative regarding the actual variation in each generic lineage and the family over time. 276 The pollen of Saururus may simply be primitive within the family. Ancestral members of the Saururaceae including precursors of all modern genera may have produced the same pollen (hypothesis 1), whereas pollen morphologies of the extant species of the other genera are more derived (Fig. 9).

The importance of an in-depth analysis of the dispersed pollen record

280

281 Pollen of Saururaceae is very small (literally, using the size categories of Hesse et al. 2009), 282 and has probably been overlooked or ignored in many palynological studies. A major problem that directly affects the capturing of Saururaceae pollen is that the standard (LM) paleopalynological 283 284 approach is to sieve the sediment with 10 µm sieves, which means that most if not all Saururaceae 285 pollen will be lost. Hence, the lack of Saururaceae pollen all over the Northern Hemisphere may 286 be in part a sieving artefact. The single grain method using a combination of LM and SEM imaging 287 (e.g. Zetter 1989) is a time-consuming approach, but as demonstrated here the information 288 obtained can be highly beneficial to other botanical disciplines such as (i) molecular dating by 289 providing new/alternative age priors (e.g. Hubert et al. 2014) and (ii) the study of historical 290 biogeography by providing actual evidence for the occurrence of a certain lineage at a certain time 291 in a certain place (e.g. Denk, Grímsson & Zetter 2010; Grímsson, Zetter & Hofmann 2011; 292 Grímsson et al. 2015; Grímsson et al. 2016a). A main advantage of pollen for assessing past distribution is its high evolutionary conservatism across long periods of time. For the Saururaceae 293 the data showed here and the in-situ grains showed by Smith & Stockey (2007a) prove that the 294 295 main characteristics of Saururaceae pollen (Smith & Stockey 2007b; this study) have remained 296 essentially unchanged for over 80 Ma. This is not an exception; Fagus, castaneoid and cornalean 297 pollen can be traced back at least to the Danian of western Greenland (Manchester, Grimsson & 298 Zetter 2015; Grimsson et al. 2016a), and is part of a very rich pollen flora covering at least 32 299 families of angiosperms (Grímsson et al. 2016b); castanoid pollen of the sister clade of Fagus (all 300 other Fagaceae) has been found in the same sample as the Saururaceae pollen described here 301 (Grimsson et al. 2016a) in addition to asteroid families such as the Araliaceae and Oleaceae 302 (Manchester, Grimsson & Zetter 2015). Friis, Crane & Pedersen (2011) consistently and repeatedly express their concern regarding the affiliation of many (macro)fossils of the Cretaceous 303 fossil record with angiosperm taxa. For instance, regarding Saururopsis niponensis, the Late 304 Cretaceous wood from Hokkaido (Stopes & Fujii 1910), they state that "the relationships of this 305 material require further study" (Friis, Crane & Pedersen 2011, p. 248). We do agree; and advocate 306

- 307 the use of comprehensive, in-depth studies of the dispersed and in-situ pollen record using the
- 308 combination of LM and SEM imaging on the same, single grain to fill the many gaps obscuring
- 309 the origin of the angiosperms, their Cretaceous diversity and spatial distribution, and the roots of
- 310 the modern lineages and their precursors (e.g. Doyle, Hotton & Ward 1990; Takahashi 1997;
- 311 Zetter, Hesse & Huber 2002; Hofmann & Zetter 2007; Hofmann & Zetter 2010; Grímsson, Zetter
- 312 & Hofmann 2011; Hofmann et al. 2011; Grímsson et al. 2014; Mendes et al. 2014; Manchester,
- 313 Grímsson & Zetter 2015; Grímsson et al. 2016a)

Author contributions

- FG and GWG conceived the study. FG and RZ processed the sediment samples, and
- documented and determined the pollen grains. All authors took part in drafting the manuscript. FG
- and GWG compiled the supplementary files. Artwork by FG (Figs 2–7) and GWG (Figs 1, 8, 9).

318 Acknowledgements

- This work has been supported by the Austrian Science Fund, FWF, project numbers P24427-
- 320 B25 (to FG) and M1751-B16 (to GWG). Thanks go to Jim Doyle who reviewed an earlier version
- 321 of this manuscript.

314

322 **References**

- 323 APG III. 2009. An update of the Angiosperm Phylogeny Group classification for the orders and
- families of flowering plants: APG III. Botanical Journal of the Linnéan Society 161:105-
- 325 121.
- 326 Ashlock PD. 1971. Monophyly and associated terms. Systematic Zoology 20:63–69.
- 327 Denk T, Grímsson F, Zetter R. 2010. Episodic migration of oaks to Iceland: Evidence for a North
- 328 Atlantic "land bridge" in the latest Miocene. American Journal of Botany 97:276–287.
- 329 Dorofeyev PI, 1963, The Tertiary floras of Western Siberia. Moskva, Leningrad: Izd-vo Akademii
- 330 nauk SSSR.
- 331 Doyle JA, Hotton CL, Ward JV. 1990. Early Cretaceous tetrads, zonasulcate pollen, and
- Winteraceae. I. Taxonomy, morphology, and ultrastructure. American Journal of Botany
- *77:1544–1557.*

334 Ferguson DK, Zetter R, Paudayal KN. 2007. The need for SEM in Palaeopalynology. Comptes 335 Rendus Palevol 6:423-430. Friis EM. 1985. Angiosperm fruits and seeds from the Middle Miocene of Jutland (Denmark). Det 336 337 Kongelige Danske Videnskabernes Selskab Biologiske Skrifter 24:1–165. 338 Friis EM, Crane PR, Pedersen KR. 2011. Early Flowers and Angiosperm Evolution. Cambridge, 339 U.K.: Cambridge University Press. 340 Furness CA, Rudall PJ, Sampson FB. 2002. Evolution of microsporogenesis in angiosperms. 341 International Journal of Plant Sciences 163:235-260. 342 Grimsson F, Denk T, Zetter R. 2008. Pollen, fruits, and leaves of Tetracentron (Trochodendraceae) 343 from the Cainozoic of Iceland and western North America and their palaeobiogeographic 344 implications. *Grana* 47:1–14. 345 Grímsson F, Grimm GW, Zetter R, Denk T. 2016a. Cretaceous and Paleogene Fagaceae from 346 North America and Greenland: evidence for a Late Cretaceous split between Fagus and the 347 remaining Fagaceae. Acta Palaeobotanica 56:000-000. 348 Grímsson F, Pedersen GK, Grimm GW, Zetter R. 2016b. A revised stratigraphy for the Paleocene 349 Agatdalen flora (Nuussuaq Peninsula, western Greenland): correlating fossiliferous outcrops, macrofossils, and palynological samples from phosphoritic nodules. Acta 350 351 Palaeobotanica 56:000-000. 352 Grímsson F, Zetter R, Grimm GW, Krarup Pedersen G, Pedersen AK, Denk T. 2015. Fagaceae pollen from the early Cenozoic of West Greenland: revisiting Engler's and Chaney's Arcto-353 354 Tertiary hypotheses. *Plant Systematics and Evolution* 301:809–832. 355 Grímsson F, Zetter R, Halbritter H, Grimm GW. 2014. Aponogeton pollen from the Cretaceous 356 and Paleogene of North America and West Greenland: Implications for the origin and 357 palaeobiogeography of the genus. Review of Palaeobotany and Palynology 200:161–187. 358 Grímsson F, Zetter R, Hofmann C-C. 2011. Lythrum and Peplis from the Late Cretaceous and 359 Cenozoic of North America and Eurasia: New evidence suggesting early diversification 360 within the Lythraceae. American Journal of Botany 98:1801-1815. 361 Haeckel E. 1866. Generelle Morphologie der Organismen. Berlin: Georg Reiner. 362 Hennig W. 1950. Grundzüge einer Theorie der phylogenetischen Systematik. Berlin: Dt.

