No relationship between vertebral column shifts and limb fluctuating asymmetry in human foetuses

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Abstract

Disturbance from the normal developmental trajectory of a trait during growth – the so-called developmental instability – can be observed morphologically through phenodeviants and subtle deviations from perfect symmetry (fluctuating asymmetry). This study investigates the relationship between phenodeviance in the human vertebral column (as a result of axial patterning defects) and limb fluctuating asymmetry. Since both types of markers of developmental instability have been found associated with congenital abnormalities in humans, we anticipate a relationship between them if the concept of developmental instability, measured through either phenodeviants or asymmetry, would reflect an organism-wide process. Yet, we did not find any support for this hypothesis. We argue that the vast differences in the developmental processes involved in both systems renders these two markers of developmental instability unrelated, in spite of their associations with other congenital abnormalities. Our results thus contribute to the growing awareness that developmental instability is not an organism-wide property.

Introduction

Developmental disturbance experienced during early growth can have important consequences for the morphology, behavior, and stress tolerance of an individual later in life (e.g. Roseboom et al. 2001; Fujioka et al. 2006; Weinstock 2008). One type of such developmental disturbances, developmental instability (DI), reflects the inability of an organism to undergo stable development under given environmental and genetic conditions. It is increased by (stress induced) developmental perturbations and/or the lack of efficient mechanisms stabilizing development. Levels of developmental instability (DI) can be assessed in two ways, namely the occurrence of abnormal morphological deviations and subtle differences between left and right of on average bilateral traits, which are on average symmetrical. The idea behind the use of directionally random asymmetry (i.e., fluctuating asymmetry, FA) is that the two sides of an organism represent two replicates of the same developmental process, and any deviation from symmetry is the outcome of random noise and the inability to buffer development against it (e.g., Ludwig, 1932, Van Valen, 1962, Palmer & Strobeck, 1986). The occurrence or frequency of morphological abnormalities – the so-called phenodeviants, a term first coined by Lerner (1954)– were proposed as a measure of DI by Rasmuson (1960). Phenodeviants are less often used as measure of DI and associations with FA are not always present (e.g. Bots et al. (2016)), suggesting that both measures of DI do not necessarily reflect similar aspects of DI.

