1	Rates of Morphological Evolution in Captorhinidae: an Adaptive Radiation of Permian
2	Herbivores
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26	Abstract.
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28	The evolution of herbivory in early tetrapods was crucial in the establishment of
29	terrestrial ecosystems, although it is so far unclear what effect this innovation had on the macro-
30	evolutionary patterns observed within this clade. The clades which entered this under-filled
31	region of ecospace might be expected to have experienced an "adaptive radiation": an increase in
32	rates of morphological evolution and speciation driven by the evolution of a key innovation.
33	However such inferences are often circumstantial, being based on the coincidence of a rate shift
34	with the origin of an evolutionary novelty. The conclusion of an adaptive radiation may be made
35	more robust by examining the pattern of the evolutionary shift; if the evolutionary innovation
36	coincides not only with a shift in rates of morphological evolution, but specifically in the
37	morphological characteristics relevant to the ecological shift of interest, then one may more
38	plausibly infer a causal relationship between the two.
39	Here I examine the impact of diet evolution on rates of morphological change in one of
40	the earliest tetrapod clades to evolve high-fibre herbivory: Captorhinidae. Using a method of
41	calculating heterogeneity in rates of discrete character change across a phylogeny, it is shown
42	that a significant increase in rates of evolution coincides with the transition to herbivory in
43	captorhinids. The herbivorous captorhinids also exhibit greater morphological disparity than
44	their faunivorous relatives, indicating more rapid exploration of new regions of morphospace. As
45	well as an increase in rates of evolution, there is a shift in the regions of the skeleton undergoing

46 the most change; the character changes in the herbivorous lineages are concentrated in the

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47	manible and dentition. The fact that the increase in rates of evolution coincides with increased
48	change in characters relating to food acquisition provides stronger evidence for a causal
49	relationship between the herbivorous diet and the radiation event.
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51	Key Words: Captorhinidae; Adaptive Radiation; Herbviore; Paleozoic; Tetrapod
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54	Introduction
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56	The evolution of high fibre herbivory represents a major step in the establishment of
57	terrestrial ecosystems. Prior to the appearance in the Pennsylvanian of tetrapods capable of
58	feeding directly on plant matter, the vast majority of primary consumers in the terrestrial realm
59	were detritivorous invertebrates (Shear & Sheldon, 2001). By the end of the Cisuralian, five
60	tetrapod lineages had independently evolved a herbivorous diet and terrestrial ecosystems were
61	adopting a more modern set of tropic interactions, with a great abundance of large terrestrial
62	vertebrates supporting a relatively small number of macro-carnivores (Olson 1966; Sues & Reisz
63	1998).
64	Although arthropod herbivores were present in terrestrial ecosystems prior to the
65	evolution of herbivory in tetrapods, terrestrial vertebrate herbivores were entering a somewhat
66	under-filled region of ecospace. These early herbivores therefore provide an ideal opportunity to
67	examine the changes in rate and mode of evolution and diversification resulting from
68	evolutionary innovations. Simpson's adaptive radiation model (Simpson 1953) posits that a
69	"key" evolutionary novelty gives a lineage a selective advantage or allows it to enter a new

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70 ecological niche and thus leads to an increase in morphological diversification or speciation 71 rates. Such a model is often invoked when analyses of diversification rate heterogeneity identify 72 shifts which coincide with an innovation of interest (e.g. Benson & Choiniere 2013; Cook & 73 Lessa 1998; Forest et al. 2007; Kazancioğlu et al. 2009; Kozak et al. 2005; McLeish et al. 2007; 74 Ruber et al. 2003; Vences et al. 2002). However, the inference of a causal relationship between 75 innovation and shift in diversification rate is in these cases circumstantial, based solely on the 76 coincidence of the shift and the evolutionary novelty. In order to more reliably infer a causal relationship, one must also examine the precise nature of the shift. For example, Brocklehurst et 77 78 al. (2015) showed that, although early amniotes do exhibit diversification rate increases 79 coinciding with various "key" innovations, the shifts did not represent increases in speciation 80 rate but instead coincided with periods of increased extinction rate. Thus it was inferred that 81 these innovations did not cause Simpsonian adaptive radiations, but instead buffered against high 82 levels of extinction.

