

There and back again: Putting the vectorial movement planning hypothesis to a critical test

Based on psychophysical evidence about how learning of visuomotor transformation generalizes, it has been suggested that movements are planned on the basis of movement direction and magnitude, i.e. the vector connecting movement origin and targets. This notion is also known under the term “vectorial planning hypothesis”. Previous psychophysical studies, however, have included separate areas of the workspace for training movements and testing the learning. This study eliminates this confounding factor by investigating the transfer of learning from forward to backward movements in a center-out-and-back task, in which the workspace for both movements is completely identical. Visual feedback allowed for learning only during movements towards the target (forward movements) and not while moving back to the origin (backward movements). When subjects learned the visuomotor rotation in forward movements, initial directional errors in backward movements also decreased to some degree. This learning effect in backward movements occurred predominantly when backward movements featured the same movement directions as the ones trained in forward movements (i.e., when opposite targets were presented). This suggests that learning was transferred in a direction specific way, supporting the notion that movement direction is the most prominent parameter used for motor planning.

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7 Introduction

8 An approach frequently used to investigate the functional organization of the motor system is to
9 study learning of visuomotor transformations and the transfer of such learning to untrained
10 conditions. For example, visuomotor rotations have frequently been applied while subjects
11 perform simple reaching movements. Initially, the transformation leads to movement errors
12 reflecting the magnitude of the rotation, but gradually, most subjects are able to adapt to the
13 rotation. For this to happen, the internal representation of the movement has to be changed
14 (Imamizu, Uno & Kawato, 1995). There are several ways how this may be achieved: Remapping
15 of the locations of origins and targets (“position remapping”) is one possibility. Another is that
16 subjects remember the posture assumed when successfully reaching the target, based on the idea
17 that movements are planned by converging to a final end posture (Polit & Bizzi, 1978,
18 Rosenbaum et al., 1995). A third possibility is that the alteration of movement direction to a given
19 target is remembered, based on the idea that movements are planned on the basis of the vector
20 connecting the starting location to the target (“vectorial planning” Gordon, Ghilardi & Ghez,
21 1994).

22 While some early studies provided evidence supporting the idea of final end posture being
23 assumed by subjects (Polit & Bizzi, 1978, Rosenbaum, Meulenbroek & Vaughan, 1999), other
24 evidence points more towards the vectorial planning hypothesis (Gordon, Ghilardi & Ghez, 1994;
25 Vindras et al., 1998; Messier & Kalaska, 1999; Krakauer et al., 2000; Krakauer, 2009).

26 When visuomotor rotations were trained, learning was found to be transferred only to movements
27 in the same direction as the trained one, and not to previously trained targets that were
28 approached from other directions (Krakauer et al., 2000, Wang & Sainburg, 2005). These studies,

29 however, used different areas of the workspace for learning and test trials, and therefore
30 introduced space as a confounding variable to the experiments. In the Krakauer et al. (2000)
31 study, test trials started at the same origin, but were aimed at targets that had not been visited
32 during learning. In the Wang & Sainburg (2005) study, the starting positions for test trials were in
33 completely different regions of the workspace, which were not visited during learning at all. The
34 transfer of learning that both studies found for test targets might as well be due to a position
35 remapping learning effect that remained restricted to the trained workspace as to a transfer of the
36 learned direction.

37 To test this possibility, we applied a revised version of the center-out-and-back task, making use
38 of the fact that backward movements occur in exactly the same location of the workspace as
39 forward movements. Our task provided visual feedback about hand position only during forward
40 movements. During backward movements, the cursor denoting hand position was not visible.
41 Thus, learning could occur only in forward movements, and backward movements could be used
42 for testing of learning transfer. This experiment constitutes an essential test of the vectorial
43 planning hypothesis, the important factor being that position in workspace is not added as a
44 confounding variable (see Figure 1).

45 Forward and backward movements in our experiment were separated by a short break at the
46 target, rendering forward and backward movements clearly distinguishable. Although Krakauer et
47 al. (2000) also used a center-out-and-back paradigm in their experiments, they did not include
48 any detailed analysis of backward movements. This may partly be due to the fact that their task
49 did not require subjects to stop at the target, making backward movements not very well
50 distinguishable from forward movements. Also, in their task, visual feedback was present
51 throughout the task.

52 To put the hypothesis of vectorial planning to a crucial test, we designed three different variants
53 of the center-out-and-back paradigm. In all variants, a 60 degrees visuomotor rotation was
54 applied in the learning trials. In the first variant (paradigm one = P1), subjects moved to and from
55 12 targets distributed on a circle around the central starting position, such that learning during
56 forward movements included movement directions from 0 to 360 degrees in 30 degree
57 increments. In the second (paradigm two = P2) and third (paradigm three = P3) variant,
58 movements were directed only to two targets, either 180 (P2) or 60 degrees (P3) apart from each
59 other. This means that in P2, the directions of forward movements to one target were equal to
60 backward movements from the other target, while in P3, backward and forward movement
61 directions did not match.

62 If subjects would learn the shifted locations of the target and would make use of this knowledge
63 when planning backward movements, transfer of learning from forward to backward movements
64 would occur in all three variants of the paradigm, supporting the position remapping theory. If
65 learning would be based on learning the rotated directions, however, backward movements
66 should only be affected if they would occur in the directions that were trained during forward
67 movements, i.e., in experiments one and two. This would be in accordance with the vectorial
68 movement planning hypothesis (Figure 2).

69 We found that transfer of learning from forward to backward movements preferentially occurred
70 in paradigms one and two. Thus, our study further supports the vectorial planning hypothesis and
71 strengthens the idea that movement direction rather than the locations of origin and target is the
72 most prominent parameter used by the motor system for planning movements.

73 **Materials and Methods**

74 **Experimental Setup**

75 A phantom device 1.5 HF (SensAble technologies, Woburn MA, USA) was used to track
76 subjects' movements. The resulting trajectories are shown in Figure 3. The device was
77 programmed to move frictionlessly in a horizontal plane directly under a horizontal board in front
78 of which subjects were seated. During the experiment, the momentary position of the Phantom
79 handle end point was recorded, digitized, visualized on a computer monitor and projected onto
80 the board in such a way that projection and actual position of the phantom end-point were
81 vertically aligned (in the non-rotated condition, see Figure 1A). The sampling rate for the
82 position recording was 100 Hz, and the gain with respect to the real movements was set to one,
83 i.e., cursor movements had the same amplitudes as hand movements. The phantom device was
84 programmed to autonomously move back to the centre of the horizontal workspace after each
85 trial.

86 **Participants**

87 All subjects participating in the experiment were right-handed (verified by a modified Edinburgh
88 Handedness Inventory, (Oldfield, 1971)) and naive as to the purpose of the study. Experimental
89 procedures were approved by the local ethics committee (University of Freiburg), and all
90 participants gave their informed written consent prior to starting the experiment. In total, 42

91 subjects were tested in the experiment. Thirteen of these had to be excluded from analysis since
92 they failed to learn the visuomotor rotation. Successful learning was defined as a significant
93 difference between the first and last 50 movement errors under the rotation (irrespective of target
94 location, see below), using a standard t-test with $p < 0.05$. As we wanted to test the transfer of
95 learning from forward to backward movements, it was necessary to exclude subjects who did not
96 show any learning in the forward movements. Of the remaining subjects, 9 were tested in the
97 first, and 10 each in the second and third paradigm described in the following paragraph (see also
98 Figure 1B).

99 **Paradigms and Trial-Sequence**

100 All subjects participating in our experiment were assigned to one of three experimental groups,
101 each of which was tested in a different paradigm. Subjects in all three groups had to complete
102 three experimental blocks in which they had to perform out-and-back reaching movements.
103 Importantly, visual feedback for their movements was only given during forward movements and
104 switched off when subjects returned to the origin. In the first block (familiarization block,
105 consisting of 120 trials), subjects got veridical visual feedback about their movements. This block
106 was needed to verify that, given non-rotated visual feedback during forward movements, subjects
107 would move straight to the target and back to origin, even if during backward movements, visual
108 feedback was absent. In the second block (learning block, consisting of 360 trials), a visuomotor
109 rotation (60 degrees around the origin of movements) was introduced during forward movements.
110 In the third block (washout block, consisting of 120 trials), veridical, non-rotated cursor feedback
111 was given again during the forward movements.