36   Although FA is commonly used in evolutionary studies to measure DI, to date it remains an unpredictable risk
37   marker in the sense that it does not ubiquitously relate to either environmental or genetic stress (e.g. Møller 1997;
38   Lens et al. 2002; Van Dongen & Gangestad 2011). Since little is known about the factors that influence the strength
39   of stress-DI associations when measured through phenodeviants or FA, it is important to gain insights in the
40   associations between both measures of DI. In addition, when the occurrence or frequency of phenodeviants are used
41   as indicators of DI, all morphological abnormalities are considered as being equally important or severe. This should
42   not be true in all cases. More specifically, changes in vertebral identity along the vertebral column provide a good
43   indicator for the length and extent of disturbed development. The different types of phenodeviance along the
44   vertebral column indicate different levels of experienced stress or perturbations during development. The more
45   boundaries between vertebral regions have been shifted (so called homeotic transformations), the longer the
46   disturbance of axial patterning has lasted and the more congenital abnormalities are found associated in other parts
47   of the body (ten Broek et al. 2012). Moreover, the extent of vertebral column variation indicates the vulnerability of
48   developing mammals more general (Varela-Lasheras et al. 2011; Reumer et al. 2014). Thus, morphological
49   abnormalities of the vertebral column reflect a gradient in severity of phenodeviance, while a vertebral abnormality
50   in itself poses no immediate functional limitations prenatally (see also below), providing an interesting model
51   system to study its relation with FA and gain better insights in the link between the two markers of DI.
52   Formation of the (mesenchymal) vertebral column starts in the fourth week of development with the migration of
53   sclerotome cells, and it is in this embryonic period (until the end of the fifth week) that the identity of the different
54   segments of the vertebral column is being determined (e.g. Sadler 2011). This determination happens as part of the
55   early anterior-posterior patterning of the paraxial mesoderm, mediated by the well-known *Hox*-genes (e.g. Kessel &
56   Gruss 1991; Kmita & Duboule 2003; Woltering & Durston 2008). At this stage of development, the foetus is
57   extremely vulnerable to environmental and genetic insults, and disruption of development may not only result in
58   vertebral column anomalies, but also in other birth defects, because of low effective modularity (Sander 1983; Raff
59   1994; Galis & Metz 2001; ten Broek et al. 2012), as patterning processes of the three body axes and simultaneously
60   occurring morphogenetic processes interact strongly (Diez del Corral et al. 2003; Cordes et al. 2004; Aulehla &
61   Pourquie 2010; Durston et al. 2011). The study for the association between vertebral column variation and limb FA
62   is particularly interesting because limb formation starts by the end of the fourth week (and thus in the same
63   embryonic period) when limb buds become visible as outpocketings from the ventrolateral body walls. However, it
64   is not until the sixth week of development that the hand- and footplates start to form (reviewed in Capdevila &
65   Belmonte 2001; Moore & Persaud 2003; Sadler 2011). Limbs then continue to grow until the stage in which we took
66   our measurements, and thus will likely also reflect an accumulated level of developmental perturbations. More
67   specifically, studying the association between vertebral variations and limb FA will allow us to study to what extent
68   limb FA can be seen as a general/omnibus measure of DI, similar to the variations observed in the vertebral
69   column."
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72   We investigate the relationship between axial patterning abnormalities (here considered as vertebral column
73   variation, through homeotic transformations) and limb FA in human foetuses. We use a hospital collection of human
74   deceased foetuses of the VU University Medical Centre in Amsterdam. We determined axial patterning
75   abnormalities in the vertebral column which can be categorised according to their development (ten Broek et al.
76   2012 and below).
77   While we have shown earlier that FA and vertebral abnormalities relate to – at least some – congenital abnormalities
78   in deceased human foetuses (Van Dongen et al. 2009; Bots et al. 2011; ten Broek et al. 2013), the link between FA
79   and cervical ribs was less clear (Van Dongen et al. 2009).
80   Here we present a more detailed study on the relationship between FA and vertebral column variation focussing on
81   the severity of phenodeviance in a much larger sample. If both limb FA and vertebral column variation reflect
82   individual DI, we predict a higher limb FA in foetuses with vertebral column abnormalities, or at least in some of

83   the abnormalities which are most severe. This increase in limb FA with severity could be either gradual/linear or
84   could follow a threshold type of pattern, both of which will be tested in our analyses. Given the differences in the
85   underlying developmental processes of the vertebrae and limbs, we study the link of DI in two different systems. If
86   our hypothesis is confirmed, this can be seen as evidence that DI can be considered to be an organism-wide concept.
87   If our hypothesis is not supported, this does not refute the whole paradigm that DI could reflect developmental stress
88   and individual quality, but rather suggests that the link between general developmental processes and the asymmetry
89   of particular traits is not general, but restricted to specific processes.

Material & Methods

Subjects

92   Since 1980, all deceased infants and foetuses presented for autopsy at the VU University Medical Centre have been
93   routinely radiographed both ventrally and laterally (23mA, 70–90 kV, 4–12 sec, Agfa [Mortsel, Belgium] Gevaert
94   D7DW Structurix films). This research was carried out on the anterior-posterior projections of 1389 deceased
95   foetuses and infants obtained between 1990 and 2009. Not all babygrams were suitable for analysis, therefore we
96   used the same selection criteria to include foetuses and infants in this research as described in detail in ten Broek et
97   al. (2012) and Bots et al. (2014). In addition, some foetuses were excluded because of missing age information or
98   our inability to measure limb FA (see below). In total, we examined 528 male and 416 female foetuses and infants
99   (13.7 – 92.1 weeks, mean: 27.9 weeks; standard deviation = 9.9 weeks). The babygrams were digitized using a
100   Canon 30D digital camera in a fixed-distance set-up with a glass plate and a flash underneath.

