

Upregulation of NOXA by 10-Hydroxycamptothecin plays a key role in inducing fibroblasts apoptosis and reducing epidural fibrosis

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The fibrosis that develops following laminectomy or discectomy often causes serious complications, and the proliferation of fibroblasts is thought to be the major cause of epidural fibrosis. 10-Hydroxycamptothecin (HCPT) has been proven to be efficient in preventing epidural fibrosis, but the exact mechanism is still unclear. NOXA is a significant regulator of cell apoptosis, which has been reported to be beneficial in the treatment of fibrosis. We performed a series of experiments, both *in vitro* and *in vivo*, to explore the intrinsic mechanism of HCPT that underlies the induction of apoptosis in fibroblasts, and also to investigate whether HCPT has positive effects on epidural fibrosis following laminectomy in rats. Fibroblasts were cultured *in vitro* and stimulated by varying concentrations of HCPT (0, 1, 2, 4 $\mu\text{g/ml}$) for various durations (0, 24, 48, 72 h); the effect of HCPT in inducing the apoptosis of fibroblasts was investigated via Western blots and TUNEL assay. Our results showed that HCPT could induce apoptosis in fibroblasts and up-regulate the expression of NOXA. Following the knockdown of NOXA in fibroblasts, the results of Western blot analysis showed that the level of apoptotic markers, such as cleaved-PARP and Bax, was decreased. The results from the TUNEL assay also showed a decreased rate of apoptosis in NOXA-knocked down fibroblasts. For the *in vivo* studies, we performed a laminectomy at the L1-L2 levels in rats and applied HCPT of different concentrations (0.2, 0.1, 0.05 mg/ml and saline) locally; the macroscopic histological assessment, hydroxyproline content analysis and histological staining were performed to evaluate the effect of HCPT on reducing epidural fibrosis. The TUNEL assay in epidural tissues showed that HCPT could obviously induce apoptosis in fibroblasts in a dose-dependent manner. Also, immunohistochemical staining showed that the expression of NOXA increased as the concentrations of HCPT increased. Our findings are the first to demonstrate that upregulation of NOXA by HCPT plays a key role in inducing fibroblast apoptosis and in reducing epidural fibrosis. These findings might provide a potential

therapeutic target for preventing epidural fibrosis following laminectomy.

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Abstract

The fibrosis that develops following laminectomy or discectomy often causes serious complications, and the proliferation of fibroblasts is thought to be the major cause of epidural fibrosis. 10-Hydroxycamptothecin (HCPT) has been proven to be efficient in preventing epidural fibrosis, but the exact mechanism is still unclear. NOXA is a significant regulator of cell apoptosis, which has been reported to be beneficial in the treatment of fibrosis. We performed a series of experiments, both *in vitro* and *in vivo*, to explore the intrinsic mechanism of HCPT that underlies the induction of apoptosis in fibroblasts, and also to investigate whether HCPT has positive effects on epidural fibrosis following laminectomy in rats. Fibroblasts were cultured *in vitro* and stimulated by varying concentrations of HCPT (0, 1, 2, 4 $\mu\text{g/ml}$) for various durations (0, 24, 48, 72 h); the effect of HCPT in inducing the apoptosis of fibroblasts was investigated via Western blots and TUNEL assay. Our results showed that HCPT could induce apoptosis in fibroblasts and up-regulate the expression of NOXA. Following the knockdown of NOXA in fibroblasts, the results of Western blot analysis showed that the level of apoptotic markers, such as cleaved-PARP and Bax, was decreased. The results from the TUNEL assay also showed a decreased rate of apoptosis in NOXA-knocked down fibroblasts. For the *in vivo* studies, we performed a laminectomy at the L1-L2 levels in rats and applied HCPT of different concentrations (0.2, 0.1, 0.05 mg/ml and saline) locally; the macroscopic histological assessment, hydroxyproline content analysis and histological staining were performed to evaluate the effect of HCPT on reducing epidural fibrosis. The TUNEL assay in epidural tissues showed that HCPT could obviously induce apoptosis in fibroblasts in a dose-dependent manner. Also, immunohistochemical staining showed that the expression of NOXA increased as the concentrations of HCPT increased. Our findings are the first to demonstrate that upregulation of NOXA by HCPT plays a key role in inducing fibroblast apoptosis and in reducing epidural fibrosis. These findings might provide a potential therapeutic target for preventing epidural fibrosis following laminectomy.

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65 Introduction

66 Laminectomy for treating lumbar disc herniation and other lumbar disorders often results in
67 the formation of epidural fibrosis, and the development of epidural fibrosis is the major
68 contributor to postoperative morbidities such as persistent low back pain and disability (Songer
69 et al., 1995). Recently, it was demonstrated histologically that epidural haematoma, epidural fat
70 accumulation and muscle invasion at the laminectomy site plays an important role in the
71 formation of dense epidural fibrosis (Sen et al., 2005). Additionally, the number of fibroblasts is
72 considered as a parameter for determining the density of epidural fibrosis (Burton, 1991; Mirzai,
73 Eminoglu & Orguc, 2006; Cekinmez et al., 2009).

74 The prevention of epidural fibrosis has been a subject of concern for many years. In the last
75 century, various biological or nonbiological materials were sought to reduce epidural fibrosis
76 (Lee et al., 1990; Preul et al., 2010; Abitbol et al., 1994), but all of these techniques were not
77 without complications. Recently, many investigators have carried out studies to prevent epidural
78 fibrosis via promoting fibroblast apoptosis, and some of them have achieved satisfactory results
79 (Sun et al., 2015; Yang et al., 2016). 10-Hydroxycamptothecin (HCPT), a chemotherapeutic
80 agent, is used as an anti-tumour agent due to its specific suppressive effect on the cell cycle to
81 treat different types of cancer in the clinical setting (Beretta, Perego & Zunino, 2006; Wang et al.,
82 2007). Our previous study showed that local application of 0.1 mg/ml HCPT could
83 conspicuously reduce postoperative epidural fibrosis formation in a rat laminectomy model (Sun
84 et al., 2008). Recently, HCPT has shown its apoptosis-inducing character in some cell types
85 (Yuan et al., 2016; Cheng et al., 2016), which implicated that it might be useful in the prevention
86 of epidural fibrosis through inducing the apoptosis of fibroblasts.

87 NOXA, a member of the BH3-only family that is known as a vital regulator of cell
88 apoptosis, promotes apoptosis mainly via producing heterodimers with active Bcl-2-like proteins
89 (Oda et al., 2000). It has been proven that higher levels of NOXA can induce apoptosis in many
90 tumour cell lines (Qin et al., 2005). Recently, it has been reported that the loss of NOXA
91 expression protected mouse fibroblasts from DNA damage-induced apoptosis (Villunger et al.,
92 2003). Additionally, another study showed that upregulation of NOXA promoted apoptosis in

93 NIH 3T3 cells (Hershko et al., 2004). All of these research results suggest that NOXA might
94 play a key role in the process of cell apoptosis.

95 In summary, since the current treatments for epidural fibrosis are not satisfactory, the
96 development of targeted therapies is particularly important, especially those aimed at fibroblasts.
97 Therefore, we investigated the effect of HCPT on fibroblast apoptosis and epidural fibrosis via
98 regulating NOXA. We showed that HCPT could induce fibroblast apoptosis and reduce epidural
99 fibrosis by upregulating NOXA expression.

100

101 **Materials and Methods**

102 **Reagent**

103 HCPT was purchased from Aladdin Biotechnology Co., Ltd (Shanghai, China). The purity
104 of 10-hydroxycamptothecin was 98%.

105 **Cell culture and treatment**

106 Fibroblasts were obtained from epidural scar tissue isolated from rats that underwent
107 reoperation laminectomies. The cells were cultured at 37°C under 5% CO₂ in Dulbecco's
108 modified Eagle's medium (DMEM, Gibco, Grand Island, NY), containing 15% foetal bovine
109 serum (FBS; Gibco), 0.1 U/L penicillin and 50 µg/ml streptomycin (Gibco, CA, USA). Cells in
110 the exponential growth phase between passages 3 and 6 were used for all experiments. The
111 fibroblasts were seeded onto various dishes and cultured overnight until they reached
112 approximately 60–80% density, and then the cells were washed with phosphate buffered saline
113 and treated with HCPT at various concentrations (0, 1, 2, 4 µg/ml) and for various durations (0,
114 24, 48, 72 h).