363

Zentralverlag.

- 364 Hennig W, Schlee D. 1978. Abriß der phylogenetischen Systematik. Stuttgarter Beiträge zur
- 365 *Naturkunde, Ser A* 319:1–11.
- 366 Hesse M, Halbritter H, Zetter R, Weber M, Buchner R, Frosch-Radivo A, Ulrich S. 2009. Pollen
- 367 terminology An illustrated handbook. Wien, New York: Springer.
- 368 Hicks JF. 1993. Chrono-stratigraphic analysis of the foreland basin sediments of the latest
- 369 Cretaceous, Western Interior, U.S.A.Ph.D. Yale University.
- 370 Hofmann C-C, Spicer RA, Ahlberg A, Herman AB. 2011. Scanning electron microscopy
- investigation of monads and tetrads of basal core eudicots from the Upper Cretaceous Vilui
- Basin, Siberia: Evidence for reticulate evolution. Review of Palaeobotany and Palynology
- 373 167:196–211.
- 374 Hofmann C-C, Zetter R. 2007. Upper Cretaceous pollen flora from the Vilui Basin, Siberia:
- 375 Circumpolar and endemic Aquilapollenites, Manicorpus, and Azonia. Grana 46:227–249.
- 376 Hofmann C-C, Zetter R. 2010. Upper Cretaceous sulcate pollen from the Timerdyakh Formation,
- 377 Vilui Basin (Siberia). *Grana* 49:170–193.
- 378 Hörandl E. 2006. Paraphyletic versus monophyletic taxa evolutionary versus cladistic
- 379 classifications. *Taxon* 55:564–570.
- 380 Hörandl E. 2007. Neglecting evolution is bad taxonomy. *Taxon* 56:1–5.
- 381 Hubert F, Grimm GW, Jousselin E, Berry V, Franc A, Kremer A. 2014. Multiple nuclear genes
- 382 stabilize the phylogenetic backbone of the genus *Quercus*. Systematics and Biodiversity
- 383 12:405–423.
- 384 Klaus W. 1982. Die Kanarenkiefer (Pinus canariensis Smith ssp. prisca n. ssp.) und weitere
- 385 Kiefernreste aus dem Jung-Tertiär von Stoob im Burgenland (Austria). In: Biologisches
- Forschungsinstitut Burgenland, editor. BFB-Bericht: Biologische Station Neusiedlersee. p
- 387 (11 pp.).
- 388 Łańcucka-Środoniowa R. 1979. Macroscopic plant remains from the freshwater Miocene of the
- Nowy Sacz Basin (West Carpathians, Poland). Acta Palaeobotanica 20:3–117.
- 390 Lesiak MA. 1994. Plant macrofossils from the middle Miocene of Lipnica Mala (Orawa-Nowy
- 391 Targ Basin, Poland). Acta Palaeobotanica 34:27–81.
- 392 Lu L, Wortley AH, Li D-z, Wang H, Blackmore S. 2015. Evolution of angiosperm pollen. 2. The
- basal angiosperms. Annals of the Missouri Botanical Garden 100:227–269.

- 394 Mai DH. 1965. Eine pliozäne Flora von Kranichfeld in Thüringen. Abhandlungen des Zentralen
- 395 Geologischen Instituts 1:37–64.
- 396 Mai DH. 1967. Die Florenzonen, der Florenwechsel und die Vorstellungen über den Klimaablauf
- im Jungtertiär der Deutschen Demokratischen Republik. Abhandlungen des Zentralen
- 398 Geologischen Instituts 10:55–82.
- 399 Mai DH. 1995. Tertiäre Vegetationsgeschichte Europas. Jena, Stuttgart, New York: Gustav
- 400 Fischer Verlag.
- 401 Mai DH. 1999. Die untermiozänen Floren aus der Spremberger Folge und dem 2. Flözhorizont in
- der Lausitz Teil II: Polycarpicae und Apetalae. *Palaeontographica Abteilung B* 251:1–70.
- 403 Mai DH, Walther H. 1978. Die Floren der Haselbacher Serie im Weißelster-Becken (Bezirk
- 404 Leipzig, DDR). Abhandlungen des Staatlichen Museums für Mineralogie und Geologie zu
- 405 Dresden 28:1–200.
- 406 Mai DH, Walther H. 1985. Die obereozänen Floren des Weißelster-Beckens und seiner
- 407 Randgebiete. Abhandlungen des Staatlichen Museums für Mineralogie und Geologie zu
- 408 Dresden 33:5–260.
- 409 Manchester SR, Grímsson F, Zetter R. 2015. Assessing the fossil record of asterids in the context
- of our current phylogenetic framework. Annals of the Missouri Botanical Garden 100:329-
- 411 363.
- 412 Marquínez X, Lohmann LG, Salatino MLF, Salatino A, González F. 2009. Generic relationships
- and dating lineages in Winteraceae based on nuclear (ITS) and plastid (rpS16 and psbA-
- 414 trnH) sequence data. *Molecular Phylogenetics and Evolution* 53:435–449.
- 415 Massoni J, Couvreur TLP, Sauquet H. 2015a. Data from: Five major shifts of diversification
- 416 through the long evolutionary history of Magnoliidae (angiosperms). Dryad Digital
- 417 Repository.
- 418 Massoni J, Couvreur TLP, Sauquet H. 2015b. Five major shifts of diversification through the long
- evolutionary history of Magnoliidae (angiosperms). BMC Evolutionary Biology 15:49.
- 420 Massoni J, Doyle J, Sauquet H. 2015. Fossil calibration of Magnoliidae, an ancient lineage of
- 421 angiosperms. *Palaeontologia Electronica* 18.1.2FC:1–25.
- 422 Massoni J, Forest F, Sauquet H. 2014. Increased sampling of both genes and taxa improves
- resolution of phylogenetic relationships within Magnoliidae, a large and early-diverging
- description 424 clade of angiosperms. *Molecular Phylogenetics and Evolution* 70:84–93.

