

# Species interactions in an Andean bird-flowering plant network: phenology is more important than abundance or morphology

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Biological constraints and neutral processes have been proposed to explain the properties of plant-pollinator networks. Using interactions between nectarivorous birds (hummingbirds and flowerpiercers) and flowering plants in high elevation forests (i.e., “elfin” forests) of the Andes, we explore the importance of biological constraints and neutral processes (random interactions) to explain the observed species interactions and network metrics, such as connectance, specialization, nestedness and asymmetry. In cold environments of elfin forests, which are located at the top of the tropical montane forest zone, many plants are adapted for pollination by birds, making this an ideal system to study plant-pollinator networks. To build the network of interactions between birds and plants, we used direct field observations. We measured abundance of birds using mist-nets and flower abundance using transects, and phenology by scoring presence of birds and flowers over time. We compared the length of birds’ bills to flower length to identify “forbidden interactions” - those interactions that could not result in legitimate floral visits based on mis-match in morphology. *Diglossa* flowerpiercers, which are characterized as “illegitimate” flower visitors, were relatively abundant. We found that the elfin forest network was nested with phenology being the factor that best explained interaction frequencies and nestedness, providing support for biological constraints hypothesis. We did not find morphological constraints to be important in explaining observed interaction frequencies and network metrics. Other network metrics (connectance, evenness and asymmetry), however, were better predicted by abundance (neutral process) models. Flowerpiercers, which cut holes and access flowers at their base and, consequently, facilitate nectar access for other hummingbirds, explain why morphological mis-matches were relatively unimportant in this system. Future work should focus on how changes in abundance and phenology, likely results of climate change and habitat fragmentation, and

the role of nectar robbers impact ecological and evolutionary dynamics of plant-pollinator (or flower-visitor) interactions.

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**14 Abstract**

15 Biological constraints and neutral processes have been proposed to explain the  
16 properties of plant-pollinator networks. Using interactions between nectarivorous birds  
17 (hummingbirds and flowerpiercers) and flowering plants in high elevation forests (i.e.,  
18 “elfin” forests) of the Andes, we explore the importance of biological constraints and  
19 neutral processes (random interactions) to explain the observed species interactions  
20 and network metrics, such as connectance, specialization, nestedness and  
21 asymmetry. In cold environments of elfin forests, which are located at the top of the  
22 tropical montane forest zone, many plants are adapted for pollination by birds, making  
23 this an ideal system to study plant-pollinator networks. To build the network of  
24 interactions between birds and plants, we used direct field observations. We measured  
25 abundance of birds using mist-nets and flower abundance using transects, and  
26 phenology by scoring presence of birds and flowers over time. We compared the length  
27 of birds’ bills to flower length to identify “forbidden interactions” – those interactions that  
28 could not result in legitimate floral visits based on mis-match in morphology. *Diglossa*  
29 flowerpiercers, which are characterized as “illegitimate” flower visitors, were relatively  
30 abundant. We found that the elfin forest network was nested with phenology being the  
31 factor that best explained interaction frequencies and nestedness, providing support for  
32 biological constraints hypothesis. We did not find morphological constraints to be  
33 important in explaining observed interaction frequencies and network metrics. Other  
34 network metrics (connectance, evenness and asymmetry), however, were better  
35 predicted by abundance (neutral process) models. Flowerpiercers, which cut holes and  
36 access flowers at their base and, consequently, facilitate nectar access for other

37 hummingbirds, explain why morphological mis-matches were relatively unimportant in  
38 this system. Future work should focus on how changes in abundance and phenology,  
39 likely results of climate change and habitat fragmentation, and the role of nectar robbers  
40 impact ecological and evolutionary dynamics of plant-pollinator (or flower-visitor)  
41 interactions.

## 42 **Introduction**

43 Interactions between flowering plants and their animal visitors are frequently focused on  
44 mutualistic encounters (Bascompte & Jordano, 2014). In these mutualisms, plants  
45 provide food resources (i.e., pollen, nectar), while animals provide pollinator services.  
46 Yet, these interactions are not always mutualistic. For example, animals may visit  
47 flowers and fail to effectively transfer pollen, as in the case of “nectar-robbers”, which  
48 bypass reproductive parts of the flower via cutting a hole at the base to access nectar  
49 resources (Rojas-Nossa, 2013, Maruyama et al., 2015, Rojas-Nossa, Sanchez &  
50 Navarro, 2016). The presence of nectar-robbers in a system can change the dynamics  
51 and structure of plant-flower visitor networks. In these systems, the number and  
52 diversity of flower visitors to certain floral resources may increase as more visitors gain  
53 access to nectar, although the effectiveness of pollination may remain the same or even  
54 decline.

55 Plant-pollinator (or plant-flower visitor) networks have been relatively well-studied in  
56 recent years (Lewinsohn et al., 2006, Burkle & Alarcon 2011, Dalsgaard et al., 2011,  
57 Bascompte & Jordano, 2014). These networks are almost always characterized by  
58 many fewer interactions than are possible and asymmetries (e.g., Jordano, Bascompte  
59 & Olesen, 2003, Vasquez & Aizen, 2004). Mutualistic networks, such as plant-pollinator

60 networks and plant-frugivore networks, often tend towards a nested structure, where  
61 more specialist species interact with a subset of other species; the latter interact also  
62 with a suite of more generalist species; (Bascompte et al., 2003, Vasquez and Aizen,  
63 2006, Bascompte, 2010, Thébault & Fontaine, 2010). Mutualistic networks also have  
64 been found to be compartmentalized or modular with groups of species well connected  
65 to each other, but less connected to others in the network, usually when they have a  
66 large number of species (e.g. Olesen et al., 2007).