112 The three paradigms were set up as follows: The first group of subjects was tested in a paradigm
113 in which one of 12 targets was presented in each trial (P1 – targets were chosen pseudo-randomly
114 over each block). The 12 targets were equidistantly distributed (i.e. 30 degrees apart from each
115 other) on a circle with radius 5 cm around the movement origin (Figure 1B P1). The second
116 group of subjects was tested in a paradigm in which one of only two targets was presented in
117 each trial (P2). The two targets were positioned at 60 and 240 degrees from the origin (0 degrees
118 meaning rightward, 90 degrees meaning forward direction, seen from the perspective of
119 participants, see Figure 1 B, P2), i.e. at 180 degrees from each other. In the figures depicting the
120 targets separately, these targets will be referred to as P2a (at 60 degrees) and P2b (at 240 degrees)
121 (see Figure 8, 9, and 10). The third group of subjects was also presented with one of two targets
122 in each trial, but the target locations at 60 and 120 degrees from the origin were only separated by

123 60 degrees, (P3, see Figure 1B). Analogous to the previous paradigm, these targets will be
124 referred to as P3a (at 60 degrees) and P3b (at 120 degrees) (see Figure 8, 9, and 10).
125 It is important to note that since the target number differed between paradigms but the trial
126 number in each experimental block was kept constant, the number of repetitions to each target
127 differed. In the first paradigm, each target was shown 10 times in the familiarization and washout
128 blocks, and 30 times in the learning block. In the second and third paradigm, each target was
129 presented 60 times during familiarization and washout and 180 times during learning.
130 In the beginning of each trial, subjects had to position the cursor in the centre of the workspace,
131 which was indicated by a circle 1.5 cm in diameter. After an initial 500 ms waiting-period (Figure
132 1C), the target (another circle 1.5 cm in diameter) appeared, and subjects had to reach it with the
133 cursor. At the same time, the circle showing the centre of the origin disappeared. Only after the
134 cursor was placed within the target and remained there for 50 ms, subjects were allowed to move
135 back to the origin. This was signified by the disappearance of the target and the cursor, and the
136 reappearance of the circle representing the origin. Prior to starting the experiment, subjects were
137 instructed to move back to the centre of workspace as accurately as possible, albeit lacking visual
138 feedback about the cursor position. With regards to the speed of movements, subjects were told to
139 move at a natural pace. After they thought they had reached the origin, subjects were requested to
140 let loose of the phantom handle and put their hand on their knee until the next trial started. During
141 this period, the handle was autonomously moved back to the exact origin position by the phantom
142 device. Since subjects were not allowed to keep the phantom handle in their hand, they could not
143 feel whether or in which direction the phantom was moving to get back to the centre, and
144 therefore they had no proprioceptive information on whether or not they had actually reached the
145 origin during the backward movements. One second after the Phantom device was reset to the
146 central position, the central target and the cursor reappeared, signalling the start of the next trial
147 and instructing subjects to grasp the handle again.

148 **Data Analysis**

149 Before analysing the movements, we low-pass filtered the recorded trajectories (10Hz cut-off,
150 2nd order Butterworth filter). Oscillations above 10 Hz are unlikely to be caused by subjects'
151 movements, and are therefore assumed to represent recording artefacts. For quantification of
152 subjects' learning, we determined the error of initial movement direction. This error was defined
153 as the signed angular difference between initial movement direction and target direction from the
154 hand location at movement onset. Initial movement direction was defined as the hand position

155 150 ms into the movement in relation to the hand location at movement onset. Movement onset
156 was found by a semi-automated procedure, aimed at determining a point in time when the handle
157 had not been moved from the starting location. At the same time, we wanted to exclude quivering
158 movements as well as 'false starts' from the trials entering the analysis. To this end, we defined
159 movement onset as the point in time 100 ms before 45 percent of maximal hand-velocity was
160 reached. In case velocity was not increasing monotonically until the threshold of 45 percent
161 maximal velocity was reached, the procedure was repeated for the next time point (and monotony
162 tested for 100 ms after that new time point) until the velocity increase was monotonic. After a
163 movement onset was found by the automated procedure, we visually inspected its relation to
164 both, movement trajectory and speed-profile of the movement. If either no movement onset was
165 found, or it was obviously defective, we discarded the respective trial. Initial movement direction
166 was chosen as parameter for assessing the subjects' behaviour because we wanted to assess the
167 change in the internal model of the subjects used for movement planning, before any online
168 corrections, induced by the visual feedback, took place. Although, in the literature, latencies of
169 under 150 ms have been described for visual feedback influencing motor control (Franklin &
170 Wolpert, 2008), in our task, we did not observe any corrective movements before 150 ms after
171 movement onset (see Figure 4 for an exemplary movement, with the portion of the trajectory
172 used for determining the initial movement direction highlighted in colour).

173 To show the time course of performance and learning in the experimental blocks, we plotted
174 initial movement errors against trial number. The time course of initial movement errors was fit
175 with a linear function in the familiarization blocks, and with a single exponential function
176 according to

177 $E(t) = a + b \times e^{(-t/T)}$ in the learning and washout blocks. The parameters derived for the
178 exponential fits are shown in Table 1.

179 For each trial, we tested whether movement errors (pooled over subjects) were significantly
180 different from zero (ranksum test, $p < 0.01$, see Figures 5, 6, and 7). This is mostly relevant for the
181 familiarization block, in which initial movement errors from only very few trials are significantly
182 different from zero (not aimed more or less directly in the direction of the target presented).

183 For Figure 8, we pooled initial movement errors over a number of trials in the beginning and in
184 the end of the learning block to check for significant differences between forward (Figure 8 A)
185 and backward (Figure 8B) movements. For the first paradigm, we pooled over the first and last
186 50 trials, irrespective of target direction. Target direction was ignored in this paradigm because
187 we expected a high degree of generalization of learning between nearby targets (also see

188 Discussion). For the second and third paradigm, we first sorted trials by target direction and then
189 pooled over the first and last 15 trials separately for each target (P2a and P2b in the second
190 paradigm; P3a and P3b in the third paradigm). Differences between performance in forward and
191 backward movements are shown for the beginning (Figure 8C) and the end (Figure 8D) of the
192 learning block. The same analysis for the washout block is shown in Figure 9.

193 To directly compare the learning of the rotation in forward versus backward movements between
194 the second and third paradigm, we computed the difference between movement errors in forward
195 and backward direction separately for each subject in the end of the learning block (as for Figure
196 8, we averaged over the last 15 trials).

197 **Results & Discussion**

198 **Movement Trajectories**

199 Figure 2 schematically illustrates the kinds of forward and backward movements that could be
200 expected under a visuomotor transformation, based on previous findings observed in this kind of
201 task (Krakauer et al. 2000, Krakauer 2009 for a review on visuomotor rotations).

202 Figure 2A (blue arrow) shows the behaviour that can be expected for forward movements in the
203 beginning of exposure to the transformation: Subjects would start off moving towards the
204 visually perceived location of the target. After initiating the movement, visual feedback would
205 make them realize that they are 60 degrees off the desired direction, leading to a large corrective
206 movement (resulting in a hook-shaped trajectory). With prolonged exposure to the rotation,
207 subjects would be expected to recalibrate their motor system such that they would immediately
208 reach into the required rotated direction, producing straight trajectories again (Figure 2B and C).

209 Figure 2B (orange arrow) shows how subjects would move backwards if they would only take the
210 visually perceived target locations into account, failing to account for the shift induced by the
211 transformation, and making them end up in a position completely off the origin. Figure 2C, in
212 contrast, shows how subjects would move back if they would have learned the effect of the
213 transformation, allowing them to faithfully reach the origin again. If such learning transfer to
214 backward movements was seen in all paradigms, it could be concluded that subjects learned a
215 position remapping of all positions visited during learning in forward movements. If, however,
216 learning transfer was observed only in paradigms one and two, and not in paradigm three, it could

217 be concluded that no general position remapping took place, but rather a specific learning transfer
218 concerning the movement directions trained during forward movement. Note that backward
219 movements are expected to be always straight, since, due to the lack of visual feedback, no
220 corrective movements are expected.

221 Figure 3 shows typical real examples of movements trajectories in the three paradigms at
222 different stages of the learning block. In the forward movements of all three paradigms (Figure
223 3A, C and E), subjects behaved as expected once the rotation was applied. In the first couple of
224 trials, there were large initial movement errors and subsequently large movement corrections to
225 reach the targets. Over the course of learning, forward movements gradually became straighter
226 and the movement direction rotated more and more to compensate for the rotation, such that the
227 target could successfully be reached. over the course of the learning block.

228 In contrast to forward movements and as expected due to the lack of feedback, backward
229 movements (Figure 3B, D, and F) were straight even in the beginning of the learning block .
230 They never displayed the typical hook-like shape induced by corrective movements. Interestingly,
231 backward movements never exactly pointed towards the origin as in Figure 3C. Rather, the initial
232 movement directions seemed to lie between the one required taking the actual hand position into
233 account and the one required based on the visually perceived hand location. In paradigms one and
234 two (Figures 3B and D), it seemed that the backward movement direction would shift over the
235 course of the learning block towards the direction required by the visuomotor rotation.

236 **Initial Movement Errors**

237 To quantify motor behaviour and check for systematic changes in movement direction over the
238 course of the experiment, we computed initial errors in forward and backward movement
239 directions and plotted them against time. For better visualization and quantification of the results,
240 we fitted the forward and backward movement errors of the training and washout blocks with an
241 exponential function (see methods for procedure and Table 1 for the estimated parameters of the
242 fits).

