No relationship between vertebral column shifts and limb fluctuating asymmetry in human foetuses

Clara MA ten Broek Corresp., [1] , **Jessica Bots** [2] , **Marianna Bugiani** [3] , **Frietson Galis** [1] , **Stefan Van Dongen** Corresp. [4]

[1] Naturalis Biodiversity Center, Terrestrial Zoology, Leiden, Netherlands

[2] Institute of Environment & Sustainable Development, Universiteit Antwerpen, Belgium

[3] VU Medical Center, Amsterdam, Netherlands

[4] Department of Evolutionary Ecology, Universiteit Antwerpen, Antwerp, Belgium

Corresponding Authors: Clara MA ten Broek, Stefan Van Dongen
Email address: cma.ten.broek@gmail.com, Stefan.vandongen@uantwerpen.be

Disturbance from the normal developmental trajectory of a trait during growth – the so-called developmental instability – can be observed morphologically through phenodeviants and subtle deviations from perfect symmetry (fluctuating asymmetry). This study investigates the relationship between phenodeviance in the human vertebral column (as a result of axial patterning defects) and limb fluctuating asymmetry. Since both types of markers of developmental instability have been found associated with congenital abnormalities in humans, we anticipate a relationship between them. Surprisingly, we neither detect a positive relationship between both, nor did we find significant differences in limb fluctuating asymmetry between groups of foetuses differing in the severity of vertebral abnormalities. We argue that the differential timing of relevant processes for limb and vertebral column development renders these two markers of developmental instability unrelated, in spite of their associations with other congenital abnormalities.

No relationship between vertebral column shifts and limb fluctuating asymmetry in human foetuses

Clara MA ten Broek [1], Jessica Bots [2], Marianna Bugiani [3], Frietson Galis [1], Stefan Van Dongen [4]

[1] Naturalis Biodiversity Center, Terrestrial Zoology, Leiden, Netherlands

[2] Institute of Environment & Sustainable Development, Universiteit Antwerpen, Antwerp, Belgium

[3] VU Medical Center, Amsterdam, Netherlands

[4] Department of Evolutionary Ecology, Universiteit Antwerpen, Antwerp, Belgium

Abstract

Disturbance from the normal developmental trajectory of a trait during growth – the so-called developmental instability – can be observed morphologically through phenodeviants and subtle deviations from perfect symmetry (fluctuating asymmetry). This study investigates the relationship between phenodeviance in the human vertebral column (as a result of axial patterning defects) and limb fluctuating asymmetry. Since both types of markers of developmental instability have been found associated with congenital abnormalities in humans, we anticipate a relationship between them. Surprisingly, we neither detect a positive relationship between both, nor did we find significant differences in limb fluctuating asymmetry between groups of foetuses differing in the severity of vertebral abnormalities. We argue that the differential timing of relevant processes for limb and vertebral column development renders these two markers of developmental instability unrelated, in spite of their associations with other congenital abnormalities.

Introduction

Developmental disturbance experienced during early growth can have important consequences for the morphology, behavior, and stress tolerance of an individual later in life (e.g. Roseboom et al. 2001; Fujioka et al. 2006; Weinstock 2008). Developmental instability (DI) reflects the inability of an organism to undergo stable development under given environmental and genetic conditions. It is increased by (stress induced) developmental disturbances and perturbations and/or the lack of efficient mechanisms stabilizing development. Levels of developmental instability (DI) can be assessed in two ways, namely the occurrence of abnormal morphological deviations and subtle differences between left and right of on average bilaterally symmetric traits. The idea behind the use of directionally random asymmetry (i.e., fluctuating asymmetry, FA) is that the two sides of an organism represent two replicates of the same developmental process, and any deviation from symmetry is the outcome of random noise and the inability to buffer development against it (e.g., Ludwig, 1932, Van Valen, 1962, Palmer & Strobeck, 1986). The occurrence or frequency of morphological abnormalities – the so-called phenodeviants, a term first coined by Lerner (1954)– were proposed as a measure of DI by Rasmuson (1960). Phenodeviants are less often