67 Recent research suggest that how networks are structured may influence their stability  
68 and co-evolutionary dynamics (Bascompte & Jordano, 2007, Ebeling, Klein &  
69 Tscarntke, 2011, Nuismer, Jordano & Bascompte, 2013). Thus, understanding which  
70 factors explain the observed interactions and structural properties of the network are  
71 key to predicting sensitivity of networks to perturbations, such as loss of species or  
72 changes in environmental conditions. Species extinction can be preceded by the  
73 extinction of species interactions, so this study contributes to show how network theory  
74 can help to explain the web of life in an ecosystem (Bascompte & Jordano, 2014). In  
75 recent years, new analytical approaches have facilitated asking questions about the  
76 processes that drive network properties (Vasquez, Chacoff & Cagnolo, 2009, Encinas-  
77 Viso, Revilla & Etienne, 2012, Winfree et al., 2014, Vizentin-Bugoni, Maruyama &  
78 Sazima, 2014, Olito & Fox, 2015). Two main hypotheses – neutrality and biological  
79 constraints - have emerged in these network studies. The neutrality hypothesis  
80 suggests that random interactions among species best explain network structure, such  
81 that relative species abundances predict interaction frequencies and can explain  
82 resultant structural properties (Vazquez & Aizen, 2004). In contrast, the biological

83 constraints hypothesis suggests that interactions are shaped by species' traits or  
84 phenological patterns (Jordano, Bascompte & Olesen, 2003, Vazquez, Chacoff &  
85 Cagnolo, 2009, Olesen et al., 2011). In the former, trait mismatches can result in  
86 "forbidden interactions" that impede or prohibit interactions among network members,  
87 such as when the length or width of the flower opening prohibits animal access to the  
88 nectar resources (Jordano, Bascompte & Olesen, 2003, Olesen et al., 2011). In the  
89 latter, phenological mismatches occur when animal presence in an area does not  
90 overlap the time when specific plants are flowering (Vazquez, Chacoff & Cagnolo,  
91 2009).

92 Support for the neutral or biological constraints hypotheses have been mixed (e.g., see  
93 Olito & Fox, 2015). While information from species relative abundances (neutrality) and  
94 phenology (biological constraints) predicted components of network structure in plant-  
95 pollinator networks from Argentinean shrub land (connectance, nestedness, evenness  
96 and interaction asymmetry), neither of these hypotheses explained the observed  
97 frequencies of species interactions (Vasquez, Chacoff & Cagnolo, 2009). In contrast, in  
98 a hummingbird-flowering plant network, forbidden interactions from phenological or  
99 morphological mismatches were found to drive frequencies of observed interactions  
100 (Maglianesi et al., 2014, Maruyama et al., 2014, Vizentin-Bugoni, Maruyama & Sazima,  
101 2014), although they were not important predictors of network structure (e.g.  
102 nestedness, connectance, specialization, evenness and asymmetry; see Maglianesi et  
103 al., 2014, Vizentin-Bugoni, Maruyama & Sazima, 2014). Similarly, phenological  
104 mismatches, in combination with relative abundances of network members, played a

105 role in explaining interaction frequencies between nectarivorous sunbirds and flowering  
106 plants (Janecek et al., 2012).

107 Here, we extend these studies to investigate the drivers of species' interactions and  
108 network structure in a bird-flowering plant network in “elfin” forests located within the  
109 high Andes of Peru (Brack & Mendiola, 2000). Elfin forests, like other highland sites, are  
110 characterized by flowering plants adapted largely for bird pollination, as cold  
111 temperatures and often wet conditions limit insect abundance and activity (Dalsgaard et  
112 al., 2009, Lloyd et al., 2012). In mainland Americas these forests, while dominated by  
113 hummingbirds of various sizes and bill morphologies, also are frequented by *Diglossa*  
114 flowerpiercers (Ramirez et al., 2007). Flowerpiercers feed extensively on nectar, but  
115 may offer limited pollinator services as they frequently access flowers via holes they cut  
116 at the base of flowers using their modified beaks (Rojas-Nossa, 2013). Their presence  
117 in the system may lessen the importance of morphological constraints in shaping  
118 interactions and structural properties of the network as they create opportunities for  
119 short-billed hummingbirds to also access flowers with long corollas. Thus, elfin forest  
120 networks may not fit the patterns reported earlier where interaction frequencies of  
121 networks are predicted by morphology of network members (Maruyama et al. 2014,  
122 Vizentin-Bugoni, Maruyama & Sazima, 2014, Vizentin-Bugoni et al., 2016).

123 By combining information on flower visits, flower phenology, bird (hummingbirds and  
124 flowerpiercers) and plant abundance, we address the following questions: 1) How are  
125 bird-flowering plant networks of elfin forest structured?, 2) Are observed interaction  
126 frequencies and network structural properties driven by biological constraints  
127 (morphological and/or phenological mismatches) or neutral processes (i.e., species

128 relative abundance)?, and 3) How do visits by birds that offer little to no pollinator  
129 services affect network properties?

## 130 **Methods**

### 131 **Study Area**

132 Our study was conducted in the high elevation Andean forests of Peru known as “elfin  
133 forest” in Unchog, Huanuco Department, within the Carpish Mountains (9° 42' 32.33" S,  
134 76° 9' 39.13" W; 3700 m.a.s.l.). The elfin forest of Unchog is located within the transition  
135 between cloud forest and puna grassland. The area is characterized by a dry (May-  
136 September; < 150 mm rain/month) and wet (October-March, > 200 mm rain/month)  
137 season (Supplementary material Fig. A1). The study area is known to harbor a number  
138 of endemic bird (Parker & O'Neill, 1976, Young et al., 2009) and plant species (Beltran  
139 & Salinas, 2010).

140 Within the Unchog area, we sampled birds and plants in three elfin forest sites that had  
141 continuous vegetative cover and were ~8 ha each - Unchog, Magma and  
142 Simeompampa; sites were from 0.6 to 1.7 km apart from each other and intervening  
143 habitat between these elfin forest patches was dominated by pasture and shrub land.  
144 To explore similarity between sites, we calculated pairwise Sorenson dissimilarity  
145 indices for plant species observed in the three sites (see Trojelsgaard et al., 2015)  
146 where values close to 0 indicate very similar community composition and values close  
147 to 1 indicate very distinct communities. For our sites, Sorenson values ranged from 0.13  
148 to 0.25, indicating very similar plant composition. Further, the flower-visiting bird  
149 communities were also very similar. Therefore, sites were combined for network  
150 analysis given overlap in plant and bird species, increased power to characterize  
151 network with increased sample size, and the likely non-independence of the sites.

152 This research was conducted under permits of the Peruvian government, Resolucion  
153 151-2014-MINAGRI-DGFFS-DGEFFS and Resolucion 182-2012-AG- DGFFS-DGEFFS  
154 and the approval of the Institutional Animal Care & Use Committee of the University of  
155 Florida; IACUC Study #201105714.

