

A mixed methods study of multiple health behaviors among stroke survivors

Matthew Plow^{Corresp., 1}, Shirley M Moore¹, Martha Sajatovic², Irene Katzan³

¹ School of Nursing, Case Western Reserve University, Cleveland, Ohio, United States

² Department of Psychiatry, Case Western Reserve University, Cleveland, Ohio, United States

³ Neurological Institute, Cleveland Clinic, Cleveland, Ohio, United States

Corresponding Author: Matthew Plow

Email address: map208@case.edu

Background. Stroke survivors often have multiple cardiovascular risk factors that necessitate promoting engagement in multiple healthy behaviors. However, observational studies of stroke survivors have typically focused on promoting a single health behavior. Thus, there is a poor understanding of linkages between healthy behaviors and the circumstances in which factors, such as stroke impairments, may influence a single or multiple health behaviors. **Methods.** We conducted a mixed methods convergent parallel study of 25 stroke survivors to examine the relationships between stroke impairments and physical activity, sleep, and nutrition. Our goal was to gain further insight into possible strategies to promote multiple health behaviors among stroke survivors. This study focused on physical activity, sleep, and nutrition because of their importance in achieving energy balance, maintaining a healthy weight, and reducing cardiovascular risks. Qualitative and quantitative data were collected concurrently, with the former being prioritized over the latter in order to develop a conceptual model of multiple health behaviors. Qualitative and quantitative data were analyzed independently and then were integrated during the inference stage to identify meta-inferences. The 25 stroke survivors completed closed-ended questionnaires on healthy behaviors and physical function. They also participated in face-to-face focus groups and one-to-one phone interviews. **Results.** We found statistically significant and moderate correlations between hand function and healthy eating habits ($r = 0.45$), sleep disturbances and limitations in activities of daily living ($r = -0.55$), BMI and limitations in activities of daily living ($r = -0.49$), physical activity and limitations in activities of daily living ($r = 0.41$), mobility impairments and BMI ($r = -0.41$), sleep disturbances and physical activity ($r = -0.48$), sleep disturbances and BMI ($r = 0.48$), and physical activity and BMI ($r = -0.45$). We identified five qualitative themes: (1) Impairments: reduced autonomy, (2) Environmental forces: caregivers and information, (3) Re-evaluation: priorities and attributions, (4) Resiliency: finding motivation and solutions,

and (5) Negative affectivity: stress and self-consciousness. Three meta-inferences and a conceptual model described circumstances in which factors could influence single or multiple health behaviors. **Discussion.** This is the first mixed methods study of stroke survivors to elaborate on relationships between multiple health behaviors, BMI, and physical function. A conceptual model illustrates addressing sleep disturbances, activity limitations, self-image, and emotions to promote multiple health behaviors. We discuss the relevance of the meta-inferences in designing multiple behavior change interventions for stroke survivors.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23

A mixed methods study of multiple health behaviors among stroke survivors

1) Matthew Plow, PhD (corresponding author): Assistant Professor; Frances Payne Bolton School of Nursing Case Western Reserve University; 10900 Euclid Avenue, Frances Payne Bolton School of Nursing, Cleveland, OH, USA Email: map208@case.edu

2) Shirley M. Moore, PhD, RN: Edward and Louise Mellen Professor of Nursing and Associate Dean for Research; Frances Payne Bolton School of Nursing, Case Western Reserve University, 10900 Euclid Ave, Cleveland, OH 44106; USA; Email: Shirely.moore@case.edu

3) Martha Sajatovic, MD: Director, Neurological and Behavioral Outcomes Center, Department of Psychiatry, Case Western Reserve University School of Medicine, 2085 Adelbert Rd, Cleveland, OH 44106, USA; Email: Martha.Sajatovic@UHhospitals.org

4) Irene Katzan, MD: Director, Neurological Institute Center for Outcomes Research and Evaluation, Cleveland Clinic, Cleveland, OH 44195, USA; E-mail: katzani@ccf.org

24

Abstract

25

26

27

28

29

Background. Stroke survivors often have multiple cardiovascular risk factors that necessitate promoting engagement in multiple healthy behaviors. However, observational studies of stroke survivors have typically focused on promoting a single health behavior. Thus, there is a poor understanding of linkages between healthy behaviors and the circumstances in which factors, such as stroke impairments, may influence a single or multiple health behaviors.

30

31

32

33

34

35

36

37

38

39

40

Methods. We conducted a mixed methods convergent parallel study of 25 stroke survivors to examine the relationships between stroke impairments and physical activity, sleep, and nutrition. Our goal was to gain further insight into possible strategies to promote multiple health behaviors among stroke survivors. This study focused on physical activity, sleep, and nutrition because of their importance in achieving energy balance, maintaining a healthy weight, and reducing cardiovascular risks. Qualitative and quantitative data were collected concurrently, with the former being prioritized over the latter in order to develop a conceptual model of multiple health behaviors. Qualitative and quantitative data were analyzed independently and then were integrated during the inference stage to identify meta-inferences. The 25 stroke survivors completed closed-ended questionnaires on healthy behaviors and physical function. They also participated in face-to-face focus groups and one-to-one phone interviews.

41

42

43

44

45

46

Results. We found statistically significant and moderate correlations between hand function and healthy eating habits ($r = 0.45$), sleep disturbances and limitations in activities of daily living ($r = -0.55$), BMI and limitations in activities of daily living ($r = -0.49$), physical activity and limitations in activities of daily living ($r = 0.41$), mobility impairments and BMI ($r = -0.41$), sleep disturbances and physical activity ($r = -0.48$), sleep disturbances and BMI ($r = 0.48$), and physical activity and BMI ($r = -0.45$). We identified five qualitative themes: (1)

47 Impairments: reduced autonomy, (2) Environmental forces: caregivers and information, (3) Re-
48 evaluation: priorities and attributions, (4) Resiliency: finding motivation and solutions, and (5)
49 Negative affectivity: stress and self-consciousness. Three meta-inferences and a conceptual
50 model described circumstances in which factors could influence single or multiple health
51 behaviors.

52 **Discussion.** This is the first mixed methods study of stroke survivors to elaborate on
53 relationships between multiple health behaviors, BMI, and physical function. A conceptual
54 model illustrates addressing sleep disturbances, activity limitations, self-image, and emotions to
55 promote multiple health behaviors. We discuss the relevance of the meta-inferences in designing
56 multiple behavior change interventions for stroke survivors.

57

58

59 *Keywords:* stroke, multiple health behaviors, sleep, nutrition, physical activity, secondary
60 prevention

61

62

63

64

65

66

67

68

69

70

71 Approximately 800,000 people in the United States have a stroke each year (Mozaffarian
72 et al. 2015). Stroke survivors are at a significant risk for experiencing a secondary stroke and
73 often have multiple cardiovascular risks factors, such as obesity, hypertension, sleep apnea, and
74 dyslipidemia (Kernan et al. 2014). Reducing these risks requires the engagement in multiple
75 health behaviors (Hackam & Spence 2007). Accordingly, several studies of stroke survivors have
76 evaluated secondary prevention interventions. However, these interventions have largely been
77 ineffective or inconclusive in changing multiple behaviors and reducing cardiovascular risks
78 (Lager et al. 2014). Clearly, fundamental questions remain regarding the best ways to promote
79 multiple health behaviors that reduce risks. For example, is it more effective to reduce risks by
80 targeting change in multiple behaviors sequentially or simultaneously?

81 Observational studies of stroke survivors have typically focused on a single health
82 behavior (Lager et al. 2014). Quantitative studies have identified factors associated with physical
83 activity (Field et al. 2013), medication adherence (O’Carroll et al. 2014), and caregiver
84 interactions (Mackenzie & Greenwood 2012). Similarly, qualitative studies have described the
85 facilitators for and barriers to engaging in a single health behavior (Chambers et al. 2011;
86 Damush et al. 2007). These studies have documented the negative influence of stroke
87 impairments on behavioral outcomes, such as exercise (Damush et al. 2007; Field et al. 2013)
88 and medication adherence (Chambers et al. 2011; O’Carroll et al. 2014).

89 However, few studies have addressed questions pertinent to promoting multiple
90 behaviors. For example, rarely are there attempts to understand the linkages between healthy
91 behaviors or how perceptions about one behavior may shape perceptions about another behavior.
92 No studies have examined the circumstances in which stroke impairments may impede the
93 engagement in single or multiple health behaviors. Furthermore, no studies of stroke survivors

94 have focused on promoting healthy eating and sleeping habits, two behaviors that may be
95 interrelated with physical activity to reduce cardiovascular risks in stroke survivors (Grandner et
96 al. 2011; Mozaffarian et al. 2011). Understanding the linkages between stroke impairments and
97 healthy behaviors, such as physical activity, sleep, and nutrition, will be important in reducing
98 cardiovascular risks among stroke survivors (Grandner et al. 2011; Mozaffarian et al. 2011).

99 In order to understand the complexities of engaging in multiple health behaviors while
100 coping with stroke impairments, we conducted a mixed methods study of 25 stroke survivors. A
101 mixed methods design or the collection and integration of qualitative and quantitative data is
102 particularly relevant to understanding how to promote multiple health behaviors because of the
103 need to determine both the extent and the circumstances in which behaviors are interrelated.
104 Specifically, we implemented a convergent parallel design in which 25 stroke survivors
105 completed closed-ended questionnaires and participated in face-to-face focus groups and one-to-
106 one phone interviews. We decided to focus on physical activity, sleep, and nutrition because of
107 their importance in achieving energy balance, maintaining a healthy weight, and reducing
108 cardiovascular risks (Grandner et al. 2011; Mozaffarian et al. 2011).