115 **Cell lentiviral infection**

116 Lentiviral infection was used to achieve gene silencing. The target gene NOXA was
117 contained in the lentiviral vectors, which were purchased from Shanghai Genechem Co., Ltd.
118 (Genechem, China). Fibroblasts were treated according to the instructions. Then, successfully
119 transfected cells were used in the experiments evaluating the treatment of HCPT, Western blot
120 analysis and TUNEL assay.

121 **Western blot analysis**

122 Following treatment, the fibroblasts were harvested and lysed in RIPA buffer (Beyotime,
123 Hangzhou, China) on ice. After the lysates were centrifuged in 4°C at 13,000×g for 10 min, the

124 supernatants were collected for Western blot analysis. The protein concentration was determined
125 by the BCA Protein Assay Kit (Beyotime, Hangzhou, China). The proteins were separated by 6-
126 12% sodium dodecyl sulphate-polyacrylamide gel electrophoresis (SDS-PAGE) and transferred
127 onto polyvinylidene difluoride membranes (Millipore, Bedford, MA). Following blocking with
128 5% skimmed milk in TBST for 2 hours, the membranes were incubated with the appropriate
129 primary and secondary antibodies successively according to the instructions. The primary
130 antibodies used were anti-NOXA, anti-cleaved-caspase3, anti-cleaved-poly ADP-ribose
131 polymerase (cleaved PARP), anti-Bax, anti-Bcl-2 and anti- β -actin antibodies (Cell Signaling
132 Technology, Beverly, MA, USA). The anti-mouse or anti-rabbit IgG were also purchased from
133 Cell Signaling Technology.

134 **TUNEL assay in fibroblasts**

135 A TUNEL assay was performed to detect the apoptotic effect of HCPT on fibroblasts. The
136 apoptotic rate of fibroblasts was detected using the TdT-mediated dUTP-biotin nick-end
137 labelling (TUNEL) test system (KeyGEN, Nanjing, China). All of the operating steps were
138 according to the manufacturer's instructions. Following a brief staining procedure, the features of
139 apoptosis were evaluated via a fluorescence microscope. TUNEL-stained fibroblasts were
140 believed to be apoptotic, and the total number of fibroblasts was counted by the DAPI-staining
141 method.

142 **Animals**

143 In all, 72 Sprague-Dawley young adult male rats (mean weight of 280 g), purchased from
144 the experimental animal centre of Yangzhou University (Yangzhou, China) were used for this
145 study. All rats received care in compliance with the principles of Laboratory Animal Care
146 according to international recommendations, and the study was approved by the Animal Care
147 and Research Committee of the Yangzhou University, China. The animals were randomly
148 divided into four groups (18 rats per group) as follows: HCPT (0.2 mg/ml), HCPT (0.1 mg/ml),
149 HCPT (0.05 mg/ml) or control (saline). The rats were allowed to acclimate to the environment
150 for 1 week before the experiment.

151 **Animal model and topical application of drugs**

152 Laminectomy models were performed according to a previous study (Sun et al., 2007).
153 Briefly, following satisfactory anaesthesia by intraperitoneal injection of 1% pentobarbital
154 sodium (40 mg/kg body weight), the fur around the location of L1 and L2 was shaved

155 and the exposed skin was sterilized. After exposing the fascia and the paraspinal muscles by a
156 midline skin incision, the L1 vertebral plate was removed by rongeur forceps. Then, complete
157 5×2 mm areas of dura mater were exposed. All rats underwent a total L1 laminectomy.

158 After disinfection and haemostasis of the lumbar region, HCPT concentrations of 0.2, 0.1
159 and 0.05 mg/ml or saline were applied to the laminectomy areas with cotton pads (4×4 mm) for 5
160 min. The surrounding tissues were covered by wet gauze to avoid touching the agent. After the
161 cotton pads were removed, the decorticated areas of the laminectomy site were immediately
162 irrigated with saline to remove the remaining HCPT. After the above operations, the wounds
163 were closed in layers.

164 **Macroscopic assessment of epidural fibrosis**

165 For macroscopic evaluation, six rats were randomly selected from each group 4 weeks after
166 laminectomy. All rats were sacrificed by an overdose of 1% pentobarbital sodium via
167 intravenous administration. Then, the laminectomy sites were reopened, double-blind trials were
168 used to assess epidural fibrosis, and the amount of fibrosis was judged based on the Rydell
169 classification (Rydell, 1970): grade 0, no adhesions were apparent around the dura mater; grade 1,
170 weak adhesions appeared around the dura mater but were easily dissected; grade 2, moderate
171 adhesions appeared around the dura mater and could be dissected with difficulty without
172 disrupting the dura matter; grade 3, dense fibrous adhesions were firmly adherent to the dura
173 mater and could not be dissected.

174 **Hydroxyproline content (HPC) analysis**

175 HPC analysis was performed to assess the amount of collagen in the scar tissue. After
176 macroscopic evaluation, approximately 5 mg of wet-weight scar tissue was obtained from the
177 decorticated areas for HPC analysis according to a previous study (Yan et al., 2010). Briefly, the
178 samples were lyophilised, ground and hydrolysed with 6 mol/l HCl at 130°C for 12 h. Then, 1 ml
179 hydroxyproline developer (β -dimethylaminobenzaldehyde solution) was added to the samples
180 and standards. The absorbances were evaluated at 558 nm using a spectrophotometer. In the end,
181 the HPC/mg scar tissue was calculated according to the standard curve constructed with serial
182 concentrations of commercial hydroxyproline.

183 **Histological analysis**

184 Four weeks after laminectomy, another six rats were picked randomly, and histological
185 analysis was performed. After intraperitoneal injection of 1% pentobarbital sodium (40 mg/kg
186 body weight), all rats received intracardial perfusion with 4% paraformaldehyde. The whole L1
187 spinal column, including the surrounding muscles and epidural fibrotic tissue, was removed and
188 immersed in 4% paraformaldehyde. After 4 days of decalcification by 10% buffered formalin,
189 each specimen was further decalcified in an ethylenediamine tetraacetic acid (EDTA) and
190 glycerol solution for 30 days, and then embedded in paraffin again. Successive 4- μ m transverse
191 sections were made through the L1 vertebra from the top to the bottom. Twelve continuous
192 transversal sections of 4 μ m each from the top to the bottom of the upright L1 vertebra were
193 made. Six odd sections were stained by means of haematoxylin and eosin (HE), and the epidural
194 fibrosis was assessed by light microscopy using a 40 \times objective. At a magnification of 400 \times and
195 with three fields of the laminectomy sites in each section, the fibroblast density was calculated
196 by using Image Pro Plus 6.0.

197 **Scoring system of epidural fibrosis and epidural cell density**

198 To perform impersonal histopathological evaluation, an epidural fibrosis and epidural cell
199 density scoring system was used. The degree of epidural fibrosis (HE \times 40) and epidural cell
200 density (HE \times 400) was graded according to previous studies (He, Revel & Loty, 1995; Yildiz et
201 al., 2007). The scores of epidural fibrosis (HE \times 40) were as follows: Grade 0, there was no
202 fibrosis scar tissue around the dura; Grade 1, thin or weak fibrous bands were found around the
203 dura; Grade 2, continuous adherence was observed in less than two-thirds of the laminectomy
204 defect; and Grade 3, the fibrotic scar tissue adherence is extensive and firm, and more than two-
205 thirds of the laminectomy defect were adherent with fibrous bands, or the nerve roots were also
206 adherent with the fibrosis scar tissue. The epidural cell density (HE \times 400) was graded as follows:
207 Grade 1, no more than 100 fibroblasts under 400 \times magnified visual field; Grade 2, the count of
208 fibroblasts was between 100 to 150 under 400 \times magnified visual field; and Grade 3, over 150
209 fibroblasts per 400 \times field.

210 **TUNEL assay in fibroblasts of epidural tissue**

211 Fibroblast apoptosis in the epidural tissue sections was also identified using the TdT-
212 mediated dUTP-biotin nick-end labelling (TUNEL) test system (KeyGEN, Nanjing, China)
213 according to the manufacturer's instructions. After staining, the apoptotic fibroblasts were
214 detected under fluorescence microscopy. The final images were merged and analysed by Image

215 Pro Plus 6.0.

216 **Immunohistochemical analysis**

217 The six remaining rats from each group were utilised, and the acquisition of sections was
218 according to the histological analysis above. Following deparaffinization and rehydration
219 through gradient ethanol solutions, the sections were incubated in citrate buffer to activate the
220 antigenicity, and then they were exposed to 3% H₂O₂ to block endogenous peroxidase activity.
221 The sections were washed with PBS three times, and then the primary antibody (anti-NOXA)
222 was added and incubated at 4°C overnight. The sections were then washed with PBS and
223 incubated with the secondary antibody (biotin-labelled goat anti-immunoglobulin G) at room
224 temperature for 1.5 h. Following treatment with 3,3'-diaminobenzidine solution for 5 min at
225 room temperature, the nuclei were counterstained with haematoxylin for 3 min. The samples
226 were observed under a light microscope.