243 For the presentation of initial movement errors in the first paradigm, we ignored target directions
244 when looking at the time-course of learning (see Figure 5). Due to the pseudo-random
245 presentation of many target directions, consecutive trials to the same target can be separated by
246 many trials to other targets. If targets are learned completely separately, this should not strongly
247 affect the time-course of learning the rotation for single targets (see Krakauer et al. 2000), but in
248 our first paradigm, targets were quite close together (30 degree) so we expected to see at least

249 some generalization between nearby target locations. Instead of trying to correct for this during
250 the analysis (e.g. by taking into account the presentation order of targets), we decided to look at
251 consecutive trials irrespective of target direction for the paradigm with 12 targets.
252 In the second and third paradigm, target locations were separated by at least 60 degrees (in
253 paradigm three), so we did expect much less, if any, generalization across target directions. In the
254 last two paradigms, we therefore separated trials by target direction and looked at the time-course
255 of learning separately for each of them. This also enabled us to compare movements to the same
256 location in the workspace between paradigms: the location of target P2a in the second paradigm
257 is identical to that of target P3a in the third paradigm (see Figure 6 and 7). The different numbers
258 of targets presented in the paradigms mean that one has to be cautious in comparing learning
259 between the first and the last two paradigms. The conclusions drawn in the following are
260 therefore most critically based on the comparisons of forward and backward movements within a
261 given paradigm and the comparison of paradigm 2 and 3 (in which equal numbers of repetitions
262 were used). Therefore, the factor of target numbers is not confounding our results.

263 ***Forward Movements***

264 In forward movements (blue dots and lines in Figures 5, 6, and 7), as expected, when the rotation
265 of the visual feedback was switched on, subjects in all groups started off with initial movement
266 errors close to the magnitude of the rotation (60 degrees, see Figures 5, 6, and 7, blue dots). In the
267 following trials, initial movement errors decreased until reaching a plateau at which performance
268 remained relatively constant.

269 Performance in the washout block (right panel of Figures 5, 6, and 7) confirmed that a typical
270 sensorimotor learning process had taken place in forward movements. In all experiments, a
271 distinct after-effect was observed: in the beginning of the washout block subjects started off with
272 large errors in the direction opposite to the transformation. These errors decreased rapidly over
273 the following trials.

274 In accordance with the learning process, over the course of both the learning and the washout
275 block, the occurrence of significant differences from zero (based on the movements of all
276 subjects in each experimental group for individual trials), seemed to consistently decrease (blue
277 lines in the bottom of Figures 5, 6, and 7). Given the low statistical power of comparing a sample
278 of only 10 to a mean of zero, these data have to be interpreted with caution, however.

279 For the comparison of initial movement errors in the beginning and in the end of the learning
280 block, we pooled over the first and last 50 trials of the block for paradigm one, and over the first

281 and last 15 trials for paradigms two and three. Pooling over a large number of trials will give
282 more reliable results given the variability of initial movement errors, but it will fail to capture the
283 initial phase of the experiment in which the movements are not learned, yet. In the first paradigm,
284 taking 50 trials was reasonable looking at the time-course of learning over consecutive trials
285 (Figure 5). In the second and third paradigm, especially when looking at targets separately
286 (Figure 6 and 7), learning is faster, so we chose a smaller number of trials to represent the
287 beginning and the end of learning respectively. Comparing the pooled trials from the beginning
288 and the end of the learning block yielded a highly significant drop of errors in all experiments,
289 showing that substantial learning was achieved in forward movements (Figure 8A). Note that this
290 finding is obvious to some degree, since subjects who did not show a significant decrease in
291 forward movement errors were not included in the analysis. In the washout block, we found
292 substantial unlearning, again indicated by a significant drop in the initial movement errors from
293 the first to the last trials in the block (Figure 9A).

294 Subjects' movement errors in the third paradigm generally seemed to be smaller (both in the
295 beginning and in the end of the learning block) than in the second paradigm (see Figure 8A). This
296 could, for instance, reflect some generalization of learning between targets (targets were only 60
297 degrees apart in the third, but 180 degrees apart from each other in the second paradigm),
298 however, further experiments would be needed to investigate this effect in detail.

299 The learning and unlearning processes seemed to be well captured by the exponential fits (see
300 Table 1 for the parameters of the exponential fits).

301 ***Backward movements***

302 In backward movements (orange dots and lines in Figures 5, 6, and 7), the initial movement
303 errors in the very first trials of the learning block were typically smaller than those observed in
304 forward movements (see Figure 8C). This is in agreement with the observation in movement
305 trajectories that subjects seemed to plan movements in directions between those required on the
306 basis of the visual and on the basis of the proprioceptive information about the hand's location.
307 Apparently, backward movements were planned by integrating proprioceptive information about
308 the actual hand starting position and the visual information about the cursor position, which is in
309 agreement with other psychophysical experiments (van Beers, Sittig and Denier van der Gon,
310 1996; van Beers, Sittig and Denier van der Gon, 1999).

311 In addition, in backward movements, as in forward movements, errors decreased over the course
312 of the learning and the washout blocks, indicating that the transformation was also learned in

313 backward movements. Since subjects did not get sensory feedback about their performance in
314 backward movements, a learning mechanism depending on the observation of movement errors,
315 like in forward movements, was not possible.

316 Instead, subjects may have learned over the course of the learning block to change the relative
317 weight they attribute to proprioception as compared to vision when planning their movements. It
318 has been shown that the integration of visual and proprioceptive information used for movement
319 control can be altered by task circumstances (Touzalin-Chretien, Ehrler & Dufour, 2010).

320 Generally, subjects tend to rely more heavily on vision than on proprioception for the planning
321 and execution of movements (e.g. Botvinick & Cohen, 1998; Ernst & Banks, 2002) and
322 proprioceptive information is even suppressed in the beginning of reaching movements (Shapiro,
323 Gottlieb & Corcos, 2004; Shapiro et al. 2009; Niu, Corcos & Shapiro, 2012). During the learning
324 block, subject might learn that the visual feedback they get is unreliable, and chose to disregard it
325 more and more for the planning of the backward movements. Indeed, depending on availability
326 and/or reliability of sensory information, the weighting of proprioceptive and visual information
327 has been found to be subject to change (Botvinick & Cohen, 1998; van Beers, Sittig & Denier
328 van der Gon, 1999; Ernst & Banks, 2002; Sober & Sabes, 2003).

329 Alternatively subjects might learn the shifted target locations during forward movements
330 (consistent with the position remapping hypothesis), and switch to location remapping for
331 planning their backward movements.

332 Both these learning mechanisms would rely on subjects being able to adopt more than one
333 learning strategy, and switch between them. Indeed, subjects have been reported to readily learn
334 more than one transformation in case they are training in different contexts (Thomas & Bock,
335 2012), and to be able to switch between different control mechanisms depending on that context
336 (Scheidt & Ghez, 2007; Ghez, Scheidt & Heijing, 2007; Scheidt, Ghez & Asnani, 2011). The
337 absence of visual feedback in backward movements could function as a cue to switch context,
338 allowing them either to reweigh the available proprioceptive and visual information or to switch
339 from the control of movement direction when visual feedback is provided to a location
340 remapping mode when it is absent. Very recently, there has been a study proposing that both, the
341 rotated goal location and the rotated direction of movements are learned when subjects are
342 confronted with a visuomotor rotation. This study is in accordance with our results, and also
343 includes a computational model for the learning mechanisms. However, this study – like the ones
344 before – tested the directions in a separate location of the workspace (Wu & Smith, 2013).

345 In our experiment, it was not possible to determine which of the above described mechanisms

346 accounted for the observed learning, or whether maybe both were involved.

347 Moreover, there is a third mechanism, that we think plays a major role in the learning of the
348 backward movements – the direction-specific transfer of learning from forward to backward
349 movements. In the end of the learning block, performance during backward movements in the
350 first two paradigms almost reached the level observed in forward movements, while in the third
351 paradigm, it was significantly lower (Figure 8D). Actually, the residual error in the end of
352 learning in paradigm 3 was more than twice as large in backward movements as compared to
353 forward movements.

354 The difference between the last two paradigms was most striking when comparing the movement
355 errors to the target located at 60 degrees – target P2a in the second, and P3a in the third paradigm.
356 The location of the respective target is the same in both groups (making this comparison as fair as
357 it can get), and the number of repetitions to the target, as well as the number of additional targets
358 presented (one) are the same in the last two paradigms. And yet, in P2a, there was only a slight
359 difference in the movement errors between forward and backward movements in the end of the
360 learning block, whereas in P3a the difference was large and highly significant (Figures 8D, P2a
361 and P3a). When doing a subject-wise comparison of the difference between forward and
362 backward movement errors between paradigms (see methods for details), we found that the
363 difference was indeed significantly larger in the third paradigm (ranksum test, $p < 0.05$), both
364 when looking only at target P2a/P3a as well as when pooling over all three targets presented in
365 the two paradigms. We propose that this difference is caused by a direction-specific transfer of
366 learning from forward to backward movements. The differences between forward and backward
367 movement errors for single subjects (Figure 10) reveal that, indeed, the difference between
368 forward and backward movement errors was generally larger in the paradigm in which targets
369 were not located opposite each other. Additionally, this figure shows that there is one subject in
370 paradigm three who acts contrary to any other subject in the group (the last bar on the right in
371 both Figure 10A and B). There was no objective reason to exclude this subject from the analysis,
372 however.