109 The three purposes of this study are as follows: 1) to examine the relationships between
110 stroke impairments and physical activity, sleep, and nutrition; 2) to examine the relationships
111 between physical activity, sleep, and nutrition; 3) to gain further insight into possible strategies
112 to promote multiple health behaviors among stroke survivors. Each purpose was accomplished
113 by employing both qualitative and quantitative methods that were analyzed independently and
114 then were integrated during the inference stage to identify meta-inferences. The meta-
115 inferences were developed by examining areas of convergence and divergence in the

116 qualitative and quantitative results, with guidance from Noar, Chabot, and Zimmerman's (2008)
117 multiple behavior change framework.

118 Noar et al. (2008) described three approaches to examine multiple health behaviors:
119 behavioral change principles, global health/behavioral categories, and multiple health behaviors.
120 In the behavioral change principles approach, the focus is on examining whether there is a
121 common set of behavioral change principles that can be applied to promote multiple behavioral
122 changes. In the global health/behavioral category approach, the focus is on examining whether
123 there are global health cognitions that predict attitudes towards behavioral categories (e.g.,
124 physical activity) and specific behaviors (e.g., walking), which in turn predict the actual
125 engagement in healthy behaviors. In the multiple behavioral approach, the focus is on examining
126 the linkages between behaviors. We used all three of Noar et al.'s approaches to obtain a
127 comprehensive understanding of engaging in multiple health behaviors.

128 **Method**

129 *Overview*

130 A mixed methods convergent parallel fixed design was implemented in which qualitative
131 data was prioritized over quantitative data in order to develop a conceptual model. We aimed to
132 collect different but complementary data to facilitate triangulation and enrich the interpretation
133 of results. Qualitative data was prioritized because of the limited amount of research on multiple
134 health behaviors and the need to develop new hypotheses on how to promote multiple health
135 behaviors. All 25 stroke survivors first completed the close-ended questionnaires and then
136 participated in one of three face-to-face focus groups, followed by a one-to-one phone interview.
137 The questionnaires were administered to measure healthy behaviors and physical function. The
138 focus groups were conducted to obtain narratives about engaging in multiple health behaviors.

139 The one-to-one interviews were conducted to help validate interim analyses of the focus groups
140 and to elaborate on the emerging themes. The qualitative data (i.e., focus group and phone
141 interview) and quantitative data (i.e., questionnaires) were analyzed independently and then
142 integrated during the interpretation phase to develop meta-inferences by using Noar et al.'s
143 (2008) framework. An Institutional Review Board at Cleveland Clinic approved the research
144 protocol. All participants provided written informed consent.

145 *Participants*

146 The study criteria were as follows: a self-report diagnosis of stroke, the ability to
147 communicate over the phone, and a minimum of 18 years of age. We used several strategies to
148 recruit participants for the study. The participants in the first focus group were recruited from a
149 physical therapist-led group exercise class designed for stroke survivors. The participants in the
150 second and third focus groups were recruited via flyers posted in the waiting rooms of outpatient
151 neurological and rehabilitation healthcare services.

152 *Questionnaires*

153 Physical activity was measured using the Godin Leisure-Time Exercise Questionnaire
154 (Godin & Shephard 1985). Three questions were asked about the frequency of engaging in
155 strenuous (e.g., running and vigorous swimming), moderate (e.g., fast walking, and tennis), and
156 light (e.g., fishing and slow walking) leisure-time exercises for at least 15 minutes. The
157 strenuous, moderate, and light activities were multiplied by 9, 5, and 3, respectively, and then
158 summed to provide a composite score. A higher score indicated a greater level of engagement in
159 physical activity. The validity and reliability of the Godin Leisure-Time Exercise Questionnaire
160 was validated in several studies and tested in diverse population groups, including those with
161 neurological disabilities. For example, the questionnaire was validated against accelerometers (r

162 = 0.53) and showed adequate test-retest reliability ($r = 0.77$) (Godin 2011; Gosney et al. 2007;
163 Noreau et al. 1993; Plow et al. 2012).

164 Sleep disturbance was measured using the Neuro-QOL eight-item short form, version 1
165 (Cella et al. 2012). The questions pertained to the past seven days and were about having trouble
166 getting up in the morning, having trouble stopping thoughts at bedtime, experiencing sleepiness
167 during the day, having trouble falling asleep, and having pain that prevents sleep. The responses
168 to the items ranged from never (1) to always (5) and were summed; higher scores indicated
169 greater problems with sleeping. Neuro-QOL is a set of self-reported, health-related quality of life
170 measures that have been validated (i.e., $\alpha = 0.78$; test-retest reliability ICC = 0.61) in stroke
171 survivors (2015; Cella et al. 2012).

172 Healthy nutrition habits were measured using a five-item nutritional survey. The
173 questions asked about the frequency of making healthy food choices, eating five servings of
174 fruits and vegetables a day, limiting fat intake, reading labels, and eating regularly (Nosek et al.
175 2006). The responses to the items were on a scale ranging from never (0), sometimes (1), and
176 often (2) and were summed; higher scores indicated more frequent engagement in healthy
177 nutrition habits. The survey was previously tested in a large study of adults with disabilities
178 (Nosek et al. 2006) and adults with multiple sclerosis (Plow et al. 2012). Its predictive validity
179 (body mass index $r = -0.20$) and reliability ($\alpha = 0.74$; test-retest reliability $r = 0.77$) were found to
180 be adequate.

181 Physical function was measured using the 16-item Stroke Impact Scale (Duncan et al.
182 2003). The questions pertained to the past two weeks and asked about difficulties in bathing,
183 shopping, walking one block, getting in and out of a car, climbing one flight of stairs, and
184 controlling bladder and bowels. The 16 items were summed and divided into three subscales—

185 activities of daily living, mobility, and hand function—ranging from 0 to 100; higher scores
186 indicated less pronounced effects of stroke impairments on daily activities. A Rasch analysis was
187 used to construct and validate the 16-item scale based on the physical domain composite of the
188 Stroke Impact Scale. Concurrent validity (i.e., significantly different across disability levels) and
189 reliability ($\alpha = 0.92$) were found to be adequate (Duncan et al. 2003; Edwards & O'Connell
190 2003).

191 *Questionnaire Analysis*

192 All data collected from the responses to the questionnaire met the assumption of
193 normality. Thus, Pearson R correlations were used to examine the associations between the
194 responses to the questionnaires and self-reported body mass index (BMI). Statistically significant
195 correlations were shown as two-tailed p-values. A Pearson R value less than 0.3 was considered
196 small, a value between 0.3 and 0.5 was considered moderate, and a value greater than 0.5 was
197 considered large (Cohen 1988).

198 *Qualitative Interview Procedure*

199 All interviews were semi-structured (i.e., open-ended questions followed by probes and
200 transitions), audio-recorded, and transcribed verbatim. The focus groups lasted approximately
201 two hours, which were conducted by the first author while a research assistant took notes. The
202 one-to-one interviews lasted approximately 45 minutes, which were conducted over the phone by
203 a trained research assistant.

204 *Focus groups.* We first asked open-ended questions about the participants' habits and
205 motivators for and barriers to engaging in physical activity, nutrition, and sleep. For example, we
206 asked participants whether engaging in physical activity was important and a priority, which
207 types of physical activity they preferred engaging in, and what motivated or hindered

208 engagement in physical activity. Probes included asking about the use of resources to learn about
209 and engage in all three behaviors. We then asked participants whether and how they perceived
210 physical activity, nutrition, and sleep to be interrelated and encouraged them to provide examples
211 from their own lives. After each section, the interviewer summarized areas of consensus and
212 disagreement and asked for further input, which helped identify new themes and refine the
213 interview guides. For example, in the second and third focus groups, questions were revised to
214 elaborate on possible themes about spirituality, autonomy, self-image, strategies to manage
215 emotions, and how impairments could hinder multiple behaviors.

216 *One-to-one phone interviews.* We first summarized the findings from the focus group and
217 asked participants if they agreed with the summary and wanted to add anything. Participants
218 were then asked about their social, leisure, occupation, and domestic life roles to establish a
219 rapport with them and to avoid asking irrelevant questions. We then transitioned to a discussion
220 about healthy behaviors by asking the participants to define healthy behaviors and to provide
221 specific examples. Probes were asked to explore global attitudes and perceptions about
222 engagement in healthy behaviors and the perceived relationship of healthy behaviors with
223 recovery and the ability to cope with stroke impairments. Questions were then asked about
224 physical activity, nutrition, and sleep. Compared to the focus groups, more specific questions
225 were asked during the one-to-one interviews to elicit participants' attitudes, knowledge,
226 confidence, outcome expectations, problem-solving strategies, and perceived barriers and
227 facilitators in their social and physical environments that affected engagement in each of the
228 three behaviors.

229 *Thematic Qualitative Analysis*

230 The thematic analysis was based on recommendations by Elo and Kyngas (2008), while
231 ensuring the trustworthiness of the thematic analysis was based on recommendations by Shenton
232 (2004). The analysis consisted of an inductive-category and theme-development approach to
233 develop the conceptual model. Focus groups and phone interview transcripts were first read
234 multiple times to obtain an overall sense of the data. During the initial reading, the first author
235 and the research assistant performed open coding; i.e., notes were written in the margins of the
236 text. In subsequent readings, similarities and differences between focus group and phone
237 interviews were also noted. Interim analyses identifying similarities and differences between the
238 focus groups and phone interviews helped refine the interview guide and determine when data
239 saturation had occurred.