227 **Statistical analysis**

228 The data from our experiments were analysed using SPSS 19.0 statistical software. All data
229 are expressed as the mean \pm standard deviation (SD). Statistical significance was defined as a P
230 value < 0.05 .

231

232 **Results**

233 **HCPT induced apoptotic cell death in fibroblasts**

234 To determine the apoptotic effect of HCPT in rat fibroblasts, we treated the fibroblasts with
235 various concentrations (0-4 $\mu\text{g/ml}$) of HCPT for 24 h. As shown in Fig. 1 A, the results from
236 Western blot analysis revealed that HCPT could increase the expression of cell apoptosis
237 markers such as cleaved PARP and Bax, while it decreased the expression of Bcl-2, which was
238 considered as an anti-apoptotic marker. Moreover, we found that the effect of HCPT on these
239 markers was dose-dependent. To further confirm the apoptotic effect of HCPT on fibroblasts,
240 morphological examinations were performed. As shown in Fig. 1 B, few TUNEL-positive cells
241 were detected in the control group (1.86% \pm 1.85%). Following HCPT treatment, the percentages
242 of TUNEL-positive cells at 1 $\mu\text{g/ml}$, 2 $\mu\text{g/ml}$ and 4 $\mu\text{g/ml}$ were 14.94% \pm 1.40%, 20.06% \pm 2.64%
243 and 28.26% \pm 2.64%, respectively (Fig. 1 C). Taken together, these results indicate that HCPT
244 significantly induced apoptosis in fibroblasts.

245

246 **HCPT increased NOXA expression in fibroblasts**

247 To confirm whether HCPT affected NOXA expression in fibroblasts, the fibroblasts were
248 treated with 2 $\mu\text{g}/\text{ml}$ HCPT for 24 h, 48 h and 72 h. Following HCPT treatment, the Western blot
249 analysis showed that HCPT could increase NOXA expression in a time-dependent manner.

250 What's more, the expression of cell apoptosis markers such as cleaved-caspase3, cleaved PARP
251 and Bax was also increased with the increased expression of NOXA (Fig. 2). The result of the
252 Western blot analysis showed that the application of HCPT could upregulate NOXA expression
253 in fibroblasts and could promote fibroblast apoptosis.

254

255 **The effect of NOXA on fibroblast apoptosis**

256 To detect the exact effect of NOXA in fibroblast apoptosis, we used lentiviral infection to
257 downregulate NOXA expression to confirm that NOXA is required for HCPT-induced apoptosis
258 in fibroblasts. The levels of NOXA expression revealed from Western blot analysis showed that
259 we knocked down NOXA in the fibroblast lines successfully. NOXA knockdown increased the
260 expression of Bcl-2 and decreased the expression of cleaved PARP and Bax (Fig. 3 A). Also,
261 in accordance with the results above, the rate of fibroblast apoptosis that was detected by
262 TUNEL assay was decreased in the NOXA knockdown cells. What is more, the increased
263 expression of NOXA, cleaved PARP and Bax indicated by Western blot analysis and the
264 increased apoptotic rate detected by TUNEL assay, which occurred after HCPT treatment, were
265 partially decreased by NOXA knockdown (Fig. 3 B and C). Taken together, these results indicate
266 that NOXA played a crucial role in HCPT-induced fibroblast apoptosis.

267

268 **Macroscopic evaluation of epidural fibrosis**

269 To explore the effect of HCPT on epidural fibrosis, laminectomy models were performed
270 and evaluated. The results of epidural fibrosis were detected via macroscopic observation and
271 graded according to the Rydell classification; the analysis showed that Rydell grade 3 existed in
272 all of the control group rats. Grade 0, 1 and 2 were found in the HCPT-treated groups (Table 1).
273 In the control group, thick and extensive adhesions were found around the dura mater, and it was
274 difficult to remove the adhesions. In the 0.05 and 0.1 mg/ml HCPT-treated groups, weak or
275 moderate adhesions were found around the dura mater, and the adhesions were easily dissected.
276 However, in the 0.2 mg/ml HCPT-treated group, there were few adhesions around the dura mater,

277 and the adhesions were easily removed without bleeding.

278 **Hydroxyproline content (HPC) analysis**

279 HPC analysis was used to detect the amount of collagen in the scar tissue. As shown in Fig.
280 4, the HPC in the HCPT-treated groups of 0.2 mg/ml, 0.1 mg/ml, 0.05 mg/ml, and the saline-
281 treated group, were 25.78 ± 1.96 , 31.21 ± 1.93 , 42.82 ± 3.44 and 55.05 ± 4.43 $\mu\text{g}/\text{mg}$, respectively.
282 The HPC levels in the HCPT-treated groups were less than that in the control group ($P < 0.05$).
283 And the decrease of HPC was in a dose-dependent manner.

284

285 **The effect of HCPT on epidural fibrosis in rats**

286 To detect the effect of HCPT on reducing epidural fibrosis, histopathological evaluation
287 was performed according to the scoring system of epidural fibrosis and epidural cell density. As
288 shown in Fig. 5 and 6, thick epidural fibrosis with extensive adhesions to dura mater was found
289 in the laminectomy sites of the control group; also, a particularly large number of fibroblasts
290 were found in the scar tissue. Moderate epidural fibrosis was observed and fibroblasts were
291 decreased around the laminectomy sites in the 0.05 mg/ml and 0.1 mg/ml HCPT-treated group
292 compared with the control group. In contrast, little epidural fibrosis with fewer fibroblasts was
293 found in the laminectomy sites of the 0.2 mg/ml HCPT-treated group. All of these results
294 showed that the degree of epidural fibrosis in the HCPT-treated group occurred in a dose-
295 dependent manner (Fig. 7).

296 **HCPT induced fibroblast apoptosis of rats**

297 To confirm whether HCPT could induce apoptosis in rat fibroblasts, the TUNEL assay was
298 used. As shown in Fig. 8, few TUNEL-positive fibroblasts were found in the control group. After
299 HCPT treatment, the number of TUNEL-positive fibroblasts was increased, which occurred in a
300 dose-dependent manner.

301

302 **The effect of HCPT on NOXA expression in epidural tissue in rats**

303 To confirm whether HCPT could affect NOXA expression in epidural tissue in rats,
304 immunohistochemical staining was performed. As shown in Fig. 9, we found that HCPT could
305 increase NOXA expression in the epidural tissue in rats. Moreover, with the increased
306 concentration of HCPT, the expression of NOXA also gradually increased. All of these results

307 suggested that HCPT treatment could increase NOXA expression in the epidural tissue of rats.

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310 **Discussion**

311 Extensive epidural fibrosis following laminectomy or discectomy often results in negative
312 effects on patients. Unsatisfactory clinical outcomes include radiculopathy, persistent low back
313 pain, disability and so on (Lee JY et al., 2006; Yildiz KH et al., 2007). Several important factors
314 such as the degree of haemostasis during surgery, postoperative chronic inflammation and
315 lumbar instability influence the formation and development of epidural fibrosis (Sandoval &
316 Hernandez-Vaquero, 2008; Tao & Fan, 2009). All of these factors would promote the
317 proliferation of fibroblasts, and finally cause the formation of epidural fibrosis (Sun et al., 2008).
318 Thus, target research on fibroblasts was considered as a good approach to prevent epidural
319 fibrosis.

320 Because of its target-specific DNA-damaging ability, HCPT has satisfactory effects on
321 inhibiting the proliferation of many tumour cells, and has been used to treat many types of
322 malignant tumours (Zunino & Pratesi, 2004; Ulukan & Swaan, 2002). However, as an anti-
323 tumour agent, not only can HCPT inhibit fibroblast proliferation but also can have an inhibiting
324 effect on the proliferation of other types of cells around the laminectomy sites, which may result
325 in nerve root damage and disturbance of wound healing. Thus, it is reasonable to doubt whether
326 local application of HCPT could induce epidural fibrosis suppression with little side effects.