- 425 Mayr E, Bock WJ. 2002. Classifications and other ordering systems. Journal of Zoological
- 426 Systematics and Evolutionary Research 40:169-194.
- 427 Mendes MM, Dinis J, Pais J, Friis EM. 2014. Vegetational composition of the Early Cretaceous
- 428 Chicalhão flora (Lusitanian Basin, western Portugal) based on palynological and mesofossil
- 429 assemblages. Review of Palaeobotany and Palynology 200:65–81.
- 430 Moss PT, Greenwood DR, Archibald SB. 2005. Regional and local vegetation community
- dynamics of the Eocene Okanagan Highlands (British Columbia Washington State) from
- palynology. Canadian Journal of Earth Sciences 42:187–204.
- 433 Müller S, Salomo K, Salazar J, Naumann J, Jaramillo MA, Neinhuis C, Feild TS, Wanke S. 2015.
- 434 Intercontinental long-distance dispersal of Canellaceae from the New to the Old World
- 435 revealed by a nuclear single copy gene and chloroplast loci. *Molecular Phylogenetics and*
- 436 Evolution 84:205–219.
- 437 Mustoe GE. 2011. Cyclic sedimentation in the Eocene Allenby Formation of south-central British
- 438 Columbia and the origin of the Princeton Chert fossil beds. Canadian Journal of Earth
- 439 *Sciences* 48:25–43.
- 440 Nikitin PA. 1965. The Akvitian seed flora of Lagerny Sad. Tomsk: Tomsk State University.
- 441 Pontieri V, Sage TL. 1999. Evidence for stigmatic self-incompatibility, pollination induced ovule
- enlargement and transmitting tissue exudates in the paleoherb, Saururus cernuus L.
- 443 (Saururaceae). Annals of Botany 84:507–519.
- 444 Punt W, Hoen PP, Blackmore S, Nilsson S, Le Thomas A. 2007. Glossary of pollen and spore
- terminology. Review of Palaeobotany and Palynology 143:1–81.
- 446 Raniecka-Bobrowska J. 1959. Tertiary seed-flora from Konin, Central Poland. Biuletyn
- 447 Państwowego Instytutu Geologicznego 130:159–232.
- 448 Read PB. 2000. Geology and industrial minerals of the Tertiary basins, British Columbia.
- 449 GeoFiles: British Columbia Geological Survey. p 110.
- 450 Reid C, Reid EM. 1915. The Pliocene flora of the Dutch-Prussian border. *Meded Rijkkskopsp*
- 451 *Delftstoffen* 6:1–178.
- 452 Renner SS, Grimm GW, Kapli P, Denk T. 2016. Species relationships and divergence times in
- 453 beeches: New insights from the inclusion of 53 young and old fossils in a birth-death clock
- 454 model. *Philosophical Transactions of the Royal Society B* DOI:10.1098/rstb.2015.0135.

- 455 Sampson FB. 2000. Pollen diversity in some modern magnoliids. International Journal of Plant
- 456 *Sciences* 161:S193–S210.
- 457 Smith SY, Stockey RA. 2007a. Establishing a fossil record for the perianthless Piperales: Saururus
- 458 tuckerae sp. nov. (Saururaceae) from the Middle Eocene Princeton Chert. American Journal
- 459 of Botany 94:1643–1657.
- 460 Smith SY, Stockey RA. 2007b. Pollen morphology and ultrastructure of Saururaceae. Grana
- 461 46:250–267.
- 462 Stopes MC, Fujii K. 1910. Studies on the structure and affinities of Cretaceous plants.
- 463 Philosophical Transactions of the Royal Society of London B 201:1–90.
- Stuchlik L, Szynkiewicz A, Lańcucka-Środoniowa M, Zastawniak E. 1990. Results of the hitherto
- palaeobotanical investigations of the Tertiary brown coal bed "Belchatów" (Central Poland).
- 466 Acta Palaeobotanica 30:259–305.
- Takahashi M. 1986. Microsporogenesis in a parthenogenetic species, *Houttuynia cordata* Thunb.
- 468 (Saururaceae). Botanical Gazette 147:47–75.
- 469 Takahashi M. 1997. Fossil spores and pollen grain of the Cretaceous (Upper Campanian) from
- 470 Sakhalin, Russia. Journal of Plant Research 110:283–298.
- 471 Thomas N, Bruhl JJ, Ford A, Weston PH. 2014. Molecular dating of Winteraceae reveals a
- 472 complex biogeographical history involving both ancient Gondwanan vicariance and long-
- distance dispersal. *Journal of Biogeography* 41:894–904.
- 474 Van Boskirk MC. 1998. The flora of the Eagle Formation and its significance for Late Cretaceous
- 475 floristic evolutionPh.D. Yale University.
- 476 Xi Y-z. 1980. Studies of pollen morphology and its systematic position in the order Piperales. Acta
- 477 *Botanica Sinica* 22:323–329.
- 478 Zetter R. 1989. Methodik und Bedeutung einer routinemäßig kombinierten lichtmikroskopischen
- 479 und rasterelektonenmikroskopischen Untersuchung fossiler Mikrofloren. Courier
- 480 Forschungsinstitut Senckenberg 109:41–50.
- 481 Zetter R. 2006. The Middle Eocene microflora of the Princeton Chert of southern British Columbia
- 482 (Canada). 7th European Palaeobotany-Palynology Conference Program and Abstracts.
- 483 Prague: National Museum, 163 [abstract].
- 484 Zetter R, Hesse M, Huber KH. 2002. Combined LM, SEM and TEM studies of Late Cretaceous
- pollen and spores from Gmünd, Lower Austria. Stapfia 80:201–230.

Text to Figures and Tables

486

487

488

489 490

491

492

493

494

495

496

497

498 499

500

501 502

503

504

505

506

507

510

511

512

513

514

515

Figure 1. Practical shortcoming of cladistic classification for naming fossil and extant members of phylogenetic lineages (clades) using binominals. Shown are schematic phenograms using the current systematic-phylogenetic framework for extant taxa of the family (Massoni, Couvreur & Sauquet 2015b). A. Cladistic classification of Saururaceae accepting only holophyletic (Ashlock 1971), i.e. inclusively monophyletic groups: All organisms descending from a certain common ancestor are addressed by the same genus name. All stem fossils must be named 'Saururaceae gen. et spec. indet.', unless there is conclusive evidence that they represent extinct sister lineages with no ancestor-descendant relationship to the extant genera (triggering the erection of a new genus) or belong to the stem or crown lineages of an extant genus. B. Evolutionary classification, accepting groups with inclusive (holophyla) and exclusive (paraphyla) common origins, i.e. are monophyletic according Haeckel (1866). All fossil taxa can be named using binominals, either by extending a today holophyletic genus to include ancestral members of Saururaceae with equally primitive morphology, which then becomes paraphyletic by definition (e.g. Saururus), or by introducing genera to collect stem fossils ancestral to more than a single, extant and holophyletic genus (e.g. the tentative *Protosaururus* to collect fossils with *Saururus*-like morphology that are older than the presumed split between Saururus and Gymnotheca-lineages). Such extinct genera are also paraphyletic by definition. Shading signifies the extent of each (potential) genus, dark shading the modern circumscription based on molecular data (i.e. descendants of the MRCA of all extant species of the genus). Abbreviations: CA, common ancestor; MRCA, most recent common ancestor; EAS, East Asia; EAM, Eastern North America; SAS; South Asia (Indian Peninsula); WAM, Western North America.

508

Figure 2. SEM micrographs of Saururus aquilae sp. nov. from the Upper Cretaceous

Figure 2. SEM micrographs of Saururus aquilae sp. nov. from the Upper Cretaceous (Campanian, 82-81 Ma) of Wyoming, western USA. A. Holotype, IPUW 7513/101; pollen grain in distal polar view, showing sulcus, microechini densely packed. B. Paratype, IPUW 7513/102; pollen grain in distal polar view, showing sulcus, microechini segregated. C. Paratype, IPUW 7513/103; pollen grain in equatorial view, showing sulcus. D. Paratype, IPUW 7513/104; pollen grain in equatorial view, showing sulcus. E. Paratype, IPUW 7513/105; pollen grain in proximal polar view. F. Paratype, IPUW 7513/106, pollen grain in proximal polar view. G. Paratype, IPUW