In the same way, when attempting to infer a causal relationship between a key innovation and a shift in rates of morphological evolution, it is not enough to point to a rate shift along the branch where the innovation appeared, but one must examine the morphological changes occurring subsequent to the shift; is the clade of interest showing a higher rate of changes in features relevant to the exploitation of the new ecological niche allowed by the key innovation? If not, there is unlikely to be a causal link between the two.

This logic is here applied to an examination of rates of morphological evolution in the earliest herbivores, using the family Captorhinidae as a case study. Captorhinids were a diverse clade of sauropsids (reptile-line amniotes) which appeared during the Late Pennsylvanian (Müller & Reisz 2005) and survived until the end of the Permian. Herbivorous members of this

93	clade appear in the Kungurian, characterised by the multiple rows of teeth and a propalineal
94	motion of the lower jaw in order to grind and shred plant matter (Dodick & Modesto 1995;
95	Modesto et al. 2007). In this paper I examine the rates of morphological evolution in this family
96	using a method incorporating a time calibrated phylogeny and a matrix of discrete characters
97	(Lloyd et al. 2012). Emphasis is placed not only on examining whether rate increases coincide
98	with shifts in diet, but also on examining whether a shift in diet coincides with increased
99	frequency character-state transformation in regions related to feeding, such as the dentition. In
100	this way, a more robust inference may be made concerning the possibility of an adaptive
101	radiation coinciding with the origin of herbivory in this family.
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104	Materials and Methods
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106	Phylogeny and time calibration
107	The phylogeny used was that presented in Liebracht et al. (2016), currently the most

The phylogeny used was that presented in Liebrecht et al. (2016), currently the most 10/ comprehensive cladistic analysis of captorhinids. The phylogeny was time calibrated in the R 108 3.1.2 (R Core Team 2014) using the method proposed by Lloyd et al. (2016), itself an expansion 109 110 of a method put forward by Hedman (2010). The method of Hedman (2010) was intended to 111 infer confidence intervals on the age of a specific node in the tree. It is a Bayesian approach 112 using the ages of successive straigraphically consistent outgroup taxa relative to the age of the node of interest to make inferences about the quality of sampling; large gaps between the age of 113 the node of interest and that of the outgroups implies a poorly sampled fossil record, and 114 115 therefore the age of the node of interest may be inferred to be older. Lloyd et al. (2016) designed

116 a procedure whereby this approach could be applied to an entire tree rather than just a specific 117 node. In applying this method, successive outgroups are required to the total clade. The 118 outgroups to Captorhinidae employed were: Paleothyris and Hylonomus (found to be the 119 outgroups to Captorhinidae in the Bayesian analyses of Müller & Reisz [2005]). Archaeothyris 120 (the earliest known synapsid [Reisz et al. 1972]), and *Westlothiana* (a reptiliamorph outside the 121 amniote crown according to Ruta & Coates [2007]). A maximum age constraint was set as 334.7 122 million years ago, the oldest estimate using molecular dating for the origin of Amniota published 123 within the last five years at the moment of data collection (Parfrey et al. 2011). 124 Uncertainty surrounding the ages of taxa was accounted for using the method of Pol and 125 Norrell (2006). For each taxon (including the outgroups), 100 first appearances and last 126 appearances were drawn at random from a uniform probability distribution covering the full 127 possible range of ages for that taxon. 100 time-calibrated trees were produced from the 100 sets of ages. 128 129 Since the analysis of Liebrecht et al. (2016) produced two most parsimonious trees

(MPTs), half the time calibrated trees were based on the first, and half on the seconds. All
analyses described below were carried out on all 100 of these trees. All 100 of these trees are
available in supplementary data 1, and the age ranges allowed for each taxon in supplementary
data 2.

134

135 Reconstruction of dietary evolution

A dietary character with three states, carnivore, herbivore and omnivore, was scored for
all taxa present in the phylogeny. Ancestral character states were deduced using likelihood,
employing the *ace* function in the ape package (Paradis et al. 2004) in R. This function allow

139 three models of discrete character change: an equal rates model (transitions between all states in 140 all directions are equally probable), a symmetrical model (transitions between two character 141 states occur with equal probability in either direction, but different pairs of character states have 142 different probabilities of transition) and an all-rates-different model (each transition has a 143 different probability). In order to deduce which model was best for the data available, these 144 models were fit to the captorhinid phylogeny using likelihood methods, employing the fitDiscrete function in the R package geiger (Harmon et al. 2008). The Akaike weights were used 145 to deduce the best fitting model. 146