327 Apoptosis, also called programmed cell death (PCD), is a process of automatic, gene-
328 controlled cell death that occurs in multicellular organisms, which is an important mechanism to
329 maintain homeostasis (Wyllie, Kerr & Currie, 1980). Previous reports have suggested that
330 fibroblast hyperplasia plays an important role in the process of fibrosis, which can determine the
331 amount of scarring postoperatively (Sun et al., 2014; Liu et al., 2010). It was recently reported
332 that reducing fibrosis can be achieved via inducing fibroblast apoptosis. Tang et al. reported that
333 inducing apoptosis in human tendon capsule fibroblasts could be a potential approach for
334 reducing excessive postoperative scarring (Tang et al., 2012). Li et al. reported that inducing the
335 apoptosis of fibroblasts could prevent intra-articular fibrosis after knee surgery in rabbits (Li et
336 al., 2016).

337 As an important mechanism of cell death, apoptosis generally occurs via extrinsic and

338 intrinsic pathways, also known as receptor-mediated or mitochondrial-mediated, respectively.
339 Previous studies have shown that the Bcl-2 family of proteins performs an enormous role in the
340 regulation of cell apoptosis. And it was well known that many stimulating factors can induce the
341 upregulation of NOXA through P53-dependent and P53-independent pathways, and thereby
342 cause cell apoptosis (Oda E et al., 2000). As a pro-apoptotic protein, NOXA shows weak pro-
343 apoptotic ability on its own (Ploner, Kofler & Villunger, 2008). However, recent studies showed
344 that NOXA has indirect pro-apoptotic functions to start the caspase cascade and induce cell
345 apoptosis, one of the mechanisms of which was realized by the BH-3 domain of NOXA binding
346 with Mcl-1 (Naik et al., 2007). It was reported that the upregulation of NOXA by 5-
347 aminoimidazole-4-carboxamide riboside (AICAR) plays an important role in Bax/Bak-dependent
348 apoptosis in mouse fibroblasts (González-Gironès et al., 2013). Moreover, Schuler et al. found
349 that induction of NOXA occurred in P53-triggered apoptosis (Schuler et al., 2003). It is known
350 that HCPT can induce fibroblast apoptosis, but the exact mechanism of HCPT in inducing
351 fibroblast apoptosis still needed to be elucidated.

352 In this study, we found that application of HCPT could induce fibroblast apoptosis, which
353 was verified by Western blot analysis of apoptotic proteins and TUNEL assay of HCPT-treated
354 fibroblasts. What is more, the results of Western blot analysis showed that HCPT could
355 significantly upregulate NOXA expression in fibroblasts, which was accompanied by the
356 increased expression of cleaved caspase3, cleaved PARP and Bax. Moreover, following the
357 downregulation of NOXA in fibroblasts using a lentiviral inhibitor, we found that the
358 expression of cleaved PARP and Bax had invariably fallen. Furthermore, the upregulated
359 expression of NOXA and cleaved PARP following HCPT treatment were partially attenuated by
360 NOXA knockdown.

361 In the rat models, the TUNEL assay of epidural tissues showed that HCPT could induce
362 fibroblast apoptosis. Also, immunohistochemical staining showed that the topical application of
363 HCPT could upregulate the NOXA expression in fibroblasts of epidural tissue, which further
364 indicated that NOXA was an apoptotic promoter in rat fibroblasts. Combined with the effect of
365 NOXA on fibroblast apoptosis in vitro, our results show that HCPT could lead to fibroblast
366 apoptosis by upregulating NOXA expression, which may be the main effect of HCPT in
367 preventing epidural fibrosis.

368 However, the process of epidural fibrosis formation is very sophisticated, and the

369 proliferation of fibroblasts may be one of many factors that are involved in the development of
370 fibrosis. As an anti-cancer agent, the effect of local absorption of HCPT at laminectomy sites is
371 still unknown, and the local application time, area and concentration of HCPT should be
372 restricted strictly to avoid side effects. Moreover, in the current study, we only investigated the
373 effect of NOXA on fibroblast apoptosis, and further investigation on the definite signal pathways
374 of epidural fibrosis formation should be carried out in the future.

375 **Conclusion**

376 In summary, our study showed that HCPT could induce fibroblast apoptosis and reduce
377 epidural fibrosis by upregulating NOXA expression, which may provide a potential therapeutic
378 target for preventing epidural fibrosis after laminectomy.

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497

Figure 1

Figure 1. HCPT induced fibroblasts apoptosis.

(A)Western blot analysis revealed that HPCT could induce the expression of cleaved PARP and Bax, and decreased the expression of Bcl-2, in a dose-dependent manner. The histogram are presented as the mean \pm SD of three independent experiments. * $P < 0.05$ versus control group. (B)TUNEL assay shown that the apoptotic rate of fibroblasts was also increased in a dose-dependent manner. The fibroblast nuclei were stained in blue, and TUNEL-positive cells were shown in green, (C)and the results were shown the bar graph.

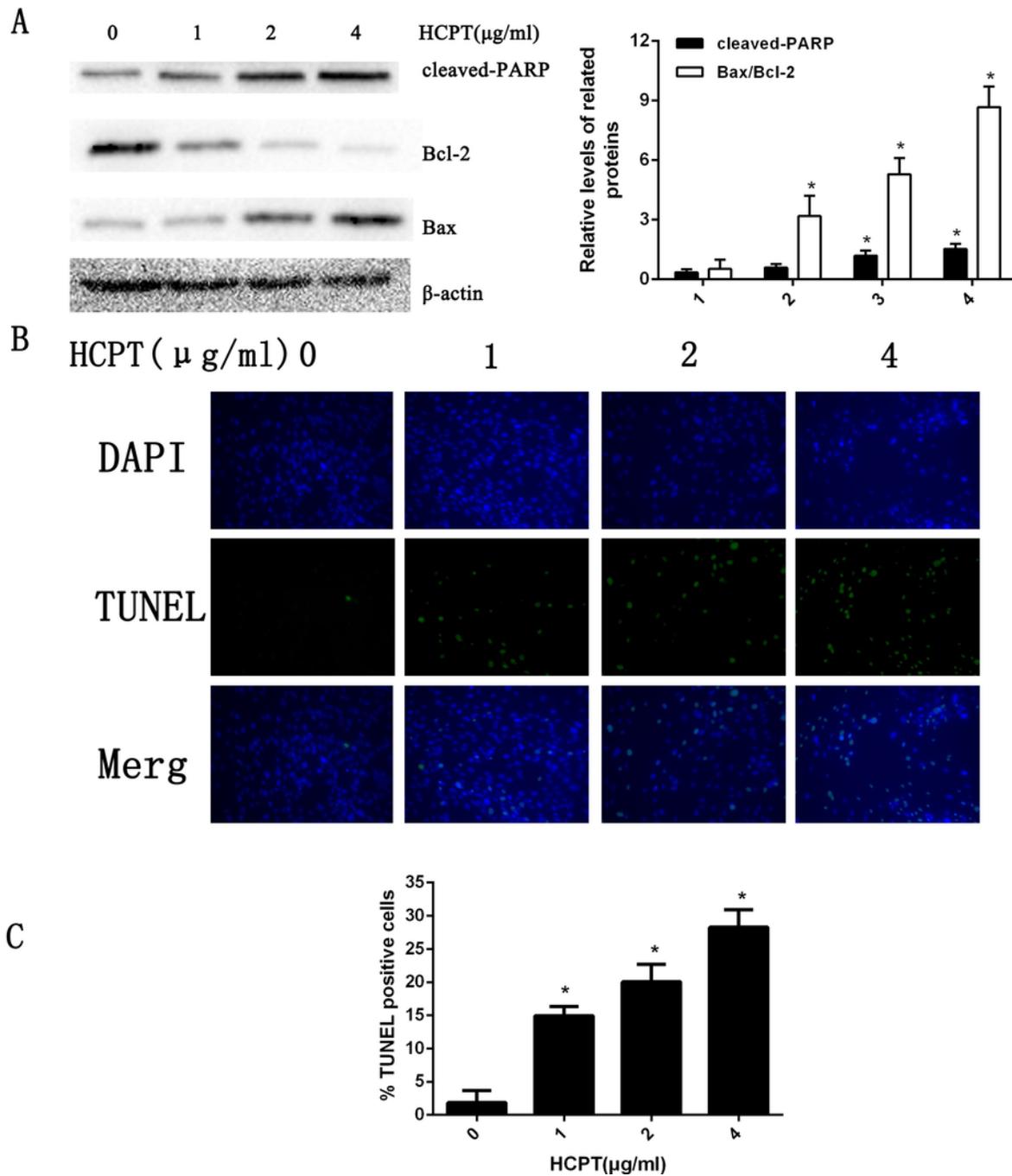


Figure 2

Figure 2. HCPT up-regulated NOXA expression.