Vertebral Variations

103   We examined the vertebral column of the foetuses for variation in both identity (i.e. cervical, thoracic, lumbar and
104   sacral) and number of vertebrae. When ribs were present on the seventh vertebra, it was considered a transitional
105   cervico-thoracic vertebra. Transverse processes of the seventh cervical vertebra exceeding those of the first thoracic
106   vertebra (also known as apophysomegaly) were considered to be rudimentary cervical ribs fused with the transverse
107   processes (e.g. Pionnier & Depraz 1956; Bots et al. 2011). Ribs on the most caudal or most anterior thoracic vertebra
108   were considered to be rudimentary and the vertebra to be transitional when the ribs were half the size of the
109   preceding or subsequent rib, respectively (ten Broek et al. 2012). We counted the number of vertebrae per vertebral
110   region (i.e. cervical, thoracic, lumbar, and sacral) and classified transitional vertebra as having half the identity of
111   both neighbouring regions, e.g. a transitional cervico-thoracic vertebra was scored as half cervical and half thoracic.
112   Transitional vertebrae on the lumbo-sacral boundary were more difficult to score, because in most individuals the
113   sacral vertebrae were not yet fused with each other or with the ilium. However, shape and position of the vertebrae
114   in the caudal region often provided adequate information, but still the presence of transitional lumbo-sacral
115   vertebrae could have been underestimated.
116   Changes of the vertebral formula were expressed on a severity scale from 0-9 that reflected our estimations of the
117   seriousness of the vertebral anterio-posterior (A-P) patterning disturbances, based on both the A-P position of the
118   changes (i.e. the boundary) and the extent of the changes along the A-P axis [i.e. the number of boundaries, see for a
119   detailed description ten Broek et al. (2012)]. We have scored a regular (R) vertebral column with 7 cervical, 12
120   thoracic and 5 lumbar vertebrae as 0; a change of the number of lumbar vertebrae and, hence of the number of 24
121   presacral vertebrae, without other changes (lumbosacral: LS) as 1, lumbar ribs and absent or rudimentary twelfth
122   ribs (thoracolumbar: TL) as 3, lumbar ribs and absent or rudimentary twelfth ribs with a changed number of
123   presacral vertebrae (TL_LS) as 4, a cervical rib or rudimentary or absent first rib (cervicothoracal: CT) as 6, a
124   cervical rib or rudimentary or absent first rib with a changed number of presacral vertebrae (CT_LS) as 7, a cervical
125   rib or rudimentary or absent first rib with in addition an absent or rudimentary twelfth rib, or lumbar rib (CT_TL) as

126 8 and a cervical rib or rudimentary or absent first rib with an absent or rudimentary twelfth rib, or lumbar rib and
127 with a changed number of presacral vertebrae (CT_TL_LS) as 9 (ten Broek et al. 2012).

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129 Asymmetry Measurements and Measurement Error

130 We measured the length of the left and right femur, fibula, radius, ulna and tibia from the midpoint of the proximal
131 end of the bone to the midpoint of the distal end of the bone. We also measured the left and right second and fourth
132 digits by taking the length from the proximal end of the proximal phalanx to the distal end of the distal phalanx. We
133 excluded all foetuses that had abnormally developed limbs from analyses, because otherwise the possibly higher
134 measured FA could have been an artefact of the abnormalities directly. Four different investigators carried out all
135 measurements without prior knowledge of the autopsy reports. Measurements were made in Image J version 1.42q.
136 The images were spatially calibrated using a ruler that was present in the babygram. Thirty-one foetuses were re-
137 measured independently by all examiners to ensure the accuracy of the measurements. Spearman's correlation tests
138 showed that the left-right differences were highly comparable between the examiners (all $r > 0.30$ and all $P <$
139 0.001). In addition, the entire procedure of positioning and making the babygram was repeated for 147 individuals.
140 A second independent digital photograph was made for 49 individuals and for 30 individuals the digital image was
141 measured twice. These extra procedural steps allowed us to determine measurement error (ME) and directional
142 asymmetry (DA) with a mixed model regression analysis using our measured bone lengths as response variable, side
143 as a continuous covariate and both individual and the side-by-individual interaction as random effects (Van Dongen
144 1999). Measurement error was smaller for all traits than the levels of fluctuating asymmetry and we found no
145 directional asymmetry for all studied traits using F-tests, except for the femur and ulna (see appendix 1 for values of
146 measurement error, DA and FA). We obtained individual and trait specific asymmetry values after correction for
147 DA and ME using the same mixed model regression analyses, as the best linear unbiased predictors (BLUPS) of the
148 random slopes (Van Dongen et al., 1999). The unsigned asymmetry (i.e., the absolute value of these signed
149 asymmetry values from the mixed regression models) correlated significantly with trait size for all traits (all $r > 0.20$
150 and all $P < 0.001$). Therefore we corrected FA measurements by dividing them by trait size, expressing trait-specific
151 FA as a percentage. In a final step, we calculated the average asymmetry of the limbs for each individual. To make
152 sure that each trait contributed an equal amount of information to this average FA, we first standardised each trait-
153 specific asymmetry and then calculated the average across traits. In all analyses below we used this mean
154 standardised FA as measure of individual developmental instability (ten Broek et al. 2013 and Bots et al. 2014).