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148 Analysis of Rate Variation

149 Analysis of rate variation was carried out using the method of Lloyd et al. (2012), and 150 later refined by Brusatte et al. (2014) and Close et al. (2015). Discrete morphological character 151 scores may be taken from the matrices used in cladistic analyses, and ancestral states are deduced using likelihood. This allows the number of character changes along each branch to be counted, 152 153 and rates of character change are calculated by dividing the number of changes along a branch by 154 the branch length. The absolute value calculated for the rate of each branch, however, can be 155 misleading due to the presence of missing data (Lloyd et al. 2012). As such it is more useful to identify branches and clades where the rates of character change are significantly higher or lower 156 157 than others, rather than comparing the raw numbers. This is assessed by comparing two models 158 using a likelihood ratio test, one where the rates of change are uniform across the whole tree and 159 one where the branch of interest has a different rate to the rest of the tree. A similar method is 160 used to compare rates of evolution through time and identify bins where rates of evolution are 161 significantly high or low.

162 The character data used is from the matrix of Liebrecht et al. (2016). The time bins used 163 to examine rate variation through time were substages, dividing the international stages into two 164 bins, early and late. The analysis was carried out in R using functions from the package Claddis 165 (Lloyd 2016) on all 100 of the time calibrated trees. The data matrix is presented in

166 supplementary data 3.

167 Due to the uncertainty surrounding the optimisation of the dietary character, a stochastic 168 mapping approach was used to examine rate heterogeneity in the different dietary classes. For 169 each of the 100 time calibrated trees, the dietary character containing three states (carnivore, 170 omnivore and herbivore) was mapped onto the tree using likelihood. Using the character state 171 probabilities identified at each node, 100 possible evolutionary histories of diet in that tree were 172 generated for each of the 100 phylogeny, giving a total of 10,000 stochastic maps. The mean rate 173 of herbivorous branches, carnivorous branches and omnivorous branches were calculated in each 174 stochastic map, along with the mean rate of a randomly selected set of branches with a sample 175 size equal to the number of herbivorous branches in that map.

176

177 Disparity

The character matrix of Liebrecht et al. (2016) was also used to examine morphological diversity (disparity). Morphological distances between taxa were calculated using the MORD distance measure of Lloyd (2016), which was shown to perform better in datasets with large amounts of missing data. Following the suggestion of Brusatte et al. (2008), the internal nodes of the phylogeny were treated as data points, with their character scores inferred using ancestral state reconstruction, in order to account for the incomplete sampling of the fossil record; these

data points represent ancestral taxa which may have possessed character combinations not
observed in sampled taxa.

Having generated a distance matrix, once again the stochastic mapping approach was
used to compare disparity in different dietary classes. For each of the 10,000 evolutionary
histories generated, each taxon (both tip and node) was assigned a dietary class, and the mean
MORD distance for each of the tree dietary classes was calculated.

190 Disparity through time was investigated by subjecting the MORD distance matrices to a 191 principal coordinate analysis. Disparity in each time bin was calculated as the sum of variances 192 of the PC scores of each taxon in that bin. An attempt was also made to incorporate ghost 193 lineages into the analysis, using a novel method illustrated in Figure 1. Taxon A is present in 194 time bin 3, and its ancestral node is inferred to be in time bin 1. Therefore there must be a ghost 195 lineage present in time bin 2 (Fig. 1a), which would be ignored in the disparity analysis under the 196 method of Brusatte et al. (2011), wherein only node and tip morphologies were included. The 197 morphology inferred in time bin 2 will depend on which model of evolution is preferred; under a 198 gradualistic model of evolution, assuming no change in rate along the branch (Fig. 1b), the 199 principal coordinate score in time bin 2 may be inferred by calculating the rate of change in the 200 principal coordinate along that branch, and the amount of time between the ancestral node and 201 the midpoint of time bin 2. Alternatively one may assume a punctuated model of evolution, 202 where the morphological change occurs rapidly at the time of speciation in time bin 1, and the 203 lineage experiences morphological stasis for the remaining time; thus the PC scores inferred in 204 time bin 2 will be identical to that of the tip in time bin 3 (Fig. 1c). Both methods are used here 205 to compare the results. Again, the stochastic mapping approach was used to assign a diet to each

branch, allowing the comparison of patterns of disparity through time in each of the dietaryregimes.