240 Notes were compared and discussed to generate descriptive labels that encompassed the
241 data from the focus groups and one-to-one interviews. Overall patterns among the descriptive
242 labels were used to identify clusters to organize the data into themes. Each theme was then
243 operationally defined to facilitate consistent coding of the data. Transcripts were coded using
244 Atlas.ti (Version 7; Scientific Software Development GmbH, Berlin, Germany), which helped
245 generate an audit trail, facilitate the conceptual mapping of categories, and provide flexibility in
246 revising the coding scheme as analyses and discussions occurred.

247 After the focus group and one-to-one interview transcripts were coded, the first author
248 and the research assistant discussed disagreements and the development of subthemes. Sections
249 of data that were not coded were reviewed, and when necessary, themes and subthemes were
250 revised to provide a comprehensive description of the data. Once the themes and subthemes were
251 refined and finalized, transcripts and quotes within each theme were re-read to develop the
252 conceptual model. Co-authors who were not involved in the coding of the data examined the

253 appropriateness of each theme and the conceptual model by reviewing exemplar quotes and
254 drawing upon their expertise in nursing, neurology, and psychiatry to help establish content
255 validity.

256 Several steps were taken to help ensure the trustworthiness of the qualitative analysis.
257 Data collection and analysis proceeded iteratively to determine data saturation and identify
258 themes; focus groups were followed by one-to-one interviews (i.e., member checks); an audit
259 trail was generated (i.e., conformability); transcripts were re-read as a whole, and quotes within
260 categories were reviewed and scrutinized on multiple occasions (i.e., dependability); there were
261 frequent debriefing sessions, and disagreements were discussed until consensus was reached
262 (i.e., peer scrutiny); and sections of data that were not coded for the possible inclusion of a new
263 theme (i.e., negative case analysis) were examined.

264 *Integrating Qualitative and Quantitative Results*

265 At the inference stage, we explored areas of convergence and divergence in the findings
266 of the qualitative and quantitative analyses to develop and refine meta-inferences and the
267 conceptual model. Data transformation and typology development were used to integrate the
268 results (Caracelli & Greene 1993). We first qualitized the quantitative results by writing narrative
269 summaries that described the characteristics of each participant derived from the responses to the
270 questionnaires. We then compared the narrative summaries of participants and generated
271 possible explanations for the similarities and differences in the patterns for engaging in multiple
272 health behaviors. We further refined the explanations using the calculated means and correlations
273 and then selected the most logical explanations.

274 Qualitizing explanations were then organized in a side-by-side comparison table with
275 qualitative themes and exemplar quotes using Noar et al.'s (2008) framework. Specifically, we

276 organized the qualitzing explanations, qualitative themes, and exemplar quotes based on their
277 relevance in illustrating Noar et al.'s (2008) three approaches for understanding multiple healthy
278 behaviors. We then looked for patterns within each of the approaches to develop the conceptual
279 model and meta-inferences. The conceptual model and meta-inferences were refined using a
280 negative case analysis approach; i.e., we searched for and corrected for any contradictions that
281 were found between the conceptual model, the meta-inferences, and the narrative summaries of
282 each participant. Thus, integrating results was an iterative process of comparing and contrasting
283 the qualitative and quantitative data using a theoretical framework.

284 Legitimation strategies where used in integrating the data (Onwuegbuzie & Johnson
285 2006). These strategies included confirming the accuracy of the narrative summaries by a
286 multidisciplinary team of experts and stroke survivors (i.e., inside-outside legitimation) by using
287 the qualitative results to elaborate the quantitative associations (i.e., weakness minimization
288 legitimation); analyze the qualitative and quantitative data separately and then develop meta-
289 inferences (i.e., paradigmatic mixing legitimation); use validated questionnaires and strategies to
290 enhance the trustworthiness of the qualitative results (i.e., multiple validities legitimation); and
291 draw upon the existing theoretical literature on multiple healthy behavioral changes (i.e.,
292 commensurability legitimation).

293 **Results**

294 ***Quantitative Results***

295 The socio-demographic characteristics of mobility device use, and BMI (self-reported) of
296 the 25 stroke survivors are reported in Table 1. The mean age of the research sample was 64
297 years; the ratio of men to women was approximately equal. There was a substantial amount of
298 variation in the number of years since having a stroke (range 1–33 years). Almost two-thirds of

299 the participants were either overweight or obese. Most participants engaged in low amounts of
300 physical activity but indicated that they engaged in some healthy eating habits sometimes or
301 routinely. Sleep disturbances were a common problem. The responses to questions about
302 impairment and healthy behavior are summarized in Table 2, and the Pearson R correlations are
303 reported in Table 3.

304 Regarding the first purpose of this pilot study, we found statistically significant and
305 moderate correlations between hand function and healthy eating habits ($r = 0.45$), sleep
306 disturbances and limitations in activities of daily living ($r = -0.55$), BMI and limitations in
307 activities of daily living ($r = -0.49$), physical activity and limitations in activities of daily living (r
308 $= 0.41$), and mobility impairments and BMI ($r = -0.41$). Regarding the second purpose, we found
309 statistically significant and moderate correlations between sleep disturbances and physical
310 activity ($r = -0.48$), sleep disturbances and BMI ($r = 0.48$), and physical activity and BMI
311 ($r = -0.45$). We found non-significant and/or small correlations between physical activity and
312 healthy eating habits ($r = 0.33$) and sleep disturbances and healthy eating habits ($r = -0.16$).

313 “Insert Table 1, Table 2, and Table 3 about here”

314 ***Qualitative Results***

315 Qualitative Results

316 Overview. Engaging in multiple healthy behaviors for stroke survivors was a dynamic
317 trial and error process that was driven by a desire to limit the negative impact of impairments
318 while at the same time being presented with profound barriers that limited the desire and ability
319 to engage in multiple health behaviors. Participants often expressed frustration at being unable or
320 having to put in extra effort to engage in activities as desired. For some participants, this led to
321 greater motivation to engage in multiple health behaviors, whereas for other participants it led to

322 greater amounts of stress. Although some participants believed that engaging in multiple health
323 behaviors was completely under their control, several participants recognized that engaging in
324 multiple health behaviors was influenced by multiple environmental factors that were not always
325 under their control. Healthcare providers, friends and family were described as facilitating and
326 hindering multiple health behaviors. Most participants spent considerable time searching for
327 information to reduce the negative impact of their stroke.

328 We identified the five following overarching themes, with each theme having two to four
329 subthemes: (1) Impairments: reduced autonomy, (2) Environmental forces: caregivers and
330 information, (3) Re-evaluation: priorities and attributions, (4) Resiliency: finding motivation and
331 solutions, and (5) Negative affectivity: stress and self-consciousness. Themes one, two, and three
332 help address the first study purpose, while categories four and five help address the second study
333 purpose. Table 4 summarizes the categories and subcategories using exemplar quotes.

334 “Insert Table 4 about here”

335 (1) Impairments: reduced autonomy.

336 Subcategory 1: limiting options. Fatigue, mobility impairments, and pain were often
337 described as limiting options, abilities, and confidence to engage in physical activity, nutrition,
338 and sleep. Participants described circumstances in which impairments hindered single or multiple
339 health behaviors. For example, sometimes fatigue was described as only limiting engagement in
340 physical activity, while other times fatigue was described as limiting both physical activity and
341 the ability to eat healthily. Similarly, some participants described how mobility impairments
342 prevented engaging in desired modes of physical activity, such as walking or swimming, due to
343 safety concerns (e.g., fear of falling or drowning). Other participants described how mobility
344 impairments and fatigue made it difficult to cook and/or go grocery shopping, which increased

345 the likelihood of unhealthy food choices (e.g., eating fast food or highly processed food). Pain
346 was described as preventing adequate sleep, engagement in physical activity, and/or the desire to
347 eat healthy foods.

348 Subcategory 2: changes in social roles. Domestic, leisure, and occupational roles were
349 altered in desired and undesired ways after the stroke, which facilitated or hindered engagement
350 in multiple health behaviors. For some participants, being unable to work or to accomplish daily
351 tasks and chores resulted in more free time to engage in physical activity and/or they were less
352 tempted to eat unhealthy foods. Participant #3 said,

353 “I think it’s actually easier now that I’m not working to eat healthy. I think work was
354 more of a stressor. I made the wrong choice a little more. I think my friends have less influence
355 than they did because I am just not exposed to those activities, like going to the bar with the guys
356 or having a hot dog after golfing.”

357 Alternatively, others noted being unable to engage in leisure activities, such as golf and
358 gardening, and having fewer daily tasks to accomplish, such as cleaning the house, which made
359 them more sedentary. Some participants viewed limitations in daily activities and a more
360 sedentary lifestyle as a reason for sleeping difficulties.

361 (2) Environmental forces.

362 Subcategory 1: formal caregivers. Most participants received rehabilitation services and
363 reported occasionally engaging in the prescribed home exercise program. However, participants
364 rarely reported seeing a dietician or a sleep specialist and infrequently had conversations with
365 their physician about engaging in healthy behaviors. Physicians were perceived as being too busy
366 or not knowledgeable in nutrition and physical activity topics, and participants were concerned
367 about discussing sleep problems because of not wanting to be prescribed additional medications.

368 Nonetheless, when a healthcare professional provided advice, participants sometimes described it
369 as a “nudge” to seek further information and/or initiate multiple behavior changes. For example,
370 several participants described trying new diets (e.g., vegetarian) and engaging in different types
371 of physical activity (e.g., yoga and swimming) because their physician recommended it.

372 Alternatively, some participants described engagement in multiple health behaviors as an
373 act of defiance against healthcare advice and recommendations. For example, some participants
374 described being more motivated to engage in physical activity after being told they probably
375 would not see functional improvements after six months. Other participants refused to take
376 prescribed medication and instead ate healthier and engaged in more physical activity.