7513/107, pollen grain in proximal polar view (H) Paratype, IPUW 7513/108; pollen grain in 516 517 proximal polar view, with eroded parts revealing the columellae. Scale bars: 1 µm. 518 519 Figure 3. SEM micrographs of Saururus aquilae sp. nov. from the Upper Cretaceous (Campanian, 82–81 Ma) of Wyoming, western USA. A Paratype, IPUW 7513/109; pollen grain 520 in proximal polar view, large perforations. (B) Close-up of Figure 2H, showing densely packed 521 columellae in an area of surface erosion. (C.) Close-up of Figure 2G, showing tiny perforations. (D.) 522 523 Paratype, IPUW 7513/110; close-up showing small circular perforations filled with columellae (E) 524 Close-up of Figure 2D, showing irregular and lobate perforations and free-standing columellae. [F] 525 Close-up of Figure 2E, showing small irregular perforations and free-standing columellae. (G.) 526 Close-up of Figure 3A, showing large circular to elliptic perforations and free-standing columellae. 527 (H) Paratype, IPUW 7513/111; close-up showing large irregular perforations and free-standing 528 columellae. Scale bars: 1 µm. 529 530 Figure 4. SEM and LM micrographs of Saururus aquilae sp. nov. (A-G) from the Upper 531 Cretaceous (Campanian, 82-81 Ma) of Wyoming, western USA, and LM micrographs of Saururus 532 tuckerae from the middle Eocene (c. 48 Ma) of Princeton, B.C., western Canada (H). A. Close-up of Figure 2B, showing sulcus membrane, segregated microechini. (B) Close-up of Figure 2B, 533 showing sulcus membrane, segregated microechini (C) Close-up of Figure 2C, showing sulcus 534 535 membrane, densely packed microechini. D. Close-up of Figure 2D, showing sulcus membrane, densely packed microechini E Close-up of Figure 2A (holotype), showing sulcus membrane. F. 536 Close-up of Figure 4E (holotype), showing densely packed microechini. (G) Saururus aquilae sp. 537 nov. pollen in LM, B. Saururus tuckerae pollen in LM. Scale bars: Scale bars: 1 μm in A-F, 10 538 539 μm in G, H. 540 541 **Figure 5.** SEM micrographs of *Saururus tuckerae* pollen from the middle Eocene (c. 48 Ma) 542 of Princeton, B.C., western Canada. (A.) Pollen grain (IPUW 7513/112) in distal polar view, showing sulcus, microechini segregated. B. Pollen grain (IPUW 7513/113) in distal polar view, 543 showing sulcus. (C) Pollen grain (IPUW 7513/114) in distal polar view, showing sulcus. (D. Pollen 544 545 grain (IPUW 7513/115) in oblique equatorial view, showing sulcus. (E) Pollen grain (IPUW

7513/116) in equatorial view, showing sulcus and sulcus membrane. (F) Pollen grain (IPUW

546

7513/117) in proximal polar view. G Pollen grain (IPUW 7513/118) in proximal polar view. H Pollen grain (IPUW 7513/119) in proximal polar view. Scale bars: 1 µm.

Figure 6. SEM micrographs of Saururus tuckerae pollen from the middle Eocene (c. 48 Ma) of Princeton, B.C., western Canada A Pollen grains preserved in a clump (IPUW 7513/120). B Pollen grains preserved in a clump (IPUW 7513/121). C Pollen grain, close-up of Figure 5B, showing small circular to elliptic perforations filled with free-standing columellae D Close-up of Figure 5H, showing small irregular to lobate perforations E Close-up of Figure 5D, showing small irregular to lobate perforations, some with up to 6 free-standing columellae F Pollen grain, IPUW 7513/122; close-up showing irregular to lobate perforations. G Close-up of Figure 6B, showing irregular to lobate perforations with p free-standing columellae. H Close-up of pollen (IPUW 7513/123) grain showing irregular to lobate perforations. Scale bars: 1 μm.

Figure 7. SEM micrographs of Saururus tuckerae pollen from the middle Eocene (c. 48 Ma) of Princeton, B.C., western Canada (A–D) and Saururus stoobensis sp. nov. from the Miocene Opencast clay pit, Stoob-Warasdorf-Forest, Burgenland, Austria (E–G). A Close-up of Figure 5A, showing microechinate colpus membrane, microechini segregated B. Close-up of Figure 5A, showing colpus membrane, microechini segregated. C Close-up of Figure 5B, showing microechinate membrane. C Close-up of Figure 6A, showing colpus membrane. E. Saururus stoobensis sp. nov. holotype, IPUW 7513/124; grain (arrow) attached to a pollen grain of Apiaceae illustrating the size difference. Close-up of Figure 7E, overview of pollen G Close-up of Figure 7F, showing perforate sculpture with relatively few and tiny perforations. Scale bars: 1 μm in A–D, F, G, 10 μm in E.

Figure 8. Mapping at the fossil record of Saururaceae (and oldest fossils of the Winteraceae, for comparison) on dated phylogenies (Bayesian uncorrelated clock) provided in the accompanying data (Massoni, Couvreur & Sauquet 2015a) to Massoni, Couvreur & Sauquet (2015b). Pink: North American fossils; cyan: western Eurasian (Central Europe to western Siberia) fossils; yellow: East Asian fossils. Blue bars represent the 95% highest posterior density (HPD) intervals of the minimum age and maximum age scenarios; node heights are averages (medians are indicated by deep blue bars in the HPD intervals). Branch labels show posterior probabilities

(PP) < 1.0 (all other branches have PP = 1.00), red branches highlight topological conflict between the chronograms (probably due to incomprehensive Bayesian runs getting stuck in local suboptima, since all analyses were based on the same data set).

Figure 9. Hypothetical evolution of Saururaceae pollen. The fossil and extant Saururus show

Figure 9. Hypothetical evolution of Saururaceae pollen. The fossil and extant *Saururus* show a morphology that may be primitive within the family: all other genera differ by one or two unique, putatively derived traits. Abbreviations: PS = (normal) pollen surface; SM = sulcus membrane. Scale bars = 1 μm .

Table 1(on next page)

Modern and past distribution of Saururaceae genera

1 Table 1. Modern and past distribution of Saururaceae genera

Time period	North America	Western Eurasia	East Asia
Recent	Saururus, Anemopsis	None	Gymnotheca, Saururus, Houttuynia
Neogene	None	Saururus (pollen, fruit/seed), Houttuynia (fruit/seed)	None
Paleogene	Saururus (inflorescence with in-situ pollen; and dispersed pollen)	Saururus (fruit/seed)	Saururus (fruit/seed)
Upper Cretaceous	Saururus-type pollen	None	Saururopsis (wood)

Table 2(on next page)

Fossil record of Saururaceae

Table 2. Fossil record of Saururaceae

- 2

Taxon	Organ	Period (epoch)	Age in Ma	State/region, country	Reference
North America Saururus aquilae Saururus tuckerae	Pollen Inflorescence, flowers, pollen	Upper Cretaceous (Campanian) 82–81 Middle Eocene -48	82–81 ~48	Wyoming, United States British Columbia, Canada	This study Smith & Stockey (2007a); This study
Western Eurasia Saururus bilobatus	Fruits/seeds	Late Eocene to Pliocene	-40-2.5	Germany	Reid & Reid (1915); Mai (1965); Mai (1967); Mai & Walther (1978); Mai &
Saururus bilobatus (incl. Helitropium sp. and Carpolithus sp.)	Fruits/seeds	Miocene	~23-5	Poland	waliner (1762); Mai (1995); Mai (1999) Raniecka-Bobrowska (1959); Lancucka- Środoniowa (1979); Stuchlik et al. (1990);
Saururus bilobatus Saururus stoobensis	Fruits/seeds Pollen	Middle Miocene (Langhian) Middle Miocene (late Scrravallian; Sarmatian)	16-14	Denmark Austria	Lesiak (1994) Friis (1985) Ferguson, Zetter & Paudayal (2007) as "Saururipollis sp." (nomen mudum): formalized in this
Houttuynia bavarica	Fruits/seeds	Early Miocene	~23–16	Germany	study Mai (1999)
East Asia Saururopsis niponensis Saururus bilobatus (as Carpolithus bilobatus)	Wood Fruits/seeds	Upper Cretaccous Oligocene	> 66 ~34–23	Hokkaido, Japan Western Siberia, Russia	Stopes & Fujii (1910) Dorofeyev (1963); Nikitin (1965)

Table 3(on next page)

Divergence age estimates for the Saururaceae subtree according to minimum and maximum age scenarios (angiosperm root fixed to max. 130 or 200 Ma; Massoni, Couvreur & Sauquet 2015a)

Abbreviations: MRCA = most recent common ancestor; Ma = Million years ago.