used as measure of DI and associations with FA are not always present (e.g. Bots et al. (2016)), suggesting that both measures of DI do not necessarily reflect similar aspects of DI. Although FA is commonly used in evolutionary studies to measure DI, to date it remains an unpredictable risk marker in the sense that it does not ubiquitously relates to environmental or genetic stress either (e.g. Møller 1997; Lens et al. 2002; Van Dongen & Gangestad 2011). Since little is known about the factors that influence the strength of stress-DI associations when measured through phenodeviants or FA, it is important to gain insights in the associations between both measures of DI In addition, when the occurrence or frequency of phenodeviants are used as indicator of DI, all morphological abnormalities are considered as being equally important or severe. This should not be true in all cases more specifically in the presence of variation in vertebral identity along the vertebral column provides a good indicator for the length and extent of disturbed development. The more boundaries between vertebral regions have been shifted (homeotic transformations), the longer the disturbance of axial patterning has lasted and the more congenital abnormalities are found associated in other parts of the body (ten Broek et al. 2012). Moreover, the extent of vertebral column variation indicates the vulnerability of developing mammals more in general (Varela-Lasheras et al. 2011; Reumer et al. 2014). Thus, morphological abnormalities of the vertebral column reflect a gradient in severity of phenodeviance, providing an interesting model system to study its relation with FA and gain better insights in the link between the two markers of DI. We investigate the relationship between axial patterning abnormalities (here considered as vertebral column variation, through homeotic transformations) and limb FA in human foetuses. We use a hospital collection of human deceased foetuses of the VU University Medical Centre in Amsterdam. We determined axial patterning abnormalities in the vertebral column which can be categorised according to their development (ten Broek et al. 2012) and below.

While we have shown earlier that FA and vertebral abnormalities relate to – at least some – congenital abnormalities in deceased human foetuses (Van Dongen et al. 2009; Bots et al. 2011; ten Broek et al. 2013), the link between FA and cervical ribs was less clear (Van Dongen et al. 2009).

Here we present a more detailed study on the relationship between FA and vertebral column variation focussing on the severity of phenodeviance in a much larger sample. If both limb FA and vertebral column variation reflect individual DI, we predict a higher limb FA in foetuses with vertebral column abnormalities, or at least in some of the abnormalities which are most severe. This increase in limb FA with severity could be either gradual/linear or could follow a threshold type of pattern, both of which will be tested in our analyses.

Material & Methods

Subjects

Since 1980, all deceased infants and foetuses presented for autopsy at the VU University Medical Centre have been routinely radiographed both ventrally and laterally (23mA, 70–90 kV, 4–12 sec, Agfa [Mortsel, Belgium] Gevaert D7DW Structurix films). This research was carried out on the anterior-posterior projections of 1389 deceased foetuses and infants obtained between 1990 and 2009. Not all babygrams were suitable for analysis, therefore we used the same selection criteria to include foetuses and infants in this research as described in detail in ten Broek et al. (2012); Bots et al. (2014). In addition, some foetuses were excluded because of missing age information or our inability to measure limb FA (see below). In total, we examined 528 male and 416 female foetuses and infants (13.7 – 92.1 weeks, mean: 27.9 weeks; standard deviation = 9.9 weeks). The babygrams were digitized using a Canon 30D digital camera in a fixed-distance set-up with a glass plate and a flash underneath.

Vertebral Variations

We examined the vertebral column of the foetuses for variation in both identity (i.e. cervical, thoracic, lumbar and sacral) and number of vertebrae. When ribs were present on the seventh vertebra, it was considered a transitional cervico-thoracic vertebra. Transverse processes of the seventh cervical vertebra exceeding those of the first thoracic

vertebra (also known as apophysomegaly) were considered to be rudimentary cervical ribs fused with the transverse processes (e.g. Pionnier & Depraz 1956; Bots et al. 2011). Ribs on the most caudal or most anterior thoracic vertebra were considered to be rudimentary and the vertebra to be transitional when the ribs were half the size of the preceding or subsequent rib, respectively (ten Broek et al. 2012). We counted the number of vertebrae per vertebral region (i.e. cervical, thoracic, lumbar, and sacral) and classified transitional vertebra as having half the identity of both neighbouring regions, e.g. a transitional cervico-thoracic vertebra was scored as half cervical and half thoracic. Transitional vertebrae on the lumbo-sacral boundary were more difficult to score, because in most individuals the sacral vertebrae were not yet fused with each other or with the ilium. However, shape and position of the vertebrae in the caudal region often provided adequate information, but still the presence of transitional lumbo-sacral vertebrae could have been underestimated.