377 Participant #6 said,

378 “My doctor told me that no matter what I did, I’d be on medication for all my life. And I
379 refused to accept that and take the medications. As long as I do the exercises and I eat right, my
380 blood pressure will go down.”

381 Medications were also described as influencing sleep quality and nutrition habits. Blood
382 pressure medication increased urination at night, making it difficult to sleep. Participants on
383 Coumadin had to avoid leafy green vegetables, which was described as a barrier to eating
384 healthily.

385 Subcategory 2: informal caregivers. Family and friends facilitated healthy behaviors by
386 providing encouragement and tangible support. Several participants were unable to cook or go
387 grocery shopping, which meant they were reliant on others. Not surprisingly, being reliant on
388 others for cooking often influenced dietary habits. Many informal caregivers recognized the
389 importance of physical activity and adequate sleep and would often provide encouragement and
390 tangible support (e.g., transportation or reminders).

391 Although informal caregivers provided support to engage in healthy behaviors, they also
392 created circumstances that made it more difficult to engage in healthy behaviors. Some
393 participants were more inactive because of an overprotective spouse or child who would limit
394 activities and/or daily chores due to safety concerns. Participant #13 said, “Well, my kids, they
395 always seem a little surprised at whatever I do. They think I’m supposed to be at home, I think,
396 in a rocking chair so I don’t hurt myself. They sometimes do too much for me.” Participants
397 sometimes blamed sleep disturbances on family members who snored or called late at night.

398 Subcategory 3: seeking information. Most participants were active seekers of information
399 to improve function and to determine why they had a stroke. Participants received health
400 information from a wide variety of sources, such as watching television shows, searching
401 websites, attending support groups, and reading books. Some participants noted that they mainly
402 relied on formal or informal caregivers for health information, which was at times viewed as
403 credible and helpful and was at other times viewed with skepticism and resentment. However,
404 participants found it challenging to describe how they evaluated the credibility of health
405 information. Some participants trusted most information when it came from an expert or
406 someone they trusted (e.g., a doctor or friend). Other participants were skeptical of most health
407 information, particularly nutrition information, because of contradictory reports or because they
408 were worried about scams.

409 (3) Re-evaluation: priorities and attributions.

410 Subcategory 1: priorities and standards. Reduced autonomy in social roles, increased
411 reliance on formal and informal caregivers, and a wealth of new information to process made
412 participants reflect and re-evaluate priorities and self-image. Impairments made daily chores
413 more challenging and time-consuming, which left less time to prioritize healthy behaviors. Many

414 participants prioritized simple and familiar activities regardless of the known health
415 consequences. In contrast, other participants described re-evaluating priorities to engage in
416 multiple healthy behaviors.

417 Subcategory 2: stroke cause. The decision to prioritize single and multiple healthy
418 behaviors was often attributed to beliefs about what caused the stroke. For example, Participant
419 #21 said,

420 “According to what I’ve read, the lack of exercise sometimes is a factor of diabetes, and
421 it’s also a factor of having a stroke. So if I want to avoid that pitfall in the future, it’s incumbent
422 upon me to keep myself in the best physical condition that I can, and that’s what I’m trying to do
423 [...] by exercising and eating healthy.”

424 Alternatively, participant #1 said, “I don’t think my diet has really changed because my
425 stroke wasn’t really due to my diet. So my diet has not changed [...], but I do try to engage in
426 more activity.”

427 Subcategory 3: self-image. Participants described a dynamic relationship between self-
428 image and multiple healthy behaviors. Successfully engaging in healthy behaviors improved self-
429 image and confidence. Achieving health-related goals provided a sense of accomplishment and
430 control in maintaining independence. Some participants described having a more positive self-
431 image because of increased spirituality and/or having a sense of purpose. Alternatively,
432 participants’ unsuccessful attempts at engaging in healthy behaviors decreased confidence and
433 re-enforced a negative self-image.

434 (4) Resiliency: finding motivation and solutions.

435 Subcategory 1: self-determination. Participants routinely engaging in multiple health
436 behaviors described having perseverance and persistence. Several participants described having

437 an obstinate approach and refusing to have anything interfere with engagement. Participants
438 successfully engaging in multiple healthy behaviors often had a “just do it” attitude and were
439 confident that nothing could get in their way. Participants determined to engage in multiple
440 healthy behaviors frequently described it as a strategy to cope with stress and impairments.

441 Subcategory 2: strategic environmental planning. Participants described making their
442 home environment conducive to multiple healthy behaviors. Participant #4 said,

443 “I just like to make sure that there’s fruit and vegetables in the house and that I have
444 access to them. And I – I’ve been trying to eat those rather than eating junk food, which I’ve
445 been trying not to keep in the house.”

446 Other participants described leaving exercise equipment in visible places and making
447 their bedroom environment more conducive to sleep. One participant described not wanting their
448 bedroom on the first floor because they wanted to stay physically active by having to climb the
449 stairs.

450 Subcategory 3: outcome expectations. Participants motivated to engage in multiple
451 healthy behaviors were optimistic that maintaining engagement in multiple healthy behaviors
452 would improve health and function. When asked why he was motivated to engage in physical
453 activity, participant #5 said, “I want to get better. I want to be able to help myself more, you
454 know. I just don’t want to be dependent on everybody all the time.” Participant #21 said,
455 “Getting enough sleep and exercising are the things that contribute to me being able to mentally
456 be stronger and help resist stress or negativity.”

457 (5) Negative affectivity: anxiety and self-consciousness.

458 Subcategory 1: stress and anxiety. Impairments and an unknown trajectory of recovery
459 were described as provoking stress, which in turn exacerbated symptoms, such as fatigue and

460 pain. Some participants worried about another stroke, while others worried about becoming a
461 burden on their families. Such worries resulted in chronic stress or anxiety that could prevent
462 adequate sleep and subsequent engagement in physical activity and nutrition-related behaviors.
463 Several participants stated that increased stress and/or inadequate sleep exacerbated symptom
464 severity and resulted in having “good days and bad days”, which influenced multiple healthy
465 behaviors.

466 Subcategory 2: self-consciousness. Body-related, self-conscious emotions, such as shame
467 and embarrassment, were described as influencing healthy behaviors in social and community
468 settings. Some participants described being uncomfortable exercising at community centers.
469 Participants also described limiting social and leisure physical activities because of difficulties
470 walking and speaking. Participants with upper-extremity mobility impairments described
471 reluctantly ordering unhealthy fried finger foods at restaurants to avoid the embarrassment and
472 difficulties associated with eating healthier foods that required a fork, such as a salad. Body-
473 related, self-conscious emotions and limiting options also propagated a negative self-image.
474 Participant #12 said,

475 “It’s just this and the effect the stroke had on me, and it’s made me very sensitive [about
476 my body]. You know, I find myself questioning myself on everything I do – whether I’m doing
477 the right thing or whether I’m doing it well enough.”

478 “Insert Table 4 about here”

479 ***Integrated Results: Meta-Inferences and the Conceptual Model***

480 We identified three meta-inferences: reciprocal determinism correspondence,
481 circumstantial linkages, and the sleep disturbance ripple effect. The conceptual model shown in
482 Figure 1 elaborates on the relationship between the thematic categories and quantitative data,

483 which indicated factors that promote or hinder the engagement in multiple healthy behaviors.

484 “Figure 1 and Table 5 about here”

485 ***Meta-inference #1: Reciprocal determinism correspondence***

486 *Behavioral change principles approach.* Reciprocal determinism refers to Bandura’s
487 principle of how participants described dynamic interactions between person, behavior, and the
488 environment (Bandura 1986). Correspondence refers to participants providing examples of
489 reciprocal deterministic relationships that were relevant to multiple health behaviors and specific
490 reciprocal deterministic relationships that were relevant to a single healthy behavior. As
491 illustrated in Figure 1, personal factors, such as limitations in accomplishing daily activities,
492 interacting with environmental factors, such as inadequate social support, prompted changes in
493 priorities and standards, which often resulted in frustration and stress that decreased motivation,
494 confidence, and/or the ability to engage in multiple healthy behaviors. Alternatively, some
495 participants described that a specific impairment, such as fatigue, would interact with a specific
496 environmental factor, such as the inaccessibility of a recreational facility, to influence a single
497 healthy behavior, such as physical activity. Correspondence was also supported by quantitative
498 results. For example, activities of daily living—an indicator of multiple impairments or a more
499 severe impairment—were inversely associated with multiple health behaviors, whereas problems
500 with hand function—a specific impairment—were inversely associated with a single healthy
501 behavior; i.e., nutrition.

502 ***Meta-inference #2: Circumstantial linkages***

503 *Global health/behavioral category approach.* The term circumstantial linkages refers to
504 relationships between behaviors and whether a factor, such as a global cognition or a
505 psychological disposition, such as resilience or negative affectivity, influenced single or multiple

506 behaviors depending on the circumstances (e.g., environment, personality traits, and cognitions)
507 of each individual. Although the participants described many scenarios that indicated possible
508 links between global cognitions, behavioral categories, and specific behaviors (as suggested by
509 Noar et al., 2008), these descriptions were not always consistent. For example, in the qualitative
510 interviews, fatigue and pain were sometimes described as hindering single or multiple healthy
511 behaviors, depending on environmental circumstances, such as the availability of tangible social
512 support. Some participants described prioritizing one healthy behavior over another and
513 rationalizing that one healthy behavior was more important than another healthy behavior. Other
514 participants noted the importance of prioritizing multiple healthy behaviors, expressed
515 confidence that the engagement in multiple healthy behaviors was completely under their
516 control, and described personal traits, such as resiliency, that facilitated multiple behaviors.