Table 3. Divergence age estimates for the Saururaceae subtree according to minimum and maximum age scenarios (angiosperm root fixed to max, 130 or 200 Ma; Massoni, Couvreur & Sauquet 2015a). Abbreviations: MRCA = most recent common ancestor; Ma = Million years ago.

Node	Angiosperm root fixed to	
	Max. 130 Ma	Max. 200 Ma
Saururaceae root	97.0-60.4 (median: 78.3)	117.3-80.3 (median: 99.8)
Saururaceae crown	75.3-46.7 (median: 58.9)	80.8-48.6 (median: 62.8)
MRCA of Gymnotheca+Saururus	62.1-44.3 (median: 49.4)	65.6-44.3 (median: 50.6)
MRCA of Anemopsis+Houttuynia	64.5-10.3 (median: 37.1)	72.5-26.8 (median: 49.5)

Table 4(on next page)

Pollen features of extinct and extant Saururaceae

Note: unique (genus- or species-level) features in bold

Explain abbreviations and symbol in caption or first mote. Table 4. Pollen features of extinct and extant Saururaceae; unique (genus- or species-level) features in bold.

Species	Distibution/ provenance	E (ffm; SEM)	P SEM)	Surface sculpture (SEM)	Sulcus membrane (SEM)	Perforations per 4 µm² proximal face	Size and outline of perforations	Free- standing or protruding	Exine thickness, mean (µm)	Nexine thickness, mean (µm)
Anemopsis californica	SW U.S., NW Mexico	12-13	5-6	Perforate, granulate	Echinate, rugulate	7-9	Tiny; circular, elliptic,	up to 6	0.47	0.20
Gymnotheca chinenis	SW and S China,	9-10	4-5	Perforate, striate,	Microechinate	18–20	irregular Small; circular, elliptic	o Z	0.55	0.18
Gymnotheca involucrata	Vietnam S Sichuan (S China)	10-11	2-6	nanoecumute Perforate, striate,	Microechinate	17–19	Small; circular, elliptic	o N	0.54	0.15
Houthynia cordata	S and E Asia	13–14	6-8	Perforate, psilate	Microechinate	10–12	Tiny; circular, elliptic,			
Saururus cernuus	E U.S.	10-13	2-6	Perforate, granulate	Microcchinate	7-9	mreguar Tiny; circular, elliptic,	2-4	0.49	0.20
Saururus chinensis	S and E Asia	11-12	4-5	Perforate, psilate, indistinctly	Microechinate	8-9	Tiny; circular, elliptic, irregular, lobate	4.	0.47	0.24
Saururus aquilde †	NW USA	1	3.5	Perforate, psilate to granulate	Microechinate, echini can be densely packed	20–25	Tiny to small; circular, elliptic, irregular, irregular-elongated,	9	0.40	0.14
Saururus tuckerae †	SW Canada		3-5	Perforate, psilate to granulate	Microechinate, echini segregated	23–26	Tiny to small; circular, elliptic, irregular, irregular- elongated,	2-6	0.37	0.15
Saururus stoobensis †	Austria	10-11	4-5	Perforate, psilate to granulate	Not observed	7–10	Tiny; circular, elliptic, irregular, lobate	2-4	Not observed	Not observed

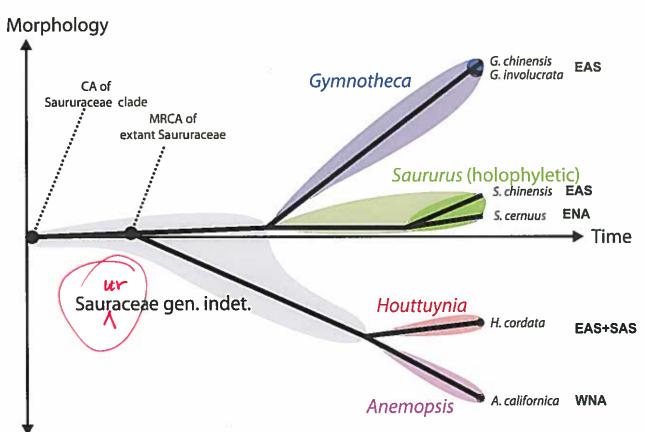
- Note: Measurements and features from/based on Xi (1980), Takahashi (1986), Pontieri & Sage (1999), Sampson (2000), Furness et al. (2002), Smith & Stockey (2007a, 2007b), Lu et al. (2015) and our own observations 3.2

Figure 1

Practical shortcoming of cladistic classification for naming fossil and extant members of phylogenetic lineages (clades) using binominals

Shown are schematic phenograms using the current systematic-phylogenetic framework for extant taxa of the family (Massoni, Couvreur & Sauguet 2015b) . A) Cladistic classification of Saururaceae accepting only holophyletic (Ashlock 1971), i.e. inclusively monophyletic groups: All organisms descending from a certain common ancestor are addressed by the same genus name. All stem fossils must be named 'Saururaceae gen. et spec. indet.', unless there is conclusive evidence that they represent extinct sister lineages with no ancestordescendant relationship to the extant genera (triggering the erection of a new genus) or belong to the stem or crown lineages of an extant genus. **B**) Evolutionary classification, accepting groups with inclusive (holophyla) and exclusive (paraphyla) common origins, i.e. are monophyletic according Haeckel (1866). All fossil taxa can be named using binominals, either by extending a today holophyletic genus to include ancestral members of Saururaceae with equally primitive morphology, which then becomes paraphyletic by definition (e.g. Saururus), or by introducing genera to collect stem fossils ancestral to more than a single, extant and holophyletic genus (e.g. the tentative Protosaururus to collect fossils with Saururus-like morphology that are older than the presumed split between Saururus and Gymnotheca-lineages). Such extinct genera are also paraphyletic by definition. Shading signifies the extent of each (potential) genus, dark shading the modern circumscription based on molecular data (i.e. descendants of the MRCA of all extant species of the genus). Abbreviations: CA, common ancestor; MRCA, most recent common ancestor; EAS, East Asia; EAM, Eastern North America; SAS; South Asia (Indian Peninsula); WAM, Western North America.