Changes of the vertebral formula were expressed on a severity scale from 0-9 that reflected our estimations of the seriousness of the vertebral anterio-posterior (A-P) patterning disturbances, based on both the A-P position of the changes (i.e. the boundary) and the extent of the changes along the A-P axis (i.e. the number of boundaries, see for a detailed descriptionten Broek et al. (2012)). We have scored a regular (R) vertebral column with 7 cervical, 12 thoracic and 5 lumbar vertebrae as 0; a change of the number of lumbar vertebrae and, hence of the number of 24 presacral vertebrae, without other changes (lumbosacral: LS) as 1, lumbar ribs and absent or rudimentary twelfth ribs (thoracolumbar: TL) as 3, lumbar ribs and absent or rudimentary twelfth ribs with a changed number of presacral vertebrae (TL_LS) as 4, a cervical rib or rudimentary or absent first rib (cervicothoracal: CT) as 6, a cervical rib or rudimentary or absent first rib with a changed number of presacral vertebrae (CT_LS) as 7, a cervical rib or rudimentary or absent first rib with in addition an absent or rudimentary twelfth rib, or lumbar rib (CT_TL) as 8 and a cervical rib or rudimentary or absent first rib with an absent or rudimentary twelfth rib, or lumbar rib and with a changed number of presacral vertebrae (CT_TL_LS) as 9, see for further details ten Broek et al. (2012).

Asymmetry Measurements and Measurement Error

We measured the length of the left and right femur, fibula, radius, ulna and tibia from the midpoint of the proximal end of the bone to the midpoint of the distal end of the bone. We also measured the left and right second and fourth digits by taking the length from the proximal end of the proximal phalanx to the distal end of the distal phalanx. We excluded all foetuses that had abnormally developed limbs from analyses, because otherwise the possibly higher measured FA could have been an artefact of the abnormalities directly. Four different investigators carried out all measurements without prior knowledge of the autopsy reports. Measurements were made in Image J version 1.42q. The images were spatially calibrated using a ruler that was present in the babygram. Thirty-one foetuses were re-measured independently by all examiners to ensure the accuracy of the measurements. Spearman's correlation tests showed that the left-right differences were highly comparable between the examiners (all $r > 0.30$ and all $P < 0.001$). In addition, the entire procedure of positioning and making the babygram was repeated for 147 individuals. A second independent digital photograph was made for 49 individuals and for 30 individuals the digital image was measured twice. These extra procedural steps allowed us to determine measurement error (ME) and directional asymmetry (DA) with a mixed model regression analysis (Van Dongen 1999). Measurement error was smaller for all traits than the levels of fluctuating asymmetry and we found no directional asymmetry for all studied traits using F-tests, except for the femur and ulna. We obtained individual and trait specific asymmetry values after correction for DA and ME using the same mixed model regression analysis. The unsigned asymmetry correlated significantly with trait size for all traits (all $r > 0.20$ and all $P < 0.001$). Therefore we corrected FA measurements by dividing them by trait size, expressing FA as a percentage. Before averaging trait-specific size corrected FA's, we first standardised each to make sure that each trait influenced the average measure of individual DI equally. In all analyses below we used this mean standardised FA as measure of individual developmental instability, See for further details in ten Broek et al. (2013) and Bots et al. (2014).