517 Circumstantial linkages were also supported by the quantitative data, specifically the low-
518 to-moderate correlations between different behaviors. For example, we found a small, non-
519 significant correlation between physical activity and nutrition. Nonetheless, some participants
520 described the importance of routinely engaging in both physical activity and eating healthily,
521 whereas other participants described prioritizing either physical activity or eating healthily. If the
522 participants were motivated to engage in both physical activity and eating healthily regardless of
523 individual circumstances, it is likely that higher correlations would have been found.

524 ***Meta-inference 3: Sleep-disturbance ripple effect***

525 *Multiple behavioral approach.* The sleep disturbance ripple effect refers to the influence
526 of inadequate sleep on the factors that hinder the engagement in multiple behaviors. We found
527 sleep disturbances to have moderate correlations with physical activity, BMI, and the ability to
528 perform activities of daily living. In the qualitative data, sleep disturbances were described as

529 increasing stress and fatigue, exacerbating impairments (e.g., pain, mobility, and fatigue), and
530 decreasing the motivation to engage in multiple healthy behaviors, such as avoiding unhealthy
531 temptations, cooking a healthy meal, and engaging in physical activity. Thus, both the qualitative
532 and the quantitative data supported the importance of obtaining adequate sleep for engaging in
533 multiple health behaviors.

534 **Discussion**

535 The science of how to promote multiple health behaviors is in its formative stage, which
536 has considerable consequences for stroke survivors. Targeting multiple behaviors has an 80%
537 cumulative risk reduction in preventing secondary strokes (Hackam & Spence 2007). Thus, there
538 is a need to promote multiple health behaviors among stroke survivors. We have conducted a
539 novel mixed methods study of stroke survivors to elaborate on the relationships between multiple
540 healthy behaviors and to identify factors that facilitate and/or hinder multiple health behaviors.
541 Our mixed methods results advance existing research by identifying meta-inferences that
542 describe how impairments may be barriers to single or multiple health behaviors. We also
543 developed a conceptual model depicting possible mediators that influence the relationship
544 between impairments and multiple health behaviors.

545 *Addressing Purpose 1: Stroke Impairments and Multiple Healthy Behaviors*

546 Several participants described engaging in multiple healthy behaviors as a strategy to
547 cope with stroke impairments. Quantitative studies of single health behaviors among stroke
548 survivors have also documented that emotional coping strategies and mood (Visser et al. 2014),
549 physical activity (Chen & Rimmer 2011), sleep (Cavalcanti et al. 2013), nutrition (Serra et al.
550 2014), and environmental factors (Alguren et al. 2012) influenced the process of adjusting to
551 stroke impairments. Similarly, in a systematic review of qualitative studies (Sarre et al. 2014),

552 resiliency, informal and formal caregivers, and engaging in healthy behaviors were described as
553 influencing adjustment after a stroke. Thus, promoting engagement in multiple health behaviors
554 may be a strategy to help stroke survivors cope with their impairments and improve their quality
555 of life.

556 However, the challenge in promoting multiple healthy behaviors is addressing reciprocal
557 relationship; i.e., impairments that make it difficult to engage in healthy behaviors. Future
558 research should determine whether the negative impact of impairments on multiple health
559 behaviors may be mediated by environmental factors (e.g., caregivers) and participants'
560 characteristics (e.g., resiliency, mood, self-image, optimism, and perceptions about the causes of
561 the stroke). Positive psychology constructs, such as resilience and optimism, are considered to be
562 modifiable characteristics that may help facilitate the adjustment to a chronic disabling condition
563 and the engagement in multiple health behaviors (Martz & Livneh 2015).

564 *Addressing Purpose 2: Linkages among Healthy Behaviors*

565 The results of this study showed that the linkages between healthy behaviors might be
566 dependent on the characteristics of the participant. This finding is consistent with a recent
567 research study of participants who were undergoing a rehabilitation program. The results of that
568 quantitative study indicated that the relationship between physical activity and nutrition was
569 mediated by habit strength and transfer cognition (Fleig et al. 2014). Research has also indicated
570 that promoting the engagement in one behavior (e.g., physical activity) might promote the
571 engagement in another behavior (e.g., reducing cigarette craving) (Haasova et al. 2013; Noar et
572 al. 2008). Mixed methods studies of multiple health behaviors have mainly focused on weight
573 management among non-disabled children and adults. Such studies have documented

574 circumstances in which people can increase physical activity levels and/or develop healthy eating
575 habits (Abildso et al. 2010; James et al. 2016; Sliwa et al. 2014).

576 Consistent with the existing research, we found that sleep had moderate correlations with
577 physical activity, BMI, and the ability to perform activities in daily living (Bakken et al. 2012;
578 Cavalcanti et al. 2013; Grandner et al. 2011). The participants described that sleep disturbances
579 resulted in fatigue and negative emotions, which decreased their motivation to engage in multiple
580 healthy behaviors. A mixed methods study of sleep habits among patients with traumatic brain
581 injury showed that inadequate sleep had a major impact on health outcomes and reduced
582 adherence to rehabilitation activities (De La Rue-Evans et al. 2013). Future studies of stroke
583 survivors should examine whether sleep disturbances need to be addressed before multiple
584 behavior changes can occur.

585 *Addressing Purpose 3: Developing Multiple Behavior Change Interventions*

586 The results indicated that future research should examine the efficacy of multiple
587 behavioral change interventions that focus on increasing resiliency and self-confidence and that
588 address negative emotions and sleep disturbances. Such multiple behavioral change interventions
589 might include education about identifying credible sources of information, re-arranging the
590 social and physical environment, promoting social support, exploring participants' perceptions
591 about what caused their stroke, and providing examples of how engaging in multiple healthy
592 behaviors may help reduce the need for medication. Multiple behavioral change interventions
593 may also need to target participants who rationalize the prioritization of one particular healthy
594 behavior over another.

595 In a recent review of qualitative studies, Lawrence et al. (2016) reported that stroke
596 survivors often felt supported and that they acquired knowledge and gained confidence after

597 participating in a secondary prevention group intervention. In that study, the stroke survivors’
598 perceptions of the benefits of participating in secondary prevention interventions were consistent
599 with our recommendation to identify credible sources of information and increase self-
600 confidence and social support in the design of multiple behavioral change interventions.
601 Therefore, existing interventions could be adapted to promote multiple behavioral changes in
602 stroke survivors.

603 Self-management interventions focus on increasing confidence and teaching skills, such
604 as problem-solving and resource utilization, which may be relevant to promoting engagement in
605 single or multiple behaviors to manage single or multiple impairments (Lo et al. 2013; Lorig &
606 Holman 2003; Parke et al. 2015). However, the efficacy and theoretical underpinnings of these
607 interventions to support multiple behavioral changes are unclear. The results of a recent mixed
608 methods pilot study of a tailored self-management program showed promise in promoting patient
609 activation, exercise, and healthy eating. Future studies should incorporate objective and self-
610 report measures of healthy behaviors to determine whether self-management interventions can
611 promote multiple health behaviors (Montgomery et al. 2015).

612 *Application to Noar et al.’s framework.* We identified three meta-inferences that were
613 consistent with Noar et al.’s (2008) three approaches to understanding and promoting multiple
614 behavioral changes. Regarding the use of the behavioral change principle approach, promoting
615 multiple health behaviors simultaneously may require identifying and addressing reciprocal
616 deterministic relationships that correspond with multiple health behaviors. Similarly, identifying
617 and addressing the relationships that correspond with a single health behavior may be a strategy
618 to promote multiple behavior changes sequentially. Regarding the global health/behavioral
619 category approach, targeting and improving global cognitions and dispositions, such as resiliency

620 and optimism, may help promote multiple health behaviors. However, further research is needed
621 to determine the circumstances in which global cognition could be addressed. Although targeting
622 global cognitions has the allure of promoting multiple behaviors simultaneously, they may be
623 harder to change than cognitions about particular behaviors (Magidson et al. 2014). Furthermore,
624 successfully changing global cognitions may not always influence behaviors in the same way in
625 all participants (Blackie et al. 2014). Regarding the multiple behavioral approach, we found that
626 it might be important to target sleep disturbances to promote multiple behavior changes.

627 *Limitations*

628 The limitations of this study include the small sample size, the cross-sectional design, and
629 the generalizability of the results. Because little is known about the linkages between multiple
630 healthy behaviors, which makes it difficult to generate a-priori hypotheses, we decided to
631 prioritize qualitative data over quantitative data. Therefore, although the sample size was
632 sufficient for the qualitative analysis, it limited the findings of the quantitative analysis. The
633 small sample size precluded the ability to conduct a valid multiple regression analysis, while the
634 cross-sectional design precluded the ability able to make inferences about causal relationships.
635 Furthermore, the measures of physical activity and nutrition were not specifically validated in the
636 stroke survivors who participated in this study, and they may have influenced correlations in
637 unknown ways. The generalizability of the study is limited because of the nature of the
638 qualitative analysis and the small sample size used in the quantitative analysis. In addition, the
639 recruitment of the first focus group was potentially biased because it was limited to the
640 participants in a physical therapy group exercise class. Finally, the incorporation of the
641 additional measures of personality and cognition could have helped promote greater triangulation
642 of the findings. A sequential mixed design that analyzed qualitative data first would have

643 enabled the selection of additional questionnaires to facilitate triangulation.