373 Direction-specific transfer of learning has been described before (Krakauer et al. 2000, Sainburg
374 et al. 2003), and, in addition, it was shown that learning of visuomotor rotations was transferred
375 between movements starting at different locations of the workspace, as long as they were in the
376 same direction (Wang & Sainburg, 2005). In contrast to these earlier studies, however, our study
377 has the advantage that the learning transfer was tested in an area of the workspace that was
378 completely overlapping with the area trained during learning. Therefore, the workspace could be

379 excluded as a confounding variable against location remapping. Our results are consistent with
380 the view that movement direction is a major parameter specified during motor programming,
381 encoded separately from position in the motor system, as postulated by the vectorial planning
382 hypothesis. The idea that movements are primarily planned on the basis of movement direction is
383 also supported by neurophysiological findings that movement direction is a prominent parameter
384 encoded in neuronal activity (Georgopoulos et al., 1986). On the basis of this assumption,
385 planned movements can amazingly accurately and robustly be decoded from neural signals in
386 monkeys (Schwartz 1994). Directional coding of movements seems also to occur in humans
387 (Cowper-Smith et al. 2010, Fabbri et al. 2010). Brain-machine interfaces can quite successfully
388 exploit decoding of intended movement directions for steering machines or prostheses with brain
389 signals, both in monkeys (Taylor, Tillery & Schwartz 2002) as well as in humans (Milekovic et
390 al. 2012, Hochberg et al. 2012).

391 We propose that the three mechanisms discussed above – the re-weighting of sensory
392 information, the learning of transformed target location, and the (direction-specific) transfer of
393 learning from forward movements – are the most important factors leading to the adaptation to
394 the rotation of movement directions seen in backward movements. However, there are two
395 further possibilities that we want to discuss: First, Learning might at least partly have been
396 caused by non-specific generalization of learning (generalization across directions). Although
397 Krakauer et al. in their original study claim that the learning of a visuomotor rotation is local with
398 regards to the direction of movements, their results suggest at least some degree of generalization
399 (Krakauer et al., 2000, Figures 7A and B), especially where there is more than one training
400 direction (see also Brayanov, Press & Smith, 2012). We think, however, that at 120 degrees, the
401 difference between forward and backward movement directions in paradigm three was large
402 enough to render generalization across them unlikely.

403 Secondly, subjects might have made use of the mismatch between the location where they left the
404 handle of the tracking device in the end of each trial and the position in which they took hold of it
405 again after the Phantom had moved the handle towards the origin, and started the new trial. To
406 minimize this kind of information, subjects were told to put their hands well away from the
407 tracking device and put them on their lap between trials. Since the movements bringing back the
408 Phantom to the origin were quite small, and given this intermittent movement to the subjects' lap
409 and back, we think this effect is negligible.

410 While we therefore are confident that we can exclude the latter explanations for the learning in
411 backward movements, further experiments would be necessary to distinguish between the other

412 two explanations mentioned and specify the magnitude to which each adds to the observed
413 learning effect.

414 To summarize, the main two findings of our study, shedding light on the planning of backward
415 movements in human subjects are: First, when confronted with a transformation at a given
416 moment, the following movement (in our case, the backward movement) is planned based on a
417 mixture of visual and proprioceptive information, suggesting that the transformation induces a re-
418 weighting of information coming from the two perceptual channels. This has been shown before
419 for other types of movements, but to our knowledge, it is a new finding when looking at
420 backward movements specifically. We think that this is relevant because backward movements
421 are an integral part of reaching movements, and it would therefore not be implausible to assume
422 that they are planned along with the forward movements, integrated in one motor command. Our
423 results suggest in contrast, that backward movements are planned separately from the preceding
424 forward movements.

425 Secondly, we have shown that the learning of a visuomotor rotation in forward directions also
426 induces learning in the following backward movements of out-and-back reaching movements.
427 There are several mechanisms which could be relevant in this respect, and further experiments
428 would be needed to determine their specific contributions to the observed learning effect, but our
429 results strongly suggest that one of them is a direction-specific transfer of learning from forward
430 to backward movements, supporting the vectorial planning hypothesis of motor control and
431 emphasizing the role of direction as an important control parameter within the motor system.

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Table 1 (on next page)

Parameters of curves fit to initial movement errors

P1 - Paradigm 1, all 12 Targets. P2a - Paradigm 2, target at 60°. P2b - Paradigm 2, target at 240°. P3a - Paradigm 3, target at 60°, P3b - Paradigm 3, target at 120°. For each parameter of the fit, the confidence intervals are given, MSE - mean squared error.

Table1. Parameters of curves fit to Initial Movement Errors

Paradigm	Figure	target	a	b	τ	MSE
P1 forward	3	all	8.3 - 11.9	33.4 - 38.5	81.5 - 120.3	276
P1 backward	3	all	12.9 - 14.4	17.8 - 30.0	15.4 - 31.8	281
P2a forward	4 A	60°	15.5 - 18.2	30.2 - 38.9	23.9 - 38.1	262
P2a backward	4 A	60°	18.4 - 20.2	18.9 - 36.1	5.3 - 13.2	257
P2b forward	4 B	240°	14.2 - 17.9	29.2 - 35.6	34.5 - 56.6	216
P2b backward	4 B	240°	15.4 - 17.5	10.6 - 52.2	0.8 - 6.7	397
P3a forward	5 A	60°	8.8 - 10.8	36.2 - 45.4	19.1 - 27.3	207
P3a backward	5 A	60°	23.5 - 26.3	7.6 - 18.4	8.2 - 46.6	350
P3b forward	5 B	120°	7.7 - 9.6	21.5 - 29.3	18.1 - 30.5	179
P3b backward	5 B	120°	14.5 - 15.8	-3.3 - 15.5	-5.3 - 16.3	156

Figure 1

Experimental Setup

(A) Subject sitting in front of the setup. (B) Target locations in the three paradigms (unfilled circle represents the starting location). (C) Trial sequence. The phantom device was programmed to autonomously move back to the location of the origin during the last 2000 ms while subjects were instructed to release the handle and wait for reappearance of the origin.

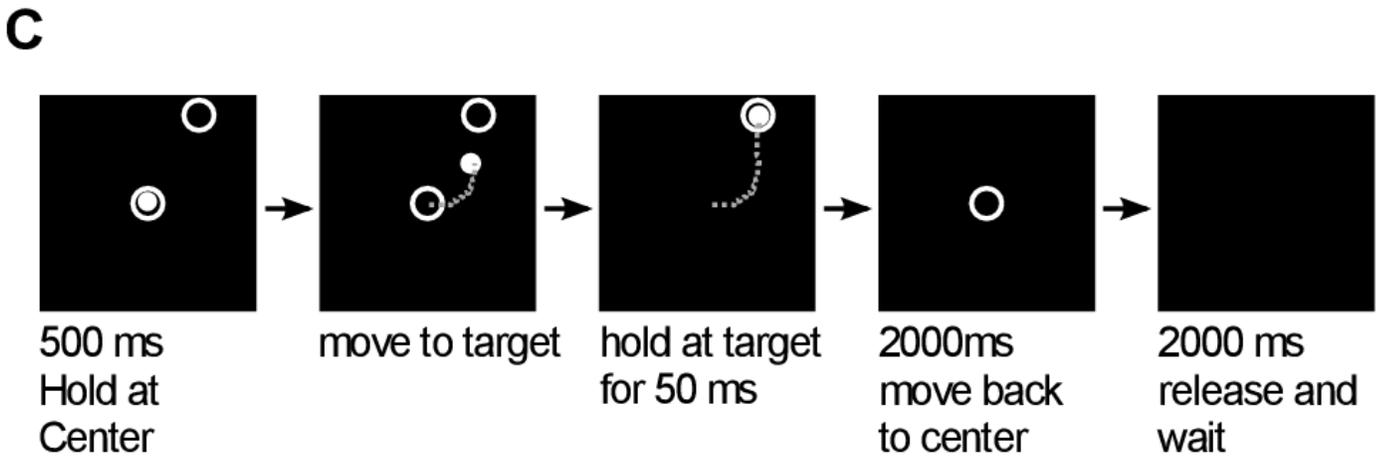
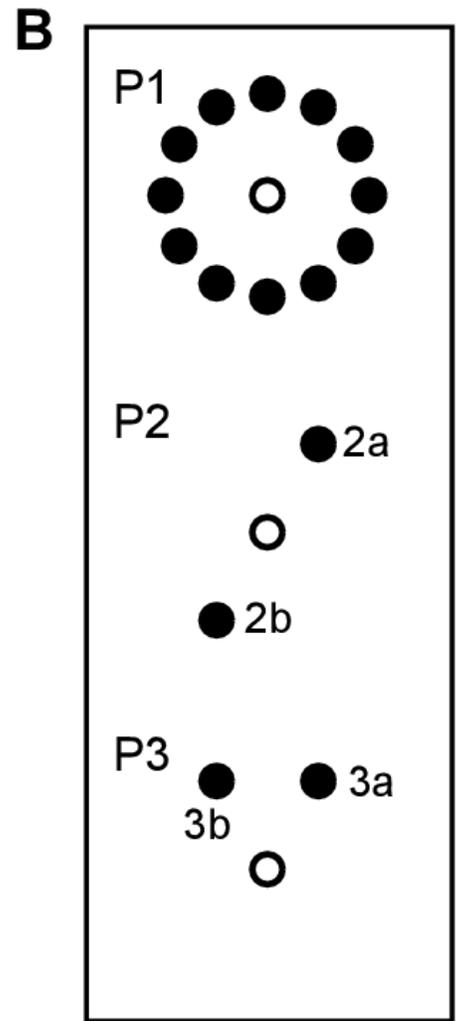
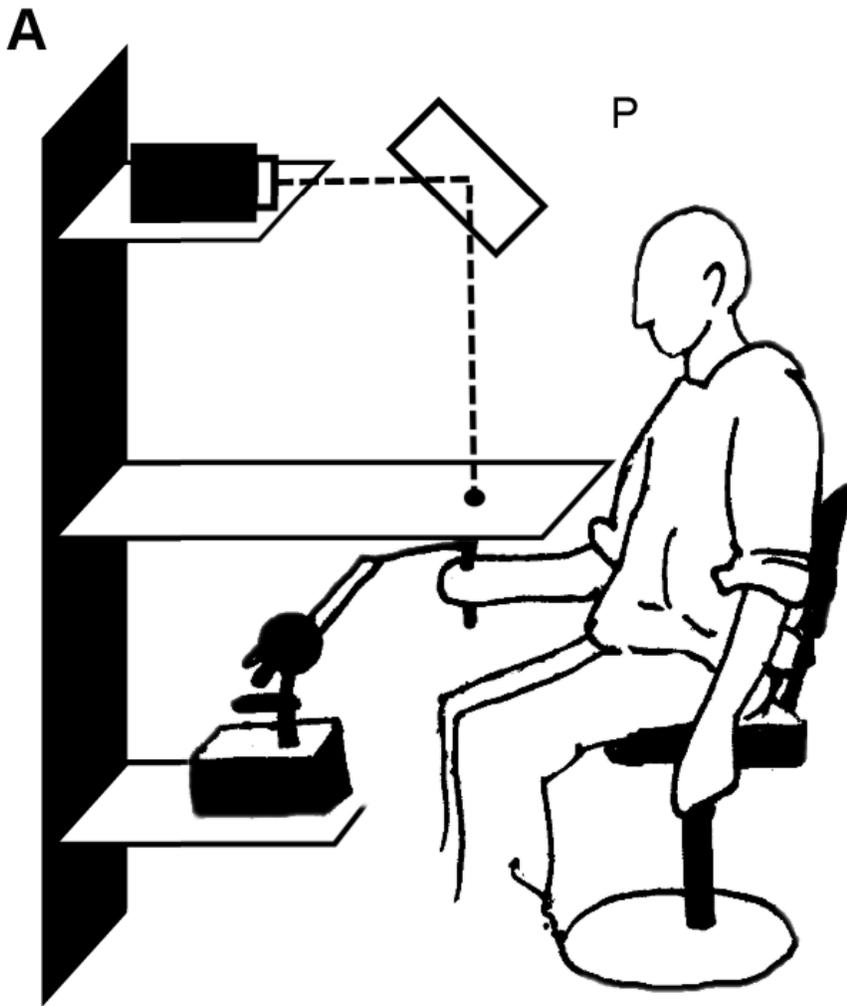