### 156 **Behavioral Observations**

157 We quantified the flowering plant-bird network with direct observations on birds and  
158 plants using transects and focal plant watches (Ortiz-Pulido et al., 2012). These  
159 observations occurred between May 2011 and August 2014. Bird-plant interactions  
160 were observed using transects to sites approximately weekly during May-July 2011,  
161 February 2012, July-November 2012, January-July 2013, September 2013, and  
162 November 2013. During these visits, one of us (OG) walked along set transects inside  
163 the forest and along forest edges observing birds and recording which plants and how  
164 many flowers they visited during visits to the sites. If the bird visited more than one  
165 flower on a given plant during a visit, this was still scored as a single visit. In January  
166 2014 and from May-August 2014 we recorded all visits and the number of flowers  
167 visited by birds using focal plant watches during 30-minute blocks. . During these 30  
168 minute observation periods, multiple individual plants and plant species were  
169 simultaneously observed. Focal plant observations were centered on plant species  
170 exhibiting typical floral traits found in bird pollination syndrome (Willmer, 2011), and  
171 those that were known or suspected to be visited by birds based on previous  
172 observations (e.g., see Maruyama et al., 2013). The combined observations of birds  
173 from transects and focal plants were used to build the interaction network (Walther &  
174 Brieschke, 2001).

175 We spent a total of 190 hours (150 h in dry and 40 h in wet season) observing  
176 interactions over 79 days; 73 h, 52 h, and 65 h in Unchog, Magma, and Simiumpampa,  
177 respectively. This effort was divided between transects (79%) and focal plant watches  
178 (21%); 50.7% and 49.3% of observed interactions were recorded by transects and focal  
179 plant watches, respectively. More time was spent during the dry season both because  
180 of increased flower abundance as well as logistics of working in the area.

### 181 **Plant Phenology and Abundance**

182 We used transects to record abundance and phenology of flowers in the three sampling  
183 sites. We set up one transect per study site; these transects were sampled once a  
184 month at times when behavioral observations occurred. The presence or absence of  
185 flowers on a monthly basis was used to characterize phenology for each plant species.  
186 We counted the numbers of flowers per individual plant, or estimated the number of  
187 flowers by counting a subsample of flowers and then extrapolated to the whole plant for  
188 plants with > 50 flowers. We converted the number of flowers to flowers per ha based  
189 on area sampled; in some transects, we corrected for effective area sampled given  
190 steep topography and inability to sample some areas at a 20 m width. We used flower  
191 density as a measure of plant relative abundance, as it has been shown to be a better  
192 estimator than the density of individual plants due to the high variability of flowers per  
193 plant (Vasquez, Chacoff & Cagnolo, 2009). For this network analysis, data were  
194 combined across sites and years due to similarities in species composition among sites  
195 and because sample sizes did not warrant more detailed examination of spatial and  
196 temporal patterns.

## 197 **Nectarivorous Bird Phenology and Abundance**

198 To determine the phenology of birds at sites on a monthly basis, we scored presence or  
199 absence of birds based on point-counts, ad-hoc and behavioral observations, and mist-  
200 netting activities. To estimate overall relative abundance of bird species, we relied on  
201 mist-netting activities. We used 10-15 mist-nets (6 m or 12 m length, 36 mm mesh) by  
202 sampling bout in all the sites to capture birds, collect pollen when present from bills for  
203 further studies, and measure bill length and other morphological characters. Nets were  
204 distributed along forest edge and within the forest interior; nets were opened on one day  
205 per month overlapping periods where behavioral observations or focal plant watches  
206 occurred. Over the course of the study, mist-nets were opened a total of 2399 mist-net  
207 hours (one 12-m net open 1 hour = 1 mist-net hour). Vegetation height in the study area  
208 is 5 m on average and, thus, most birds that use the forest are expected to be captured  
209 using mist-nets. We recognize that not all birds are equally captured by mist-nets (e.g.  
210 Remsen & Good, 1996), and thus estimates may be biased. Nonetheless, in montane  
211 forest mist-netting is widely used as a recommended method for bird assessment (Lloyd  
212 et al., 2012, Maglianesi et al., 2014). As for plants, we combined the results among sites  
213 to characterize the bird community and bird-flower observations.

## 214 **Morphological Measurements**

215 We measured bill length and width (to nearest 0.1 mm) of birds that visited flowers  
216 using individuals captured in mist nets, supplemented by measurements from museums  
217 and published literature. We measured an average of 25 specimens per bird species.  
218 Since hummingbirds extend their tongues to access nectar inside the flowers, we added  
219 1/3rd of the total length of the bird bill following Vizentin-Bugoni, Maruyama & Sazima  
220 (2014); in a later paper Vizentin-Bugoni et al. (2016) recommend using a 80% tongue

221 extension to correct bill measurement, although they found no difference in results when  
222 either 33% or 80% is used. We measured the length and the width (to nearest 0.1 mm)  
223 of flower corollas for plants visited by birds in the field, supplemented by measurements  
224 from herbarium specimens. The length was measured from the flower opening to the  
225 base of the nectar chamber, while the width was measured at the flower's widest  
226 aperture.

### 227 **Network Description**

228 Data on observed interactions at flowers were recorded as matrices with the bird flower  
229 visitors in columns, the plant species in rows and cell values representing the number of  
230 visits following Jordano, Vasquez & Bascompte (2009) and Bascompte & Jordano  
231 (2014). We examined sampling completeness of nectarivorous birds and interactions in  
232 the study area using the Chao2 estimator in EstimateS version 9.1 (Colwell, 2013)  
233 following Chacoff et al. (2012). See Supplementary material Fig. A2.