208

209 Character change histories

210 The character list of Liebrecht et al. (2016) was divided into five categories based on the 211 region to which the characters referred to: Skull, Palate, Mandible, Dentition and Postcranium. 212 The functions in the package Claddis automatically calculates the most likely combination of 213 character changes for each of the 100 time calibrate phylogenies alongside the analysis of rate 214 variation. These character change histories were used to assess which region of the skeleton 215 underwent the greatest change within each dietary regime. Using the 10,000 stochastic maps of 216 the dietary character, the number of characters from each region changing within each regime 217 was counted. These counts for each region were divided by the total number of character changes 218 occurring across the entire tree in that region to account for the fact that the characters were not 219 evenly distributed. The list of characters and the region to which they were assigned is presented 220 in supplementary data 4.

221

- 222 **Results**
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#### 224 *Dietary evolution*

Fitting of discrete models of character evolution to the dietary character indicates an equal rates model best fits the captorhinid phylogeny (Fig. 2). It should be noted that this support is not overwhelming; although the ER model is found to fit best in all 100 trees, in none does it receive an akaike weights score of above 0.8. Using this model in ancestral state reconstructions

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229 (Fig. 3) indicates a single transition to a herbivorous diet is most probable. *Labidosaurus*, judged 230 to be an omnivore by Modesto et al. (2007) on the basis of the dental morphology, is found to 231 most likely have evolved from a herbivorous ancestor, rather than *Captorhinikos chozaensis* and 232 the Moradisaurinae representing a convergent transitions to herbivory from an omnivorous 233 ancestor. There is, however, considerable uncertainty; the probability of a herbivorous ancestor 234 is not much more than 50%. There is further uncertainty surrounding the ancestral diet of the 235 clade containing the three species of Captorhinus, Captorhinikos chozaensis, Labidosaurus and 236 the Moradisaurinae; while an omnivorous ancestor receives the highest likelihood, the 237 probability is not much better than that of a carnivorous ancestor. This has implications for the 238 transition to herbivory; the transition from carnivory to herbivory may have passed through an omnivorous phase, which was retained by the genus Captorhinus (Dodick & Modesto 1995; 239 240 Kissel et al. 2002), or the genus *Captorhinus* may represent a transition to omnivory from 241 carnivory independent of the transition to herbivory.

242

243 Analyses of Rate Heterogeneity

In the overwhelming majority of the 100 time calibrated trees, a significant rate increase is identified along the branch leading to the Moradisaurinae (Fig. 4), the clade containing exclusively herbivorous taxa. The position of other significant increases in rate depend on the tree topology and the uncertainty in dating the taxa, but in more than half of the trees the branches leading to the clade containing the Moradisaurinae, *Labidosaurus* and *Captorhinikos chozensis* (the clade inferred to have a herbivorous ancestor) are found to exhibit a rate increase, as is the lineage leading to the clade containing *Labidosaurus* and Moradisaurinae in more than

two thirds of the trees. Significant rate decreases are observed in the lineages leading to
 *Saurorictus* and to *Labidosaurus* in the majority of the 100 trees.

While the analyses did identify rate heterogeneity when comparing branches of the phylogeny, when comparing rates of evolution in different time bins, very little was identified. In all of the 100 time calibrate trees, a constant rate through time was found to have a higher likelihood than a different rate in each time bin.

257

#### 258 Rates and Disparity in Different Dietary Regimes

Of the 10,000 stochastic maps of dietary evolution in captorhinids, the herbivores have a higher mean rate of discrete character change than the omnivores in 9845, and a higher rate than the carnivores in all 9986 (Fig. 5a). When the mean rates of herbivores are compared to an equal number of branches drawn at random, the herbivores have a higher mean rate in 9484 of the stochastic map (Fig. 5b). In all 10,000 stochastic maps, the mean morphological distance between the herbivorous taxa is greater than that of the omniovores and the carnivores, indicating a greater disparity (Fig. 6).