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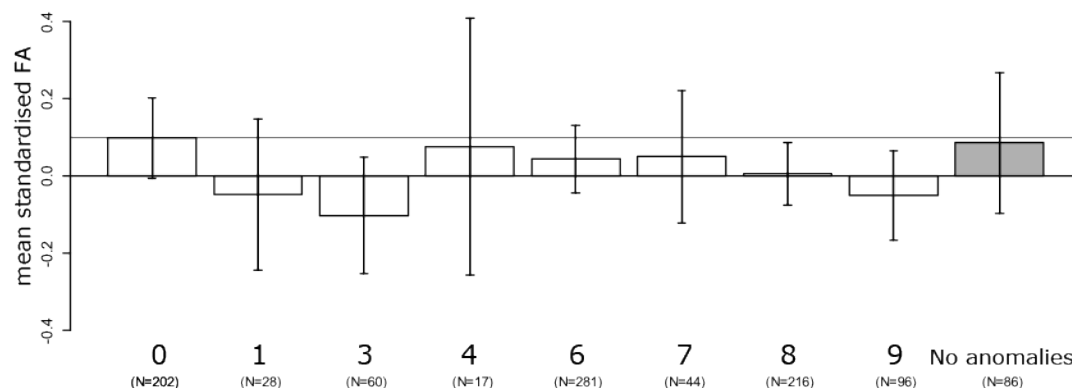
156 Statistical Analyses

157 We used linear models to explore the relationships between variations of the vertebral column and mean
158 standardised FA, where standardised FA was used as dependent variable, and severity of variation of the vertebral
159 column as explanatory variable. We added age (log-transformed) to the model as FA correlates negatively with age
160 (Van Dongen et al. 2009; ten Broek et al. 2013). We controlled for possible effects on FA in cases with deficient
161 amniotic fluid volume by adding the presence or absence of sufficient amniotic fluid volume as factor in the model
162 (ten Broek et al. 2013). Severity of variation in the vertebral column was tested as continuous independent variable
163 (assuming linearity) in one model and in a separate model as a factor (to test for non-linear effects). Average mean
164 standardised FA was plotted for each of the 8 categories. In addition, because foetuses with a regular vertebral
165 column can have other congenital abnormalities we also provide the mean standardised FA of foetuses without any
166 congenital abnormality as a second reference group (but did not use this in any of the linear models). All analyses
167 were performed in R version 3.0.2 (R Core Team 2013).

168

169 **Results**

170 No linear increase of FA with severity of variation in the vertebral column was detected (slope: -0.007 ± 0.007,
171 $F_{1,939} = 1.38$, $P = 0.24$), after correction for age ($F_{1,939} = 2.1$, $P = 0.14$), amniotic fluid volume ($F_{1,939} = 6.9$, $P < 0.01$),

172 and the interaction between age and amniotic fluid volume ($F_{1,939} = 7.3$, $P < 0.01$). In addition, limb FA did not
173 differ between the 8 indices of severity of variation in the vertebral column ($F_{7,933} = 1.03$, P = 0.41). Furthermore,
174 exploring the average asymmetries, foetuses with a regular vertebral column had relatively high FA compared to
175 foetuses with cervical ribs (CT) and additional abnormalities at other boundaries (TL and / or LS) though not
176 statistically significant so (Figure 1). This also suggests that it is unlikely that we have missed a significant higher
177 FA especially in these groups by chance. On average, FA was higher in the group of foetuses with a regular
178 vertebral column even when considering only foetuses without developmental abnormalities (Figure 1).
179