Western blot analysis showed that HCPT increased the expression of NOXA, which was accompanied by increasing expression of cleaved caspase3, cleaved-PARP and Bax, and the decreasing expression of Bcl-2, in a time-dependent manner. β -actin was used as a control. The histogram represents the mean \pm SD of three independent experiments. *P < 0.05 versus control group.

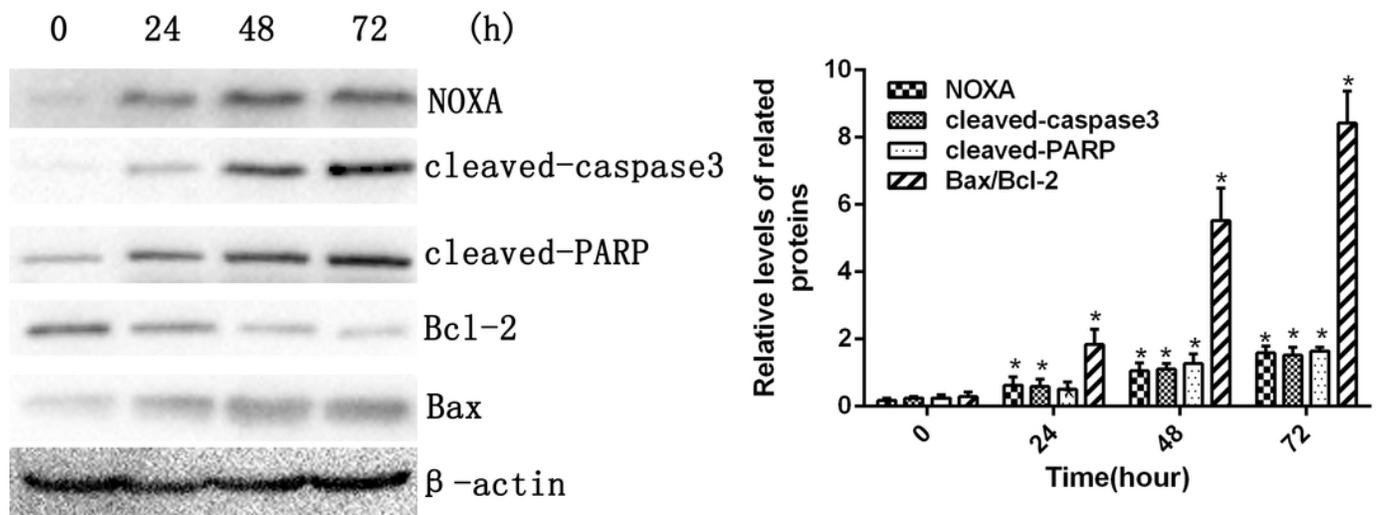


Figure 3

Figure 3. The effect of NOXA on fibroblast apoptosis.

(A) Western blot was performed to test the expression of NOXA and the apoptotic markers (cleaved PARP and Bax) in NOXA knockdown fibroblasts applied with or without HCPT. β -actin was used as a loading control. Statistical analysis was performed to analyse the band intensities of NOXA, cleaved-PARP and Bax/Bcl-2. The data were from three independent experiments. * $P < 0.05$ versus the control group. (B) TUNEL assay was used to detect the apoptotic rate of fibroblasts following NOXA gene deletion in fibroblasts treated with or without HCPT, (C) and the data are shown in the bar graph.

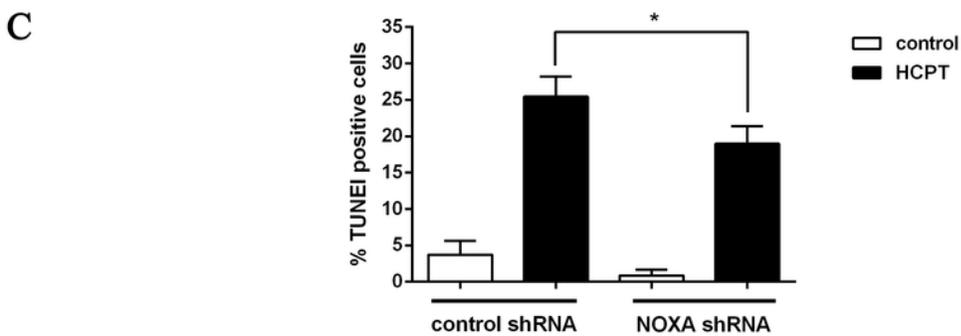
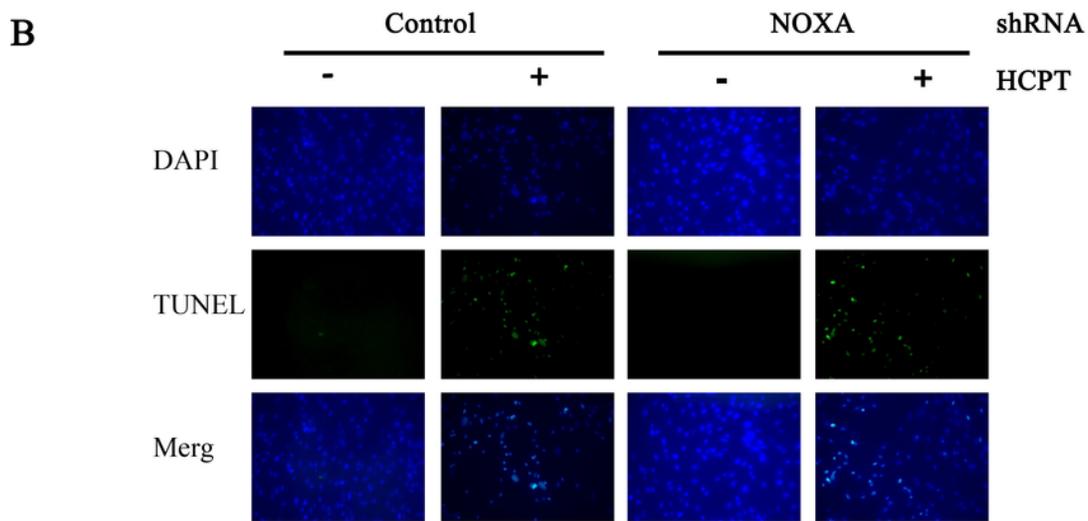
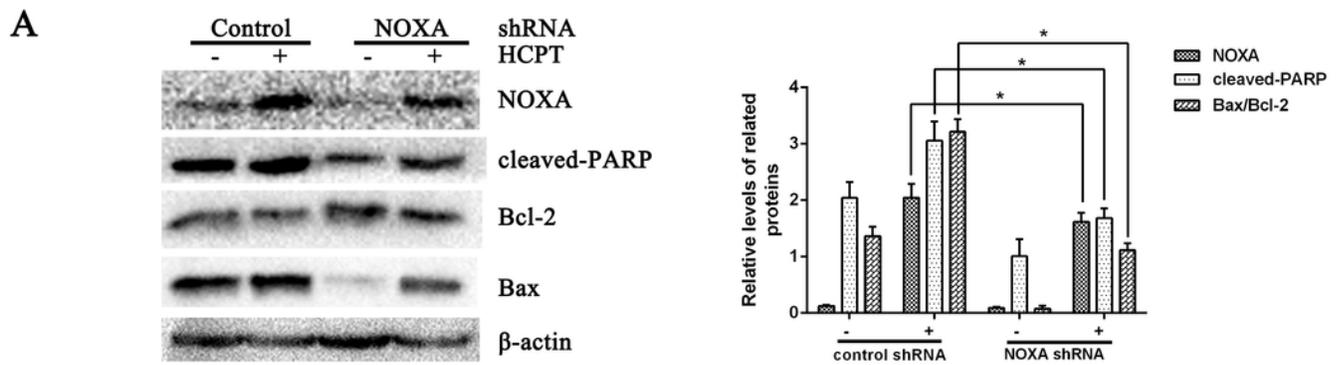


Figure 4

Figure 4. The effect of HCPT on epidural collagen tissue in rats.