644 **Conclusion**

645 Coping skills, social support, and type and extent of impairments are just some of the
646 complexities that may influence engagement in multiple healthy behaviors among adults with
647 disabling conditions. The use of mixed methods can help examine such complexities from
648 different angles to offer a more holistic prospective of how to promote engagement in multiple
649 health behaviors. Researchers in rehabilitation science and public health have noted the need to
650 use mixed methods to understand the complex biopsychosocial interactions that result in
651 participation restrictions for engaging in healthy behaviors (Brownson et al. 2014; Kroll 2011).

652 Regarding the question posed in the introduction about whether multiple behavior change
653 interventions should target behaviors sequentially and/or simultaneously, the answer may depend
654 on the characteristics of the participants. We found examples of facilitators and barriers that were
655 described as being relevant to a single behavior and in other circumstances as being relevant to
656 multiple healthy behaviors. Therefore, the extent to which a particular facilitator and/or barrier
657 influences multiple behaviors may depend on cognition and environmental circumstances.
658 Examining the circumstances in which factors influence single or multiple behaviors and
659 understanding when it is possible to change factors that promote multiple behavior changes will
660 be vital in developing multiple behavior change interventions among stroke survivors. Further
661 mixed methods research is needed to identify the circumstances that influence single or multiple
662 behaviors and the particular factors that may influence multiple behaviors regardless of the
663 circumstances.

664

665

666

References

- 667
668
669 2015. Neuro-QoL Technical Report. *Available at*
670 <http://www.neuroqol.org/Resources/Resources%20documents/Neuro->
671 [QoL%20Manual_Technical%20Report%20v2_24Mar2015.pdf](http://www.neuroqol.org/Resources/Resources%20documents/Neuro-QoL%20Manual_Technical%20Report%20v2_24Mar2015.pdf) (accessed 10/30/2015).
- 672 Abildso C, Zizzi S, Gilleland D, Thomas J, and Bonner D. 2010. A Mixed Methods Evaluation
673 of a 12-Week Insurance-Sponsored Weight Management Program Incorporating
674 Cognitive-Behavioral Counseling. *Journal of Mixed Methods Research* 4:278-294.
675 10.1177/1558689810376949
- 676 Alguren B, Fridlund B, Cieza A, Sunnerhagen KS, and Christensson L. 2012. Factors associated
677 with health-related quality of life after stroke: A 1-year prospective cohort study.
678 *Neurorehabilitation and Neural Repair* 26:266-274. 10.1177/1545968311414204
- 679 Bakken LN, Kim HS, Finset A, and Lerdal A. 2012. Stroke patients' functions in personal
680 activities of daily living in relation to sleep and socio-demographic and clinical variables
681 in the acute phase after first-time stroke and at six months of follow-up. *Journal of*
682 *Clinical Nursing* 21:1886-1895. 10.1111/j.1365-2702.2011.04014.x
- 683 Bandura A. 1986. *Social Foundations of Thought and Action: A Social Cognitive Theory*.
684 Englewood Cliffs (NJ): Prentice-Hall.
- 685 Blackie LER, Roepke AM, Forgeard MJC, Jayawickreme E, and Fleeson W. 2014. Act Well to
686 Be Well: The Promise of Changing Personality States to Promote Well-Being. *The Wiley*
687 *Blackwell Handbook of Positive Psychological Interventions*: John Wiley & Sons, Ltd,
688 462-474.

- 689 Brownson RC, Diez Roux AV, and Swartz K. 2014. Commentary: Generating rigorous evidence
690 for public health: the need for new thinking to improve research and practice. *Annual*
691 *Review of Public Health* 35:1-7. 10.1146/annurev-publhealth-112613-011646
- 692 Caracelli VJ, and Greene JC. 1993. Data Analysis Strategies for Mixed-Method Evaluation
693 Designs. *Educational Evaluation and Policy Analysis* 15:195-207.
694 10.3102/01623737015002195
- 695 Cavalcanti PR, Campos TF, and Araújo JF. 2013. Circadian and homeostatic changes of sleep-
696 wake and quality of life in stroke: Implications for neurorehabilitation.
697 *NeuroRehabilitation* 32:337-343. 10.3233/NRE-130853
- 698 Cella D, Lai JS, Nowinski CJ, Victorson D, Peterman A, Miller D, Bethoux F, Heinemann A,
699 Rubin S, Cavazos JE, Reder AT, Sufit R, Simuni T, Holmes GL, Siderowf A, Wojna V,
700 Bode R, McKinney N, Podrabsky T, Wortman K, Choi S, Gershon R, Rothrock N, and
701 Moy C. 2012. Neuro-QOL: Brief measures of health-related quality of life for clinical
702 research in neurology. *Neurology* 78:1860-1867. 10.1212/WNL.0b013e318258f744
- 703 Chambers JA, O'Carroll RE, Hamilton B, Whittaker J, Johnston M, Sudlow C, and Dennis M.
704 2011. Adherence to medication in stroke survivors: A qualitative comparison of low and
705 high adherers. *British Journal of Health Psychology* 16:592-609. 10.1348/2044-
706 8287.002000
- 707 Chen MD, and Rimmer JH. 2011. Effects of exercise on quality of life in stroke survivors: A
708 meta-analysis. *Stroke* 42:832-837.
- 709 Cohen J. 1988. *Statistical Power Analysis for the Behavioral Sciences*. Hillsdale, N.J.: L.
710 Erlbaum Associates.

- 711 Damush TM, Plue L, Bakas T, Schmid A, and Williams LS. 2007. Barriers and facilitators to
712 exercise among stroke survivors. *Rehabilitation Nursing* 32:253-260, 262.
- 713 De La Rue-Evans L, Nesbitt K, and Oka RK. 2013. Sleep Hygiene Program Implementation in
714 Patients with Traumatic Brain Injury. *Rehabilitation Nursing* 38:2-10. 10.1002/rnj.66
- 715 Duncan PW, Lai SM, Bode RK, Perera S, and DeRosa J. 2003. Stroke Impact Scale-16: A brief
716 assessment of physical function. *Neurology* 60:291-296.
- 717 Edwards B, and O'Connell B. 2003. Internal consistency and validity of the Stroke Impact Scale
718 2.0 (SIS 2.0) and SIS-16 in an Australian sample. *Quality of Life Research* 12:1127-1135.
- 719 Elo S, and Kyngas H. 2008. The qualitative content analysis process. *Journal of Advanced*
720 *Nursing* 62:107-115. 10.1111/j.1365-2648.2007.04569.x
- 721 Field MJ, Gebruers N, Shanmuga Sundaram T, Nicholson S, and Mead G. 2013. Physical
722 activity after stroke: A systematic review and meta-analysis. *International Scholarly*
723 *Research Notices Stroke* 2013.
- 724 Fleig L, Kerschreiter R, Schwarzer R, Pomp S, and Lippke S. 2014. 'Sticking to a healthy diet is
725 easier for me when I exercise regularly': Cognitive transfer between physical exercise
726 and healthy nutrition. *Psychology & Health* 29:1361-1372.
- 727 Godin G. 2011. The Godin-Shephard leisure-time physical activity questionnaire. *The Health &*
728 *Fitness Journal of Canada* 4:18-22.
- 729 Godin G, and Shephard RJ. 1985. A simple method to assess exercise behavior in the
730 community. *Canadian Journal of Applied Sport Sciences Journal Canadien des Sciences*
731 *Appliquees Au Sport* 10:141-146.

- 732 Gosney JL, Scott JA, Snook EM, and Motl RW. 2007. Physical activity and multiple sclerosis -
733 Validity of self-report and objective measures. *Family and Community Health* 30:144-
734 150.
- 735 Grandner MA, Patel NP, Perlis ML, Gehrman PR, Xie D, Sha D, Pigeon WR, Teff K, Weaver T,
736 and Gooneratne NS. 2011. Obesity, diabetes, and exercise associated with sleep-related
737 complaints in the American population. *Journal of Public Health* 19:463-474.
- 738 Haasova M, Warren FC, Ussher M, Janse Van Rensburg K, Faulkner G, Cropley M, Byron-
739 Daniel J, Everson-Hock ES, Oh H, and Taylor AH. 2013. The acute effects of physical
740 activity on cigarette cravings: systematic review and meta-analysis with individual
741 participant data. *Addiction* 108:26-37. 10.1111/j.1360-0443.2012.04034.x
- 742 Hackam DG, and Spence JD. 2007. Combining multiple approaches for the secondary
743 prevention of vascular events after stroke: A quantitative modeling study. *Stroke*
744 38:1881-1885. 10.1161/STROKEAHA.106.475525
- 745 James DCS, Wirth CK, Harville C, and Efunbumi O. 2016. Weight-loss strategies used by baby
746 boomer men: a mixed methods approach. *Journal of Human Nutrition and Dietetics*
747 29:217-224. 10.1111/jhn.12305
- 748 Kernan WN, Ovbiagele B, Black HR, Bravata DM, Chimowitz MI, Ezekowitz MD, Fang MC,
749 Fisher M, Furie KL, Heck DV, Johnston SC, Kasner SE, Kittner SJ, Mitchell PH, Rich
750 MW, Richardson D, Schwamm LH, Wilson JA, American Heart Association Stroke
751 Council CoC, Stroke Nursing CoCC, and Council on Peripheral Vascular D. 2014.
752 Guidelines for the prevention of stroke in patients with stroke and transient ischemic
753 attack: a guideline for healthcare professionals from the American Heart