A: Cladistic classification



B: Evolutionary classification

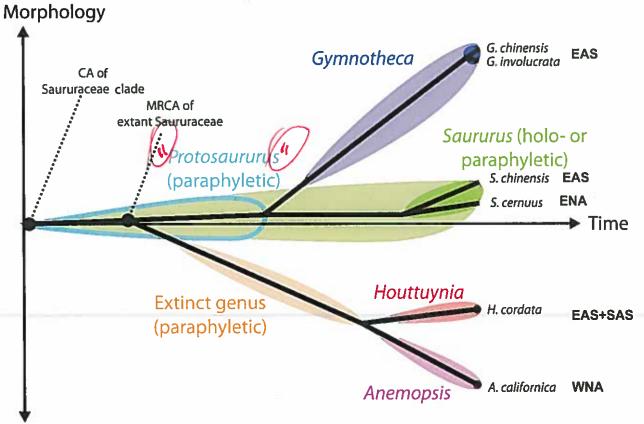


Figure 2

SEM micrographs of *Saururus aquilae* sp. nov. from the Upper Cretaceous (Campanian, 82-81 Ma) of Wyoming, western USA

A) Holotype, IPUW 7513/101; pollen grain in distal polar view, showing sulcus, microechini densely packed. B) Paratype, IPUW 7513/102; pollen grain in distal polar view, showing sulcus, microechini segregated. C) Paratype, IPUW 7513/103; pollen grain in equatorial view, showing sulcus. D) Paratype, IPUW 7513/104; pollen grain in equatorial view, showing sulcus. E) Paratype, IPUW 7513/105; pollen grain in proximal polar view. F) Paratype, IPUW 7513/106, pollen grain in proximal polar view. G) Paratype, IPUW 7513/107, pollen grain in proximal polar view. H) Paratype, IPUW 7513/108; pollen grain in proximal polar view, with eroded parts revealing the columellae. Scale bars: 1 µm.

*Note: Auto Gamma Correction was used for the image. This only affects the reviewing manuscript. See original source image if needed for review.

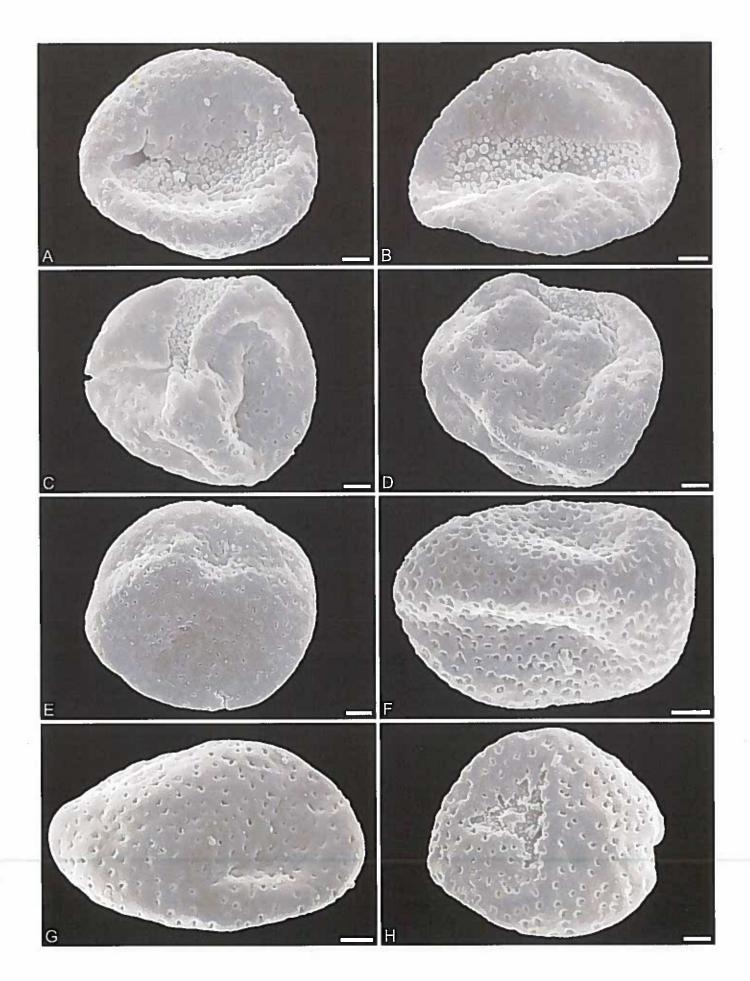
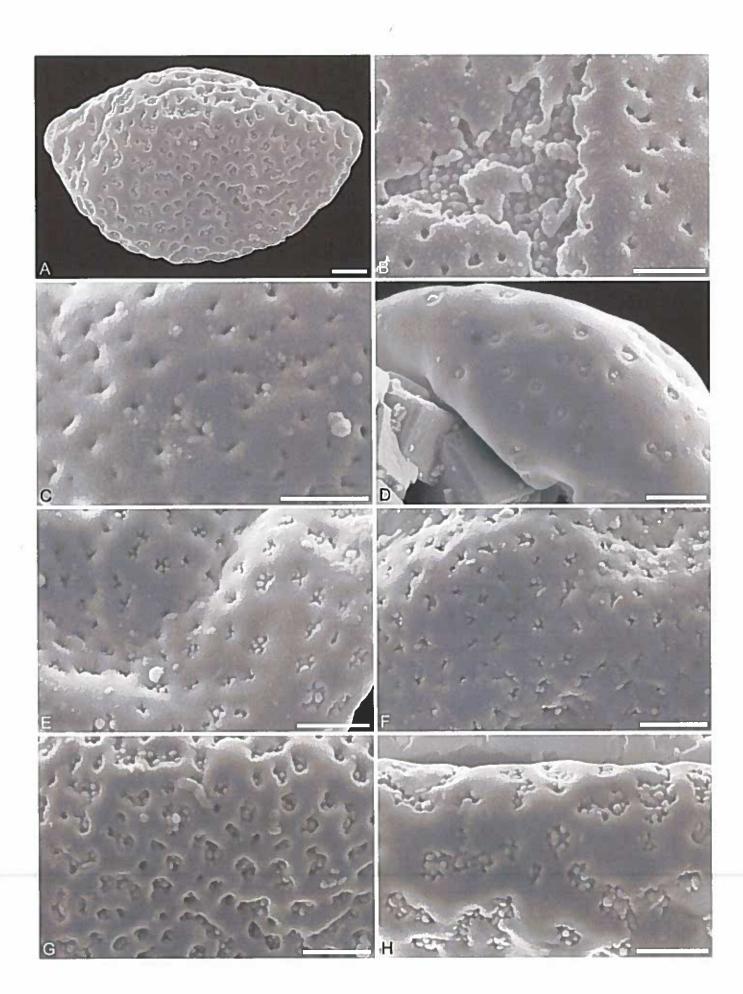