234 We calculated the following network metrics: connectance, nestedness, interaction  
235 evenness and interaction asymmetry (Bascompte & Jordano, 2014). We also calculated  
236 a specialization index at the network level (H2) which is resilient to sample size and  
237 network size (Blüthgen, Menzel & Blüthgen, 2006). Connectance, which varies from 0 to  
238 1, is the realized proportion of possible links in the network (i.e., if every bird visited  
239 flowers of every plant species, then connectance would equal 1). Nestedness provides  
240 a measure of the aggregation of the distribution of interactions in the network (Nielsen &  
241 Bascompte, 2007). To calculate nestedness, we used a weighted nestedness measure  
242 (WNODF) because WNODF has been found to be more robust in quantitative networks  
243 (Almeida-Neto & Ulrich, 2011). When WNODF is close to 0 there is no evidence of  
244 aggregation in the matrix, whereas as it approaches 100, the interactions are

245 increasingly nested. Interaction evenness is based on Shannon's index following  
246 Tylianakis, Tscharrntke & Lewis (2007) and provides a measure of the distribution of  
247 interactions in the network. High skewness in the distribution of interactions is indicative  
248 of an uneven network. Interaction asymmetry, which measures the strength and  
249 directionality of the interaction of one level to the other (birds and plants in this case),  
250 was calculated for plants and for birds separately; higher absolute values, from -1 to 1  
251 indicate more uneven or skewed distribution of interaction frequencies. H2 measures  
252 specialization in the matrix based on the H index of Shannon-Wiener (Blüthgen, Menzel  
253 & Blüthgen, 2006). H2 describes how much the observed distribution of species  
254 interactions deviate from the frequency of the expected distribution. It ranges from 0 to  
255 1; when H2 is closer to 1, the interactions are considered to reflect a high degree of  
256 specialization. Connectance (conn), nestedness (WNODF), evenness (interaction  
257 evenness), specialization (H2), and interaction asymmetry (intrasymm) were calculated  
258 using bipartite package version 2.05 in R (Dormann, Gruber & Fründ, 2008). See  
259 Supplementary material Table A1 for R source code.

### 260 **Interaction Probability Matrices**

261 We built interaction probability matrices using the framework proposed by Vasquez,  
262 Chacoff & Cagnolo (2009) where interaction frequencies were assumed to be  
263 determined by relative abundances, temporal (phenological) overlap, and morphological  
264 overlap. As above, these probability matrices are based on the data compiled across  
265 the three study sites. Relative abundance probability matrices will provide a test of the  
266 neutrality hypothesis, while the latter two (phenology, morphology) provide a test of the  
267 biological constraints hypothesis in explaining observed network structure and  
268 interaction frequencies.

269 To develop a phenological interaction probability matrix (PhenMat), we first compiled  
270 matrices of temporal overlap for plants and birds. In these matrices, plant or bird  
271 species were rows and sampling months were columns with ones and zeros for  
272 presence and absence; the total number of months with simultaneous data on both  
273 plants and birds were 15. We then used matrix multiplication to obtain temporal overlap  
274 between birds and plants. This matrix of temporal overlap was normalized such that the  
275 matrix cells added up to a total of one; individual cells with higher values indicated  
276 greater temporal overlap, or probability of interaction, of any particular bird-plant pair.  
277 An abundance interaction probability matrix (AbMat) was made in a similar way as the  
278 phenological matrix, compiling matrices of abundance for plants and for birds and  
279 overlapping them in the same months. Here the cells of the plant matrix were the  
280 number of individual flowers per ha, by species summed across the sites. The cells of  
281 the bird matrix were the number of individuals captured in mist-nets per 100 net-hours  
282 (Maglianesi et al., 2014). We multiplied the two abundance matrices and the product  
283 was normalized as explained above.

284 The morphology interaction probability matrix (MorMat) was generated to account for  
285 morphological mismatches in length of a bird's bill (as corrected to account for tongue,  
286 see above) and corolla length (Maruyama et al., 2014; Vizentin-Bugoni, Maruyama &  
287 Sazima, 2014). However, instead of using mean length, we used the probability of size  
288 overlap between ranges of flower length and bill length. We believe this approach is  
289 more realistic than a simple yes or no criterion because of existing intra-specific  
290 variation in morphological traits among individuals (Gonzalez-Castro et al., 2015). We  
291 first noted the range of a flower's length and the range of a bird's bill. If the lower limit of

292 the bill's range was longer than the lower limit of the flower's length, the interaction was  
293 scored as 100% possible with a cell value of 1. If the upper limit of the bill's range was  
294 shorter than the lower limit of the flower's length, the interaction was considered  
295 impossible and a cell value of 0 was assigned. When there was overlap of ranges  
296 between the length of a bird's bill and the length of a corolla, we calculated the  
297 proportion of overlap and assigned that value to the cell. Furthermore, we considered  
298 some exceptions when the flower's width was expansive enough for a bird's head to  
299 enter the corolla. For example, the flowers of *Puya* are longer and wider than any of the  
300 bird's bills, so we considered that all bird species could visit *Puya* and assigned a value  
301 of 1 for all possible interactions with this flowering species. In cases where size overlap  
302 was zero, but the observed interaction frequency was not zero, we assigned an arbitrary  
303 value of  $1 \times 10^{-8}$  which is less probable than any other case in the phenology and  
304 abundance matrices (Gonzalez-Castro et al., 2015). Further, the placement of zero in  
305 the probability matrix when the observed interaction value is not zero, results in a failed  
306 calculation of the multinomial function (see next topic). As for other interaction  
307 probability matrices, we normalized this matrix so that cell values sum to 1.  
308 We also considered the possibility that factors might act together to influence the  
309 observed bird-flower network. To do this, we used matrix multiplication to create new  
310 interaction probability matrices for all possible combinations -  $AbMat * PhenMat$ ,  
311  $AbMat * MorMat$ ,  $PhenMat * MorMat$  and  $AbMat * PhenMat * MorMat$  - and then normalized  
312 these new matrices so that the cells summed to one. Following Vasquez, Chacoff &  
313 Cagnolo (2009) we also included a "Null" probability matrix where all pairwise  
314 interactions in the matrix made of observed plant and animal species had the same

315 probability of occurrence (i.e., all cell values in the matrix are equal to  $1/IJ$ , where  $I$  and  
316  $J$  are number of plant and bird species in the network).

### 317 **Testing Neutrality and Biological Constraints Hypotheses**

318 To test whether neutral processes or biological constraints best predicted observed  
319 interaction frequencies, we used a likelihood approach. Support for either of these  
320 hypotheses arises when the probability matrix can predict the observed interactions,  
321 such that higher probabilities of cells should match with higher frequencies of observed  
322 interactions (see Vasquez, Chacoff & Cagnolo, 2009, Vizentin-Bugoni, Maruyama &  
323 Sazima, 2014). Akaike information criteria (AIC) was used to compare the relative ability  
324 of these various hypothesized models to explain observed interactions. We assumed  
325 that probability of interaction between a given bird and flowering plant followed a  
326 multinomial distribution (Vasquez, Chacoff & Cagnolo, 2009). We used the number of  
327 species (44 in total), to determine the number of parameters used to weight different  
328 models' complexities when calculating AIC. So 44 was used when one factor was  
329 calculated (i.e. abundance), 88 if two factors (i.e. phenology and morphology) and 132 if  
330 three factors (i.e. phenology, morphology and abundance). As in Vizentin-Bugoni,  
331 Maruyama & Sazima (2014) we compared these results to those based on using the  
332 number of factors (abundance, phenology, morphology; either e., 1, 2 or 3) to weight  
333 model complexity, and checked for differences. The function *dmultinomin* in the *stats*  
334 package R was used to calculate likelihood (R core team 2014).