HPC was expressed as $\mu\text{g}/\text{mg}$. The amount of hydroxyproline was decreased with increasing concentrations of HCPT. * $P < 0.05$ compared with the HPC in control group.

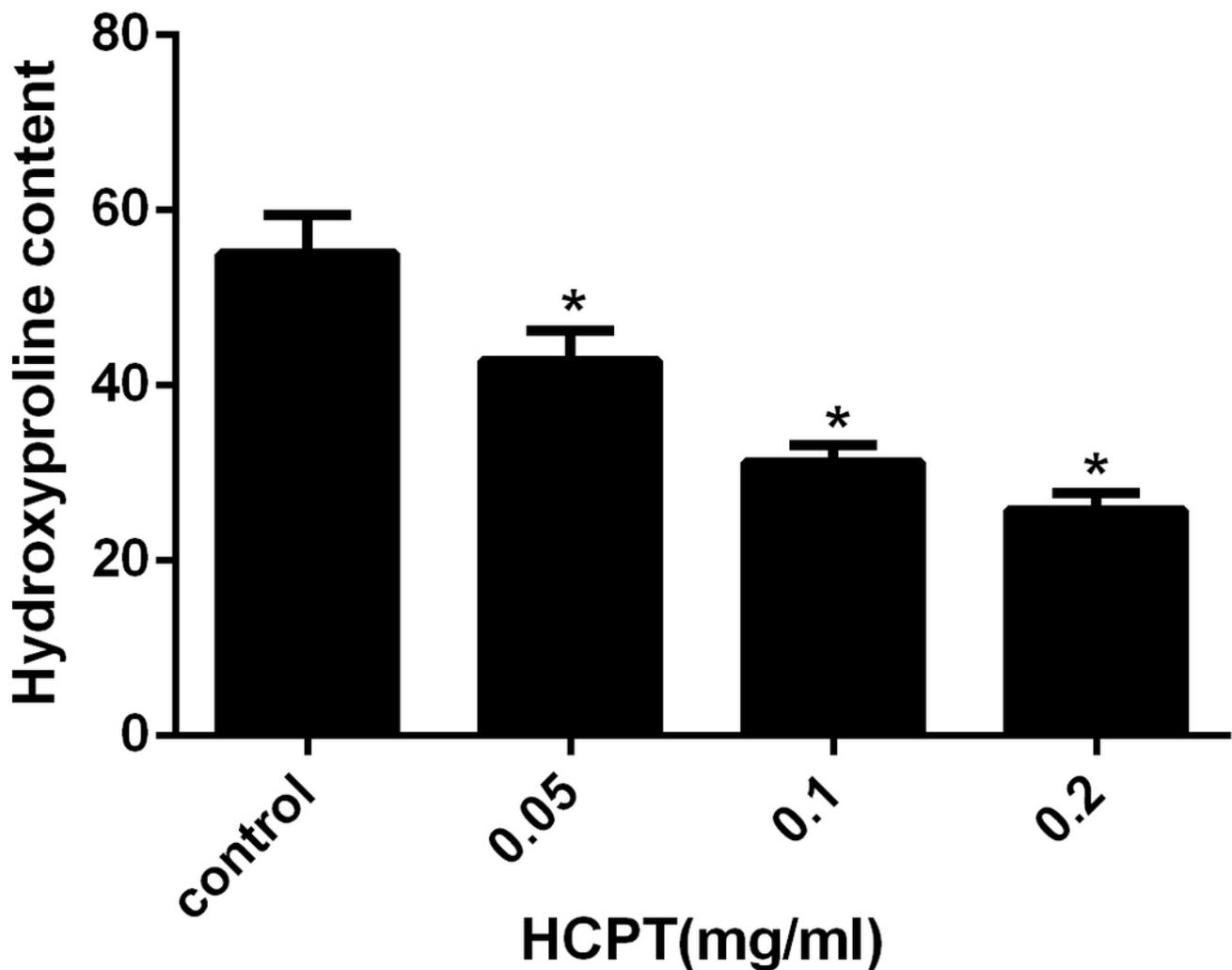


Figure 5

Figure 5. The effect of HCPT on epidural fibrosis in rats.

The representative photomicrographs of the epidural fibrotic tissues in each group. The images show that the loose scar tissues (asterisk) without adherence to the dura mater (arrow) were found in 0.2 mg/ml HCPT-treated group (Grade 0). Moderate scar adhesion with slight adherence to the dura mater was found in 0.1 mg/ml HCPT-treated group (Grade 1) and 0.05 mg/ml HCPT-treated (Grade 2) group. Dense scar tissue with extensive and tight adherence to the dura mater (Grade 3) was found in the saline group (control, D). The sections were stained with haematoxylin and eosin, and the magnification was 40 \times . "S" represents spinal cord, and "L" represents laminectomy defect.

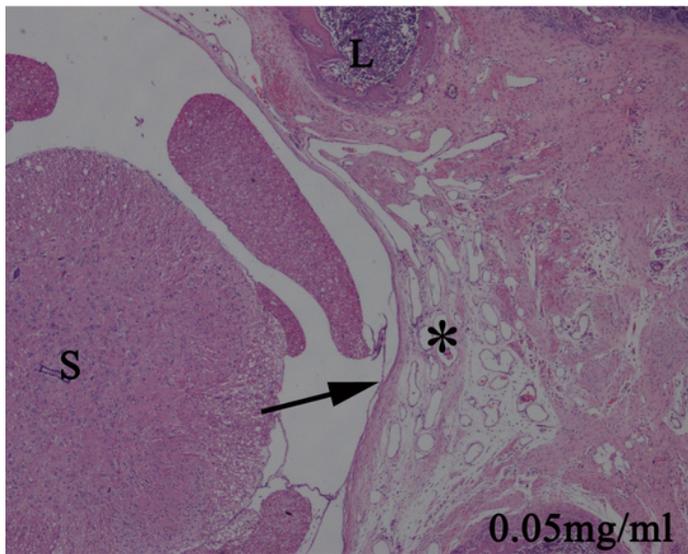
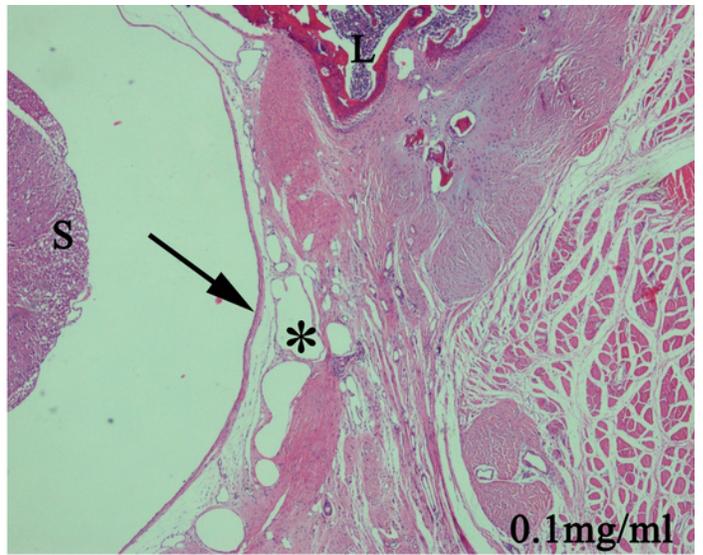


Figure 6

Figure 6. The effect of HCPT on fibroblast in epidural scar tissue in rats .

The representative photomicrographs of fibroblasts in epidural fibrotic tissues in each group. 0.2 mg/ml HCPT-treated group (Grade 1), 0.1 and 0.05 mg/ml HCPT-treated groups (Grade 2), control group (Grade3). The number of fibroblasts decreased with increasing concentrations of HCPT, which occurred in a dose-dependent manner.