Figure 3

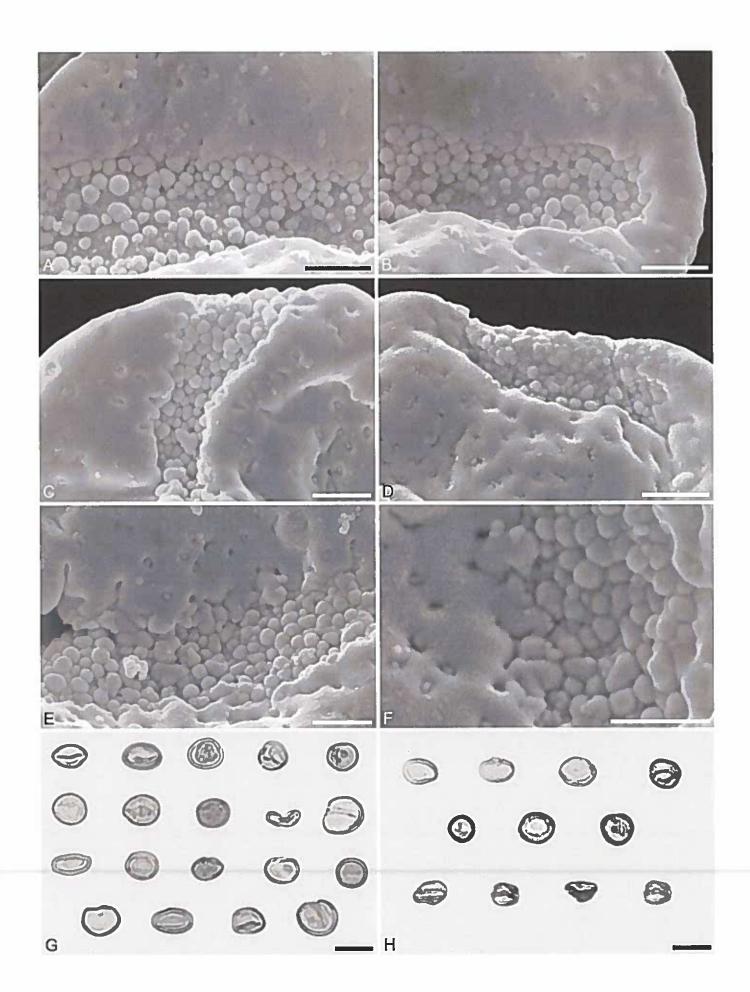
SEM micrographs of *Saururus aquilae* sp. nov. from the Upper Cretaceous (Campanian, 82–81 Ma) of Wyoming, western USA

A) Paratype, IPUW 7513/109; pollen grain in proximal polar view, large perforations. B) Close-up of Figure 2H, showing densely packed columellae in an area of surface erosion. C) Close-up of Figure 2G, showing tiny perforations. D) Paratype, IPUW 7513/110; close-up showing small circular perforations filled with columellae. E) Close-up of Figure 2D, showing irregular and lobate perforations and free-standing columellae. F) Close-up of Figure 2E, showing small irregular perforations and free-standing columellae. G) Close-up of Figure 3A, showing large circular to elliptic perforations and free-standing columellae. H) Paratype, IPUW 7513/111; close-up showing large irregular perforations and free-standing columellae. Scale bars: 1 μm.



SEM and LM micrographs of *Saururus aquilae* sp. nov. (A–G; Campanian; Wyoming) and LM micrographs of *Saururus tuckerae* (H; middle Eocene; Princeton, B.C.)

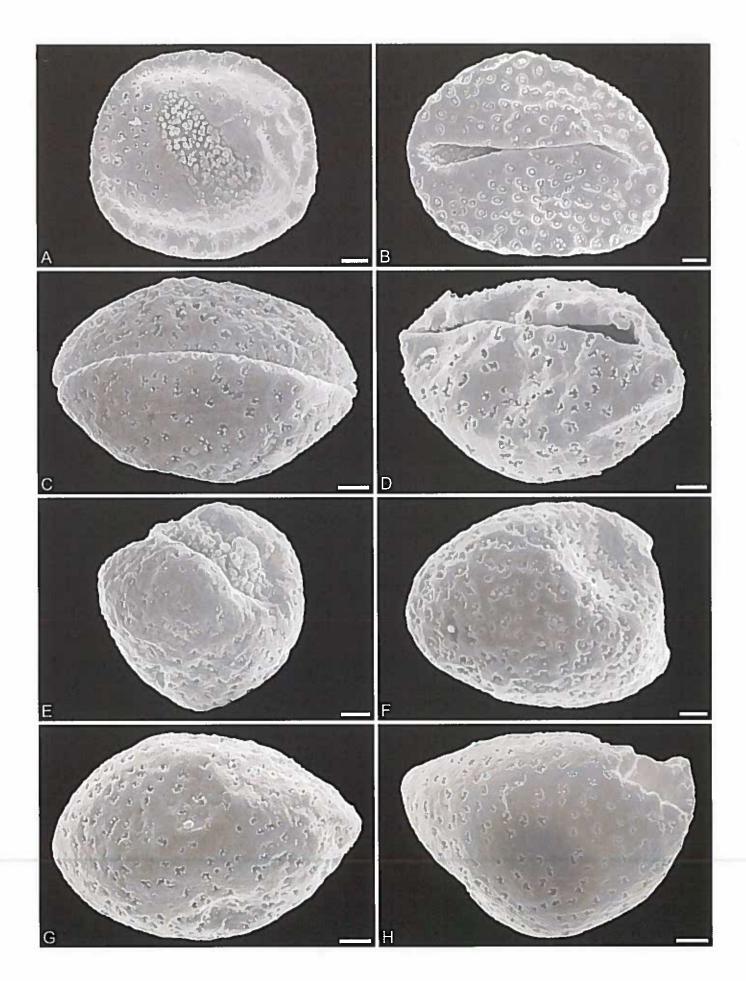
A) Close-up of Figure 2B, showing sulcus membrane, segregated microechini. B) Close-up of Figure 2B, showing sulcus membrane, segregated microechini. C) Close-up of Figure 2C, showing sulcus membrane, densely packed microechini. D) Close-up of Figure 2D, showing sulcus membrane, densely packed microechini. E) Close-up of Figure 2A (holotype), showing sulcus membrane. F) Close-up of Figure 4E (holotype), showing densely packed microechini. G) Saururus aquilae sp. nov. pollen in LM. H) Saururus tuckerae pollen in LM. Scale bars: Scale bars: 1 μm in A-F, 10 μm in G, H.



SEM micrographs of *Saururus tuckerae* pollen from the middle Eocene (c. 48 Ma) of Princeton, B.C., western Canada

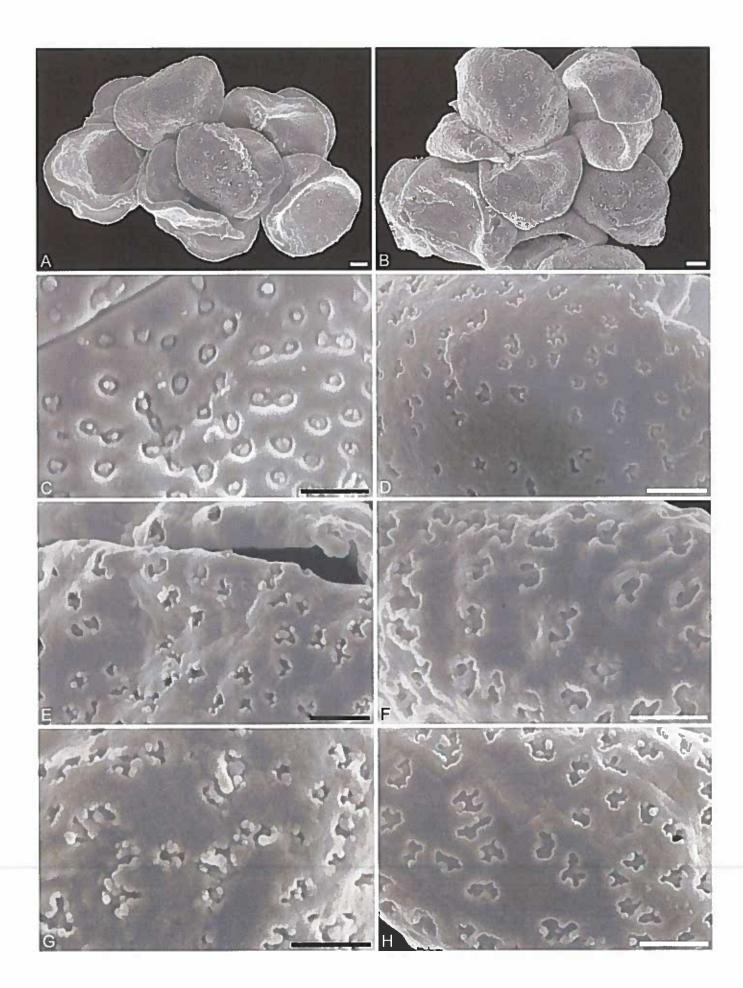
- A) Pollen grain (IPUW 7513/112) in distal polar view, showing sulcus, microechini segregated.
- **B)** Pollen grain (IPUW 7513/113) in distal polar view, showing sulcus. **C)** Pollen grain (IPUW 7513/114) in distal polar view, showing sulcus. **D)** Pollen grain (IPUW 7513/115) in oblique equatorial view, showing sulcus. **E)** Pollen grain (IPUW 7513/116) in equatorial view, showing sulcus and sulcus membrane. **F)** Pollen grain (IPUW 7513/117) in proximal polar view. **G)** Pollen grain (IPUW 7513/118) in proximal polar view. **H)** Pollen grain (IPUW 7513/119) in proximal polar view. Scale bars: 1 μm.