335 To determine the degree to which the hypotheses predicted network metrics, such as  
336 connectance, nestedness, or asymmetry, we used a randomization algorithm *mgen*  
337 from *bipartite* package in R (Vasquez, Chacoff & Cagnolo, 2009). Using the number of

338 interactions actually observed, the randomization algorithm assigned interactions to  
339 each probability interaction matrix, including all combined interaction probability  
340 matrices, such that all species received at least one interaction (see Vasquez, Chacoff  
341 & Cagnolo, 2009). From these randomized matrices, we calculated network statistics  
342 (mean and 95% confidence intervals from 1000 repetitions using function *confint* in  
343 *bipartite* package in R) and compared the overlap with network statistics generated from  
344 our observed interaction matrix. If the observed metric values were found to be within  
345 the 95% confidence intervals of those generated from interaction probability matrices,  
346 we interpreted this to mean that factors (e.g., relative abundance, phenology,  
347 morphology, or their combination) could explain or were consistent with hypothesized  
348 explanations of drivers of mutualistic interactions at the community level.

#### 349 **Effect of Nectar-robbers on Network Properties**

350 To examine the influence of nectar robbers on network properties, we recalculated all of  
351 the above matrices after removing interactions that likely did not result in pollination,  
352 such as visits to base of flowers through holes cut by *Diglossa* flowerpiercers or bees.  
353 This new interaction matrix is more equivalent to a pollinator-plant network than our  
354 bird-flowering plant network which included all flowering plant visits (Maruyama et al.,  
355 2015). This pollinator-plant network was then used to evaluate our third question that  
356 examined the impact of flowerpiercers on network structure and network properties as  
357 described above

### 358 **Results**

#### 359 **General Results**

360 **Bird-flower network.** We observed a total of 17 bird species visiting flowers from 27  
361 plant species in all our elfin forest sites combined. These observations included 278

362 pairwise interactions representing 74 unique interactions of bird visits to plants  
363 (Supplementary Table A2). Avian flower visitors included 12 species of hummingbirds  
364 (Trochilidae), 4 species of flowerpiercers (*Diglossa*: Thraupidae) and one conebill  
365 (*Conirostrum*: Thraupidae). Flowering plants observed to be visited by birds included  
366 plants from 24 genera, 16 families and 14 orders (Fig. 1, and Supplementary Table A3).  
367 Mutualistic networks are typically characterized by many fewer observed interactions  
368 than possible (e.g., Chacoff et al., 2012), and this was also true here. We detected only  
369 55.2% of the estimated interactions for the whole network using Chao2 (Supplementary  
370 material Fig. A2). Despite this, the observed number of unique interactions appeared to  
371 be reaching an asymptote with our sampling effort.

372 **Interactions in the network.** We found that *Metallura theresiae*, *Pterophanes*  
373 *cyanopterus* and *Diglossa mystacalis*, birds considered to be indicators of the elfin  
374 forest (Parker, Stotz & Fitzpatrick, 1996), were the most important bird species in terms  
375 of flowering plant interactions (Fig. 1). *Metallura* visited a total of 26 species, while *D.*  
376 *mystacalis* visited 10 and *Pterophanes* visited 4 species, respectively. Among plants,  
377 *Brachyotum lutescens*, *Tristerix longibracteatus* and *Fuchsia decussata* were the  
378 species with the most interactions with visits from 8, 7, and 7 bird species, respectively  
379 (Fig. 1). When compared to the “null” model, the bird-flowering plant network was found  
380 to be significantly less connected and more nested (Figs. 2a, b). In addition, the network  
381 was significantly less even, more specialized and exhibited greater asymmetry among  
382 bird or plant species than expected (Figs. 2c-f).

### 383 **Plant-visitation network determinants**

384 We found that biological constraints as exemplified by phenology best explained the  
385 observed interaction frequencies using the likelihood approach (Table 1). This result  
386 suggests that the degree of temporal overlap among interacting players in a bird-  
387 flowering plant network is an important driver of the observed interaction frequencies.  
388 Results were consistent whether either the number of species or the number of matrices  
389 used as parameters in likelihood analysis to compare among models (Supplementary  
390 material Fig. A3).

391 Biological constraints, either through phenological constraints or phenology in  
392 combination with morphology, were found to explain nestedness in the elfin forest bird-  
393 plant network (Fig. 2b). Network structure also was found to be explained by neutral  
394 processes, as measured by relative abundance of birds and flowering plants, in some  
395 cases. For example, connectance within the network was predicted via a combination of  
396 relative abundance of interacting players and biological constraints (Fig. 2a). Further,  
397 relative abundance was found to explain evenness and relative abundance alone, or in  
398 combination with morphology, explained asymmetry (Fig. 2e, 2f). In contrast, neither  
399 biological constraints nor neutral processes were able to explain specialization (Fig. 2d).

### 400 **Difference in network properties with and without nectar-robbers**

401 To investigate the influence of nectar robbers in the plant-bird network, we removed all  
402 interactions that involved observed ‘illegitimate’ visits to flowers (i.e., birds entered  
403 flower at nectar base rather than through the corolla opening). This reduced the original  
404 network of 17 birds and 27 plants to 12 birds and 26 plants; all *Diglossa* species  
405 dropped out of the network given that all observations were from *Diglossa* or  
406 *Conirostrum* removing nectar from the base of flowers. Other interactions deleted were

407 *Heliangelis amestylicollis* - *Desfontainia spinosa*, *Pterophanes cyanopterus* - *Passiflora*  
408 *cumbalensis*, *Metallura tyrianthina* - *Passiflora cumbalensis* and *Metallura theresiae*  
409 with *Desfontainia spinosa*, *Fuchsia decussata* and *Passiflora cumbalensis*. Removal  
410 resulted in the loss of all interactions with *Passiflora cumbalensis*, given that all  
411 observations to this flower occurred via the floral base and were not considered  
412 “legitimate”.