Figure 2

Expected Trajectories in our Task

(A) Initial forward movement under 60 degree clockwise (CW) visuomotor rotation (beginning of training). (B) Expected forward movement after learning the transformation and expected backward movement based on visual information of target position. (C) Expected forward movement after learning the transformation and expected backward movement, based on hand position.

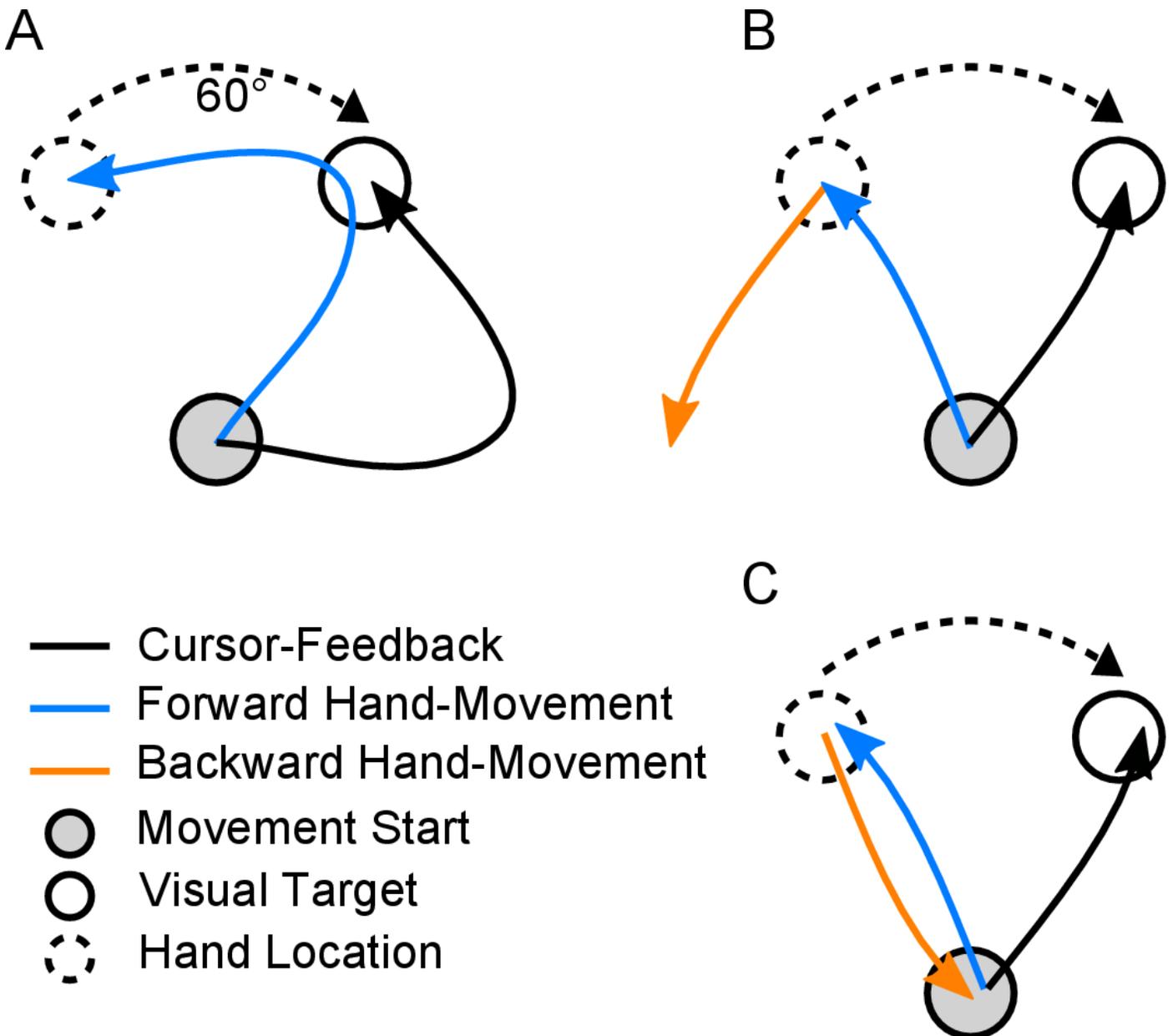
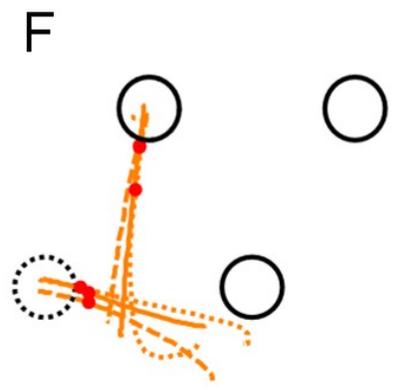
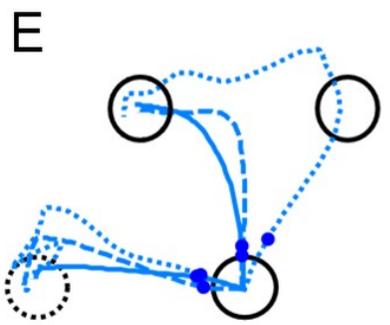
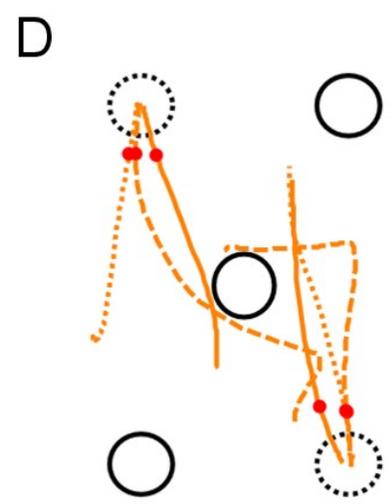
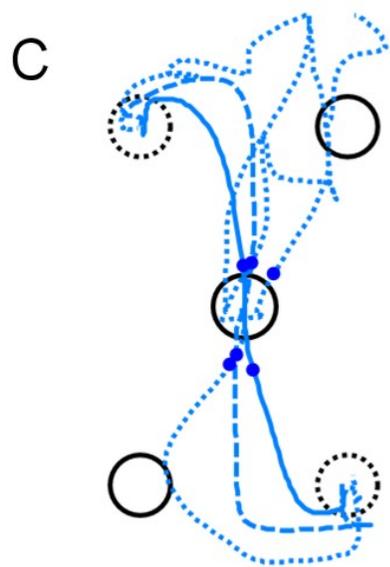
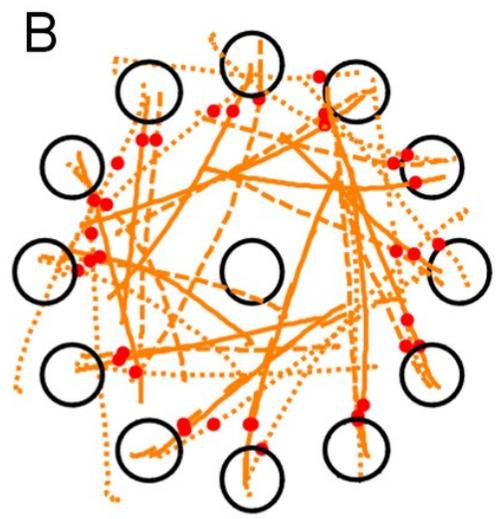
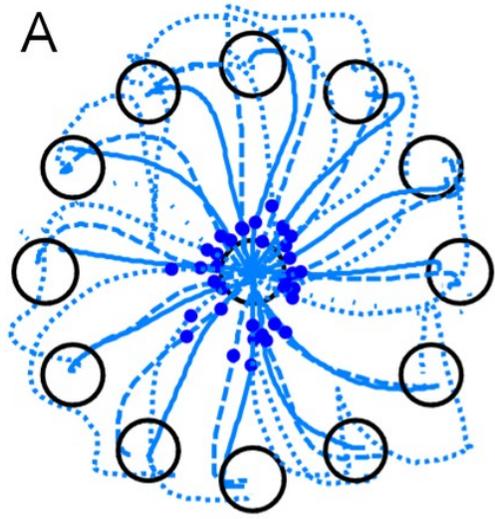