*Note: Auto Garnma Correction was used for the image. This only affects the reviewing manuscript. See original source image if needed for review.



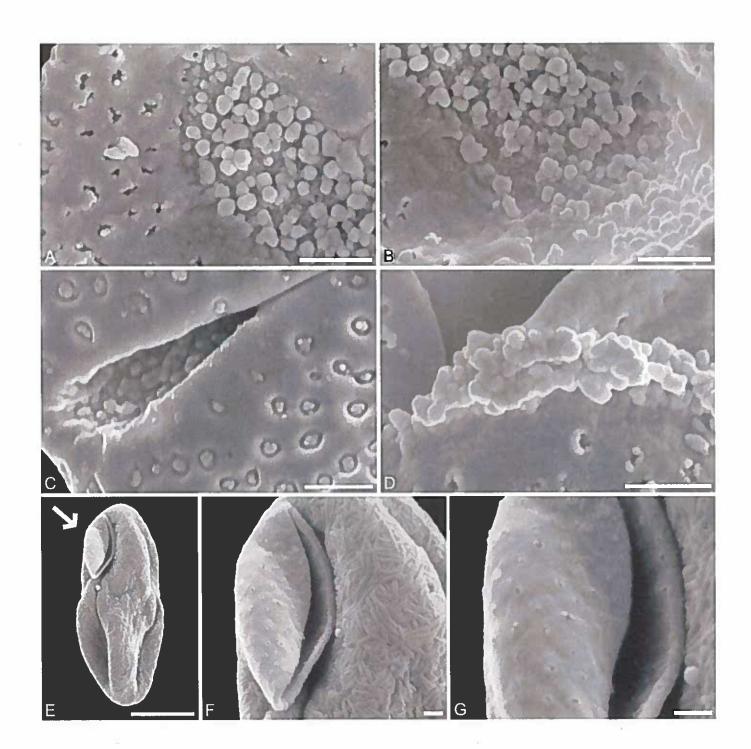
SEM micrographs of *Saururus tuckerae* pollen from the middle Eocene (c. 48 Ma) of Princeton, B.C., western Canada

A) Pollen grains preserved in a clump (IPUW 7513/120). B) Pollen grains preserved in a clump (IPUW 7513/121). C) Pollen grain, close-up of Figure 5B, showing small circular to elliptic perforations filled with free-standing columellae. D) Close-up of Figure 5H, showing small irregular to lobate perforations. E) Close-up of Figure 5D, showing small irregular to lobate perforations, some with up to 6 free-standing columellae. F) Pollen grain, IPUW 7513/122; close-up showing irregular to lobate perforations. G) Close-up of Figure 6B, showing irregular to lobate perforations with up free-standing columellae. H) Close-up of pollen (IPUW 7513/123) grain showing irregular to lobate perforations. Scale bars: 1 μm.



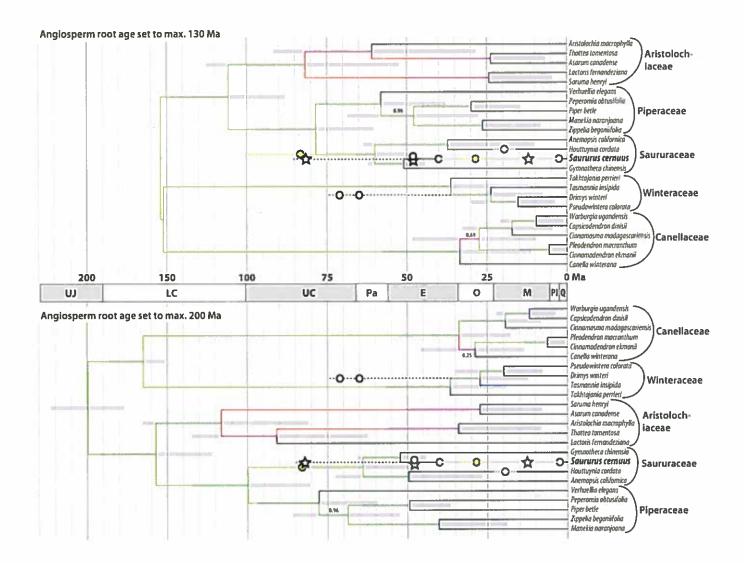
SEM micrographs of *Saururus tuckerae* (A–D; middle Eocene; Princeton, B.C.) and *Saururus stoobensis* sp. nov. from the Miocene Opencast clay pit, Stoob-Warasdorf-Forest, Burgenland, Austria (E–G)

- A) Close-up of Figure 5A, showing microechinate colpus membrane, microechini segregated.
- **B)** Close-up of Figure 5A, showing colpus membrane, microechini segregated. **C)** Close-up of Figure 5B, showing microechinate membrane. **D)** Close-up of Figure 6A, showing colpus membrane. **E)** *Saururus stoobensis* sp. nov. holotype, IPUW 7513/124; grain (arrow) attached to a pollen grain of Apiaceae illustrating the size difference. **F)** Close-up of Figure 7E, overview of pollen. **G)** Close-up of Figure 7F, showing perforate sculpture with relatively few and tiny perforations. Scale bars: 1 μm in A–D, F, G, 10 μm in E.



Mapping of the fossil record of Saururaceae on dated phylogenies (Bayesian uncorrelated clock; included in Massoni, Couvreur & Sauquet 2015a)

Oldest fossils of the Winteraceae are shown for comparison. Pink: North American fossils; cyan: western Eurasian (Central Europe to western Siberia) fossils; yellow: East Asian fossils. Blue bars represent the 95% highest posterior density (HPD) intervals of the minimum age and maximum age scenarios; node heights are averages (medians are indicated by deep blue bars in the HPD intervals). Branch labels show posterior probabilities (PP) < 1.0 (all other branches have PP = 1.00), red branches highlight topological conflict between the chronograms (probably due to incomprehensive Bayesian runs getting stuck in local suboptima, since all analyses were based on the same data set).



Hypothetical evolution of Saururaceae pollen

The fossil and extant *Saururus* show a morphology that may be primitive within the family: all other genera differ by one or two unique, putatively derived traits. Abbreviations: PS = (normal) pollen surface; SM = sulcus membrane. Scale bars $= 1 \mu m$.