266

267 Disparity Through Time

When evolutionary change is assumed to be gradual (Fig. 7a), the carnivorous captorhinids show a gradual increase in morphological disparity up to a peak in the early Artinskian. Through the late Artinskian and Kungurian their disparity decreases, culminating in a fall to zero across the Kungurian/Roadian boundary, after which only one carnivorous captorhinid is included in the phylogeny (*Saurorictus*). The omnivorous captrohinids show a similarly gradual increase in disparity between the Asselian and Kungurian. Again, their

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disparity falls to zero across the Kungurian/Roadian boundary. The initial establishment of the
disparity of the herbivorous lineages is more rapid than that of the carnivores, having exceeded
the disparity of the carnivorous captorhinids by the early Kungurian. A disparity peak is reached
in the late Kungurian, higher than the peaks observed either in the carnivorous or omnivorous
curves. Herbivore disparity falls across the Kungurian/Roadian boundary, but recovers by the
Wuchiapingian.

280 If morphological change is assumed to be punctuated with the morphological change 281 occurring at the speciation events (Fig. 7b), then in all three dietary classes peak morphological 282 disparity is reached soon after that regime's appearance, and disparity remains fairly constant in 283 the bins following. As observed when using the gradualistic model, however, peak disparity of 284 the herbivores is higher than either the omnivores or the carnivores. Interestingly, the disparity of 285 herbivores already exceeds that of the other two dietary regimes by the Artinskian when using 286 the punctuated model. Moreover, the decrease in disparity observed in the herbivorous lineages 287 across the Kungurian/Roadian boundary is of a much lesser extent and disparity has recovered by 288 the Wordian.

289

#### 290 Character Change Histories

The majority of character changes in the carnivorous lineages occurred in the skull and postcranium (Fig. 8a). In the majority of the 10,000 stochastic maps the feeding apparatus (teeth and mandible) remain more conservative, with a lower proportion of character changes occurring in these regions. This changes with the transition to herbivory: the majority of the characters changing in herbivorous captorhinids are dental characters and, in many (but not all) of the stochastic maps, mandibular characters (Fig. 8c). The postcranium and skull, the most plastic

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- regions in the carnivorous captorhinids, show lower proportions of character change in this new
  dietary regime. There is little difference in the proportions of characters changing in each region
  in the omnivorous captorhinids (Fig. 8b).
- 300

#### 301 **Discussion**

302

303 The link between a supposed "key innovation" and an adaptive radiation must always, to 304 a certain extent, be circumstantial; one may identify the branch in a phylogeny along which the 305 evolutionary novelty likely appeared, and one may identify the location of shifts in rates of 306 evolution and diversification, but conclusively proving a causal relationship between the two is 307 extremely difficult. Nevertheless, the evidence supporting an adaptive radiation of captorhinids 308 coinciding with the origin of herbivory in this clade is compelling. It is only along herbivorous 309 branches that significant increases in rates of morphological evolution are identified in the 310 majority of the 100 time calibrated trees, and in the overwhelming majority stochastic maps the 311 mean rate evolution in herbivorous lineages is higher not only than in the other dietary categories 312 but crucially is also higher than in randomly selected clusters of taxa with an equal sample size 313 in more than 94% of the stochastic maps. Further support for higher rates of evolution among 314 herbivorous captorhinids than in other dietary regimes can be found in the lineage leading to Labidosaurus; a reversal from a herbivorous ancestor to an omnivorous taxon usually coincides 315 316 with a significant decrease in rates of evolution.

The herbivorous captorhinids also occupy a wider range of morphologies than the other dietary categories, indicating that the increased rate of evolution was an exploration of new morphologies, not simply re-entering established regions of morphospace. While carnivorous

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320 and omnivorous captorhinids both show a gradual increase in disparity up to a peak in the 321 Artinskian and Kungurian respectively, the herbivorous captorhinids show a much more rapid 322 increase in morphological diversity. Herbivorous taxa don't appear in the fossil record until the 323 Kungurian (although calibrating the phylogeny using the Hedman approach indicates an earlier 324 origin), yet by the late Kungurian they already show a greater morphological diversity than either 325 the carnivores or omnivores show at any point in their evolutionary history. Although the 326 disparity of herbivores falls across the Kungurian/Roadian boundary, a trough possibly related to 327 the mass extinction event known as Olson's Extinction (Brocklehurst et al. 2015; Sahney & 328 Benton 2008; Brocklehurst et al. in review), the morphological diversity recovers during the 329 Guadalupian and Lopingian, reaching an even higher peak of disparity by the Wuchiapingian. 330 Even though the species richness of captorhinids is substantially decreased by Olson's 331 extinction, the herbivorous lineages continue to show increased morphological innovation. 332 While the coincidence of the rate and disparity increase with the "key innovation" does 333 not necessarily indicate cause and effect, the nature of the morphological changes provides much 334 stronger evidence. It is not only that the rate of character changes increases coinciding with the 335 shift in diet, but it is that the character changes within the herbivores are those referring to the 336 mandible and dentition; that is, the characters related to the feeding apparatus. In the carnivorous 337 captorhinids, the majority of the character changes occur in the skull and the postcranium, while 338 the dentition remains extremely conservative. It is this observation that moves the inference of an 339 adaptive radiation driven by a key innovation beyond one based on the circumstantial evidence 340 discussed above. The evolution of a herbivorous diet occurs alongside not only an increase in the 341 rate of character changes, but a shift in the nature of the changes. The changes occurring during