413 The exclusion of illegitimate visits by primary and secondary nectar-robbing birds  
414 resulted in a decrease of connectance, nestedness and evenness, but increase in  
415 specialization when comparing metrics with the null matrix and the original matrix.  
416 Asymmetry shifted in different ways with an increase for plants and decrease for birds  
417 (compare Figs. 2 and 3). Abundance and its combination with morphology were useful  
418 to explain evenness and asymmetry for plant and birds, while phenology in combination  
419 with morphology predicted connectance. However the exclusion of the nectar-robbers in  
420 the network did not change the influence of phenology as the “best” predictor of species  
421 interactions (Table 1).

## 422 **Discussion**

### 423 **Network Structure**

424 We found that bird-flowering plant networks in the elfin forests studied here are  
425 characterized by fewer interactions than those possible. These results are consistent  
426 with most other pollination networks studied. For example, Chacoff et al. (2012) also  
427 observed about 55% of all possible interactions in a desert plant-pollinator network  
428 sampled over 4 years. Yet, despite their time investment, Chacoff et al. (2012) estimate  
429 that a five-fold increase in sample effort would be needed to even possibly detect 90%  
430 of the possible interactions. The sampling effort invested in our study (190 hours)

431 spread over multiple years matches or exceeds many other studies (e.g., Rodriguez-  
432 Flores, Stiles & Arizmendi, 2012, Ortiz-Pulido et al., 2012, Maruyama et al., 2014), but  
433 is considerably less than studies that use video-cameras to record interactions  
434 (Maglianesi et al., 2014, Vizentin-Bugoni, Maruyama & Sazima, 2014, Vizentin-Bugoni  
435 et al., 2016). The use of remote technology in flowering plant observational studies hold  
436 much promise, but are nonetheless, difficult or expensive to use in sites where flowering  
437 plant diversity is high.

438 Like several mutualistic networks, the elfin forest network was also found to be nested  
439 (Fig.3b; see also Rodriguez-Flores, Stiles & Arizmendi, 2012, Bascompte et al., 2003).  
440 Our results, however, contrast with some studies in tropical dry forests (Arizmendi &  
441 Ornelas, 1990) and Atlantic forests (Vizentin-Bugoni, Maruyama & Sazima, 2014) where  
442 the plant-bird network was not nested using the same qualitative metric (WNODF).  
443 However in a more recent analysis an updated version of this Atlantic forest network  
444 was nested using a binary measure (Vizentin-Bugoni et al., 2016). Bascompte et al.  
445 (2003) suggest that increases in the number of species in networks might result in  
446 greater nestedness. Indeed, when we reduced the network to only include species and  
447 observations that resulted in “legitimate” flower visits, we found nestedness values were  
448 lower although the network was still more nested than expected. In the elfin forest the  
449 abundance of the flowerpiercers facilitating access to hummingbirds would take out  
450 several “forbidden links” as limitations, diminishing nestedness. Sampling effort did not  
451 likely bias our estimate of nestedness given that WNODF is known to be a robust  
452 estimator for nestedness (Vizentin-Bugoni et al., 2016).

453 The important species in networks, based on their abundance and frequency of  
454 interactions, often provide insights about the ecological or evolutionary implications of  
455 the network (Bascompte & Jordano, 2007). In this system the most abundant flower  
456 visitor in elfin forests (*Metallura theresiae*) also had the greatest number of connections  
457 and interacted with the most flowering plant species (Fig. 1). *Metallura theresiae* is quite  
458 aggressive and its behavior may interfere with other flower visitors, and thus, may affect  
459 visitation rates (Justino, Maruyama & Oliveira, 2012). In contrast, the plant which had  
460 the greatest number of flowers in this system, *Brachyotum lutescens*, did not have the  
461 greatest number of flower visits nor the greatest number of visiting species. Similar  
462 results were reported by Rodriguez-Flores, Stiles & Arizmendi (2012) in a plant-  
463 hummingbird network in Colombia, where hermit hummingbirds were the most  
464 abundant birds, visiting the greatest number of plant species in the lowland Amazon.  
465 In the elfin forest system we found that abundance models combined with phenology or  
466 morphology can explain network connectance, as well as evenness and asymmetry for  
467 both plants and birds. Nestedness was predicted by phenology and phenology with  
468 morphology, matching results from an insect-plant network in a subalpine community  
469 (Olito & Fox, 2015). In contrast, in plant-hummingbird networks in the Brazilian Atlantic  
470 forest (Vizentin-Bugoni, Maruyama & Sazima, 2014) neither abundance, morphology  
471 nor phenology were associated with network metrics, except the mixed model of  
472 phenology, morphology and abundance for the asymmetry of the birds in the network.

### 473 **Observed interaction frequencies**

474 In this study, the observed interactions, either with the full suite of avian flower visitors  
475 or the reduced set of “legitimate” visitors, were best explained by phenology. Greater  
476 phenological overlap in birds and plants led to greater number of interactions between

477 pairs of species. The importance of phenology in explaining pairwise interactions has  
478 also been found in other studies, but was still found to be a poor predictor of observed  
479 interactions in some cases (Encinas-Viso, Revilla & Etienne, 2012, Olito & Fox, 2015).  
480 In contrast, morphology alone, or morphology interacting with phenology, have been  
481 found to explain observed pairwise interactions in some hummingbird-plant networks  
482 (Maglianesi et al., 2014, Maruyama et al., 2014, Vizentin-Bugoni, Maruyama & Sazima,  
483 2014). The importance of phenology in driving interactions highlight the potential  
484 vulnerabilities of these mutualism networks to climate change, which can alter  
485 phenological patterns (Dalsgaard et al, 2011, Rafferty, CaraDonna & Bronstein, 2015).  
486 Elfin forests which are located at the top of tropical mountains are likely to be  
487 particularly impacted by climate change and, thus, as phenological patterns change,  
488 nectarivorous birds, a number of which are endemic, may face lowered availability of  
489 resources and potential invasion of competitors (Sekercioglu, Primack & Wormworth,  
490 2012).