Figure 3

Exemplary Trajectories During Different Stages of Learning.

Trajectories are shown for one representative subject from each of the three experimental groups. Dotted lines denote the first successful trial, dashed lines a trial during learning (the 8th trial) and the solid lines one of the last successful trials in the block (the 17th in the first experiment, the 173rd for the second and third). (A) Forward movements in paradigm 1. (B) Backward movements in paradigm 1. (C) Forward movements in paradigm 2. (D) Backward movements in paradigm 2. (E) Forward movements in paradigm 3. (F) Backward movements in paradigm 3. The red and blue dots show at what moment initial movement errors were detected in the respective trials (see methods). Locations of the rotated targets are given by the dashed target-circles. All trajectories are shown in hand-space.



Forward Movements

Backward Movements

5 cm

Figure 4

Detection of Initial Movement Error.

The coloured parts of the trajectories show the interval of the movement which was used to define the initial movement error (in blue for the forward movement, in orange for the backward movement). Note that the corrective movement in forward direction starts well after the point in time when initial movement error was detected.

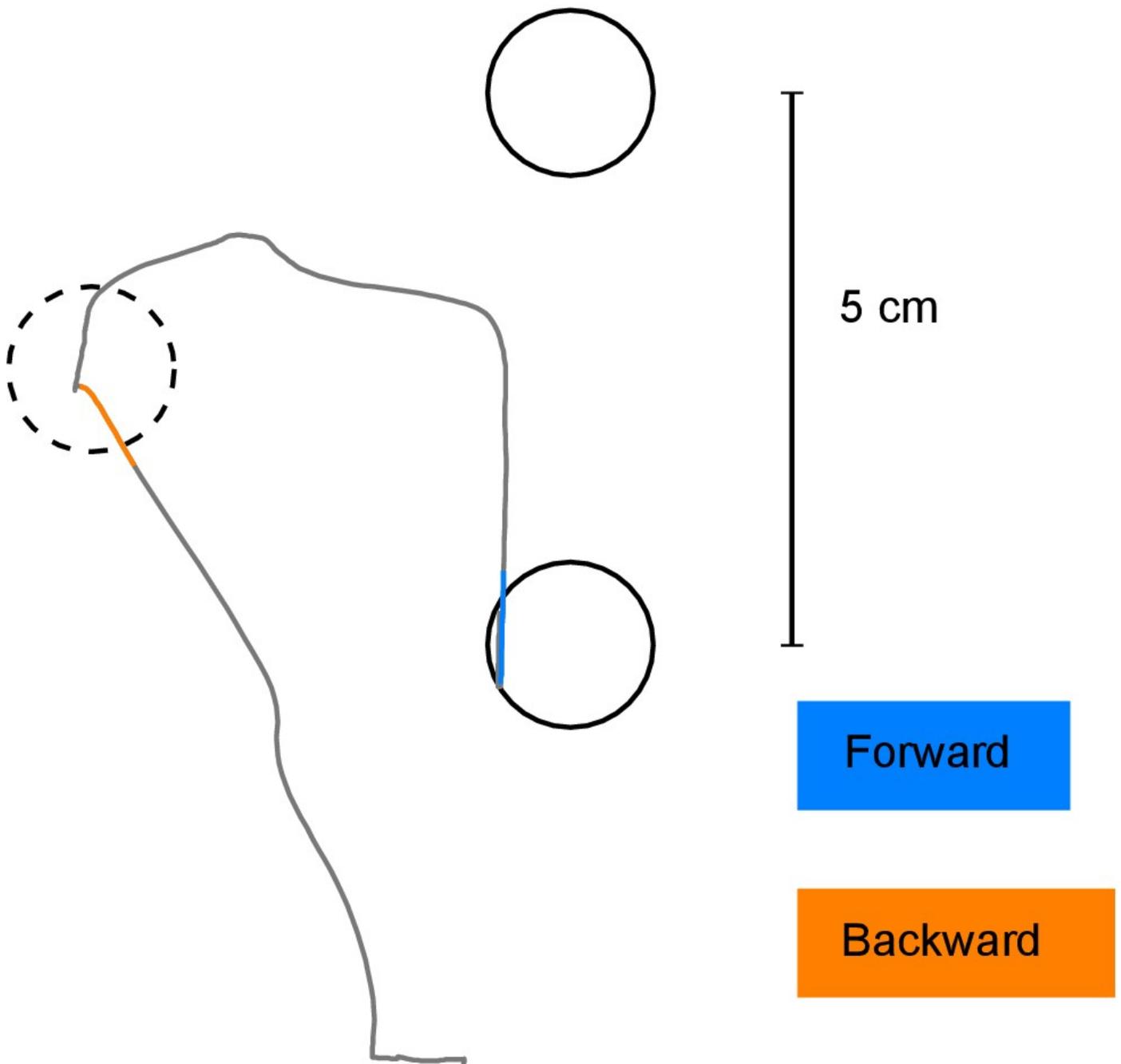


Figure 5

Initial Movement Errors (IME) in the First Paradigm (P1) with 12 Targets.

In the familiarization block (far left) and the washout block (far right), feedback was veridical. In the learning block (middle), visual feedback was rotated around the movement origin by 60 degree (CW). Individual errors are shown as dots, solid lines denote the exponential fits to the data (for confidence intervals of the fit parameters, refer to Table 1). The bars at the bottom off the graphs denote individual trials in which the errors were significantly different from zero (ranksum test, $p < 0.01$). Forward movements are shown in blue, backward movements in orange.

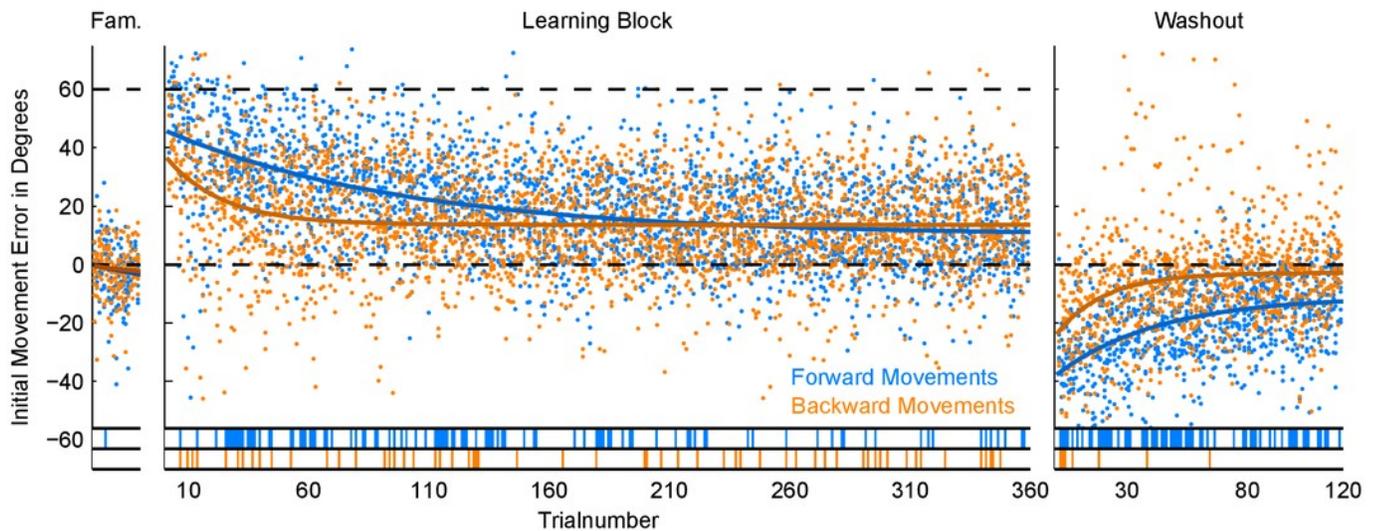


Figure 6

Initial Movement Errors (IME) in Paradigm 2 (P2 – targets located 180 degree apart).