- 754 Association/American Stroke Association. *Stroke* 45:2160-2236.
755 10.1161/STR.0000000000000024
- 756 Kroll T. 2011. Designing mixed methods studies in health-related research with people with
757 disabilities. *International Journal of Multiple Research Approaches* 5:64-75.
758 10.5172/mra.2011.5.1.64
- 759 Lager KE, Mistri AK, Khunti K, Haunton VJ, Sett AK, and Wilson AD. 2014. Interventions for
760 improving modifiable risk factor control in the secondary prevention of stroke. *Cochrane*
761 *Database of Systematic Reviews* 5:CD009103. 10.1002/14651858.CD009103.pub2
- 762 Lawrence M, Pringle J, Kerr S, and Booth J. 2016. Stroke survivors' and family members'
763 perspectives of multimodal lifestyle interventions for secondary prevention of stroke and
764 transient ischemic attack: a qualitative review and meta-aggregation. *Disability and*
765 *Rehabilitation* 38:11-21. 10.3109/09638288.2015.1031831
- 766 Lo SHS, Chang AM, Chau JPC, and Gardner G. 2013. Theory-based self-management programs
767 for promoting recovery in community-dwelling stroke survivors: A systematic review.
768 *JBI Database of Systematic Reviews and Implementation Reports* 11:157-215.
- 769 Lorig KR, and Holman H. 2003. Self-management education: History, definition, outcomes, and
770 mechanisms. *Annals of Behavioral Medicine* 26:1-7.
- 771 Mackenzie A, and Greenwood N. 2012. Positive experiences of caregiving in stroke: A
772 systematic review. *Disability and Rehabilitation* 34:1413-1422.
773 doi:10.3109/09638288.2011.650307
- 774 Magidson JF, Roberts BW, Collado-Rodriguez A, and Lejuez CW. 2014. Theory-driven
775 intervention for changing personality: Expectancy value theory, behavioral activation,
776 and conscientiousness. *Developmental Psychology* 50:1442-1450. 10.1037/a0030583

- 777 Martz E, and Livneh H. 2015. Psychosocial Adaptation to Disability Within the Context of
778 Positive Psychology: Findings from the Literature. *Journal of Occupational*
779 *Rehabilitation*:1-9.
- 780 Montgomery P, Jermyn D, Bailey P, Nangia P, Egan M, and Mossey S. 2015. Community
781 reintegration of stroke survivors: the effect of a community navigation intervention.
782 *Journal of Advanced Nursing* 71:214-225.
- 783 Mozaffarian D, Benjamin EJ, Go AS, Arnett DK, Blaha MJ, Cushman M, de Ferranti S, Despres
784 JP, Fullerton HJ, Howard VJ, Huffman MD, Judd SE, Kissela BM, Lackland DT,
785 Lichtman JH, Lisabeth LD, Liu S, Mackey RH, Matchar DB, McGuire DK, Mohler ER,
786 3rd, Moy CS, Muntner P, Mussolino ME, Nasir K, Neumar RW, Nichol G, Palaniappan
787 L, Pandey DK, Reeves MJ, Rodriguez CJ, Sorlie PD, Stein J, Towfighi A, Turan TN,
788 Virani SS, Willey JZ, Woo D, Yeh RW, Turner MB, American Heart Association
789 Statistics C, and Stroke Statistics S. 2015. Heart disease and stroke statistics--2015
790 update: a report from the American Heart Association. *Circulation* 131:e29-322.
791 10.1161/CIR.0000000000000152
- 792 Mozaffarian D, Hao T, Rimm EB, Willett WC, and Hu FB. 2011. Changes in diet and lifestyle
793 and long-term weight gain in women and men. *New England Journal of Medicine*
794 364:2392-2404.
- 795 Noar SM, Chabot M, and Zimmerman RS. 2008. Applying health behavior theory to multiple
796 behavior change: Considerations and approaches. *Preventive Medicine* 46:275-280.
797 10.1016/j.ypmed.2007.08.001

- 798 Noreau L, Shephard RJ, Simard C, Pare G, and Pomerleau P. 1993. Relationship of impairment
799 and functional ability to habitual activity and fitness following spinal cord injury.
800 *International Journal of Rehabilitation Research* 16:265-275.
- 801 Nosek MA, Hughes RB, Robinson-Whelen S, Taylor HB, and Howland CA. 2006. Physical
802 activity and nutritional behaviors of women with physical disabilities: physical,
803 psychological, social, and environmental influences. *Womens Health Issues* 16:323-333.
804 10.1016/j.whi.2006.08.002
- 805 O'Carroll RE, Chambers JA, Dennis M, Sudlow C, and Johnston M. 2014. Improving
806 medication adherence in stroke survivors: Mediators and moderators of treatment effects.
807 *Health Psychology* 33:1241-1250. 10.1037/hea0000082
- 808 Onwuegbuzie AJ, and Johnson RB. 2006. The validity issue in mixed research. *Research in the*
809 *Schools* 13:48-63.
- 810 Parke HL, Epiphaniou E, Pearce G, Taylor SJ, Sheikh A, Griffiths CJ, Greenhalgh T, and
811 Pinnock H. 2015. Self-Management Support Interventions for Stroke Survivors: A
812 Systematic Meta-Review. *PLoS One* 10:e0131448. 10.1371/journal.pone.0131448
- 813 Plow M, Finlayson M, and Cho C. 2012. Correlates of nutritional behavior in individuals with
814 multiple sclerosis. *Disability and Health Journal* 5:284-291.
- 815 Sarre S, Redlich C, Tinker A, Sadler E, Bhalla A, and McKevitt C. 2014. A systematic review of
816 qualitative studies on adjusting after stroke: Lessons for the study of resilience. *Disability*
817 *and Rehabilitation* 36:716-726. 10.3109/09638288.2013.814724
- 818 Serra MC, Hafer-Macko CE, Ivey FM, Macko RF, and Ryan AS. 2014. Impact of Serum
819 Nutritional Status on Physical Function in African American and Caucasian Stroke
820 Survivors. *Stroke research and treatment* 2014.

- 821 Shenton AK. 2004. Strategies for ensuring trustworthiness in qualitative research projects.
822 *Education for information* 22:63-75.
- 823 Sliwa SA, Sharma S, Dietz WH, Dolan PR, Nelson ME, and Newman MB. 2014. Healthy Kids
824 Out of School: Using Mixed Methods to Develop Principles for Promoting Healthy
825 Eating and Physical Activity in Out-of-School Settings in the United States. *Preventing*
826 *chronic disease* 11:E227. 10.5888/pcd11.140207
- 827 Visser MM, Aben L, Heijenbrok-Kal MH, Busschbach JJ, and Ribbers GM. 2014. The relative
828 effect of coping strategy and depression on health-related quality of life in patients in the
829 chronic phase after stroke. *Journal of Rehabilitation Medicine* 46:514-519.

830
831
832
833
834
835
836
837
838
839
840
841
842
843
844
845
846
847
848
849
850
851
852
853

854 **Table 1:** Characteristics of the participants ($n = 25$)

	Mean (range)
<i>Age (years)</i>	64.12 (46–89)
<i>Years since first stroke</i>	5.68 (1–33)
	N Frequency count (%)
<i>Gender</i>	
Female	12 (48)
<i>Racial minority</i>	5 (20)
<i>Education (>15 years)</i>	8 (57)
<i>Living with someone else</i>	19 (76)
<i>Living with spouse</i>	16 (64)
<i>Body mass index</i>	
Underweight	1 (4)
Normal	6 (24)
Overweight	10 (40)
Obese	8 (32)
<i>Cane use</i>	
Never	11
Sometimes	5
Always	7
<i>Walker use</i>	
Never	14
Sometimes	6
Always	4
<i>Wheelchair use</i>	
Never	17
Sometimes	5
Always	2

855

856

857

858

859

860

861 **Table 2:** Mean, standard deviation, and range of healthy behaviors and physical function
 862 questionnaires

Variable	M (SD)	Range
Healthy eating habits	7.96 (1.49)	5.00–10.00
Physical activity	33.20 (27.54)	0.00–100.00
Sleep disturbances	15.20 (5.26)	8.00–27.00
ADL limitations	78.02 (16.37)	37.50–100.00
Mobility	72.40 (19.09)	39.29–100.00
Hand function	49.00 (35.71)	0.00–100

863 *Note.* **Sleep disturbance:** Neuro-QOL eight-item short form; **Physical activity:** Godin Leisure-
 864 Time Exercise Questionnaire; **Healthy eating habits:** five-item questionnaire on healthy eating
 865 habits; **ADL limitations:** Activities of Daily Living subscale of Stroke Impact Scale-16;
 866 **Mobility:** subscale of Stroke Impact Scale-16; **Hand function:** subscale of Stroke Impact Scale-
 867 16.