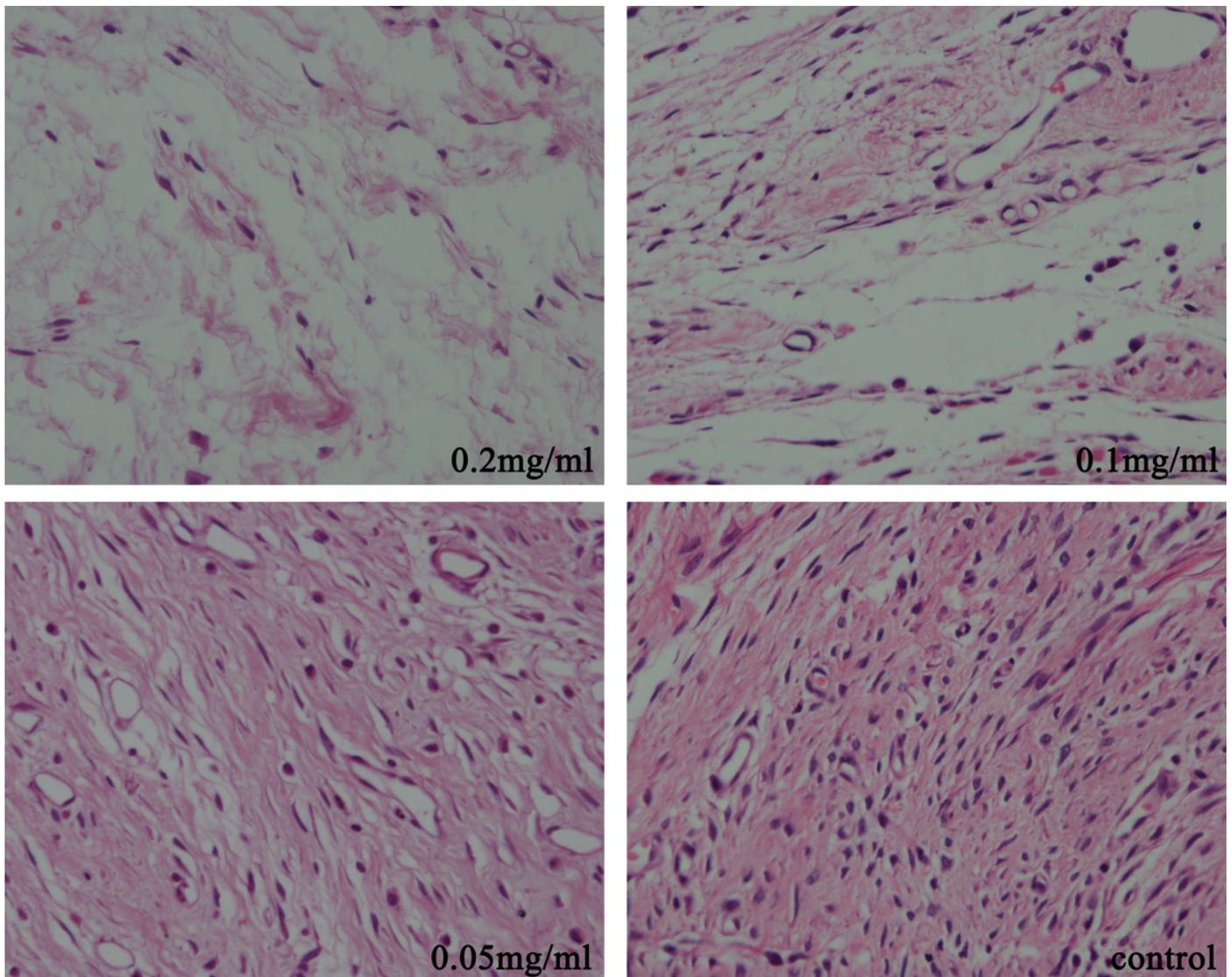


Figure 7

Figure 7. The effect of HCPT on fibroblast counting in epidural fibrosis tissue.

Fibroblast number was expressed as the number per counting area. *P < 0.05 versus the control group.

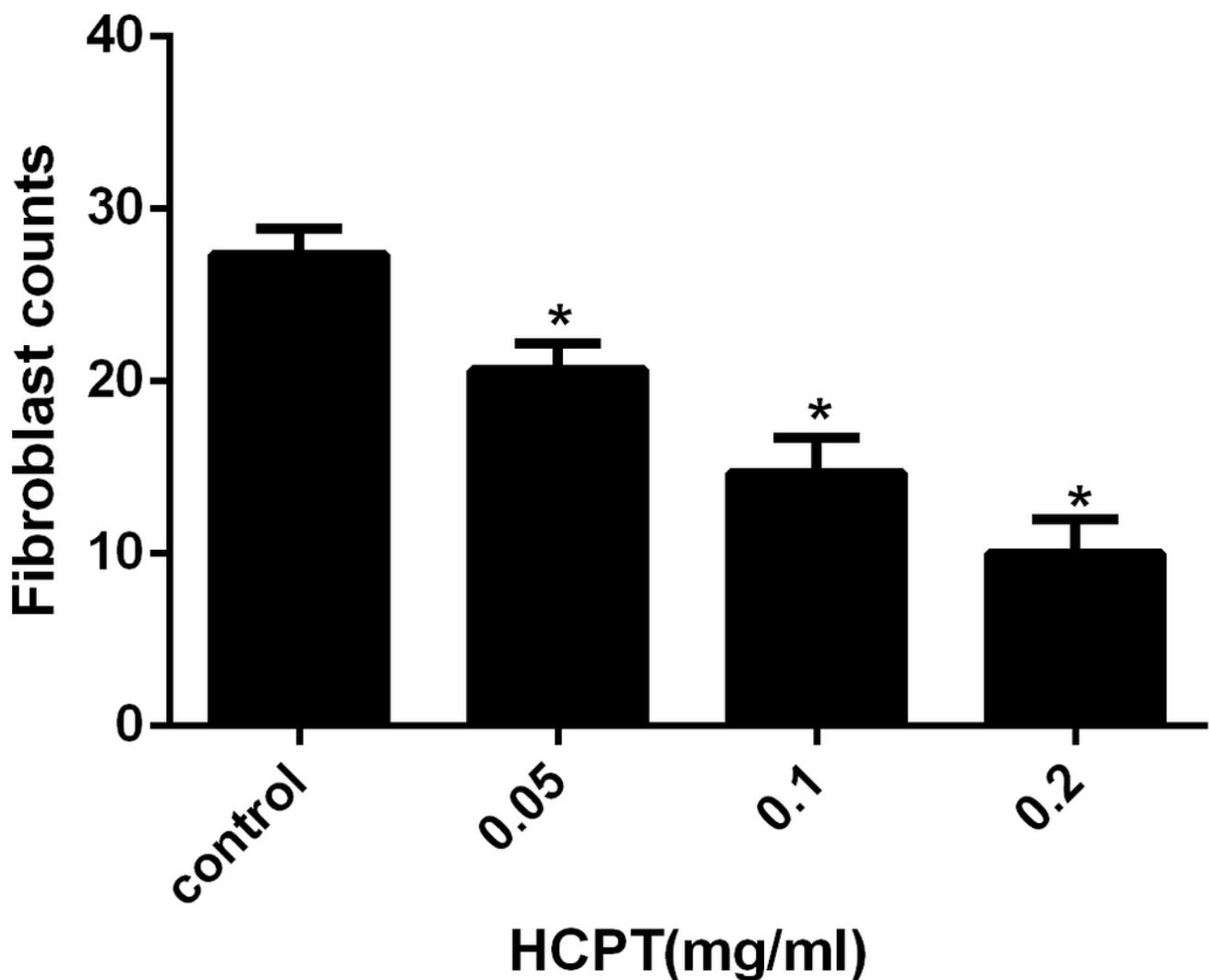
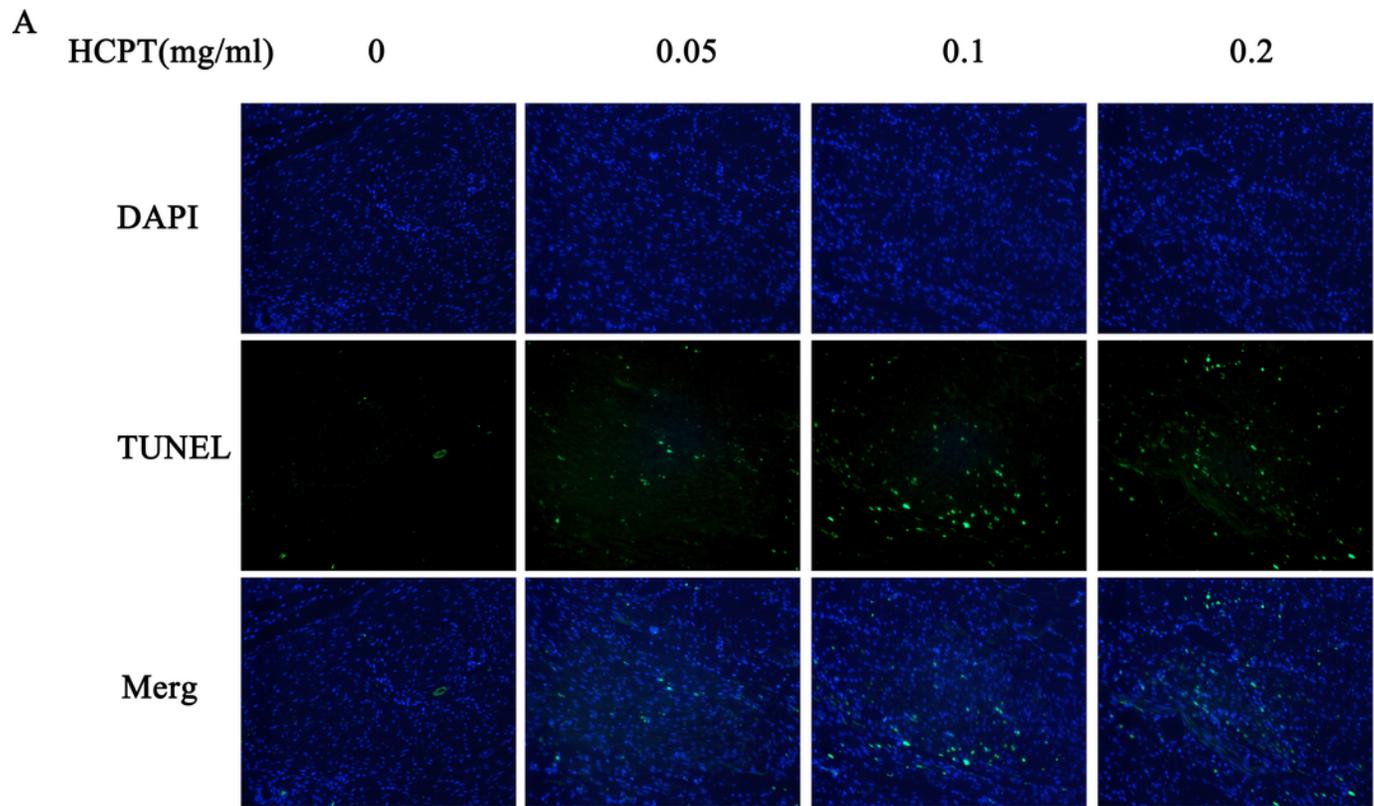