#### 491 **The nectar robber effect in the network**

492 Morphological constraints were not an important driver in our system, or only were  
493 important when combined with abundance or phenology for some network metrics. This  
494 result is likely due to the presence of *Diglossa* flowerpiercers. The opportunities for  
495 morphological constraints to operate in this system are many as several flowering plant  
496 species have corollas that exceed the length of a number of flower visitors. Yet, the  
497 forbidden interactions in this network, which hypothetically should restrict access to  
498 nectar for small-billed birds for a number of flowering species, were allowed. *Diglossa*,  
499 which cut holes in base of flowers to gain access to nectar, act as facilitators for other  
500 species (hummingbirds with small bills) that would not be able to access to long-corolla

501 flowers (Bruno, Stachowicz & Bertness, 2003); large bees are also known to cut holes  
502 at base of flowers in this system and may also serve as “facilitators”. Consequently,  
503 connectance in the network increases with flowerpiercers in the system (Fig. 2a with  
504 Fig. 3a). In contrast, we found that network specialization increased markedly when  
505 nectar-robbers were removed from the network (Fig. 2d with Fig. 3d).

506 In this study several factors might influence our results. First, we observed only about  
507 55% of all possible pairwise interactions. If our system were undersampled, including  
508 potentially “missing” interactions due to not capturing flowering events because of  
509 limited sampling, we might have been less likely to see biologically constrained  
510 interactions, and thus, may have overestimated their effect. However, we did find that  
511 the number of observed pairwise interactions appeared to be reaching an asymptote,  
512 suggesting we had sufficiently characterized the network. Second, as most  
513 observations were based on transects, we might expect that abundance may emerge as  
514 a driver of network interactions and properties as abundant species may be sampled  
515 more often in focal plant watches. Nevertheless, the amount of interactions detected by  
516 transects was almost the same as the interactions detected by focal watch. The  
517 importance of relative abundance as a driver, however, did not play a large role in  
518 explaining observed interactions when compared to other factors. Third, we also did not  
519 examine the importance of body size of birds and nectar production in explaining  
520 network structure. Nectar production can be highly variable both within and among  
521 plants and is difficult to adequately measure when dealing with many plant species.  
522 Large-bodied birds, in particular, might focus more on plant species that produce more  
523 nectar. These factors might be especially important in explaining network properties

524 such as specialization. Further studies would benefit from including additional  
525 predictors of networks.

526 In summary, in elfin forests biological processes were important in predicting observed  
527 interactions between flowering plants and birds, while neutral and biological processes  
528 interacted to explain network components. In particular, the importance of neutral  
529 processes (i.e., abundance) was the single best predictor for four of six network metrics  
530 in networks with and without illegitimate interactions. However, the importance of  
531 phenology for both species interactions and network structure suggests that the  
532 ecological and evolutionary dynamics of networks are likely to be altered with climate  
533 change. As such, future studies should focus on how phenological changes, as well as  
534 changes in abundance impact network dynamics.

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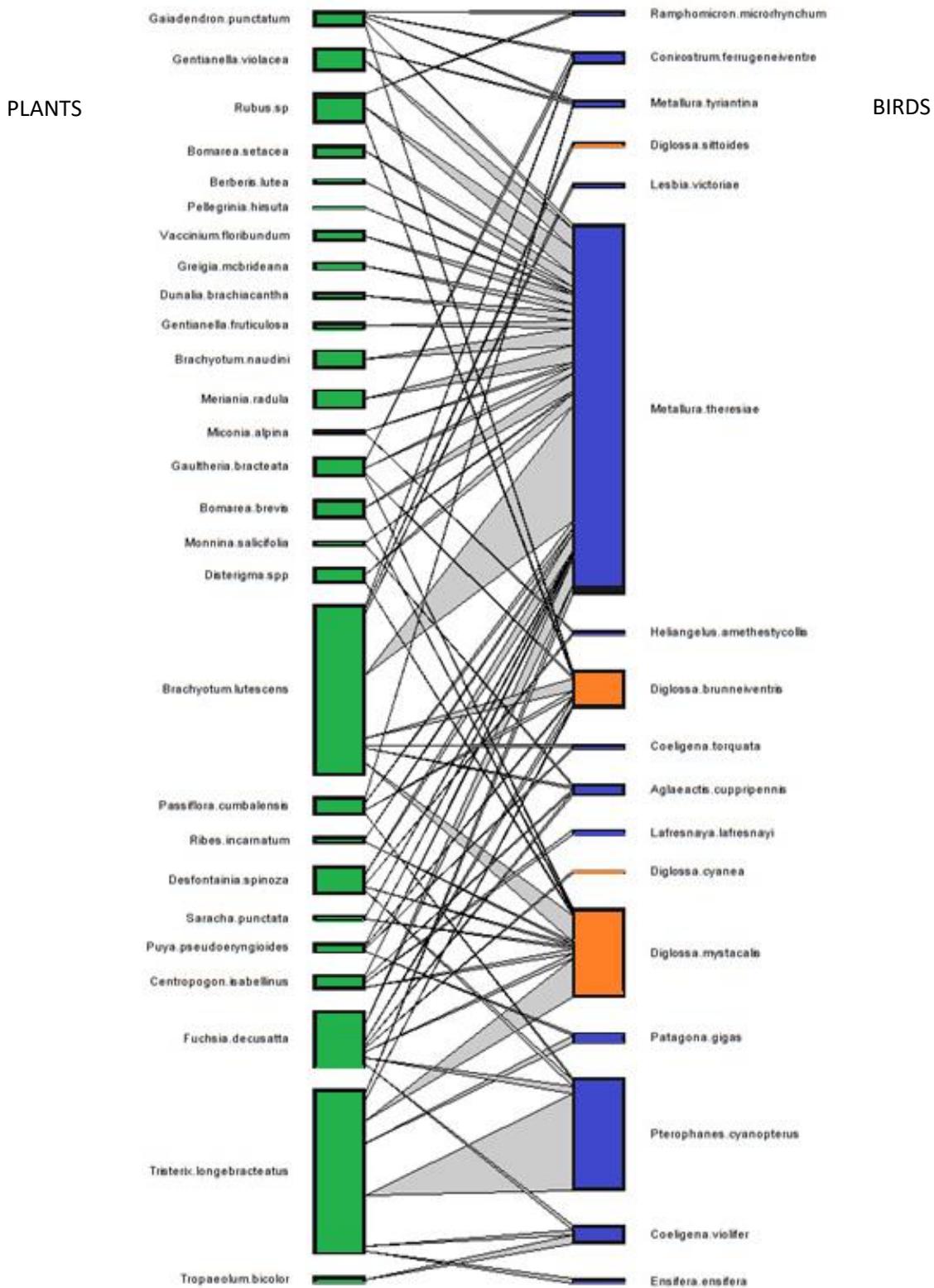
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**Figure 1** (on next page)

Pairwise interactions in the bird-flowering plant visitation network in the elfin forest of Unchog (Peruvian Andes). Each green box represents a plant species; blue boxes are hummingbirds, orange are flowerpiercers. The lines represent the interactions an



**Table 1** (on next page)

Difference of the AIC values between various models predicting observed interaction frequencies and the model with lowest AIC value.