the adaptive radiation are directly related to the innovation supposedly driving it, a strongindicator of a causal relationship.

344 Prior to the evolution of herbivory in captorhinids the overwhelming majority of 345 vertebrate herbivores were large (Reisz & Fröbisch 2014; Reisz & Sues 2000). Edaphosaurids 346 were the most diverse and abundant high-fibre herbivores throughout much of the Pennsylvanian 347 and the Early Permian (Pearson et al. 2013; Reisz & Sues 1998), although they go into decline 348 before the end of the Cisuralian. In the latest Cisuralian Hennessey Formation of Oklahoma they are represented solely by some neural spine fragments (Daly 1973), whilst the only supposed 349 edaphosaurid from the contemporary Clear Fork Group of Texas was recently re-described as an 350 351 indeterminate moradisaurine captorhinid (Modesto et al. 2016).

352 It has been suggested that edaphosaurids and mordaisaurine captorhinids were occupying 353 similar ecological niches (Modesto et al. 2014); they both convergently evolved similar 354 strategies to deal with plant material (upper and lower tooth-plates and a propalineal motion of 355 the lower jaw). The possibility of competition has been mooted, with the moradisaurines 356 replacing the edaphosaurids. However, Modesto et al. (2016) rejected this due to the limited 357 stratigraphic overlap between the two. Moreover, while edaphosaurids show selection towards 358 larger body size (Reisz & Fröbisch 2014; Brocklehurst & Brink in press), the herbivorous 359 captorhinids show a greater tendency towards decreases in body size than increases 360 (Brocklehurst 2016), possibly indicating niche partitioning instead of competition. During the 361 latest Cisuralian genera such as Captorhinikos and Labidosaurikos become the most abundant small herbivores (Brocklehurst et al. in review), rather than replacing edaphosaurids as large 362

363 herbivores.

364 Instead of viewing them as supplanting edaphosaurids, Modesto et al. (2016) suggested 365 that the changing climate of the time was responsible for the radiation of the moradisaurine captorhinids. It is true that the radiation of the Moradisaurinae does coincide with a shift towards 366 367 a warmer, drier, more seasonal climate, and the captorhinids continue to thrive in the arid 368 equatorial regions for the rest of the Permian (Brocklehurst et al. in review), in contrast to their 369 rarity in temporal regions. However, the analysis of rates through time casts doubt on this 370 explanation. An extrinsic driver of increased morphological diversity, such as climate changes, should produce a rate shift at a specific point in time rather than in a specific clade. The data 371 372 presented here, on the other hand, suggests no significant increase in rate during the Kungurian. 373 In fact, in all of the time calibrated phylogenies a constant rate through time best fits the 374 observed data. The shifts in rate occur along specific branches, not at a specific point in time, 375 and therefore must be associated with an intrinsic cause.

376 It is therefore considered more likely that the shift in diet is the cause for the adaptive 377 radiation; specifically the shift into the "small herbivore" niche that did not require competition 378 with edaphosaurids, caseids and diadectids. Although bolosaurid parareptiles did occupy this 379 niche in some areas during the early and middle Permian, they are comparatively rare and exhibit 380 low species richness (Reisz & Fröbisch 2014). The radiation observed in captorhinids represents 381 an expansion into an extremely under-filled region of ecospace, which they were able to occupy 382 more efficiently than bolosaurids. It is possible that the increased dental and mandibular 383 innovation allowed the captorhinids their greater success. Herbivorous captorhinids possess 384 multiple tooth rows (in some taxa as many as eleven) and the ability to move the jaw 385 propalineally (Heaton 1979; Doddick & Modesto 1995; Modesto et al. 2007, 2014), creating an 386 effective surface for grinding and shredding plant matter. Other dental and mandibular

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387	innovations appearing within the Moradisaurinae include a saddle-shaped occlusal surface of the
388	teeth and a more robust ramus of the jaw.