868

869

870

871

872

873

874

875

876

877

878

879

880

881

882 **Table 3:** Pearson correlations between healthy behaviors and health-related quality of life
 883 questionnaires

Variables	BMI	Physical activity	Sleep	Healthy eating habits	ADL limitations	Mobility
Physical activity	-0.45*					
Sleep disturbances	0.48*	-0.48*				
Healthy eating habits	-0.10	0.33	-0.16			
ADL limitations	-0.49*	0.41*	-0.55**	0.42*		
Mobility	-0.41*	0.39	-0.19	0.33	0.78**	
Hand function	0.05	0.20	-0.20	0.45*	0.70**	0.71**

884 *Note.* * Correlation is significant at the 0.05 level (two-tailed). ** Correlation is significant at the

885 0.01 level (two-tailed). **BMI:** body mass index (self-report); **Sleep disturbance:** Neuro-QOL

886 eight-item short form; **Physical activity:** Godin Leisure-Time Exercise Questionnaire; **Healthy**

887 **eating habits:** five-item questionnaire on nutrition-related behaviors; **ADL limitations:**

888 Activities of Daily Living subscale of Stroke Impact Scale-16; **Mobility:** subscale of Stroke

889 Impact Scale-16; **Hand function:** subscale of Stroke Impact Scale-16.

890

891

892

893

894

895

896

897

898

899

900

901

902

903

904

905

906

907

908

909

910

911 **Table 4:** Summary of categories and sub-categories

Categories	Sub-categories	Examples
<i>Impairments: reduced autonomy</i>	Limiting options	“I’m just too slow at getting everything else done, and I think I just kind of cut back on everything that I want to do. The hobbies, exercises, and socializing get cut first.”
	Changes in social roles	“Before my stroke, I was a real estate sales lady. I had my own car, I could drive. After the stroke, I had to give up my car, give up driving, give up my job. Now I hardly do anything.” “I think it’s actually easier now that I’m not working to eat healthy. I think work was more of a stressor. I made the wrong choice a little more. I think my friends have less influence than they did.”
<i>Environmental Forces</i>	Formal caregivers	“And the doctor said, if you change your diet, go vegan, and eliminate oils, you would probably be able to get off of all the medication. So I converted to veganism in the hospital.” “My doctor told me that no matter what I did, I’d be on medication for all my life. And I refused to accept that and take the medications. As long as I do the exercises and I eat right, my blood pressure will go down.”
	Informal caregivers	“I started coming to this exercise class. Sometimes I don’t feel like coming but my daughters drag me, and then I have to come. I feel 100% better.” “Well, my kids, they always seem a little surprised at whatever I do [...] They sometimes do too much for me.”
	Seeking information	“I think I’ve learned more about health since I’ve had my stroke than ever before in my life because I think that you can’t beat it unless you understand it.”
<i>Re-evaluation: priorities and attributions</i>	Priorities and standards	My eating habits have substantially changed for the worse. And it’s whatever is most convenient, easy for me to do. [...]. Basically, if it’s anything more than a TV dinner, I am at a complete loss.” “I don’t mean to sound like a snob, but my standards are much higher now. I used to think it was okay to eat greasy, fried foods, and now I wouldn’t touch it [...].”
	Stroke cause	“I don’t know exactly all the problems that cause a stroke, but I know that my sugar and sodium intake contributed to it. [...] So I had to start learning how to eat properly, and that’s my goal.” “According to what I’ve read, the lack of exercise sometimes is a factor of diabetes, and it’s also a factor of having a stroke. So if I want to avoid that pitfall in the future, it’s incumbent upon me to keep myself in the best physical condition that I can [...].”

	Self-image	“So establishing that track record of doing it, of constantly completing what you set out to do, you start feeling like you are making some progress, you feel more confident, and you feel better about yourself.”
<i>Resiliency: finding motivation and solutions</i>	Self-determination	“Well, the thing in which – that keeps me on track is my desire to get better. I want to get back to doing what I used to do. And, I believe I can do it, but I just have to be cautious and persistent about doing it.” “I just refuse to accept restrictions, and I’ve been that way my whole life. I’ve never accepted no.”
	Environmental planning	“I only take nutritious food into the house now.” “I just like to make sure that there’s fruit and vegetables in the house and that I have access to them. And I – I’ve been trying to eat those rather than eating junk food, which I’ve been trying not to keep in the house.”
	Outcome expectations	“I’m not exactly where I want to be – everything has not returned to my left side yet, but exercising and eating right and watching my weight is getting me closer.” “Getting enough sleep and exercising are the things that contribute to me being able to mentally be stronger and help resist stress or negativity.”
<i>Negative Affectivity</i>	Stress and anxiety	“About the first three, man, it was fear. I couldn’t sleep. After having the stroke, I was so worried about having another one.” “I feel almost like a prisoner in my own house. And when you can’t do something and you want to do something, it makes you frustrated. [...] And not being able to get out and about and do additional things like exercise and go for a walk makes me more frustrated.”
	Self-consciousness	“I just don’t like going to the gym. I don’t like it when other people notice me struggling. They take pity on me, and I don’t like that.” “It’s just this and the effect the stroke had on me, and it’s made me very sensitive [about my body]. You know, I find myself questioning myself on everything I do – whether I’m doing the right thing or whether I’m doing it well enough.”

912

913

914

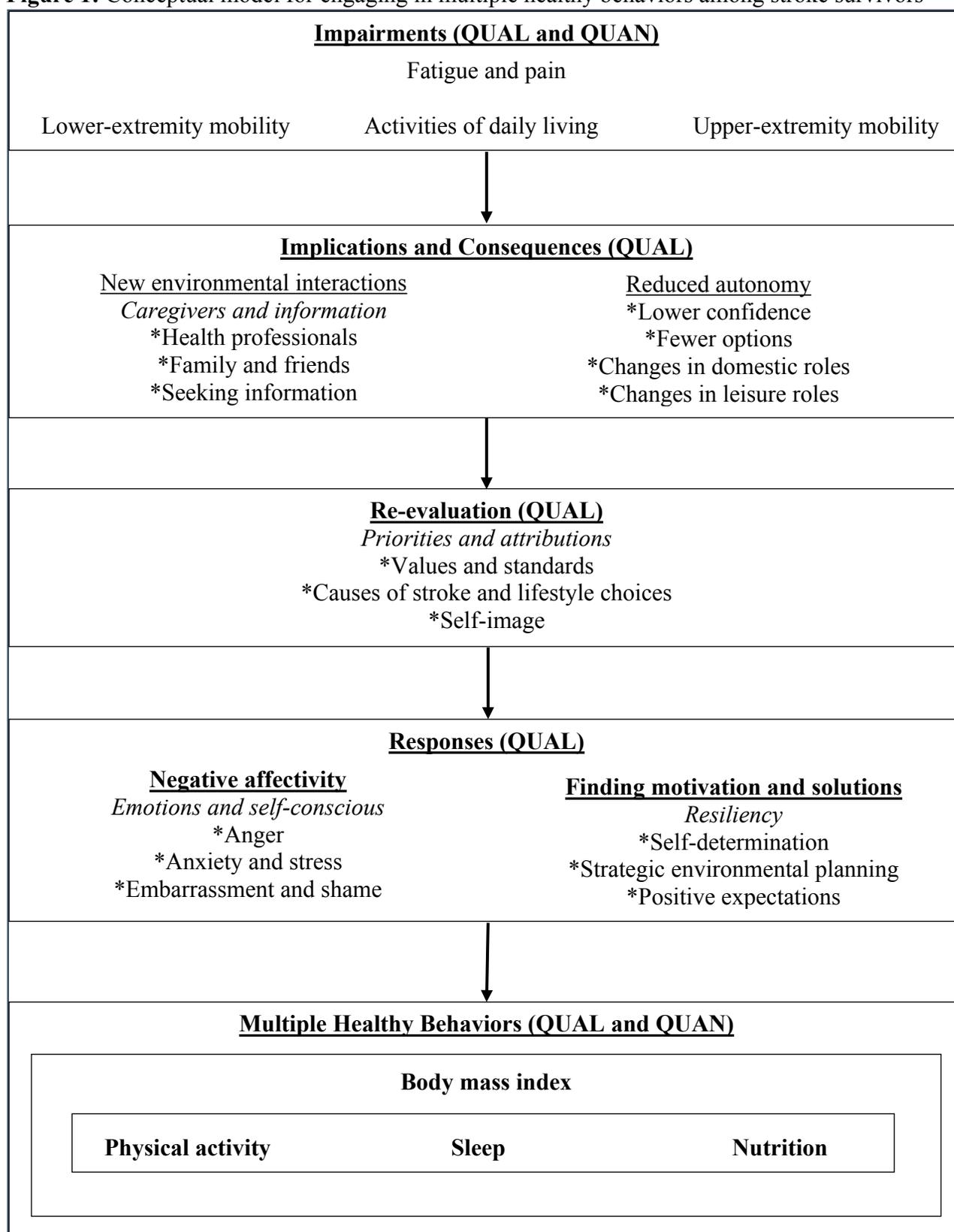
915

916

917

918 **Table 5:** Integration of qualitative and quantitative data

Approach	Meta-inference	Quantitative data	Qualitative data
Behavior change principles	Reciprocal determinism correspondence	<p>Moderate correlations between activities of daily living and multiple healthy behaviors; i.e., BMI ($r = -0.49$), physical activity (0.41), Sleep ($r = -0.55$), healthy eating habits ($r = 0.42$)</p> <p>Moderate correlation between hand impairments and healthy eating habits ($r = 0.45$) and non-significant correlations between hand impairments and physical activity ($r = 0.20$), sleep ($r = 0.20$), and BMI ($r = 0.05$)</p>	<p>Descriptions of why restrictions in activities of daily living influence multiple behaviors and differences in how impairments influence single or multiple behaviors</p> <p>Descriptions of how mobility impairments interact with environmental factors to restrict physical activity options only or restrict physical activity options and healthy eating habits</p>
Global health / behavioral category	Circumstantial linkages	Small non-significant correlations between physical activity and nutrition ($r = 0.33$) and between physical activity and mobility ($r = 0.39$)	<p>Descriptions of the linkages between physical activity and nutrition based on the circumstances of the participants</p> <p>Perceptions of how behaviors are prioritized and how traits may influence behaviors, such as how resiliency facilitates multiple behaviors and how negative affectivity hinders multiple behaviors</p>
Association between behaviors	Sleep disturbance ripple effect	Moderate correlations between sleep and multiple healthy behaviors; BMI ($r = 0.48$), physical activity ($r = -0.48$), and ADL limitations ($r = -0.55$)	Descriptions of how sleep disturbances decreased motivation to engage in multiple healthy behaviors

919 **Figure 1:** Conceptual model for engaging in multiple healthy behaviors among stroke survivors

920

921 **Note:** QUAL = derived from qualitative data; QUAN = derived from quantitative data