Statistical Analyses

To explore the relationships between variations of the vertebral column on the severity scale on the one hand and mean standardised FA, we used linear models. Mean standardised FA was used as dependent variable, and severity of variation of the vertebral column as explanatory variable. We added age (log-transformed) to the model as FA correlates negatively with age (Van Dongen et al. 2009; ten Broek et al. 2013). We controlled for possible effects on FA in cases with deficient amniotic fluid volume by adding the presence or absence of sufficient amniotic fluid volume as factor in the model (ten Broek et al. 2013). Severity of variation in the vertebral column was tested as continuous independent variable (assuming linearity) and in a separate model as factor (non-linear effects) Average mean standardised FA was plotted for each of the 8 categories. In addition, because foetuses with a regular vertebral column can have other congenital abnormalities we also provide the mean standardised FA of foetuses without any congenital abnormality as a second reference group (but did not use this in any of the linear models). All analyses were performed in R version 3.0.2 (R Core Team 2013).

Results

No linear increase of FA with severity of variation in the vertebral column was detected (slope:-0.007 ± 0.007, $F_{1,939} = 1.38$, $P = 0.24$), after correction for age ($F_{1,939} = 2.1$, $P = 0.14$), amniotic fluid volume ($F_{1,939} = 6.9$, $P < 0.01$), and the interaction between age and amniotic fluid volume ($F_{1,939} = 7.3$, $P < 0.01$). In addition, limb FA did not differ between the 8 indices of severity of variation in the vertebral column ($F_{7,933} = 1.03$, $P = 0.41$). Furthermore, exploring the average asymmetries, foetuses with a regular vertebral column had relatively high FA compared to foetuses with cervical ribs (CT) and additional abnormalities at other boundaries (TL and / or LS) though not statistically significant so (Figure 1). This also suggests that it is unlikely that we have missed a significant higher FA especially in these groups by chance. On average, FA was higher in the group of foetuses with a regular vertebral column even so when considering only foetuses without developmental abnormalities (Figure 1).

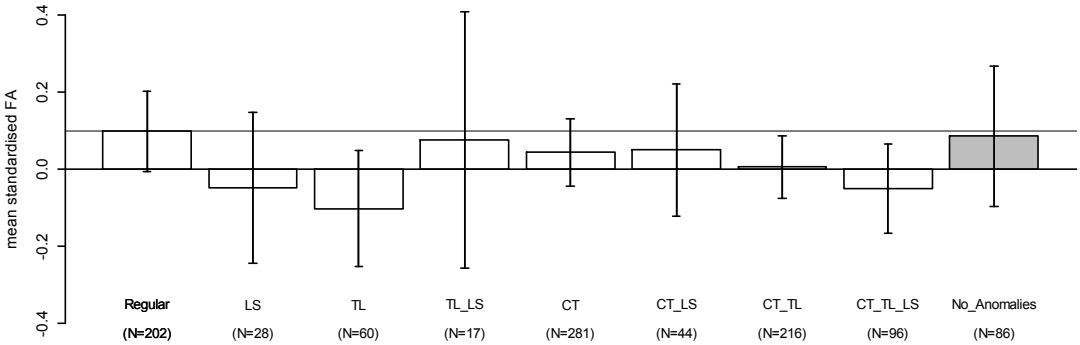

Figure 1. **Average fluctuating asymmetry (mean standardised FA) in human foetuses and infants for the different groups of vertebral variation. For comparison, the horizontal line represents the control group (Regular). In addition, the last (grey) bar represents the average for all foetuses without vertebral abnormalities and no other major abnormalities. There were no significant differences among the different groups (see text).**