389

#### Conclusions 390

- 391 A single transition to herbivory in Captorhinidae is most found to be most probably, 392 although whether from a carnivorous or omnivorous ancestor is unclear. Labidosaurus 393
- 394 Significant increases in rates of discrete character change are observed coinciding with

appears to represent a reversal to an omnivorous diet from a herbivorous ancestor.

- the origin of herbivory. The herbivorous lineages are found to have higher rates of 395
- 396 evolution than their carnivorous and omnivorous relatives.
- 397 The herbivorous captorhinids were more morphologically diverse than their carnivorous • 398 and omnivorous relatives, and reached their peak disparity more rapidly.
- 399 The shift to higher rates of discrete character change is accompanied by a shift towards • 400 increased evolution of the mandible and dentition, supporting a causal link between the 401 origin of a herbivorous diet and the radiation observed in captorhinids during the
- 402 Kungurian.
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520	
521	Figure Captions
522	Figure 1
523	Title: An illustration of the methods used to calculate disparity in this study
524	Legend: (A) A hypothetical phylogeny illustrating as solid dots the data points that would be
525	included under the method of Brusatte et al. (2010): the tip taxa A, B and C, and the
526	Nodes 1 and 2; (B) The phylogeny plotted against a hypothetical trait, illustrating how
527	the morphology of the lineage leading to Taxon C in time bin 1 and the morphology of
528	the lineage leading to taxon A in time bin 2 may be inferred assuming a gradual model of
529	evolution with no rate variation along a branch; (C) An illustration of how the same
530	morphologies are inferred assuming a punctuated model of evolution, where the
531	morphological change occurs at the speciation event
532	
533	Figure 2
534	Title: The fit of models of diet evolution to the phylogeny of Captorhinidae.
535	Legend: Boxplots illustrating the distribution of 100 Akaike weights values calculated for each
536	of the models of the evolution of diet as a discrete character, fit to the 100 time calibrated
537	phylogenies of captorhinids. ER = Equal Rates; SYM = Symmetrical; ARD = All Rates
538	Different
539	
540	Figure 3
541	Title: The phylogeny of Captorhinidae, illustrating the evolution of diet.

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542	Legend: Two of the 100 time calibrate phylogenies used in the analysis. The thick branches
543	represent the observed ranges of each taxon. The colours of the tip labels represent the
544	diet inferred for that taxon: Red = Carnivore, Blue = Omnivore, Green = Herbivore. The
545	pie charts at each node represent the probability of each dietary regime inferred for that
546	node, deduced by maximum likelihood ancestral state reconstruction. (A) MPT 1:
547	Opisthodontosaurus is the sister to the clade containing Rhiodenticulatus and all
548	captrorhinids more derived. (B) MPT 2: Opisthodontosaurus is the sister to Concordia.
549	
550	Figure 4
551	Title: The phylogeny of Captorhinidae, illustrating the location of significant changes in rates of
552	evolution.
553	Legend: Two of the 100 time calibrate phylogenies used in the analysis. The thick branches
554	represent the observed ranges of each taxon. The colours of the tip labels represent the
555	diet inferred for that taxon: Red = Carnivore, Blue = Omnivore, Green = Herbivore. The
556	pie charts on each branch represent the proportion of the 100 time calibrated phylogenies
557	which show significantly high or low rates of evolution along that branch: Red =
558	significantly high rates, Blue = significantly low rates, White = no significant rate
559	variation. (A) MPT 1: Opisthodontosaurus is the sister to the clade containing
560	Rhiodenticulatus and all captrorhinids more derived. (B) MPT 2: Opisthodontosaurus is
561	the sister to Concordia.
562	
563	Figure 5
564	Title: A comparison of the mean rates of evolution within each dietary regime.