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181
182 Figure 1. **Average fluctuating asymmetry (mean standardised limb FA) in human foetuses and infants for the different groups of**
183 **vertebral variation with increasing indices on the severity scale. 0: Regular vertebral pattern, 1: Changes in the lumbosacral region, 3:**
184 **Changes in the thoracolumbar and lumbosacral region, 6: Changes in the cervicothoracic region, 7: Changes in the cervicothoracic and**
185 **lumbosacral region, 8: Changes in the cervicothoracic and thoracolumbar region, 9: Changes on all three boundaries of the vertebral**
186 **column. For comparison, the horizontal line represents the control group (Regular). In addition, the last (grey) bar represents the**
187 **average for all foetuses without vertebral abnormalities and no other major abnormalities. There were no significant differences among**
188 **the different groups (see text).**
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Discussion

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194 We tested for an association between two markers of developmental instability (DI) in human foetuses, by studying
195 associations between phenodeviance of the vertebral column and limb fluctuating asymmetry (FA). Unlike in other
196 studies, we were able to score the severity of the abnormalities of the vertebral column on the basis of their
197 associations with other congenital abnormalities (see ten Broek et al. 2012 for details). Therefore, if both
198 phenodeviance and FA would measure individual DI, we not only predicted higher limb FA in foetuses with
199 abnormalities in the vertebral column, but also an increase in FA with severity of these abnormalities. However, no
200 such differences or association were detected, indicating that our two measures of DI – albeit both being related to
201 other congenital abnormalities – reflect different aspects of developmental perturbations. We argue that both the
202 differential timing of limb development and the patterning of the vertebral column possibly are involved. Growth
203 and differentiation during later development may well be more relevant for FA than the early patterning processes.
204 Continued growth during the pregnancy and accumulation of effects of different sequential perturbations may blur

205 relationships with specific disturbed developmental events earlier in life, in spite of the fact that the early
206 perturbations have long lasting effects on other developmental processes like the vertebral variations do.
207 Furthermore, the amount of buffering and possibilities for stabilising mechanisms to act may differ considerably.
208 With respect to the vertebral axis, the identity of each element remains fixed after the anterior-posterior patterning of
209 the paraxial mesoderm, while the continuous growth of limbs would allow to stabilise early asymmetric
210 development at later stages. In sum, the development of the vertebral column and that of the limbs differ in so many
211 aspects, with respect to timing, tissues and genes involved, that if developmental perturbations occur in either one of
212 them, they do not seem to be interconnected genetically or developmentally. Limb FA and vertebral variations in
213 human foetuses, thus, likely reflect developmental disturbances and are both positively correlated with the presence
214 of some congenital abnormalities, yet, do not signal individual wide DI. Our results thus contribute to the growing
215 knowledge based on both empirical and theoretical work (for example recently reviewed in Klingenberg 2015) that
216 developmental perturbations in different developmental systems, are not generally connected, or at least not in this
217 case.

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220 of the VU University Medical Centre (VUMC Amsterdam) for the high-quality radiographs.

Appendix 1

Trait	FA	ME-Radio	ME-Digit.	ME-Meas.	test for DA	DA p-value
Digit 2	0.60	0.35	0.00	0.00	X^2=0.93	0.3361
Digit 4	1.52	1.41	0.00	0.03	X^2=0.74	0.3885
Femur	2.18	0.80	0.10	0.19	X^2=39.0	<0.001
Fibula	2.75	1.16	0.05	0.10	X^2=2.96	0.09
Radius	1.17	0.51	0.29	0.14	X^2=2.97	0.09
Tibia	4.95	0.36	0.08	0.08	X^2=0.00	0.99
Ulna	1.43	0.71	0.00	0.24	X^2=4.03	0.04

Appendix 1. Overview of levels of measurement error. Measurement errors are the result of positioning the foetus, making the radiograph (ME-Radio), digitizing the radiograph (ME-Digitizing) and measuring on a single radiograph (ME-measurement) and are relative to FA and levels of directional asymmetry (DA) in the different limb bones of the fetuses. The variance components were multiplied by 1000.

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