Discussion

We tested for an association between two markers of developmental instability (DI) in human foetuses, by studying associations between phenodeviance of the vertebral column and limb fluctuating asymmetry (FA). Unlike in other studies, we were able to score the severity of the abnormalities of the vertebral column on the basis of their associations with other congenital abnormalities (see ten Broek et al. 2012 for details). Therefore – if both phenodeviance and FA would measure individual DI, we not only predicted higher limb FA in foetuses with abnormalities in the vertebral column, but also an increase in FA with severity of these abnormalities. However, no such differences or association were detected, indicating that our two measures of DI – albeit both being related to other congenital abnormalities – reflect different aspects of developmental perturbations. We argue that both the differential timing of limb development and the patterning of the vertebral column possibly are involved. Formation of the (mesenchymal) vertebral column starts in the fourth week of development with the migration of sclerotomes, and it is in this embryonic period that the identity of the different segments of the vertebral column is being determined (e.g. Sadler 2011). This determination happens as part of the early anterior-posterior patterning of the paraxial mesoderm, mediated by the well-known *Hox*-genes (e.g. Kessel & Gruss 1991; Kmita & Duboule 2003; Woltering & Durston 2008). At this stage of development, the foetus is extremely vulnerable to environmental and genetic insults, and disruption of development may not only result in vertebral column variation, but also in other birth defects, because of low effective modularity (Sander 1983; Raff 1994; Galis & Metz 2001; ten Broek et al. 2012), as patterning processes of the three body axes and simultaneously occurring morphogenetic processes interact strongly (Diez del Corral et al. 2003; Cordes et al. 2004; Aulehla & Pourquie 2010; Durston et al. 2011). Limb formation also starts at the end of the fourth week of development as limb buds become visible as outpocketings from the ventrolateral body walls, but it is not until the sixth week of development that the hand- and footplates start

to form (reviewed in Capdevila & Belmonte 2001; Moore & Persaud 2003; Sadler 2011), and continue to grow until the stage in which we took our measurements. Growth and differentiation during later development may well be more relevant for FA than the early patterning processes. Continued growth during the pregnancy and accumulation of effects of different sequential perturbations may blur relationships with specific disturbed developmental events earlier in life. Furthermore, the amount of buffering and possibilities for stabilising mechanisms to act, may differ considerably. With respect to the vertebral axis, the identity of each element remains fixed after the anterior-posterior patterning of the paraxial mesoderm, while the continuous growth of limbs would allow to stabilise early asymmetric development at later stages. Limb FA and vertebral variations in human foetuses, thus, likely both reflect developmental disturbances and are both positively correlated with the presence of some congenital abnormalities, yet, do not signal individual wide DI. Our results thus stress that developmental perturbations in different developmental systems, are not generally connected such that at least in this case. Both measures of DI are required to gain insights in developmental disturbances.

Acknowledgements

We are grateful to Jaap van Veldhuisen and Ron Otsen of the photography division of the Department of Pathology of the VU University Medical Centre (VUMC Amsterdam) for the high-quality radiographs.

Aulehla A, and Pourquie O (2010) Signaling gradients during paraxial mesoderm development. *Cold Spring Harb Perspect Biol* 2:a000869.

Bots J, Breno M, De Schaepdrijver L, and Van Dongen S (2016) Maternal Stress Affects Fetal Growth but Not Developmental Instability in Rabbits. *Symmetry* 8:101.

Bots J, ten Broek CMA, Belien JAM, Bugiani M, Galis F, and Van Dongen S (2014) Higher limb asymmetry in deceased human fetuses and infants with aneuploidy. *Scientific reports* 4.

Bots J, Wijnaendts LC, Delen S, Van Dongen S, Heikinheimo K, and Galis F (2011) Analysis of cervical ribs in a series of human fetuses. *J Anat* 219:403-409.

Capdevila J, and Belmonte JCI (2001) Patterning mechanisms controlling vertebrate limb development. *Annual Review of Cell and Developmental Biology* 17:87-132.

Cordes R, Schuster-Gossler K, Serth K, and Gossler A (2004) Specification of vertebral identity is coupled to Notch signalling and the segmentation clock. *Development* 131:1221-1233.

Diez del Corral R, Olivera-Martinez I, Goriely A, Gale E, Maden M, and Storey K (2003) Opposing FGF and retinoid pathways control ventral neural pattern, neuronal differentiation, and segmentation during body axis extension. *Neuron* 40:65-79.

Durston AJ, Jansen HJ, In der Rieden P, and Hooiveld MH (2011) Hox collinearity - a new perspective. *Int J Dev Biol* 55:899-908.

Fujioka A, Fujioka T, Ishida Y, Maekawa T, and Nakamura S (2006) Differential effects of prenatal stress on the morphological maturation of hippocampal neurons. *Neuroscience* 141:907-915.

Galis F, and Metz JAJ (2001) Testing the vulnerability of the phylotypic stage: On modularity and evolutionary conservation. *Journal of Experimental Zoology* 291:195-204.