(A) Results for the trials to the target at 60 degree. (B) Results for the target at 240° in the bottom row of the figure. Refer to Figure 3 for further details.

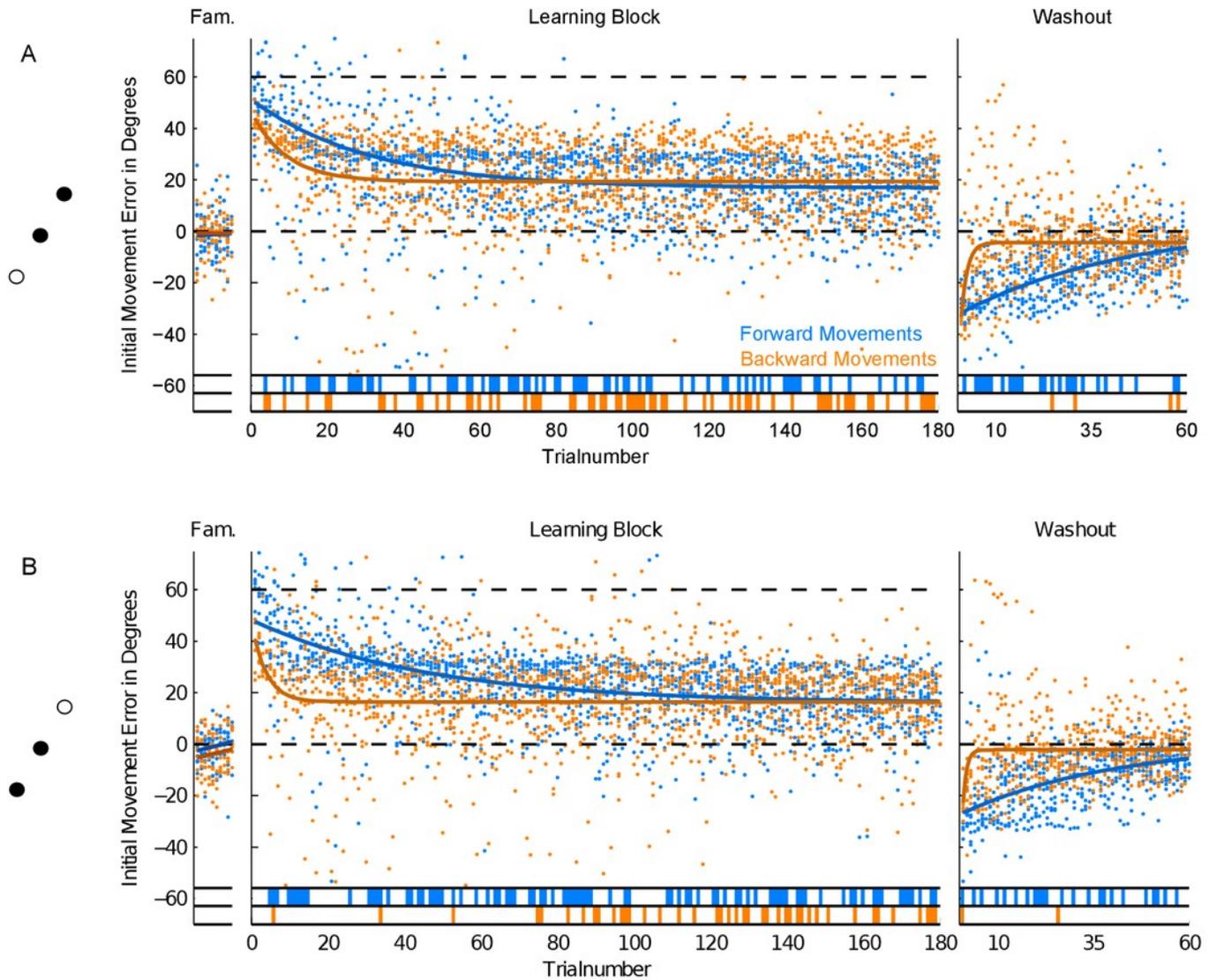


Figure 7

Initial Movement Errors (IME) in Paradigm 3 (P3 – targets located 60 degree apart).

Results for the trials to the target at 60 degree are shown in the top row, results for the target at 120 degree in the bottom row of the figure. Refer to Figure 3 for further details.

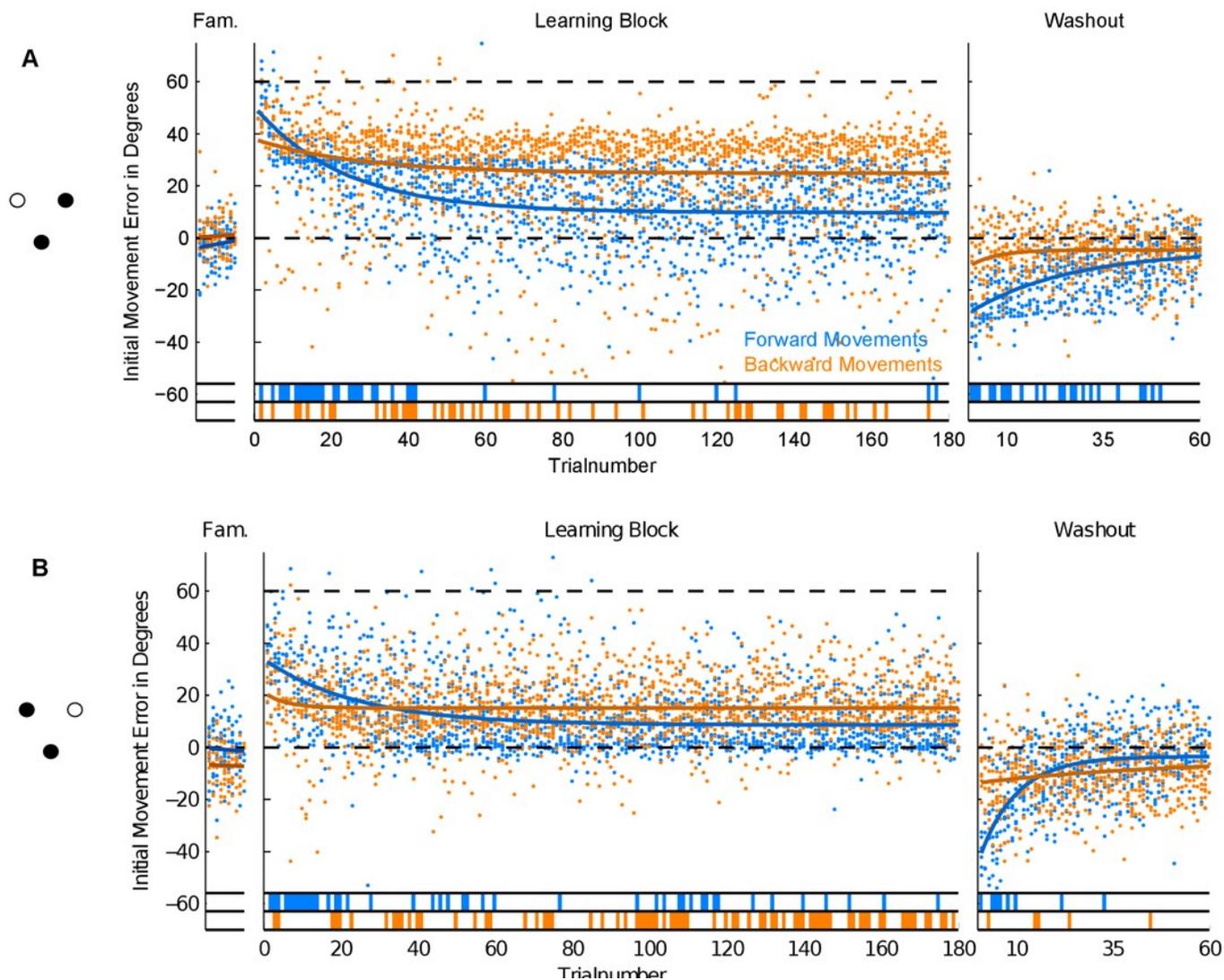
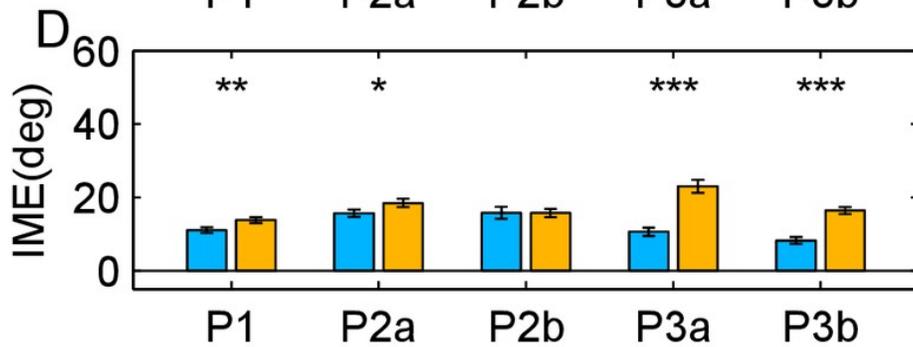
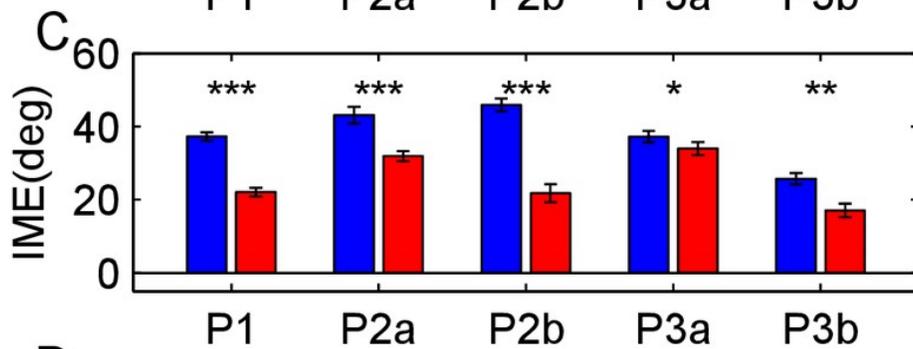
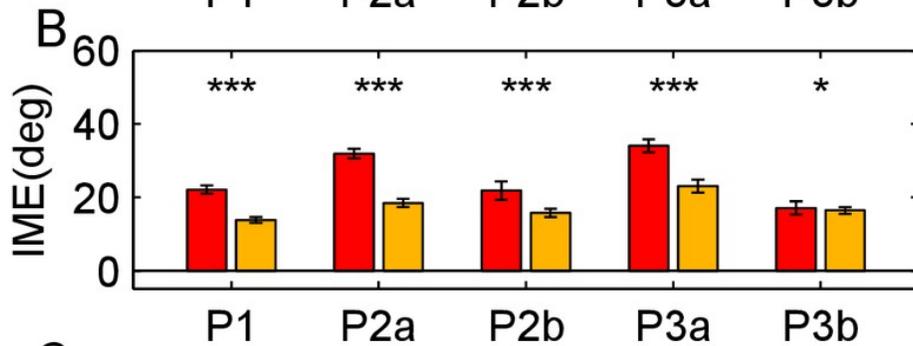
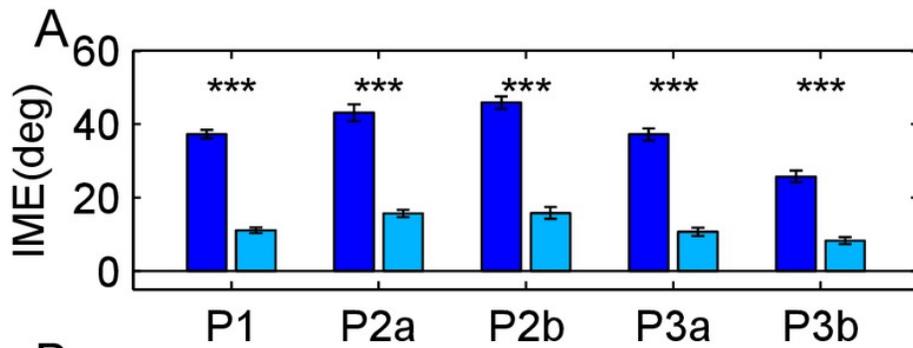