Ab= Abundance, Phen= Phenology, Mor= Morphology, Null= Null matrix. The visitation network includes all bird species and the pollination network excludes interactions where birds did not visit flowers legitimately. In both matrices, phenology was the best predictor of interaction frequencies.

1 Table 1. Difference of the AIC values between various models predicting observed  
2 interaction frequencies and the model with lowest AIC value. Ab= Abundance, Phen=  
3 Phenology, Mor= Morphology, Null= Null matrix. The visitation network includes all bird  
4 species and the pollination network excludes interactions where birds did not visit  
5 flowers legitimately. In both matrices, phenology was the best predictor of interaction  
6 frequencies.

7

Matrix	$\Delta$ AIC visitation network	$\Delta$ AIC pollination network
Phen	0	0
Ab	428.2	180.3
Null	588.6	433.4
Ab*Phen	660.1	387.0
Phen*Mor	1262.9	122.9
Ab*Mor	1846.8	365.0
Mor	1912.1	575.9
Ab*Phen*Mor	2067.4	563.7

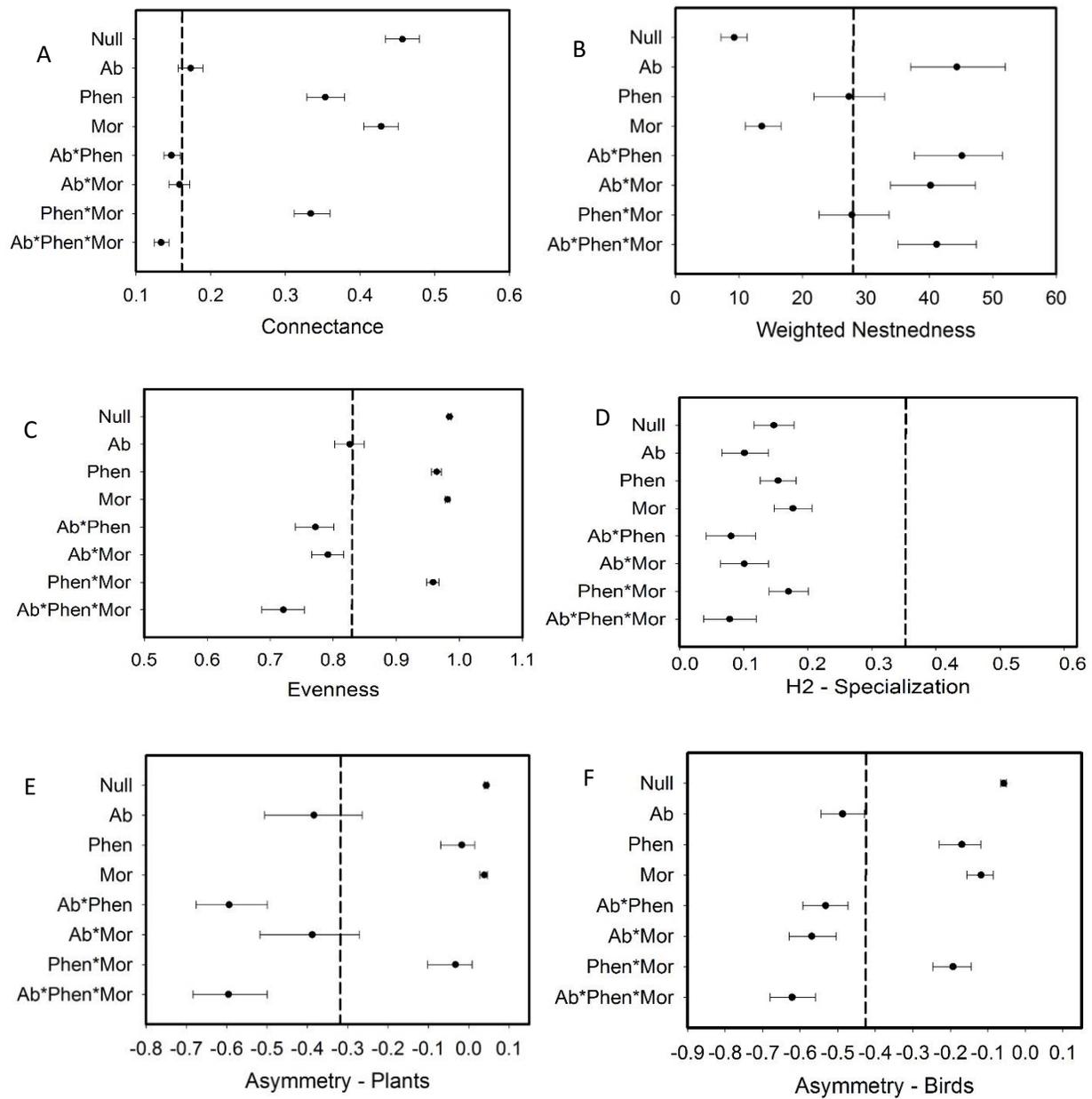
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**Figure 2**(on next page)

Comparison of the network metrics produced by probability matrices (mean and 95% confidence intervals) and the observed network values for the bird-flowering plant network of the elfin forest.

Network metrics are: (a) connectance, (b) weighted nestedness (WNODF), (c) evenness, (d) specialization, (e) asymmetry of plants (f) asymmetry of birds. The dashed vertical lines in each graph represents the value for the observed matrix. Matrix codes: Ab= Abundance, Phen=Phenology, Mor=Morphology, Null= Null matrix.



**Figure 3**(on next page)

Comparison of the network metrics produced by probability matrices after removal of interactions involving nectar-robbers

Network metrics are: (a) connectance, (b) weighted nestedness (WNODF), (c) evenness, (d) specialization, (e) asymmetry of plants, (F) asymmetry of birds. The dashed vertical lines in each graph represents the value for the observed matrix. Matrix codes: Ab= Abundance, Phen=Phenology, Mor=Morphology, Null= Null matrix.