Kessel M, and Gruss P (1991) Homeotic transformations of murine vertebrae and concomitant alteration of Hox codes induced by retinoic acid. *Cell* 67:89-104.

Kmita M, and Duboule D (2003) Organizing axes in time and space; 25 years of colinear tinkering. *Science* 301:331-333.

Lens L, Van Dongen S, Kark S, and Matthysen E (2002) Fluctuating asymmetry as an indicator of fitness: can we bridge the gap between studies? *Biological Reviews* 77:27-38.

Lerner IM (1954) *Genetic homeostasis*. New York: Wiley.

Møller AP (1997) Developmental stability and fitness: a review. *The American Naturalist* 149:916-932.

Moore KL, and Persaud T (2003) *The developing human: clinically oriented embryology*: Saunders.

229 Pionnier R, and Depraz A (1956) Congenital rib abnormalities; statistical study of 10,000 radiographs. *Radiol Clin*
230 25:170-186.
231 R Core Team (2013) R: A Language and Environment for Statistical Computing.
232 Raff R (1994) Developmental mechanisms in the evolution of animal form: origins and evolvability of body plans.
233 *Early Life on Earth* 84:489-500.
234 Rasmuson M (1960) Frequency of morphological deviants as a criterion of developmental stability. *Hereditas*
235 46:511-535.
236 Reumer JWF, ten Broek CMA, and Galis F (2014) Extraordinary incidence of cervical ribs indicates vulnerable
237 condition in Late Pleistocene mammoths. *PeerJ*:e318.
238 Roseboom TJ, Van Der Meulen JH, Ravelli AC, Osmond C, Barker DJ, and Bleker OP (2001) Effects of prenatal
239 exposure to the Dutch famine on adult disease in later life: an overview. *Molecular and cellular*
240 *endocrinology* 185:93-98.
241 Sadler TW (2011) *Langman's medical embryology*: Lippincott Williams & Wilkins.
242 Sander K (1983) The evolution of patterning mechanisms: gleanings from insect embryogenesis and
243 spermatogenesis. In: Goodwin BC, Holder N, and Wylie CC, eds. *Evolution and Development* Cambridge:
244 Cambridge University Press, 137-159.
245 ten Broek CMA, Bakker AJ, Varela-Lasheras I, Bugiani M, Van Dongen S, and Galis F (2012) Evo-Devo of the
246 Human Vertebral Column: On Homeotic Transformations, Pathologies and Prenatal Selection.
247 *Evolutionary biology* 39:456-471.
248 ten Broek CMA, Bots J, Varela-Lasheras I, Bugiani M, Galis F, and Van Dongen S (2013) Amniotic fluid
249 deficiency and congenital abnormalities both influence fluctuating asymmetry in developing limbs of
250 human deceased fetuses. *PloS one* 8:e81824.
251 Van Dongen S (1999) The statistical analysis of fluctuating asymmetry: REML estimation of a mixed regression
252 model. *Journal of Evolutionary Biology* 12:94-102.
253 Van Dongen S, and Gangestad SW (2011) Human fluctuating asymmetry in relation to health and quality: a meta-
254 analysis. *Evolution and Human Behavior* 32:380-398.
255 Van Dongen S, Wijnaendts LCD, ten Broek CMA, and Galis F (2009) Fluctuating asymmetry does not consistently
256 reflect severe developmental disorders in human fetuses. *Evolution* 63:1832-1844.
257 Varela-Lasheras I, Bakker A, van der Mije S, Metz J, van Alphen J, and Galis F (2011) Breaking evolutionary and
258 pleiotropic constraints in mammals: on sloths, manatees and homeotic mutations. *EvoDevo* 2:11.
259 Weinstock M (2008) The long-term behavioural consequences of prenatal stress. *Neuroscience and Biobehavioral*
260 *Reviews* 32:1073-1086.
261 Woltering JM, and Durston AJ (2008) MiR-10 represses HoxB1a and HoxB3a in zebrafish. *PloS one* 3:e1396.
262
263
264
265