Figure 8

Figure 8. The effect of HCPT on fibroblast apoptosis in rats.

(A) Representative photomicrographs of fibroblast apoptosis in rats. The number of apoptotic fibroblasts increased as the concentration of HCPT increased, which showed that HCPT could induce the apoptosis of fibroblasts in rats in a dose-dependent manner. (B) The rate of TUNEL-positive fibroblasts is expressed in the bar graph. *P < 0.05 versus the control group.



B

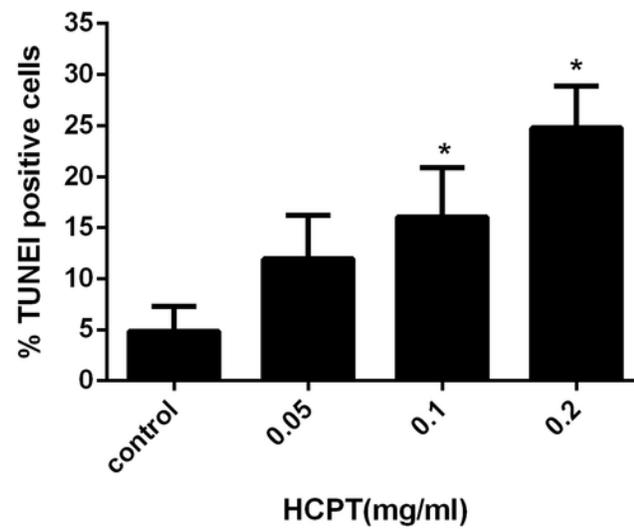


Figure 9

Figure 9. The effect of HCPT on NOXA expression in epidural tissue in rats.

As the dose of HCPT increased, the expression of NOXA increased.

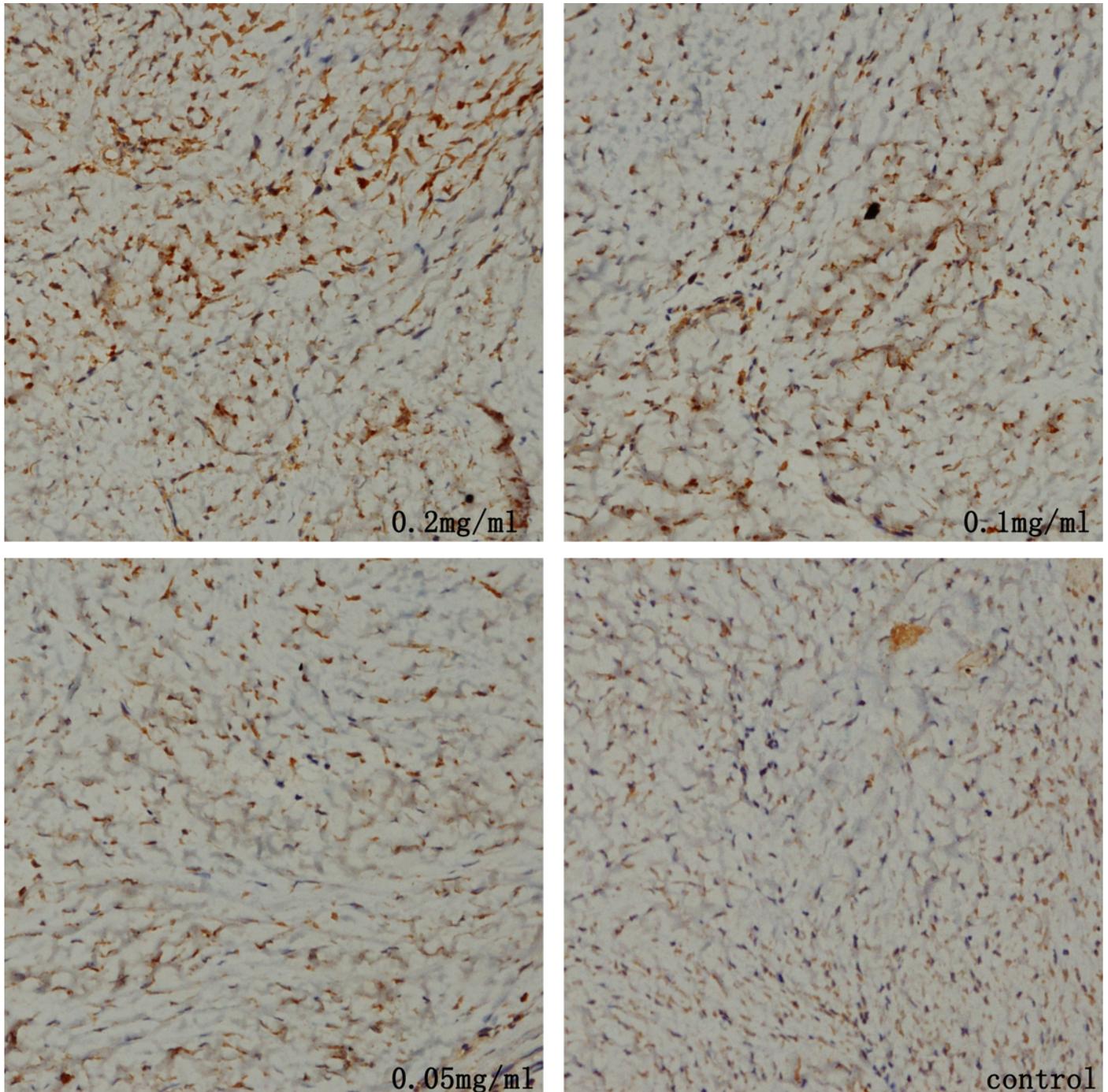


Table 1 (on next page)

The table of the grade of epidural scar adhesion

The grade of epidural scar adhesion through macroscopic evaluation in rats according to the Rydell standard.

1 **Table 1**

2 The grade of epidural scar adhesion through macroscopic evaluation in rats according to the
3 Rydell standard

Group	Grade			
	0	1	2	3
HCPT(0.2mg/ml)	4	2	0	0
HCPT(0.1mg/ml)	1	3	2	0
HCPT(0.05mg/ml)	0	1	3	2
saline(9mg/ml)	0	0	0	6

4 Six rats were randomly selected from the HCPT-treated group of different concentration and
5 control group.
6