Figure 8

Comparison of Initial Movement Errors in the Beginning and in the End of Learning for Forward and Backward Movements.

(A) Comparing forward movements at the beginning and in the end of the learning block. (B) Comparing backward movements at the beginning and in the end of the learning block. (C) Comparing forward and backward movements at the beginning of the learning block. (D) Comparing forward and backward movements in the end of the learning block. Distributions were tested for significant differences with a ranksum test. P1 - Paradigm 1, all targets; P2a – Paradigm 2, target at 60 degree; P2b - Paradigm 2, target at 240 degree; P3a – Paradigm 3, target at 60 degree; P3b - Paradigm 3, target at 120 degree. For Paradigm 1, the beginning of the learning block is taken as the first 50 trials, and the end of the learning block as the last 50 trials. In Paradigms 2 and 3, beginning means the first 15 trials, and end the last 15 trials. The height of the bars corresponds to the mean over trials. Error bars show the standard error of the mean (SEM) over trials and subjects.



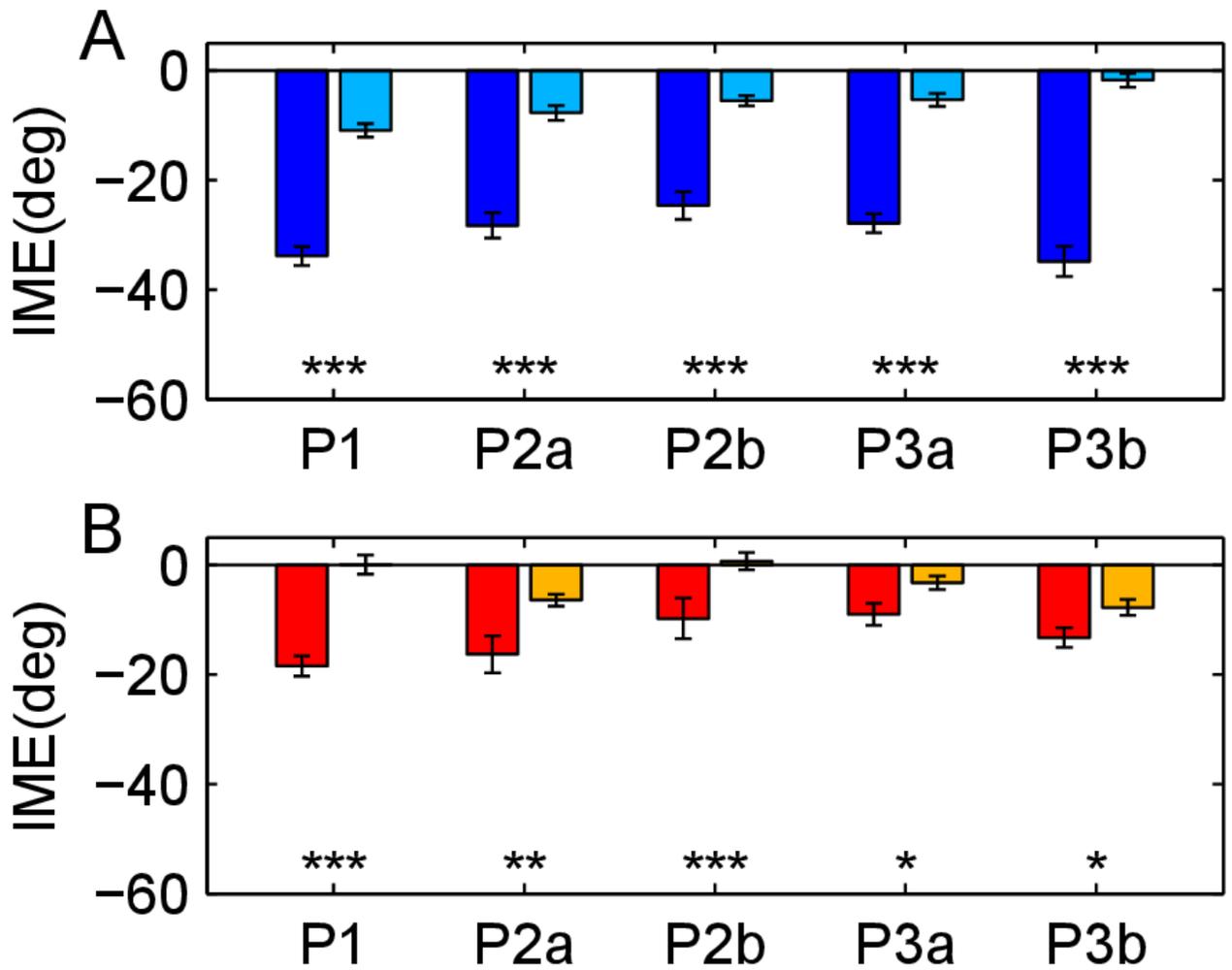
- - Forward errors / beginning of block
- - Forward errors / end of block
- - Backward errors / beginning of block
- - Backward errors / end of block

* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$

Figure 9

Differences in Performance at the Beginning and the End of the Washout Block.

(A) Forward movements. (B) Backward movements. For color legend and significance levels see Figure 6.



-  - Forward errors / beginning of block
-  - Forward errors / end of block
-  - Backward errors / beginning of block
-  - Backward errors / end of block

Figure 10

Differences Between Forward and Backward Learning Depending on the Learned Directions.

Each bar shown in the figure represents the difference between initial movement errors in forward and backward direction in the end of the learning block for one subject. End of the learning block here is equivalent to the last 15 trials in the learning block (compare also methods and Figure 9). S1 to S10 are the 10 subjects in each of the paradigms (note that S1 in the second and the third paradigm was not the same person!). In A, differences are computed only for target P2a (paradigm two) and P3a (paradigm three), respectively. In B, differences are computed over all targets presented in the two paradigms (P2a, P2b, P3a, and P3b).