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565	Legend: (A) Histogram illustrating the mean rate of discrete character evolution calculate for
566	each dietary regime in each of the 10,000 stochastic maps of dietary evolution; (B)
567	Histogram illustrating the mean rate of discrete character evolution calculate for the
568	herbivorous lineages compared to a random selection of branches with an equal sample
569	size in each of the 10,000 stochastic maps of dietary evolution.
570	
571	Figure 6
572	Title: A comparison of the morphological distances between taxa within each dietary regime.
573	Legend: Histogram illustrating the mean MORD distance between each taxon in each each
574	dietary regime in each of the 10,000 stochastic maps of dietary evolution.
575	
576	Figure 7
577	Title: A comparison of disparity through time of the captorhinids in each dietary regime
578	Legend: The disparity (sum of variances) calculated for all taxa within each dietary regime in
579	each time bin. Values shown in the graph are the means of the values calculated in 10,000
580	stochastic maps of dietary evolution. (A) Morphology along each branch calculated
581	assuming a gradualist model of evolution; (B) Morphology along each branch calculated
582	assuming a punctuated model of evolution.
583	
584	Figure 8
585	Title: The proportion of characters within each skeletal region changing within each dietary
586	regime

- 587 Legend: Boxplots illustrating the distribution of the proportions of character changes in each
- 588 skeletal region occur in each dieatary regime, calculated in each of the 10,000 stochastic
- 589 maps of dietary evolution. (A) Carnivores; (B) Omnivores; (C) Herbivores.

An illustration of themethods used to calculate disparity in this study

(A) A hypothetical phylogeny illustrating as solid dots the data points that would be included under the method of Brusatte et al. (2010): the tip taxa A, B and C, and the Nodes 1 and 2; (B) The phylogeny plotted against a hypothetical trait, illustrating how the morphology of the lineage leading to Taxon C in time bin 1 and the morphology of the lineage leading to taxon A in time bin 2 may be inferred assuming a gradual model of evolution with no rate variation along a branch; (C) An illustration of how the same morphologies are inferred assuming a punctuated model of evolution, where the morphological change occurs at the speciation event



The fit of models ofdiet evolution to the phylogeny of Captorhinidae

Boxplots illustrating the distribution of 100 Akaike weights values calculated for each of the models of the evolution of diet as a discrete character, fit to the 100 time calibrated phylogenies of captorhinids. ER = Equal Rates; SYM = Symmetrical; ARD = All Rates Different



The phylogeny of Captorhinidae, illustrating the evolution of diet.

Two of the 100 time calibrate phylogenies used in the analysis. The thick branches represent the observed ranges of each taxon. The colours of the tip labels represent the diet inferred for that taxon: Red = Carnivore, Blue = Omnivore, Green = Herbivore. The pie charts at each node represent the probability of each dietary regime inferred for that node, deduced by maximum likelihood ancestral state reconstruction. (A) MPT 1: *Opisthodontosaurus* is the sister to the clade containing *Rhiodenticulatus* and all captrorhinids more derived. (B) MPT 2: *Opisthodontosaurus* is the sister to *Concordia*.



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The phylogeny of Captorhinidae, illustrating the location of significantchanges in rates of evolution.

Two of the 100 time calibrate phylogenies used in the analysis. The thick branches represent the observed ranges of each taxon. The colours of the tip labels represent the diet inferred for that taxon: Red = Carnivore, Blue = Omnivore, Green = Herbivore. The pie charts on each branch represent the proportion of the 100 time calibrated phylogenies which show significantly high or low rates of evolution along that branch: Red = significantly high rates, Blue = significantly low rates, White = no significant rate variation. (A) MPT 1: *Opisthodontosaurus* is the sister to the clade containing *Rhiodenticulatus* and all captrorhinids more derived. (B) MPT 2: *Opisthodontosaurus* is the sister to *Concordia*.

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A comparison of themean rates of evolution within each dietary regime.

(A) Histogram illustrating the mean rate of discrete character evolution calculate for each dietary regime in each of the 10,000 stochastic maps of dietary evolution; (B) Histogram illustrating the mean rate of discrete character evolution calculate for the herbivorous lineages compared to a random selection of branches with an equal sample size in each of the 10,000 stochastic maps of dietary evolution.



A comparison of themorphological distances between taxa within each dietary regime.

Histogram illustrating the mean MORD distance between each taxon in each each dietary regime in each of the 10,000 stochastic maps of dietary evolution .



# Figure 7

A comparison of disparity through time of the captor hinids in each dietary regime



The proportion of characters within each skeletal region changing withineach dietary regime

The proportion of characters within each skeletal region changing within each dietary regime

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